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Tai Chi for the Prevention of Falls Among Older Adults: A Critical Analysis of the Evidence

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Despite interest as to the benefits of Tai Chi, there remains a controversy over its effectiveness as an exercise intervention for preventing falls among older adults. This review synthesizes the evidence base with a focus on meta-analyses and randomized controlled trials with community-dwelling older adults. It provides a critical lens on the evidence and quality of the trials. High-quality evidence suggests that Tai Chi is an effective intervention for preventing falls in community settings; however, there is unclear evidence for long-term care facilities and an absence of evidence for hospital settings. When compared directly with other exercise interventions, Tai Chi may offer a superior strategy for reducing falls through its benefits on cognitive functioning. Using data from the current Cochrane review, a new synthesis is presented suggesting that 71–81% of community-dwelling older adults are adherent to class-based Tai Chi interventions. The practical opportunities and challenges for practitioners are discussed.

Keywords: accidental fall, exercise, meta-analysis, randomized controlled trial, review

Falls among older adults have been researched since the 1940s and are internationally recognized as a public health issue (Speechley, 2011; World Health Organization, 2007). For community-dwelling adults aged 65 and above, approximately one in three fall each year, and this frequency increases to one in two among those aged 80 and over and among residents of long-term care institutions (Martin, 2011; Rubenstein, 2006). Falls are the leading cause for emergency department presentation in adults aged 65 and over (Samaras, Chevalley, Samaras, & Gold, 2010) and are a significant risk for morbidity, mortality, and increased health care costs (Burns, Stevens, & Lee, 2016). Older adults can also develop a fear of falling that leads to activity limitation, social isolation, and a reduction in quality of life (Peel, 2011; World Health Organization, 2007). Several interventions have been developed to reduce the risk of falls among older adults (Gillespie et al., 2012). These include single interventions (e.g., exercise), multiple interventions (combinations of interventions such as exercise and home safety modifications), and multifactorial interventions (customized interventions depending on the needs of the individual using a menu of intervention options). For single interventions, exercise has been identified as the most effective approach for preventing falls (Gillespie et al., 2012). It has also been suggested that single interventions are as effective as multifactorial interventions for populations at risk of falls and may well be more acceptable and cost effective (Campbell & Robertson, 2007).

In this context, Tai Chi has been researched as a strategy with great potential for preventing falls among older adults (Nyman &

Skelton, 2017). Tai Chi has been practiced widely in China and Asian cultures and has become more popular in western countries. Tai Chi has been recommended for older adults to meet U.K. guidelines for participation in physical activity (Department of Health and Social Care, 2019) and is recommended by Public Health England (Foster et al., 2018) and the National Health Service (NHS), particularly for inactive older adults (NHS Choices, 2018). However, there remains a controversy with regard to the health benefits of Tai Chi and, in particular, its effectiveness in preventing falls among older adults.

This narrative review aims to critically synthesize the evidence for Tai Chi for the prevention of falls among older adults. This review will focus on gold-standard evidence-meta-analyses of randomized controlled trials-most of which has been conducted with community-dwelling older adults. For the first time, I will bring together in one place a critical analysis of the fragments of information from different reviews in order to provide a comprehensive review of the evidence and the quality of the evidence base and a new synthesis on adherence levels by older adults to Tai Chi classbased exercise interventions. I will also include studies that have compared the effectiveness of Tai Chi for the prevention of falls with other exercise-based interventions. I will then conclude with the practical implications for exercise practitioners. While there are existing meta-analyses on this subject, they have not been synthesized for an overall interpretation of the evidence base, particularly in relation to adherence, different populations, and the effectiveness of Tai Chi relative to other exercise interventions. Before reviewing the evidence base, I will first describe Tai Chi exercise.

Tai Chi

Tai Chi (also written taiji, t'ai ji, or similar) is an ancient form of Chinese mind-body exercise in which participants carry out slow, smooth, and continuous body movements along with deep breathing and mental concentration (Lee, Lee, & Woo, 2010); it is equivalent to moderate-intensity exercise and quiet meditation (Li, Hong, & Chan, 2001). Thus, as a mind-body exercise, it incorporates both a form of moving meditation with gentle strengthening of muscles and balance control. In describing Tai Chi we must

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discuss its departure from Tai Chi chuan and it being a form of qi gong. Tai Chi chuan (also written taijiquan, t'ai ji quan, or similar) is a martial art. Tai Chi can be translated as "grand ultimate" and chuan translated as "fist" (or similar wording) (Wayne & Fuerst, 2013). Tai Chi chuan is a martial art that incorporates the Chinese philosophy of Tai Chi (Chang, Nien, Tsai, & Etnier, 2010) but is otherwise a form of boxing or similar exercise. The Tai Chi that is discussed in this review is not a martial art but a gentle exercise designed to holistically promote health for both mind and body.

The Chinese philosophy that underpins Tai Chi has much in common with qi gong; hence, many consider Tai Chi to be a form of qi gong (Wayne & Fuerst, 2013). Qi gong is an umbrella term for ancient Chinese traditional practices of self-cultivation and energy preservation (Acton, 2009). Qi can be translated as "vital energy," "breath," or "spirit" (Wayne & Fuerst, 2013). Qi gong is thus energy work, whereby practitioners seek to cultivate the optimal conditions for their qi in order to provide nourishment and preservation. Under this framework, gi is considered a unified energy; everyone holds energy/qi and is connected to each other and to the energy in the universe (Acton, 2009). Illness can be considered an imbalance of energy somewhere in the body. Qi qong then targets the body, breath, and mind in order to bring each into harmony and, therefore, bring qi into harmony to sustain health, joy, and longevity (Acton, 2009). Many are familiar with the related Chinese philosophy of yin and yang. These represent two ultimate, opposing, and interdependent forces (e.g., dark and light, female and male, low and high, slow and fast, inhale and exhale). The interactions between yin and yang are proposed to make the universe and humans function energetically. It is this philosophy that underpins qi gong and Tai Chi movements (Acton, 2009). Therefore, Tai Chi aims to relax, strengthen, and integrate the mind and body to enhance the flow of qi (Wayne & Fuerst, 2013). In so doing, one can achieve "eternal spring," and along with longevity, retain health, vigor, and mental capacity well into one's later years (Acton, 2009).

A complication in defining Tai Chi is that it has many elements. This makes it difficult to isolate a particular mechanism for Tai Chi to provide health benefits. Eight elements have been identified as follows: focused attention, imagery and visualization, enhanced integration of physiological systems, moving meditation, strength and flexibility training, more efficient breathing, social support from attending classes, and a vehicle for increased spirituality (Wayne & Fuerst, 2013).

The Evidence for Tai Chi for Preventing Falls

Current Evidence From an Overview of Systematic Reviews and Two Meta-Analyses

Recently, an overview of 14 systematic reviews was published on the effectiveness of Tai Chi for improving postural balance and preventing falls (Zhong et al., 2020). Ten systematic reviews were published in English and four in Chinese. Eight focused on older adults, and the remainder focused on specific patient groups: Parkinson's disease, stroke, heart failure, or osteoarthritis patients. The authors were largely critical of the quality of the existing body of systematic reviews. Although they suggested that the systematic reviews were largely complete in their reporting, they found only nine of 14 systematic reviews to be of low risk of bias, and one was of low and 13 of critically low methodological quality. However, only four of the systematic reviews provided meta-analyses of the effectiveness of Tai Chi on reducing the rate of falls among older adults. Of these, one was old (Logghe et al., 2010) and another could not be located despite full citation details being given (likely published in Chinese; Zheng, Zhang, & Tong, 2013). The remaining two meta-analyses were both published in 2017 and deserve attention (Huang, Feng, Li, & Lv, 2017; Lomas-Vega, Obrero-Gaitán, Molina-Ortega, & Del-Pino-Casado, 2017) (see Table 1).

The meta-analysis by Huang et al. (2017) was on the benefits of Tai Chi for older adults, including the general healthy population and those at risk of falls. Their meta-analysis suggested that Tai Chi reduces the rate of falls on average by 31% (incident rate ratio [IRR] = 0.69, 95% confidence interval [CI], [0.60, 0.80], 15 trials) and the number of people falling at least once by 20% (IRR = 0.80, 95% CI [0.72, 0.88], 16 trials). Huang et al. (2017) found the risk of bias of the included trials to be unclear or low, and sensitivity analyses by sample size, risk of bias, or comorbidity showed no major influence on the primary results. However, they did note that there may be publication bias, because the funnel plots showed asymmetry (studies with null effects were less likely to be published). Despite this glowing report on the effects of Tai Chi in terms of magnitude, consistency, and quality of the evidence, the overview authors were much more conservative. They found the Huang et al. review to be of "critically low" methodological quality (Zhong et al., 2020). However, it ticked 12 out of 16 (75%) boxes on a measurement tool to assess systematic reviews (AMSTAR) 2 checklist. The deductions were for not reporting a preestablished protocol, the study designs for inclusion, list of excluded studies with justifications, and for not reporting on the source of funding for the included trials (although this would be unlikely to be a significant source of bias). While the risk of bias for the review was marked with a smiley face and 25 out of 27 boxes were ticked for reporting (preferred reporting items for systematic reviews and meta-analyses [PRISMA] checklist), it was marked as only providing moderate-grade evidence due to the suspicion of publication bias. This seems to be an overly negative assessment of the quality of evidence from this review.

The meta-analysis by Lomas-Vega et al. (2017) was also on the benefits of Tai Chi for older adults, including the general healthy population and those at risk of falls. Their meta-analysis suggested that Tai Chi reduces the rate of falls on average by 43% in the first 11 months (IRR = 0.57, 95% CI [0.46, 0.70], five trials) and 13% from 12 months onward (IRR = 0.87, 95% CI [0.77, (0.99], six trials). The mean of these two pooled estimates (of 43%and 13% = 28%) was approximately that estimated by Huang et al. (2017) at 31%. However, the lower pooled falls rate at 12 months or more of follow-up was perhaps underestimated, because the rates provided in two trials were higher than those reported by Lomas-Vega et al. More specifically, the significant incidence rate ratio of 0.32 (95% CI [0.14, 0.71]) at 18 months (Hwang et al., 2016) was higher than the null reported by Lomas-Vega et al. (0.80, 95% CI [0.64, 1.01]), and the significant rate ratio (RR) of 0.74 (95% CI [0.56, 0.98]) at 12 months (Tousignant et al., 2013), was higher than the null reported by Lomas-Vega et al. (RR = 0.87, 95% CI [0.69, 1.10]). The results of another trial were difficult to explain; the falls rate over 17 months from a 20-week intervention period showed a reduction in falls of 58% across all trial arms with no difference between the control group and the two Tai Chi groups (classes either once or twice weekly; Taylor et al., 2012). However, 60% of the sample continued with exercise after the interventions ceased, and so the fall reductions may have been the result of exercise conducted outside the interventions. One study was conducted with older adults from care homes (Faber, Bosscher, Chin, & van Wieringen, 2006; this population will be discussed subsequently), and the study with the poorest results for Tai Chi was

Author (date)	Focus of the review	Main results	Strengths and weaknesses of the review
Overview of 14 system	natic reviews		
Zhong et al. (2020)	Postural balance and fall prevention among the healthy older adult population and specific patient groups	Existing systematic reviews have a high risk of bias. It is impossible to draw conclusions as to the effectiveness of Tai Chi for preventing falls.	Provides an overview of 14 reviews and assesses their quality in several dimensions. However, on inspection of the two most recent meta-analyses by Huang et al. (2017) and Lomas-Vega et al. (2017), the quality assessment is overly negative.
Meta-analyses			
Huang et al. (2017)	Rate of falls and number of people falling at least once among the healthy older adult population and those at risk of falls	Tai Chi reduces the rate of falls (IRR = 0.69 , 95% CI [0.60 , 0.80], 15 trials) and the number of people falling at least once (IRR = 0.80 , 95% CI [0.72 , 0.88], 16 trials).	Robust review, which acknowledged there may be publication bias. Zhong et al. (2020) identified these methodological limitations of the review: Not reporting a preestablished protocol, the study designs for inclusion, list of excluded studies with justifications, and for not reporting on the source of funding for the included trials.
Lomas-Vega et al. (2017)	Rate of falls among the healthy older adult population and those at risk of falls	Tai Chi reduces the rate of falls in the first 11 months (IRR = 0.57 , 95% CI [0.46, 0.70], five trials) and from 12 months onward (IRR = 0.87 , 95% CI [0.77, 0.99], six trials).	Robust review that separated the effectiveness of Tai Chi by length of follow-up. Zhong et al. (2020) identified these methodological limitations of the review: Not reporting a preestablished protocol, the study designs for inclusion, list of excluded studies with justifications, for not reporting on the source of funding for the included trials, and for a partially comprehensive literature search strategy.
Sherrington et al. (2019)	Rate of falls and number of people falling at least once among community- dwelling older adults	Tai Chi reduces the rate of falls (RR = 0.81 , 95% CI [0.67 , 0.99], seven trials, low-certainty evidence) and the number of people falling at least once (RR = 0.80 , 95% CI [0.70 , 0.91], eight trials, high-certainty evidence).	Gold standard, robust, systematic review, with overall pooled effect. However, the pooled effect does not take into consideration important factors such as adherence, length/dose of intervention, and duration of follow-up.
Sherrington et al. (2017)	Further analysis to Sherrington et al. (2019)	Exercise interventions reduce the rate of falls, and reduce them to a greater extent if they challenge balance and are of a dose of 3 hr or more per week (IRR = 0.61, 95% CI [0.53, 0.72], 88 trials). Tai Chi is one way of providing a safe means of challenging balance.	Gold standard, robust, systematic review, with overall pooled effect that accounts for important moderator variables. However, the effect of Tai Chi could not be isolated from their report.
Ng et al. (2019)	Further analysis to Sherrington et al. (2019)	Tai Chi is one of three types of intervention programs that are effective in reducing falls (on average delivered over 20 weeks, 71% group based, 7% tailored)	Gold standard, robust, systematic review, with overall pooled effect. However, finer-grained analysis of the influence of program factors within each type of intervention on falls (such as dose of Tai Chi) was not conducted.
Cameron et al. (2018)	Rate of falls and number of people falling at least once among older adults in care facilities and hospital settings	No evidence for Tai Chi for preventing falls in long-term care facilities (three trials) and an absence of evidence in hospital settings.	Gold standard, robust, systematic review. However, the pooled effect was for exercise interventions overall and was not calculated for Tai Chi in isolation. Also, the pooled effect did not take into consideration important factors such as adherence, length/dose of intervention, and duration of follow-up.
Del-Pino- Casado, Obrero- Gaitán, and Lomas-Vega (2016)	Rate of falls among older adults who are frail or at risk of falls	Tai Chi reduces the rate of falls (absolute risk reduction = -0.10, 95% CI [-0.06, -0.15], 13 trials)	A well-conducted review on important patient groups. However, absolute risk reduction is a measure rarely used in the fall prevention literature and so not readily comparable (rather rate of falls and number of people falling at least once). The authors stated that their results equated to a reduction in the risk of falling by 21% (95% CI [12%, 30%]). The authors conceded they could not calculate the effect of Tai Chi on rate of falls due to insufficient data. The authors concluded that a minimum dose of 60 min of Tai Chi weekly for 12 weeks or more appears effective, although this will need to be confirmed.

Table 1Summary of the Systematic Reviews Synthesized That Assessed the Effectiveness of Tai Chi toPrevent Falls

(continued)

Table 1 (continued)

Author (date)	Focus of the review	Main results	Strengths and weaknesses of the review
Winser, Tsang, Krishnamurthy, and Kannan (2018)	Rate of falls among people with Parkinson's disease and who have experienced a stroke	Tai Chi reduces the rate of falls among people with Parkinson's disease (OR = 0.47, 95% CI [0.29, 0.77], three trials) and who have experienced a stroke (OR = 0.21, 95% CI [0.09, 0.48], one trial)	A review on important patient groups. However, the findings are based on a very small number of trials and ORs are rarely used in the fall prevention literature and so not readily comparable (rather rate of falls and number of people falling at least once). Zhong et al. (2020) identified these methodological limitations of the review: Not reporting the study designs for inclusion, using a partially comprehensive literature search strategy, not reporting a list of excluded studies with justifications, the source of funding for the included trials, not assessing risk of publication bias.

Note. Reviews are listed in the order of presentation in the main text. (I)RR = (incident) rate ratio; OR = odds ratio; CI = confidence interval.

from a low-dose (13-week) intervention with low adherence (47% attended 80% or more of the sessions) (Logghe et al., 2009).

Lomas-Vega et al. (2017) reported a similar assessment of highquality evidence from the included trials. However, they did note that there was a high risk of attrition bias in two trials and in the long-term follow-up of another. With this glowing report on the short-term effects of Tai Chi in terms of magnitude, consistency, and quality of the evidence, the overview authors were not as unfavorable with their assessment of the quality of evidence as with the Huang et al. review. They found the Lomas-Vega et al. review to be of "critically low" methodological quality. However, it ticked 11.5 out of 16 (72%) boxes on the AMSTAR 2 checklist. The deductions were for the same items as for Huang et al.'s review, except that the Lomas-Vega et al. review had a partial tick for a comprehensive literature search strategy. The risk of bias for the review was marked with an unhappy face. However, it ticked 24.5 out of 27 for reporting (PRISMA checklist) and was marked as providing high-grade evidence.

The overview authors' conclusions were in the main two points: future systematic reviews need to be better conducted in order to reduce the risk of bias (not risk of bias within the trials reviewed), and they found it impossible to draw conclusions as to the effectiveness of Tai Chi for preventing falls. However this was an overly cautious conclusion from an overly negative assessment of review quality, because the Huang et al. (2017) and Lomas-Vega et al. (2017) meta-analyses provide clear results with regard to the effectiveness of Tai Chi for preventing falls from high-quality evidence.

Evidence From the Current Cochrane Review: Community-Dwelling Older Adults

The current Cochrane review of exercise-based interventions for reducing falls among community-dwelling older adults was published by Sherrington et al. (2019). Overall, the authors found exercise to reduce the rate of falls by an average of 23% (RR = 0.77, 95% CI [0.71, 0.83], 59 trials, high-certainty evidence) and the number of people falling at least once by an average of 15% (RR = 0.85, 95% CI [0.81, 0.89], 63 trials, high-certainty evidence). There was no evidence of a difference in effect on both falls outcomes according to whether trials selected participants at increased risk of falling or not. In the subgroup analyses by type of exercise, they found that Tai Chi reduced falls by an average of 19% (RR = 0.81, 95% CI [0.67, 0.99], seven trials, low-certainty evidence) and the number of people falling at least once by 20% (RR = 0.80, 95% CI [0.70, 0.91], eight trials, high-certainty evidence). This was lower by 5% for rate of falls but higher by 7% for number of fallers than the effect seen in the most frequent type of exercise: balance and functional exercise with 24% reduction in falls (RR = 0.76, 95% CI [0.70, 0.81], 39 trials, high-certainty evidence) and 13% reduction in number of people falling at least once (RR = 0.87, 95% CI [0.82, 0.91], 37 trials, high-certainty evidence). However, one notices that many more studies have been conducted using balance and functional exercise. In addition, the certainty of evidence for rate of falls for Tai Chi trials was downgraded by two levels due to inconsistency (there was substantial heterogeneity; $l^2 = 74\%$) and risk of bias (removing studies with high risk of bias in one or more items had a marked impact on results).

The same authors conducted supplementary reviews to identify the characteristics of interventions that have larger effects on preventing falls. They found that exercise as a single intervention among community-dwelling older adults reduced falls by an average of 21% (88 trials) and by 39% (88 trials) if the intervention challenged balance and was of a dose of 3 hr or more of weekly exercise (Sherrington et al., 2017). They highlight that Tai Chi is one way of providing a safe means of challenging balance. Indeed, in another review paper of 108 trials, Tai Chi was highlighted as one of three types of intervention programs that are effective in reducing falls (on average delivered over 20 weeks, 71% group based, 7% tailored; Ng et al., 2019). Taken together, the 2019 Cochrane review and supplementary reviews of exercise as a single intervention among community-dwelling older adults found that Tai Chi is among the most effective strategies for preventing falls. This was despite relatively fewer trials having been published on the effectiveness of Tai Chi for preventing falls and lower-certainty evidence in terms of the rate of falls.

In comparing the current Cochrane and supplementary reviews with the aforementioned Huang et al. (2017) and Lomas-Vega et al. (2017) meta-analyses, there are similarities and dissimilarities (see Table 1). The Cochrane and supplementary reviews enable comparison of the effectiveness of Tai Chi relative to other exercisebased interventions, whereas the Huang and Lomas-Vega reviews provide more detailed analyses of Tai Chi in isolation. The Huang and Lomas-Vega reviews presented complementary results (the latter only reported rate of falls, but separated by length of followup), and so only the Huang et al. results are compared with Sherrington et al. (2019) for Tai Chi to reduce the number of people falling at least once and rate of falls. For the number of people falling at least once, both reviews found Tai Chi to be effective, with a pooled estimate of a 20% reduction (both IRR = 0.80; 95% CI [0.72, 0.88] Huang et al. and 95% CI [0.70, 0.91] Sherrington et al.). This result was despite the Huang et al. metaanalysis including double the number of trials in Sherrington et al. (16 to 8). For the rate of falls, while both reviews found Tai Chi to be effective, they differed in the estimated pooled effect size (31%)

vs. 19%: Huang et al. IRR = 0.69; 95% CI [0.60, 0.80]; Sherrington et al. IRR = 0.81, 95% CI [0.67, 0.99]). The review by Huang et al. included double the number of trials as Sherrington (15 to 7). It appears that Huang et al. included more trials than Sherrington et al. because they included studies that recruited participants at risk of falls (due to Parkinson's disease, frailty, or stroke). This means that the review by Sherrington et al. provides a clearer picture of the effect of Tai Chi for preventing falls among the general community-dwelling older adult population. Given that Huang et al.'s pooled estimate was higher, this suggests that Tai Chi may be more effective in reducing the rate of falls among older adults in the community at an increased risk of falling. While Huang et al. conducted a subgroup analysis that suggested that Tai Chi is more effective in reducing falls among those not at risk of falling at trial enrollment, it is unclear how they identified fall risk. Indeed, it is noted that patients who are at a higher risk of falls (due to Parkinson's disease, stroke, or institutional dwelling) were included in the group deemed not at risk. Therefore, whether Tai Chi is more or less effective in reducing the rate of falls among at-risk populations is a question for future investigation.

Evidence for Other Populations

While there is strong, high-quality evidence for exercise-based interventions, including Tai Chi, for preventing falls among community-dwelling older adults, the evidence for older adults residing in institutional settings is rather different. The current Cochrane review of interventions for reducing falls among older adults in care facilities and hospital settings was published by Cameron et al. (2018) (see Table 1). Overall, the authors found null results from very low-quality evidence in care facilities for exercise to reduce the rate of falls (RR = 0.93, 95% CI [0.72, 1.20], 10 trials, very low-quality evidence) or fallers (RR = 1.02, 95% CI [0.88, 1.18], 10 trials, lowquality evidence). Similarly, the authors found very low-quality evidence for additional physiotherapy on rehabilitation wards to have a null effect on the rate of falls (RR = 0.59, 95% CI [0.26, 1.34], two trials) but a potential effect on fallers (RR = 0.36, 95% CI [0.14,0.93], two trials). While no Tai Chi trials were conducted in hospitals, three trials were conducted in care facilities. Two trials reported null findings, although they were limited by low adherence (at 24%; Nowalk, Prendergast, Bayles, D'Amico, & Colvin, 2001) or shortterm follow-up with a small sample (12 weeks with 59 participants; Choi, Moon, & Song, 2005). The remaining study was a pilot with a small sample that showed promise for the benefits of Tai Chi (Saravanakumar, Higgins, van der Riet, Marquez, & Sibbritt, 2014).

Therefore, at present, there is no evidence for Tai Chi for preventing falls in long-term care facilities, and there is an absence of evidence for hospital settings. Perhaps the null findings to date with older adults residing in institutional settings reflect the high level of frailty in these populations. It has previously been reported that Tai Chi may be of less benefit to frailer older adults (Liu & Frank, 2010). As with exercise in general, if Tai Chi has to be modified to allow those with poor balance to participate by making it seated or removing the need to transfer weight between legs, then it may no longer be beneficial in preventing falls (Skelton & Mavroeidi, 2018). Nonetheless, a systematic review of trials with older adults who are frail or at risk of falls found Tai Chi to be effective for preventing falls (absolute risk reduction = -0.10, 95% CI [-0.06, -0.15], 13 trials; Del-Pino-Casado et al., 2016). Another systematic review of Tai Chi found that it prevents falls among people with Parkinson's disease (odds ratio [OR] = 0.47,95% CI [0.29, 0.77], three trials) and among those who have experienced a stroke (OR = 0.21, 95% CI [0.09, 0.48], one trial) (Winser et al., 2018) (see Table 1).

The Evidence for Tai Chi for Preventing Falls Relative to Other Exercise Interventions

It is believed that the mechanism by which exercise interventions prevent falls is by increasing standing and dynamic balance, strength and power, and coordination (Skelton & Mavroeidi, 2018). While previous reviews have highlighted that there is more than one way to achieve these objectives (Ng et al., 2019; Sherrington et al., 2017), few studies have directly compared whether some exercise interventions are more effective than others in preventing falls. The Cochrane review of exercise-based interventions for preventing falls among community-dwelling older adults found little evidence for head-to-head comparisons of types of interventions. The authors reported no meta-analyses and low-certainty evidence (Sherrington et al., 2019).

The earliest trial to compare Tai Chi with another exercise intervention was published in the 1990s. Compared with computerized balance training, Tai Chi was found to have reduced time to first fall, which could be due to several benefits measured (grip strength, systolic blood pressure, fear of falling, perceived ability to do all that they would like to do, and distance walked in 12 min; Wolf et al., 1996). A trial reported no benefit of Tai Chi on reducing falls relative to resistance exercise or usual care, but this study was underpowered for detecting a lower rate of falls relative to the resistance exercise (-15%) or usual care groups (-27%) (Woo, Hong, Lau, & Lynn, 2007). Other trials have reported similar benefits on several measures of physiological falls risk between Tai Chi and otago exercise (Son, Ryu, Jeong, Jang, & Kim, 2016) and between Tai Chi, yoga, and balance training (Ni et al., 2014). Another trial reported a greater reduction in falls and use of drug therapy among those with early or mild Parkinson's disease relative to generic exercise (aerobic, dance, and treadmill training; Li, Liu, Dai, & Dai, 2020). Furthermore, a trial in Canada found Tai Chi to have a 26% greater reduction in falls than physiotherapy with frail older adults from the community (Tousignant et al., 2012). The only difference they found to explain the greater reduction of falls from Tai Chi was that the physiotherapy group had a reduction in general self-efficacy.

What is illuminating in the few studies that have performed a head-to-head comparison of Tai Chi with another exercise intervention is two studies that included a measure of cognition. A recent trial with older adults at high risk of falling compared Tai Chi with multimodal exercise and a stretching control arm. While both exercise interventions reduced falls relative to the control arm, the Tai Chi intervention had a greater effect size and a 31% (IRR = 0.69, 95% CI [0.52, 0.94]) greater reduction in falls compared with the multimodal exercise arm at 6 months (Li et al., 2018). Further, at the 12-month follow-up, the Tai Chi group had a 53% (IRR = 0.47, 95% CI [0.24, 0.92]) greater reduction in serious injurious falls compared with the multimodal exercise group (Li, Harmer, Eckstrom, et al., 2019). Their analysis of secondary outcomes suggested that the superiority of Tai Chi was due to its greater ability, relative to multimodal exercise, to increase dual-task walking (Li, Harmer, & Chou, 2019).

A trial in Taiwan compared home-based Tai Chi with lowerextremity training among older adults that attended an accident emergency department with a fall-related injury within the past 6 months (Hwang et al., 2016). The Tai Chi group had a 68% (IRR = 0.32, 95% CI [0.14, 0.71]) greater reduction in falls compared with the lower-extremity training group over the 18-month study. The authors' analysis found that the Tai Chi group improved cognition and, in particular, suggested that its effect on executive functioning was key (Hwang et al., 2016).

Therefore, there is little evidence to draw from as to whether Tai Chi is superior to other exercise interventions for preventing falls, and further research is required into the mechanisms by which Tai Chi improves health (Hackney & Wolf, 2014). Nonetheless, there is emerging evidence that Tai Chi may be more effective because it is better able to improve cognition among older adults. This could mean that Tai Chi would be particularly beneficial among older adults with a cognitive impairment such as dementia. Only one randomized controlled trial to date has been published testing the benefits of Tai Chi with community-dwelling older adults with dementia (Nyman et al., 2019). Although the study was not powered to test for a reduction in falls, it found strong promise for Tai Chi to reduce falls and maintain quality of life among people with dementia. Surprisingly, no benefits were identified on balance or cognition outcomes, although this may have been due to the low average adherence to home-based practice during the 20-week intervention (47%). The study also found that falls need to be recorded more intensively with older adults with dementia than with their peers without cognitive impairment (Adamczewska et al., 2019).

The Quality of the Evidence Base on the Health Benefits of Tai Chi in General

Given the multiple factors that may increase the risk of a fall by an older adult (Martin, 2011), of relevance is the potential for Tai Chi to alleviate the symptoms of other health conditions that may increase the risk of falling. Unfortunately, the quality of trials conducted on the benefits of Tai Chi for health more broadly (in other areas besides falls) has been widely criticized. While one umbrella review (overview of systematic reviews) did not include the quality of trials in their assessment of the evidence (Huston & McFarlane, 2016), another umbrella review that did relayed the concerns of the review authors with regard to the methodological limitations of trials and the insufficient number of studies (Solloway et al., 2016). Indeed, systematic review authors have reported low-quality evidence for studies concerned with the benefits of Tai Chi for rheumatoid arthritis (very low) (Mudano, Tugwell, Wells, & Singh, 2019), chronic obstructive pulmonary disease (very low to moderate) (Ngai, Jones, & Tam, 2016), and considerable heterogeneity between studies concerned with the prevention of cardiovascular disease (Hartley, Flowers, Lee, Ernst, & Rees, 2014). Review authors have thus not been able to draw firm conclusions as to the health benefits of Tai Chi. However, not every review has reported such concerns, with high-quality evidence reported for trials testing the benefits of Tai Chi on pain, stiffness, and function in patients with osteoarthritis (Yan et al., 2013).

A more recent scoping review of the benefits of Tai Chi for people with long-term health conditions separated the trials in favor and not in favor of Tai Chi (Easwaran et al., 2020). They found a trend for high-quality trials to have favorable results for Tai Chi. Of the 117 trials with favorable results, 84% were scored as being of moderate to high quality, whereas only 68% received such scores among the 101 trials with unfavorable results. The review authors reported highquality evidence to support the use of Tai Chi for patients on a range of outcomes with cancer, chronic obstructive pulmonary disease, heart failure, lower back pain, osteoarthritis, osteoporosis, Parkinson's disease, and stroke (Easwaran et al., 2020).

Therefore, overall, the evidence base for Tai Chi to improve health among various patient groups is limited by poor-quality evidence. Moving forward, one solution is more explicit reporting of the interventions used. One recommendation is the use of a tool that requires authors to document several aspects of interventions in order to enable greater transparency (Wu, MacDonald, & Pescatello, 2016).

Expected Adherence to Tai Chi Exercise Interventions

Previous reviews have synthesized the adherence data for exercise interventions for the prevention of falls for both class-based and home-based programs (McPhate, Simek, & Haines, 2013; Simek, McPhate, & Haines, 2012). For class-based interventions, four were Tai Chi trials, with a median number of sessions attended including dropouts of 74% (range 70–86%; Greenspan, Wolf, Kelley, & O'Grady, 2007; Voukelatos, Cumming, Lord, & Rissel, 2007; Wolf et al., 2003; Wu, Keyes, Callas, Ren, & Bookchin, 2010). This was no different from the overall pooled estimate of adherence for all 18 trials included in the review (74%, 95% CI [67, 80]; McPhate et al., 2013).

Below, I synthesize for the first time the adherence data from the Tai Chi trials included in the current Cochrane review of exercise interventions for preventing falls among community-dwelling older adults (Sherrington et al., 2019) (see Table 2). Adherence data from six Tai Chi trials included in the review were available, which indicated a median Tai Chi class attendance of three quarters from older adults (Li, Harmer, Fisher, & McAuley, 2004; Taylor et al., 2012; Voukelatos et al., 2007; Wolf et al., 2003; Woo et al., 2007): 76% (range: 63–81%) if excluded or 74% (range: 35–81%) if included a study that had adherence rates that were much lower compared with all the other studies with a median of 63% attendance in the first 24 weeks and only 35% over the full 48 weeks (Day et al., 2015). Other data suggest that 53% (range: 47.0-58.6%) of participants will attend at least 80% of the sessions (Logghe et al., 2009; Voukelatos et al., 2007) and that 79% (range: 78.8-80.0%) will attend at least 50% of the sessions (Li et al., 2004; Voukelatos et al., 2007). Although the Tai Chi exercise interventions were provided for a range of 16 weeks to 12 months, the adherence rates appear to be stable over time. Data suggest a median adherence rate of 71-81% from 16 weeks to 52 weeks and similar proportions (range: 78.8-80.0%) that attend at least 50% of sessions from 16 to 26 weeks. Of those that attend at least 80% of sessions, data were only available from 13 to 16 weeks. These adherence rates appear broadly similar to those previously reported for exercise interventions, from 83% at 2 months and decreasing over time to 73% at 12 months (Nyman & Victor, 2012).

For adherence to home-based exercise, a previous review suggested that the overall pooled estimate of adherence from all 23 trials was 21% (95% CI [15%, 29%], range: 0-68%), although a high degree of between-study heterogeneity was reported (Simek et al., 2012). For programs that included a Tai Chi exercise component, there was no significant difference in the adherence levels (whether in the primary or sensitivity analysis for both full [proportion at 100%] or partial adherence [proportion at 50%]). When a Tai Chi exercise component was combined with a group exercise component, falls prevention education, home hazard assessment, or other multifactorial intervention, then there was only one significant difference: a Tai Chi exercise component plus a group exercise component resulted in a significantly lower adherence level in the partial adherence primary analysis (OR = 0.49, 95% CI [0.25, 0.96]), which was no longer significant in the sensitivity analysis that excluded dropouts (Simek et al., 2012). However, these analyses were limited, because in two instances the home-based intervention was ancillary to the classbased component (Barnett, Smith, Lord, Williams, & Baumand, 2003)—for example, only requiring 30 min of home practice per week (Logghe et al., 2009). Indeed, the reviewers found that overall, partial adherence to home-based exercise was higher in programs without a class-based component (Simek et al., 2012). A

Author (date)	Length of intervention	Average class attendance	Notes
Voukelatos et al. (2007)	16 weeks	71%	60 min once a week
Taylor et al. (2012)	20 weeks	79% (IQR = 49–90%)	Weekly sessions
		72% (IQR = 44–88%)	Twice-weekly sessions
Li et al. (2004)	6 months	78.21%	61 out of 78 sessions, range 30-77
Day et al. (2015)	48 weeks	63% (median)	Mean $(SD) = 25.8$ (15.9) out of 48 in first 24 weeks
		35% (median)	Mean $(SD) = 34.4$ (26.9) out of 96 for the full 48 weeks
Wolf et al. (2003)	48 weeks	76% (SD = 19%)	60 min twice a week building up to 90 min twice a week for 48 weeks
Woo et al. (2007)	12 months	81%	Classes three times per week

 Table 2
 Adherence Data Reported by the Tai Chi Trials Included in the Current Cochrane Review of Exercise

 Interventions for Preventing Falls Among Community-Dwelling Older Adults (Sherrington et al., 2019)

Note. IQR = interquartile range.

further particular limitation of home-based exercise programs, is that frequency and type of exercise are only part of the equation when considering how much exercise one must do to gain the benefits; therefore, the above estimates are missing estimations of intensity and duration (Farlie, Ganz, & Haines, 2018).

In relation to adherence, it is notable that different types of programs have been tested. One trial reported similar median adherence rates to Tai Chi classes provided once (79%) or twice (72%) a week, and so was able to provide a higher dose of Tai Chi with two classes per week (Taylor et al., 2012). Another study found higher adherence levels to class and video conference–based Tai Chi relative to simply providing a DVD for home practice (Wu et al., 2010). Practitioners can also draw on research into behavior change techniques in order to promote adherence to exercise (Zubala et al., 2017) including people with dementia (Nyman, Adamczewska, & Howlett, 2018).

Practical Implications

Tai Chi can be highly effective for preventing falls. Tai Chi is a safe and accessible form of exercise. Indeed, over 500 trials and 120 systematic reviews have been conducted on the health benefits of Tai Chi and no studies have found that Tai Chi worsens a condition (Huston & McFarlane, 2016). In addition, a systematic review of adverse event reporting from Tai Chi trials found Tai Chi is unlikely to result in serious adverse events but may result in minor musculoskeletal aches and pains (Wayne, Berkowitz, Litrownik, Buring, & Yeh, 2014). For older adults with osteoporosis with vertebral fractures, it is recommended that they first receive advice on spine sparing techniques and that they avoid repetitive, weighted, or rapid end range flexion or extension of the spine (Skelton & Mavroeidi, 2018). Frailer older adults, those with a high falls risk or multiple comorbid conditions affecting balance or strength, are recommended to only practice Tai Chi under the supervision of an instructor trained in adapting and tailoring the exercise prescription (Skelton & Mavroeidi, 2018).

There is, however, a complication in the promotion of Tai Chi, which is the heterogeneity of Tai Chi practice. This concerns both styles of Tai Chi and styles of instructors. Styles of Tai Chi include Chen, Yang, Wu, Sun, and Hao, all of which have their own unique features and characteristics but share a common core set of principles. This means that it is possible that some styles of Tai Chi may be more effective for preventing falls than others. Indeed, the authors of one systematic review concluded that Yang style is likely to be more effective than Sun style Tai Chi (Huang et al., 2017). However, this was based on the evidence from three trials using Sun style. One trial found an effect at 24 weeks but not at 16 weeks, which was different from the number reported by the review authors (Voukelatos et al., 2007). Another trial that had a null effect that may be explained, as acknowledged by the trial authors, by insufficient intervention intensity, low class attendance, and attrition bias in the intervention group (Day et al., 2015). The final trial, as noted above, had a long-term follow-up, and because 60% of the sample continued with exercise after the interventions ceased, the similar reductions in fall rates across trial arms may have been the result of exercise conducted outside the interventions (Taylor et al., 2012). Further research could investigate whether certain features of particular styles of Tai Chi lend themselves to more effective prevention of falls. Though, the high level of overlap between styles, due to their common core, may give cause for a very small effect size between Tai Chi styles.

Of more importance than the style of Tai Chi is heterogeneity in styles of the instructors. Because Tai Chi originates in part from the martial arts, it does not have nationally recognized formal accreditation systems like other forms of professional training, such as for physiotherapy. Instructors have different levels of qualification and experience, different interpretations and emphases within styles, different teaching styles, and some may mix Tai Chi with other exercise, such as strength and balance training. While heterogeneity in the styles of the instructors is not unique to Tai Chi, it is a greater issue with Tai Chi interventions relative to exercise interventions delivered by physiotherapists and exercise instructors that are governed by national accreditation systems. Therefore, as with other interventions, Tai Chi exercise interventions used in future research will need to be manualized and standardized. Such standardization would need to be made widely available to the public to fully implement an evidence-based Tai Chi exercise intervention.

Conclusion

Tai Chi, the ancient Chinese traditional mind–body exercise, has attracted much research into its health benefits. Based on the foregoing evidence, one can conclude that Tai Chi is effective in preventing falls among older adults in the community and among various populations, including those at risk of falls, that have

Parkinson's disease, or that have experienced a stroke. However, as with exercise interventions in general, there is no clear evidence of benefit for preventing falls in care homes and an absence of evidence with regard to rehabilitation on hospital wards. The few studies that have compared Tai Chi with other forms of exercise suggest that Tai Chi may be more effective in providing cognitive benefits for older adults and therefore may be more effective in preventing falls through this mechanism. Unfortunately, much of the related research with other patient groups and other health outcomes has been limited by the low quality of trials. Tai Chi trials have attracted similar adherence rates of 71-81% compared to other exercise-based interventions for preventing falls. It is an inherently safe form of exercise and, therefore, can be promoted among older adults. However, heterogeneity in how Tai Chi is taught, with no formal standardization, means that until evidence-based interventions become widely available, the implementation of such evidence among populations will be variable.

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