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# **Drought-induced mortality in Scots pine**

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#### 32 ABSTRACT

Forests are sensitive to droughts, which increase the mortality rate of tree species. Various
 processes have been proposed to underlie drought-induced tree mortality, including
 hydraulic failure, carbon starvation, and increased susceptibility to natural enemies. To give
 insights into these processes, we assessed the metabolic effects of a mortality-inducing
 drought on seedlings of *Pinus sylvestris* (Scots Pine), a widespread and important Eurasian
 species.

- In testing seedlings from provenances with varying water availability, we found divergence
   over time in the foliar metabolic composition of droughted vs. well-watered individuals,
   with the former showing increased abundance of aromatic amino acids and decreases in
   secondary metabolism associated with defence.
- We observed no significant differences amongst provenances in these effects: seedlings
   from drought-prone areas showed the same foliar metabolic changes under drought as
   seedlings from moist environments, although morphological effects of drought varied by
   provenance.
- Overall, our results demonstrate how severe drought may lead to decreases in compounds
   derived from aromatic amino acids and compromise secondary metabolic pathways related
   to defences against natural enemies, thereby contributing to the risk of drought-induced
   mortality in *Pinus sylvestris*.
- 51

52 Keywords (6-10): drought, *Pinus sylvestris*, metabolomics, plant defence, seedling,
53 provenance effects, genotype by environment, carbon starvation, hydraulic failure

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- **Running header (short title):** Drought effects on growth and metabolism of *Pinus sylvestris*seedlings
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#### 64 INTRODUCTION

65 Fluctuations in environmental conditions necessitate appropriate plant responses. Despite 66 documentation of widespread forest dieback triggered by drought in all major forested biomes 67 (Allen et al. 2010; Allen et al. 2015), and of elevated mortality under drought in various tree 68 species (Mueller et al. 2005; Breshears et al. 2009; Martinez-Vilalta et al. 2010; Rigling et al. 69 2013), the underlying mechanisms of drought-induced mortality, species-specific vulnerability 70 and population level resilience are still poorly known. The theoretical framework of 71 physiological mechanisms of tree mortality currently focuses on hydraulic failure, where fluid 72 transport breaks down, and decreasing carbon availability, where metabolic demands may be 73 unmet owing to depletion of non-structural carbohydrates (e.g., McDowell et al. 2008; Galiano 74 et al. 2011; Körner 2015; Adams et al. 2017). Depending on the tree species, these mechanisms 75 need not be mutually exclusive (McDowell et al. 2008; Salmon et al. 2015; Mencuccini et al. 76 2015). For example, mortality of *Pinus edulis* was found to occur through both hydraulic failure 77 and carbon starvation (Sevanto et al. 2014). Further to these hypotheses, owing to the coupling 78 of xylem and phloem fluid transport, phloem transport limitations under drought have been 79 suggested to contribute to failure to supply the non-structural carbohydrates (NSC) essential to 80 plant metabolism (McDowell & Sevanto 2010; Sevanto et al. 2014). Defining carbon 81 limitations and carbon starvation prior to death has proven hard (e.g., Sala et al. 2012; Sevanto 82 2014), because little is known about the multiple metabolic pathways via which energy flows 83 to cover the metabolic needs of plants and because of the many ways by which carbon and 84 water limitations can interact in plants.

85

Owing to high mortality levels during seedling establishment, this stage represents a major bottleneck to recruitment into a population. Seedling establishment has been used as an indicator of the effects of climate change on species assembly in plant communities (Sternberg et al. 1999; Kullman 2002; Lloret et al. 2009). However, despite the heightened mortality rates and increased sensitivity to climate change of this demographic, the mechanism of droughtinduced mortality at the stage of seedling establishment is understudied (Lloret et al. 2004, 2009).

93

94 Scots pine is widespread across Eurasia, serving as a key timber species and abundant and 95 ecologically important in natural forest stands. Scots pine populations are known to vary 96 ecotypically (Rehfeldt et al. 2002). Latitudinal and longitudinal clines of phenotypic variability 97 in physiological traits have been observed, as well as differences in adaptive plasticity across

98 provenances (summarised by Semerci et al. 2017). Both phenotypic plasticity and local 99 adaptation could have a role in enabling resilience to drought. Relative to structural and 100 morphological plasticity, metabolism changes over short time-scales and is a more immediate 101 reflection of a plant's response to environmental stressors, including drought. Therefore, a 102 dynamic picture of a plant's response to drought over time can be obtained in metabolic studies, 103 both within and across provenances, which may shed light on how drought-induced mortality 104 is related to particular metabolic pathways. Drought stress is discernible at the level of plant 105 metabolic phenotypes. For example, shifts in carbon metabolism and secondary metabolite 106 synthesis related to water deficit and oxidative stress have been detected in multiple plant 107 species (Zhao et al. 2015, Bowne et al. 2012, Ings et al. 2013, Gargallo-Garriga et al. 2014). 108 Oxidative stress caused by the accumulation of cytotoxic reactive oxygen species (ROS) may 109 be of particular relevance to metabolic activity during mortality-inducing drought, since the 110 extent of oxidative damage is governed by the activity of particular metabolic pathways and 111 the capacity of antioxidant defences to avert an imbalance of ROS (Cruz de Carvalho et al. 112 2008).

113

114 Although the effects of drought on the metabolome of woody plants have been investigated 115 before (e.g., Gargallo-Garriga et al. 2014, 2015; Hamanishi et al. 2015, de Simón et al. 2017), 116 investigation of metabolic changes during drought to the point of mortality has not been carried 117 out. Because the levels of carbon reserves may change and even increase during drought, 118 investigation of the metabolic responses just prior to death are required to determine the 119 sequence of metabolic events leading to mortality (Ryan 2011). Non-targeted metabolomics 120 offers the possibility of capturing a global picture of metabolism, rather than just that related 121 to known metabolic pathways, and it can aid the discovery of novel pathways and interactions 122 amongst pathways (Hall 2006). Both phenotypic plasticity and local adaptation could have a 123 role in enabling resilience to drought and the seedling response is of particular importance, 124 since it represents a bottleneck in terms of higher mortality rates (Castro et al. 2005; Matias et 125 al. 2011; Semerci et al. 2016). Despite increasing knowledge on plasticity and genetic 126 variability of morphological and physiological traits, very little is known about plasticity at the 127 metabolic level. Insights into metabolic changes during seedling drought-induced mortality 128 will help to address this knowledge gap.

129

Here, we conduct an in-depth comparison of the foliar metabolome of *P. sylvestris* seedlings
that were droughted to the point of death versus well-watered, control seedlings. Further, we

132 compared seedlings from provenances that differ in natural water availability, in order to assess if there is provenance-specific variation in metabolic responses to drought. Additional 133 134 measurements of biomass and functional traits were taken to determine if changes at the whole-135 plant level correspond to those observed at the metabolic level. We hypothesise that drought 136 will have major effects on plant metabolism when photosynthesis becomes compromised and 137 carbohydrate availability for plant defence pathways is restricted. We also hypothesise that 138 seedlings from provenances that do not regularly experience intense droughts will show greater 139 metabolic and whole-plant changes under the drought treatment.

140

## 141 MATERIALS AND METHODS

# 142 Experimental conditions and sampling

143 The experiment was carried out over 5 weeks in July and August of 2015 in a controlled growth chamber at the University of Edinburgh (UK), under constant conditions with diurnal cycles 144 of 16 h light at 100 µmol m<sup>-2</sup> s<sup>-1</sup> and 8 h darkness. The light level was chosen to reduce the rate 145 of water use and consequent dehydration of the plants during the drought, as potted plants 146 147 would otherwise rapidly undergo hydraulic failure in a manner not reflective of field conditions 148 (Adams et al. 2017). Relative humidity was 65% (day) and 50% (night), with a constant day/night temperature of 21°C. This is the current mean temperature at the southern range limit 149 150 of this species during July and August (Matías and Jump 2014). The experiment was carried 151 out on 10 month old seedlings, germinated from seeds originating from four provenances 152 spanning a gradient of water availability from wet (Scotland) to intermediate (Austria, Poland) 153 to dry (Spain). Climatic characteristics of the four populations from which seeds were collected 154 are presented in Table 1. Mean annual precipitation was obtained from 1901 to 2015 from the 155 CRU TS3.10 Dataset (Harris et al. 2014). Maximum climatic water deficit values for these 156 sites, which correspond to the summed difference between evapotranspiration and precipitation over the dry season, were obtained from Chave et al. (2014). To give an indication of the degree 157 158 of water stress expected at the various seed-collection sites, mean soil pF values in the summer 159 month with the maximum mean pF value (July/August) from 1990 to 2014 were obtained from the European Drought Observatory (edo.jrc.ec.europa.eu/ on February 2<sup>nd</sup>, 2015). pF expresses 160 161 the force with which different quantities of water are retained in the soil (Woodruff 1940), with 162 lower values indicating higher water availability for plants. The seeds were collected from 163 open-pollinated trees, with at least 5 maternal parent trees sampled per provenance site. A total of 1200 seedlings were included in the experiment, with 600 per treatment and 300 perprovenance.

166

167 Two months prior to instigating drought and control treatments, the seedlings were re-potted 168 into 7 x 7 x 8 cm pots with Levingtons M3 pot and bedding high nutrient mix (Everris, Ipswich, 169 UK). The drought treatment consisted of complete withdrawal of irrigation that resulted in a 170 steep decline in soil water content (Fig. S1). A set of 10 seedlings were droughted one week before the others and were used to indicate when a final sampling point prior to mortality should 171 172 be carried out. By the end of the first week after the final harvest, 80-90% of seedlings in the 173 drought treatment had died, while mortality had not yet started by the time the final samples 174 had been collected. A one-week, re-watering period was used to confirm that the seedlings 175 were indeed dead. During the experiment, 40 pots across treatments and provenance (5 pots 176 per provenance and treatment) were weighed at 09:00 on days 0, 14, 29 and 36. At the end of 177 the experiment following plant harvesting, the pots were oven dried at 70°C for 48 hours to 178 obtain the dry weight. The gravimetric soil water content ( $\theta_d$ ) (grams of water per gram of 179 oven-dried soil) was calculated as (wet soil weight - dry soil weight) / dry soil weight. Then 180 volumetric water content ( $\theta_{vd}$ ) was calculated as gravimetric soil water content ( $\theta_d$ ) x (bulk 181 density  $(d_b)$  / density of water  $(d_w)$ ).

182

#### 183 Ecophysiological measurements

To assess pre-experiment morphological variation amongst provenances, height and crown 184 185 depth were measured for 15 seedlings per provenance at the start of the experiment. To assess 186 morphological impacts of drought, at each sample point including the start of the experiment 187 (t1=0, t2=11, t3=29), 5 individuals were sampled per provenance per treatment for trait analyses. Fresh leaf weight (FW, g) was measured by separating all needles from the shoot and 188 189 weighing them. After this, total leaf area (TLA, cm<sup>2</sup>) was obtained using scanned images of 190 all leaves and the ImageJ software (Image-J 136b; NIH, Bethesda, Maryland, USA). All 191 needles were saturated in vials of water for 24 hours in order to obtain the turgid weight (TW, 192 g). Dry weights (DW, g) of total needles and stem tissue were obtained after oven-drying for 193 48 hours at 70 °C. The percent relative water content was then calculated as: RWC = (FW-194 DW)/ (TW-DW) x 100. Water deficit was calculated as WD = (TW-FW)/(TW-DW). Specific 195 leaf area was calculated as SLA = TLA/DW.

Entire root systems were washed and arranged so as to avoid overlapping lateral roots on a clear plastic tray with a white background. Images taken with a digital camera were converted to binary and analysed to obtain total root length using RootReader2D plugin (Clark *et al.*,2013) in ImageJ. Roots were oven dried at 70°C for 48 hours and weighed to measure root biomass (g). Specific root length (SRL, m g<sup>-1</sup>), the ratio of root length to dry mass of roots, was also calculated.

- 202 To determine photosynthetic responses to drought, chlorophyll fluorescence from needles was 203 measured by means of a Plant Efficiency Analyzer (Hansatech Instruments Ltd., Norfolk, 204 England). The ratio of variable  $(F_v)$  to maximum fluorescence  $(F_m)$  was taken, since this value 205 has been widely used for assessing plant physiological status and the state of Photosystem II 206 (PSII) (Murchie & Lawson, 2013). F<sub>v</sub>/F<sub>m</sub> was measured for 5 seedlings per provenance at four 207 time points (0, 12, 26 and 33 days). The middle portion of one needle per seedling was placed 208 in the centre of the leaf clip measuring area. Needles were detached and dark adapted in leaf 209 clips supplied with the analyser for 30 min at room temperature. Then the minimum fluorescence ( $F_o$ ), maximum fluorescence ( $F_m$ ), variable fluorescence ( $F_v = F_m$ -  $F_o$ ), and the 210 211 ratio  $F_v/F_m$  were recorded using a saturating intensity pulse for 0.7 s at 80% intensity level of photon flux density (4620  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>). The maximal quantum yield at PSII was calculated as 212 213  $F_v/F_m = (F_m - F_o)/F_m$ .
- 214

## 215 Metabolomics sampling, extraction and analysis

To determine metabolic responses to drought, the foliar tissues of five randomly selected individuals per provenance per treatment were sampled at midday at three time points (0, 11, and 29 days). As the sampling was destructive, these were different individuals than those sampled for trait measurements. Entire shoots were immediately frozen in liquid  $N_2$  to ensure no wounding response was elicited by removing tissue from the plant, and then stored temporarily in frozen aluminium foil on dry ice. Samples were stored in a -80°C freezer until metabolite extraction.

223

A monophasic extraction method was used to extract metabolites. The solvent comprised acetonitrile, methanol, and HPLC-grade water (2:2:1) and was kept on wet ice to avoid evaporation. Frozen needle tissue was weighed into MK-28 Precellys homogenisation tubes (Stretton Scientific, Stretton, Derbyshire, UK) containing steel beads. The volume of solvent to be added was adjusted according to the fresh tissue weight and 5 % extra acetonitrile and 229 methanol were added to compensate for estimated differences in relative water content between 230 drought and control. Following the addition of solvent, samples were vortexed and 231 homogenised in a Precellys-24 bead-based homogenizer (Stretton Scientific) at room 232 temperature with two 3 minute pulses of 6800 rpm. The homogenate was transferred to a 1.5 233 ml microtube (Sarstedt, Nümbrecht, Germany) and half the volume of solvent was used to wash 234 the Precellys tube to ensure that any residual homogenate was transferred. Samples were placed 235 on ice and vortexed for 10 s before the mixture was centrifuged at 19 °C, 14000 rpm (19064 236 rcf, 10 min). Finally, 400 µl of supernatant were transferred to a new microtube, which was 237 stored at -80°C prior to speed vacuum drying (Wu et al., 2008). After centrifugation, equal 238 volumes of the supernatant were transferred into a new plastic tube and dried in a SpeedVac 239 before storage at -80°C. Taking up the samples in 100 µl water / methanol 1:1 with 0.1 % 240 formic acid could not be achieved by vortexing alone and required 20 min of sonication. A 241 quality control (QC) sample was pooled from 10  $\mu$ l each.

242

243 After centrifugation at 15000 rpm (21885 rcf) for 10 min at 4°C (Biofuge), 20 µl per sample 244 were pipetted into a 96-well plate in a controlled randomised order, with QC samples placed 245 equidistantly amongst them. The samples were analysed by UHPLC-MS on a Thermo 246 Scientific Q Exactive mass spectrometer attached to a Thermo Dionex Ultimate 3000 RS 247 system, equipped with a Thermo Hypersil Gold column (100 x 2.1 mm, 1.9 µm particles) (Clark 248 et al. 2017). Solvent A was 0.1 % formic acid in water and Solvent B was 0.1 % formic acid 249 in methanol. Liquid chromatography was performed over 14 min at a flow rate of 400 µl/min 250 containing a gradient from A to B from 1 to 8 min. Following analysis of preliminary test 251 samples of aqueous and organic phases, data were collected in positive ion and profile mode, 252 m/z (mass to charge ratio) 100-1000 Da at 70,000 resolution. Three additional runs of the 253 pooled QC sample were performed, one in positive ion mode at higher resolution (140,000), 254 and two runs using data dependent acquisition; MS/MS fragmentation dependent on the highest 255 5 signals per MS scan, in positive and negative ion mode. MS data were converted into mzML 256 format using MS Convert, and an R based XCMS/Camera script was used to obtain a first raw 257 intensity matrix, which was imported into MatLab (SimStitch 3.1). Blank filtering was applied 258 using a two-fold sample over blank threshold and peak signal filtering used an 80 % sample 259 filter, applied per group to generate a Sample Filtered Matrix. The dataset was normalised using 260 the probabilistic quotient normalisation (PQN) algorithm to correct for peak intensity 261 differences. Missing values were imputed using a K Nearest Neighbour algorithm (k=5). All values were then transformed using a generalised logarithm (g-log) to minimise
heteroscedasticity in downstream statistical analyses (Parsons et al. 2007; Di Guida et al. 2016).

265 *Statistical and bioinformatics analyses* 

266 In order to assess the effect of drought treatment, provenance and their interaction on 267 ecophysiological traits, we conducted 2-way analyses of variance (ANOVAs). Initial analyses 268 using all time points in the model and time as factor showed that most of the variation in 269 response variables occurred at the third time point and we thus focused on contrasting results 270 for the second and third time points. Where necessary, variables were log or arcsine 271 transformed prior to analysis to improve the normality of model residuals. This applied to: 272 crown percentage of shoot, maximum root length percentage of total root length, leaf RWC 273 and  $F_v/F_m$  data.

274

Metabolomics data were analysed using a combination of multivariate and univariate statistics. A visual comparison of the QC samples in multivariate space generated by a principal component analysis (PCA) assured us of the technical quality of metabolomics profiles (Fig. S2). The QC samples were then removed from the data for further analyses. We next conducted an additional exploratory PCA to visualise how the overall metabolome varied among provenances, experimental treatments and time points.

281

282 To test for the overall response of the metabolome during the experiment, we used regularised 283 multiple analyses of variance (rMANOVA). The combined implementation of multiple 284 analytical approaches has been strongly recommended when analysing metabolomics datasets 285 (Karp et al. 2005; Goodacre et al. 2007; Vinaixa et al. 2012). Multivariate analysis of variance 286 (MANOVA) cannot be used for analysis of high-dimensional data where the number of 287 observations is (much) less than the number of variables. This issue is avoided by using 288 regularized MANOVA (rMANOVA), a multivariate data analysis method that has been 289 specifically developed for analysis of multi-factor untargeted metabolomics data (Engel et al. 290 2015). rMANOVA can be considered as a MANOVA where a regularized (shrinkage) 291 estimator of the within-group variation is used rather than the sample estimator. Because of 292 this, the method is applicable to data where the number of observations is much smaller than 293 the number of variables. This method is closely related to ANOVA simultaneous component 294 analysis (ASCA), which is a well-known method for analysis of such data. The difference 295 between rMANOVA and ASCA is that rMANOVA tries to better take the correlations between

the observed peak intensities into account, which often makes it a more sensitive method to detect significant differences among groups (Engel *et al.*,2015). We constructed a full model with factors drought, time point, and provenance, including all possible two-way and threeway interactions. A permutation test with 1000 permutations was used to assess the significance of each factor and interaction in the rMANOVA model (Matlab, R2014b).

301

302 ANOVAs, in combination with Benjamini–Hochberg false discovery correction at  $\alpha = 0.05$ 303 (Benjamini & Hochberg 1995), were used for univariate analyses of the data. As provenance 304 did not generally show any significant results on its own or in interaction with other factors, 305 we focused on the effects of sampling time point and drought treatment on metabolite 306 composition of individuals. Specifically, we conducted pairwise comparisons that contrasted 307 different time points and experimental treatments. We first determined the number of 308 metabolites that showed significant differences in abundance between groups, as measured by 309 metabolite peak height.

310

311 For metabolite identifications, we focused on metabolites that showed significant differences 312 in abundance between drought and control at time point 3 and had an average absolute log<sub>2</sub> 313 fold change value greater than 1 in this comparison (i.e. were twice as abundant or twice as 314 rare in droughted versus control seedlings). We focused on this comparison because 315 multivariate and univariate analyses indicated that the greatest differences in metabolic 316 composition were between individuals in drought versus control at time point 3 and because 317 this represents the time point at which individuals in the drought treatment were closest to 318 drought-induced mortality.

319

320 Putative metabolite annotations were carried out by matching m/z values to the Kyoto 321 Encyclopedia of Genes and Genomes (KEGG) (Kanehisa et al. 2016), LipidMaps (Fahy et al. 322 2007) and BioCyc (Caspi et al. 2016) databases. These annotations were carried out with the software MI-PACK using a 2 ppm (KEGG with molecular formula search up to m/z 620), 323 324 3 ppm (BioCyc) or 4 ppm (LipidMaps) error margin, thereby also defining a range of 325 reasonable molecular formulae (Weber & Viant 2010). Since isomers and adducts are included 326 and not always distinguishable, and the number of possible compounds increases over the m/z327 range, not all peaks could be assigned with putative metabolite identification. The LC-MS/MS 328 data collected assisted the annotation (level 2 identification; Sumner et al. 2007).

330 To aid in identification of metabolic activity of specific pathways, given incomplete knowledge 331 of individual metabolite identities, the library mummichog (Li et al., 2013) from the 332 MetaboAnalystR package (Xia et al., 2015) was used. Mummichog employs a probabilistic 333 framework to bypass the need for complete prior metabolite identification, by combining 334 knowledge of metabolite spectral features with knowledge of linkages among known 335 metabolites within curated metabolic pathways (based on putative annotations). Given a list of 336 m/z ratios, values of log-fold change from control and univariate tests of significance for 337 individual compounds, mummichog computes a list of all possible candidate metabolites, 338 including common isotopic derivatives and adducts. If the list of significant features reflects 339 true metabolic activity, the true metabolites should show 'enrichment' (i.e., a significant 340 increase in the treatment relative to the control, or vice versa) for particular pathways, while 341 the falsely matched compounds should be distributed more randomly. In practice, *mummichog* 342 calculates a contingency table of enriched metabolites for every known pathway relative to the 343 total number of metabolites in that pathway; it adjusts the Fisher t-test by incorporating the 344 EASE measure of pathway size to increase robustness and draws random permutations of all 345 listed metabolites (including falsely matched compounds) across all pathways to derive a 346 gamma null distribution of P values, which is tested against the observed enrichment list. To 347 identify the metabolic pathways most likely altered immediately prior to death, we employed 348 *mummichog* to test for enrichment in the control against the drought treatment for the last time 349 point of the experiment. We ran *mummichog* in positive analytical mode, assuming a mass 350 accuracy of 3ppm and running 1000 permutations for each run. We considered cut-off 351 probability points varying from 0.05 to 0.0001 for the significance of the gamma distribution 352 and examined which pathways were most consistently identified across all cut-off thresholds 353 (fewer pathways are identified with lower thresholds). Software R version 3.2.2 was used for 354 data analyses (R Core Team, 2015).

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- 356

#### 357 RESULTS

### 358 Seedling biomass and photochemical capacity

359 At the start of the experiment (day 0, time point 1), there were significant differences amongst

360 provenances in height, with the tallest seedlings being from the Austrian provenance, Pernitz

- 361 (ANOVA, d.f=3, F=3.09, p=0.03). There were marginal differences in SLA, with the highest
- 362 SLA in seedlings from the Scottish provenance, Rothiemurchus (ANOVA, d.f=3, F=2.81,

363 p=0.072). Provenances did not differ significantly in any other seedling traits at the start of the 364 experiment (p > 0.1). At time point 2 in our study (day 11), most morphological variables were 365 not significantly affected by the drought treatment or provenance. Two exceptions were a 366 significant difference between drought treatment and control for root to shoot ratio (ANOVA, 367 d.f=1, F=11.08, p=0.002) and significant differences among provenances for maximum 368 quantum yield of PSII, Fv/Fm (ANOVA, d.f=3, F=2.9, p=0.04). There were no significant 369 interactions of treatment by provenance at time point 2.

370

By time point 3 (day 29), greater morphological differences between drought and control 371 372 treatments were apparent. There was a significant decrease in total, shoot and root dry weight 373 of seedlings under drought, as well as significant provenance interactions with the drought 374 treatment (Table S1; Fig. 1A-C). Seedlings from Rothiemurchus were most affected under 375 drought and showed reduced total, shoot and root dry weight, while the least reduction in 376 biomass was found for the Spanish provenance. Root to shoot ratios significantly decreased 377 under drought, though no provenance interaction effect was found (Fig. 1D). Drought treatment 378 significantly decreased the specific root length and maximum root length by day 29 of the 379 experiment, while no provenance effects were detected (Table S1; Fig. S3). Drought also 380 significantly increased the maximum root length as a fraction of the total root length by day 29 381 of the experiment (ANOVA, d.f=1, F: 11.1, p=0.002) (Fig. S4). A significant effect of drought 382 treatment on F<sub>v</sub>/F<sub>m</sub> ratios was found after day 26 (Fig. S5). There was also a provenance interaction with drought on F<sub>v</sub>/F<sub>m</sub> ratios on day 26. F<sub>v</sub>/F<sub>m</sub> decreased most in seedlings from 383 384 Rothiemurchus and Pernitz and was least reduced in seedlings from Sierra Nevada (Fig. S5).

385

#### 386 Metabolomics

387 Our UHPLC-MS approach generated peak height data for 4640 distinct peaks that putatively 388 represent distinct metabolites with their adducts and isotopes, with peak height representing 389 relative metabolite abundance. As an initial analysis of the data, PCA scores plots were 390 constructed where the samples were coloured according to the levels of the factors of interest 391 (e.g. drought vs control). No separation between the samples was observed except for the 392 interaction between drought and time. The drought time point 3 samples were clearly separated 393 from the other samples (Fig. S6). A principal component analysis-based reduction of the 394 metabolomics data gave two main components that together explained 20% of the variation in 395 the data, while a scree plot showed a sharp reduction in variation explained by subsequent axes.

396 A visual assessment of these two principal components (Fig. 2A&B) suggests that metabolite 397 composition did not change substantially between time points 1 and 2, but that the leaf 398 metabolome of seedlings in the drought treatment diverged substantially from seedlings in the 399 control treatment at time point 3. For each experimental treatment at each time point, there was 400 substantial overlap amongst provenances in metabolite composition, becoming more 401 pronounced as the experiment progressed (Fig. 2A&B). These visual impressions were 402 confirmed in multivariate analyses of the data by regularised MANOVA (rMANOVA; Engel 403 et al. 2015). This showed a significant effect of drought treatment (p < 0.001), time (p < 0.001) 404 and their interaction (p < 0.001) on leaf metabolic composition of seedlings, while provenance 405 had no significant effects, either on its own (p = 0.981) or in interaction with time (p = 0.787) 406 or experimental treatment (p = 0.987).

407

408 Univariate pairwise comparisons of specific time points and treatments showed that a much 409 greater number of metabolites differed significantly in abundance between the drought and 410 control treatments at time point 3 (1138 signals) than at time point 2 (190 signals) (Fig. 2C). 411 Within the drought treatment, seedlings showed a substantial difference in metabolite 412 abundances between time points 2 and 3 (892 signals). Within the control treatment, fewer 413 metabolites changed significantly in abundance between time points 2 and 3 (125 signals) (Fig. 414 S7). The smallest changes in metabolite abundance were observed between seedlings at the 415 start of the experiment and seedlings at time point 2, both in the drought (14 signals) and control 416 (29 signals) treatments (Fig. 2C; Fig S7).

417

418 For further scrutiny and identification, we selected signals that showed a significant difference 419 in abundance under drought vs. control at time point 3, and that had a  $\log_2$  fold difference in 420 abundance greater than one (i.e., were twice as abundant or twice as rare under drought vs. 421 control at time point 3). The selection of these metabolites is visualised in a Volcano plot (Fig. 422 3). Overall, more metabolites experienced a significant and substantial decline under drought 423  $(\log_2 \text{ fold change >1})$  than a significant and substantial increase (183 vs. 146 signals). Yet, 424 among the metabolites that changed the most under drought vs. control, those that increased 425 showed a greater absolute magnitude of change than those that decreased (Fig. 3). For example, 426 among the top 25 signals in terms of absolute magnitude of change, 23 of those increased under 427 drought vs. control, while only two decreased.

429 We were able to obtain putative annotations for a minority of the signals that showed significant 430 decreases or increases in abundance under drought vs. control. Of the 183 peaks that were 431 significantly less abundant under drought and show a  $\log_2$  fold change less than -1 (i.e. are at 432 least twice as rare on average in drought vs. control at time point 3), only 29 were matched in 433 the KEGG, LIPDMAPS or BIOCYC databases. Of the 49 signals with the greatest decrease 434 under drought relative to control, only 10 have putative annotations in these databases (Table 435 2; see Table S2 for full list). Of the 146 peaks that are significantly more abundant in drought 436 vs. control and show a log<sub>2</sub> fold change of at least 1 (i.e. are at least twice as common on 437 average in drought vs. control), 98 have no putative annotations in the KEGG, LIPIDMAPS or 438 BIOCYC databases. Of the 22 compounds with the greatest increase under drought relative to 439 control in terms of fold-changes, only 10 have putative annotations (Table 3; see Table S3 for 440 full list). The metabolites that were more abundant under drought were mainly aromatic amino 441 acids, which may signify up-regulation of aromatic amino acid biosynthesis via the shikimate pathway or protein degradation under drought stress. A number of upregulated signals 442 443 coeluting at 4.08 min are likely breakdown products of only one metabolite, tryptophan 444 (manual interpretation).

445

446 Pathway analysis with *mummichog* identified the following pathways as the primary sites of 447 metabolic enrichment immediately prior to death: aminoacyl-tRNA, phenylalanine (Phe), 448 vitamin B6, phenylpropanoid, isoquinoline alkaloid, arginine and proline (Pro), Phe and 449 Tyrosine (Tyr) and Tryptophan (Trp) biosynthesis (Table S4). Interestingly, the amino acids 450 synthesised via the shikimate pathway (Tyr, Trp and Phe) clearly increased during drought, 451 while several of those in the downstream pathways from shikimate decreased, although the 452 downstream response was compound-specific. Many of the significant decreases during 453 drought were seen for mevalonate and compounds in the mevalonate/MEP-DOXP (or non-454 mevalonate) pathways, such as carotenoids, sterols, ecdysones, terpenoids and glycosides with 455 a second active group of the same type.

456

#### 457 DISCUSSION

This study investigated the foliar metabolic responses of *Pinus sylvestris* seedlings to severe drought, which eventually led to drought-induced mortality, and to ascertain whether ecotypic adaptation in the metabolic responses to drought would be discernible amongst provenances. Pronounced metabolic effects of drought were found, potentially related to a decoupling of 462 carbon assimilation and secondary metabolism as photosynthesis became compromised. This 463 manifested through an increase in free amino acids and a decrease in secondary metabolite 464 production via the shikimate and mevalonate/MEP-DOXP pathways. Our second hypothesis 465 was not supported, as we found no significant foliar metabolic differences amongst 466 provenances in the response to drought. The latter is particularly interesting in light of the fact 467 that we found morphological responses to drought to vary by provenance. Seedlings from the 468 driest provenance were least affected morphologically by drought.

469

### 470 Seedling foliar metabolome response to drought

471 There were significant differences in the metabolite composition of the control and drought 472 treatment groups, with a clear divergence in metabolic profiles following four weeks of drought 473 stress after which mortality rapidly ensued (Fig. 2). Free amino acids (Tyr, Trp and Pro; Table 474 3) produced during primary metabolism were identified by ANOVA and rMANOVA. Tyr and 475 Trp increased with the highest fold change under the drought treatment. Pathway analysis with 476 mummichog highlighted the aminoacyl t-RNA pathway as enriched, owing to the abundance 477 of free amino acids. However, the inference of increased t-RNA synthesis based solely on the 478 presence of free amino acids seems untenable, especially given that drought stress conditions 479 would presumably have impeded protein synthesis. Besides the already mentioned aromatic 480 amino acids Tyr and Trp, significant increases were also found in several other amino acid 481 metabolic pathways (i.e., phenylalanine, proline, glutamine, valine, leucine, isoleucine, 482 arginine, histidine), leaving only the metabolic pathways of cysteine, methionine, glycine, 483 serine and threonine unaffected. The shikimate pathway involved in aromatic amino acid 484 biosynthesis was identified as a dominant pathway by *mummichog*. Plants synthesise aromatic 485 amino acids, such as Tyr and Trp, via the metabolically costly 7-step shikimate pathway, to 486 which over 30% of photosynthetically derived carbon can be directed (Maeda & Dudareva 487 2012). Secondary compounds involved in plant defence and abiotic stress responses can be 488 derived from shikimate. It is conceivable that, rather than biosynthesis via the shikimate 489 pathway, protein degradation accounts for the increasing levels of free amino acids detected. 490 The higher abundance of aromatic amino acids relative to other amino acids however seems to 491 indicate that the shikimate pathway is involved. Nevertheless, a concomitant increase in 492 shikimate and chorismate intermediates was not found, which has been used to confirm 493 involvement of the shikimate pathway in accumulation of free aromatic amino acids (Tyr, Trp 494 and Phe) in drought sensitive wheat leaves (Michaletti et al. 2017). Pathway analysis

495 furthermore identified the mevalonate pathways in secondary metabolism as most affected by

496 drought, with decreases in key metabolites involved with plant defence.

497

498 Tyrosine (Tyr) was the metabolite that showed the strongest increase under the drought 499 treatment. Tyr hyperaccumulation in young shade leaves of *Inga umbellifera* has been linked 500 to decreased insect larval performance, thus representing a rare example of an amino acid 501 functioning as a defensive compound (Lokvam et al., 2006). Among the annotated metabolites, 502 tryptophan (Trp) showed the third greatest increase under drought versus control. Trp 503 biosynthetic enzymes have been shown to be up-regulated in response to oxidative stress 504 treatment in Arabidopsis (Zhao et al., 1998). The increase in aromatic and non-aromatic amino 505 acids, some of which are key precursors of important defence and antioxidant pathways, 506 occurred while several defence compounds decreased (Table S4). Significant decreases also 507 occurred for phosphomevolanate and other downstream compounds that have similar 508 biological roles.

509

510 For coniferous species, carbon-based secondary metabolites, terpenoids and phenolic 511 compounds are expressed constitutively and are inducible to high concentrations and provide 512 effective defence against many pests and pathogens (Keeling & Bohlmann 2006). Decreases 513 of secondary metabolites were detected at 29 days of drought, by ANOVA and rMANOVA. 514 This decrease in metabolites involved in defence during the last phase of drought may reflect 515 a decreased capacity to employ the mevalonate pathways at a stage during drought where 516 photosynthesis and growth were already strongly impacted, and carbohydrate availability was 517 limited.

518

519 Increased free amino acids under stress may also be the result of protein breakdown, rather than de novo biosynthesis of amino acids. For example, branched chain amino acids and 520 521 aromatic amino acids (Tyr and Phe) have been shown to increase under osmotic stress via 522 protein degradation in Arabidopsis thaliana, with a higher fold change than other amino acids; 523 this increase was potentially owing to lower basal levels of branched chain amino acids and 524 aromatic amino acids prior to stress induction, or slower catabolism subsequently (Huang & 525 Jander 2017). In Arabidopsis, accumulation of aromatic amino acids occurs more rapidly than 526 branched chain amino acids (Fàbregas and Fernie 2019). It is possible that the accumulation of 527 free aromatic amino acids has a protective role under conditions of elevated oxidative stress, 528 by scavenging free radicals (Stadtman & Levine 2003). Indeed, 2-phenylacetamide was ranked 529 as the second most elevated metabolite under drought and is a product of oxidation of the 530 aromatic amino acid phenylalanine. However, the pool size changes in amino acids may simply 531 represent an artefact of protein breakdown coupled with differential rates of amino acid 532 catabolism. Under conditions of carbon starvation, catabolism of amino acids would provide a 533 source of energy. The degradation pathways (catabolism) of aromatic amino acids Phe and Trp 534 are not well-documented in plants (Hildebrandt et al. 2015). A comprehensive study of amino 535 acid contents that included low abundance amino acids (such as aromatic ones) showed that 536 the amount of aromatic amino acids produced by protein degradation was sufficient for 537 secondary metabolite production, with the exception of Trp under certain stress conditions 538 (Hildebrant et al. 2018). Proteolysis can account for the accumulation of amino acids under 539 osmotic stress and fast catabolism of Lys as well as branched chain amino acids has been shown 540 to be induced to provide alternative respiratory substrate during drought stress (Araujo et al. 541 2011; Batista-Silva et al. 2019; Pires et al. 2016). Thus turnover of amino acids may be 542 explained in terms of proteolysis and tightly regulated amino acid metabolism.

543

544 One of the compounds with the largest increase under drought was proline. Proline is an amino 545 acid that functions as a compatible solute, carrying no net charge at physiological pH, by raising 546 osmotic pressure in the cytoplasm and stabilising proteins and cellular membranes (Szabados 547 & Savoure 2010). Additionally, proline has been indicated to exhibit antioxidant capacity in 548 free radical scavenging (Smirnoff & Cumbes 1989). Whereas aromatic amino acid 549 accumulation under osmotic stress was linked to protein breakdown in Arabidopsis, increased 550 biosynthesis accounted for elevated levels of proline (Huang & Jander 2017). Indeed, proline 551 is biosynthesised in a number of plant species under drought and has been shown to exhibit 552 osmoprotective and antioxidant capacities (Hayat et al., 2012). Furthermore, it is suggested that 553 proline accumulation under stress may have a role in signalling as well as maintenance of 554 NAD(P)/NAD(P)H ratios that enable metabolic pathways to function in generating secondary 555 metabolites (Hare & Cress 1997). Biosynthesis of proline under water deficit occurs in an 556 abscisic acid-dependent manner and can also be influenced by sugar availability, indicating a 557 regulatory mechanism to restrict proline accumulation when carbohydrate status is low (Rook 558 et al., 2001, Verslues & Bray 2006).

559

A number of important, significant peaks determined by univariate and multivariate statistics were not identifiable through interrogation of metabolic databases, possibly owing to taxon specificity and the poor development of metabolite databases for non-model plant species. This is in addition to adducts and breakdown products often not covered by common databases. This finding highlights the difficulty of current metabolomics approaches, at least for conifers, and suggests that insufficient understanding of the metabolic pathways affected by drought is currently hindering our understanding of the nature of carbon limitations prior to droughtinduced mortality.

568

# 569 Provenance variation in the seedling drought response

570 Total seedling biomass was reduced under drought treatment and this reduction varied 571 significantly across provenances. Seedlings from the wettest provenance, Rothiemurchus 572 (Scotland), were most affected under drought in terms of biomass reduction, while seedlings 573 from the driest provenance, Sierra Nevada (Spain), were least affected. Under drought, total 574 leaf area was significantly reduced, but there was no observed needle abscission. Rather, the 575 drought is thought to have inhibited needle growth. Needles also showed a reduction in the 576 maximal efficiency of photosystem II ( $F_v/F_m$ ) by day 26 of the drought treatment, indicating 577 that photosynthesis was compromised, with the wettest provenance being most affected.  $F_v/F_m$ 578 declines when water stress becomes severe (Epron & Dryer 1992; Iijima et al., 2006; Ditmarova 579 et al., 2010; Way et al., 2013). Potentially this could be a result of decoupling between 580 photosynthesis and secondary metabolism, with C limitation once assimilation is not occurring 581 owing to stomatal closure in this isohydric species.

582

583 A limitation of this study is the lack of information on how mortality rate varies by provenance. 584 Matias and Jump (2014) found that mortality rate of *Pinus sylvestris* under drought is strongly 585 affected by temperature, with the southern Spanish provenance showing higher survival 586 probabilities than the northern Finnish provenance under drought at the current temperature 587 regime of the southern range limit. This effect may not be significant in our experiment owing 588 to the shorter timeframe (5 weeks compared with 19 weeks), since Matias and Jump found no 589 significant effect of temperature on provenances until after 5 weeks of treatment. Survival is 590 vital in determining seedling recruitment at the population level, thus if mortality rate is 591 relatively constant among provenances over short intense drought episodes, then the superior 592 drought response of the Spanish provenance in terms of morphological and physiological traits 593 would not have adaptive significance.

595 In contrast, metabolic profiles were not found to differ significantly by provenance, a result 596 robust to changes in the signal filter threshold employed. Previous studies on intraspecific 597 variation in conifers have found evidence for a stronger environmental than genetic signal on 598 metabolomes of developing xylem in Pseudotsuga menziesii (Robinson et al. 2007). However, 599 differences in foliar metabolomes of *Pinus pinaster* were found to be strongly related to the 600 aridity of the provenance site of origin, suggesting local adaptation (Meijon et al. 2016). Also, 601 Du et al. (2015) found that Pseudotsuga menziesii seedlings showed a provenance specific 602 drought response, with the drier provenance increasing aromatic amino acids. In this study, the 603 metabolic phenotypes of P. sylvestris seedlings do not appear to be population specific or to 604 show local adaptation, or at least such differences did not have a sufficiently large effect size 605 to be statistically detectable. *Pinus sylvestris* is a widespread species with high plasticity 606 evident across European populations in traits related to physiology, phenology and morphology 607 (Olekysn et al. 1998, 2000; Semerci et al. 2017), but the findings of this study suggest a 608 limitation of foliar metabolic plasticity to react to extreme mortality-inducing drought episodes. 609 The relatively long mean leaf life span of Pinus species (over 3 years) may account for the 610 discrepancy between the drought response in foliar metabolic profiles and other measured leaf 611 traits. In evolutionary terms, leaf longevity has a pivotal role in the leaf economic spectrum, 612 with trade-offs between persistence and productivity constraining both morphological and 613 biochemical leaf traits (Warren and Adams 2000; Onoda et al. 2017). Plasticity in needle 614 longevity is known for Pinus sylvestris (Pensa and Jalkanen 2005). Juvenile needles in three 615 Mediterranean pine species were found to exhibit a leaf strategy to maximise carbon gain and 616 the transition to adult needles reflected changes in traits according to the drought stress 617 tolerance of each species (Kuusk et al. 2017). Foliar integration of traits has been found to be 618 a function of ontogeny; canalisation of leaf traits are found in plants of reproductive age, 619 whereas in juvenile plants weaker correlations among functional leaf traits indicate lower foliar 620 integration and higher phenotypic plasticity (Damian et al. 2016). However, the selection of 621 leaf traits in this study did not include biochemical or metabolic functional traits. Metabolically 622 divergent drought responses in mature trees may be more likely than for seedlings with the 623 same leaf age, which all show a propensity to maximise carbon gain to the detriment of 624 environmental stress tolerance; thus, canalisation of leaf traits may have affected metabolic 625 profiles.

#### 627 Conclusions

There was a strong impact of drought at the metabolic level in *P. sylvestris* needles, with the 628 629 effect becoming very apparent just before seedling mortality. In response to drought, we found 630 increases in many free amino acids and decreased concentrations of secondary metabolites in 631 the mevalonate/MEP-DOXP pathways. The identification of decreases in compounds derived 632 from aromatic amino acids and secondary metabolic pathways related to plant defence shows 633 that these metabolically costly pathways are down-regulated under drought stress, revealing 634 the incapacity of severely droughted seedlings to produce defensive compounds against biotic stress. However, proline, a compound important for osmoregulation, plant signalling and 635 636 antioxidant defence, was strongly upregulated, suggesting that its high concentration was 637 important in the latest phases of survival prior to death. While seedling biomass and 638 photochemical efficiency were found to be most strongly reduced by drought for 639 Rothiemurchus, the wettest provenance, there was a lack of provenance effects on metabolite 640 abundances. Overall, our findings indicate that important metabolite changes under drought 641 were centred around the shikimate and the mevalonate/MEP-DOXP pathways. It also 642 demonstrates that a large number of unknown compounds were affected by drought and are 643 therefore of interest for future research.

644

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649

650 Author Contribution

651 S.M and K.G.D. designed and executed the experiment; S.M measured plant traits and

extracted the metabolites; U.S and J.E led the metabolomics analyses; K.G.D and M.M

653 conducted additional analyses; S.M wrote the manuscript; and all authors contributed to

654 revisions.

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#### 897 FIGURE LEGENDS

Figure 1 Total biomass (A), shoot dry weight (B), root dry 898 899 weight (C), and root to shoot dry weight ratio (D) on day 29 of 900 the experiment (n = 10 for all groups). Provenances are: 901 Rothiemurchus, Scotland (RM), Pernitz, Austria (PER), Jarocin, 902 Poland (JAR), and Sierra Nevada, Spain (SN). Boxplots 903 represent the median of the data and the lower and upper 904 quartiles (25% and 75%). Whiskers represent the most extreme 905 data point that is no more than 1.5 times the interquartile range 906 from the box. Control treatment is grey while drought treatment 907 is black. Different letters indicate significant differences 908 (p<0.05).

909 Figure 2 Figure 2A) Mean ± standard errors for principle 910 component 1 and **B**) principle component 2 for different 911 provenances at different time points in the drought experiment 912 (t1=0, t2=11 and t3=29 days). These two components together 913 explain 20% of the variation in the metabolomics data. Drought 914 treatment is indicated by the dashed lines. Provenances are 915 colour coded as follows: blue - Rothiemurchus; orange -916 Pernitz; green - Jarocin; red - Sierra Nevada. C) Schematic 917 showing the number of metabolites (out of 4640) that differ 918 significantly in abundance between given time points and 919 treatments. The thickness of the arrows between ellipses reflects 920 the level of the differences in metabolic composition between 921 treatments and time points.

922 Figure 3 Volcano plot of the metabolite changes at time point 3 923 (day 29) after imposing a severe drought. Metabolites are plotted 924 as a function of the log<sub>2</sub>(fold change) from control and as -925  $\log_{10}(P \text{ value})$  of the change from control. Thus, positive values 926 for fold change indicate increased abundance under drought and 927 negative values indicated decreased abundance. Metabolites that 928 showed a log2(fold change) smaller than +- 1 and a q-value 929 smaller than 0.05 were selected for further scrutinity and 930 identification (colored in red). Non-selected metabolites are 931 coloured grey. Note that the volcano plot displays the raw, 932 uncorrected, p-values. The horizontal dashed line shows the 933 FDR-corrected critical p-value (q < 0.05), i.e. all points with p-934 values smaller than the critical value (all points above the line) 935 are the features for which the null hypothesis of no difference 936 was rejected. The plot is partially annotated for the compounds 937 showing the most pronounced and most significant changes 938 immediately prior to the drought-induced mortality. Legend: 939 Trp, tryptophan; Tyr, tyrosine; Umb, umbelliferone; Gluc, 940 riboside glucoside; dihydrozeatin Pro, proline; Phe, 941 phenylalanine; Skat, skatole; Ser, serine; Jasm, jasmonate; Leu, 942 Leu-Gly-Pro, leucine, leucine; glycine, proline; Gib, 943 gibberelline; Styr, pyrole; styrene; Pyr, Spong, 944 spongipregnoloside; iso-orientin; Orien, Ecdys, 945 dehydroecdysone; Thap, thapsigorgin; Osc, oscillatoxin; Spin, 946 gentibioside spinosin; Cort, hydrocortisone caproate; Purp, 947 purpurescin; Vit D, vitamine D; Acr, Acronycine.

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