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## **Accelerated muscle recovery in baseball pitchers using phase change material cooling**

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1 **ABSTRACT**

2

3 **Purpose:** The purpose of this study was to document recovery following a pitching performance  
4 and determine if prolonged post-game phase change material (PCM) cooling of the shoulder  
5 and forearm accelerates recovery. **Methods:** Strength, soreness and serum creatine kinase (CK)  
6 activity were assessed prior to, and on the two days following pitching performances in 16  
7 college pitchers. Pitchers were randomized to receive either post-game PCM cooling packs on  
8 the shoulder and forearm, or no cooling (control). PCM packs were applied inside compression  
9 shirts and delivered cooling at a constant temperature of 15°C for 3 hours. Strength was  
10 assessed for shoulder internal rotation (IR), external rotation (ER), empty can test (EC) and grip.  
11 **Results:** Total pitch count was 60±16 for 23 PCM cooling games and 62±17 for 24 control  
12 games (P=.679). On the days following pitching IR strength (P=.006) and grip strength (P=.036)  
13 were higher in the PCM cooling group versus control. One day after pitching IR strength was  
14 95±14% of baseline with PCM cooling versus 83±13% for control (P=.008, effect size *d* 0.91) and  
15 107±9% versus 95±10% for grip strength (P=.022, effect size *d* 1.29). There was a trend for  
16 greater ER strength with PCM cooling (P=.091, effect size *d* 0.51). The EC strength was not  
17 impaired after pitching (P=.147) and was therefore unaffected by PCM cooling (P=.168).  
18 Elevations in soreness and CK were not different between treatments (Treatment by Time CK  
19 P=.139, shoulder soreness P=.885, forearm soreness P=.206). **Conclusion:** This is one of the first  
20 studies to document impairments in muscle function on the days following baseball pitching,  
21 and the first study showing a novel cryotherapy intervention that accelerates recovery of  
22 muscle function in baseball pitchers following a game.

23 **Key Terms:** Cryotherapy, hand-held dynamometer, creatine kinase, soreness

24

## 25 **Introduction**

26           Considering the significance of pitching to success in baseball, and the importance  
27 placed on the number of days between starts, it is surprising that there is a dearth of research  
28 on recovery in pitchers. The research on recovery on the days after a pitching performance is  
29 limited to a few studies with small samples (6-10 subjects)(1,2,3,4,5). Three of the five studies  
30 examined soreness (2,3,5), two studies examined blood markers of muscle damage and/or  
31 inflammation (2,5), two examined MRI indices of muscle swelling (1,3), and only one study  
32 examined strength (4). Since strength measures provide a better quantification of exercise-  
33 induced muscle damage than blood markers or soreness indices (6), it is surprising that there  
34 are not more studies documenting strength recovery after pitching. There is even less research  
35 on recovery strategies for baseball pitchers which is surprising, considering the marked strength  
36 loss evident immediately after a pitching bout (7). Yanagisawa et al compared the effects of  
37 post-game icing, versus light exercise, versus the combination of icing plus light exercise, on  
38 strength and soreness one day after seven pitchers threw 98 pitches on three separate  
39 occasions (4). Light exercise and the combination of ice and light exercise provided some  
40 apparent benefit, but ice alone did not. However, the sample size was insufficient to make  
41 meaningful conclusions on the potential benefits of the recovery interventions. There are a few  
42 studies in the literature examining the effects of cryotherapy on indices of recovery between  
43 innings in baseball pitchers targeted at maintaining performance (8,9,10). Ice applied to both  
44 the shoulder and elbow between innings has been shown to attenuate the decrease in pitching  
45 velocity, increase velocity without jeopardizing accuracy, increase the overall amount of work  
46 done (22% more pitches), as well as decrease ratings of perceived exertion and facilitate

47 subjective recovery (8,9). These results are of limited relevance to the present work given that  
48 an intervention intended to repress fatigue during a game is not immediately relevant to  
49 recovery on the subsequent days.

50 Despite the fact that post-game icing of the shoulder and elbow has been in common  
51 practice for years there is no good supporting science specific to its application for recovery in  
52 baseball pitchers. Research on cold water immersion provides some indirect evidence in  
53 support of post-game icing in baseball. For example, repeated cold water immersions of the  
54 upper arm after eccentric exercise of the elbow flexors accelerated recovery of motion and  
55 reduced creatine kinase (CK) levels, a blood marker of damage (11). Additionally, in an animal  
56 model prolonged direct cooling to muscle following a closed soft tissue injury reduced  
57 proliferation of the injury (12). By contrast, intermittent topical cooling over a 72-hour period  
58 delayed recovery following bouts of eccentric exercise and in an animal model of muscle crush  
59 injuries icing impaired tissue repair (13,14).

60 The goal of post-exercise cryotherapy interventions is to reduce the proliferation of  
61 tissue disruption. Repeated post-exercise ice treatments may be more beneficial than a single  
62 treatment but in practice are inconvenient as the athlete must be relatively stationary during  
63 the treatment and typically needs to remain in the athletic training room for proper  
64 reapplication of ice. Recently post-exercise cooling using phase change material (PCM) cooling  
65 packs worn inside compression shorts has been shown to accelerate recovery after eccentric  
66 exercise in recreational athletes and after games in professional soccer players (15,16). The  
67 PCM packs in these studies froze at 15° C and maintained this temperature for at least three  
68 hours. These interventions provide marked reductions in intramuscular temperature and allow

69 the athlete to leave the training room while the treatment continues (16). The fact that the  
70 packs are at 15° C means that there is little to no risk of cold-induced injury. Thus, the  
71 combination of safety and practicality make PCM cooling an attractive recovery intervention for  
72 athletes.

73 The purposes of this study were twofold. The first purpose was to examine the indices of  
74 recovery following baseball pitching, specifically examining strength recovery since only one  
75 prior small sample study has documented strength recovery in pitchers (4). The second  
76 purpose was to examine the effectiveness of post-game PCM cooling on indices of recovery in  
77 pitchers. Based on prior work it was hypothesized that PCM cooling would accelerate recovery  
78 of muscle function (15,16).

79

## 80 **Methods**

### 81 *Participants*

82 Sixteen male, NCAA Division III collegiate baseball pitchers (age 21.2±1.2; height  
83 1.85±0.06 m; body mass 85±13 kg; 5 freshmen, 5 sophomores, 2 juniors, 4 seniors) volunteered  
84 to participate in this study. All participants were injury free for >6 months, cleared for full  
85 pitching participation by athletic training staff, and remained injury free for the duration of the  
86 study. Prior to participation, pitchers were informed of the procedures and provided written,  
87 informed consent. The institutional research ethics committee, in line with the Declaration of  
88 Helsinki, approved all procedures.

89

### 90 *Experimental Design*

91 Upper extremity strength, soreness of the shoulder and forearm, and serum CK were  
92 assessed prior to, and on each of the two days following a pitching performance. On days of  
93 data collection, data were obtained prior to any physical activity initiated by the pitchers. The  
94 order of data collection remained the same throughout the data collection period. Pitchers  
95 were randomized to receive either 1) PCM cooling packs to the shoulder or shoulder and  
96 forearm or 2) no cooling (control) after a pitching performance. Data were collected in the  
97 NCAA sanctioned fall season (September) and the NCAA sanctioned pre-season  
98 (January/February). Since the flexible microsphere filling in the PCM pack applied at the elbow  
99 was a novel material made available following the initial data collection period (fall season),  
100 they were only applied in the spring pre-season. As a result, grip strength and forearm soreness  
101 were only assessed in the spring pre-season data collection period.

102 All pitchers were on a prescribed number of innings for a given outing and threw a  
103 minimum of 45 pitches to a maximum of 90 pitches, depending on the stage of their  
104 progression established by the coaching staff. Eight pitchers were tested on 4 different  
105 occasions, all with 2 PCM cooling and 2 control outings each. Six pitchers were tested on 2  
106 occasions, each with a PCM cooling and a control outing. One pitcher was tested on one  
107 occasion and received the PCM cooling treatment. One pitcher was tested on two occasions  
108 and received the control treatment both times.

109

#### 110 *Upper Extremity Strength Measures*

111 Shoulder strength tests were performed using a hand-held dynamometer (Lafayette  
112 Instruments, Lafayette, IN). This dynamometer has a sensitivity of 0.1 kg and was calibrated



113 before testing according to the manufacturer's recommendation. The validity and reliability of  
114 testing upper extremity strength with hand-held dynamometers have been well documented  
115 and the instrument has been used successfully in testing strength in professional, college and  
116 high school pitchers (7,18,19,20). The same tester performed all hand-held dynamometry  
117 strength tests and had over 20 years of experience making these specific measurements on  
118 baseball pitchers. All upper extremity manual strength tests were performed as break tests with  
119 the hand-held dynamometer force being applied proximal to the wrist joint. The average of 2  
120 repetitions in each strength test was recorded for empty-can (EC), internal rotation (IR) and  
121 external rotation (ER). Tests were excluded as invalid if any pitcher reported pain during  
122 strength testing.

123           The EC test was performed in sitting without back support, with the arm at 90° of  
124 abduction and 30° anterior to the frontal plane with full glenohumeral IR. The pitcher stabilized  
125 himself by holding the seat with his nondominant arm during the test. The EC test position is  
126 thought to evaluate supraspinatus muscle strength (7,18,21). Shoulder IR and ER tests were  
127 performed with the subject in the supine position. Pitchers were placed with the shoulder in  
128 90° of abduction (in neutral rotation) and elbow flexed at 90°. The dynamometer was placed  
129 on the dorsal or volar side of the wrist during the ER or IR test, respectively (7).

130           Grip strength measurements were taken in a standing position using a hydraulic hand  
131 dynamometer (Jamar, Performance Health, Warrenville, IL). Pitchers were instructed to have  
132 their shoulder adducted and neutrally rotated, elbow flexed at 90° and forearm in neutral  
133 position during the grip test. Pitchers were instructed to squeeze the dynamometer as hard as  
134 they could (isometric test).

135           Based on repeated measures of IR, ER, EC and grip strength on the nondominant arm of  
136 college pitchers (7) the relative minimal detectable changes were 16% for IR, 11% for ER, 13%  
137 for EC and 6% for grip strength.

138

#### 139 *Subjective Soreness Evaluation*

140           On all three testing occasions, pitchers were asked to rate their current “shoulder” and  
141 “forearm” soreness on a scale of 0 to 10. A ranking of 0 indicated “no soreness” and 10  
142 indicated “extreme soreness”.

143

#### 144 *Serum CK Measure*

145           All blood samples were performed within the team facilities and obtained prior to any  
146 activity initiated by the participants. Thirty  $\mu\text{L}$  of capillary blood was obtained from the fingertip  
147 of the ring finger of the participant’s glove hand, for the enzymatic measurement of CK  
148 concentration. The fingertip was cleaned with 95% ethanol then allowed to dry completely  
149 before an automatic lancet device was used to draw blood from the finger. The first drop of  
150 blood was removed with cotton wool to prevent the sample from being contaminated with  
151 ethanol. A 30  $\mu\text{L}$  pipette (Microsafe Tubule, Safe-Tec Clinical Products, Pennsylvania, USA) was  
152 used to collect the sample. The capillary blood sample was then immediately dispensed out of  
153 the pipette onto a CK test strip (Reflotron CK, Roche Diagnostics, Mannheim, Germany) and  
154 analyzed (Reflotron® Plus System, Roche Diagnostics, Basel, Switzerland).

155

#### 156 *Phase Change Material Application*

157           Immediately following baseball activities, two rigid polyurethane PCM packs (4.5 in x 12  
158 in; Glacier Tek LLC, Minneapolis, MN) frozen at 15°C were placed directly on the skin over the  
159 shoulder inside a compression shirt (IntelliSkin Foundation Tee, Newport Beach CA). One PCM  
160 pack was oriented on the anterior region of the shoulder complex, covering portions of the  
161 pectoralis, anterior and middle deltoid (Figure 1). The second pack, of the same size, was  
162 oriented on the posterior region of the shoulder complex covering portions of posterior deltoid,  
163 supraspinatus muscle belly and lateral portions of the infraspinatus muscle (Figure 1). A third  
164 pack, different from the first two PCM packs because it was flexible and made of a nylon  
165 material (4 in x 11 in; PureTemp LLC, Minneapolis, MN), was placed over the medial elbow and  
166 held in place with a graduated calf compression sleeve (Musetech, TN) to maintain its  
167 orientation. The PCM administered to the medial elbow was oriented proximal to the medial  
168 epicondyle and covered the flexor mass of the forearm (Figure 1). The flexible PCM packs were  
169 more suitable to applying across a joint because they could be conformed to the body part. The  
170 urethane PCM packs were rigid when frozen so were more suited to applying to flat areas. The  
171 urethane packs weighed 1 pound each; the nylon pack weighed 1.5 pounds.

172           Pitchers were instructed to leave the sporting venue and proceed with their post-game  
173 activities while continuing to wear the PCM cooling packs for 3 hours before removing them.  
174 Pitchers were contacted via text message two times over the course of the 3-hour application  
175 to verify both the orientation and the continued frozen state of the PCM. All participants were  
176 compliant with the 3-hour application.

177

## 178 **Statistics**

179 Force in each of the strength tests was expressed as a percentage of baseline in order to  
180 remove the effect of inter-individual variation in shoulder and forearm strength. The effect of  
181 postgame PCM cooling on strength, soreness and CK levels was assessed using treatment (PCM  
182 vs. control) by time (Pre, Day 1 post, Day 2 post) mixed model analysis of variance. Since not all  
183 pitchers had both treatments with matching numbers of pitches, treatment was applied as a  
184 between-subjects factor. Where there was a significant treatment effect, or treatment by time  
185 interaction, differences between treatments, or within groups, at any particular time interval  
186 were assessed using Bonferroni corrections for planned pairwise comparisons. Prior to  
187 employing ANOVAs, normality of distribution of all data sets were verified using the Shapiro-  
188 Wilk test. Creatine kinase values were not normally distributed and were log transformed, after  
189 which normal distribution was verified. Mauchly's test of sphericity was used to assess  
190 assumptions of sphericity and, where necessary, Greenhouse-Geisser corrections were applied  
191 to tests of within-subjects time effects. Cohen's *d* effect sizes are reported with 95% confidence  
192 intervals for treatment effects.

193 Baseline strength values were compared between the first and subsequent baseline  
194 measures to assess for potential learning effects with the strength tests. Most pitchers had  
195 previously performed the shoulder tests in routine preseason and post-season testing, but none  
196 had performed the grip test. If baseline values varied significantly for a particular test treatment  
197 order was added as a covariate to the ANOVA.

198 In order to assess the effect of pitch count on strength loss, soreness and CK activity,  
199 responses in the control condition were compared for outings where pitchers threw a low pitch  
200 count defined as <55 pitches ( $46 \pm 2$ ,  $n=12$ ) versus outings where pitchers threw a high pitch

201 count defined as >70 pitches ( $78 \pm 7$ ,  $n=12$ ). These analyses were performed with pitch count  
202 (low vs. high) by time (Pre, Day 1, Day 2) mixed model ANOVA.

203 Statistical analyses were performed using SPSS v.21 (IBM, Armonk, NY, USA). Mean  $\pm$  SD  
204 are reported in the tables and results section while Mean  $\pm$  SE are reported in the figures. A P-  
205 value of less than 0.05 was considered statistically significant.

206 The study was powered to detect a difference in strength loss between PCM cooling and  
207 control. Based on the variability in IR and ER strength loss in college pitchers tested  
208 immediately after pitching a game (7) it was estimated that with 25 PCM cooling games versus  
209 25 controls there would be 80% power to detect a 15% difference in percent strength loss  
210 between treatments at  $P < .05$  (e.g. 5% strength loss with one treatment compared with 20%  
211 strength loss with a different treatment would be a 15% difference). Importantly, the strength  
212 tests from which the sample size estimate was made were performed by the same tester  
213 performing the tests in the present study. The detectable difference for EC strength loss was  
214 estimated to be 10%. The reported variability in post-game grip strength loss was much smaller  
215 and with 12 PCM cooling and 12 control games it was estimated that there was 80% power to  
216 detect an 11% difference in percent strength loss between treatments at  $P < .05$  (7).

217

## 218 **RESULTS**

219 Total pitch count was not different between 23 PCM cooling games ( $60 \pm 16$ ) and 24  
220 control games ( $62 \pm 17$ ;  $P = .679$ ). Additionally, total pitch count was not different between the 11  
221 PCM cooling games ( $74 \pm 9$ ) and 13 control games ( $78 \pm 7$ ;  $P = .219$ ) in which flexible PCM was  
222 applied to the forearm in addition to the regular shoulder PCM packs.

223

#### 224 *Effect of PCM Cooling on Strength*

225           Over the two days following pitching there was no loss of IR strength in the PCM  
226 treatment condition ( $P=.127$ ) while there was marked IR strength loss for the control condition  
227 (Time effect  $P<.001$ ; Treatment by Time  $P=.007$ ; Fig. 2). Internal rotation strength was not  
228 significantly below baseline on either day after pitching in the PCM cooling treatment (Day 1:  
229  $95\pm 14\%$ ,  $P=.184$ ; Day 2:  $100\pm 13\%$ ,  $P=.999$ ), but was below baseline on both days for control  
230 (Day 1:  $83\pm 13\%$ ,  $P<.001$ ; Day 2:  $92\pm 12\%$ ,  $P=.006$ ). Recovery of IR strength was greater in the  
231 PCM cooling condition versus the control condition on the first day after pitching (95% vs. 83%,  
232  $P=.008$ , effect size  $d$  0.91 95% CI 0.54-1.28).

233           After pitching there was ER strength loss in both the PCM cooling ( $P=.003$ ) and control  
234 conditions ( $P<.001$ ). However, ER strength loss tended to be less for the PCM cooling condition  
235 versus control (Treatment effect  $P=.091$ , effect size  $d$  0.51 95% CI 0.19-0.83, Treatment by Time  
236  $P=.174$ ; Figure 3). ER strength was significantly reduced below baseline only on day 1 for PCM  
237 cooling treatment ( $93\pm 9\%$  of baseline;  $P=.002$ ) and was below baseline on both days for the  
238 control condition (day 1:  $86\pm 13\%$ ,  $P=.002$ ; day 2:  $91\pm 12\%$ ,  $P=.004$ ).

239           Following pitching there was no loss in EC strength after the PCM cooling treatment  
240 ( $P=.803$ ; day 1:  $100\pm 7\%$ , day 2:  $101\pm 12\%$ ) and marginal strength loss after the control condition  
241 ( $P=.05$ ; day 1:  $95\pm 12\%$ , day 2:  $99\pm 10\%$ ), with no clear difference between PCM cooling and  
242 control conditions (Treatment effect  $P=.168$ ; Treatment by Time  $P=.214$ ).

243           There was a learning effect for grip strength such that baseline grip strength was 9%  
244 higher ( $P=0.045$ ) on the second occasion on which pitchers were tested. Thus, baseline values

245 for the initial treatment condition may have underestimated grip strength and thereby  
246 underestimated subsequent strength loss. Regardless of treatment condition, on the two days  
247 after pitching the first trial strength averaged 104% of baseline compared with 96% of baseline  
248 after the second trial. For the 24 games in which grip strength was measured, PCM cooling was  
249 the first treatment after 6 games and the second treatment after 5 games, while the control  
250 condition was first after 7 games and second after 6 games. Thus, treatment order was well  
251 balanced. However, to control for any potential confounding effects treatment order was  
252 added to the ANOVA as a covariate. On the days after pitching grip strength was higher with  
253 PCM cooling versus the control condition (Treatment effect  $P=.027$ , Treatment by Time  $P=.025$ ;  
254 Fig. 4). One day after pitching grip strength was greater in the PCM treatment group ( $106\pm 10\%$   
255 of baseline) than in the control condition ( $95\pm 10\%$ ;  $P=.022$ , effect size  $d$  1.29 95% CI 0.88-1.69).

256 The absolute strength values (Table 1) showed significant treatment by time effects for  
257 IR ( $P=0.006$ ) and grip strength ( $P=0.039$ ) with no effects for ER ( $P=0.208$ ) or EC strength  
258 ( $P=0.112$ ).

259

## 260 *Soreness*

261 Pitchers reported shoulder soreness on the days after pitching for both the PCM  
262 ( $P<.001$ ) and control conditions ( $P<.001$ ). The soreness response was not different between  
263 treatments ( $P=.947$ , Treatment by Time  $P=.885$ ; Table 2). Shoulder soreness was highest one  
264 day after pitching but remained elevated above pre-game values on day 2.

265 Forearm soreness was elevated for both the PCM ( $P=.001$ ) and control conditions  
266 ( $P=.002$ ) and was not different between treatments ( $P=.134$ , Treatment by Time  $P=.206$ ; Table

267 2). Forearm soreness was elevated above pre-game values one day after pitching but no longer  
268 on the second day.

269

#### 270 *Serum CK Activity*

271 Data for serum CK were collected for 21 of 24 control games and 18 of 23 PCM cooling  
272 games due to unavailability of the CK instrumentation on some days. Over the two days  
273 following pitching CK<sub>log</sub> increased in both the PCM condition (P=.016) and control condition  
274 (P<.001), with no difference between treatments (P=.549, Treatment by Time P=.139; Table 3).

275

#### 276 *Effect of Pitch Count on Markers of Muscle Damage*

277 Surprisingly, strength loss was not different between low and high pitch count groups  
278 (IR: P=.996, ER: P=.645, EC: P=.887). Similarly, CK<sub>log</sub> values and shoulder soreness values were  
279 not different between low and high pitch counts (P=.773, P=.233, respectively).

280

## 281 **DISCUSSION**

282 The purpose of this study was to assess recovery of strength, soreness and serum CK  
283 following a pitching performance and to determine whether recovery can be accelerated by  
284 providing prolonged post-game cooling to the shoulder and forearm. The results indicate that  
285 significant muscle damage occurs in collegiate level pitchers after throwing an average of 60  
286 pitches and that recovery is incomplete two days after pitching. The results also indicated that  
287 recovery of strength was accelerated when 3 hours of cooling was applied, but PCM did not  
288 impact soreness or the CK response.



289

290 *Muscle Damage Response to Pitching*

291 In the present study strength loss and soreness in the dominant upper extremity, and CK  
292 fluctuations, were used as markers of muscle damage. Strength loss was the primary outcome  
293 measure because it is objective and specific to the demands of pitching. Soreness is subjective  
294 and CK measures can fluctuate if the athlete exercises other body parts strenuously as part of  
295 team conditioning. One study that previously examined strength loss on the days after pitching  
296 tested shoulder IR, ER and abduction strength one day after seven pitchers each threw 98  
297 pitches (4). In their study IR and ER strength were highly variable and were not significantly  
298 different from baseline one day after pitching (averaged <10% strength loss)(4). Abduction  
299 strength was more consistent between players hence it was significantly reduced one day after  
300 pitching, but strength was less than 10% below baseline. There was comparably greater  
301 strength loss in the control condition of the present study. Strength loss one day after pitching  
302 was 17% for IR and 14% for ER. Both Yanagisawa et al and the present study used a hand-held  
303 dynamometer to assess strength; however, Yanagisawa et al used a “make” test to assess  
304 isometric strength while the present study used a “break” test (4). Tester experience with hand-  
305 held dynamometry for these tests, and within this athlete population, is very important. In the  
306 present study, the tester had 20+ years of strength testing baseball players.

307 The lack of EC strength loss on the days after pitching is consistent with a previous study  
308 in college pitchers in which there was no significant EC strength loss immediately postgame (7).  
309 Immediate postgame EC strength was  $6\pm 13\%$  of baseline in the previous study compared with  
310  $5\pm 12\%$  one day after pitching for the control condition in the present study. There was also

311 good agreement for IR and ER strength between the prior study on acute postgame fatigue and  
312 the present study on strength loss on the days after pitching. Postgame strength loss for IR and  
313 ER was  $18\pm 19\%$  and  $11\pm 19\%$ , respectively compared with  $17\pm 13\%$  and  $14\pm 13\%$  for the control  
314 condition one day after pitching in the present study (7). It is also notable that postgame  
315 fatigue in grip strength was  $4\pm 9\%$  compared with  $5\pm 10\%$  one day after pitching for the control  
316 condition in the present study (7). The consistency in these findings is surprising considering  
317 that an average of 99 pitches were thrown in the prior study while in the present study an  
318 average of 62 pitches were thrown (7).

319         Shoulder soreness one day after pitching in the control condition (3.2) was comparable  
320 to values for college pitchers reported by Yang et al (3.5) but values two days after pitching  
321 were much lower in the present study (1.8) compared with Yang et al (3.0) (5). Three days after  
322 pitching soreness values were close to baseline (1.0) (5). The difference in soreness two days  
323 after pitching likely reflects the number of pitches thrown (present study: 62 vs. Yang et al  
324 2016: 105) (5); indicating that the greater pitch volume might prolong resolution of soreness  
325 without increasing peak soreness. Potteiger et al reported somewhat lower soreness (2.0) one  
326 day after 98 pitches and values close to baseline two and three days after pitching (2). By  
327 contrast, Yanagisawa et al reported greater soreness one day after 98 pitches (6.0) (4).  
328 However, participants in the Potteiger et al study completed an 18-day training regimen prior  
329 to pitching (2). On the other hand, Yanagisawa et al did not record data on subsequent days  
330 and their soreness assessment was a motion test as opposed to the general assessment made  
331 in the other studies, so direct comparison may not be appropriate (4). Similar to the pitchers in

332 the preseason data collection period of the present study, Lazu et al showed no correlation  
333 between pitch volume and soreness in collegiate pitchers during a fall season (22).

334 The CK response in the present study was similar to prior studies that examined CK  
335 response in baseball pitchers, where CK peaked one day after pitching with lower values on  
336 subsequent days (2,5). Creatine kinase was elevated above baseline two days after 105 pitches  
337 (5) but only on one day after 62 pitches in the present study. The CK response to damaging  
338 exercise is highly individualized with high and low responders (23). Considering that baseball  
339 pitching is a multisegmental kinematic chain activity, the CK values following baseball pitching  
340 are not indicative of the muscle damage to the pitching arm alone but encompass systemic  
341 muscle damage. An additional issue confounding the CK response in the present study was that  
342 all pitchers were involved in conditioning exercises in addition to the pitches required for study  
343 completion. Thus, the CK values reflect muscle damage occurring from activities in addition the  
344 pitches necessary for this study. In-season CK responses may be different than those reported  
345 in the present study since pitchers are more likely to be well rested prior to games and a  
346 greater number of pitches would be thrown in games in the regular NCAA season.

347

#### 348 *PCM Cooling Intervention*

349 Phase change material cooling improved IR strength and grip strength on the days after  
350 pitching with a trend toward improving ER strength. These benefits for strength recovery are in  
351 agreement with previous studies examining the effect of PCM cooling to the thighs after  
352 damaging quadriceps eccentric exercise and soccer matches (15,16,17). The lack of a significant  
353 effect of PCM cooling on ER strength may have been due to the orientation of the PCM packs.

354 The PCM pack on the posterior shoulder was above the spine of the scapula and may have  
355 more directly affected the temperature of the supraspinatus as opposed to the infraspinatus  
356 (Fig. 1). There was no loss of EC strength in the control condition; therefore, cooling of the  
357 supraspinatus could not have impacted strength recovery. The anterior PCM pack covered  
358 much of the pectoralis muscle and thus there was a likely benefit for IR strength. The elbow  
359 PCM pack covered most of the anterior aspect of the forearm including the medial elbow and  
360 thus there was a likely benefit for grip strength. The effect of PCM cooling on grip strength may  
361 have been confounded by an apparent learning effect whereby pitchers performed the test  
362 better on the second occasion (one day after pitching) regardless of the treatment condition.  
363 Thus, strength losses were less for the first condition tested because the initial test may not  
364 have represented a true maximal effort. Therefore, the true effect of PCM cooling on grip  
365 strength is best assessed by the comparison between treatments at a given time point as  
366 opposed to the changes versus baseline. One day after pitching the difference in grip strength  
367 loss between PCM cooling (106% of baseline) and control (95% of baseline) was 11%,  
368 representing a large effect size (1.29). A similarly large effect size (0.91) was seen for IR strength  
369 one day after pitching (PCM cooling 95% of baseline, control 83% of baseline, difference 12%).

370 The lack of effect from PCM cooling on soreness may be due to the low soreness values  
371 reported by all pitchers throughout the study duration. The benefits of PCM cooling for  
372 soreness in professional soccer players were not apparent until the second day after a game,  
373 when soreness was 6.3 for the control condition and 4.6 for PCM cooling. Comparably, the  
374 soreness values two days after pitching (shoulder: PCM cooling 1.7, control 1.8; forearm: PCM  
375 cooling 1.5, control 0.9) were much lower than two days after a soccer match. Although

376 speculative, the pitchers participating in the present study were competing for a roster spot,  
377 and as a result they may have underreported their level of soreness.

378 CK elevations on the days after pitching were unaffected by postgame PCM cooling.  
379 These findings are in agreement with the only other study to have previously measured CK  
380 when examining the effectiveness of PCM for recovery following eccentric exercise (24). In both  
381 studies a small volume of muscle was exposed to the PCM cooling. Perhaps exposure to a  
382 cryotherapy modality that exerts a cooling stimulus to more of the body would have a greater  
383 effect on reducing CK. Cold water immersion involves cooling multiple muscle groups at once.  
384 However, a meta-analysis indicated only a small effect of cold-water immersion on recovery of  
385 CK (25).

386

### 387 *Limitations*

388 With respect to the damage response to pitching it is difficult to quantify the exact  
389 number and intensity of pitches thrown on a given day because different players warm up  
390 differently before throwing in a game and have differing number of pitches in the bullpen. It  
391 has been estimated that in high school baseball pitch counts underestimate the actual number  
392 of pitches thrown by over 40% (26). In the present study it was not possible to quantify the  
393 number of warm up pitches. However, this is the first study to examine the muscle damage  
394 response to pitching in actual games. Previous studies examining the muscle damage response  
395 used game simulations and while this allows a precise pitch count, the data in the present study  
396 are more ecologically valid for in-game responses (2,3,4,5). Additionally, the sample sizes in  
397 these previous studies ranged from 6-10 while in the present study the damage response was

398 measured in 16 pitchers in 24 control games and 23 games with a recovery intervention  
399 (2,3,4,5). This is the largest muscle damage study in baseball pitchers to date.

400           Grip strength was assessed to represent the pitching stress on the muscles that can  
401 dynamically stabilize the medial elbow. In this regard, the flexor pronator mass is thought to  
402 provide dynamic stability to the medial elbow (27). However, a wrist flexion strength test may  
403 be a better test of flexor pronator mass strength and the potential for protection against medial  
404 elbow valgus stress. Specifically, wrist flexion fatigue (7.5% decrease in strength) has been  
405 shown to increase ultrasound measured medial elbow joint space with application of a valgus  
406 stress (28).

407           While PCM cooling can dramatically reduce muscle temperature and markedly improve  
408 strength recovery after damaging exercise, a limitation in this prior work is that the packs, when  
409 frozen, are solid and not conformable to joints (15,16,17,24). Thus, in the present study the  
410 packs did not conform as well with the shoulder as they did when placed over the anterior  
411 thighs in previous studies. A somewhat more conformable version of the PCM packs became  
412 available during the study and allowed the additional application on the forearm and elbow for  
413 the winter preseason data collection. These packs may prove more effective in providing more  
414 uniform cooling to the shoulder muscles in future applications. Alternatively, smaller PCM packs  
415 with smaller individual PCM cells are available and are more conformable to joints. However,  
416 the melt time is dependent on the size of the PCM cell, and packs designed for joints with  
417 smaller cells melt rapidly. The goal with using PCM cooling to accelerate recovery from stressful  
418 and damaging exercise is to provide prolonged cooling while allowing the athletes to continue  
419 their activities of daily living. The so-called secondary injury response after stressful exercise

420 develops over several hours (29). Providing a prolonged continuous cooling intervention during  
421 this period is hypothesized to maximize the recovery benefits when compared to shorter  
422 duration interventions such as cold-water immersion or icing.

423         An additional limitation was that the control group did not receive icing to the shoulder  
424 or forearm. Although icing is a common practice in baseball, the team studied here did not  
425 routinely use post-game icing on their pitchers. Therefore, the choice was made to have the  
426 control condition what the routine practice was, and no player received post-game icing in this  
427 study. It is unknown if a 20- or 30-min post-game icing intervention would have a beneficial  
428 effect on recovery. It is noteworthy that all the pitchers in this study provided positive feedback  
429 on the comfort of the post-game PCM cooling intervention and adopted it as routine practice  
430 for the competitive NCAA season.

431         Finally, the use of a between-subjects analysis with a data set that has mostly, but not  
432 exclusively, within-subjects comparisons is problematic because the subjects are not all  
433 independent. However, in a within subjects model the samples were not correlated for  
434 between treatment comparisons of the primary dependent variables (strength loss). Thus,  
435 there was sufficient independence to warrant a between-subjects analysis.

436

#### 437 *Future Directions*

438         Future studies should investigate responses to pitching full games with a higher pitch  
439 count than were reported here. Although it was recently reported that one session of PCM  
440 cooling does not inhibit the naturally occurring adaptive response to exercise, it remains known  
441 whether accelerating recovery with PCM cooling over multiple exercise sessions, such as in a

442 baseball season, impacts subsequent performance or injury risk (23). Both areas warrant  
443 examination.

444

#### 445 *Conclusions*

446 This is the largest study to date examining indices of recovery on the days after a  
447 baseball pitching performance. Prolonged PCM cooling protected against strength loss in  
448 shoulder IR and grip strength but did not affect CK levels or soreness. This is one of the first  
449 study to document impairments in muscle function on the days following baseball pitching, and  
450 the first study showing a novel intervention that accelerates recovery of muscle function in  
451 baseball pitchers. The effect of PCM cooling of the medial elbow and forearm on grip strength  
452 recovery is very encouraging considering the role the wrist flexors play in dynamic stability of  
453 the elbow.

454

#### 455 *Clinical Relevance*

456 Phase change material cooling packs placed in compression garments provide a practical  
457 and effective means of delivering prolonged post-game cooling to the pitching shoulder and  
458 arm.

459

#### 460 *Acknowledgement*

461 The results of this study are presented clearly, honestly and without fabrication,  
462 falsification or inappropriate data manipulation. The results of this study do not constitute  
463 endorsement by ACSM.



464           The authors would like to acknowledge the Kean University Athletic department and  
465 baseball program for their efforts in coordinating this project. There was no funding associated  
466 with this project or conflicts of interest in the products that were used. The authors purchased  
467 the commercially available rigid polyurethane PCM packs that were applied to the shoulder.  
468 The flexible nylon covered packs that were applied to the elbow were donated by PureTemp  
469 LLC, Minneapolis, MN as they were not yet commercially available.  
470

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- 543
- 544

545 Table 1. Absolute values for strength measures (Newtons, mean±SD)

	BASELINE		DAY 1		DAY 2		Treatment x Time
	Treatment	Control	Treatment	Control	Treatment	Control	
IR	212±33	229±47	200±38	191±52	211±42	210±46	P=0.006
ER	197±27	199±22	182±26	172±30	187±30	181±25	P=0.173
EC	147±21	151±22	147±21	142±19	148±23	148±22	P=0.112
GRI P	537±85	559±82	568±76	532±10 7	559±75	539±99	P=0.049

546 Figure 1: Shoulder and elbow/forearm PCM applications are shown in grey. Two rigid PCM  
547 packs applied at the shoulder were held in place by a compression shirt. One flexible PCM pack  
548 applied at the elbow was held in place by a compression sleeve.

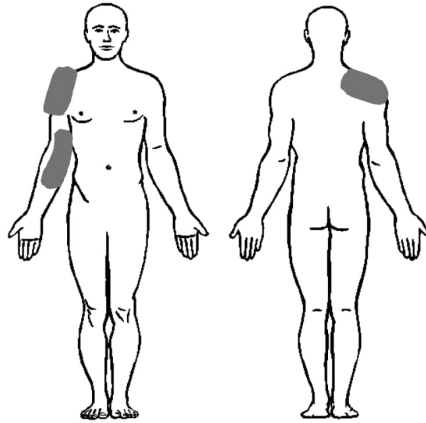


Figure 1

549

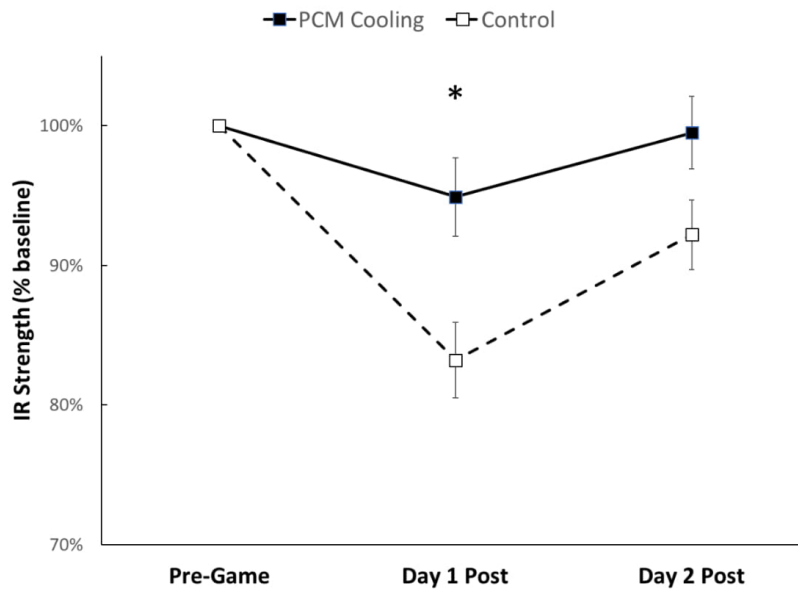


Figure 2

550 Figure 2: IR strength as percentage of baseline for PCM cooling and control conditions. Time  
551 effect  $P < .0001$ , Treatment effect  $P = .006$ , Treatment by Time  $P = .007$ . \* Strength greater in PCM  
552 cooling condition versus control  $P = .008$ .  
553

554 Figure 3: ER strength as percentage of baseline for PCM cooling and control conditions. Time  
555 effect  $P < .0001$ , Treatment effect  $P = .091$ , Treatment by Time  $P = .174$ .

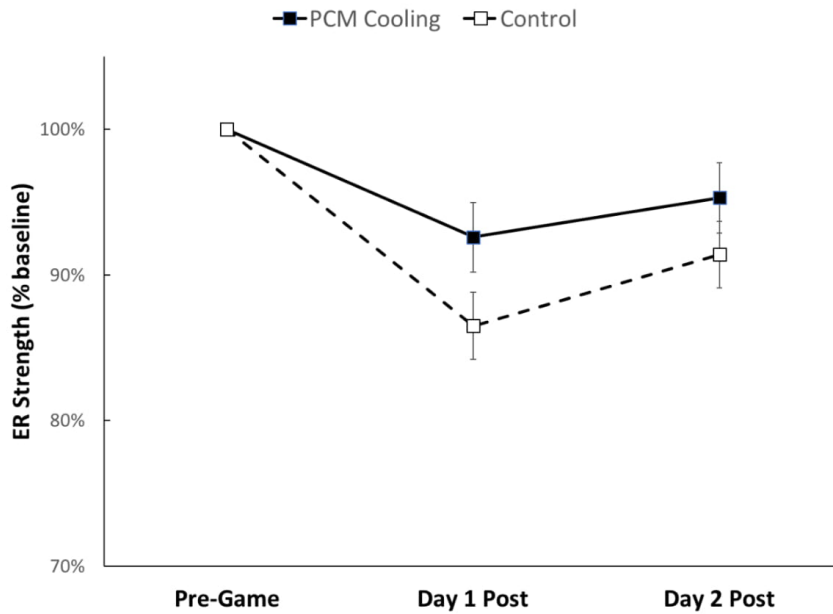


Figure 3

556  
557  
558

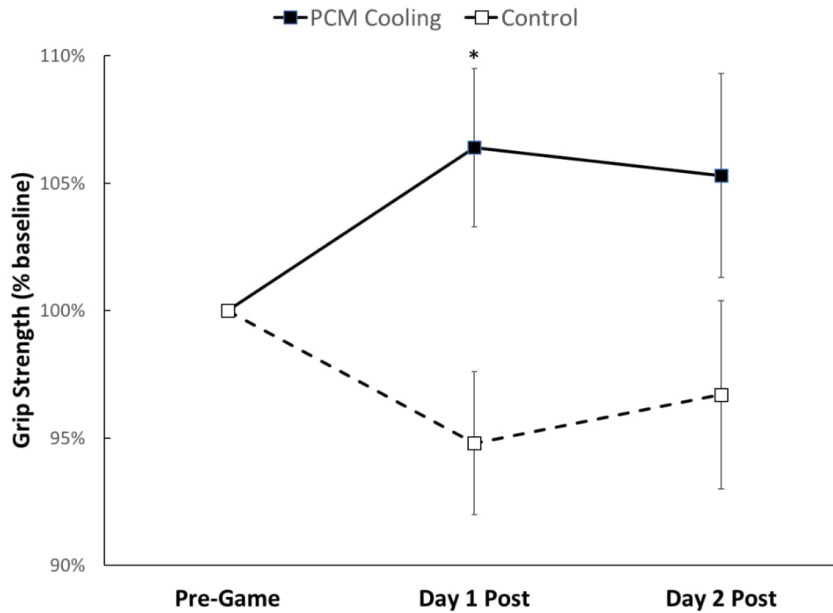


Figure 4

559 Figure 4: Grip strength as percentage of baseline for PCM cooling and control conditions. Time  
560 effect  $P = .904$ , Treatment effect  $P = .036$ , Treatment by Time  $P = .031$ . \* Strength greater in PCM  
561 cooling condition versus control  $P = .022$ .  
562