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Molecular identification and susceptibility testing of molds isolated in a Prospective Surveillance of Triazole Resistance in Spain (FILPOP2 study)

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Running title: FILPOP 2 study

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Abstract

Antifungal resistance is increasing by the emergence of intrinsically resistant species and by the development of secondary resistance in susceptible species. A previous study performed in Spain revealed levels of azole resistance in molds between 10 to 12.7% but secondary resistance in *A. fumigatus* was not detected. We used itraconazole supplemented media to select resistant strains. A total of 500 plates supplemented with 2 mg/L of ITZ were sent to 10 Spanish tertiary hospitals, molecular identification and antifungal susceptibility testing were performed. In addition, *cyp51A* gene was sequenced in those *A. fumigatus* strains showing azole resistance. A total of 493 isolates were included in the study. Sixteen strains were

33 isolated from patients with an infection classified as proven, 104 as probable and 373 as
34 colonization. *Aspergillus* was the most frequent genera isolated with 80.3% followed by
35 *Scedosporium/Lomentospora* (7.9%), *Penicillium/Talaromyces* (4.5%), *Fusarium* (2.6 %) and the
36 order Mucorales (1 %). Antifungal resistance was detected in *Scedosporium/Lomentospora*
37 species, *Fusarium*, *Talaromyces* and Mucorales. Three strains of *A. fumigatus sensu stricto*
38 were resistant to azoles, two of them harbored TR₃₄+L98H mechanism of resistance and the
39 other one had no mutations in *cyp51A*. The level of azole resistance in *A. fumigatus* remains
40 low but cryptic species represent over 10% of the isolates and have broader but overall higher
41 range of antifungal resistance

42

43 Introduction

44 The incidence of fungal diseases causing fatal infections has risen due to an increase in
45 population at risk. Mortality rates range from 40% to 90% in high-risk patients such as those
46 with haematological malignancies (1, 2). Despite that *Aspergillus fumigatus* is the most
47 common filamentous fungi involved in invasive diseases, emerging moulds such as Mucorales,
48 *Scedosporium* spp., *Fusarium* spp. and other species of *Aspergillus* are being increasingly
49 reported (3, 4). The prevalence and relevance of these emerging fungal pathogens in the
50 clinical setting is presently unknown. However, a prominent feature is that those emerging
51 fungi show decreased susceptibility *in vitro* to most antifungal drugs (5) and disseminated
52 mould infections are often very difficult to treat. A scarce number of epidemiological studies
53 involving multiple centers in mould infections have been published (6, 7). Epidemiological
54 studies are essential to know the prevalence of fungal pathogens and are key to implement
55 control measures to decrease infection rates. In addition, they are essential to detect
56 emergence of resistance and to define rates of resistance in different geographical areas and
57 group of patients.

58 Antifungal resistance is increasing both by the emergence of more resistant/less susceptible
59 species but also by the developing of secondary resistance (8). Particularly important are the
60 high rates of azole resistant *A. fumigatus* reported in clinical samples in the Netherlands and
61 UK (9-11). In the Netherlands azole resistance has an overall prevalence of 5.3%, with ranges
62 from 1.8% to 12.8% depending on the geographical area and hospital studied (11). In UK a
63 clinical collection dataset of 519 *A. fumigatus* isolates showed that the frequency of
64 itraconazole (ITZ) resistance *in vitro* was 5%, with a significant increase since 2004 (10). Later,
65 the rise of azole resistance has continued in 2008 and 2009 with rates of 14% and 20%,
66 respectively (12). Since then, triazole resistance has been described worldwide (13, 14).

67 In Spain the multicentre epidemiological study FILPOP (6) described the epidemiology of
68 mould infections in the country and the rates of resistance. Triazole resistance ranged from 10
69 to 12.7%, depending on the species and the drug tested however, secondary resistance in *A.*
70 *fumigatus* was not detected. Taking into account the high levels of secondary resistance
71 described in neighbouring countries, we hypothesize that this resistance could be
72 underestimated due to the sampling method we used. In this work, we proposed a strategy by
73 using a selective media supplemented with an antifungal (ITZ) to detect specifically resistant
74 isolates in respiratory samples and to avoid the overgrowth of azole susceptible species

75 belonging to the conventional flora. Plates with the selective media were used to culture
76 clinical samples directly to increase the rate and chance of isolation of azole resistant strains.

77 **Results**

78 Five hundred and six isolates were obtained from 10 Spanish Hospitals. One was a
79 dermatophyte isolated from a nail that was excluded from the study, seven were yeast and
80 five did not grow in the reference laboratory (RL) and were no further analyzed. Thus, a total
81 of 493 isolates were included in the study. One hundred and fifty five isolates grew in ITZ
82 supplemented media and three hundred and thirty-eight in the regular Sabouraud medium
83 without supplement. Each isolate was characterized independently since typing techniques
84 were not applied at this stage.

85

86 Four hundred and seventy seven (96.8%) strains were isolated from respiratory samples (333
87 sputum, 79 BAS (broncho aspirate), 38 BAL (broncho alveolar lavage), 14 tracheal aspirate and
88 13 from other respiratory samples), 10 from biopsies, four from wound exudates and two from
89 otic exudates. Sixteen strains were isolated from patients with an infection classified as
90 proven, 104 as probable and 373 as colonization. Underlying diseases included hematological
91 (7%), solid organ transplant patients (9.7%), other cancer (11.6%), HIV (5%), chronic
92 obstructive pulmonary disease (COPD 16.6%), cystic fibrosis (9.3%), asthma (2.6%), other
93 causes of immunosuppression (4.5%) and other respiratory diseases (14.8%), other causes
94 (6.1%) and unknown (12.6%). Table 1 summarizes the number of strains isolated in each
95 sample by type of infection.

96 **Identification of the strains**

97 *Aspergillus* was the most frequent genera isolated with 80.3% followed by
98 *Scedosporium/Lomentospora* (7.9%), *Penicillium/Talaromyces* (4.5%), *Fusarium* (2.6 %) and the
99 order Mucorales (1 %). Table 2 shows the identification to species level of the strains analyzed,
100 *A. fumigatus* was the most frequent species with 260 isolates (52.74%), followed by *A. niger*
101 (5.27%), *A. flavus* (5.07%), *A. terreus* (4.67%) and *S. apiospermum* (4.26%). Cryptic species of
102 *Aspergillus* accounted for 11.5% of the isolates. *A. fumigatus* was the most frequent species
103 both in colonization (186 isolates) and infections (74 isolates), however while *A. niger* and *A.*
104 *flavus* ranked second (26 total isolates) and third (25 total isolates) in the total number of
105 isolates, they were found less frequently (six and two isolates respectively) than *S.*
106 *apiospermum* (nine isolates) and *A. terreus* (eight isolates) in infections classified as probable
107 or proven (table 2). Those differences were not statistically significant.

108 **Susceptibility testing**

109 Three strains did not grow in the media and conditions used for susceptibility testing and were
110 not analyzed. Table 3 shows geometric Mean (GM), MIC causing inhibition of 50% of the
111 isolates (MIC₅₀), MIC causing inhibition of 90% of the isolates (MIC₉₀) and range of the species
112 that had 10 or more isolates. *Scedosporium* species had elevated MICs to all antifungals,
113 voriconazole being the most active compound with MIC₅₀=1mg/L, followed by echinocandins.
114 Azoles and echinocandins showed no activity to any of the *Fusarium* isolates analyzed, only
115 amphotericin B showed activity (MICs \leq 2mg/L for 9 out of 13 isolates). Mucorales species
116 isolated showed elevated MICs to voriconazole and echinocandins and low MICs to
117 amphotericin B and posaconazole. *Penicillium/Talaromyces* showed low MICs to most
118 antifungals except for *Penicillium citrinum* with high MICs (16 mg/L) to voriconazole. Other
119 species with high MICs were *Scopulariopsis brevicaulis* and *Alternaria* spp., with high MICs to
120 all antifungals and *Purpureocillium lilacinus* (Syn. *Paecilomyces lilacinus*) with elevated MICs to
121 amphotericin B, itraconazole and echinocandins. Table 4 shows the number of *Aspergillus*
122 isolates with MICs > 2mg/L for amphotericin B, itraconazole and voriconazole and > 0.25 mg/L
123 for posaconazole. There were not significant differences between MIC values of infectious
124 strains and those of strains isolated from cases defined as colonization ($p>0.01$).

125 **Analysis of the impact of itraconazole supplemented media**

126 Table 5 shows the number of strains and percentage growing in Sabouraud and ITZ
127 supplemented media. Sixty nine percent of the isolates analyzed were isolated in SAB while
128 31% were isolated in ITZ supplemented media (338 versus 155 isolates).

129 Resistant isolates (MICs to itraconazole > 2mg/L) were preferably isolated in itraconazole
130 supplemented media (15.6% of the total isolates in itraconazole versus 13.1% of the total
131 isolates in Sabouraud) although differences were not statistically significant ($p=0.5$).
132 *Scedosporium/Lomentospora* isolates were preferentially isolated in itraconazole
133 supplemented media (11% versus 6.5%) as well as *Penicillium/Talaromyces* (5.2 vs 4.1%)
134 although differences were not statistically significant compared with the percentages of
135 *Aspergillus* spp. ($p=0.08$ and $p=0.5$).

136 We compared the results obtained in this study with the FILPOP I study where we analyzed the
137 epidemiology of mold infections in 29 centers in Spain between 2010 and 2011. Although the
138 results cannot be comparable because of important methodological differences, the
139 percentages of *Scedosporium/Lomentospora* spp., *Penicillium/Talaromyces* spp. and *Fusarium*
140 spp. increased in this study compared with the previous one while the percentages of
141 *Aspergillus* spp. and Mucorales decreased (Table 6).

142 **Characterization of resistance mechanisms in *Aspergillus fumigatus***

143 Three strains of *A. fumigatus sensu stricto* were resistant to azoles, two of them harbored
144 TR₃₄+L98H mechanism of resistance and the other one had no mutations in *cyp51A*.

145 **Discussion**

146 The emergence of azole resistance in *A. fumigatus* has been described worldwide with some
147 European countries showing very high rates (13). These strains have been clinically associated
148 with poorer outcomes (11). Another problem in antifungal resistance is the shift of the
149 epidemiology towards the emergence of intrinsically resistance species such as *Scedosporium*
150 spp., *Fusarium* spp. or Mucorales (4, 15). In addition molecular studies have described in the
151 last years, new species of fungi that are indistinguishable by classical methods of identification
152 and have been described as cryptic (16) (17). These cryptic species are more resistant to some
153 of the antifungals available and have been related with higher rates of mortality (18). Cryptic
154 species have been found in clinical samples in percentages higher than other considered
155 emerging pathogens such as *Scedosporium* or *Fusarium* (6, 19) .

156 In a previous study (FILPOP) performed in 30 Spanish hospitals between 2010 and 2011 we
157 found no azole resistant *A. fumigatus* but 15% rate of cryptic species (6). In the current work,
158 we have used ITZ supplemented plates to screen for azole resistance. Thus, three out of two
159 hundred sixty (1.2%) *A. fumigatus* isolates analyzed were resistant to azoles, two of these
160 strains harbor the most frequent mechanism of azole resistance (TR₃₄+L98H) while the other
161 strain showed no mutations in *cyp51A*. TR₃₄+L98H mechanism of resistance has been linked to
162 the use of azoles in agriculture and is the most frequent mechanism of azole resistance
163 worldwide (20). Other mechanisms of resistance related with mutations in *cyp51A* such as
164 TR₄₆/Y121F/T289A and G448S have been described in isolates from Spain (21, 22) but were not
165 found in this work. One out of the three azole resistant *A. fumigatus* had no mutations in
166 *cyp51A*. Azole resistant isolates with no mutations in *cyp51A* have been described previously
167 (23, 24). Other mechanisms of azole resistance could be present and would be further
168 analyzed in this isolate.

169 The main source of resistance in our isolates were due to cryptic species of *Aspergillus* and
170 emerging moulds such as *Fusarium*, *Scedosporium* and Mucorales. Break points for these
171 species have not been defined; however, patients infected with these pathogens are
172 associated with poorer outcomes (25).

173 Cryptic species of *Aspergillus* accounted for 11.5% of the total number of isolates. Among *A.*
174 *fumigatus* complex *A. lentulus* and *A. fumigatiaffinis* showed high MICs to amphotericin B and

175 voriconazole as previously reported (26, 27). Within *A. niger* complex *A. niger* and *A.*
176 *tubingensis* have been isolated in this study. In accordance with the results obtained here,
177 previous works reported that susceptibility within this group is variable and strain dependent
178 (28, 29). *Aspergillus terreus* and *A. flavus* complexes have been associated with higher MICs to
179 amphotericin B. In this work, the MIC range of amphotericin B for *A. flavus* was 0.25-2 mg/L
180 and 0.25-4 for *A. terreus*. Two out of 23 isolates of *A. terreus* showed MIC= 4mg/L while all
181 isolates of *A. alliaceus* (*A. flavus* complex) showed MICs > 4 mg/L for amphotericin B in
182 accordance with previous results (6, 27, 30). *A. citrinoterreus* (*A. terreus* complex) isolates have
183 been reported to be more susceptible to itraconazole, voriconazole, and posaconazole than *A.*
184 *terreus* sensu stricto having both high amphotericin B MICs (31). In this study, two isolates of
185 *A. citrinoterreus* were found with no differences among susceptibilities of *A. terreus* isolates.
186 *Aspergillus ustus* complex have been associated with high MICs to all antifungals (6, 17, 27,
187 32). Among the species of that complex, we isolated five *A. calidoustus* and one *A. puniceus*
188 being all of them resistant to azoles and echinocandins, and being amphotericin B the only
189 compound with some activity.

190 Species of *Scedosporium/Lomentospora* represented almost 8% of our total number of strains.
191 *Scedosporium* species had elevated MICs to amphotericin B and itraconazole being the most
192 active antifungals voriconazole and echinocandins. *Lomentospora prolificans* (syn.
193 *Scedosporium prolificans*) is panresistant with no antifungal showing in vitro effect. These
194 results are in accordance with previous studies (33, 34)

195 *Fusarium* species accounted for 2.6% of the total number of isolates and showed elevated
196 MICs to all antifungals. The echinocandins and azoles had no activity, the only antifungal
197 compound with low MICs against some strains was amphotericin B. Other authors have
198 reported different patterns according to the species complexes, thus *F. solani* are usually
199 resistant to azoles and show higher MICs to amphotericin B than other species, whereas *F.*
200 *oxysporum* and *F. verticilloides* can be susceptible to voriconazole and posaconazole (35). In
201 our study most isolates (9 out of 13) were identified as *F. proliferatum* (*F. fujikuroi* complex), a
202 study analyzing 81 strains of *Fusarium fujikuroi* complex found that amphotericin B was the
203 most active drug, followed by voriconazole, posaconazole, isavuconazole and natamycin while
204 fluconazole, itraconazole and micafungin showed poor activity (36). Our isolates showed high
205 MICs (>2 mg/L) to all antifungals but amphotericin B with only one strain showing MIC > 2mg/L
206 in accordance with results previously published (37).

207 Unexpectedly, in this study, we could not find statistical differences in the detection of
208 resistant isolates when using itraconazole supplemented plates. Only
209 *Scedosporium/Lomentospora* isolates showed higher percentages of isolation in itraconazole

210 supplemented media compared with the other species. However when we compare the
211 results obtained in FILPOP 1 study (6) with this one (table 6) we see that the percentage of
212 *Scedosporium/Lomentospora* spp., *Penicillium/Talaromyces* spp. and *Fusarium* spp. increased
213 while the percentage of *Aspergillus* spp. and Mucorales decreased. Although the results are
214 not comparable because of important methodological differences (the number of participating
215 centers, the number of strains analyzed, etc), this could indicate that selective media is
216 favoring the isolation of rare species by decreasing the recovery rate of fast growing species as
217 *Aspergillus* spp. and Mucorales. This is in agreement with previous works where selective media
218 have been recommended for the isolation of *Scedosporium* species (38-40).

219 In conclusion, this study shows that antifungal resistance is present in Spain. The level of azole
220 resistance in *A. fumigatus* remains low but cryptic species represent over 10% of the isolates
221 and have different patterns of antifungal resistance. Apart from *Aspergillus* other emerging
222 moulds such as *Scedosporium/Lomentospora*, *Fusarium* and Mucorales showed high MICs to
223 several antifungals. Taking into account these results and the impact on survival of an
224 appropriate antifungal treatment we recommend to screen for antifungal resistance and to
225 perform antifungal susceptibility testing to all isolates coming from sterile sites in order to
226 determine the best treatment option for the patients infected with these pathogens.

227

228 **Material and Methods**

229 **Strains and isolates**

230 A total of 500 Sabouraud (SAB, Oxoid S.A., Madrid, Spain) plates supplemented with 2 mg/L of
231 ITZ (Sigma Aldrich Quimica, Madrid, Spain) were sent to 10 Spanish tertiary hospitals from
232 different regions in Spain: Gregorio Marañón (1525 beds, Madrid, Madrid), La Paz (1524 beds,
233 Madrid, Madrid), Virgen de Valme (605 beds, Seville, Andalusia), Reina Sofía (1233 beds,
234 Córdoba, Andalusia), La Fe (1050 beds, Valencia, Valencia), Donostia (1054 beds, Guipúzcoa,
235 Basque Country), Vall d'hebron (1251 beds, Barcelona, Catalonia), Bellvitge (1022 beds,
236 Barcelona, Catalonia), Central de Asturias (989 beds, Oviedo, Asturias), Miguel Servet (1234
237 beds, Zaragoza, Aragon). The number of beds of each hospital was according to the report
238 published in 2017 with the current numbers at the end of 2016 (41). Samples from respiratory
239 secretions, biopsies and other sterile sites were included in the study. The samples were
240 cultured in the classical media and in the 2 mg/L ITZ supplemented media.

241 All samples positive for filamentous fungus were sent to the Mycology Reference laboratory
242 (RL) of the Spanish National Center of Microbiology for identification and antifungal
243 susceptibility testing.

244 **Clinical data**

245 Basic clinical data such as source of isolation, underlying disease, the antifungal treatment and
246 outcome of the patient were gathered when possible. Study approval was obtained from the
247 research Ethics committee of the Instituto de Salud Carlos III with reference number CEI
248 PI56_2014.

249 The cases of invasive fungal diseases were classified in proven and probable infections
250 according to the European Organization for Research and Treatment of Cancer/Mycosis Study
251 Group (EORTC/MSG) criteria (42) we included colonization as a third category in cases when
252 infection could not be confirmed but a clinically relevant isolate was detected. Cases that could
253 not be classified according those criteria were defined as colonization.

254 **Morphological Identification**

255 At the RL, the strains were subcultured in different media to ascertain their macroscopic and
256 microscopic morphology. The media included malt extract agar (MEA, 2% malt extract (Oxoid
257 S.A., Madrid, Spain), potato dextrose agar (PDA, Oxoid S.A.), oatmeal agar (OMA, Oxoid S.A.),
258 potassium chloride agar (Oxoid S.A.) and Czapek-Dox Agar (Difco, Soria Melgizo S.A., Madrid,
259 Spain). Cultures were incubated at 30°C and 37°C. Fungal morphological features were
260 examined macro and microscopically by conventional methods (43)

261 **Molecular identification**

262 Moulds were subcultured in Glucose Yeast Extract Peptone medium (GYEP) (0.3% yeast
263 extract, 1% peptone, Difco, Soria Melgizo) with 2% glucose (Sigma Aldrich Quimica, Madrid,
264 Spain), for 24 to 48h at 30°C. Genomic DNA was isolated using an extraction procedure
265 previously described (44). Molecular identification was performed by sequencing informative
266 targets. DNA segments comprising the ITS1 and ITS2 regions, were amplified for all the strains
267 with primer set ITS1 (5'-TCCGTAGGTGAACCTGCGG-3') and ITS4 (5'-TCCTCCGCTTATTGATATGC-
268 3') (45). In the case of *Aspergillus* and *Scedosporium* isolates, a portion of the beta tubulin gene
269 was sequenced with the following primers: β tub3 (5'-TTCACCTTCAGACCGGT-3') and β tub2 (5'-
270 AGTTGTCGGGACGGAATAG-3') (16) for *Aspergillus* and TUB-F (5'-
271 CTGTCCAACCCCTTTACGGCGACCTGAAC-3') and TUB-R (5'-

272 ACCTCACCAGTATACCAATGCAAGAAAGC-3') (46) for *Scedosporium*. Also, DNA segments
273 comprising the elongation factor alpha region were amplified for *Fusarium* isolates with
274 primers EF1 (5'-ATGGGTAAGARGACAAGAC-3') and EF2 (5'-GGARGTACCAGTSATCATGTT -3')
275 (47). All primers were synthesized by Sigma Genosys (Madrid, Spain). The reactions were
276 performed in a GeneAmp PCR System 9700 (Applied Biosystems) following conditions
277 previously described (6). Sequencing reactions were done with two µl of a sequencing kit
278 (BigDye Terminator cycle sequencing, ready reaction: Applied Biosystems), one µM of primers
279 [the same as in the PCR except for *Aspergillus* β tubulin were βtub1 (5'-
280 AATTGGTGCCGCTTCTGG-3') and βtub4 (5'-AGCGTCCATGGTACCAGG-3') were used] and three
281 µl of the PCR product in a final volume of ten µl.

282 Sequences were assembled and edited using the SeqMan II and EditSeq software packages
283 (Lasergene; DNASTAR, Inc., Madison, WI, USA). All sequences were compared with reference
284 sequences from GenBank (<http://www.ncbi.nlm.nih.gov/genbank/>) and Mycobank
285 (<http://www.mycobank.org/>) databases with InfoQuest FP software, version 4.50 (BIORAD
286 Laboratories, Madrid, Spain), as well as with the data base belonging to the Department of
287 Mycology of the Spanish National Centre for Microbiology which holds 13,000 sequences from
288 strains belonging to 290 different fungal species. This database was designed by the Spanish
289 National Centre for Microbiology and has restricted access (26, 34, 37, 48).

290 **Antifungal susceptibility testing.**

291 Microdilution testing was performed following the European Committee on Antimicrobial
292 Susceptibility Testing (EUCAST) standard methodology (49). *A. fumigatus* ATCC 2004305 and
293 *Aspergillus flavus* ATCC 2004304 were used as quality control strains. The antifungal agents
294 used in the study were amphotericin B (Sigma-Aldrich Quimica, Madrid, Spain), itraconazole
295 (Janssen Pharmaceutica, Madrid, Spain), voriconazole (Pfizer S.A., Madrid, Spain),
296 posaconazole (Schering-Plough Research Institute, Kenilworth, N.J.), terbinafine (Novartis,
297 Basel, Switzerland), caspofungin (Merck & Co., Inc., Rahway, N.J.), micafungin (Astellas pharma
298 Inc., Tokio, Japan) and anidulafungin (Pfizer S.A., Madrid, Spain). The final concentrations
299 tested ranged from 0.03 to 16 mg/L for amphotericin B, terbinafine and caspofungin, from
300 0.015 to 8 mg/L for itraconazole, voriconazole and posaconazole, from 0.007 to 4 mg/L for
301 anidulafungin and from 0.004 to 2 mg/L for micafungin. The plates were incubated at 35°C for
302 48 h in a humid atmosphere. Visual readings were performed at 24 and 48 hours with the help
303 of a mirror. The endpoint for amphotericin B, itraconazole, voriconazole, posaconazole and
304 terbinafine was the antifungal concentration that produced a complete inhibition of visual

305 growth at 24 and 48 hours (minimum inhibitory concentration, MIC). For the echinocandins
306 the endpoint was the antifungal concentration that produced a visible change in the
307 morphology of the hyphae compared with the growth control well (minimum effective
308 concentration, MEC). The EUCAST have set breakpoints to interpret antifungal susceptibility
309 testing results of amphotericin B (resistant strain MIC value >2 mg/L), itraconazole (MIC >2
310 mg/L), voriconazole (MIC >2 mg/L), and posaconazole (MIC > 0.25 mg/L) (50). These
311 breakpoint values have been set only for some *Aspergillus* spp., but were used in this study to
312 analyze rate of resistance in vitro for all *Aspergillus* species. Breakpoints of echinocandins and
313 terbinafine have not been set yet, and rate of resistances were not calculated.

314 **Analysis of the impact of itraconazole supplemented media**

315 We investigated the impact of the supplemented media by calculating the percentage of
316 isolates growing in each media overall and in the most frequent isolated genera. In addition,
317 isolates were classified as susceptible or resistant to ITZ using the existing breakpoint for *A.*
318 *fumigatus*, thus isolates with an MIC > 2mg/L were classified as resistant and the rest were
319 classified as susceptible. One *A. nidulans* isolate showed an MIC of 2 mg/L, although it should
320 be classified as intermediate according to EUCAST breakpoints, we include it in susceptible
321 group for practical purposes.

322 **Characterization of resistance mechanism in *Aspergillus fumigatus***

323 *A. fumigatus* showing MICs over the breakpoint for resistance (>2mg/L for ITZ and
324 voriconazole and >0.25 for posaconazole) were studied for mutations in *cyp51A* gene. The
325 *cyp51A* gene including its promoter region was amplified and sequenced following the
326 procedure previously described (51) for the detection of specific mutations associated to azole
327 resistance.

328 **Statistical analysis.**

329 Descriptive and comparative analyses were done. Differences in the proportions of fungal
330 species were determined by Fisher's exact test or by chi-square analysis. The significance of
331 the differences between MICs was determined by analysis of variance (with Bonferroni's post
332 hoc test) or by nonparametric tests. $P < 0.01$ was considered statistically significant. Statistical
333 analysis was performed with IBM SPSS Statistics 19.0 (SPSS Iberica, Madrid, Spain). A p value
334 < 0.01 was taken as with statistical significance.

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531 Table 1: Number of strains isolated per sample and type of infection

Sample	Colonization	Probable	Proven	Total
Sputum	277	52	4	333
BAS	60	17	2	79
BAL	21	17	0	38
Tracheal aspirate	7	5	2	14
Other respiratory*	8	5	0	13
biopsy	0	2	8	10
Wound exudate	0	4	0	4
Otical exudate	0	2	0	2
Total	373	104	16	493

532 * Other respiratory sites include: sinuses, lung tissue and oropharyngeal swabs.

533 Table 2: Number of strains isolated per species and type of infection

Species	Colonization	Probable	Proven	Total
<i>A. fumigatus</i>	186	68	6	260
<i>A. niger</i>	20	6	0	26
<i>A. flavus</i>	23	2	0	25
<i>A. terreus</i>	15	6	2	23
<i>S. apiospermum</i>	12	5	4	21
<i>A. tubingensis</i>	15	1	0	16
<i>S. boydii</i>	11	0	1	12
<i>A. alliaceus</i>	5	5	0	10
<i>Fusarium proliferatum</i>	7	2	0	9
<i>A. lentulus</i>	6	0	0	6
<i>A. nidulans</i>	5	1	0	6
<i>A. calidoustus</i>	4	1	0	5
<i>A. quadrilineatus</i>	5	0	0	5
<i>Penicillium chrysogenum</i>	5	0	0	5
<i>A. sydowii</i>	2	2	0	4
<i>Paecilomyces lilacinus</i>	3	1	0	4
<i>Penicillium citrinum</i>	4	0	0	4
<i>Lomentospora prolificans</i>	1	1	1	3
<i>S. ellipsoidea</i>	3	0	0	3
Other <i>Aspergillus</i> spp.	8	2	0	10
Other <i>Talaromyces</i> spp.	9	0	0	9
Mucorales	3	0	2	5
Basidiomycetes	4	1	0	5
Other <i>Fusarium</i> spp.	4	0	0	4
Other <i>Penicillium</i> spp.	4	0	0	4
Other	9	0	0	9
Total	373	104	16	493

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535 Table 3: Antifungal susceptibility profile of the most frequent species isolated.

Species (n)		MIC /mg/L					MEC (mg/L)		
		AMB	ITZ	VCZ	PCZ	TRB	CPF	MCF	ANF
<i>A. fumigatus</i> (260)	GM	0.36	0.20	0.47	0.06	2.94	0.35	0.01	0.02
	MIC ₅₀	0.5	0.12	0.5	0.06	4	0.25	0.015	0.015
	MIC ₉₀	0.5	0.5	1	0.12	8	1	0.03	0.03
	Range	0.015-1	0.03-16	0.12-4	0.015-8	0.25-32	0.004-32	0.003-4	0.007-8
<i>A. niger</i> (26)	GM	0.25	0.88	0.73	0.14	0.32	0.16	0.01	0.01
	MIC ₅₀	0.25	0.5	1	0.12	0.25	0.12	0.015	0.007
	MIC ₉₀	0.5	16	1	0.25	2	1	0.03	0.015
	Range	0.12-0.5	0.25-16	0.5-2	0.06-1	0.12-4	0.03-2	0.004-0.06	0.007-0.03
<i>A. flavus</i> (25)	GM	0.92	0.18	0.74	0.08	0.28	0.66	0.06	0.05
	MIC ₅₀	1	0.12	0.5	0.12	0.25	0.5	0.06	0.03
	MIC ₉₀	2	0.5	1	0.25	2	4	0.12	4
	Range	0.5-4	0.06-0.5	0.5-2	0.03-0.25	0.03-2	0.12-32	0.015-4	0.007-8
<i>A. terreus</i> (23)	GM	1.06	0.09	0.70	0.08	0.25	0.65	0.02	0.02
	MIC ₅₀	1	0.06	0.5	0.06	0.25	0.5	0.015	0.015
	MIC ₉₀	2	0.5	1	0.5	2	2	0.03	0.03
	Range	0.25-4	0.015-16	0.25-8	0.015-16	0.06-2	0.06-32	0.004-4	0.007-8
<i>S. apiospermum</i> (21)	GM	4.27	2.68	1.64	0.76	12.26	1.69	0.36	0.61
	MIC ₅₀	4	16	1	0.5	32	1	0.25	2
	MIC ₉₀	32	16	16	16	32	32	4	8
	Range	0.25-32	0.12-16	0.25-16	0.015-16	0.06-32	0.06-32	0.006-4	0.008-8
<i>A. tubingensis</i> (16)	GM	0.23	0.92	1.14	0.15	0.42	0.22	0.02	0.01
	MIC ₅₀	0.25	1	1	0.25	0.5	0.25	0.03	0.015
	MIC ₉₀	0.5	1	2	0.25	2	0.5	0.06	0.03

	Range	0.12-1	0.25-16	0.5-2	0.015-0.5	0.06-2	0.06-0.5	0.004-0.12	0.007-0.03
<i>S. boydii</i> (12)	GM	3.76	5.97	0.75	0.74	28.51	1.77	0.22	0.78
	MIC ₅₀	4	16	0.5	1	32	2	0.25	2
	MIC ₉₀	16	16	1	4	32	16	4	8
	Range	0.12-32	0.015-16	0.25-16	0.015-16	8-32	0.06-16	0.007-4	0.007-8
<i>A. alliaceus</i> (10)	GM	22.63	0.10	0.44	0.04	0.35	4.90	0.43	0.49
	MIC ₅₀	32	0.12	0.5	0.03	1	32	4	8
	MIC ₉₀	32	0.25	1	0.12	2	32	4	8
	Range	8-32	0.03-0.25	0.25-1	0.015-0.12	0.03-2	0.12-32	0.015-4	0.015-8
<i>Fusarium</i> spp. (13)	GM	2.35	16.00	8.00	10.44	5.22	28.76	4.00	8.00
	MIC ₅₀	2.00	16.00	8.00	16.00	4.00	32.00	4.00	8.00
	MIC ₉₀	32.00	16.00	16.00	16.00	32.00	32.00	4.00	8.00
	Range	0.5-32	16-16	1-16	1-16	1-32	8-32	4-4	8-8
Mucorales (5)	GM	0.11	0.33	4.00	0.16	1.49	2.98	1.12	2.28
	MIC ₅₀	0.12	0.25	4.00	0.12	0.50	32.00	4.00	8.00
	MIC ₉₀	0.25	1.00	8.00	0.50	32.00	32.00	4.00	8.00
	Range	0.03-0.25	0.12-1	2-8	0.06-0.5	0.12-32	0.06-32	0.007-4	0.015-8
All (493)	GM	0.62	0.35	0.71	0.11	1.87	0.58	0.03	0.04
	MIC ₅₀	0.5	0.25	0.5	0.06	2	0.5	0.015	0.015
	MIC ₉₀	4	16	4	1	16	8	4	8
	Range	0.015-32	0.015-16	0.015-16	0.015-16	0.03-32	0.012-32	0.0015-4	0.007-8

536

537 Table 4. Number of in vitro resistant *Aspergillus* spp. strains for amphotericin B, itraconazole,
 538 voriconazole and posaconazole following EUCAST breakpoints.

Specie	No. Isolates	AMB>2 mg/L	ITZ>2 mg/L	VCZ>2 mg/L	PCZ>0.25 mg/L
<i>A. fumigatus</i>	260	2	3	2	3
<i>A. lentulus</i>	6	3		4	
<i>A. fumigatiaffinis</i>	2	2			
<i>A. felis</i>	1			1	
<i>A. niger</i>	26		4		2
<i>A. tubingensis</i>	16		1		1
<i>A. flavus</i>	25	1			
<i>A. alliaceus</i>	10	10			
<i>A. tamaritii</i>	1				
<i>A. terreus</i>	23	2	1	1	3
<i>A. citrinoterreus</i>	2				
<i>A. nidulans</i>	6				
<i>A. quadrilineatus</i>	5	1	1	1	1
<i>A. delacroixii</i>	1	1	1		
<i>A. spinulosporus</i>	1				
<i>A. calidoustus</i>	5	1	5	5	4
<i>A. puniceus</i>	1	1	1	1	1
<i>A. sydowii</i>	4				
<i>A. chevalieri</i>	1				
Total	396	24	17	15	15

539

540 Table 5: Number and percentage of strains isolated in each media.

Group	Media	
	SAB (%)	ITZ (%)
<i>Aspergillus</i> spp.	277 (82.0)	119 (76.8)
<i>Fusarium</i> spp.	9 (2.7)	4 (2.6)
<i>Scedosporium/Lomentospora</i> spp.	22 (6.5)	17 (11.0)
<i>Penicillium/Talaromyces</i> spp.	14 (4.1)	8 (5.2)
Other genera	16 (4.7)	7 (4.5)
All	338 (100)	155 (100)
Resistant*	44 (13.1)	24 (15.6)
Susceptible	292 (86.9)	130 (84.4)

541

542 * Strains were classified as susceptible or resistant according to *A. fumigatus* breakpoint for
 543 itraconazole. Three isolates were not included in this group since they did not grow for
 544 antifungal susceptibility testing.

545

546 Table 6: Number and percentage of strains isolated in FILPOP 1 and FILPOP 2 studies by group
 547 of Species

No of Isolates (%)	FILPOP 1 (6)	FILPOP 2 (this study)
<i>Aspergillus</i> spp.	278 (86.3)	396 (80.32)
<i>Scedosporium/Lomentospora</i> spp.	15 (4.7)	39 (7.91)
Mucorales	12 (3.7)	5 (1.01)
<i>Penicillium/Talaromyces</i> spp.	7 (2.2)	22 (4.46)
<i>Fusarium</i> spp.	4 (1.2)	13 (2.64)
Others	6 (1.9)	18 (3.65)
TOTAL	322 (100)	493 (100)

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