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1 **Exploring the lignin catabolism potential of soil-derived lignocellulolytic microbial consortia**  
2 **by a gene-centric metagenomic approach**

3

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14

15 **ABSTRACT**

16 An exploration of the ligninolytic potential of lignocellulolytic microbial consortia can improve our  
17 understanding of the eco-enzymology of lignin conversion in nature. In this study, we aimed to  
18 detect enriched lignin-transforming enzymes on metagenomes from three soil-derived microbial  
19 consortia that were cultivated on “pre-digested” plant biomass (wheat straw - WS1-M, switchgrass -  
20 SG-M and corn stover - CS-M). Of 60 selected enzyme-encoding genes putatively involved in  
21 lignin catabolism, 20 genes were significantly abundant in WS1-M, CS-M and/or SG-M consortia  
22 compared with the initial forest soil inoculum metagenome (FS1). These genes could be involved in  
23 lignin oxidation (e.g. superoxide dismutases), oxidative stress responses (e.g. catalase/peroxidases),  
24 generation of protocatechuate (e.g. *vanAB* genes), catabolism of gentisate, catechol and 3-  
25 phenylpropionic acid (e.g. gentisate 1,2-dioxygenases, muconate cycloisomerases and *hcaAB*  
26 genes), the beta-ketoadipate pathway (e.g. *pcaIJ* genes) and tolerance to lignocellulose-derived  
27 inhibitors (e.g. thymidylate synthases). The taxonomic affiliation of 22 selected lignin-transforming  
28 enzymes from WS1-M and CS-M consortia metagenomes revealed that Pseudomonadaceae,  
29 Alcaligenaceae, Sphingomonadaceae, Caulobacteraceae, Comamonadaceae and Xanthomonadaceae  
30 are the key bacterial families in the catabolism of lignin. We sketched out a predictive “model”  
31 where each microbial population has the potential to metabolize an array of aromatic compounds  
32 through different pathways, suggesting that lignin catabolism can follow a “task division” strategy.  
33 Here, we have established an association between functions and taxonomy, allowing a better

34 understanding of lignin transformations in soil-derived lignocellulolytic microbial consortia, and  
35 pinpointing some bacterial taxa and catabolic genes as ligninolytic trait-markers.

36

37 **Keywords:** lignocellulose, lignin, metagenomics, microbial consortia, soil

38

## 39 INTRODUCTION

40 Agricultural residues are an abundant source of sugars used for production of commodity chemicals  
41 (e.g. biofuels). However, the high complexity of plant biomass decreases the saccharification (i.e.  
42 release of monosaccharides) rates in biorefineries [1]. This drawback has been attributed to the  
43 recalcitrant nature of plant cell walls and to strong linkages (e.g. ester linkage) between its main  
44 components (lignin, cellulose and xylan) [2]. Lignin is a highly heterogeneous aromatic polymer  
45 network formed via radical coupling reactions involving the three major monolignols: p-coumaryl,  
46 coniferyl, and sinapyl alcohol, linked by C–C and C–O bonds [3]. Delignification of agricultural  
47 residues could increase enzymatic access to plant polysaccharides, enhancing the saccharification  
48 rate [4]. Although lignin does not contain sugars, valorization of its aromatic constituents is a  
49 relevant topic for bioenergy, food and cosmetics industries [5, 6, 7]. Lignin mineralization requires  
50 two main steps: 1) extracellular lignin depolymerization (carried out mainly by peroxidases,  
51 multicopper oxidases and/or laccases) to yield mono, di and oligomers; and 2) ring fission of the  
52 resulting aromatic compounds. Lignin-derived aromatic compounds are metabolized intracellularly  
53 by different type of microbes with specific metabolic capabilities. Here, a niche partitioning of  
54 lignin-degrading microbes might be a common feature. During lignin catabolism, aromatic  
55 compounds are typically shunted through a number of reactions referred to as “funneling  
56 pathways”. Eventually, they converge on a couple of conserved “ring fission pathways” (e.g. beta-  
57 ketoadipate) where aromatic rings of the intermediate compounds (e.g. protocatechuate, gentisate  
58 and/or catechol) are cleaved. The subsequent catabolic products (e.g. pyruvate, acetyl-coA,  
59 oxaloacetate, fumarate and/or succinate) can enter the tricarboxylic acid cycle (TCA) to generate  
60 energy [8, 9, 10, 11, 12].

61 In terrestrial and aquatic ecosystems, different types of microbes transform lignin. These are  
62 typically white rot fungi (e.g. *Phanerochaete chrysosporium*), filamentous fungi, yeast, and bacteria  
63 mostly belonging to Actinobacteria (e.g. *Streptomyces*, *Arthrobacter* and *Rhodococcus* species) and  
64 Proteobacteria (e.g. *Pseudomonas*, *Sphingobium*, *Enterobacter* and *Sphingobacterium* species) [13,  
65 14]. Apparently, bacterial populations, instead of fungal ones, contribute significantly to  
66 degradation of native forms of lignin in forest soils and seawater [15, 16, 17]. However, scientific  
67 knowledge about the eco-enzymology (defined here as the study of enzymes and their role in

68 microbial interactions and the modification of surrounding environments) of lignin degradation is  
69 very limited. Here, we provide evidence that a complete catabolism of lignin and its derived  
70 aromatic compounds is a complex process carried out by microbial communities (and their  
71 enzymes) instead of a single species, similar to (hemi)cellulose bioconversion [16, 18].  
72 Lignocellulolytic microbial consortia have been constructed using the dilution-to-stimulation  
73 approach in which natural communities (e.g. from soils) are selected based on a unique carbon  
74 source (e.g. agricultural residues) [19]. This strategy allows enrichment of different microbial  
75 populations that have the capability to deconstruct plant polymers [20, 21]. In recent years, multi-  
76 omic strategies (i.e. metasecretomics and metagenomics) have been used to identify enzymatic  
77 mechanisms for polysaccharide degradation functioning in these lignocellulolytic microbial  
78 consortia [22, 23, 24, 25]. However, a comprehensive understanding of lignin transformation in  
79 these systems is still missing. Recently, Moraes et al. [18] explored the ligninolytic potential of a  
80 soil-derived microbial consortium cultivated on soluble lignin. This lignin was obtained after the  
81 acidification of black liquor generated from delignification of steam-exploded sugarcane bagasse.  
82 Other environments, such as mangrove sediments, redwood compost, decay wood and  
83 Mediterranean Sea, have also been used as initial inoculum to enrich lignin-adapted microbial  
84 populations [17, 26, 27, 28]. In these latter studies, bacterial 16S rRNA amplicon sequencing and  
85 shotgun metagenomic analyses were performed to evaluate the microbial composition and  
86 ligninolytic potential within the consortia. A metagenomic exploration on lignin-enriched and low  
87 diversity systems (i.e. lignocellulolytic microbial consortia) can help to improve our understanding  
88 of lignin catabolism in nature. These approaches can be useful to identify novel pathways, key  
89 microbes, genes and/or enzymes as ligninolytic trait-markers. In addition, such studies could inform  
90 further lignin valorization strategies.

91 In this study, we explored the lignin-transforming potential of three lignocellulolytic  
92 microbial consortia obtained from forest soil and cultivated on “pre-digested” plant biomass (wheat  
93 straw, switchgrass and corn stover) under aerobic and mesophilic conditions. We hypothesized that  
94 the use of biological-pretreated plant biomass can favour the presence of bacteria able to degrade  
95 recalcitrant plant polymers (e.g. lignin) [23]. In order to evaluate the ligninolytic potential of these  
96 engineered microbial consortia, we have used a metagenome “gene-centric” approach, selecting 60  
97 enzyme-encoding genes that are putatively involved in lignin depolymerization and metabolism of  
98 its derived aromatic compounds. Moreover, the taxonomic affiliation of some genes allowed us to  
99 link specific functions with particular taxa. Our results suggest the catabolism of lignin in these  
100 microbial systems is a specialized process in which each taxon has its own niche and can perform a  
101 specific job, following the “task division” strategy.

102

## 103 **MATERIALS AND METHODS**

### 104 **Construction of plant biomass-degrading microbial consortia**

105 The soil-derived lignocellulolytic microbial consortia were developed following a dilution-to-  
106 stimulation approach [23, 29]. Briefly, soil suspension was added to triplicate flasks containing  
107 mineral salt medium with 1 % of plant biomass, trace mineral and vitamin solutions. Flasks were  
108 incubated at 28°C in oxic conditions (with shaking at 150 rpm). Once systems reached high  
109 bacterial cell density (7–8 log cells/ml, between 5 and 6 days and determined by microscopical cell  
110 counting), aliquots of microbial suspension were transferred to fresh medium (diluted 1000 fold).  
111 These procedures were repeated ten times. Five different consortia were analyzed in this study: 1)  
112 cultivated on fresh and heat-treated wheat straw (10-RWS and 10-TWS; transfer 10) [22], and 2)  
113 cultivated on “once used” or “pre-digested” (highly recalcitrant) wheat straw, corn stover and  
114 switchgrass (WS1-M, CS-M and SG-M, respectively). The “pre-digested” substrates were obtained  
115 after a biological pretreatment with different soil-derived lignocellulolytic microbial consortia [23].

116

### 117 **Soil-derived lignocellulolytic microbial consortia metagenomes**

118 Total DNA from the lignocellulolytic microbial consortia (10-RWS, 10-TWS, WS1-M, CS-M and  
119 SG-M) was extracted using the UltraClean Microbial DNA Isolation Kit (MoBio Laboratories Inc.,  
120 Carlsbad, CA, USA). Metagenome sequencing was performed using the Illumina MiSeq v2 (2 x  
121 250 bp paired-end reads) at LGC Genomics (Berlin, Germany). Forest soil (initial inoculum)  
122 metagenome (FS1) was sequenced using the same platform [22, 23]. For the purpose of  
123 comparison, we have also used a dataset retrieved from a ligninolytic microbial consortium  
124 (denoted LigMet). This metagenome was sequenced using the Illumina HiSeq 2500 (2 x 100 bp  
125 paired-end reads) [18]. The total metagenomic information retrieved was approximately 136 Mb for  
126 FS1; 112 Mb for 10-RWS; 198 Mb for 10-TWS; 1.5 Gb for WS1-M; 1.6 Gb for SG-M; 1.8 Gb for  
127 CS-M; and 18 Gb for LigMet.

128

### 129 **Metagenomic analysis (“gene-centric” approach)**

130 All metagenomes (unassembled sequences reads) were uploaded to the MG-RAST v3.1.2 server  
131 [30]. Overlapping sequence pairs were matched, and non-overlapping reads retained as individual  
132 reads, after which dereplication was performed. Duplicate read-based inferred sequencing error  
133 estimation and quality trimming (phred score <20) used default settings. Gene predictions were  
134 done using the FragGeneScan software and subsequently the proteins were annotated based on  
135 BLASTX searches against the RefSeq and KEGG databases using an e-value cutoff of 1e-5, a

136 minimum alignment length of 50 amino acids and a minimum identity of 50%. Data from MG-  
137 RAST annotation were statistically analyzed using the STAMP package [31]. This software was  
138 used also to obtain correlation values of the taxonomic (genus level) and functional (KO level)  
139 profiles across the metagenomes. All metagenome datasets are publicly accessible on the MG-  
140 RAST server IDs 4547279.3 (10-TWS); 4547280.3 (10-RWS); 4547285.3 (FS1); 4790808.3  
141 (LigMet); 4579477.3 to 4579479.3 (CS-M); 4579485.3 to 4579487.3 (SG-M); 4579476.3,  
142 4579480.3 and 4579481.3 (WS1-M).

143

#### 144 **Selection and analysis of genes encoding lignin-metabolizing enzymes**

145 Based on an in-depth comprehensive bibliographic search [7, 9, 10, 11, 27, 32, 33, 34, 35], we have  
146 selected 60 enzyme-encoding genes (KO IDs) that could be involved in lignin depolymerization,  
147 oxidative stress response, catabolism of lignin-derived aromatic compounds (e.g. funneling and  
148 fission pathways) and tolerance to lignocellulose-derived inhibitors. For each enzyme, EC numbers,  
149 KO IDs, names and functions are shown in supplementary information Table S1. A matrix of read  
150 counts per gene in all the metagenomes was analyzed using the STAMP software [31]. To evaluate  
151 the relative abundance of reads per selected enzyme-encoding gene, the counts were normalized to  
152 hits, or unique matches, per million reads, thereby accounting for differences in metagenome sizes  
153 [36]. Heat maps were constructed in the web server Heatmapper using row Z score for each enzyme  
154 [37]. Differential abundance analysis was used to determine which lignin-metabolizing enzymes  
155 were highly enriched in WS1-M, CS-M and SG-M consortia compared with forest soil inoculum  
156 (FS1). This analysis was conducted using the R package DESeq2 with a Log Fold change > 1 [17,  
157 38]. Unassembled sequences reads (in FASTA format) belonging to the selected 60 lignin-  
158 metabolizing enzymes were extracted from WS1-M and CS-M using the MG-RAST webserver.  
159 The taxonomic assignment was performed using the Lowest Common Ancestor (LCA) algorithm  
160 within the Kaiju web server [39]. These sequences were also clustered at 97 and 99% of nucleotide  
161 identity using the CD-HIT software [40]. Using the taxonomic affiliation and read counts in each  
162 gene, we have built a PCA in the software R.

163

#### 164 **RESULTS AND DISCUSSION**

165 In this study, we aimed to unveil the ligninolytic potential of different soil-derived lignocellulolytic  
166 microbial consortia. For this purpose, we evaluated 60 catabolic genes that are putative involved in  
167 lignin depolymerization, oxidative stress response and catabolism of lignin-derived aromatic  
168 compounds (supplementary information Table S1). The selected gene list (i.e. KO IDs) could be a  
169 useful input to explore the lignin-degrading potential in other meta-omic surveys. Here, we have

170 used a metagenomic “gene-centric” approach, instead “genome-centric” approach, as this is known  
171 to be useful to evaluate gene differential abundance profiles and cause minimal disturbance with  
172 respect to representation of sequences within the abundant taxa [41]. Using relative abundance  
173 matrixes of annotated enzyme-encoding sequences (by KEGG Orthology database) and the R  
174 package DESeq2, we unveiled which enzymes were significant enriched in the lignocellulolytic  
175 microbial consortia compared to the initial soil inoculum. It is important to note that our study has a  
176 drawback inherent to any metagenomic study, and unfortunately we cannot claim enzymatic  
177 activity based on similarity and/or functional annotation of DNA sequences. However, our approach  
178 allowed us to link potential functions with particular taxa, improving our understanding of the eco-  
179 enzymology of the lignin transformation process in these engineered microbial systems.

180

### 181 **Clustering of lignocellulolytic microbial consortia based their taxonomic/functional profile**

182 In a previous study, we selected and characterized three soil-derived lignocellulolytic microbial  
183 consortia, denoted WS1-M (cultivated on wheat straw), CS-M (cultivated on corn stover) and SG-  
184 M (cultivated on switchgrass). These consortia were obtained using a new approach in which the  
185 plant biomass used to cultivate them was first partially degraded (“pre-digested”) by another  
186 lignocellulolytic microbial consortium [21, 23]. This strategy allows microbes to be selected with  
187 high capacities to degrade highly complex plant polysaccharides, as well as lignin. The  
188 compositional profile obtained by Fourier transform infrared (FTIR) spectroscopy showed that the  
189 “pre-digested” wheat straw contained approximately 16% lignin, while switchgrass and corn stover  
190 only 11% [23]. Previous metagenomic sequence annotation showed that bacteria, rather than fungal  
191 communities, dominate in the WS1-M, CS-M and SG-M consortia [23]. Here, based on a PCA  
192 using RefSeq (taxonomic) annotation profile, we observed that SG-M and CS-M consortia clustered  
193 together ( $R^2 > 0.88$ ) (Fig. 1a). A similar result was observed using the KEGG database (Fig. 1b).  
194 These findings were already reported in the previous study [23]. In terms of taxonomic  
195 composition, SG-M and CS-M are very similar, showing high abundance of sequences affiliated to  
196 species belonging to Pseudomonadaceae and Caulobacteraceae families. In contrast, Bacterioidetes  
197 species were preferentially selected in WS1-M [23]. Wheat straw-degrading consortia (WS1-M, 10-  
198 RWS and 10-TWS) showed a similar functional profile based on total KEGG annotation ( $R^2 > 0.75$ )  
199 (Fig. 1b). It is important to highlight that all consortia evaluated in this study were retrieved from  
200 the same forest soil inoculum, except LigMet. Interestingly, SG-M and CS-M showed the same  
201 functional profile when lignin-transforming enzymes were used to build the clustering ( $R^2 > 0.97$ ).  
202 However, this ligninolytic profile was highly dissimilar compared to the LigMet consortium and the  
203 forest soil inoculum (FS1 metagenome) (Fig. 1c). The consortium LigMet was retrieved from

204 agricultural tropical soils and cultivated on soluble lignin [18]. Thus, these conditions can explain  
205 its dissimilar taxonomic and functional profile compared with the other forest soil-derived  
206 lignocellulolytic microbial consortia. The results indicated that the substrates used to develop the  
207 consortia are key factors to shape the taxonomic and ligninolytic potential in these engineered  
208 microbial systems.

209

### 210 **Abundance of lignin-transforming enzyme-encoding genes**

211 Although the WS1-M consortium showed a different functional profile compared to CS-M and SG-  
212 M, some lignin-transforming enzymes were highly abundant in all three consortia ( $p \leq 0.05$ ). For  
213 instance, alcohol dehydrogenases, glutathione S-transferases (GST) and catalases (*katE*) (Fig. 2).  
214 Proteins involved in tolerance to lignocellulose-derived inhibitors (S-(hydroxymethyl)glutathione  
215 dehydrogenase - *frmA*) and catabolism of gentisate (gentisate 1,2-dioxygenase) were highly  
216 abundant in CS-M and SG-M compared to WS1-M. In contrast, a vanillate monooxygenase (*vanB*)  
217 was highly abundant in WS1-M compared to CS-M and SG-M. Some specialized types of GST  
218 (e.g. beta-etherase) are involved in lignin depolymerization by cleaving beta-aryl ether linkages  
219 [42]. Its high abundance in the consortia can be correlated with the number of gene copies in  
220 *Pseudomonas* species. For instance, 14 GST genes have been identified in *Pseudomonas putida*  
221 KT2440 [14]. However, not all GST proteins have beta-etherase activity and in-depth functional  
222 analysis of these sequences would be worthwhile. Enzyme-encoding genes putative involved in  
223 lignin depolymerization and/or oxidative stress responses (e.g. GST, *katG*, superoxide dismutase -  
224 SOD2, glutathione peroxidase and glycolate oxidase - *glcD*) were highly abundant on the three  
225 consortia (Fig. 2). Manganese superoxide dismutases from *Sphingobacterium* sp. T2 have been  
226 identified as novel lignin-oxidizing enzymes, which are able to solubilize organosolv and kraft  
227 lignin to generate a mixture of polymeric and monocyclic aromatic products [43]. Our results  
228 showed that GST, *glcD* and (S)-2-hydroxy-acid oxidase (HAO) were also abundant in the forest soil  
229 inoculum (Fig. 3). In particular, *glcD* and HAO could be accessory enzymes for lignin degradation,  
230 by generating hydrogen peroxide for peroxidase enzymes, and potentially detoxifying aldehyde by-  
231 products [44]. These findings can be an indication of the large enzymatic potential to breakdown  
232 lignin in the soil-derived consortia. In fact, WS1-M, CS-M and SG-M showed values of lignin  
233 degradation of  $25.3 \pm 1.8\%$ ,  $24.7 \pm 1.2\%$  and  $58.6 \pm 1.0\%$  over 6 days, respectively, obtained by  
234 FTIR spectroscopy [23]. The high value observed on SG-M can be explained by the lower lignin  
235 recalcitrance in switchgrass, compared to wheat straw and corn stover. Similarly, Wang et al. [45]  
236 have reported a bacterial consortium that could break down 60.9% of lignin in reeds (i.e. grass-like  
237 plants of wetlands) over 15 days.



238 Unfortunately, multicopper oxidases and dye-decolorizing peroxidases (DyPs) (e.g.  
239 K15733; EC: 1.11.1.19) involved in bacterial lignin oxidation processes were not identified in the  
240 metagenomic annotation, suggesting a low copy numbers within bacterial genomes and/or due to  
241 the relative low number of representative sequences available in the KEGG database. In fact, only  
242 three genes putative encoding for DyPs has been found in *P. fluorescens* [46] and around 100 DyPs  
243 sequences from bacterial origin are available in a specialized database  
244 (<http://peroxibase.toulouse.inra.fr/>) [14]. As was mentioned, the extracellular depolymerization of  
245 lignin releases a mixture of aromatic monomers that can be converted into metabolic intermediates  
246 via catechol and protocatechuate pathways. These routes can be divided in three blocks: 1) the  
247 branch of catechol intermediate (ortho-cleavage), which involves the following enzyme-encoding  
248 genes: *catA*, *catB* and *catC*; 2) the branch of protocatechuate (meta-cleavage), which involves  
249 *pcaG*, *pcaH*, *pcaB* and *pcaC*; and finally, 3) the reactions common for both branches, catalyzed by  
250 *pcaD*, *pcaI*, *pcaJ* and *pcaF* [11, 18]. In this regard, we found that the metagenomes from the  
251 consortia 10-RWS and 10-TWS contained a high abundance of *pca* genes (e.g. *pcaBCGHIJ*)  
252 compared with the other consortia (Fig. 3), suggesting a high proportion of low molecular weight  
253 aromatic compounds in these systems. These microbial consortia were cultivated on untreated and  
254 heat-treated wheat straw [22], where lignin proportion is higher, but probably with lower  
255 recalcitrance compared to substrates used on the selection of WS1-M, SG-M and CS-M. In a recent  
256 proteomic-based study, Park et al. [47] demonstrated that *P. putida* KT2440 significant induces the  
257 production of *pca*-derived proteins after growth on plant-derived lignolysate.

258

### 259 **Differential and significant enrichment of lignin-transforming enzyme-encoding genes**

260 Using the abundance values of the 60 selected catabolic genes in each metagenome and DESeq2  
261 package, we identified genes that were significantly abundant in WS1-M, CS-M and/or SG-M  
262 compared with the initial forest soil inoculum (FS1 metagenome) (Fig. 3). Moreover, in Fig. 4, we  
263 present a scatter plot that shows the most highly abundant genes in each microbial consortium  
264 compared with FS1. Based on the results, we found that 20 genes (~33%) were highly abundant  
265 ( $p_{adj}\text{-value} \leq 0.05$ , Wald test; and  $\text{Log}_2 \text{FC} \geq 1$ ) on WS1-M, CS-M and/or SG-M compared to FS1  
266 (Fig. 3). These genes could be involved in oxidative stress response (e.g. *katE*), lignin  
267 depolymerization/oxidation (e.g. superoxide dismutase - SOD1), generation of  
268 protocatechuate/gallate (e.g. vanillate monooxygenases - *vanAB* and methylenetetrahydrofolate  
269 reductase - *metF*), catabolism of catechol (e.g. muconate cycloisomerase - *catB* and muconolactone  
270 D-isomerase - *catC*), gentisate (e.g. gentisate 1,2-dioxygenase) and 3-phenylpropionic acid (e.g. *hca*  
271 genes). Additionally, genes involved in the beta-ketoadipate pathway (*pcaI* and *pcaJ*), thymidylate

272 synthases (*thyA*) and aryl-alcohol dehydrogenases (K00055) were also highly abundant compared  
273 to FS1. Interesting, the *pca* genes in *P. putida* A514 are upregulated in response to lignin [48] and  
274 thymidylate synthases conferred tolerance to lignin monomers such as furfural, ferulic acid, vanillic  
275 acid and syringic acid [49]. The *vanA*, *vanB*, *katE*, *catC* and *metF* genes were highly abundant in  
276 the LigMet consortia. In addition, *catC* was highly abundant in the 10-RWS metagenome (Fig. 3).  
277 In particular, the O-demethylation of vanillate is catalyzed by the operon *ligM-metF-ligH*. This  
278 process can generate catecholic compounds, protocatechuate and gallate. However, the O-  
279 demethylation steps are also important for the production of 5-methyl-H<sub>4</sub>folate, which is a C<sub>1</sub>-  
280 H<sub>4</sub>folate derivative in one-carbon (C1) metabolism [32]. Moreover, Ceballos et al. [27] have  
281 developed a lignin-degrading microbial consortium under high-solids and thermophilic conditions.  
282 In terms of enzyme-encoding genes that were identified by a predictive metagenomic approach,  
283 they found an enrichment of *vanA*, protocatechuate 3,4-dioxygenase (*pcaG*), catechol 1,2-  
284 dioxygenase (*catA*), catechol 2,3-dioxygenase (*dmpB*), *catB* and aryl-alcohol dehydrogenase. In a  
285 study reported by Carlos et al. [50], eight filter paper and wood chips-degrading microbial consortia  
286 were “perturbed” in alkali lignin as the sole carbon source. They found a significant enrichment of  
287 enzyme-encoding genes involved in catechol ortho-cleavage, especially *catA* that cleaves the bond  
288 between the phenolic hydroxyl groups of catechol generating *cis*, *cis*-muconic acid. These type of  
289 catabolic genes (i.e. *catA*), and other genes involved in the phenylacetyl-CoA pathway, were highly  
290 abundant on a lignin-adapted consortia retrieved from Eastern Mediterranean seawater [17].  
291 Although *catA* and *dmpB* were not significantly enriched in WS1-M, CS-M and/or SG-M compared  
292 to FS1, they were highly abundant in the LigMet and 10-RWS consortia, suggesting that they could  
293 be key genes involved in lignin transformation through catechol catabolism. Notably, the gene  
294 *dmpB* (catechol 2,3-dioxygenase) has been found in a plasmid from a phenol-metabolizing  
295 *Pseudomonas* strain CF600. This enzyme catalyses the conversion of catechol to 2-hydroxymuconic  
296 semialdehyde [51]. Moreover, alcohol dehydrogenases were highly abundant in the three consortia  
297 (e.g. *adh*) (Fig. 2) and one type of aryl-alcohol dehydrogenases was significant abundant in CS-M  
298 and SG-M compared to FS1 (Fig. 3). We suggest that these two proteins could be involved in  
299 oxidation of low molecular weight alcohols (e.g. coniferyl alcohol) that can be released after lignin  
300 depolymerization/oxidation [32, 52]. However, an in-depth functional analysis may be required to  
301 support this statement.

302

### 303 **Taxonomic affiliation of lignin-transforming enzyme-encoding genes**

304 From the 60-ligninolytic genes selected, 22 were used for the taxonomic affiliation, in the WS1-M  
305 and CS-M metagenomes, using the LCA algorithm (Fig. 5). We have selected these consortia due to

306 two main reasons: 1) CS-M and SG-M were highly similar between them and 2) we wanted to  
307 explore the consortia cultivated on “pre-digested” agricultural residues, instead of switchgrass.  
308 Otherwise, enzyme-encoding gene selection was done taking in account three parameters: 1) genes  
309 that contained more than 20 assigned metagenomic reads; 2) genes that were differentially enriched  
310 compared to FS1 (Fig. 3); and 3) genes that are putative involved in extracellular depolymerization  
311 of lignin and the catabolism of different aromatic intermediates (e.g. protocatechuate, gallate,  
312 gentisate and catechol). Two genes putatively involved in oxidative stress response (*katG* and *katE*)  
313 and one in lignin oxidation (superoxide dismutase - SOD1) were selected. In a recent study, Rashid  
314 et al. [53] have found that extracellular MnSOD1 protein from *Sphingobacterium* sp. require two  
315 mutations to have lignin demethylation activity. Apparently, these mutations are only found in  
316 Bacteroidetes phylum. In our two consortia, the SOD1-encoding genes were mostly affiliated to  
317 Pseudomonadaceae, Xanthomonadaceae, Alcaligenaceae and Caulobacteraceae. Thus, we are  
318 doubtful whether these proteins can have ligninolytic activity. Moreover, in order to obtain a proxy  
319 of sequence diversity within each enzyme-encoding gene, we have used values of operational  
320 functional units (OFUs) per thousands of reads. The OFUs values were obtained by clustering the  
321 sequences (with size average of 250 bp), affiliated within each catabolic gene, using a cutoff of  
322 >97% nucleotide sequence similarity. These analyses were carried out using the CD-HIT software  
323 (<http://weizhongli-lab.org/cd-hit/>) [40]. It is important to clarify that we did not assigned taxa to the  
324 predicted OFUs. The results of OFUs/thousands of reads showed that genes *katE* and *katG* were  
325 highly diverse in WS1-M and CS-M consortia ( $\geq 0.5$ ). This indicates that the metabolism of  
326 reactive oxygen species (by action of catalases/peroxidases), which could be correlated with lignin  
327 degradation, is a process where different taxa (mostly Pseudomonadaceae, Xanthomonadaceae,  
328 Alcaligenaceae, Caulobacteraceae, Flavobacteriaceae, Sphingobacteriaceae and  
329 Sphingomonadaceae species) can be involved (Fig. 5). In contrast, low values of sequence diversity  
330 in catabolic genes involved in intracellular conversion of lignin-derived aromatics compounds were  
331 observed ( $< 0.2$  OFUs/thousands of reads), except *metF* and *thyA*.

332 Recently, Moraes et al. [18] have developed a lignin-degrading microbial consortium  
333 composed of 355 bacterial types. Based on 16S rRNA amplicon sequencing data, they demonstrated  
334 that around 50% of the total consortium comprised *Achromobacter* (Alcaligenaceae family),  
335 *Paenarthrobacter* (novel Actinobacteria able to transform lignin), *Pseudaminobacter* and  
336 *Paenibacillus* species. These taxa were enriched in the consortium compared to sugarcane soil  
337 inoculum. Based on the metabolic functional profile unveiled by metagenomics, they showed that  
338 species from Actinobacteria and Proteobacteria contain putative novel enzyme-encoding genes  
339 involved in the metabolism of lignin. For instance, peroxidases and laccases were frequently found

340 in Actinobacteria. In contrast, both taxa have the potential to metabolize lignin-derived phenolic  
341 compounds. Moreover, Granja-Travez et al. [14] state that exist a high diversity of mechanism for  
342 lignin oxidation process in different bacteria and not just one class of enzyme carry out this function  
343 in all microbial systems. These observations suggest that lignin transformation is a process in which  
344 multiple microbes are involved. However, the intracellular catabolism of its derived aromatic  
345 compounds could be a specialized job, in which particular taxa can metabolize specific lignin-  
346 derived intermediates.

347         Based on the taxonomic affiliation of sequences affiliated to genes *hcaA1* (3-  
348 phenylpropionate/cinnamic acid dioxygenases) and *hcaB* (2,3-dihydroxy-2,3-  
349 dihydrophenylpropionate dehydrogenases), we suggest that, in both consortia, species from  
350 Caulobacteraceae, Alcaligenaceae and Enterobacteriaceae could be involved in the catabolism of 3-  
351 phenylpropionic acid (Fig. 5, Fig. 6 and supplementary information Fig. S1). There are two  
352 branches at the start the 3-phenylpropionate catabolic pathway: one branch is from phenylpropionic  
353 acid, which is dihydroxylated via the *hcaAB* genes to 2,3-dihydroxyphenylpropionic acid. In this  
354 regard, phenylpropionic acid has been found in anaerobic microbial systems treating lignocellulose  
355 [54, 55]. In this case, we hypothesized that 3-phenylpropionic acid could be generated from  
356 anaerobic lignin degradation processes, probably via dehydroxylation of hydroxycinnamic acids,  
357 occurring in the lignocellulolytic consortia (Fig. 6). Moreover, sequences assigned to catabolic  
358 genes *vanA*, *vanB*, *catC*, *catB*, *pcaB* (3-carboxy-*cis*, *cis*-muconate cycloisomerase), *benD-xyfL*  
359 (dihydroxycyclohexadiene carboxylate dehydrogenase) were mostly affiliated to  
360 Pseudomonadaceae (Fig. 5), suggesting that species within this family play key roles in the  
361 metabolism of protocatechuate, gallate and catechol in both consortia. Similarly, Carlos et al. [50]  
362 found an enrichment of genes involved in catechol ortho-cleavage that were mostly affiliated to  
363 *Pseudomonas* species in a lignin-adapted consortium.

364         Interestingly, the taxonomic assignment of sequences affiliated to the enzyme gentisate 1,2-  
365 dioxygenase shows that the catabolism of gentisate is a process where Caulobacteraceae species can  
366 play a unique and pivotal role, especially in CS-M consortium (Fig. 5b and supplementary  
367 information Fig. S1). DeAngelis et al. [15] have reported enrichments of Caulobacteraceae bacterial  
368 types in lignin-amended tropical soils compared to unamended ones. Similarly, Woo and Hazen  
369 [17] reported an increase of Caulobacteraceae species (along with others) in a seawater-derived  
370 ligninolytic microbial consortium, compared to xylan and unamended microcosms. In an  
371 outstanding study, Wilhelm et al. [16] using SIP microcosm-based experiments with <sup>13</sup>C-labeled  
372 lignin, coupled with shotgun metagenomics, have demonstrated that species from Caulobacteraceae  
373 and Comamonadaceae families are the most relevant microbes for lignin degradation on coniferous

374 forest soils across North America. They found that members of Caulobacteraceae family could  
375 degrade all three lignocellulosic polymers, providing new evidence for their importance in plant  
376 biomass degradation. In addition, some of these species contained genes predicted to encode the  
377 entire beta-ketoadipate pathway. It is reported that this pathway appears to be the most common  
378 route for aromatic metabolism in lignin-degrading bacteria. However, some lignin-degrading  
379 bacteria such as *Sphingobacterium* and *Paenibacillus* apparently lack these gene clusters [14]. In  
380 the current study, we observed that, based on taxonomic affiliation of sequences within the gene  
381 *pcaI* (3-oxoadipate CoA-transferase), the protocatechuate catabolism via beta-ketoadipate pathway  
382 appears to be carried out primarily by species from Pseudomonadaceae and Alcaligenaceae families  
383 (Fig. 5 and Fig. 6). Observing the taxonomic affiliation of sequences within the gene *desB* (gallate  
384 dioxygenase), we suggest that the catabolism of gallate could be performed mostly by Yersiniaceae  
385 species in WS1-M and Sphingomonadaceae species in CS-M. For catabolism of catechol, species  
386 affiliated to Pseudomonadaceae and Alcaligenaceae families appear to be key players (Fig. 5 and  
387 Fig. 6). However, we observed a high proportion of sequences within the gene *dmpB* that were  
388 affiliated to Actinobacteria, indicating that this taxon could play an important role as well within the  
389 catechol catabolism, especially in the consortium CS-M (Fig. 5b and supplementary information  
390 Fig. S1). Overall, these findings suggest that particular bacterial taxa could do specific functional  
391 jobs in each microbial consortium.

392 The genes *pcaB*, *pcaH*, *ligB*, *ligC*, *ligI* and *ligJ* (supplementary information Table S1) were  
393 not significantly enriched in WS1-M, CS-M and SG-M compared with FS1 (Fig. 3). However, their  
394 taxonomic affiliation shows that the catabolism of protocatechuate, by fission pathways (2,3-  
395 cleavage and 4,5-cleavage), is a process probably carried out by species belonging to the taxa  
396 Pseudomonadaceae, Alcaligenaceae, Actinobacteria, Sphingomonadaceae, Xanthomonadaceae and  
397 Comamonadaceae (Fig. 5 and Fig. 6). In a previously reported thermophilic lignin-degrading  
398 consortium, the family Xanthomonadaceae was highly selected along the enrichment, while species  
399 from family Alcaligenaceae survives in moderately lignin-rich environments [27]. In addition, Fang  
400 et al. [28] have reported that *Stenotrophomas* (Xanthomonadaceae member) is an abundant microbe  
401 in a consortium retrieved from decaying wood and cultivated in guaiacol and tree trimmings,  
402 suggesting that Xanthomonadaceae species could be associated with lignin-abundant environments.  
403 The *ligAB* genes are less common than the *pca* genes for protocatechuate degradation. They have  
404 been studied in *Sphingobium* SYK-6 (formerly known as *Sphingomonas*) [56]. Looking in detail the  
405 protocatechuate 4,5-cleavage fission pathway (Fig. 5), we observed that sequences from *ligB* were  
406 mostly affiliated to Sphingomonadaceae and Comamonadaceae in WS1-M. However, some  
407 sequences were affiliated to Actinobacteria in the consortia CS-M (Fig. 5). Regarding genes *ligI* and

408 *ligJ*, the data showed that sequences were mostly affiliated to Xanthomonadaceae in the consortium  
409 CS-M, whereas in WS1-M the sequences were mostly affiliated to Sphingomonadaceae and  
410 Comamonadaceae. Finally, we observed that Flavobacteriaceae family could be a key taxon in the  
411 WS1-M consortium, based on the high proportion of sequences of genes *katG*, *metF*, *pcaH* and  
412 *thyA*) affiliated to this family (Fig. 5 and supplementary information Fig. S1).

413

## 414 CONCLUSIONS

415 From examination of the results in this study, several conclusions can be made. Firstly, the SG-M  
416 and CS-M consortia are very similar, in terms of their taxonomical composition and ligninolytic  
417 gene profile, whereas the WS1-M consortium has different composition. Secondly, catabolic genes  
418 probably involved in lignin depolymerization or oxidative stress response (e.g. catalase/oxidases  
419 and superoxide dismutases) were highly abundant in the three consortia, showing high sequence  
420 diversity. In contrast, we found less sequence diversity within catabolic genes involved in the  
421 intracellular metabolism of aromatic compounds, suggesting that these processes could have a  
422 degree of specialization. In general terms, we propose that lignin transformation follows a “task  
423 division” strategy, similar to that found for the degradation of cellulose and hemicellulose [57].  
424 Thirdly, from the 60 enzyme-encoding genes involved in lignin catabolism, 20 were significant  
425 abundant in the three soil-derived lignocellulolytic consortia (WS1-M, CS-M and/or SG-M)  
426 compared to FS1, suggesting that these microbial communities have a huge potential to transform  
427 lignin. As a perspective, the use of spectroscopic analyses to reveal the molecular structure of lignin  
428 [58] will be very valuable to correlate gene relative abundances and taxonomy with specific lignin  
429 linkages in each plant biomass-degrading consortia. Moreover, based on the enrichment of some  
430 catabolic genes found in our study and the results reported in other lignin-adapted microbial  
431 consortia, we suggest that genes *vanA*, *pcaI*, *pcaJ*, *catA*, *catB*, *catC* and *dmpB* can be key gene-  
432 markers for lignin transformation, especially those involved in catechol and protocatechuate  
433 metabolism. Interestingly, *hca* genes involved in 3-phenylpropionic acid metabolism were  
434 significant abundant in the lignocellulolytic consortia compared to FS1. We suggest that this  
435 aromatic compound can be released from the anaerobic lignin depolymerization and subsequently  
436 metabolized toward the TCA. Moreover, we conclude that the presence, in high abundance, of  
437 bacterial species belonged to Pseudomonadaceae, Caulobacteraceae, Xanthomonadaceae,  
438 Alcaligenaceae and Comamonadaceae families could be a strong indication of the high potential to  
439 depolymerize lignin and metabolize its derived aromatic compounds in any microbial community.  
440 In this regard, we are in accordance with Wilhelm et al. [16] to state that the variation in lignin-  
441 degrading activity could be better explained by the catabolic gene content and community structure.

442 Fourthly, we conclude that species belonged to Pseudomonadaceae family can be the most relevant  
443 ligninolytic members in these microbial consortia. They have the potential to participate in lignin  
444 depolymerization and in the metabolism aromatic compounds through the beta-ketoadipate  
445 pathway. Our predictive “model” (Fig. 6) allowed us to hypothesize that some bacterial populations  
446 could have a specific functional role within the lignin catabolism. For instance, some members have  
447 broad metabolic capacities (e.g. Pseudomonadaceae), while other ones could act as a specialist  
448 doing the catabolism of specific aromatic compounds (e.g. Caulobacteraceae and  
449 Sphingomonadaceae). Finally, we propose that by linking function and taxonomy, our metagenomic  
450 exploration has allowed us to better understand the lignin degradation process on soil-derived  
451 lignocellulolytic microbial consortia.

452

### 453 **ACKNOWLEDGEMENTS**

454 We thank Nancy N. Nichols for relevant comments and text corrections. Additionally, thanks to  
455 Alejandro Reyes, Alejandro Caro-Quintero and Maryam Chaib De Mares for suggestions about the  
456 data analysis. We would like to thanks the Universidad de los Andes for funding through FAPA  
457 project.

458

### 459 **DECLARATIONS**

#### 460 **Funding**

461 This work was supported by the FAPA project (Number PR.3.2018.5287) obtained by Diego Javier  
462 Jiménez at Universidad de los Andes (Bogotá, Colombia), and BBSRC research grant  
463 BB/P01738X/1 obtained by TDHB.

464

#### 465 **Conflicts of interest/Competing interests**

466 The authors declare that they have no conflict of interest.

467

#### 468 **Ethics approval**

469 Not applicable

470

#### 471 **Consent to participate**

472 Not applicable

473

#### 474 **Consent for publication**

475 All authors read and approved the final manuscript.

476

477 **Availability of data and material**

478 Not applicable

479

480 **Code availability**

481 Not applicable

482

483 **Authors' contributions**

484 LDG carried out all bioinformatic and statistical analysis. TDHB helped in the data discussion and  
485 drafting the text. DJJ conceived the study and drafted the manuscript.

486

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640

## 641 **FIGURE LEGENDS**

642

643 **Figure 1. Taxonomic and functional clustering of lignocellulolytic microbial consortia based**  
644 **on metagenome annotation.** A) Clustering based on taxonomic assignment (RefSeq database) of  
645 annotated sequences at genus level. B) Clustering based on functional assignment (KEGG  
646 databases) of annotated sequences at KOs level. C) Functional clustering based on the 60 selected  
647 enzyme-encoding genes involved in transformation of lignin and its derived aromatic compounds.  
648 Black squares correspond to initial forest soil inoculum (FS1) metagenome used to build the  
649 consortia WS1-M, CS-M, SG-M, 10-RWS and 10-TWS.

650

651 **Figure 2. Number of normalized sequences (per million) that were annotated within the 60**  
652 **enzyme-encoding genes involved in transformation of lignin and its derived aromatic**  
653 **compounds.** The top 16 ligninolytic enzyme-encoding genes that were significantly abundant ( $p \leq$   
654  $0.05$ ) in WS1-M, CS-M or SG-M are shown at the bottom. Letters mean significant differences  
655 between them. *vanB* (vanillate monooxygenase, in bold) was significant abundant only in WS1-M;  
656 whereas aryl-alcohol dehydrogenase (in bold) was significant abundant only in CS-M.

657

658 **Figure 3. Heat map of normalized abundance values (Row Z-Score) obtained using the**  
659 **number of sequences annotated within the 60 enzyme-encoding genes involved in**  
660 **transformation of lignin and its derived aromatic compounds in each microbial consortium.**  
661 Genes differentially and significantly enriched ( $p_{\text{adj-value}} \leq 0.05$ , Wald test; and  $\text{Log}_2 \text{FC} \geq 1$ ) in  
662 WS1-M, CS-M and/or SG-M compared with FS1 are labelled in blue.

663

664 **Figure 4. Comparison of ligninolytic profiles (percentage of relative abundance of genes**  
665 **involved in lignin transformation) between the soil inoculum (FS1) and metagenomes from the**  
666 **microbial consortia.** Letters a to r indicate the most overrepresented gene functions in the observed  
667 metagenomes. In blue are the genes differentially and significantly abundant ( $p_{\text{adj-value}} \leq 0.05$ ,  
668 Wald test; and  $\text{Log}_2 \text{FC} \geq 1$ ) in WS1-M, CS-M and/or SG-M compared with FS1.

669

670 **Figure 5. Taxonomic affiliation, using the Lowest Common Ancestor Algorithm (LCA), of 22**  
671 **enzyme-encoding genes involved in transformation of lignin and its derived aromatic**  
672 **compounds in A) WS1-M and B) CS-M microbial consortia.** Data in top panel are normalized  
673 functional diversity values (OFUs - operational functional units/thousands of annotated reads)  
674 obtained by clustering the sequences in each gene at 97% (line) and 99% (dashed line) similarity.

675

676 **Figure 6. Schematic representation of transformation of lignin-derived aromatic compounds,**  
677 **showing genes/taxa (from WS1-M and CS-M microbial consortia) putatively involved in each**  
678 **catabolic pathway.** This figure was build based on a figure reported by Brink et al. [11] that shows  
679 a schematic distribution of the known pathways for aromatic catabolism currently indexed in the  
680 eLignin database. In this figure, the 22 selected catabolic genes selected for taxonomic assignment  
681 were plotted based on their function within these pathways (bold arrows). Coloured circles  
682 represent the taxa that could be involved in each catabolic step. The size of each circle is an  
683 estimation of the data obtained from Fig. 5 and supplementary information Fig. S1. Asterisks  
684 represent genes that were significant abundant in WS1-M and/or CS-M compared with FS1.  
685

#### 686 **SUPPLEMENTARY FIGURE AND TABLE LEGENDS**

687

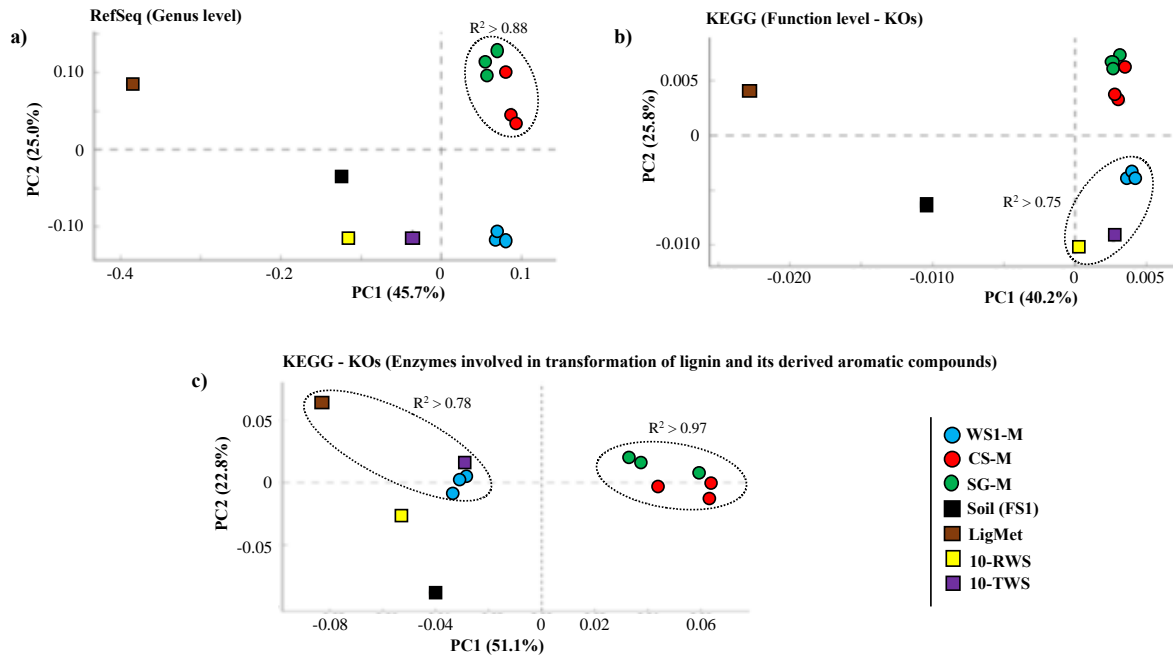
688 **Table S1. The 60 enzyme-encoding genes involved in transformation of lignin and its derived**  
689 **aromatic compounds.** Within the table, we showed the KOs number identifiers, gene or protein  
690 name, EC numbers and putative function or metabolic pathway within the lignin transformation  
691 processes.

692

693 **Figure S2. PCA using the abundance values and taxonomic affiliation of 22 selected**  
694 **ligninolytic catabolic genes in WS1-M (up) and CS-M (down).**

695

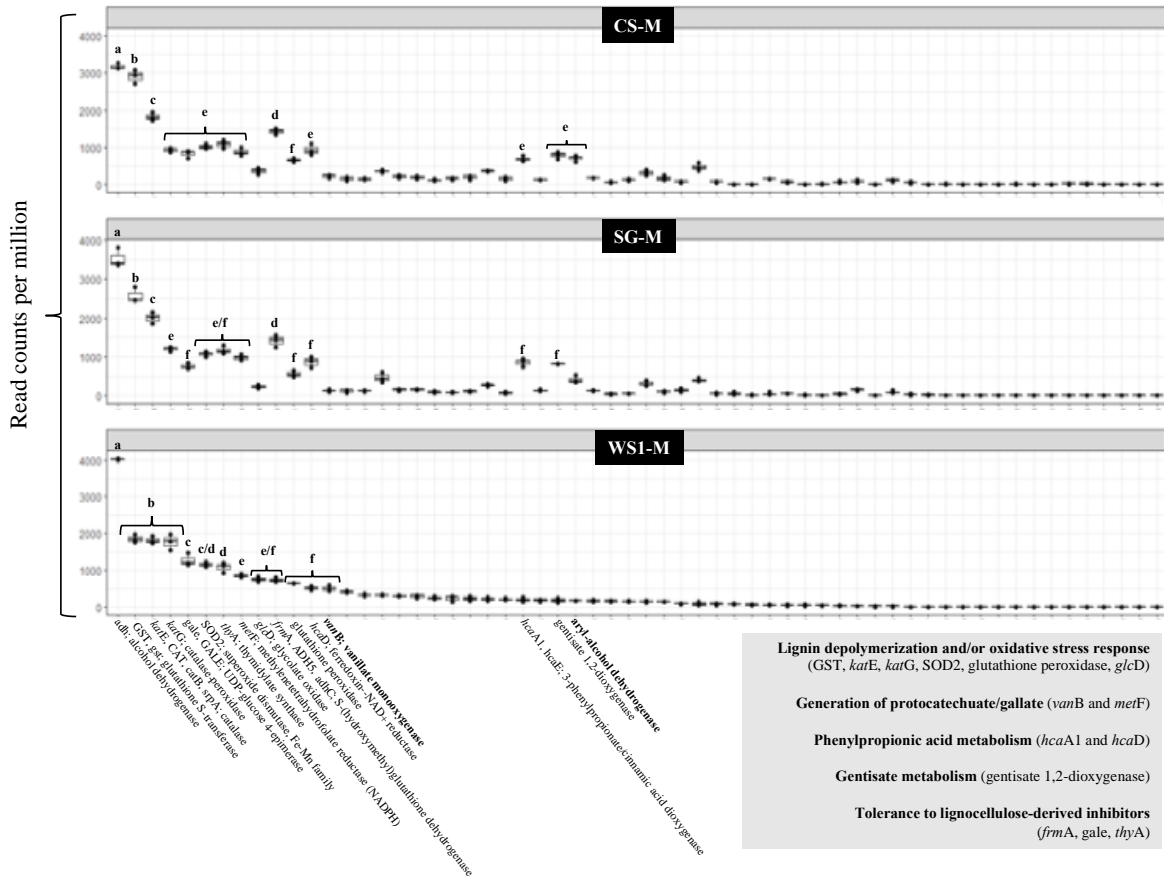
696



697

698 **Figure 1**

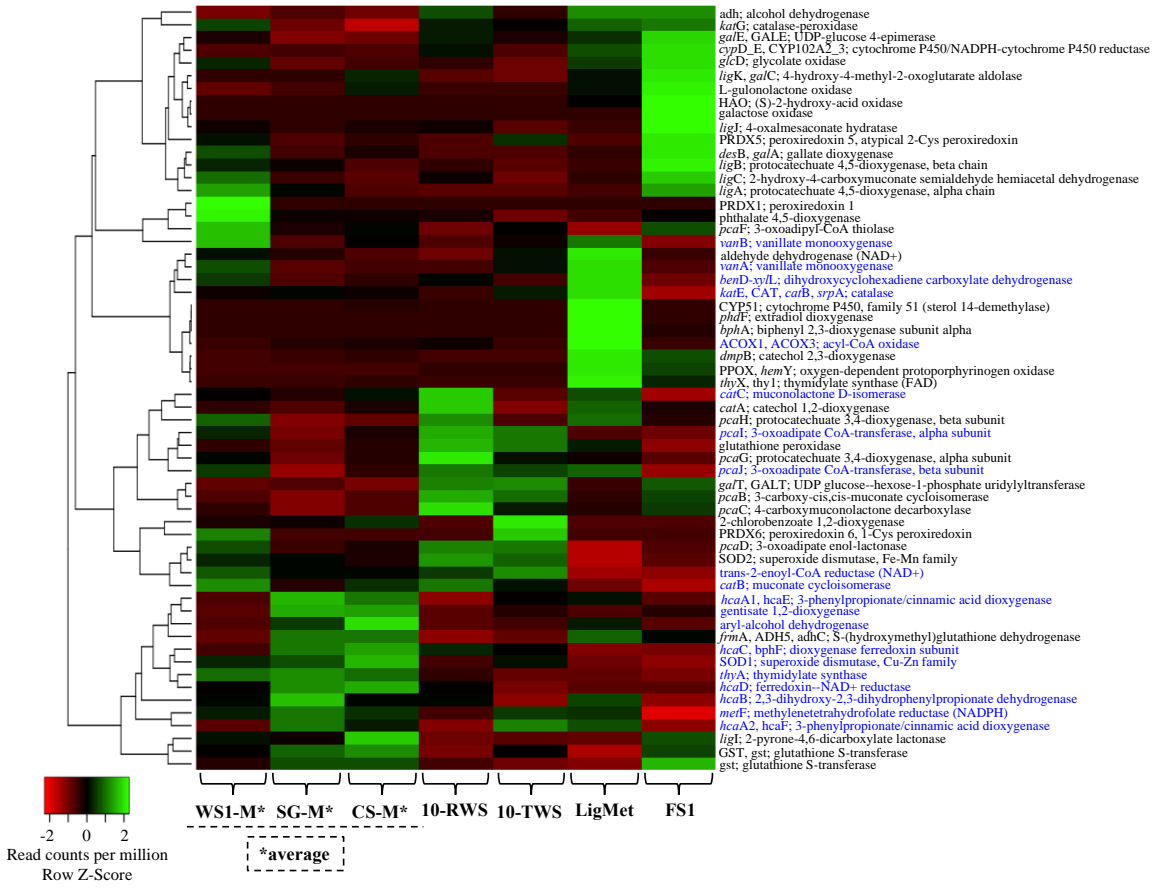
699



700  
701  
702

Figure 2



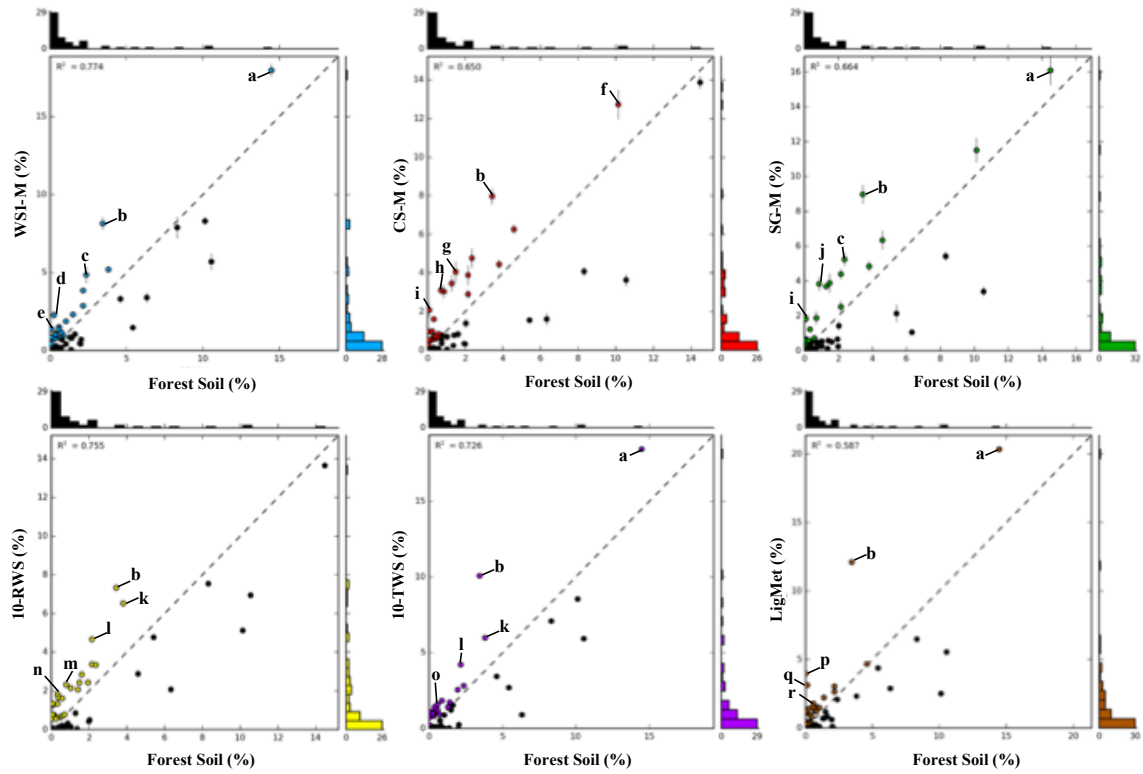


703

704

Figure 3

705

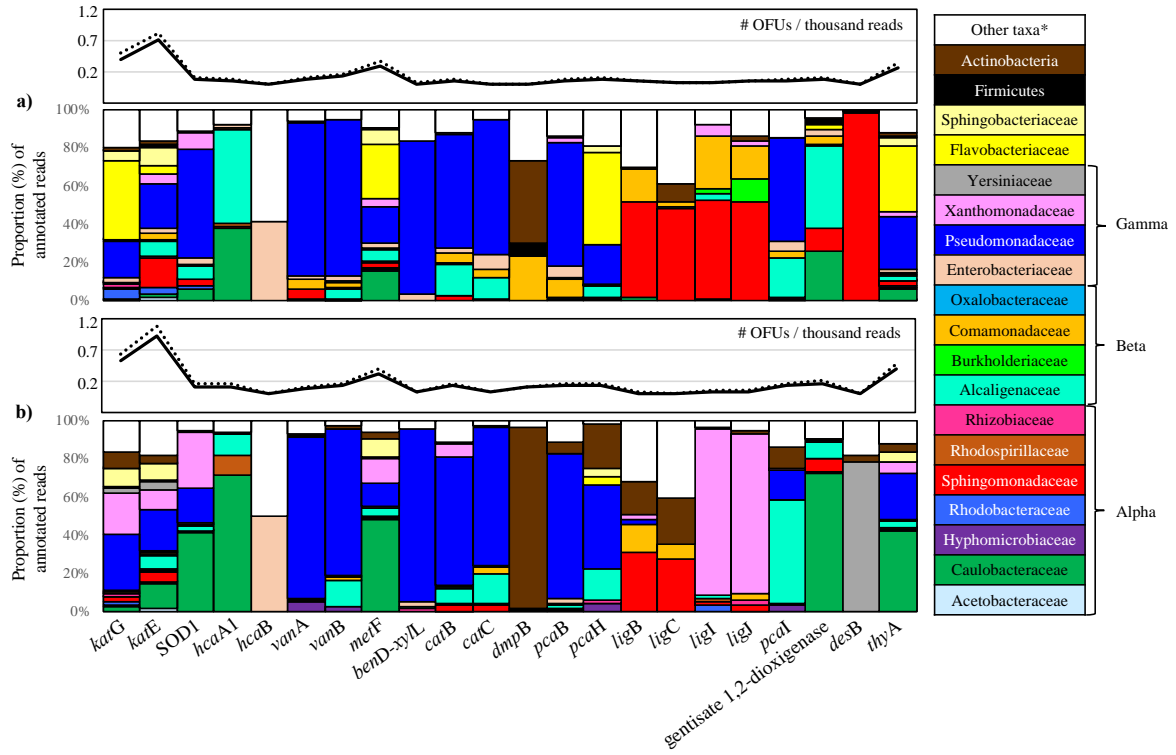


- a) aldehyde dehydrogenase (NAD+) [EC:1.2.1.3]  
b) *karE*, CAT, *catB*, *srpA*; catalase [EC:1.11.1.6]  
c) *thyA*; thymidylate synthase [EC:2.1.1.45]  
d) *vanB*; vanillate monoxygenase [EC:1.14.13.82]  
e) *catB*; muconate cycloisomerase [EC:5.5.1.11]  
f) GST, *gst*; glutathione S-transferase [EC:2.5.1.18]  
g) *hcaD*; ferredoxin-NAD+ reductase [EC:1.18.1.3]  
h) aryl-alcohol dehydrogenase [EC:1.1.1.90]  
i) *hcaC*, *bphF*; dioxygenase ferredoxin subunit  
j) *hcaA1*, *hcaE*; 3-phenylpropionate/cinnamic acid dioxygenase subunit alpha [EC:1.14.12.19]  
k) SOD2; superoxide dismutase, Fe-Mn family [EC:1.15.1.1]  
l) glutathione peroxidase [EC:1.11.1.9]  
m) *catA*; catechol 1,2-dioxygenase [EC:1.13.11.1]  
n) *pcaG*; protocatechuate 3,4-dioxygenase, alpha subunit [EC:1.13.11.3]  
o) *pcaD*; 3-oxoadipate enol-lactonase [EC:3.1.1.24]  
p) CYP51; cytochrome P450, family 51 (sterol 14-demethylase) [EC:1.14.13.70]  
q) ACOX1, ACOX3; acyl-CoA oxidase [EC:1.3.3.6]  
r) *vanA*; vanillate monoxygenase [EC:1.14.13.82]

706

707 **Figure 4**

708

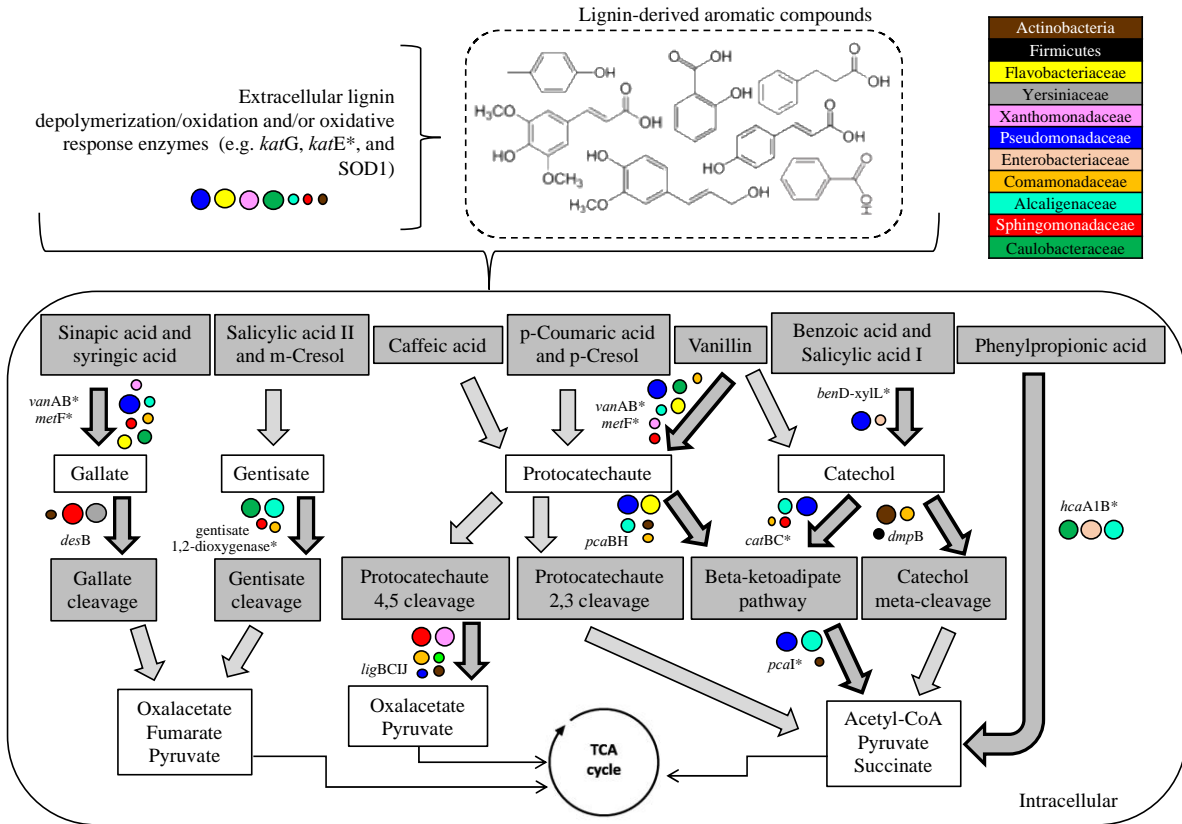


709

710 **Figure 5**

711

712



713

714 **Figure 6**