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# Investigating the impacts of non-acyl peroxy nitrates on the global composition of the troposphere using a 3-D chemical transport model, STOCHEM-CRI

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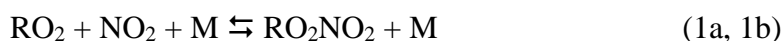
**Abstract:** Non-acyl peroxy nitrates,  $\text{RO}_2\text{NO}_2$ , act as a reservoir species for  $\text{NO}_x$  in the upper troposphere. The low thermal stability of these compounds means that they only become a significant sink of  $\text{NO}_x$  at the low temperatures observed in the upper troposphere. The chemical processes involved with the formation and degradation of methyl peroxy nitrate ( $\text{CH}_3\text{O}_2\text{NO}_2$ ) and an additional forty-four  $\text{RO}_2\text{NO}_2$  have been incorporated into the global 3-D chemical transport model, STOCHEM-CRI. The study investigates the effect of the addition of  $\text{RO}_2\text{NO}_2$  chemistry on the budget of  $\text{NO}_x$ , which in turn impacts the ozone, hydroxyl radical (OH) and nitrate radical ( $\text{NO}_3$ ) formation. This investigation found that the addition of  $\text{CH}_3\text{O}_2\text{NO}_2$  led to an increase in the tropospheric burdens of  $\text{NO}_x$  (+3.0%), ozone (+2.0%), OH (+4.0%) and  $\text{NO}_3$  (+8.8%). However, the other 44  $\text{RO}_2\text{NO}_2$  contribute a significant increment of tropospheric global burdens of  $\text{NO}_x$  (+4.4%), ozone (+3.4%), OH (+5.5%) and  $\text{NO}_3$  (+11.1%) with largest mixing ratios of  $\text{NO}_x$  up to 25%, ozone up to 14%, OH up to 20% and  $\text{NO}_3$  up to 50%. The increase in the global burden of oxidizing species like OH due to the addition of 44 other  $\text{RO}_2\text{NO}_2$ , led to a significant decrease in the lifetimes of greenhouse gases such as methane (~6%). The modelled mixing ratios of  $\text{CH}_3\text{O}_2\text{NO}_2$  were in reasonable agreement with measurements, the only extensive dataset available.

**KEYWORDS:** Upper troposphere; chemical transport model; surface distribution; zonal distribution; global budget; oxidation cycle; atmospheric life-time; global fluxes; greenhouse gases;

## 1. Introduction

Peroxy nitrates, either acyl ( $\text{RC}(\text{O})\text{O}_2\text{NO}_2$ ) or non-acyl ( $\text{RO}_2\text{NO}_2$ ), are the products formed from the series of reactions between volatile organic compounds (VOCs), hydrogen oxide radicals ( $\text{HO}_x$ ), and nitrogen oxide radicals ( $\text{NO}_x$ ).<sup>1-2</sup> Peroxy nitrates can be transported on regional scales, moving  $\text{NO}_x$  from source to receptor

regions and thus can affect the composition of the troposphere and climate by regulating the global budget of ozone and the Earth's oxidative capacity.<sup>3-7</sup> The thermal stability of RO<sub>2</sub>NO<sub>2</sub> is weak at 300 K, leading to short lifetimes e.g. less than a second for non-acyl peroxy nitrates such as CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and ~30 minutes for acyl peroxy nitrates such as peroxyacetyl nitrate (PAN, CH<sub>3</sub>CO<sub>3</sub>NO<sub>2</sub>, peroxyacetic nitric anhydride)<sup>8-9</sup> but increases at lower temperatures, more rapidly for acyl peroxy nitrates. Consequently, non-acyl peroxy nitrates (RO<sub>2</sub>NO<sub>2</sub>) may have little effect on the chemistry of the lower troposphere, but can have significant impact on the chemistry of the upper troposphere (as shown for CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> by Murphy et al.<sup>10</sup>; Browne et al.<sup>6</sup>), whereas acyl-peroxy nitrates have long been known to play a role in boundary layer photochemical pollution.<sup>11</sup> Indeed, non-acyl peroxy nitrates can have significant mixing ratios in cold regions of the atmosphere (e.g. upper troposphere).<sup>6,10,12-14</sup> They are formed from the following association reactions (1):<sup>15,16</sup>



The thermal decomposition in reaction (1b) is the main sink of RO<sub>2</sub>NO<sub>2</sub> in the lower troposphere, and is strongly dependent on temperature.<sup>13,17</sup> Thus, their lifetimes increase with increasing altitude, resulting in a build up to significant concentrations in the upper troposphere. In the upper troposphere, photolysis and reaction with OH are expected to be the dominant loss processes.

The short lifetimes of RO<sub>2</sub>NO<sub>2</sub> have several implications. The first being that at room temperature the lifetime of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> is shorter than 1 second, posing analytical challenges, particularly in aircraft sampling campaigns.<sup>14</sup> When air samples are collected, the warm interior of the aircraft results in substantial decomposition of RO<sub>2</sub>NO<sub>2</sub> making measurements of the compound difficult, as well as giving positive interference to NO<sub>2</sub> measurements.<sup>6,14</sup> The second implication is that RO<sub>2</sub>NO<sub>2</sub> species are only abundant at temperatures below about 240 K, so they can only act as a sink of NO<sub>x</sub> and RO<sub>2</sub> and act as a temporary reservoir species for NO<sub>x</sub> in the upper troposphere.<sup>10</sup> Even at these lower temperatures, they are important but short-lived sinks, existing for less than 24 hours due to thermal decomposition and reactions with OH radicals and photolysis.<sup>18</sup>

There are only two indirect and one direct set of measurement data available for the simplest RO<sub>2</sub>NO<sub>2</sub> species: methyl peroxy nitrate (CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>). The sum of peroxy nitric acid (HO<sub>2</sub>NO<sub>2</sub>) and CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> was estimated during the Tropospheric Ozone Production about the Spring Equinox (TOPSE) campaign by calculating the difference between total peroxy nitrate measurements and PANs.<sup>10</sup> This was estimated during the NASA Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) campaign by analyzing the temperature-dependent deviation of NO<sub>2</sub> observations from the photostationary state.<sup>6</sup> CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> was observed directly during the Deep Convective Clouds and Chemistry (DC-3) and the studies of Emissions and Atmospheric Composition, Clouds, and Climate Coupling by Regional Surveys (SEAC<sup>4</sup>RS) campaigns by direct detection of

CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> using thermal-dissociation laser-induced fluorescence of NO<sub>2</sub> (TD-LIF).<sup>14</sup> The difficulty of direct observation of RO<sub>2</sub>NO<sub>2</sub> in the atmosphere and the difficulty of isolation in the laboratory means that knowledge of the chemistry of RO<sub>2</sub>NO<sub>2</sub> is limited. This has led to difficulties in comparison of modelled and measured data in past campaigns, where modelling of the total sums of HO<sub>2</sub>NO<sub>2</sub> and CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> concentrations became inaccurate at higher mixing ratios due to errors in the photochemistry of HO<sub>2</sub>NO<sub>2</sub> and the thermal decomposition of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> in models.<sup>10</sup>

Modeling studies of the formation of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and their impacts on the global composition of the atmosphere is sparse and very limited. Using the upper tropospheric measurement data from the Pacific Exploratory Mission in the Western Pacific Ocean (PEM-West B), Thompson et al.<sup>19</sup> simulated an average mixing ratio of 27 ppt CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> at 10 km in the mid-latitudes (35-45°N) using a 1-D tropospheric chemical model. Steady-state modeling by Cantrell et al.<sup>20</sup> found an average mixing ratio of 70 ppt CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> at 40-60°N and 27 ppt at 60-85°N in the upper troposphere. The addition of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> chemistry to a global chemistry transport model, GEOS-Chem, showed measurable effects on the mixing ratios of NO<sub>x</sub>, ozone, HNO<sub>3</sub>, OH and HO<sub>2</sub>.<sup>6</sup> There have been no attempts to explore the effects of the chemistry of other RO<sub>2</sub>NO<sub>2</sub> species until now. In this study, we added the formation of other forty-four RO<sub>2</sub>NO<sub>2</sub> species into the STOCHEM-CRI model, along with their loss processes (thermal decomposition, photolysis and reaction with OH), to investigate the impact of these compounds on the NO<sub>x</sub> budget of the upper troposphere. This allowed the investigation of the impact of adding 44 other RO<sub>2</sub>NO<sub>2</sub> on the budgets of tropospheric species that are influenced by the NO<sub>x</sub> cycle, such as ozone, HO<sub>x</sub> and NO<sub>3</sub>.

## 2. Modelling

STOCHEM is a global tropospheric chemistry transport model, which adopts a Lagrangian approach. The Lagrangian approach uses 50,000 air parcels which, after each advection time step of 3 hours, are mapped to a 5° × 5° resolution grid with 9 vertical layers. These air parcels are advected by winds generated from the United Kingdom Meteorological office global circulation model (UM-GCM),<sup>21</sup> meaning that all trace gas species in the model are advected together, so the chemistry and transport processes can be uncoupled and the chemistry timesteps determined locally.<sup>22</sup> Archived meteorological data is generated at a resolution of 1.25° × 0.83° × 12 vertical levels. This includes winds but also pressure, temperature, humidity, cloud and precipitation; as well as tropopause heights and boundary-layer/surface parameters.<sup>23-24</sup> The meteorological parameterization of STOCHEM (e.g. vertical coordinate, advection scheme, boundary layer treatment, inter-parcel exchange and convective mixing) has been discussed in detail previously.<sup>22,25</sup> The convective transport scheme in STOCHEM has been evaluated against the observations of a short-lived tracer, <sup>222</sup>Rn.<sup>24</sup> The role of convection in determining the budget of odd hydrogen in the upper troposphere through the convection of isoprene and its carbonyl and hydroperoxide oxidation products has been reported elsewhere.<sup>26</sup>

The chemical mechanism used in STOCHEM is the ‘Common Representative Intermediates mechanism version 2.2 and reduction 5’ (CRI v2.2-R5). This is a reduced chemical scheme, which is required for atmospheric models due to computational limitations, and is achieved by reducing the complexity of the chemistry in the mechanism and by grouping emissions.<sup>27-28</sup> The current version, CRI v2.2-R5, was developed using the Master Chemical Mechanism version 3.3.1 (MCM 3.3.1), and a benchmark, reducing the number of species and reactions by 90%, and described in detail elsewhere.<sup>27-30</sup>

The additions of RO<sub>2</sub>NO<sub>2</sub> in the CRI mechanism are performed by adding their formation from the reaction of peroxy radicals (RO<sub>2</sub>) with nitrogen dioxide (NO<sub>2</sub>) (reaction (1a)) and their losses by thermal decomposition (reaction (1b)), and by reaction with OH radical and by photolysis. The details of the species and their formation and loss reactions can be found in the Supplementary Information (Tables S1-S3). The rate coefficients for the formation and decomposition of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and C<sub>2</sub>H<sub>5</sub>O<sub>2</sub>NO<sub>2</sub>, and generic rate coefficients applied to the formation and decomposition of other RO<sub>2</sub>NO<sub>2</sub>, are based on those recommended by Jenkin et al.<sup>9</sup>. There are no reported kinetic studies of the loss of RO<sub>2</sub>NO<sub>2</sub> by reaction with OH, and the same rate coefficients currently applied to the corresponding alkyl nitrates (RONO<sub>2</sub>) species in CRI were therefore used, i.e. it is assumed that the deactivating effects of -ONO<sub>2</sub> and -OONO<sub>2</sub> groups on OH reactivity are approximately the same, this being consistent with the approach applied in the Structure Activity Relationship (SAR) of Jenkin et al.<sup>31</sup>. The reaction products are simplified by assuming efficient release of NO<sub>x</sub> (via NO<sub>3</sub>) (see Table S2).

The rate of photolysis of a given species is calculated using the following integral

$$J_A = \int_0^{\infty} F(\lambda) \sigma_A(\lambda) \phi_A(\lambda) d(\lambda)$$

Where  $J_A$  is the photolysis rate of a given compound ‘A’,  $F(\lambda)$  is the spherically integrated actinic flux at a given wavelength,  $\sigma_A(\lambda)$  is the cross-section of compound A at a given wavelength, and  $\phi_A(\lambda)$  is the quantum yield for dissociation of A at a given wavelength. The cross section and quantum yields are taken from the recommendations of either the Jet Propulsion Laboratory (JPL) kinetic evaluation reports<sup>32,33</sup> or the International Union of Pure and Applied Chemistry data evaluation (IUPAC; <http://iupac.pole-ether.fr>). According to IUPAC, the cross-sections of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> can be used as for HO<sub>2</sub>NO<sub>2</sub> at wavelengths above 290 nm. The same photolysis parameters were therefore used for all RO<sub>2</sub>NO<sub>2</sub>. Based on the IUPAC recommendations for HO<sub>2</sub>NO<sub>2</sub> at 248 nm, quantum yields of 0.59 and 0.41 were assigned to two photolysis product channels, forming RO<sub>2</sub> + NO<sub>2</sub> and RO + NO<sub>3</sub>, respectively (see Table S3). The photolysis rates for each reaction in the model are calculated for each air parcel at a time resolution of one hour. These rates are then linearly interpolated with respect to time, yielding 5-minute resolution values, which are used in the chemical integration.

Emissions in the STOCHEM-CRI model are divided into three sub-sections: surface emissions, stratospheric sources and 3-D emissions. Surface emissions (e.g.

emissions from anthropogenic activity, biomass burning, oceans, soils etc.) are added using monthly 2-D source maps at a resolution of  $5^\circ \times 5^\circ$ .<sup>34</sup> This is large enough to give an average cell occupancy in the mid-latitudes (approximately two Lagrangian cells per grid square when within the boundary layer) but is too coarse to resolve individual pollution centres.<sup>35</sup> The 1998 ‘Precursor of Ozone and their effects on the Troposphere’ (POET) inventory provided the total emissions for CO, NO<sub>x</sub> and all non-methane VOCs.<sup>36</sup> The total emissions of CH<sub>4</sub> were obtained from the inverse model study by Mikaloff-Fletcher et al.<sup>37</sup> apart from ocean emissions, which were taken from Houweling et al.<sup>38</sup> The anthropogenic and biomass burning of benzene, toluene and o-xylene were taken from Henze et al.<sup>39</sup> The emission profiles of important RO<sub>2</sub>NO<sub>2</sub> precursor compounds are shown in Supplementary Information Table S4.

The Lagrangian cells within the STOCHEM-CRI model are kept below 100 hPa so the air parcel exchange with the stratosphere is represented by the downward flux of ozone and HNO<sub>3</sub> into the top level of the model. The flux of ozone is calculated using 3-hourly vertical wind fields with monthly ozone fields from Li and Shine.<sup>40</sup> Based on the work of Murphy and Fahey<sup>41</sup>, the HNO<sub>3</sub> flux is calculated as one thousandth of the ozone flux by mass of N.

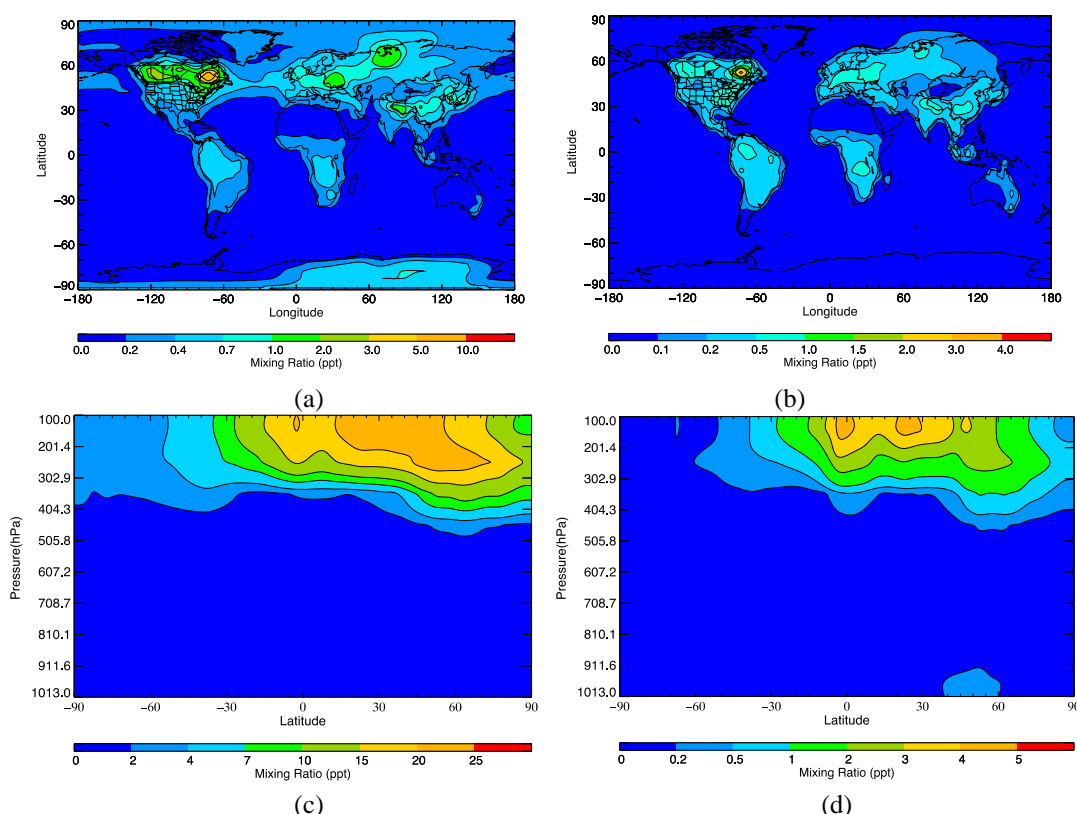
Emission sources which inject species directly into the troposphere (e.g. lightning and aircraft emissions) are 3-D emissions. The data for lightning emissions are taken from Price and Rind<sup>42</sup> and are scaled so that the total global emission of NO<sub>x</sub> from lightning is 5 Tg yr<sup>-1</sup>. The total number of lightning flashes are calculated using the cloud heights, with different formulae being used for continental and maritime clouds. The relative amounts of cloud-ground and cloud-cloud flashes are calculated using the formula from Price et al.<sup>43</sup> NO<sub>x</sub> production is calculated from the number of flashes and distributed vertically by using profiles from Pickering et al.<sup>44</sup> The emissions of NO<sub>x</sub> from aircraft are for the year 1992 and taken from NASA inventories.<sup>45</sup> Aircraft emissions are implemented in the same manner as lightning emissions so that the total global emission of NO<sub>x</sub> from aircraft is 0.85 Tg yr<sup>-1</sup>.

Three simulations (STOCH-BASE, STOCH-MPN, STOCH-NAPN) were run during this investigation. The STOCH-BASE simulation utilized the CRI v2.2-R5 mechanism<sup>30</sup> and the inclusion of the new loss processes of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> (photolysis and reaction with OH) (Table S2 and S3) where the air parcel contains 240 species competing 805 reactions. The STOCH-MPN simulation was based on the scenario without CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> in which the model was run as a ‘control’ to compare the effects of the addition of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>. The other simulation, STOCH-NAPN included the addition of other 44 RO<sub>2</sub>NO<sub>2</sub>, their formation and degradation reactions. Following the inclusion of RO<sub>2</sub>NO<sub>2</sub>, the air parcel contains 284 species that compete in 1026 reactions. The comparison of the STOCH-MPN and STOCH-BASE simulations therefore shows the effects of adding the formation and removal of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> on the global composition of the troposphere; and comparison of the STOCH-BASE and STOCH-NAPN simulations shows the effect of the further addition of the formation and removal of the other 44 RO<sub>2</sub>NO<sub>2</sub> species.

### 3. Results and Discussion

#### 3.1. Surface distribution and global burden of RO<sub>2</sub>NO<sub>2</sub>

The formation of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> is a significant loss pathway for NO<sub>2</sub> in continental regions.<sup>46</sup> Thus the largest mixing ratios of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> up to 10 ppt (Figure 1a) and other 44 RO<sub>2</sub>NO<sub>2</sub> up to 4 ppt (Figure 1b) are found at mid-latitude land masses corresponding to the areas of the world where there are large-scale industrial activities (e.g. the eastern coast of the USA, central Europe and continental south-east Asia). These regions produce large amounts of NO<sub>x</sub> and VOCs, which react in the presence of HO<sub>x</sub> to form RO<sub>2</sub>NO<sub>2</sub>. The zonal plots (Figure 1c and 1d) also showed increased CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> with 20-25 ppt and other 44 RO<sub>2</sub>NO<sub>2</sub> with 3-6 ppt in the tropics to mid-latitudes of the upper troposphere (9-12 km); which is consistent with the global chemical transport model, GEOS-Chem study (20-35 ppt CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> at tropics) by Browne and co-workers.<sup>6</sup> On average, the mixing ratios of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and other 44 RO<sub>2</sub>NO<sub>2</sub> in the upper troposphere are found to be approximately one order of magnitude higher than that found at the surface. For example, in 40-60°N surface, the mixing ratios of other 44 RO<sub>2</sub>NO<sub>2</sub> lies between 0.2-0.5 ppt but in the upper troposphere it lies between 2-4 ppt (Figure 1d). This is because the temperatures in the upper troposphere are low enough for other 44 RO<sub>2</sub>NO<sub>2</sub> to have increased thermal stability, increasing their lifetimes and mixing ratios.

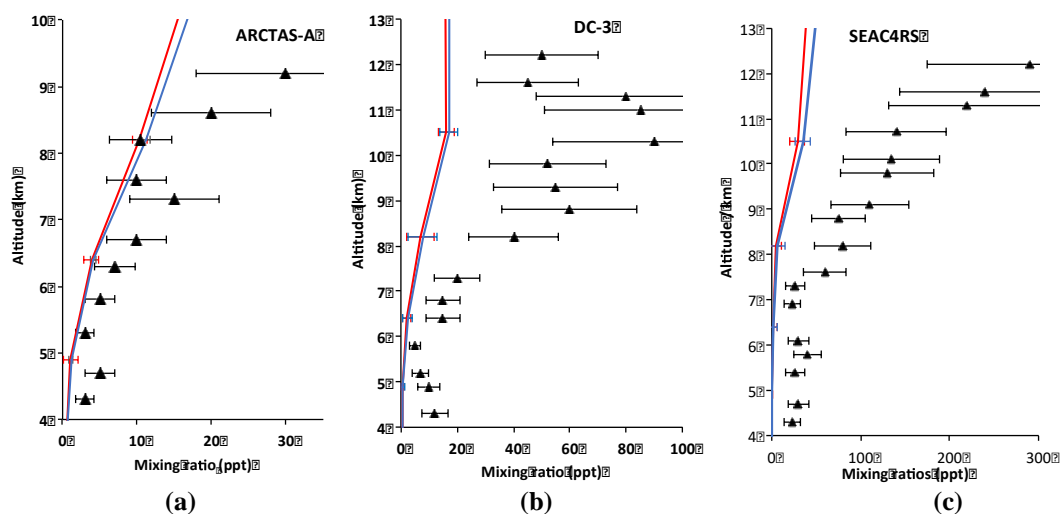


**Figure 1.** The annual mean mixing ratios of (a) surface CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>, (b) surface other 44 RO<sub>2</sub>NO<sub>2</sub>, (c) zonal CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>, (d) zonal other 44 RO<sub>2</sub>NO<sub>2</sub> distribution plots, produced from the STOCHEM-BASE (for CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>) and STOCH-NAPN (for other 44 RO<sub>2</sub>NO<sub>2</sub>)

RO<sub>2</sub>NO<sub>2</sub> play a large role in the chemistry of the upper troposphere,<sup>6</sup> thus it is important to compare the model vertical profile of RO<sub>2</sub>NO<sub>2</sub> with measurement data. The only available measured CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> vertical data were obtained during ARCTAS-A (April 2008), DC-3 (June 2012) and SEAC<sup>4</sup>RS (August-September 2013) campaigns.<sup>14</sup> These were used to compare the modeled data produced by STOCH-NAPN simulation (Figure 2). The modelled data sets for the ARCTAS-A, the DC-3 and the SEAC<sup>4</sup>RS campaigns show that CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> concentrations increase with altitude, which agrees reasonably well with the measurement data. However, in these campaigns, the modelled mixing ratios are underestimated when compared with the measurement data. RO<sub>2</sub>NO<sub>2</sub> are very short-lived which makes them extremely difficult for coarse-grid global model, STOCHEM-CRI to accurately predict variations of these species with altitude. The underestimation between modelled and measured values is most apparent in the comparison of the DC-3 and the SEAC<sup>4</sup>RS campaigns. For ARCTAS-A, the modelled values are within the uncertainty error associated with the measurements, and were only a few ppt outside of this error margin in a few cases (Figure 2a). Whereas for DC-3 and the SEAC<sup>4</sup>RS, the modelled data are around 10-30 ppt outside of the error associated with the measured data (Figures 2b and 2c). The model-measurement vertical plot of (CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>+NO<sub>2</sub>) shows good agreement throughout the troposphere for ARCTAS-A campaign suggesting that the model is treating the chemistry of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> correctly (Figure S1). However a significant underestimate of the model (CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>+NO<sub>2</sub>) mixing ratios compared with the measured (CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>+NO<sub>2</sub>) mixing ratios for DC-3 and the SEAC<sup>4</sup>RS campaigns is found in the upper troposphere (Figure S1), although the deviation of the ratio of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>/(CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>+NO<sub>2</sub>) between model and measurement is not significantly high in the upper troposphere for these two campaigns (Figure S2). The underestimation of the model data compared with the measured data for DC-3 and the SEAC<sup>4</sup>RS campaigns can be explained by our assumptions concerning underestimated NO<sub>x</sub> emissions (lightning). Lightning forms NO<sub>x</sub> due to the high temperatures present in the lightning flash, unlike other emissions of NO<sub>x</sub> lightning injects these species directly into the troposphere, where they can react with RO<sub>2</sub> to form RO<sub>2</sub>NO<sub>2</sub>.<sup>47</sup> The STOCHEM model accounts for the emission of NO<sub>x</sub> by lightning, with the global emission of 5 Tg yr<sup>-1</sup>, which falls within the estimated actual emission of global NO<sub>x</sub> of 2-8 Tg yr<sup>-1</sup>.<sup>48</sup> The sampling during the DC-3 and the SEAC<sup>4</sup>RS campaigns was biased toward fresh convective outflow impacted by lightning NO<sub>x</sub> resulting in higher CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> formation in the upper troposphere<sup>14</sup> compared with the model CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> formation simulated in STOCH-NAPN. The model was run with 1998 meteorology and the emission inventories of RO<sub>2</sub>NO<sub>2</sub> precursors e.g. surface anthropogenic VOCs and NO<sub>x</sub> from 1998 and aircraft NO<sub>x</sub> from 1992 were used in the model whereas the flight



campaigns were from a variety of years, meaning there is likely to be some variation between measured and modelled data.



**Figure 2** Comparison of measured CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> mixing ratios (from (a) the ARCTAS-A and (b) DC-3, (c) SEAC<sup>4</sup>RS campaigns) with modelled CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and all RO<sub>2</sub>NO<sub>2</sub> (including CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>) mixing ratios. The blue and red lines represent the modelled CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and RO<sub>2</sub>NO<sub>2</sub> values, produced by the STOCHEM-BASE and STOCH-NAPN simulation, respectively. The black triangle symbols represent the measurement data collected from Nault et al.<sup>14</sup> The black error bars represent measurement variability. The blue and red error bars represent model errors based on errors in sources and sinks.

The summed mixing ratios of all the RO<sub>2</sub>NO<sub>2</sub> (including CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>) along with CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> alone are included in Figure 2 for comparison. The summed RO<sub>2</sub>NO<sub>2</sub> mixing ratios are very similar to the sole mixing ratios of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>, suggesting that CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> makes up a significant proportion of the total amount of RO<sub>2</sub>NO<sub>2</sub> present in this simulation. This can be confirmed by the global burden of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> which makes up ~80% of the total global burden of RO<sub>2</sub>NO<sub>2</sub> (Table 1).

The summed global burden of all RO<sub>2</sub>NO<sub>2</sub> in the STOCH-NAPN simulation amounts to 74.1 Gg. After CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>, isoprene derived peroxy nitrates and monoterpene derived peroxy nitrates make up the most significant contributions of 3.6 Gg (~5%) and 2.9 Gg (~4%), respectively to the total global burden of RO<sub>2</sub>NO<sub>2</sub> (Table 1). The tropospheric lifetimes of the most significant RO<sub>2</sub>NO<sub>2</sub> species are also shown in Table 1. The tropospheric lifetimes of isoprene and monoterpene derived RO<sub>2</sub>NO<sub>2</sub> are found to be 6-7 times lower than those of short chain RO<sub>2</sub>NO<sub>2</sub> (e.g. CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and C<sub>2</sub>H<sub>5</sub>O<sub>2</sub>NO<sub>2</sub>), which is mainly due to higher thermal decomposition rates of the large complex RO<sub>2</sub>NO<sub>2</sub> (see the individual lifetimes for different loss processes in Supplementary Table S5).

The tropospheric lifetime of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> from the surface to 12 km, has been previously documented<sup>14</sup> and was calculated using observed OH concentrations, photolysis rates and temperatures along with the rate coefficients estimated for the additional loss processes (photolysis and thermal decomposition) of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>. The calculated lifetimes by Nault et al.<sup>14</sup> increased linearly with altitude (from  $1 \times 10^{-4}$

hours to 30 hours). The average tropospheric lifetime of  $\text{CH}_3\text{O}_2\text{NO}_2$  obtained from the STOCH-NAPN simulation is 373 s ( $1.04 \times 10^{-1}$  hours), which is similar to the calculated lifetime at around 6 km from the study of Nault et al.<sup>14</sup>

**Table 1.** The lifetimes and global burdens of significant  $\text{RO}_2\text{NO}_2$  in the STOCH-NAPN simulation. The percent contributions to the total  $\text{RO}_2\text{NO}_2$  burden are shown in the parentheses.

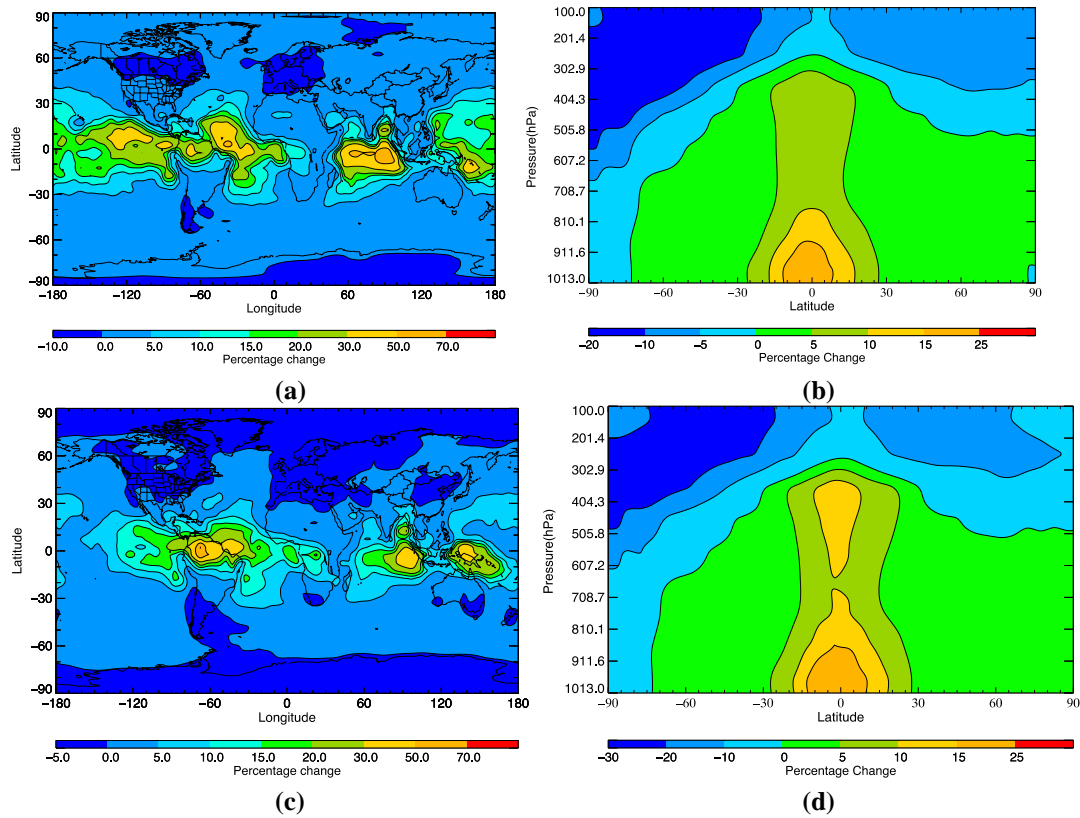
$\text{RO}_2\text{NO}_2$	Global burden (Gg)	Lifetime (s)
$\text{CH}_3\text{O}_2\text{NO}_2$	59.6 (80.5)	373
$\text{C}_2\text{H}_5\text{O}_2\text{NO}_2$	1.9 (2.6)	542
$\text{HO}_2\text{C}_2\text{H}_4\text{O}_2\text{NO}_2$	0.4 (0.5)	200
n- $\text{C}_3\text{H}_7\text{O}_2\text{NO}_2$ and i- $\text{C}_3\text{H}_7\text{O}_2\text{NO}_2$	0.4 (0.5)	340
n- $\text{C}_4\text{H}_9\text{O}_2\text{NO}_2$ and sec- $\text{C}_4\text{H}_9\text{O}_2\text{NO}_2$	2.2 (3.0)	336
Isoprene derived peroxy nitrates	3.6 (4.9)	$205 \pm 190^*$
Monoterpenes derived peroxy nitrates	2.9 (3.9)	$121 \pm 53^*$
Other peroxy nitrates ( $\geq \text{C}_3$ compounds)	3.1 (4.1)	$183 \pm 99^*$

\*represents averaged values over all non-acyl peroxy nitrates of this type

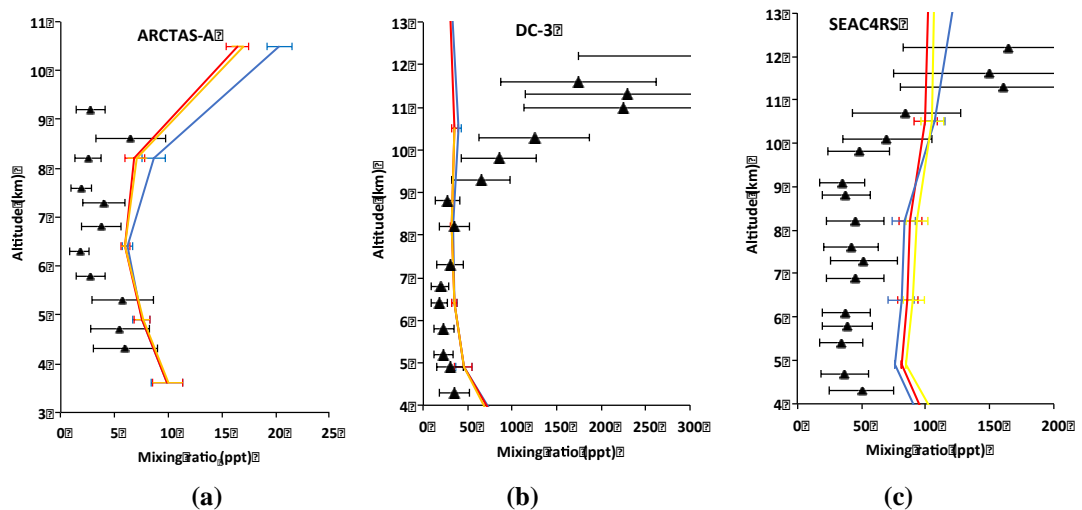
### 3.2. Impact of $\text{RO}_2\text{NO}_2$ chemistry on $\text{NO}_x$

Following the addition of  $\text{CH}_3\text{O}_2\text{NO}_2$  into STOCH-MPN and addition of 44 other  $\text{RO}_2\text{NO}_2$  into STOCH-BASE,  $\text{NO}_x$  mixing ratios decreased by up to 10% and 5%, respectively over the east coast of the USA, Europe, continental south-east China, where  $\text{RO}_2\text{NO}_2$  has acted as a sink of  $\text{NO}_2$ . However, the inclusion of  $\text{CH}_3\text{O}_2\text{NO}_2$  chemistry in STOCH-BASE compared with STOCH-MPN has an impact on surface-level  $\text{NO}_x$  with the increases by up to 70% in north-west Brazil, west of Malaysia, and north of Papua New Guinea case (Figures 3a). The increase in  $\text{NO}_x$  mixing ratios from the addition of the other 44  $\text{RO}_2\text{NO}_2$  chemicals has the same effect i.e., an increase of up to 70% in almost the same regions (Figure 3c). All these regions are relatively remote, tropical locations, which tend to have low background  $\text{NO}_x$ .<sup>49</sup> This shows the behavior of  $\text{RO}_2\text{NO}_2$  as a temporary reservoir species, transporting  $\text{NO}_x$  to remote locations from  $\text{NO}_x$  source regions. Therefore, the increase of  $\text{NO}_x$  (global burden increase of  $\text{NO}_x$  by 3.0% in STOCH-BASE compared with STOCH-MPN and by 4.4% in STOCH-NAPN compared with STOCH-BASE) means that when the  $\text{RO}_2\text{NO}_2$  degrade, the increase in  $\text{NO}_x$  is relatively large compared with the regions with higher mixing ratios of background  $\text{NO}_x$ . These increases over remote tropical locations can be seen in the zonal distribution plots up to 25% increase of  $\text{NO}_x$  at the upper level in the equatorial region (Figure 3b and 3d). The increased levels of  $\text{NO}_x$  formed at the equator are being carried up into the upper troposphere by convection. In addition, the highest mixing ratios of  $\text{CH}_3\text{O}_2\text{NO}_2$  (see Figure 1b) and their dominant loss processes via photolysis in the upper troposphere<sup>50</sup> result in increased amounts of  $\text{NO}_x$  (up to 10%) produced in the STOCH-BASE case compared with the STOCH-MPN case (Figure 3b). The mixing ratios of 44 other  $\text{RO}_2\text{NO}_2$  are 4 to 5-fold lower than the mixing ratios of  $\text{CH}_3\text{O}_2\text{NO}_2$  (Figure 1), but their losses have become increasingly important in the upper troposphere (see Table S5) making 44 other  $\text{RO}_2\text{NO}_2$  a significant contributor towards the percentage increase of  $\text{NO}_x$  by up to 10% (Figure 3d). Despite the high mixing ratios of

CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and 44 other RO<sub>2</sub>NO<sub>2</sub> compounds at 30°S-90°N in the upper troposphere (see Figure 1c and 1d), the large increases in NO<sub>x</sub> only occur between 30°S and 30°N. The enhanced photolysis rates and increased OH concentrations in the tropics accelerate the degradation of 44 other RO<sub>2</sub>NO<sub>2</sub> by photolysis and reaction with OH to enhance NO<sub>x</sub> production.



**Figure 3.** The (a) surface and (b) zonal distribution plots of the percentage change in the annual mean mixing ratios of NO<sub>x</sub> between the STOCH-MPN run and STOCH-BASE run, the (c) surface and (d) zonal distribution plots of the percentage change in the annual mean mixing ratios of NO<sub>x</sub> between the STOCH-BASE run and STOCH-NAPN run.



**Figure 4** Comparison of measured NO<sub>2</sub> mixing ratios (from (a) the ARCTAS-A, (b) the DC-3 and (c) SEAC<sup>4</sup>RS campaigns), with modelled mixing ratios. The blue, yellow and red lines represent the model values produced by STOCH-MPN, STOCH-BASE and STOCH-NAPN cases, respectively. The black triangle symbols represent the measurement data collected from Nault et al.<sup>14</sup> Black error bars represent measurement variability. The blue, yellow and red error bars represent model errors based on errors in sources and sinks.

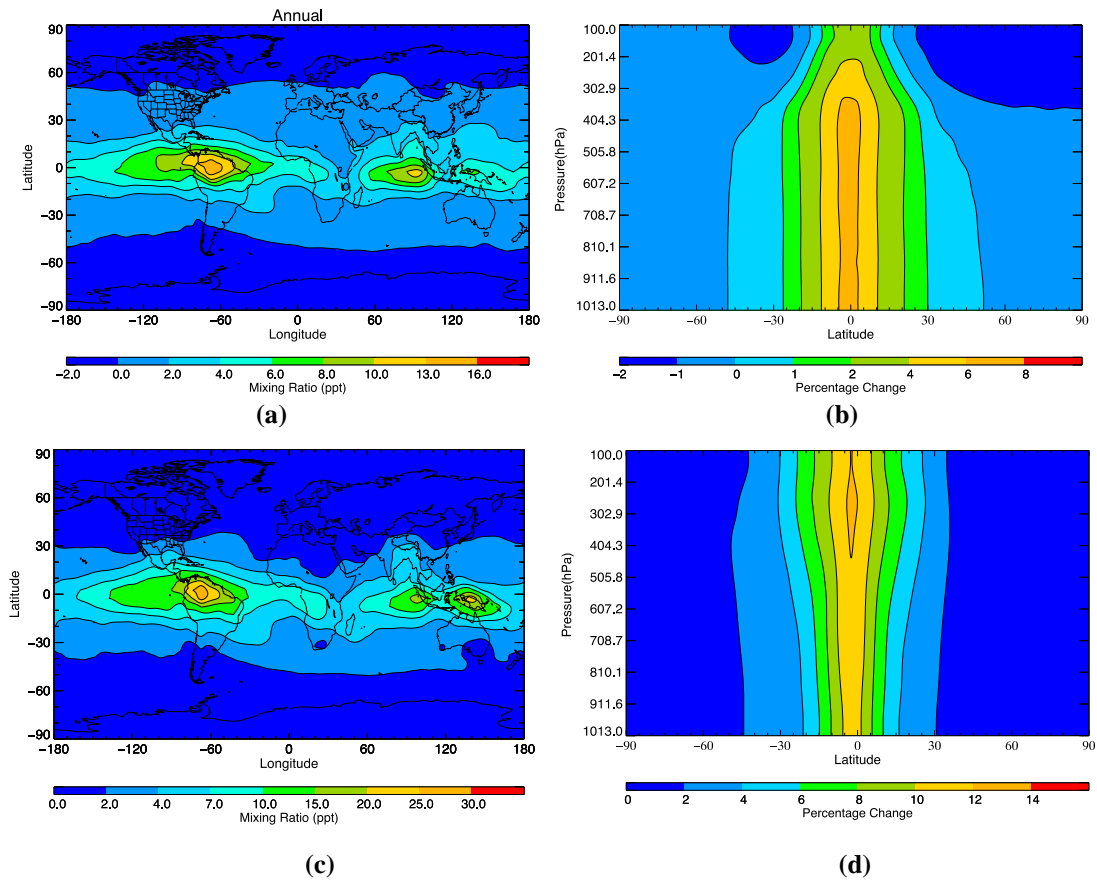
The vertical NO<sub>2</sub> measurement data from ARCTAS-A, DC-3 and SEAC<sup>4</sup>RS campaigns were compared with modelled mixing ratios of NO<sub>2</sub> simulated by the STOCH-MPN, the STOCH-BASE and the STOCH-NAPN runs. Data from the ARCTAS-A campaign are comparable with the modelled data with several data points falling within the margin of error in the measurement data (see Figure 4a). As well as the general data patterns being very similar: decreasing mixing ratios as altitude increases, up to 7 km, where the mixing ratio starts to increase with altitude. In the DC-3 and SEAC<sup>4</sup>RS campaigns, the modelled data are comparable with the measured data below 9 km (Figure 4b and 4c). Above this altitude the deviation between the measured and the modelled mixing ratios of NO<sub>2</sub> become larger, which could be explained by the influence of lightning NO<sub>x</sub> emissions made by the median air masses for the entire DC-3 and SEAC<sup>4</sup>RS campaigns more dominated by NO<sub>2</sub>.

### 3.3. Impact of increased NO<sub>x</sub> from RO<sub>2</sub>NO<sub>2</sub> chemistry on the oxidant levels

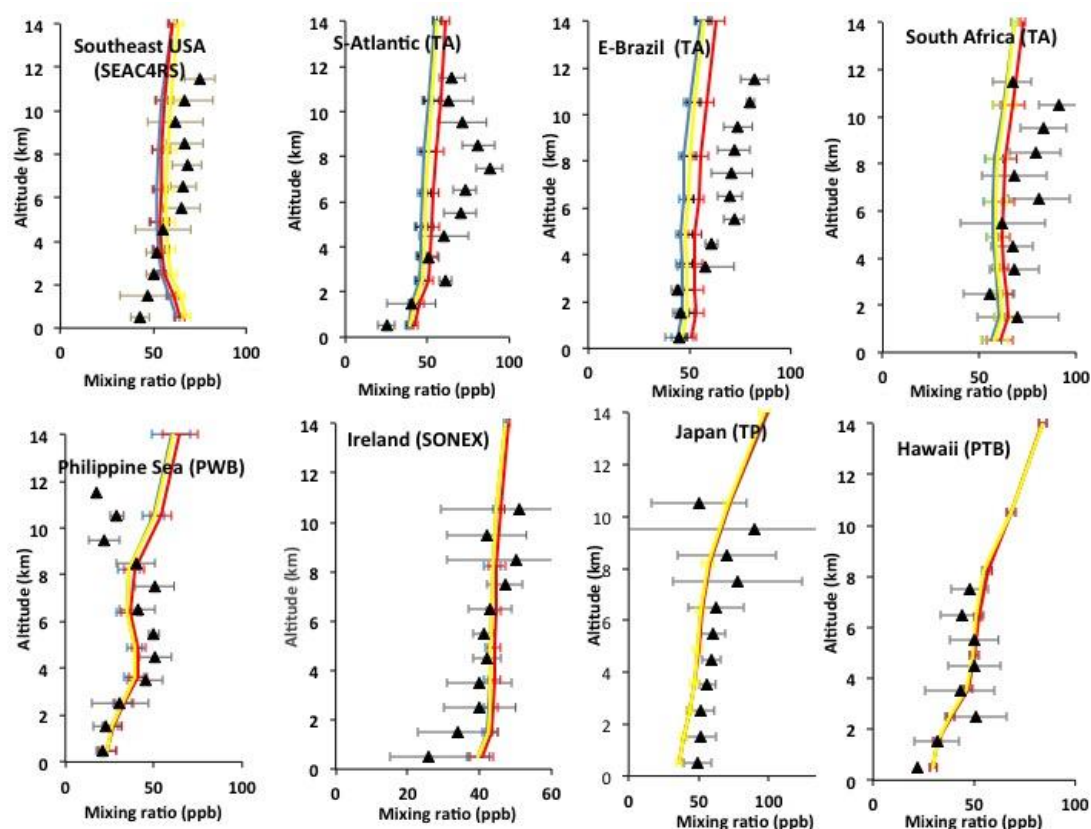
The increased production of NO<sub>2</sub> in the tropics due to the addition of the formation and removal chemistry of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> enhanced the production flux of ozone by 2.6% resulting in an increased global burden of ozone by 2.0%, but the addition of the other 44 RO<sub>2</sub>NO<sub>2</sub> increased the production flux of ozone by 9.2% causing an increase of ozone global burden by 3.4%. This is supported by the distribution plot of ozone (Figure 5) when compared with the distribution plot of NO<sub>x</sub> (Figure 3). The maximum increases in surface tropospheric ozone by up to 16% for inclusion of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and up to 30% for inclusion of the other 44 RO<sub>2</sub>NO<sub>2</sub> occur in the same regions of the maximum increases of NO<sub>x</sub> over Brazil, Malaysia, and Papua New Guinea (Figure 5a and 5c). The maximum increases of ozone by up to 8% and 14% due to additions of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and other 44 RO<sub>2</sub>NO<sub>2</sub>, respectively were found throughout the troposphere over the equator due to relatively high levels of NO<sub>x</sub> produced from the sink processes of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and other 44 RO<sub>2</sub>NO<sub>2</sub> (Figure 5b).

The measured ozone mixing ratios from several different flight campaigns compiled in Emmons et al.<sup>51</sup> and SEAC<sup>4</sup>RS campaign<sup>52</sup> were compared with modelled ozone mixing ratios simulated by STOCH-BASE, STOCH-MPN and STOCH-NAPN in Figure 6. Due to the increased ozone formation in STOCH-NAPN, the slight improvement between STOCH-BASE and measured ozone mixing ratios are found for all of the flight campaigns. In the TRACE-A and SEAC<sup>4</sup>RS campaigns there was a high frequency of biomass burning and convective transport, which a global model is not capable of accounting for specifically. This could explain the large difference between the measured and modelled values above Brazil, the South Atlantic and South Africa in the upper troposphere.<sup>53</sup> However, the inclusion of 44

other RO<sub>2</sub>NO<sub>2</sub> in the STOCH-NAPN reproduced measured ozone from TRACE-A and SEAC<sup>4</sup>RS campaigns more accurately than the STOCH-BASE and STOCH-MPN simulations indicating that the addition of 44 other RO<sub>2</sub>NO<sub>2</sub> has improved the accuracy of the modelled ozone mixing ratios.



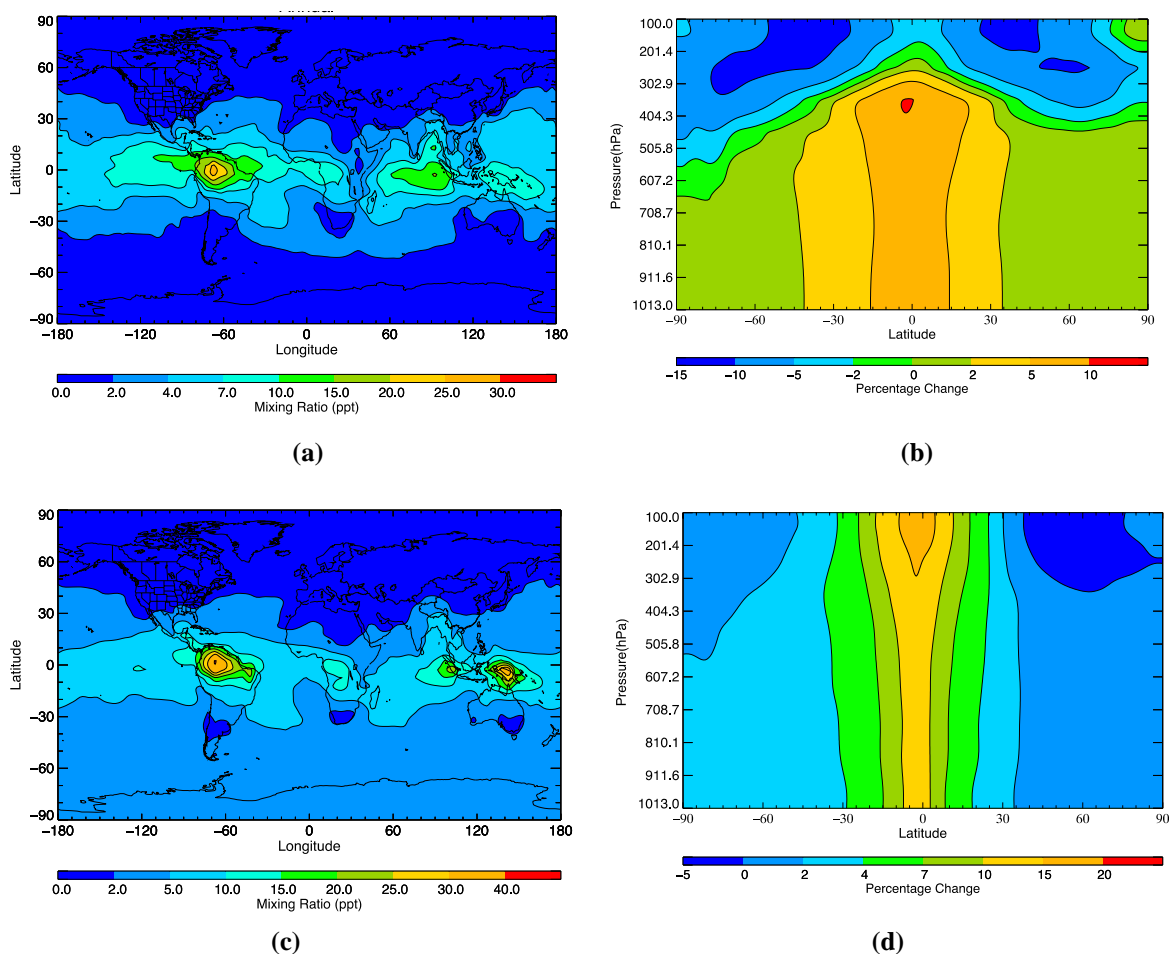
**Figure 5.** The (a) surface and (b) zonal distribution plots of the percentage change in the annual mean mixing ratios of ozone between the STOCH-MPN run and STOCH-BASE run, the (c) surface and (d) zonal distribution plots of the percentage change in the annual mean mixing ratios of ozone between the STOCH-BASE run and STOCH-NAPN run.



**Figure 6** Comparison of measured ozone mixing ratios (black triangles) collected from Emmons et al.<sup>51</sup> and SEAC<sup>4</sup>RS campaign<sup>52</sup> with modelled mixing ratios for both STOCH-BASE (blue line), STOCH-MPN (yellow line) and STOCH-NAPN (red line) simulations. Black error bars represent measurement variability. The blue, yellow and red error bars represent model errors based on errors in sources and sinks

The increased ozone in STOCH-BASE and STOCH-NAPN due to addition of  $\text{CH}_3\text{O}_2\text{NO}_2$  and 44 other  $\text{RO}_2\text{NO}_2$  resulted in increasing the fluxes of the photolysis of ozone, thereby increasing the global burden of  $\text{O}(^1\text{D})$  by 2.8% and 4.8%, respectively. The reaction of  $\text{O}(^1\text{D})$  radicals with water accounts for ~46% of the total source of tropospheric OH radicals and the flux of this reaction has increased by 6.7% in STOCH-BASE and 10.5% in STOCH-NAPN compared with STOCH-MPN and STOCH-BASE, respectively. In addition, ~30% and ~9% of the total source of tropospheric OH radicals come from the reaction of  $\text{HO}_2+\text{NO}$  and  $\text{HO}_2+\text{O}_3$ , respectively. The increased NO and  $\text{O}_3$  burden due to the addition of  $\text{CH}_3\text{O}_2\text{NO}_2$  in the STOCH-BASE and the addition of 44 other  $\text{RO}_2\text{NO}_2$  in the STOCH-NAPN resulted in increasing the formation flux of OH through the reaction with  $\text{HO}_2$  by 6.8% and 7.2% (STOCH-BASE compared with STOCH-MPN) and by 12.7% and 13.5% (STOCH-NAPN compared with STOCH-BASE), respectively. The increased formation fluxes of OH from these three dominant reactions lead to an increase in the global burden of tropospheric OH radicals by 4.0% in STOCH-BASE and 5.5% in STOCH-NAPN, compared with STOCH-MPN and STOCH-BASE, respectively. Figure 7 supports the case that the increase in mixing ratios of the OH radicals occurs in the same regions as the increase in mixing ratios of both ozone and  $\text{NO}_x$ . Relatively large increases of OH radicals occur over the equator from the surface by up to 30%

(Figure 7a) and 40% (Figure 7c), to the upper troposphere by up to 10% (Figure 7b) and 20% (Figure 7d) due to addition of  $\text{CH}_3\text{O}_2\text{NO}_2$  and 44 other  $\text{RO}_2\text{NO}_2$ , respectively.



**Figure 7.** The (a) surface and (b) zonal distribution plots of the percentage change in the annual mean mixing ratios of OH between the STOCH-MPN run and STOCH-BASE run, the (c) surface and (d) zonal distribution plots of the percentage change in the annual mean mixing ratios of OH between the STOCH-BASE run and STOCH-NAPN run.

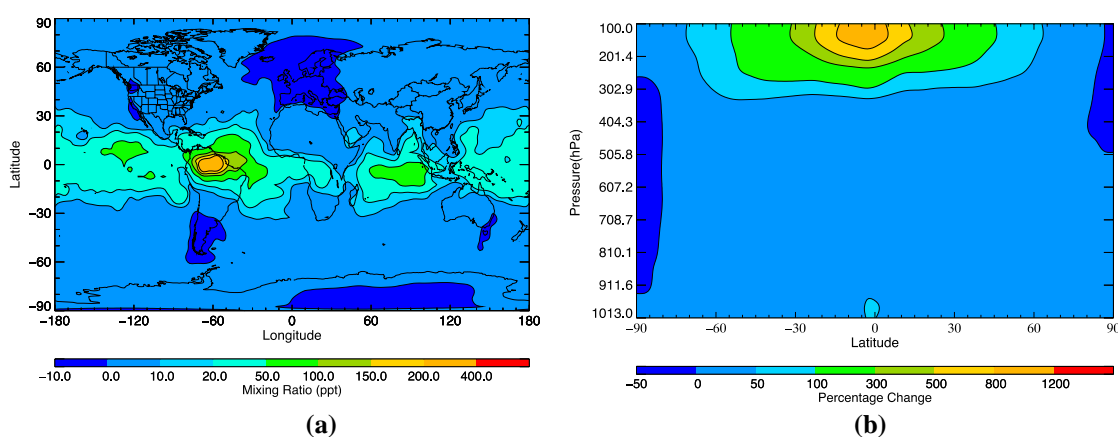
The increased global burden of OH radicals has implications for the lifetime of many VOCs in the troposphere as OH radicals act as the major sink for most VOCs. Due to the addition of  $\text{CH}_3\text{O}_2\text{NO}_2$  and  $\text{RO}_2\text{NO}_2$  chemistry, the lifetime of  $\text{CH}_4$  has decreased by 0.32 year (~5% from STOCH-MPN) and 0.38 year (~6% from STOCH-BASE), respectively. This is a substantial decrease in the calculated lifetime of methane and can have implications for the climate simulations. Methane is an important greenhouse gas, which since the start of the industrial revolution, has been calculated to be responsible for 20% of the warming of the Earth's atmosphere caused by long-lived greenhouse gases.<sup>54</sup> A decrease in the lifetime of methane reduces the capability of this species to warm the Earth's atmosphere.

The nitrate radical,  $\text{NO}_3$ , is mostly formed from the reaction of  $\text{NO}_2$  with ozone in the troposphere. It would follow that the flux of this reaction has increased

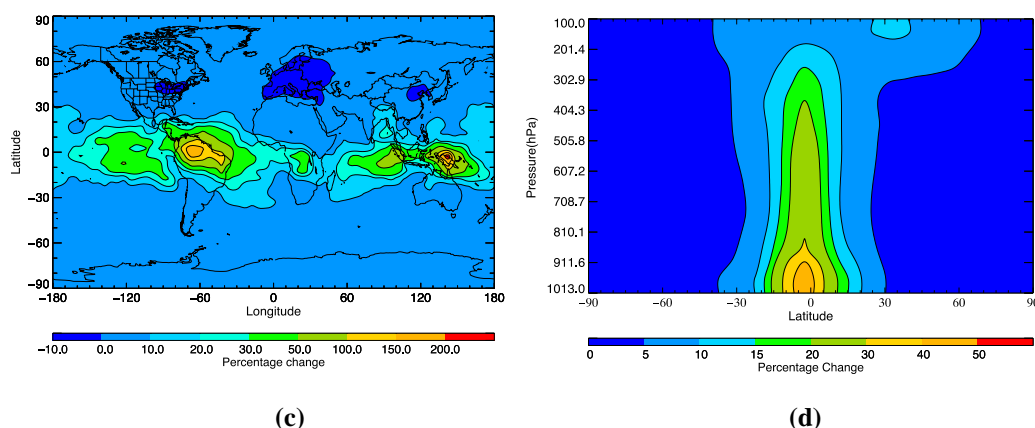


by 9.1% in STOCH-BASE and 15.8% in STOCH-NAPN because the addition of  $\text{CH}_3\text{O}_2\text{NO}_2$  and 44 other  $\text{RO}_2\text{NO}_2$  has resulted in increased burdens of both  $\text{NO}_2$  and ozone. This resulted in increasing the global burden of  $\text{NO}_3$  by 8.8% between the STOCH-BASE and STOCH-NAPN runs and 11.1% between the STOCH-MAPN and STOCH-BASE. The maximum increases of surface  $\text{NO}_3$  occurs in the same locations as the maximum increase of surface  $\text{NO}_x$ ,  $\text{O}_3$  and  $\text{OH}$  (see Figure 8a and 8c). This is expected from the flux and global burden data as most of the total source flux of  $\text{NO}_3$  comes from reactions involving these species. In the zonal plot of STOCH-BASE (see Figure 8b) where the maximum increase in  $\text{NO}_3$  throughout the troposphere occurs over the equator in the upper troposphere. Although, it should be noted that the maximum increases in the mixing ratios of  $\text{NO}_3$  are much larger than the maximum increases of the precursor compounds in the same regions. This could be because background levels of  $\text{NO}_3$  are very low since STOCHEM distributions are a monthly average, and  $\text{NO}_3$  is rapidly photolysed during the day. This means that daytime mixing ratios of  $\text{NO}_3$  are very low.

The degradation of  $\text{CH}_3\text{O}_2\text{NO}_2$  via photolysis is responsible for ~87% of the flux of  $\text{NO}_3$  produced by the photolysis of all non-acyl peroxy nitrates which is ~2% of the total global tropospheric  $\text{NO}_3$  production. This results in significant increases in the mixing ratios of  $\text{NO}_3$  in the upper troposphere (30°S to 30°N) latitude by up to 1200% (Figure 8b). However the degradation of  $\text{RO}_2\text{NO}_2$  via reaction by  $\text{OH}$  is significant for 44 other  $\text{RO}_2\text{NO}_2$  compared with  $\text{CH}_3\text{O}_2\text{NO}_2$  which contribute ~1% of the total global production flux of  $\text{NO}_3$ . In addition, the increased mixing ratios of  $\text{NO}_2$  and ozone due to the addition of 44 other  $\text{RO}_2\text{NO}_2$  have a significant effect to increase the mixing ratios of  $\text{NO}_3$  throughout the upper troposphere (Figure 8d). Higher mixing ratios of  $\text{NO}_3$  at the equator and throughout the upper troposphere will lead to increased oxidation of VOCs in these regions. Although, this will only affect the night-time oxidation cycles as  $\text{NO}_3$  is rapidly photolysed during the day.







**Figure 8** The (a) surface and (b) zonal distribution plots of the percentage change in the annual mean mixing ratios of NO<sub>3</sub> between the STOCH-MPN run and STOCH-BASE run, the (c) surface and (d) zonal distribution plots of the percentage change in the annual mean mixing ratios of NO<sub>3</sub> between the STOCH-BASE run and STOCH-NAPN run.

#### 4. Conclusions

The aim of this investigation was to determine how the addition of the formation and removal of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and 44 other RO<sub>2</sub>NO<sub>2</sub> into the STOCHEM-CRI chemistry transport model would impact the NO<sub>x</sub> budget in the upper troposphere. The incorporation of the CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and 44 other RO<sub>2</sub>NO<sub>2</sub> led to respective increases in the global NO<sub>x</sub> burden of 3.0% and 4.4% and respective increases in NO<sub>x</sub> mixing ratios of up to 25% and 25% throughout the troposphere. Because of the coupling of the NO<sub>x</sub> and HO<sub>x</sub> cycles, the increased NO<sub>x</sub> burden has a knock-on effect on the budgets of HO<sub>x</sub>, O<sub>3</sub>, NO<sub>3</sub> and VOCs present in the troposphere. The formation of O<sub>3</sub>, OH and NO<sub>3</sub> have all increased (ozone up to 14%, OH up to 20% and NO<sub>3</sub> up to 30%) in the upper troposphere as a result of including the formation and removal of the 44 other RO<sub>2</sub>NO<sub>2</sub> species. As OH and NO<sub>3</sub> are major oxidants in the troposphere, the increase in their budget in the upper troposphere has implications for the lifetimes of VOCs present in the troposphere and it was shown that the average tropospheric lifetime of a potent greenhouse gas, CH<sub>4</sub> was calculated to decrease by ~6%.

The thermal instability of RO<sub>2</sub>NO<sub>2</sub> species not only makes them a difficult species to investigate in the laboratory, but also to measure in the troposphere. There has only been one previous case of measured values of a RO<sub>2</sub>NO<sub>2</sub> species being taken from the troposphere which was CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>.<sup>14</sup> Comparing the model data with the limited measured data gave reasonable agreement. The measurements of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> and other RO<sub>2</sub>NO<sub>2</sub> would be of particular importance, as these species are found to make a significant impact on the NO<sub>x</sub> budget of the upper troposphere.

#### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at

Rate coefficients for the formation and loss processes of non-acyl peroxy nitrates (RO<sub>2</sub>NO<sub>2</sub>) used in the model; Emission inventory of RO<sub>2</sub>NO<sub>2</sub> precursors used in the model; The calculated atmospheric life-times of RO<sub>2</sub>NO<sub>2</sub> in terms of different loss processes; The model-measurement comparison of CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>+NO<sub>2</sub> and CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>/((CH<sub>3</sub>O<sub>2</sub>NO<sub>2</sub>+NO<sub>2</sub>) for different flight campaigns.

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