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Development and Validation of a High-Resolution Melting Assay To Detect Azole Resistance in *Aspergillus fumigatus*

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1 Title

2 **Development and validation of a High Resolution Melting Assay to**
3 **detect azole resistance in *Aspergillus fumigatus***

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21 **Running title:** HRM assay for azole resistance detection in *A. fumigatus*

22 **Keywords:** Antifungal resistance, Azoles, HRM, *cyp51A*, Aspergillosis

23 **ABSTRACT**

24 The global emergence of azole resistant *Aspergillus fumigatus* strains is a growing
25 public health concern. Different patterns of azole resistance are linked to mutations in
26 *cyp51A*. Therefore, an accurate characterization of the mechanisms underlying azole
27 resistance is critical to guide selection of the most appropriate antifungal agent in
28 patients with aspergillosis. This study describes a new sequencing-free molecular
29 screening tool for the early detection of the most frequent mutations known to be
30 associated with azole resistance in *A. fumigatus*. PCRs targeting *cyp51A* mutations at
31 positions G54, Y121, G448 and M220 and the promoter region targeting the different
32 tandem repeats (TR) were designed. All PCRs were simultaneously performed using the
33 same cycling conditions. Amplicons were then distinguished using a High Resolution
34 Melting assay. For standardization, 30 well-characterized azole resistant *A. fumigatus*
35 strains were used, obtaining melting curve clusters for different resistance
36 mechanisms in each target and detecting the most frequent azole-resistance
37 mutations: G54E, G54V, G54R, G54W, Y121F, M220V, M220I, M220T, M220K, G448S
38 and the tandem repeats, TR₃₄, TR₄₆ and TR₅₃. Validation of the method was performed
39 using a blind panel of 80 *A. fumigatus* azole susceptible and resistant strains. All strains
40 included in the blind panel were properly classified as susceptible or resistant by the
41 developed method. The implementation of this screening method can reduce the time
42 for the detection of azole resistant *A. fumigatus* isolates and therefore facilitate the
43 selection of the best antifungal therapy in patients with aspergillosis.

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47 INTRODUCTION

48 In the last years, azole resistance in *A. fumigatus* has been increasingly
49 reported in the clinical setting, representing a growing public health concern (4). In the
50 Netherlands azole resistance in *A. fumigatus* has been increasing since 1999 with
51 resistance rates ranging from 4.3% to 19.2% in 2013 and 3.8% to 13.3% in 2014 (5, 6).
52 Similarly, an increase from 5% in 2004 to 20% in 2009 was observed in the UK (7, 8).
53 Nowadays, the presence of azole resistant strains has been reported in many countries
54 from all continents, with strains isolated from both environmental and clinical samples
55 (9).

56 Azole targets 14 alpha sterol demethylase, a key enzyme of the ergosterol
57 biosynthesis pathway, which is encoded in *Aspergillus* by *cyp51A*. The alteration of this
58 gene is the major mechanism leading azole resistance (10). Several point mutations
59 have been described in *cyp51A* although only some of them have been confirmed as
60 responsible for the phenotypic resistance. Those comprise mutations at positions
61 Glycine 54 (G54), Methionine 220 (M220) and Glycine 448 (G448) (11-13). However,
62 the most frequent mechanism of resistance in *A. fumigatus* until now is a combination
63 of a point mutation at position Leucine 98 (L98) with a tandem repeat (TR) insertion of
64 34 base pairs (bp) in the promoter region of *cyp51A* (14). Recent studies indicate that
65 approximately 50% of the increase in azole resistant strains is due to the TR₃₄/L98H
66 alteration (15). Other emerging mechanisms of resistance have also been described,
67 such as two point mutations (Y121F and T289A) in combination with 46 bp TR in the
68 promoter (16) or point mutation Y121F alone (17) and another with 53 bp TR with no
69 associated point mutations (18, 19). Nevertheless, each of these mutations confers
70 different susceptibility profiles: G54 has been associated with resistance to

71 itraconazole and posaconazole (11, 20); M220 with resistance to itraconazole, high
72 Minimum Inhibitory Concentration (MIC) to voriconazole and variable MICs to
73 posaconazole (13). G448 with voriconazole resistance and some reduction in
74 itraconazole and posaconazole susceptibility (21); TR₃₄/L98H is described as pan-azole
75 resistant (14), TR₄₆/Y121F/T289A confers resistance to posaconazole and voriconazole,
76 with variable susceptibilities to itraconazole (22, 23) and TR₅₃ confers resistance to
77 voriconazole and itraconazole and lower susceptibility to posaconazole (19).

78 The analysis of the High Resolution Melting curves (HRM) using fluorescent
79 DNA binding dyes with improved saturation properties allows a precise assessment of
80 the sequence and can be used to identify single point mutations (24), reducing the
81 time for characterization without need for sequencing. Fast and effective diagnosis
82 using HRM assays has been performed for microbiological applications using various
83 platforms (25-28). Since a fast identification of azole resistance is a critical point for the
84 selection of the proper antifungal drug in the IFD patients, we have developed a
85 screening tool based on this technology, targeting the most common *cyp51A*
86 mutations associated with azole resistance in *A. fumigatus*.

87

88 **MATERIALS AND METHODS**

89 **Strains analyzed in this study**

90 Thirty well characterized *A. fumigatus* clinical strains (26 azole resistant and 4
91 azole susceptible) from the Mold collection of the Spanish National Centre for
92 Microbiology were selected for setting up the method (Table 1). Azoles susceptibility
93 was assessed using the broth microdilution method of the European Committee on
94 Antimicrobial Susceptibility Testing (29). Strains were classified as susceptible or

95 resistant according to EUCAST breakpoints for *A. fumigatus* and azoles (resistance to
96 itraconazole and voriconazole MIC >2 mg/L and resistant to posaconazole MIC > 0.25
97 mg/L) (30) (Table 1). The *cyp51A* gene including its promoter region was amplified and
98 sequenced following the procedure previously described (14) for the detection of
99 specific mutations associated to azole resistance (Table 1). In a second step a blinded
100 panel of 80 strains of *A. fumigatus* including 46 susceptible and 34 azole resistant
101 strains was used for the validation of the method.

102 **DNA extraction from the *A. fumigatus* strains**

103 Strains were subcultured in Glucose Yeast Extract Peptone medium (0.3% yeast
104 extract, 1% peptone; Difco, Soria Melguizo) with 2% glucose (Sigma-Aldrich Química,
105 Madrid, Spain) for 24 to 48 h at 30°C. After mechanical disruption of the fungus by
106 vortexing the mycelium with glass beads, genomic DNA was extracted using a phenol-
107 chloroform method, following the protocol previously described (31). The extracted
108 DNA was quantified by a Nanodrop 8000 Spectrophotometer (Nanodrop Technologies,
109 Wilmington, DE; USA) and stored at -20°C until processing.

110 **Amplification of the targets**

111 Five PCRs to amplify fragments containing the targeted mutations G54, Y121,
112 M220 and G448 in *cyp51A* and its promoter region, in which the three tandem repeats
113 (TR₃₄, TR₄₆ and TR₅₃) are located, were developed. As M220 PCR failed to identify
114 M220K, an extra PCR to specifically detect that mutation was also included in the
115 method. Primers (Table 2) were designed using the Beacon Designer 7.0 software
116 (Premier Biosoft, Palo Alto, CA, USA) and synthesized by Sigma-Aldrich (Madrid, Spain).
117 Reactions were performed in a 20 µl final reaction volume containing 1 µl of Light
118 Cycler 480 Resolight Dye (Roche Diagnostics, Mannheim, Germany), 10 µl of SensiMix

119 DNA (Quantace, Ecogen, Madrid, Spain), 1 μ M of each primer, water (PCR Grade,
120 Roche, Spain) and 25 ng of DNA. All reactions were performed with the same cycling
121 conditions: an initial denaturation step for 5 min at 95°C followed by 45 cycles of
122 denaturation (10 s at 95°C), annealing (10 s at 60°C), and extension (10 s at 72°C). All
123 samples were performed in duplicate, including in each experiment positive controls,
124 which contained the described mutations, and negative controls in which water was
125 added as template.

126 **High Resolution Melting analysis**

127 After amplification, PCR products were maintained 1 min at 95°C and 1 min at
128 40°C, followed by a 10 min ramp from 65°C to 95°C at a rate of 0.002, 25 acquisitions
129 per grade centigrade, and a final cooling step of 30 s at 40°C. HRM analysis was
130 performed using the LightCycler® 480 Gene Scanning Software (Roche, Madrid, Spain)
131 in the LightCycler© 480 Instrument II (Roche, Madrid, Spain). The melting curve data
132 was manually adjusted and the fluorescence was normalized, fixing the pre and post
133 melt slider settings ranging from 77.4-87.9°C and 83.7-91.1°C, respectively, with
134 threshold at 0 or 1 of the specific amplification and a sensitivity range between 0.20-
135 0.30. In the case of the TR target, we performed a second analysis for discriminating
136 between TR₄₆ and TR₅₃, adjusting the pre and post melt slider to 84.3-85.3°C and 89.3-
137 90.3°C, respectively. The HRM analysis optimized conditions for each assay are shown
138 in Table 3.

139

140 **RESULTS**

141 **Standardization: Azole-resistant mutation discrimination by HRM**

142 The real time PCR (RT-PCR) amplified fragments ranged 70 - 144 bp, being the
143 cycle thresholds of the amplification below 30 for all the samples. After HRM analysis
144 and standardization, normalized melting curve clusters for different resistance
145 mechanisms were obtained for each target (Figure 1).

146 The average melting temperatures (T_m) obtained for each mutation are
147 summarized in Table 4. In position G54, the specific amino acid changes could not be
148 distinguished but any change from glycine was clearly differentiated from the wild type
149 (WT) strains (Figure 1, panel A). For the Y121 target, two melting curve clusters
150 allowed tyrosine differentiation from phenylalanine (Figure 1, panel B). This also
151 happened in the case of mutation G448S (Figure 1, panel E). The three TRs were
152 distinguished from the WT by the melting curve clusters (Figure 1 panel C and D). In
153 position M220, three of the four described mutations (M220/I/T/V) were specifically
154 differentiated, being able to distinguish all of them by the melting curves clusters
155 (Figure 1, Panel F). The mutation M220K presented a T_m of 82.9°C (Table 4) and was
156 located in the same cluster as the WT strains, challenging its proper detection.
157 However, the PCR designed for M220K amplified only the strains which harbor this
158 mutation, distinguishing specifically from the others (Figure 1, Panel G).

159

160 **Validation of the method**

161 A total of 80 strains (34 azole resistant and 46 azole susceptible) were included
162 in the panel. All 46 azole susceptible strains tested were classified as WT (Table S1).
163 Nine strains harbored mutations in position G54: G54E (n=3), G54W (n=2), G54V (n=3)
164 and G54R (n=1). These mutations could not be distinguished among them, although
165 they were clearly differentiated from the WT and therefore characterized as resistant.

166 The two strains with Y121F were properly identified. For M220 target, this
167 methodology was able to specifically differentiate the strains in the blind panel
168 harboring M220V (n=2), M220I (n=1), M220T (n=1) and M220K (n=2). The only strain
169 included in the panel with G448S mutation was also properly identified. Strains
170 carrying the TR [TR₃₄ (n= 14), TR₄₆ (n= 2) and TR₅₃ (n= 2)] were specifically identified in
171 the panel.

172

173 **DISCUSSION**

174 Azoles are currently the preferred agents for treatment and prevention of
175 invasive aspergillosis (IA). voriconazole is the first-line therapy of invasive aspergillosis
176 and posaconazole is recommended for primary prophylaxis, with voriconazole and
177 itraconazole as alternative agents (32, 33). Recently Isavuconazole has also being
178 approved for the treatment of IA, showing similar MICs than the ones obtained for
179 voriconazole {Maertens, 2016 #67} {Gregson, 2013 #66;Howard, 2013 #65}. The use of
180 liposomal amphotericin B (L-AMB) is indicated as an alternative treatment, although it
181 can be associated with high toxicity (34). Combined therapies of azoles with
182 echinocandins, mainly voriconazole and anidulafungin , have been tested and seem to
183 be beneficial for IA treatment (35-37). As alternative rescue therapies, posaconazole or
184 itraconazole are prescribed (33).

185 Azole resistant *A. fumigatus* isolates have been increasingly reported in the last
186 years (38-40). Although the number of patients affected is still limited (41), treatment
187 options are clearly reduced and lead to poor outcomes (3, 8) as mutations or
188 alterations in *cyp51A* gene lead to different azole susceptibility profiles (11, 19, 20, 23).
189 Thus, early diagnosis and a proper antifungal prescription have a direct impact on

190 patient survival (42). In addition, susceptibility testing is not routinely performed in all
191 clinical laboratories (especially for molds) and, when available, it takes 48-72 hours to
192 be completed. This delays the detection of resistance and, therefore, the
193 administration of an appropriate antifungal agent if different from the standard. Close
194 surveillance of clinical azole resistant strains, as well as an exhaustive screening of
195 environmental isolates are vital for the management of antifungal resistance in human
196 pathogenic fungi (43).

197 To face all these challenges, we have developed a single HRM assay to detect
198 each of the most frequent mutations related to azole resistance (changes at G54, Y121,
199 M220, or G448 and promoter insertions of variable sizes, TR₃₄, TR₄₆, TR₅₃). As a result,
200 we were able to clearly differentiate the azole resistant from the WT isolates by HRM,
201 showing the reliability of this diagnostic tool for the rapid identification of known azole
202 resistance mechanisms, in both clinical and environmental *A. fumigatus* strains. This
203 assay could be used as a screening method, reducing the time for obtaining the
204 information of the type of azole resistance profile to a single day. In addition, the
205 method can also be useful to detect the molecular mechanism of phenotypic
206 resistance when the susceptibility profile has already being determined, with the
207 option of only testing the mutations that can explain the obtained resistance pattern.

208 Several molecular methods for the detection of mutations responsible for azole
209 resistance have been developed previously: Tuohy et al. developed a HRM assay to
210 characterize the G54 codon in *cyp51A*, but other mutations associated with resistance
211 were not included (44). Nested PCR for detecting mutations in position M220 (without
212 discriminating among different base changes), L98H and the TR₃₄, have also been
213 described (45, 46). In contrast to nested PCR, our assay is a closed-tube technique that

214 reduces the possibility of contamination and the time to get results, since there is no
215 need for sequencing. Other methods based on RT-PCR have been described but are
216 able to detect fewer mutations, requires the use of expensive fluorescent probes
217 or/and are performed in several steps (47-50)(51).

218 Another advantage of the method developed is the potential detection of
219 additional mutations that have not been previously described in the amplified regions
220 without the need of expanding the assay, as well as the possibility of including new
221 amplification regions if new resistance mechanisms arise. Moreover, the technique is
222 very flexible and can be adapted to particular situations were only some of the
223 mechanisms need to be detected, optimizing assay costs.

224 Although our HRM assay is a useful method for the identification of azole
225 resistant isolates reducing the time for characterization without need for sequencing,
226 it also presents some limitations. Mutations which include changes between adenine
227 and thymine are harder to detect with this methodology. Indeed, we could not
228 differentiate properly the strains harboring the M220K mutation from the WT ones
229 due to this change. Modification of MgCl₂ concentration or using some additives such
230 as betaine or dimethyl sulfoxide, as previously suggested (52), did not improve the
231 differentiation. To solve this limitation, we included an extra PCR that specifically
232 detects this mutation and runs simultaneously with the rest of the assay.

233 Our method has not targeted L98 and T289 because these point mutations
234 have never been reported alone. Moreover, laboratory generated strains containing
235 these single point mutations are not azole resistant (14, 53). In our study, the use of
236 the PCR targeting TR has been enough to identify all the included TR₃₄/L98H and
237 TR₄₆/Y121F/T289A isolates.

238 In addition, for some mutations, such as G448, M220T, M220I or G54R or TR₅₃,
239 a limited number of strains were available for testing. Even though the results were
240 robust, more strains should be tested to confirm them. Another limitation is that this
241 technique still requires the isolation of the strains. The identification of resistance
242 mutations from direct clinical samples, such as blood, serum or bronchoalveolar lavage
243 among others, could clearly reduce the time response (47, 50). However, the low
244 amount of *Aspergillus* in clinical samples represents a challenge. A multicopy target
245 approach is recommended but not possible for azole resistance characterization since
246 it is based on a single copy target (54). Three studies detecting *cyp51A* mutations
247 directly from respiratory samples have been published recently (46-48). However,
248 these methods also have limitations: one of the studies detected only TR₃₄, L98H,
249 Y121F, and T289A mutations via melting curve analysis (47, 48) and the other used
250 nested PCR combined with sequencing (46).

251 In conclusion, the current study is the first to detect simultaneously the most
252 frequent azole resistant strains based on HRM technology. This method is simple to
253 perform, enabling the rapid and accurate detection of *A. fumigatus* resistant strains. It
254 is highly sensitive, specific and it is suitable for the screening routine clinical
255 diagnostics as the equipment needed is usually available at the hospitals. The fast
256 detection of resistant *A. fumigatus* strains with this technology will allow to select the
257 proper antifungal treatment, improving the management of the *A. fumigatus* infected
258 patients. Moreover, in the future, it could represent a good alternative to replace
259 phenotypic methods such as antifungal susceptibility testing in the clinical laboratory
260 allowing quick, precise and reliable detection of the most frequent mutations.

261

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277 **TRANSPARENCY DECLARATIONS**

278 In the past 5 years, M Cuenca-Estrella has received grant support from Astellas
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285 Sciences, Merck Sharp & Dohme. A. Alastruey-Izquierdo has received grant or travel

286 support from Gilead Sciences and Pfizer. The other authors declare no conflicts of
 287 interest.

288 Table 1: Phenotypic and genotypic characteristics of the strains used to standardize the
 289 method.

Modification	Number (n=30)	Triazole phenotype			Mutations in nucleotides
		ICZ	VCZ	PCZ	
WT	4	S	S	S	None
G54R	1	R	S	R	GGG to AGG
G54W	2	R	S	R	GGG to TGG
G54V	3	R	S	R	GGG to GTG
G54E	3	R	S	R	GGG to GAG
Y121F	2 ^a	S ^b	R ^b	S ^b	TAT to TTT
M220K	2	R	S ^c	R	ATG to AAG
M220T	1	R	S ^c	S ^c	ATG to ACG
M220I	1	R	S ^c	R	ATG to ATA
M220V	2	R	S ^c	S ^c	ATG to GTG
G448S	1	S	R	R	GGT to AGT
TR ₃₄	6	R	R	R	GAATCACGCGGTCCGATGTGTGCTGAGCCGAAT ^d
TR ₄₆	2 ^a	R ^e	R	R	GAATCACGCGGTCCGATGTGTGCTGAGCCGAATGAAAGTTGTCTA ^d
TR ₅₃	2	R	R	S ^c	GAATCACGCGGTCCGATGTGTGCTGAGCCGAATGAAAGTTGTCTAATGTCTA ^d

290 ICZ: Itraconazole; VCZ: Voriconazole; PCZ: Posaconazole. R: Resistant; S; Susceptible

291 ^a: Same strains containing the mutations TR₄₆ and Y121F .

292 ^b: Triazole phenotype is based on the results published for the only strain identified with this single point
 293 mutation (17)

294 ^c: Elevated Minimum Inhibitory Concentration

295 ^d: Sequence of the tandem repeated in the promoter region

296 ^e: Resistant phenotype variable

297

298

299 Table 2: Primers used in this study
 300

Target	Primers	Amplicon length (bp)	Sequence (5'-3')	Source
G54	G54F	70	TCATTGGGTCCCATTCTG	(51)
	G54R		GCACGCAAAGAAGAAGCTTG	(51)
Y121	Y121F1	72	CATTGACGACCCCGTTT	This study
	Y121R1		TTTTCTGCTCCATCAGCTTG	This study
M220	M220F	77	TCATGACCTGGACAAGGGC	(51)
	M220R		TCGCTTCTTGTTATGCGGC	This study
M220K	M220Kmod4F	144	TTTACTCCCATCAATTTTAA	This study
	M220KR		TGATTTCTGAGAGTCCTTGTCAC	This study
G448	G448F	131	TACTAAGGAGCAGGAGAA	This study
	G448R		TGACATAAGCGAATTCT	This study
TR	TRF	117	GCACCACTTCAGAGTTG	This study
	TRR1		ACCGTAGTATGAGTTAGG	This study

301

302

303 Table 3: Parameters established for the analysis of HRM

HRM Target	Pre-Melt T (°C)	Post –Melt T (°C)	Threshold/Sensitivity
G54	77.4-78.4	83.7-84.7	0/0.30
Y121	78.2-79.2	84.2-85.2	0/0.20
M220	80.2-81.2	85.7-86.7	0/0.20
G448	86.9-87.9	90.1-91.1	0/0.20
TR ₃₄	81.3-82.3	90.0-91.0	0/0.30
TR _{46, 53}	84.3-85.3	89.3-90.3	1/0.30

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305

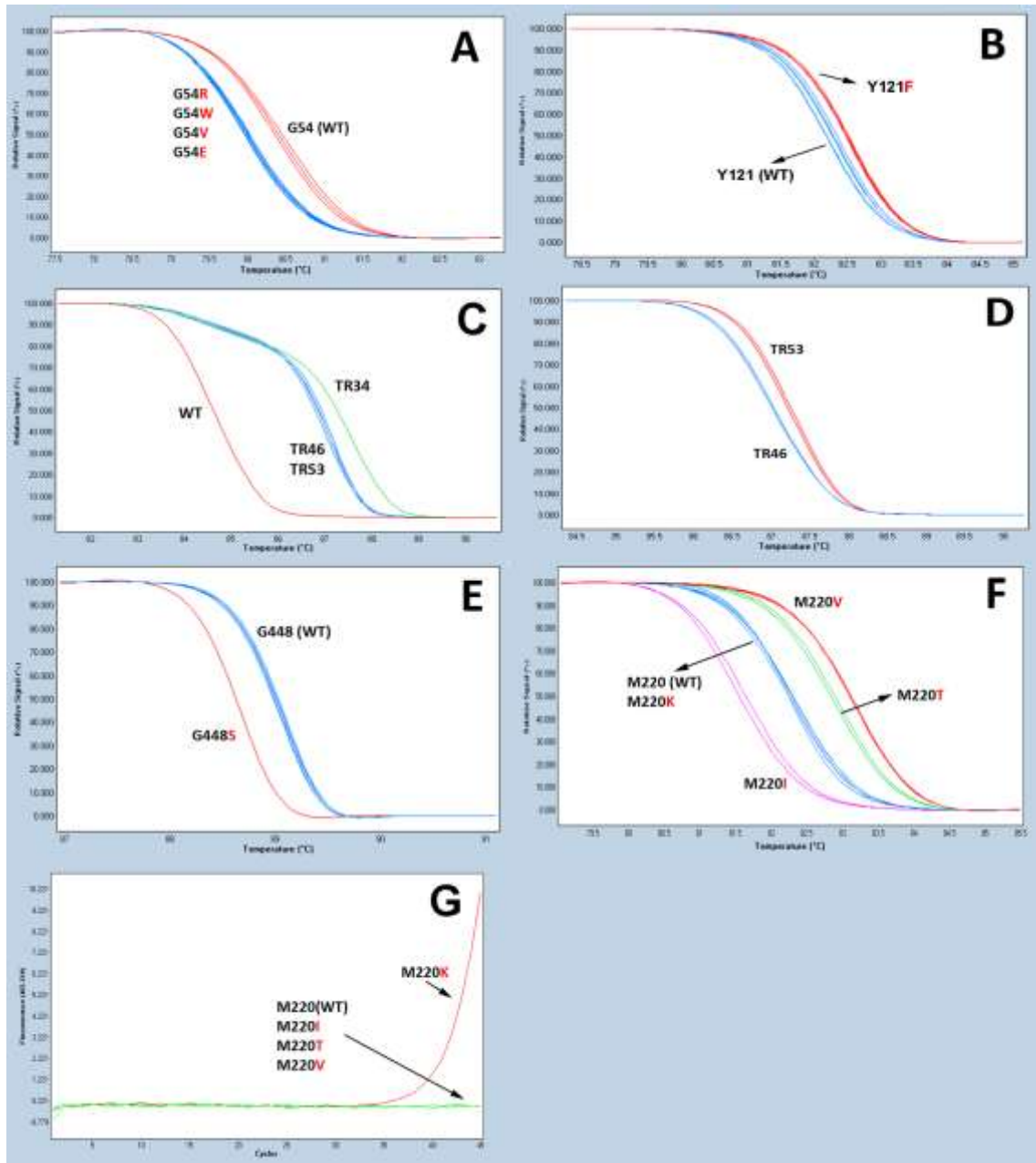
306

307 Table 4: The average T_m for the different target and mutation included in this study
 308
 309

HRM target	Mutations	T_m (°C)
G54	Wild type	80.6
	G54R	80.1
	G54V	80.2
	G54E	80.3
	G54W	79.9
Y121	Wild type	82.2
	Y121F	82.5
M220	Wild type	82.9
	M220K	82.9
	M220I	82.1
	M220T	83.4
	M220V	82.6
G448	Wild type	89.2
	G448S	88.6
TR	Wild type	84.6
	TR ₃₄	88.2
	TR ₄₆	87.1
	TR ₅₃	87.2

310 T_m: melting temperature. TR: tandem repeat

311 Figure 1: Representative HRM assays of the different targets used in the method with
 312 the normalized profiles obtained.
 313



314
 315
 316 Panel A: G54 target; Panel B: Y121 target; Panel C: TR target; Panel D: TR target for differentiating
 317 TR46 and TR53; Panel E: G448 target; Panel F: M220 target and Panel G: Specific amplification of *A.*
 318 *fumigatus* strain harboring the M220K mutation.
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320

321

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