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1 **Research Article**

2 **Title**

3 A complex pattern of post-divergence expansion, contraction, introgression and
4 asynchronous responses to Pleistocene climate changes in two *Dipelta* sister
5 species from western China

6 **Running title**

7 Formation of allopatry of two *Dipelta* species

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25

26 **Abstract**

27 The well-known vicariance and dispersal models dominate in understanding the allopatric
28 pattern for related species and presume the simultaneous occurrence of speciation and
29 biogeographic events. However, the formation of allopatry may postdate the species
30 divergence. We examined this hypothesis using DNA sequence data from 3 chloroplast
31 fragments and 5 nuclear loci of *Dipelta floribunda* and *D. yunnanensis*, two shrub species
32 with the circum Sichuan Basin distribution, combining the climatic niche modeling approach.
33 The best-fit model supported by the approximate Bayesian computation (ABC) analysis
34 indicated that, *D. floribunda* and *D. yunnanensis* diverged during the mid-Pleistocene period,
35 consistent with the largest glacial period in the Qinghai-Tibet Plateau (QTP). The historically
36 inter-specific gene flow was identified, but seemed to have ceased after the last interglacial
37 period (LIG), when the range of *D. floribunda* moved northward from the south of the
38 Sichuan Basin. Further, populations of *D. floribunda* had expanded obviously in the north of
39 the Sichuan Basin after the last glacial maximum (LGM). Relatively, the range of *D.*
40 *yunnanensis* expanded before the LGM, and reduced during the post-LGM especially in the
41 north of the Sichuan Basin, reflecting the asynchronous responses of related species to the
42 contemporary climate changes. Our results suggested that complex topography should be
43 considered in understanding the distributional patterns even for closely related species and
44 their demographic responses.

45

46

47 **Keywords:** allopatric pattern, asynchronous demographic responses, hABC, introgression,
48 the Pleistocene climate change, the Sichuan Basin

49

50 **1 Introduction**

51 It is important to test hypotheses regarding to the biogeographic drivers and processes of
52 the distribution pattern for related species (MacArthur, 1972; Crisp *et al.*, 2011; Usinowicz *et*
53 *al.*, 2017). The vicariance and long-distance dispersal (LDD) models which are tested and
54 employed in many studies, provide two major hypotheses that can explain disjunct
55 distributions (Ball, 1975; Crisp *et al.*, 2011). In the vicariance model, geographic barriers
56 develop and divide a large population into separate parts, and prevent gene flow between
57 them (Ball, 1975). In contrast, the dispersal model requires that organisms overcome
58 geographic barriers to migration and establish new populations on the other side of that
59 barrier (Nathan, 2008). Both will ultimately lead to allopatric speciation, hence; the speciation
60 event should be timed as occurring soon after the disjunction was established. However, for
61 plants that occur in regions with complex topographies, neither of these two traditional
62 paradigms may be appropriate to explain the pattern of allopatry. Complex topography can
63 provide ecological gradients, for example along mountain slopes (Badgley *et al.*, 2017), and
64 in theory parapatric speciation may occur along such a gradient, followed by allopatry at a
65 later time if one or both species then moves its native range. In the present study, we aim to
66 test this hypothesis using a case study of two shrub species of *Dipelta* endemic to western
67 China.

68 Mountains and valleys which formed accompanying with the uplift of the Qinghai-Tibet
69 Plateau (QTP) in western China (Clark *et al.*, 2005; Wang *et al.*, 2012, 2014), can restrict
70 dispersal and lead to species divergence and allopatric pattern (Endler 1977; Smith *et al.*,
71 2014; Steinbauer *et al.*, 2016). Recent studies suggest that the uplifts of the QTP and adjacent
72 mountains has played important roles in the diversification of highland plants in western
73 China (Wang *et al.*, 2005; Qiu *et al.*, 2011; Wen *et al.*, 2014; Favre *et al.*, 2015; Sun *et al.*,

74 2017; Xing & Ree, 2017). The landscape complexity provides steep ecological gradients
75 along the mountain slope (Favre *et al.*, 2015; Liu *et al.*, 2014), and potential refugia for plants
76 during climatic extremes such as the Pleistocene glacial cycles. It therefore provides
77 opportunities for both retaining high levels of plant diversity, and generating new lineages
78 (Qiu *et al.*, 2011; Liu *et al.*, 2012). However, the biogeographical processes underlying
79 divergence are still unclear for most plants here, restricting our ability to explain the origin
80 and distribution of plant diversity in western China (Liu *et al.*, 2014).

81 *Dipelta* Maxim. (Caprifoliaceae) includes three species endemic to the west of China (Fig.
82 1; Table 1), and occur in mid/high-elevation montane forests but never in valleys (Yang &
83 Landrein, 2011). *Dipelta elegans* Batal. is an endangered species and thus was not focused
84 presently. Populations of *Dipelta floribunda* Maxim. and *D. yunnanensis* Franch constitute a
85 near-circular distribution surrounding the Sichuan Basin (Fig. 1), a region with an area larger
86 than 260,000 m² and an elevation ~400 m at the bottom of the basin. *Dipelta floribunda*
87 occurs around the Qin-Ba Mountains to the north and east of the Sichuan Basin, whereas *D.*
88 *yunnanensis* occurs to the south and west of the basin, in most of the Hengduan Mountains
89 (Fig. 1). The annual mean temperature and precipitation in the habitats of both species are
90 much lower than those at the bottom of the Sichuan Basin (Wang *et al.*, 2013), constituting a
91 geographic barrier to their dispersal. Although the distribution of these two species is close
92 (nearest population less 100km), our three-years field investigations (2015-2017) haven't
93 found hybrids and contact zones between species. These two species were combined into a
94 system to investigate the biogeographic role of a medium scale geographic barrier,
95 specifically a climatically unavailable low-altitude region, in the formation of a local
96 disjunction between closely related species.

97 Three hypotheses might explain how this species pair speciated and how the allopatric
98 pattern formed. First, as the vicariance model suggested (Ball, 1975; Crisp *et al.*, 2011), if the
99 formation of the Sichuan Basin had driven the initial divergence between *D. floribunda* and *D.*
100 *yunnanensis*, the divergence time would be consistent with the formation of the Sichuan Basin
101 during the Neogene period (Shi *et al.*, 1998; Clark *et al.*, 2005; Wang *et al.*, 2012; He *et al.*,
102 2013; Wang *et al.*, 2014). Second, species divergence could have been initiated through a
103 dispersal event from one side of the Sichuan Basin to the other, as the LDD model proposed
104 (Nathan, 2008; Crisp *et al.*, 2011). The divergence time thus would be later than the formation
105 of the basin but consistent with the LDD event. In the 1st and 2nd models, the formation of
106 allopatric pattern would be in synchrony with the divergence. Third, speciation occurred
107 without the present geographic barriers, possibly by local ecological speciation along a
108 gradient within the western China. If so, a consistent difference in ecological preference
109 between the species would exist, and these different preferences may form before the
110 formation of allopatric pattern. In the 3rd model, the initial divergence between *D. floribunda*
111 and *D. yunnanensis* could be independent of the formation of the Sichuan Basin and the
112 allopatric pattern.

113 To evaluate and compare these hypotheses, we aimed to assess the following questions: 1)
114 When did the divergence between *D. floribunda* and *D. yunnanensis* occur? 2) Are there
115 ecological differences between the two species? 3) What role did the Sichuan Basin play in
116 the formation of allopatric pattern? 4) Has the basin influenced their responses to climatic
117 changes, after their divergence?

118 **2 Material and Methods**

119 **2.1 Sampling**

120 We collected a total of 547 individuals from 56 populations throughout the ranges of *D.*
121 *floribunda* and *D. yunnanensis* (Table 1). The number of individuals collected from each
122 population was between 1 and 20, and these were always spaced at least 100m apart. Fresh
123 leaves were collected and dried immediately using silica gel. In addition, *Dipelta elegans*,
124 *Diabelia serrata* (Sieb. & Zucc.) Land. and *Kolkwitzia amabilis* Graebn. were collected and
125 used as outgroups in our analyses below. All voucher specimens collected from each
126 population were deposited in Southwest Forestry University Herbarium (SWFC). The latitude,
127 longitude and altitude of each sampling site were recorded using an eTrex GPS (Garmin).

128 **2.2 DNA extraction, amplification and sequencing**

129 We used EZ-10 Spin Column Plant Genomic DNA Purification Kits (Sangon Biotech,
130 Shanghai, China) to extract total genomic DNA from 547 individuals, and 1% agarose gels
131 was used for testing the quantity of genomic DNA isolating from the all of individuals.

132 To identify cpDNA regions with sufficient variation, we randomly selected 12 shrubs of
133 *D. yunnanensis* and 12 of *D. floribunda* to conduct preliminary screening of primer pairs for
134 three highly variable regions: *psbA-trnH*, *psbB-psbF* and *trnL-trnF*. All were determined to be
135 useful. For nuclear markers, fresh leaves of *D. yunnanensis* were gathered in Lijiang
136 (population YL) to transcriptome sequencing. The sequencing was performed on HiSeq
137 sequencing platforms at BGI-Shenzhen. For further details on RNA extractions, transcriptome
138 sequencing, and assembly, see Ju et al. 2015. Then we developed primers of 5 species-
139 specific low-copy nuclear loci (23311, 38541, 41398, 45367, 56546) following the procedures
140 described by Ye et al. (2017). Therefore, a total of eight DNA fragments from chloroplast and
141 nuclear genomes were sequenced to determine the genetic variation of *D. floribunda* and *D.*
142 *yunnanensis* (Table S1).

143 Polymerase chain reaction experiments were performed using the S1000 Thermal Cycler
144 (Applied Biosystems, Foster City, California, USA) in a volume of 25 μ L containing 1 μ L
145 (~10 ng) DNA template, 12.5 μ L Taq PCR Mix (Sangon Biotech), 9.5 μ L double-distilled
146 H₂O, and 1 μ L (5 pmol) of each primer. The PCR program consisted of 5 min of initial
147 denaturation at 94 °C; followed by 30 cycles of denaturation at 94 °C for 30 s, annealing at
148 specific temperature (52 °C-58 °C, Table S1) for 45 s, extension at 72 °C for 1 min, and a
149 final extension at 72 °C for 10 min. We also used 1% agarose gels to check the quantity of all
150 PCR products. Finally, 223 and 547 individuals were amplified successfully in each of the 5
151 nuclear DNA loci and of the 3 cpDNA loci, respectively. All DNA fragments that were
152 amplified successfully were sequenced using the amplified forward primer with an ABI 3730
153 XL genetic analyzer (Applied Biosystems, Foster City, USA). We used program MEGA
154 version 5.0 (Tamura *et al.*, 2011) to check whether the SNPs and indels (insertions and
155 deletions) were consistent with the chromatogram peaks manually, and to proofread variable
156 sites. For nrDNA diploid sequences, we used DnaSP version 5.0 (Librado & Rozas, 2009) to
157 determine the phases of each heterozygous sites. All sequences have been deposited into
158 GenBank (NO. MG993626-MG994796).

159 **2.3 Analyses of cpDNA sequences**

160 We aligned sequences at each cpDNA fragment independently, and deleted indels using
161 MEGA version 5.0 (Tamura *et al.*, 2011). The numbers of polymorphic sites for each cpDNA
162 fragment were counted by manual. Based on the concatenated cpDNA sequences, we
163 calculated the average gene diversity within populations (H_S), total gene diversity (H_T), and
164 the coefficients of genetic differentiation (G_{ST} and N_{ST}) for each *Dipelta* species using
165 PERMUT (available at <http://www.pierroton.inra.fr/genetics/labo/Software/Permut/>). To test
166 the chloroplast genomic differentiation among populations and between species, the analysis

167 of molecular variance (AMOVA) was performed using ARLEQUIN version 3.5 with
168 significance tested using 10,000 permutations (Excoffier & Lischer, 2010).

169 We used DnaSP v5.0 to determine haplotypes based on concatenated cpDNA, and counted
170 the number of haplotypes for each of 56 populations. Then we inferred the genealogical
171 relationships of all cpDNA haplotypes using NETWORK version 5.0.0.1 (available at
172 <http://www.fluxus-engineering.com/sharenet.htm>) and dated the divergence between species
173 using BEAST version 1.7.5 (Drummond *et al.*, 2012). For BEAST analysis, we employed a
174 Yule speciation prior and a uncorrelated lognormal relaxed clock model. We used jmodeltest
175 version 2.1.10 (Darriba *et al.*, 2012) to choose the appropriate nucleotide substitution model
176 which was the HKY+I model. The Monte Carlo Markov chain was set for 50 million
177 generations with parameters sampled every 10000 generations in BEAST analysis. The
178 substitution rate (μ) of three cpDNA loci was estimated to be 4.18×10^{-8} - 4.61×10^{-8} by the
179 ABC toolbox (see detail below). Tracer version 1.6 was used to assess the convergence and
180 effective sample sizes (ESS) for all parameters. After discarding the first 3000 trees as burn-in,
181 the rest of trees were summarized in a maximum clade credibility (MCC) tree with
182 TreeAnnotator version 1.7.5. Finally, the MCC tree was visualized in FigTree version 1.4.2
183 (available at <http://tree.bio.ed.ac.uk/software/figtree/>).

184 **2.4 Analyses of nrDNA sequences**

185 Sequences also were edited and aligned manually using MEGA5 (Tamura *et al.*, 2011). All
186 polymorphic and heterozygous sites were visually confirmed and separated. For each of 5
187 nuclear loci, and within each species, we computed the number of segregating sites (S),
188 Watterson's θ_w (Watterson, 1975), nucleotide diversity π (Tajima, 1983), and the minimum
189 number of recombinant events R_m (Hudson & Kaplan, 1985), Tajima's D (Tajima, 1989),
190 number of haplotypes (N_h) and haplotype diversity (H_e), Fu and Li's D^* and F^* (Fu & Li,

191 1993; Fu, 1997), and Fay and Wu's H (Fay & Wu, 2000) using DnaSP v5.0 (Librado & Rozas,
192 2009). Meanwhile, the multi-locus Hudson–Kreitman–Aguade test (Hudson *et al.*, 1987) was
193 used to evaluate the fit of data to the neutral model. The sequences of *Diabelia serrate* were
194 used as the outgroup. For each nuclear locus, we used NETWORK version 5.0.0.1 (available
195 at <http://www.fluxus-engineering.com/sharenet.htm>) to construct median-joining networks of
196 nuclear haplotypes determined by DnaSP v5.0.

197 To examine the population structure, we used two approaches. First, the Wright's fixation
198 index (F_{ST}) was estimated for each locus using ARLEQUIN version 3.5 (Excoffier & Lischer,
199 2010). Second, the admixture model implemented in STRUCTURE version 2.3.4 (Pritchard
200 *et al.*, 2000) was used to assess individual clustering. In STRUCTURE analysis, polymorphic
201 sites with $r > 0.7$ after Bonferroni correction (Fisher's exact test) were deleted due to likely
202 linkage disequilibrium. Twenty independent runs were performed for each number of
203 populations (K) from 1 to 10 with 1×10^5 MCMC steps of burn-in, followed by 1×10^6 steps
204 using an admixture model with correlated allele frequencies. The best number of clusters was
205 inferred using the original method (Pritchard *et al.*, 2000) and the ΔK statistic of (Evanno *et*
206 *al.*, 2005). Finally, DISTRUCT version 1.1 (Rosenberg, 2004) was employed to draw the
207 graphics.

208 **2.5 Testing hypotheses of historical gene flow**

209 We tested five models of species divergence between *D. floribunda* and *D. yunnanensis*
210 based on sequences at all nuclear and chloroplast DNA loci from all samples of 56
211 populations, using the approximate Bayesian computation (ABC) approach implemented in
212 the ABC Toolbox software package (Wegmann *et al.*, 2010). All models began with the
213 divergence of *D. yunnanensis* from *D. floribunda* at a time point labeled "T". Model 1
214 assumed no gene flow after divergence (Fig. 2a), whereas Models 2-5 all assumed historical

215 gene flow following divergence. Models 2 and 3 assumed that gene flow continued after
216 divergence, and in Model 2 it continued until the present, whereas in Model 3 it ceased at
217 time $T1$ as required by the ancient migration model (Roux *et al.*, 2016). Models 4 and 5
218 assumed secondary contact between the species from the time $T2$ onwards; in Model 4 this
219 continued until the present, whereas in Model 5 it ceased at time $T1$.

220 For each species, we computed five statistics to summarize population genetic information:
221 the number of polymorphic sites (S) and private S , Tajima's D , Fu's F_S and nucleotide
222 diversity (π). For the two species together, we computed three more statistics: the total S ,
223 index of population differentiation (F_{ST}) and π_{xy} using ARLEQUIN version 3.5 (Excoffier &
224 Lischer, 2010). All of these statistics were calculated independently for both cpDNA and
225 nrDNA loci, making 26 statistical values in total. We used the R function 'pls' in
226 ABCTOOLBOX package (Wegmann *et al.*, 2010) to extract 11 partial least-squares (PLS)
227 components based on the summary statistics generated by simulation under each of 5 models,
228 for decreasing the redundancy of statistics. Conversion equations were inferred from the
229 10,000 samples simulated by a standard simulating algorithm for each of five models.

230 The simulator fastsimcoal (Excoffier & Foll, 2011) was employed to simulate samples for
231 each of 5 models. A total of 5,000,000 simulated samples were generated. For each model, the
232 best 10,000 simulated samples were retained and used to compute the marginal density and
233 Bayes Factor (BF), which was used to determine which model is the best. The regression
234 adjustment general linear model (GLM) was used to generate posterior distributions of all
235 parameters in the best model.

236 **2.6 Testing current gene flow**

237 We measured migration rate (m_c) using BAYESASS 1.3 (Wilson and Rannala, 2003) to
238 estimate short-term gene flow between *D. floribunda* and *D. yunnanensis* based on sequences

239 at all 5 nuclear loci. This software estimates migration rates over the past 2-3 generations
240 using Markov chain Monte Carlo techniques and does not assume that populations are in
241 migration-drift or Hardy-Weinberg equilibrium. Initial runs showed that convergence was
242 reached using 5×10^6 Markov Chain Monte Carlo (MCMC) iterations. We ran the program
243 for 5×10^7 MCMC iterations with a sampling interval of 1000, following the burn-in of $5 \times$
244 10^6 . We used the Brownian motion model with F_{ST} calculations of θ and M as starting
245 parameters, and Metropolis-Hastings sampling and uniform prior distributions to estimate θ
246 (range, 0-100; delta, 10) and M (range, 0-00; delta, 0).

247 **2.7 Testing synchronous changes of population sizes**

248 We tested the hypothesis that these two species had shifted their population sizes
249 synchronously using ABC Toolbox software (Wegmann *et al.*, 2010), based on sequence
250 variation at the nuclear and chloroplast DNA loci. Because STRUCTURE analysis of variation
251 at 5 nuclear loci above revealed two clusters within *D. floribunda*, to reduce the effects of
252 intra-specific substructure, we should analyze each cluster respectively. However, the sample
253 sizes of HL and NZ are too low to do test of population expansion, thus we deleted HL and
254 NZ. Finally, samples from 30 populations of *D. yunnanensis* and 24 populations of *D.*
255 *floribunda* were used in this hypothesis test of synchronous changes. To assess the
256 synchronization in population size change, we introduce a new parameter φ which is a scale
257 factor used to alter the timing parameter of population expansion (Fig. 2b). The null
258 hypothesis (model A) here was that these two species shifted their population sizes
259 synchronously ($\varphi = 1$). When $\varphi > 1$, the population size of *D. yunnanensis* shifted earlier than
260 the shift of *D. floribunda* (model B) and the reverse scenario ($\varphi < 1$) represented a later
261 change of the population size of *D. yunnanensis* (model C). Therefore, our test here is a

262 simplified version of the hierarchical approximate Bayesian computation (hABC) model for
263 two species, which can allow species-specific parameters to vary independently (Chan *et al.*,
264 2014). The simulation and estimation procedures are similar to those in testing historical gene
265 flow above.

266 **2.8 Species distribution modeling (SDM)**

267 To explore the niche differentiation and distributional changes of *D. yunnanensis* from *D.*
268 *floribunda*, we used the MAXENT program (Phillips & Dudík, 2008) to conduct testing of the
269 ecological niche models of either species and projected their potential distributions during
270 three periods: the present, the last glacial maximum (LGM, 21 kya), the last interglacial (LIG,
271 120-140 kya). Distribution information including 100 localities from *D. yunnanensis* and 170
272 localities from *D. floribunda* was gleaned from our field records and the Chinese Virtual
273 Herbarium (CVH, available at <http://www.cvh.ac.cn/>). We downloaded the environmental
274 dataset of 19 climate variables with spatial resolutions of 30 arc seconds from the WorldClim
275 database (<http://www.worldclim.org>, CCSM) as environmental layers. To reduce the
276 correlation between environmental variables, we examined pairwise correlations among the
277 19 variables and deleted variables with Pearson correlation coefficient (r) > 0.7. This reduced
278 to 8 the number of environmental variables. These 8 variables (Table S2) were used to model
279 the distributional ranges of each evolutionary lineage. We used 80% of the species records for
280 training and 20% for testing the model in Maxent analysis. The accuracy of the model's
281 performance was evaluated based on the area under the receiver operating characteristic curve
282 (AUC; Fielding & Bell, 1997) and the true skill statistic (TSS; Allouche et al., 2006) using an
283 ensemble modelling approach in BIOMOD2, and graphics were drawn using DIVA-GIS7.5.

284 **3 Results**

285 **3.1 Genetic variation of cpDNA sequences**

286 We successfully sequenced three cpDNA fragments (*psbA-trnH*, *psbB-psbF*, *trnL-trnF*)
287 across all sampled individuals from 56 populations without missing sites. All indels were
288 excluded from subsequent analyses because of difficulty in alignment. The total length of
289 concatenated sequences was 1937 bps (*psbA-trnH*: 245 bps; *psbB-psbF*: 779 bps; *trnL-trnF*:
290 913 bps) after deleting indels. We identified a total of 29 polymorphic sites and 30 haplotypes
291 (Table S2). Twenty-one haplotypes (H10-H30) were present in *D. yunnanensis* and 11
292 haplotypes (H1-H11) were present in *D. floribunda*. Two haplotypes (H10, H11) were shared
293 by *D. yunnanensis* and *D. floribunda* (Figs. 1, 2). The total genetic diversity is higher in *D.*
294 *yunnanensis* ($H_T=0.908$, $H_S=0.144$) than in *D. floribunda* ($H_T=0.633$, $H_S=0.113$). The
295 coefficient of genetic differentiation Nst is significantly larger than Gst for each of *D.*
296 *yunnanensis* and *D. floribunda*, indicating significant spatial genetic structure within species
297 (Table S3).

298 The AMOVA analysis (Table S4) revealed that 52.29% of molecular variation was
299 distributed between species ($F_{CT} = 0.52$, $P < 0.01$). The intra-specific population fixation
300 indexes (F_{ST}) were 0.92 and 0.83 ($P < 0.01$) for *D. yunnanensis* and *D. floribunda*,
301 respectively. These high levels of differentiation indicated restricted movements of
302 chloroplast genomes among intra-specific populations, and also between species. The
303 genealogy of 30 haplotypes showed that most sampled individuals were grouped into two
304 clades comprising 22 haplotypes (clades 1 & 2; Figs. 3, 4). The remaining 8 haplotypes
305 formed a third, more weakly supported group (Grade 3; Fig. 4), which was sister to clade 2.
306 However, the haplotype network gives what may be a clearer picture, with haplotypes H8-
307 H15 forming Grade 3, from which clades 1 and 2 are independently derived. Clade 1 (H15-
308 H30) occurs only in *D. yunnanensis*, and comprises 277 out of 309 individuals of that species
309 examined. Likewise, Clade 2 (H1-H7) occurs only in *D. floribunda*, comprising 195 of 238

310 individuals of *D. floribunda* examined (Figs. 3, 4). The remaining 32 and 43 individuals of
311 the two species comprised the Grade 3 (Figs. 3, 4). The dating tree inferred by BEAST
312 suggested that the first (crown) divergence among these haplotypes occurred 430 Kya years
313 ago (Ma; 95% HPDI: 0.26-0.66), assuming a generation time of 10 years.

314 **3.2 Genetic diversity at nuclear loci**

315 The diploid sequences were aligned and phased for each of the five nuclear loci. No indels
316 was found in any of the nrDNA loci examined. The total length of alignments was 2069 bps
317 and the length of each locus ranged from 315 bps to 577 bps, with mean length 414 bps. The
318 neutrality tests for each locus indicated no significant signal of selection (Table 2, S5).

319 The average value of total nuclear nucleotide variation was slightly higher in *D. floribunda*
320 ($\theta_w = 0.0062$, $\pi = 0.0057$) than in *D. yunnanensis* ($\theta_w = 0.0054$, $\pi = 0.0055$). The minimum
321 number of recombination events (R_m) was from 2 to 3 in *D. floribunda* and from zero to 6 in
322 *D. yunnanensis*. For *D. yunnanensis*, the mean Tajima's D values (-0.0070) were negative,
323 and the average Fu's F^* (1.08) and Li's D^* (0.80) were positive. For *D. floribunda*, the mean
324 Tajima's D value (-0.25) was negative, whereas the mean Fu's F^* (1.08) and Li's D^* (0.70)
325 were positive.

326 Networks for each of the five nuclear loci did not detect any polymorphic sites with a fixed
327 difference between the species, and were some shared haplotypes found (Fig. S1). Significant
328 population differentiations within and between species were found (Table 3). The
329 STRUCTURE analysis revealed that the likely number of clusters across all sampled
330 individuals was $K = 2$ (Fig. 5). The first cluster comprised individuals from 24 populations of
331 *D. floribunda*, and the second cluster was composed of the remaining two populations of *D.*
332 *floribunda* (NZ, HL) and all populations of *D. yunnanensis*.

333 **3.3 Inter-specific divergence and gene flow**

334 Based on both chloroplast and nuclear DNA sequences, model comparison by ABCtoolbox
335 showed that two models bear BFs larger than 3.0, relative to the model 2 which assumed
336 continual gene exchange between species from splitting to the present. The model 5 was the
337 best fit to our data with the highest BF = 3.90 (Fig. 2a). The second best model is model 3, of
338 which BF = 3.78 was slightly lower than model 5. Both models identified gene exchange after
339 divergence and recent reduction or even cessation of inter-specific gene flow. However,
340 model 5 assumed a period of primary isolation between *D. yunnanensis* and *D. floribunda*.
341 The divergence time (T_{div}) between *D. yunnanensis* and *D. floribunda* was estimated by
342 ABCtoolbox at 628 029 – 1 023 500 years ago (assuming 10 years per generation), consistent
343 with the mid-Pleistocene climatic transition between 700 000 – 1 250 000 years ago. Taking
344 into account the younger divergence estimate from BEAST (see above), this gives an age
345 range of 430 – 1024 ka (thousand years ago) for the divergence event. The cessation of inter-
346 specific gene flow (T_1) was dated at 48 – 6734 years ago, in the Holocene period. The
347 estimated parameters indicated that the effective population size of *D. yunnanensis* was
348 slightly larger (not significantly so) than that of *D. floribunda* (Table 4).

349 Recent migration rates (m) by the BAYESASS showed that gene flow are low either from
350 *D. floribunda* to *D. yunnanensis* (0.0267, 95% CI 0.005-0.043) or opposite direction (0.0026,
351 95% CI 0.0005-0.042). This estimation indicated rare gene exchange between these two
352 species, consistent with the ABCtoolbox test above.

353 **3.4 Asynchronous changes of population sizes**

354 The simulations in the hABC framework showed that model B ($\phi > 1$, BF = 50595.4) was
355 better supported than model A ($\phi = 1$, BF = 1.0) and model C ($\phi < 1$, BF = 3×10^{-134}),
356 indicating that *D. yunnanensis* and *D. floribunda* responded asynchronously to the Pleistocene
357 climate changes (Fig. 2b). For both species, signals of population expansion were detected.

358 For *D. floribunda*, the estimated timing of population expansion was 16.68 thousand years
359 ago (ka; 95% HPDI: 1.35 - 394.29), during the post-glacial period. The estimated ϕ was 2.01
360 (95% HPDI: 1.00 - 73.93), indicating that populations of *D. yunnanensis* expanded much
361 earlier, at around 33.58 ka, before the LGM period but not earlier than the Last Interglacial
362 (LIG) period.

363 **3.5 The distributional prediction of the two species during three periods**

364 AUC and TSS values indicated high levels of predictive performance for both species
365 (Table 2). For *D. floribunda*, AUC and TSS values were 0.98 and 0.89, respectively. For *D.*
366 *yunnanensis* were 0.95 and 0.84, respectively. The results of ecological niche modeling (Fig.
367 6) showed that the similarities (*D* and *I*) between the climatic niches occupied by *D.*
368 *floribunda* and *D. yunnanensis* were significantly lower than would be expected from random
369 sampling. The projected distributions of these two species at present encompassed most of
370 sampling locations. During the LGM period, the range of *D. floribunda* was narrow and
371 scattered, relative to the current distribution, and it seemed to have been restricted mainly to
372 the north and east of the Sichuan Basin. Conversely, *D. yunnanensis* was mainly distributed in
373 the west and south of the basin as far south as Myanmar and Laos, but might have occupied
374 some areas to the north of the basin. During the LIG period, these two species were likely
375 distributed adjacently in the south and west of the basin.

376 From the LGM to the present, the range of *D. floribunda* expanded but the range of *D.*
377 *yunnanensis* seems to have either remained stable or reduced, following expansion during the
378 LIG-LGM period. Surprisingly, the distribution of *D. floribunda* appears to have integrally
379 moved northwards by some distance during the LIG-LGM period, spanning the Sichuan
380 Basin. Conversely, *D. yunnanensis* experienced *in situ* expansion in the southwest of the basin
381 from the LIG to the present.

382

383 **4 Discussion**

384 It is important to examine the role of geographic barriers in the process of species
385 divergence (Endler, 1977; Abbott *et al.*, 2008; Avise, 2012; Grant & Grant, 2017). In the
386 present study, we tested the effects of the Sichuan Basin on the divergence of two montane
387 species, *D. floribunda* and *D. yunnanensis*. The analyses of chloroplast and nuclear sequence
388 variation showed high differentiation between species and among intra-specific populations
389 (Fig. 1; Tables 3, S3, S4), indicating limited dispersal ability for both species. The divergence
390 event between species was dated during the mid-Pleistocene period, between 430 and 1,024
391 Ka depending on the analysis used (Table 4; Fig. 4); hence they diverged long after the
392 Sichuan Basin formed, which was during the Neogene. Species distribution modeling (SDM)
393 suggested that the two taxa might have shared a range during the LIG, meaning that allopatry
394 between the species formed, or was resumed, during the LIG and LGM, continuing until the
395 present (Fig. 6). Consistent with this, reduction of interspecific gene flow after the LIG was
396 supported by the ABC analysis (Fig. 2a; Table 4).

397

398 **4.1 Asynchronous responses to climate change**

399 Demographic analyses based on the chloroplast and nuclear sequence variation recovered
400 signals of asynchronous population expansion (Fig. 2b). hABC and SDM analysis together
401 (Figs. 2b, 6) suggested that *D. floribunda* expanded in the north of the Sichuan Basin at
402 around 16.68 ka, i.e. after the LGM (~20 ka), although ENM suggests it could have occupied
403 parts of that range during the LGM (Fig. 6). Such post-glacial range expansion is seen in
404 many other plants from western China (Qiu *et al.*, 2011; Liu *et al.*, 2012).

405 In contrast, the last detectable population expansion in *D. yunnanensis* was ~33580 years
406 ago, a little before the LGM began, following which SDM suggested that it maintained a
407 near-stable distribution in the south of the basin and the Hengduan Mountains (Fig. 6).
408 Consistent with this, hABC analysis suggested that the expansion timing of *D. floribunda* was
409 more recent than the expansion of *D. yunnanensis*, as shown in model B ($\phi > 1$). Furthermore,
410 the greater number of haplotypes, and steps between them, in Clade 1 relative to Clade 2,
411 likewise is consistent with expansion within the former (and hence *D. yunnanensis*) having
412 occurred somewhat earlier. Hence *D. floribunda*'s last major expansion was after the LGM,
413 whereas that for *D. yunnanensis* was before it, indicating profoundly different and
414 asynchronous demographic responses to Pleistocene climate changes. That the range of *D.*
415 *yunnanensis* changed little after the LGM could be explained if *D. yunnanensis* responded to
416 the climate changes of the time by shifting altitudes (Fig. 6).

417 ENM suggests that the Sichuan Basin would have remained unavailable to these species
418 through the LIG and LGM as well as the present, forming a constant barrier. Especially
419 during the LGM, both species seemed distributed in the north of the basin, despite *D.*
420 *yunnanensis* not occurring there at present, indicating a profound post-LGM range shift for
421 that species. Genetic similarity to *D. yunnanensis* in population HL and NZ of *D. floribunda*
422 (Figs. 1, 5), might be the result of genetic swamping of *D. yunnanensis* by immigrant material
423 of *D. floribunda*.

424 The presence of the basin likely reduced the area available for contact between these
425 species whether they were distributed on opposite sides of it, as during the present. Without it,
426 there could have been many more contact points towards the centre of the species' shared
427 range. Hence the basin potentially restricts contact, gene flow and competition between these
428 species, but thereby also might promote genetic swamping for isolated populations.

429 Moreover, by reducing available routes from north to south, it might have restricted and
430 delayed recolonization, perhaps enhancing asynchronous demographic responses.

431 **4.2 The effect of basin isolation on the divergence between *D. floribunda* and *D.*** 432 ***yunnanensis***

433 The estimated time of divergence between *D. floribunda* and *D. yunnanensis* (430 – 1,024
434 ka) is consistent with the onset of the Naynayxungla Glaciation (0.5 – 0.8 Ma) in the Qinghai-
435 Tibet Plateau (Zheng & Rutter, 1998; Zhang *et al.*, 2000; Shi 2002; Zheng *et al.*, 2002), and
436 also broadly consistent with the mid-Pleistocene climatic transition 0.7 – 1.25 Ma (Ciaranfi *et*
437 *al.*, 2005; Head *et al.*, 2008). Between species divergence and the LIG, it is possible that gene
438 flow between the species was intermittent or even continuous (Model 3; Fig. 2a; Table 4).
439 However, gene flow during and after the LIG appears highly likely (Models 3 or 5; Figs. 2a, 6;
440 Table 4).

441 The nature of ABC analysis is to assign relative probabilities to different models, meaning
442 that in this case less supported models cannot be rejected entirely based on this analysis alone.
443 Despite this, evidence from haplotype relationships and STRUCTURE analysis provide
444 further insight into gene flow between these species, and can be used to assess these models.
445 The SDM analysis showed that these two species might have been co-distributed in the
446 southwest of the Sichuan Basin before and during the LIG period (Fig. 6a), providing
447 opportunities for hybridization and gene exchange, in which case that the Sichuan basin was
448 less of a barrier to them than it is now.

449 Theoretical and simulated studies suggest that geographic isolation would contribute to
450 speciation even in the presence of gene flow (Nosil, 2008; Abbott *et al.*, 2013; Sousa & Hey,
451 2013). Nevertheless, the biogeographic processes of speciation in most plants are still unclear.
452 In the present study, we compared the models allowing primary or secondary contacts (Fig.

453 2a). If geographic isolation contributed to the differentiation between *D. floribunda* and *D.*
454 *yunnanensis*, then models that predict a complete cessation of gene flow for some period after
455 speciation should perform better than those that predict ongoing gene flow following
456 speciation; our analysis showed consistent results. Indeed, the best performing model was that
457 predicting gene flow for a period, but ceasing some time before the present (Model 3, Fig. 2a).
458 Models allowing recent gene flow (2 and 4) were not supported, which fits well with ENM
459 analyses that indicate sympatry during the LIG, but not afterwards (Fig. 6). Genetic migration
460 estimates by BAYESASS also indicated that current gene flow is rare detectable between two
461 species.

462 **4.3 Range expansion and interspecific gene flow**

463 Taking the two species together, cpDNA haplotypes fall into three clear groups: two large,
464 well-supported monophyletic clades, 1 and 2, comprise only material of *D. yunnanensis* and
465 *D. floribunda*, respectively (Figs. 3, 4, S2). The remaining eight haplotypes comprise the
466 Grade 3, whose relationships are poorly supported; this comprises four haplotypes from *D.*
467 *yunnanensis*, two from *D. floribunda*, and two that are shared. Notably, all three of these
468 haplotype groups exhibits a very distinctive geographical range: the Grade 3 comprises the
469 four most northerly populations of *D. yunnanensis* plus neighbouring populations from the far
470 west of and *D. floribunda*'s range, plus two southeastern outliers of *D. floribunda*. All
471 remaining material from the centre of *D. floribunda*'s range has Clade 2 haplotypes (except
472 for a few plants from population SNJ), whereas all remaining populations of *D. yunnanensis*
473 have Clade 1 haplotypes (Fig. 1).

474 Such a pattern, with admixture among early branching haplotypes, could suggest lineage
475 sorting, but this alone cannot explain the strong geographical structuring of clades. However,
476 the haplotype network (Fig. 3) shows a pattern where two particular haplotypes (H25 for

477 Clade 2, and either H22 or H23 for Clade 1) were ancestral to a burst of cpDNA haplotype
478 divergence. Effectively, these particular haplotypes diverged many daughter haplotypes,
479 while those from the Grade 3 diverged few or none. This can be explained if haplotypes H25
480 and H22/H23 were the only haplotypes present in material that was undergoing range
481 expansion, which in turn implies a biogeographic barrier limiting the number of within-
482 species lineages that could move past it. Therefore, the Sichuan Basin might have acted as a
483 filter during these range shifts, causing founder or extreme leading edge effects, reducing
484 within-species diversity.

485 The situation in *D. yunnanensis* may be more complex, with a clade within Clade 1
486 possibly indicating more than one wave of expansion. Nonetheless, the existence of the
487 monophyletic, geographically well-defined clades within each species is highly consistent
488 with episodes of range expansion, as indicated by our other analyses. Based on ENM, the
489 expansion in *D. yunnanensis* might have been southward, following the LIG (Fig. 6), but the
490 picture is less clear for *D. floribunda*. The Sichuan basin might have separated the Clade 2
491 material of this species from Grade 3 material during the LGM (Fig. 6).

492 What gene flow there appears to have been between these two species involves mainly,
493 but not only, those populations that have the Grade 3 haplotypes. Haplotype H3 diverged
494 from H4 around 600000 years ago (Figs. 3, 4), yet both are shared between the species (Figs.
495 3, 4), indicating that at least one has jumped between species since that time. Otherwise, some
496 haplotype admixture across the Grade 3 could be attributable to lineage sorting, especially H2,
497 which occurs well away from other Grade 3 haplotypes in population SNJ of *D. floribunda*.
498 With haplotype data alone, one could infer that material of both species to the NW of the
499 Sichuan Basin was ancestral, that material to the west (*floribunda*) and south (*yunnanensis*)

500 resulted from later waves of expansion, and that very limited gene flow had followed,
501 involving the older populations.

502 STRUCTURE (Fig. 5) reveals two populations (HL and NZ) that match *D. floribunda* for
503 morphology and geographic range, but cluster with *D. yunnanensis*, probably indicating past
504 hybridization between species (Muir & Schlotterer, 2005; Petit & Excoffier, 2009). The two
505 populations are distant from each other, and NZ is well separated from *D. yunnanensis* by the
506 basin, implying that it received *floribunda* germplasm either via a dispersal event across the
507 basin, or a relict population left over from when it was distributed on the north side during the
508 LGM (Fig. 6). Either way, the fact that no neighbouring populations are affected suggests that
509 introgression occurred after the most recent episode of range expansion. This, plus the two
510 shared haplotypes between the species, provides evidence for sporadic gene flow between
511 them, and an indication that some of it may have been post-LGM. From this, ABC model 1
512 (allopatric speciation with no subsequent gene flow) can be confidently rejected. Conversely,
513 the rarity of interspecific gene flow according to our data also indicates that the current
514 allopatric pattern surrounding the Sichuan Basin at least minimizes inter-specific gene flow
515 (Fig. 2a; Table 4). Overall, both allopatric and other speciation modes are possible, such as
516 ecological niche divergence, but complex-post-divergence history would obscure their signal.

517 **5 Conclusion**

518 We used a case study of two *Dipelta* species to test the hypothesis of the basin isolation
519 on the species evolution. The ABC, hABC and SDM analyses all supported the post-
520 divergence formation of allopatric distribution and asynchronous demographic shifts. The
521 extreme northward movements of *D. floribunda* from the south to the north of the Sichuan
522 Basin after the LIG, causing the formation of allopatric pattern of these two species, occurred
523 much later than the species divergence event. Subsequently, these two species responded to

524 the Pleistocene climate changes asynchronously because the Sichuan Basin increased the
525 difficulty in colonizing suitable habitats for *D. floribunda*. CpDNA haplotype patterns within
526 both species are consistent with independent demographic expansions within each of them,
527 whereas cpDNA and nuclear evidence reveal occasional instances of gene flow between them.
528 Species-specific biological attributes have been repeatedly indicated to be the main
529 determinants of diversification and demographic patterns (Smith *et al.*, 2014; Papadopoulou
530 & Knowles, 2016; Prates *et al.*, 2016). However, our results highlight that complex
531 topography should be considered in understanding the distributional pattern and asynchronous
532 responses of closely related species.

533

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679

680 **Supplementary item legends :**

681 Fig. S1. Network analyses of genotypes at each nuclear locus

682 Fig. S2. Geographical distribution of 3 Clades identified from Phylogenetic relationships among cpDNA
683 haplotypes and 2 Clusters from STRUCTURE (K=2) based on five low-copy nuclear gene dataset.

684 Table S1. List of primers for the five nuclear loci and three cpDNA loci, putative function, primer sequences and
685 according references.

686 Table S2. The 8 environmental variables used for ecological niche modeling in this study.

687 Table S3. Genetic diversity and differentiation analyses for cpDNA variations in *D. yunnanensis* and *D.*
688 *floribunda*.

689 Table S4. Analysis of molecular variance (AMOVA) of cpDNA data.

690 Table S5. HKA test statistic for *D. yunnanensis* and *D. floribunda*.

691

692 **Data Accessibility Statement**

693 "Data Accessibility:

694 - DNA sequences: GenBank accessions MG993626-MG994796

695 - Climate data and MaxEnt input files: Climate data were downloaded from the WorldClim database
696 (<http://www.worldclim.org>, CCSM) and MaxEnt input files Dryad doi.org/10.5061/dryad.b52n66j

697 - Sampling locations: In this manuscript (Table 1)

698

699 **Tables**

700 Table 1 The information of sampling locations of *Dipelta floribunda* and *D. yunnanensis*. The
 701 numbers of individuals used for chloroplast and nuclear DNA sequencing are represented by *N1* and
 702 *N2*, respectively. *N* represents the number of chloroplast haplotypes identified in each population.

Population	Sample location (all in China)	Longitude	Latitude	Altitude	<i>N1</i>	<i>N2</i>	Haplotypes (<i>N</i>)
<i>Dipelta yunnanensis</i>							
BS	Tianlinxian GX	106°13.5'	24°17.833'	1292	14	5	H24(14)
CH	Wuchuanxian GZ	108°07'	28°40.783'	1108	5	5	H24(5)
LLX	Longlixian GZ	106°54.667'	27°18.733'	1350	8	5	H27(2) H25(6)
ML	Mulixian SC	101°16.7'	27°55.933'	2269	13	5	H29(13)
YXX	Yueixian SC	102°27.083'	28°45.35'	2588	9	2	H28(9)
HY	Hongyaxian SC	102°51.517'	29°29.3'	2100	12	6	H30(9) H29(3)
ZJ	Zhaojuexian SC	102°33.65'	27°49.867'	2824	2	2	H24(2)
LJS	Pugexian SC	102°25.933'	27°19.25'	3050	8	6	H29(8)
HD	Ludingxian SC	102°02'	29°43.95'	2310	15	3	H29(15)
YE	Emeishan SC	103°28.933'	29°36.217'	2433	10	2	H30(10)
WC	Wenchuanxian SC	103°35.167'	31°36.817'	2337	7	6	H10(2) H9(1) H8(4)
DJY	Dujiangyan SC	103°33'	31°03'	1986	6	1	H11(6)
BX	Baoxingxian SC	102°50.383'	30°36.567'	2100	4	4	H15(3) H14(1)
DY	Xilingxueshan SC	103°09'	30°40.2'	2250	15	6	H15(15)
CWL	Chayuxian XZ	98°27.8'	28°28.583'	1920	16	5	H22(16)
JZ	Jiaozixueshan YN	102°53.717'	26°05'	2730	12	6	H18(12)
YL	Yulongxueshan YN	100°15.967'	27°02'	2800	8	3	H29(8)
JZS	Jizushan YN	100°22.05'	25°28.783'	2800	16	6	H23(7) H16(5) H17(4)
HTX	Hutiaoxia YN	99°57.4'	27°21.3'	2737	15	4	H29(15)
BR	Wengshuixiang YN	99°42.244'	28°00'	3105	11	5	H29(5) H21(6)
BZL	Benzilan YN	99°09'	28°17.29'	3131	8	3	H21(5) H19(3)
LP	Lanpingxian YN	99°24.361'	26°27.682'	2650	13	5	H23(13)
BD	Yezhizhen YN	99°04'	27°40.459'	2754	8	0	H21(1) H20(7)
LD	Langduxiang YN	99°41.983'	27°49.983'	3282	7	4	H29(7)
MS	Meilixueshan YN	98°51.24'	28°28.73'	2875	9	2	H21(9)
MD	Gongshanxian YN	98°19.383'	28°10.35'	2390	14	6	H22(14)
YG	Huapingxian YN	101°25.483'	26°37.95'	1320	11	6	H29(11)
YM	Yimenxian YN	102°16.396'	24°61.886'	1600	7	2	H23(7)
YMX	Yanmenxiang YN	98°53.569'	28°04'	2910	14	4	H21(14)
JF	Jinfoshan CQ	107°11.017'	28°58.7'	1350	12	6	H26(2) H24(10)
<i>D. floribunda</i>							
LX	Lixian GS	105°02'	33°41.567'	1563	8	5	H3(8)
ZKQ	Tielouxiangzhaikeqiao GS	104°27.833'	32°54.4'	1743	13	4	H3(13)
TLX	Tielouxiangcaoheba GS	104°27.833'	32°54.4'	1650	4	4	H9(4)
BKZ	Bikouzhen GS	105°14.75'	32°44.983'	1659	20	1	H3(20)
DBZ	Danbaozhen GS	104°44.814'	32°51.099'	1208	13	5	H9(6) H8(1) H3(6)
ZQX	Zhouquxian GS	105°23.449'	33°34.182'	1928	17	5	H3(17)

DCX	Tianshuitaohuagou GS	105°43.25'	34°34.917'	1169	4	1	H3(4)
DC	Tianshuidangchuan GS	106°08'	34°20.15'	1596	4	3	H3(2) H1(1)H7(1)
CX	Chengxian GS	105°49.811'	33°43.322'	1460	8	3	H3(8)
HX	Huixian GS	105°45.365'	34°03'	1413	7	1	H3(7)
XYS	Guchengxian HB	111°18.783'	32°07'	611	1	1	H4(1)
YRZ	Shenlongjiayangrizhen HB	110°50'	31°45.4'	864	5	5	H3(5)
SNJ	Shenlongjiasongbaizhen HB	110°38.617'	31°45.35'	978	7	6	H3(5) H12(2)
JS	Jishou HN	109°35.433'	28°19.917'	584	7	5	H13(7)
FH	Fenghuangxian HN	109°30.15'	28°15.6'	824	12	5	H13(12)
XXX	Xixiangxian SX	107°32.033'	32°42.567'	1299	12	6	H3(10)H6(1)H5(1)
NZ	Nanzhengxian SX	106°57.45'	32°45.1'	1050	13	6	H3(13)
XY	Xunyangxian SX	109°34.667'	32°58.617'	1290	12	2	H7(12)
YX	Yangxian SX	107°40.917'	33°26.45'	830	15	5	H3(15)
PL	Pinglixian SX	109°14.917'	32°05'	1201	9	3	H7(9)
BJ	Baojishi SX	107°13.967'	34°21.867'	867	2	2	H7(2)
NS	Ningshanxian SX	108°18.567'	33°18.733'	882	13	4	H3(11) H2(2)
GY	Guangyuanxibeixiang SC	105°44.083'	32°33.817'	800	13	6	H3(13)
WCX	Wangcangxain SC	106°29.55'	32°32.5'	690	8	5	H3(8)
HL	Huanglong SC	103°49.25'	32°45.05'	3301	5	1	H9(4) H8(1)
PW	Pingwuxian SC	104°31.2'	32°37.6'	1407	6	4	H9(6)

703 Abbreviations: GX, Guangxi; GZ, Guizhou; SC, Sichuan; XZ, Xizang; YN, Yunnan; CQ, Chongqing;
704 GS, Gansu; HN, Hunan; HB, Hubei; SX, Shaanxi.

Table 2 Nucleotide variation, nucleotide diversity, haplotype diversity and neutrality tests at five nuclear loci for *Dipelta yunnanensis* and *D. floribunda*.

Species	Locus	Total		<i>S</i> (singl.)	θ_{wt}	π	Haplotype diversity		Recombination		Neutrality tests			
		<i>N</i>	<i>L</i>				<i>N_h</i>	<i>H_e</i>	<i>R_m</i>	<i>4Ner</i>	<i>D</i>	<i>D*</i>	<i>F*</i>	<i>H</i>
<i>D. yunnanensis</i>	23311	250	343	14(1)	0.00669	0.00681	16	0.7496	2	3.00	0.04088	0.85712	0.65612	-3.53157
	38541	250	416	13(2)	0.00473	0.00443	18	0.821	3	18.00	-0.15088	0.70147	0.45926	-0.0808
	41398	250	315	13(0)	0.00677	0.00587	15	0.800	1	2.00	-0.61670	0.92647	0.39977	0.8303
	45367	250	577	23(0)	0.00654	0.00798	31	0.911	6	8.00	0.45828	1.86375**	1.55371	1.7741
	56546	250	418	6(0)	0.00235	0.00263	7	0.677	0	0.00	0.23349	1.04717	0.91315	0.4662
	Average	250			0.005472	0.005544					-0.006986	1.079196	0.796402	-0.108354
	23311	196	343	15(0)	0.00747	0.00722	19	0.869	2	6.00	-0.24294	1.60808*	1.07781	-1.2089
	38541	196	416	10(0)	0.00411	0.00213	13	0.424	2	14.00	-1.12587	1.33137	0.52624	-0.9970
	41398	196	315	18(3)	0.00976	0.00814	20	0.813	2	9.00	-0.43758	0.04962	-0.16831	1.2018
	45367	196	577	14(1)	0.00415	0.00440	15	0.800	2	11.00	0.15406	0.89179	0.73558	1.6819
56546	196	418	14(0)	0.00572	0.00669	13	0.709	3	8.00	0.42674	1.52684	1.33319	1.0822	
<i>D. floribunda</i>	Average	196			0.006242	0.005716					-0.245118	1.08154	0.700902	0.352

706

Abbreviations: *N*, sample size; *L*, length in base pairs; *S*, number of segregating sites; π , nucleotide diversity; θ , Watterson's parameter; *R_m*, the minimum

707

number of recombinant events; *N_h*, number of haplotypes; *H_e*, Nei's haplotypic diversity; *D*, Tajima's D statistic; *H*, Fay and Wu's H; *D**, *F**, Fu and Li's D*,

708

F* test; Significant level: *0.01 ≤ *P* < 0.05; ** 0.001 ≤ *P* < 0.01; ****P* < 0.001.

709

710 Table 3 Genetic differentiation of the five nuclear loci for *Dipelta yunnanensis* and *D.*
 711 *floribunda*.

Species	Locus					Average
	23311	38541	41398	45367	56546	
<i>DY</i>	0.39081***	0.34968***	0.53696***	0.36509***	0.59930***	0.448368***
<i>DF</i>	0.40922***	0.47459***	0.29449***	0.54062***	0.43416***	0.430616***
<i>DY</i> vs. <i>DF</i>	0.68504***	0.70627***	0.61164***	0.57469***	0.67537***	0.650602***

712 Abbreviations: *DY* indicates *Dipelta yunnanensis*, *DF* indicates *Dipelta floribunda*.
 713 Significant level: * $P < 0.05$, ** $P < 0.01$ and *** $P < 0.001$.
 714

715 Table 4 Estimates of the posterior distributions of all parameters for the best model (Model 5).

Model	Parameter	N_a	N_y	N_f	$T1$	$T2$	T	Myf	Mfy
ancient SC	Mode	24484	29492	21295	48.63	19155.79	62802.94	1.26E-07	2.06E-06
	HPD 95% Lower	3810	7655	5527	10.00	45.40	5463.87	1.00E-09	1.45E-09
	HPD 95% Upper	217916	150193	113621	29831.84	557557.90	999700.71	7.39E-03	1.56E-02
PC	Mode	24484	24484	16876	6734.11	-	102350.51	1.32E-05	3.85E-07
	HPD 95% Lower	3637	8402	5790	14.51	-	5463.49	1.45E-09	1.20E-09
	HPD 95% Upper	207994	136842	103533	52127.87	-	999746.75	1.56E-02	1.56E-02

716

717

718

719 **Figure legends**

720 Fig. 1 Geographical distribution of 30 cpDNA haplotypes identified from the two *Dipelta*
721 species. The pie charts reflect the frequency of haplotype occurrence in each population.
722 Haplotype colours were shown in legend. The maps were made using DIVA-GIS 7.5
723 (www.diva-gis.org).
724

725 Fig. 2 Schematic diagram of five models designed for testing the most likely speciation
726 patterns (a) and synchronous changes of population sizes (b) with Approximate Bayesian
727 Computation (ABC). Bayes-Factors (BFs) are shown in top left corner of each panel. The
728 black arrows represent migration rate between the two *Dipelta* species, T indicate divergence
729 time of the two *Dipelta* species, T1 in model 3 indicate a time point that there is no gene flow
730 after this time point, T1 in model 4 denote a time point that there is no gene flow before this
731 time point, T2 and T1 in model 5 indicate two time point that there is gene flow between
732 these two time point.

733 Abbreviations are as follows: *DY*, *D. yunnanensis*; *DF*, *D. floribunda*; *N_a*, effective
734 population size of ancestral species; migration between diverging lineages (*M_{fy}*, *M_{yf}*); *N_{dy}*
735 and *N_{df}*, long-term equilibrium effective population size of *D. yunnanensis* and *D. floribunda*,
736 respectively.
737

738 Fig. 3 Median-joining network of cpDNA haplotypes inferred by NETWORK.

739

740 Fig. 4 Phylogenetic relationships among cpDNA haplotypes and divergence time estimation
741 generated from BEAST. Numbers above the branches were posterior probabilities (PP) for
742 main clades. A-E indicate main node ages.
743

744 Fig. 5 Population cluster analysis with plot of the delta K (ΔK) (a) and the Ln P(D) \pm SD (b)
745 using STRUCTURE (K=2, 3 and 4) based on five low-copy nuclear gene dataset (c).
746

747 Fig. 6 Ecological niche modelling predicted distributional range for each of the two *Dipelta*
748 species at three periods: (a) The Last Interglacial (LIG), (b) The Last Glacial Maximum
749 (LGM) (c) The Present time;. (d) The background tests.
750