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ABSTRACT

Double-loop electric-field vs polarization hysteresis is investigated in a depoled compositionally disordered lithium-enriched potassium tantalate niobate crystal. Comparing electro-optic response and dielectric spectroscopy indicates that the anomalous response occurs for those temperatures in which the sample also manifests a temperature hysteresis in the low-frequency dielectric function. An electric-field hysteresis at concurrent temperatures suggests an underlying role of reorienting mesoscopic polar regions that accompany the nonergodic phase.

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I. INTRODUCTION

Perovskite crystals are the object of a vast research effort because they operate as highly functional materials in a wide class of applications, ranging from dielectrics and capacitive elements, optical and photonic components, photovoltaic devices to piezoelectric sensors, while their physics is not altogether established. Among their useful properties, a widely exploited phenomenon is the electro-optic (EO) effect, which is often correlated with the typical ferroelectric nature of these compounds.^{1,2} In poled ferroelectric crystals, strong spontaneous polarization causes the EO response to be linear as a function of the applied field, while depoled or paraelectric crystals manifest a quadratic response; that is, the bias-induced change of the index of refraction is proportional to the square of the field. One basic example is a ferroelectric perovskite in a poled low-temperature tetragonal phase, where the effect is linear, so that, when heated into the high-temperature cubic phase, it manifests a quadratic effect.¹ A different picture emerges when perovskite crystals host a given degree of disorder. Here, the EO response can be more complex and dependent on previous history, i.e., previous pressure, bias electric field, and temperature cycles. Specific thermal trajectories have been shown to lead to, for example, the enhancement of the electro-optic

response,^{3,4} enhanced nonlinear response supporting scale-free optics and subwavelength beam propagation,^{5–7} marked order-disorder anisotropies,^{8,9} programable hysteretic effects,^{10–12,17} aging and rejuvenation,^{18,19} along with the presence of intrinsic nonlinearities and effective temperatures.²⁰ One particularly striking and interesting signature of hysteretic phenomenology is the so-called double-loop isothermal paths as a function of the bias field.^{21–24}

A key role in the interpretation of hysteretic effects is generally attributed to polar-nanoregions (PNRs) and the formation of a dipolar glass, a picture that is widely used in the modeling of disordered ferroelectrics.^{12,25,26} These arise as a consequence of ionic substitutional disorder in the crystalline lattice: a great number of defects strongly facilitate the formation of mesoscopic dipoles. Actually, the nature, concentration, and arrangement of these defects essentially determine the macroscopic physical quantities due to polarization behavior. In potassium-based perovskites, this was also found in the EO response, which was demonstrated to be strongly influenced by a small amount of Li content.¹³ Furthermore, in perovskites of the same family, defect content and features can be used to program the hysteretic behavior of polarization-field paths.^{14,16} The correlated PNRs are believed to become dominant when the system undergoes specific thermal trajectories in

correspondence to the diffuse transition region, which is typical of relaxors.^{2,10,15,19,25–30} As in other glass-forming systems, the response of near-transition disordered ferroelectric samples is affected by the cooling or heating rate so that specific effects are observed only for a sufficiently rapid cooling or heating to the operating temperature.^{6,10,11,19,24,31,32} For example, optical scattering at the Curie point T_C is diminished after rapid cooling, as is known to occur for glass-forming systems, where super-cooling suppresses the long-range order and macroscopic clusterization typical of conventional equilibrium phase-transitions.^{33,34} From a photonic perspective, this circumvents critical opalescence and allows optical transmission experiments to tap into the anomalously enhanced response that occurs during the paraelectric-to-ferroelectric transition.^{5,6,35}

Experiments in disordered ferroelectrics have identified a range of temperatures spanning across T_C where a non-ergodic evolution is found.^{8–11,18,19,25,26,30,31} However, a comparative study of these features as a function of both temperature and electric-field bias has never been reported. In this work, we simultaneously investigate the temperature-dependent dielectric response and field-dependent electro-optic (EO) response. Specifically, operating at temperatures close to the ferroelectric transition, we electro-optically investigate double-loop hysteresis. We find that the double-loop response occurs only in the temperature region where non-ergodic thermal hysteresis is observed in the low-frequency dielectric susceptibility.

II. EXPERIMENTAL PROCEDURES

A. Sample

Our experiments are performed in potassium lithium tantalate niobate (KLTN), a compositionally disordered perovskite ferroelectric crystal. In our investigation, the KLTN sample is a single crystal of lithium-enriched potassium tantalate niobate ($\text{K}_{1-y}\text{Li}_y\text{Ta}_{1-x}\text{Nb}_x\text{O}_3$) with $x = 0.36$ and $y = 0.003$, which has been grown using the top-seeded-solution method. It is a c -direction-pulled zero-cut with sizes of $3.87(\text{a}) \times 3.55(\text{b}) \times 1.35(\text{c}) \text{ mm}$.⁸

B. Dielectric measurements

Dielectric spectroscopy is performed measuring the relative real dielectric permittivity ϵ_r as a function of the temperature T through a precision LCR meter (Agilent-4284A) by applying a probing 1 V/cm field between plane-parallel electrodes deposited on the crystal facets (x direction). Temperature variation in the 255–295 K range (straddling the nominal phase transition⁸) is achieved using a T -controlled closed two-stage helium cryostat and is monitored through a calibrated silicon diode sensor (0.01 K in precision). Slow cooling and heating rates ($|\Delta T/\Delta t| \simeq 0.01 \text{ K/s}$) are employed in these measurements.¹⁰ The system records a measurement for each 0.25 K temperature variation. We recall that the obtained measurement gives the global response of the overall sample; i.e., it provides a dielectric response, which averages the contributions of local polarization anisotropies. Results can be discussed in terms of the *Fröhlich entropy*, an analysis that highlights order–disorder evolution. This exploits the relationship between $\epsilon_r(T)$ and the entropy variation induced by the application of an

electric field \mathcal{E} (the fixed *probing field* in our case), which was provided by Fröhlich.^{41–43} The entropy variation $S_{\mathcal{E}}$ of a dielectric subjected to \mathcal{E} is given, for the volume unit, by the relationship $S_{\mathcal{E}} = (\epsilon_0/2)(\partial\epsilon_r/\partial T)\mathcal{E}^2$, where ϵ_0 is the vacuum permittivity, ϵ_r is the real part of the dielectric function in the low-frequency limit, and T the absolute temperature. It is useful to consider this last equation for the unitary field $s = S_{\mathcal{E}}/\mathcal{E}^2$, i.e.,

$$s = \frac{\epsilon_0}{2} \frac{\partial\epsilon_r}{\partial T}, \quad (1)$$

where $s = s(T)$ is the Fröhlich entropy for the unitary volume and field.^{8,43,44} Equation (1) shows that the application of \mathcal{E} increases the entropy if $s(T) > 0$ and decreases the entropy if $s(T) < 0$. Actually, an external field creates order in a dipolar liquid-like system because it will orientate some of the random dipoles and the entropy variation is negative; on the other hand in a dipolar ordered system, the field increases disorder with a positive value for $s(T)$.^{8,9}

C. Electro-optic measurements

The electro-optic (EO) response is investigated using a cross-polarizer transmission experiment: the birefringent sample is sandwiched between two crossed polarizers, the first transmitting light polarized at 45° to the x axis while the second transmits light at -45° , and laser light transmission is measured as a function of the *bias electric field* E , along the x direction, and temperature T .²⁰ The transmitted light intensity I then obeys the relationship,

$$I = I_0 + I_1 \sin^2(\Delta\phi/2), \quad (2)$$

where I_0 is the intensity due to the residual birefringence, I_1 is the minimum of the modulated intensity, and $\Delta\phi$ is the field-induced phase-shift between the x and y optical field components. The shift is then connected to the field-induced sample birefringence $\Delta\phi = L(2\pi/\lambda)\Delta n$, where λ is the wavelength of light, L is the length of the crystal along z , and $\Delta n(E) = n_{xx} - n_{yy}$ is the field-induced difference between the index of refraction for light polarized along the x and y direction. In general, the change in the index of refraction for an input field polarized along the i axis and exit polarization along the j axis is

$$\Delta n_{ij} = -\frac{1}{2} n_0^3 g_{ijkl} P_k P_l, \quad (3)$$

where g_{ijkl} is the quadratic electro-optic 4-tensor and P_k is the k component of the material polarization (i, j, k, l label the corresponding x, y, z directions of the involved fields and permittivity tensors), which then depends on the specific constitutive relation $\mathbf{P} = \mathbf{P}(\mathbf{E})$. Hence, investigating $\Delta\phi$, or equivalently $\Delta n = \Delta\phi(\lambda/2\pi L)$, as a function of \mathbf{E} , we are able to optically measure the P vs E relationship at the given temperature T .

For example, in the cubic (paraelectric) phase, in conditions in which $P_i = \epsilon_0 \epsilon_r E_i$, with ϵ_r being a scalar (in our experiments, $\epsilon_r - 1 \simeq \epsilon_r$; i.e., the relative dielectric constant is $\epsilon_r \gg 1$), we

expect that

$$\Delta n_{\text{cub}}(E) = -\frac{1}{2}n_0^3 g_{\text{eff}} \epsilon_0^2 \epsilon_r^2 E^2. \quad (4)$$

Here, n_0 is the unperturbed index of refraction ($n_0 \simeq 2.31$ in our experiment), and $g_{\text{eff}} \equiv (g_{11} - g_{12}) = 0.14 \text{ C}^{-2}\text{m}^4$ is the effective quadratic EO coefficient for the nominal m3m symmetry ($g_{11} = g_{xxx}$, $g_{12} = g_{xyy}$).^{1,20,49}

In turn, when the sample is below the transition temperature, in the nominally tetragonal phase, and poled along a given principal axis (say, the x axis), the presence of a strong spontaneous polarization causes the leading EO dephasing to become linear and described by

$$\Delta n_{\text{tetr}}(E) = -\frac{1}{2}n_0^3 r_{\text{eff}} E, \quad (5)$$

where r_{eff} is the linear effective EO coefficient, suitably contracted and calculated with respect to the symmetry of the correlated tensor.^{1,49,50} Hence, when a standard crystalline transition occurs from the cubic to tetragonal phase, the EO response usually passes from a $\Delta n \propto E^2$ to a $\Delta n \propto E$.

The employed optical setup is shown in Fig. 1. A Gaussian beam from a He-Ne laser ($\lambda = 632.8 \text{ nm}$) is expanded to an approximate plane wave of 10 mm diameter, propagating along z , by a suitable beam expander. The linearly polarized light at 45° with respect to the x axis is transmitted by the first polarizer and passes through the sample. The $\lambda/4$ waveplate allows us to suppress the I_0 intensity of the exiting beam [Eq. (2)] due to the residual birefringence. Then, the beam passes through the second polarizer, which is orthogonal to the first one. The zero-cut sample is aligned with its principal m3m axes along x , y , and z . Conductive electrodes are painted on the x -lateral facets, allowing the application of a static electric field E along x . The temperature T is varied using a controlled He-cryostat, whose cold finger is located immediately below the sample. The actual temperature is measured by a calibrated diode embedded in the sample holder, immediately below the sample. Transmitted light is collected by a lens, and the optical power is detected using a power meter (Thorlabs model PM100D with a Thorlabs S120C silicon power sensor). For the average plane-wave intensity impinging on the sample of $\simeq 0.5 \text{ mW/cm}^2$, no photorefractive effects for the duration of our experiments are detected. The electric field E is delivered by a Stanford Research Model PS350 high-voltage power supply: it is programmed to provide a voltage ΔV from 0 to 900 V, with either a positive or negative sign. We highlight

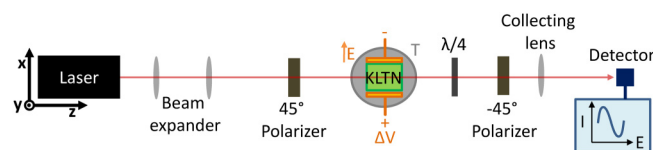


FIG. 1. Crossed-polarizer setup.

that, similarly to dielectric experiments, this measurement of the EO response averages the different contributions along the whole sample.

III. DIELECTRIC RESPONSE

At high temperatures, the studied KLTN crystal has a cubic symmetry with a paraelectric behavior.⁸ When the temperature T is lowered, at a given so-called Burns temperature T_B ,³⁶ the disordered distribution of inherent off-center ions, which occupy energetically equivalent lattice sites, leads to the formation of mesoscopic polar regions (PNRs) that below a temperature $T^* < T_B$ are permanent and distributed in the highly polarizable lattice. They are uncorrelated and free to reorient, while both their number and size increase on further lowering temperature.^{25,26,29,30,37,38}

At still lower temperature, relaxor ferroelectrics may undergo a sharp or a diffuse (as in our sample) relaxor-to-ferroelectric phase transition (according to the Bokov classification)²⁶ with the transformation of the long-range crystalline symmetry from cubic to tetragonal. Specifically, at a critical temperature, here indicated with T_m , the PNRs correlate through a percolative process so giving rise to a polar glassy-like state³⁸⁻⁴⁰ that evolves in temperature to a ferroelectric state.

In Fig. 2(a), the relative real part of the dielectric permittivity (frequency 10 kHz) $\epsilon_r = \epsilon_r(T)$ is reported both for cooling and heating strokes. The wide peaks signal the transition from the cubic (high T) to tetragonal (low T) symmetry.^{8,26,29} Evident thermal hysteresis in $\epsilon_r(T)$ is found from $T \simeq 275 \text{ K}$ to $T = 289 \text{ K}$, which we call the cross-over region, while the peak temperatures are $T_m = 285 \text{ K}$ and $T_m = 287 \text{ K}$ on cooling and heating, respectively. This hysteretic behavior is a signature of an out-of-thermodynamic-equilibrium non-ergodic phase that characterizes the cross-over region. It is worth considering that a non-ergodic phase is thermal and field history dependent. In the same figure, the shaded region signals the non-ergodic phase several degrees above and below the critical temperatures T_m , a well-known complex dielectric response^{34,45,46} that is analogous to that observed in relaxors of the same family,²⁵⁻²⁸ where we expect glassy-state correlated effects.¹⁹

In Fig. 2(b), the Fröhlich entropy as a function of temperature $s(T)$ is reported. In the high-temperature region ($T \geq 289 \text{ K}$), the negative sign of $s(T)$ marks the dipolar disorder in the sample due to uncorrelated PNRs that increases with lowering the temperature as expected.^{8,9,37,41} An inversion of the negative trend of $s(T)$ is displayed at 289 K and 287 K for heating and cooling strokes, respectively. By lowering the temperature, an abrupt change of the $s(T)$ sign from negative to large positive values occurs, for both the strokes, in correspondence to the relative maxima of the permittivity. These findings are the signature of a sudden onset of a different state of order in the system (disorder/order transition), as a result of a new arrangement of PNRs that cease to be uncorrelated and give rise to a more structured (ordered) dipolar configuration. The region where $s(T)$ assumes positive values shows the occurrence of strong instability, where order and disorder are competing and the physical system is reconfiguring.^{8,47,48} Below this transition, the evolution in the temperature of $s(T)$ shows that the ferroelectric phase does not achieve a stable configuration up to $\simeq 275 \text{ K}$, where

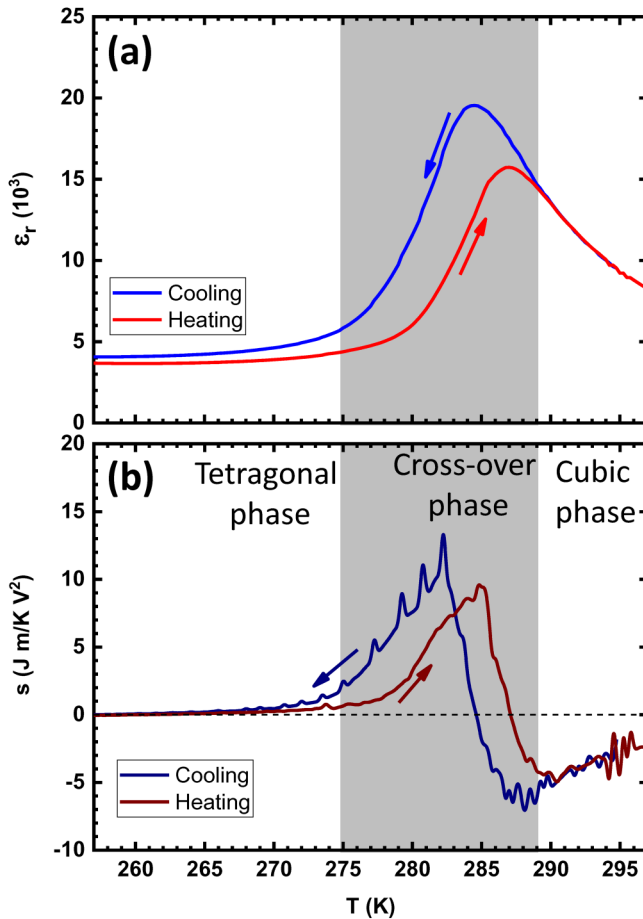


FIG. 2. (a) KLTN relative permittivity ϵ_r for cooling (blue curve) and heating (red curve) as a function of temperature. (b) Calculated Fröhlich entropy $s = s(T)$ with the indicated transition (or cross-over) region. The measurement rate is one recorded point for each 0.25 K.

the permittivity curves become very close. This phase instability is highlighted by the oscillations in the cooling stroke, confirming the non-ergodic state of the cross-over region. It is worth considering that, unlike the diffused ferroelectric transition, the sharp one immediately below the transition critical temperature attains a stable ferroelectric phase where non-ergodic effects are absent.^{8,42}

IV. ELECTRO-OPTIC RESPONSE

In our EO experiments, we consider the temperature region from 260 to 305 K with approximately one measurement for each degree. The measurement procedure is as follows: the sample is subject to a specific four-sweep bias cycle during which the transmitted light intensity (Fig. 1) is recorded at each 10 V interval. Each sweep requires roughly 450 s to be completed. In the first one (which we call the *1st forward*), while the sample is maintained at a given temperature, ΔV is made to increase from 0 to +900 V; then, ΔV is decreased from +900 to 0 V (the second sweep, the *1st backward*). Thereafter, the voltage is varied from 0 to -900 V (the third sweep, the *2nd forward*); and finally, ΔV returns from -900 to 0 V (the fourth sweep, the *2nd backward*). After this, the temperature of the sample is varied and the above-described procedure is repeated. The entire temperature range is spanned three times and the acquired data are averaged. Then, from the transmitted light intensity signals, the induced dephasing $\Delta\phi = \Delta\phi(E)$ is calculated, at each temperature T , with the same procedure as in Ref. 20. We note that in the measured interferometric intensity pattern, in the nominally tetragonal crystalline phase, positive and negative field modulations are in counterphase, and they are in phase in the nominally cubic crystalline region [Eq. (2)], as expected.

In order to investigate the presence of specific time dynamics in the EO response within our considered temporal range, we have also tested bias sweeps with different time durations: namely, we have considered intervals from approximately 300 s to 1000 s per sweep. Moreover, we have checked the presence of changes in time during the application of a constant field by maintaining the sample under the maximum field strength for roughly 200 s. In

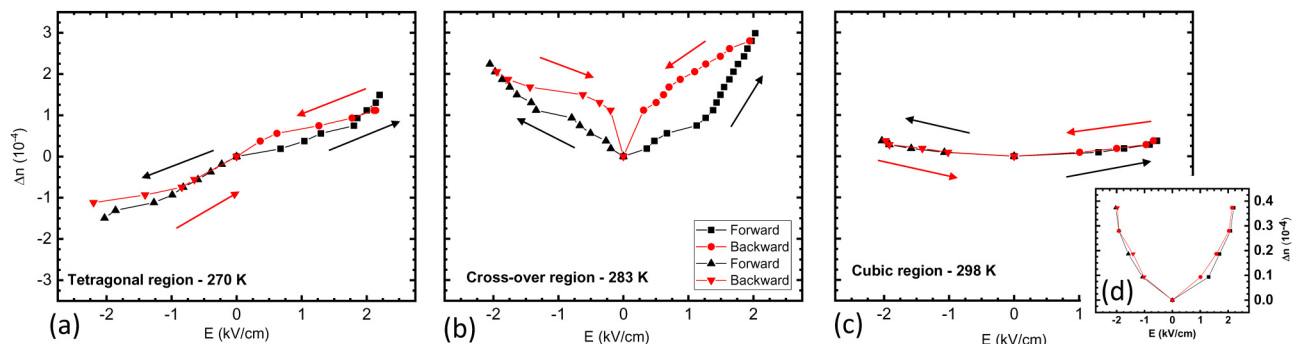


FIG. 3. Typical electro-optic measurements in the three temperature regions: (a) tetragonal phase, (b) cross-over phase, and (c) cubic phase [with its correlated zoom in (d)]. The relative errors in E and Δn are of 3% in (a), 4%–5% in (b), and 5%–6% in (c) and (d).

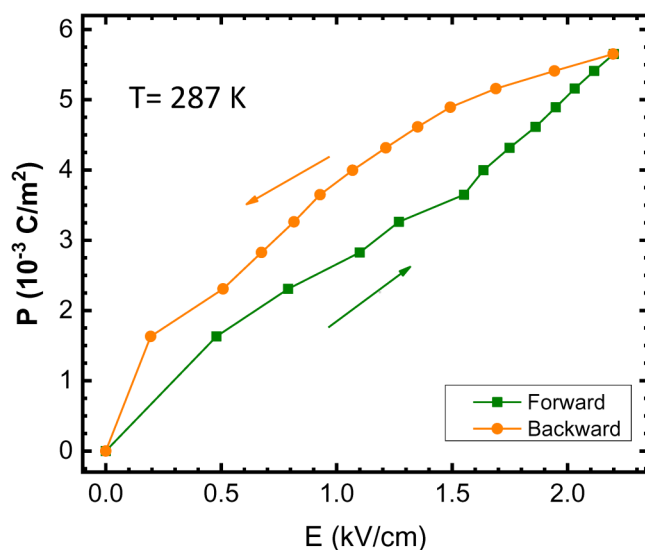


FIG. 4. The P vs E relationship in the cross-over temperature region from the measured values of $\Delta n(E)$ [from Eq. (6)] indicates the induced field-hysteretic electro-optic loop.

both cases, no significant evolutions correlated with the time have been evidenced in our considered experimental time scales.

In Fig. 3, we report typical results where the field application cycle composed of four sweeps is evidenced (1st forward, 1st backward, etc.). Data for three temperatures are reported: the first temperature [Fig. 3(a)] within the low-temperature-region of the plot in 2(b) (tetragonal, ordered phase), the second one [Fig. 3(b)] belonging to the cross-over region, and the third one [Fig. 3(c)] in the cubic (disordered) phase.

We first discuss the conditions of the tetragonal and the cubic phases. In Fig. 3(a), a measurement of the EO effect of our KLTN sample at 270 K is plotted. Here, the dependence of Δn on the applied electric field is linear as expected. Furthermore, the cycle of field application does not display significant hysteretic features. At the other extreme, Fig. 3(c), which is performed at $T = 297$ K, shows a quadratic dependence, which, within the experimental error, can be easily described by Eq. (4). Again, no hysteretic effects can be recognized.

Investigating the intermediate cross-over temperature region, in-between the previous ones, we find a clear cross-polarizer transmission pattern in the form of relationship (2), indicating that critical scattering plays a negligible role for EO measurements. Remarkably, the detected response Δn vs E is profoundly different from both linear and quadratic electro-optic effects. The experiment at the sample temperature of $T = 283$ K is reported in Fig. 3(b). We also point out that the curves in the plot of Fig. 3(b) draw a typical butterfly-like pattern similar to that found in other ferroelectric crystals.^{12,17,21} When the electric-field cycle is repeated, by following the same four sweeps, approximately, the same response is found. This indicates that the obtained evolution is reproducible and that the application of the electric field does not

induce a permanent transition in the system, either toward the tetragonal or cubic phase. The double-loop trend is maintained in the whole cross-over temperature range pointed out by the dielectric response. In Fig. 4, the P vs E modulation, calculated from Δn EO measurements, is given by²⁰

$$P = \sqrt{(-2\Delta n(E)/n_0^3)(g_{11} - g_{12})}. \quad (6)$$

In Fig. 4, the trend of P is reported, for positive values of the applied field, at $T = 287$ K, a slightly higher temperature with respect to what is depicted in Fig. 3(b). We note that $P = P(E)$ shows a very different field dependence in forward with respect to the backward sweep. This is further evidenced in Fig. 4, where the behavior of the polarization P provided from EO measurements at a second temperature in the cross-over region is reported, which confirms the observed history-dependent behavior.

V. CONCLUSIONS

In summary, we have demonstrated how in a disordered ferroelectric, the cross-over temperature region between nominally tetragonal and cubic phases leads to peculiar dynamics in the EO response. For temperatures in the transition region, the hysteresis in the temperature is accompanied by a hysteresis in polarization vs the electric field. Specifically, the double-loop pattern is the signature of the passage from the quadratic to the linear EO effect. This is manifested by a characteristic double-loop response, which is analogous to what is already found in other ferroelectric perovskites.

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