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## GROUPS WITH MANY ROOTS

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**ABSTRACT.** Given a prime  $p$ , a finite group  $G$  and a non-identity element  $g$ , what is the largest number of  $p^{\text{th}}$  roots  $g$  can have? We write  $\varrho_p(G)$ , or just  $\varrho_p$ , for the maximum value of  $\frac{1}{|G|}|\{x \in G : x^p = g\}|$ , where  $g$  ranges over the non-identity elements of  $G$ . This paper studies groups for which  $\varrho_p$  is large. If there is an element  $g$  of  $G$  with more  $p^{\text{th}}$  roots than the identity, then we show  $\varrho_p(G) \leq \varrho_p(P)$ , where  $P$  is any Sylow  $p$ -subgroup of  $G$ , meaning that we can often reduce to the case where  $G$  is a  $p$ -group. We show that if  $G$  is a regular  $p$ -group, then  $\varrho_p(G) \leq \frac{1}{p}$ , while if  $G$  is a  $p$ -group of maximal class, then  $\varrho_p(G) \leq \frac{1}{p} + \frac{1}{p^2}$  (both these bounds are sharp). We classify the groups with high values of  $\varrho_2$ , and give partial results on groups with high values of  $\varrho_3$ .

### 1. Introduction

Let  $g$  be an element of a finite group  $G$ , and let  $p$  be prime. How many  $p^{\text{th}}$  roots can  $g$  have in  $G$ ? If we allow  $g = 1$ , then the answer is  $|G|$ , and this will occur precisely when the group has exponent  $p$ . There have been several results giving lower bounds for the number of solutions of  $x^p = g$  in a finite group  $G$ , where  $g$  is any element of  $G$  that has at least one  $p^{\text{th}}$  root. For the case  $g = 1$ , a classical result of Kulakov states that if  $G$  is a non-cyclic  $p$ -group of order  $p^n$ , where  $p$  is odd, then the number of solutions of the equation  $x^p = 1$  in  $G$  is divisible by  $p^2$ . (This follows from the fact that the number of subgroups of order  $p$  is congruent to 1 modulo  $p^2$  – see for example [6, III, Satz 8.8] for a more modern proof.) This was later improved by Berkovich to show that if  $G$  is a finite  $p$ -group which is not metacyclic, and if  $p > 3$ , then the number of solutions of  $x^p = 1$  in  $G$  is divisible by  $p^3$  (see [6, III, Satz 11.8]). Blackburn [3] showed further that if  $G$  is an irregular  $p$ -group that is not of maximal

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class, then the number of solutions of  $x^p = 1$  is divisible by  $p^p$ . Later, Lam [9] generalised the problem to consider the number of solutions of  $x^{p^k} = g$ , where  $g$  is any element of a finite group  $G$ ,  $p$  is prime and  $k$  is a positive integer. He showed that if  $G$  is a finite non-cyclic  $p$ -group, where  $p$  is odd, then the number of solutions of  $x^{p^k} = g$  in  $G$  is divisible by  $p^2$ . Berkovich [2] improved this result as follows. Let  $G$  be a finite  $p$ -group that is neither cyclic nor a 2-group of maximal class, and let  $k \geq 1$ . If  $g$  is an element of  $G$  such that  $\exp(G) \geq p^k |\langle g \rangle|$ , then the number of solutions in  $G$  of  $x^{p^k} = g$  is divisible by  $p^{k+1}$ . In particular, if  $G$  is not cyclic or a 2-group of maximal class, then those non-identity elements which do have  $p^{\text{th}}$  roots each have at least  $p^2$  of them. Our interest, in this paper, will be finding upper bounds for the number of  $p^{\text{th}}$  roots that a non-identity element can have. More specifically, we investigate upper bounds for the proportion of elements of a finite group  $G$  that can be  $p^{\text{th}}$  roots of a single non-identity element. Before describing our results in more detail, we introduce some notation.

**Notation 1.1.** Let  $G$  be a finite group and  $p$  a prime. For any  $g$  in  $G$ , let  $R_p(g) = \{x \in G : x^p = g\}$ . Let  $\varrho_p(G) = \frac{1}{|G|} \max_{g \in G \setminus \{1\}} \{|R_p(g)|\}$ . We write  $R_p$  and  $\varrho_p$ ,  $R(g)$  and  $\varrho(G)$ , or simply  $R$  and  $\varrho$ , whenever  $g$ ,  $G$  or  $p$  are clear from context. We will refer to  $\varrho(G)$  as the *rootiness* or  $p^{\text{th}}$ -*rootiness* of  $G$ . We will call  $g$  a *rooty element* if  $\varrho(G) = \frac{|R(g)|}{|G|}$ .

In Section 2 we obtain some general results about  $p^{\text{th}}$ -rootiness. We will show in Lemma 2.6 that if there is an element of a group  $G$  that has more  $p^{\text{th}}$  roots than the identity, then the rootiness of  $G$  cannot exceed that of its Sylow  $p$ -subgroups. It therefore makes sense to concentrate mainly on  $p$ -groups. We show (Proposition 2.9) that if  $G$  is a regular  $p$ -group, then  $\varrho(G) \leq \frac{1}{p}$ . (This bound is attained even for abelian groups, for example in the cyclic group of order  $p^2$ .) If  $G$  is a  $p$ -group of maximal class, then we establish in Theorems 2.10 and 2.11 that  $\varrho(G) \leq \frac{p+1}{p^2}$ , and we give an example to show that this bound is sharp. We also show at the end of Section 2 that in the case of cube roots, a group  $G$  with  $\varrho_3(G) > \frac{7}{18}$  is either the direct product of a group of exponent 3 with a cyclic group of order 2 (in which case  $\varrho_3(G) = \frac{1}{2}$ ), or is a 3-group of exponent 9. Section 3 is devoted to square roots. Just as groups with sufficiently many involutions must be elementary abelian 2-groups, it turns out that groups with a non-identity element with sufficiently many square roots must be 2-groups. Theorem 3.11 gives a classification of all finite groups for which  $\varrho_2(G) \geq \frac{7}{12}$ . In particular, we show that if  $\varrho_2(G) > \frac{7}{12}$ , then  $G$  is a 2-group. This is best possible, because there are infinitely many non 2-groups  $G$  for which  $\varrho_2(G) = \frac{7}{12}$ .

We end this section by recalling some standard notation that we will use throughout the paper.

**Notation 1.2.** Let  $G$  be a finite group. We follow the conventions that  $[x, y] = x^{-1}y^{-1}xy$  and that commutators are left-normed, so that for example  $[x, y, z]$  means  $[[x, y], z]$ , for all  $x, y, z \in G$ . The terms of the lower central series of  $G$  are written  $\gamma_i(G)$  for  $i \geq 2$ . That is,  $\gamma_2(G) = [G, G] = G'$  and  $\gamma_{i+1}(G) = [\gamma_i(G), G]$  for  $i > 2$ . The terms of the upper central series are denoted  $Z_i(G)$  for  $i \geq 1$ . So  $Z_1(G) = Z(G)$ , and  $Z_i(G)/Z_{i-1}(G) = Z(G/Z_{i-1}(G))$ . We will denote by  $\Phi(G)$  the Frattini subgroup of  $G$  – the intersection of the maximal subgroups of  $G$ .

A  $p$ -group of maximal class is a  $p$ -group of order  $p^n$  for some  $n > 1$  which has nilpotency class  $n - 1$ . It is well known that if  $G$  is a  $p$ -group of maximal class  $c$ , then  $|Z_i(G)| = p^i$  for  $1 \leq i \leq c - 1$  and  $|G : \gamma_i(G)| = p^i$  for each  $2 \leq i \leq c$ . If  $G$  has maximal class, define  $G_1 = C_G(\gamma_2(G)/\gamma_4(G))$ . That is,  $G_1$  consists of the elements  $x$  of  $G$  such that  $[x, \gamma_2(G)] \leq \gamma_4(G)$ . This subgroup is sometimes called the fundamental subgroup of  $G$ .

A finite  $p$ -group  $G$  is *regular* if for all  $x, y \in G$ , there is some  $z \in \mathcal{U}_1(\langle x, y \rangle')$  such that  $(xy)^p = x^p y^p z$ . For any finite group  $G$  and prime  $p$  we define

$$\begin{aligned} \mathcal{I}(G) &= \mathcal{I}_p(G) = \{x \in G : x^p = 1\}; \\ \alpha(G) &= \alpha_p(G) = \frac{|\mathcal{I}_p(G)|}{|G|}. \end{aligned}$$

If  $G$  is a finite  $p$ -group we define, for all positive integers  $i$ ,

$$\begin{aligned} \Omega_i(G) &= \langle x \in G \mid x^{p^i} = 1 \rangle; \\ \mathcal{U}_i(G) &= \langle x^{p^i} \mid x \in G \rangle; \\ M(G) &= \{a \in G : (ax)^p = x^p \text{ for all } x \in G\}. \end{aligned}$$

Finally,  $C_n$  will denote the cyclic group of order  $n$ .

## 2. General Results

We begin by stating some results on  $p$ -groups that we will need. Throughout this section we will write  $\varrho(G)$  for  $\varrho_p(G)$ . An excellent introduction to regular  $p$ -groups and  $p$ -groups of maximal class is given by the lecture notes of Fernandez-Alcober [4]; the standard graduate text in English on  $p$ -groups is Berkovich's book [1]. A large number of results on  $p$ -groups are also contained in Kapitel III of Huppert [6].

The following theorem is proved in [4]; alternatively it follows from [1, Theorem 9.6].

**Lemma 2.1.** [1, Theorem 7.1(b)] *Let  $G$  be a  $p$ -group. If  $G$  has nilpotency class less than  $p$ , or if  $|G| \leq p^p$ , or if  $\exp(G) = p$ , then  $G$  is regular.*

**Proposition 2.2.** [1, Theorem 7.2(a)–(d)] *Let  $G$  be a regular  $p$ -group and  $i$  a positive integer. Then*

- (a) *For all  $x, y$  in  $G$ ,  $x^{p^i} = y^{p^i}$  if and only if  $(xy^{-1})^{p^i} = 1$ .*
- (b)  $\Omega_i(G) = \{x \in G : x^{p^i} = 1\}$ ;
- (c)  $\mathcal{U}_i(G) = \{x^{p^i} : x \in G\}$ ;
- (d)  $|G| = |\Omega_i(G)| \times |\mathcal{U}_i(G)|$ .

**Theorem 2.3.** [4, Theorem 4.9(i),(ii)] *Let  $G$  be a  $p$ -group of maximal class of order  $p^m$ , where  $m \geq p + 2$ . Then the following statements hold:*

- (a)  $G_1$  is regular.
- (b)  $\mathcal{U}_1(G_1) = \gamma_p(G)$  and  $\mathcal{U}_1(\gamma_i(G)) = \gamma_{i+p-1}(G)$  for all  $i \geq 2$ .

Recall that a *proper section* of a group  $G$  is a quotient of a proper subgroup of  $G$ .

**Theorem 2.4.** [1, Theorem 7.4(b)-(c)] *Let  $G$  be a  $p$ -group that is irregular but all of whose proper sections are regular. Then*

- (a)  $\exp(G') = p$ ;
- (b)  $Z(G) = \mathcal{U}_1(G)$ ;
- (c)  $M(G) = G'$ .

If  $G$  is a  $p$ -group of maximal class and order  $p^{p+1}$ , then  $Z(G)$  has order  $p$  and  $G'$  has index  $p^2$ . Moreover any proper subgroup has order at most  $p^p$ , so is regular. Thus we may apply Theorem 2.4 to obtain the following immediate corollary.

**Corollary 2.5.** *Let  $G$  be a  $p$ -group of maximal class and order  $p^{p+1}$ . Then  $Z(G) = \mathcal{U}_1(G) \cong C_p$ , and  $G' = M(G)$  has exponent  $p$  and index  $p^2$ .*

In groups such as  $C_p^n \times C_2$ , half the elements of the group are  $p^{\text{th}}$  roots of the unique involution. But this rootiness is really just an artefact of  $G$  having many elements of order  $p$ . Lemma 2.6 shows that when an element of a group  $G$  has more  $p^{\text{th}}$  roots than the identity, its rootiness  $\varrho(G)$  is determined by the rootiness of its Sylow  $p$ -subgroups, and  $G$  can never be rootier than these groups.

**Lemma 2.6.** *Suppose  $G$  is a finite group, and  $g$  is a rooty element of  $G$  which has more  $p^{\text{th}}$  roots than the identity. Then  $\varrho(G) \leq \varrho(P)$ , for any Sylow  $p$ -subgroup  $P$  of  $G$ . Write  $|G| = p^n m$ , where  $\gcd(m, p) = 1$ . If  $\varrho(G) = \varrho(P)$ , then  $G$  has exactly  $m$  Sylow  $p$ -subgroups.*

*Proof.* Let  $g$  be a rooty element of  $G$  that has more  $p^{\text{th}}$  roots than the identity and let  $r$  be a positive integer coprime to  $p$ . Then there are integers  $s, t$  with  $rs + tp = 1$ . If  $x$  and  $y$  are roots of  $g$  such that  $x^r = y^r$ , then

$$x = x^{rs+tp} = (x^r)^s (x^p)^t = (y^r)^s (g)^t = y^{rs+tp} = y.$$

Hence  $g^r$  has at least as many roots as  $g$ . If the order of  $g$  is coprime to  $p$ , this implies that the identity element has at least as many roots as  $g$ , a contradiction. Hence  $p$  divides the order of  $g$ . Write  $o(g) = p^k u$  for some positive integers  $k$  and  $u$ . Then  $g^u$  again has at least as many roots as  $g$ , and is contained in some Sylow  $p$ -subgroup  $P$  of  $G$ . Moreover any  $p^{\text{th}}$  root of  $g^u$  has order  $p^{k+1}$ , so is also contained in some Sylow  $p$ -subgroup. If the Sylow  $p$ -subgroups are  $P_1, \dots, P_\lambda$  for some  $\lambda$ , then  $R(g^u) \subseteq P_1 \cup P_2 \cup \dots \cup P_\lambda$ . Since all the  $P_i$  are isomorphic,  $\varrho(P_i) = \varrho(P)$  for all  $i$ . Thus  $|R(g^u)| \leq \lambda |P| \varrho(P)$ . But  $g^u \neq 1$ , and so  $g^u$  cannot have more roots than  $g$  (because  $g$  is a rooty element). Therefore,  $|R(g^u)| = |R(g)|$ . Hence

$$|G| \varrho(G) = |R(g^u)| \leq \lambda |P| \varrho(P).$$

That is,  $\varrho(G) \leq \frac{\lambda}{m} \varrho(P)$ . If we have equality, then  $\lambda = m$ . □

Lemma 2.6 shows that if we wish to understand groups  $G$  in which  $\varrho(G)$  is highest, and in particular higher than  $\alpha_p(G)$ , it makes sense to restrict our attention to  $p$ -groups. We begin with an observation about direct products.

**Lemma 2.7.** *Let  $G$  and  $H$  be  $p$ -groups with  $\varrho(G) \geq \varrho(H)$ . Then  $\varrho(G \times H) \leq \varrho(G)$  with equality if and only if  $\exp(H) = p$ .*

*Proof.* If  $\exp(G) = p$ , then  $\varrho(G) = 0$ , which implies  $\varrho(H) = 0$  and thus  $\exp(H) = p$ . Therefore,  $\varrho(G \times H) = 0 = \varrho(G)$ , so the result holds. Assume, then, that  $\exp(G) > p$ . For  $a$  in  $G$  and  $b$  in  $H$  we have  $|R((a, b))| = |R(a)||R(b)|$ . Suppose  $(a, b)$  is a rooty element of  $G \times H$ . Then either  $a \neq 1$  or  $b \neq 1$ , or both, and  $|G||H|\varrho(G \times H) = |R((a, b))|$ . If  $a \neq 1$ , then  $|R(a)| \leq |G|\varrho(G)$ . Thus  $\varrho(G \times H) \leq \varrho(G) \times \frac{|R(b)|}{|H|} \leq \varrho(G)$ , with equality if and only if  $R(b) = |H|$ , which is possible precisely when  $b = 1$  and  $H$  has exponent  $p$ . Now suppose  $a = 1$ . Then  $b \neq 1$  and by the same argument  $\varrho(G \times H) \leq \varrho(H)$  with equality only when  $G$  has exponent  $p$ . Since  $G$  does not have exponent  $p$ , in this case we have  $\varrho(G \times H) < \varrho(H) \leq \varrho(G)$ . Thus  $\varrho(G \times H) \leq \varrho(G)$  with equality if and only if  $\exp(H) = p$ .  $\square$

Lemma 2.7 means that the existence of a group  $G$  with a given rootiness  $\varrho$  implies that there are infinitely many such groups, obtained by taking the direct product of  $G$  with any group  $H$  of exponent  $p$ . The fact that  $\varrho(C_{p^2}) = \frac{1}{p}$  therefore provides infinitely many examples of groups whose rootiness is  $\frac{1}{p}$ ; if  $p$  is odd, then in particular we can obtain both abelian and non-abelian examples in this manner.

**Lemma 2.8.** *Suppose  $G$  is an abelian  $p$ -group. Then  $\varrho(G) \leq \frac{1}{p}$ , with equality if and only if  $G \cong C_{p^2} \times C_p^k$  for some  $k \geq 0$ .*

*Proof.* If  $G$  has exponent  $p$ , then  $\varrho(G) = 0$  and there is nothing to prove. So suppose not. If  $G$  is cyclic of order  $p^n$ , then  $n > 1$  and  $\varrho(G) = \frac{1}{p^{n-1}}$ , which is at most  $\frac{1}{p}$  with equality precisely when  $G \cong C_{p^2}$ . If  $G$  is not cyclic, then  $G \cong A \times B$  for some non-trivial  $A$  and  $B$  and without loss of generality  $\varrho(A) \geq \varrho(B)$ . Inductively  $\varrho(A) \leq \frac{1}{p}$  with equality if and only if  $A \cong C_{p^2} \times C_p^i$  for some non-negative  $i$ . By Lemma 2.7,  $\varrho(G) \leq \varrho(A)$  with equality if and only if  $\exp(B) = p$ . The result follows immediately.  $\square$

**Proposition 2.9.** *Let  $G$  be a regular  $p$ -group. Then either  $\exp(G) = p$  and  $\varrho(G) = 0$ , or  $\varrho(G) = \frac{1}{|\mathcal{U}_1(G)|} \leq \frac{1}{p}$ . Moreover,  $\varrho(G) = \frac{1}{p}$  if and only if  $G$  has subgroup  $A$  of index  $p$  and exponent  $p$ , along with a cyclic subgroup  $H$  of order  $p^2$ , such that  $|A \cap H| = p$  and  $G = AH$ .*

*Proof.* Assume that  $\exp(G) > p$ , or there is nothing to prove. Then  $\mathcal{U}_1(G)$  is a nontrivial subgroup of  $G$  and, by Proposition 2.2(b),  $\Omega_1(G)$  has exponent  $p$ . Let  $X$  be a transversal of  $\Omega_1(G)$ . For  $x$  in  $X$  and  $a$  in  $\Omega_1(G)$ , setting  $y = ax$  we have  $(xy^{-1})^p = a^{-p} = 1$ . Hence  $y^p = x^p$  by Proposition 2.2(a). Conversely, if  $x, y \in X$  with  $x^p = y^p$ , then  $(xy^{-1})^p = 1$ , meaning  $xy^{-1} \in \Omega_1(G)$  and so  $x = y$ . Therefore, the set of roots of  $x^p$  is precisely  $x\Omega_1(G)$ . Hence, by Proposition 2.2(d),  $\varrho(G) = \frac{|\Omega_1(G)|}{|G|} = \frac{1}{|\mathcal{U}_1(G)|} \leq \frac{1}{p}$ . We have equality precisely when  $|\mathcal{U}_1(G)| = p$ . In this case, write  $A = \Omega_1(G)$ . Then  $A$  has exponent  $p$  and index  $p$ . For any element  $x$  of  $G - A$ , the subgroup  $H$  generated by  $x$  has order  $p^2$ . Moreover  $|A \cap H| = p$  and  $G = AH$ , as required. Conversely, if  $G$  has subgroups  $A$  and  $H$  as described, then since  $H$  contains an element of order  $p^2$  we know  $\mathcal{U}_1(G)$  is nontrivial, but since  $A$  has exponent  $p$  we know  $\Omega_1(G)$  has index at most  $p$ . The only possibility is that  $|\mathcal{U}_1(G)| = p$ , and thus  $\varrho(G) = \frac{1}{p}$ .  $\square$

**Theorem 2.10.** *Let  $m$  be any positive integer with  $m \geq p + 2$ . If  $G$  is a  $p$ -group of maximal class and order  $p^m$ , then  $\varrho(G) \leq \frac{1}{p} + \frac{1}{p^{m+1-p}} \leq \frac{1}{p} + \frac{1}{p^3}$ .*

*Proof.* Let  $m$  be any positive integer with  $m \geq p + 2$ . Suppose  $G$  is a  $p$ -group of maximal class and order  $p^m$ , and let  $g$  be a rooty element of  $G$ . By Theorem 2.3,  $G_1$  is regular and  $\mathcal{U}_1(G_1) = \gamma_p(G)$ . Therefore,  $\varrho(G_1) = \frac{1}{|\gamma_p(G)|}$  by Proposition 2.9. Since  $G$  has maximal class,  $|\gamma_p(G)| = p^{m-p}$ , so that  $\varrho(G_1) = \frac{1}{p^{m-p}}$ . At most  $\frac{1}{p-1}$  of the elements of  $G - G_1$  can be roots of  $g$  (as if  $x$  is a root, then  $x^2, x^3, \dots, x^{p-1}$  are not). Hence

$$\begin{aligned} \varrho(G)|G| &= |R(g)| \leq \frac{1}{p-1}|G - G_1| + |G_1|\varrho(G_1) \\ &= \frac{p^m - p^{m-1}}{p-1} + \frac{p^{m-1}}{p^{m-p}} \\ &= p^{m-1} + p^{p-1} \\ \varrho(G) &\leq \frac{1}{p} + \frac{1}{p^{m+1-p}} \leq \frac{1}{p} + \frac{1}{p^3}. \end{aligned}$$

□

**Theorem 2.11.** *Suppose  $|G| = p^{p+1}$ . If  $\varrho(G) > \frac{1}{p}$ , then  $\varrho(G) = \frac{p+1}{p^2}$ .*

*Proof.* Suppose  $\varrho(G) > \frac{1}{p}$  and let  $g$  be a rooty element. Then  $G$  must be irregular by Proposition 2.9. Hence  $G$  is of maximal class. Then, by Corollary 2.5,  $\mathcal{U}_1(G)$  has order  $p$ , meaning  $\exp(G) = p^2$ . Moreover  $M(G)$  has index  $p^2$  and exponent  $p$ . If there is an element  $a$  of order  $p$  lying outside of  $M(G)$ , then the subgroup  $\langle a \rangle M(G)$  also has exponent  $p$ , so  $R(g) \cup R(g^2) \cup \dots \cup R(g^{p-1}) \subseteq G - \langle a \rangle M(G)$ . Hence  $\varrho(G) \leq \frac{1}{p}$ . So we can assume all elements outside  $M(G)$  have order  $p^2$ , meaning precisely  $\frac{1}{p-1}$  of them are roots of  $g$ . Hence  $\varrho(G) = \frac{p+1}{p^2}$ . □

The case  $\varrho(G) = \frac{p+1}{p^2}$  in Theorem 2.11 does occur, as the following example shows. It is one of two commonly given examples of irregular  $p$ -groups of minimal order; the other being the Sylow  $p$ -subgroups of the symmetric group on  $p^2$  elements (which can be show to have rootiness  $\frac{1}{p}$ ).

**Example 2.12.** Let  $G = \langle a_1, a_2, \dots, a_{p-1}, b \rangle$ , where  $a_1^{p^2} = 1, a_i^p = 1$  for  $2 \leq i \leq p - 1, b^p = a_1^p$  and all generators commute except that  $b^{-1}a_i b = a_i a_{i+1}$  when  $1 \leq i < p - 1$ , and  $b^{-1}a_{p-1} b = a_{p-1} a_1^{-p}$ . That  $G$  is of maximal class, irregular, and of order  $p^{p+1}$ , is shown in [4, Example 2.4]. It is also shown that  $G' = \Omega_1(G) = \langle a_1^p, a_2, \dots, a_{p-1} \rangle$  in this group. Therefore,  $G'$  has exponent  $p$  and no element outside of  $G'$  can have order  $p$ . As in the proof of Theorem 2.11, we now have  $\varrho(G) = \frac{p+1}{p^2}$ .

We end this section with a couple of results limiting, for odd primes, the possible kinds of non  $p$ -groups with high values of  $\varrho_p$ . We first state a result due to Laffey.

**Theorem 2.13.** [7, Laffey] *Let  $p$  be an odd prime. If  $G$  is not a  $p$ -group, then  $\alpha_p(G) \leq \frac{p}{p+1}$ .*

**Theorem 2.14.** *Let  $p$  be an odd prime. Suppose  $\varrho_p(G) > \frac{p}{2(p+1)}$ . Then either  $G$  is a  $p$ -group, or  $G \cong H \times C_2$ , where  $H$  is a  $p$ -group, and  $\varrho_p(G) = \frac{1}{2}\alpha_p(H)$ .*

*Proof.* Let  $g$  be a rooty element, and write  $\lambda = |R(g)|$ , so that  $\lambda > \frac{p}{2(p+1)}|G|$ . Now  $g^r$  also has  $\lambda p^{\text{th}}$  roots, whenever  $r$  is coprime to  $p$ . If  $m > p$ , then  $g, g^2$  and  $g^{p+1}$  each have  $\lambda$  roots. If  $p > m \geq 3$ , then  $g, g^2$  and  $g^3$  each have  $\lambda$  roots. But  $3\lambda > |G|$ , a contradiction. Therefore, either  $m = 2$  or  $m = p$ . For any root  $x$  of  $g$ , both  $x$  and  $x^2$  lie in the centralizer of  $g$ . Hence,  $|C_G(g)| \geq \frac{p}{p+1}|G| > \frac{1}{2}|G|$ . Therefore,  $g$  is central in  $G$ . Now consider  $\overline{G} = G/\langle g \rangle$ . If  $m = 2$ , then the  $2\lambda$  elements of  $G$  that are roots of elements of  $\langle g \rangle$  map onto  $\lambda$  elements of  $G/\langle g \rangle$  that have order dividing  $p$ . Hence  $\alpha_p(\overline{G}) > \frac{p|G|}{2(p+1)|\overline{G}|} = \frac{p}{p+1}$ . Theorem 2.13 now implies that  $\overline{G}$  is a  $p$ -group. Hence  $|G| = 2p^n$  for some  $n$ . This means  $G$  has a unique Sylow  $p$ -subgroup  $H$ , which is therefore normal. Hence  $G = H\langle g \rangle \cong H \times C_2$ , and clearly  $\varrho_p(G) = \frac{1}{2}\alpha_p(H)$ . The remaining possibility is that  $o(g) = p$ . In this case, each of  $g, g^2, \dots, g^{p-1}$  has  $\lambda p^{\text{th}}$  roots. So in  $\overline{G}$ , they become  $\frac{p-1}{p}\lambda$  elements of order  $p$ . Hence (not forgetting that the identity element of  $\overline{G}$  also has order dividing  $p$ ), we get

$$\alpha_p(\overline{G}) > \frac{p-1}{p} \cdot \frac{p}{2(p+1)} \cdot \frac{|G|}{|\overline{G}|} = \frac{p(p-1)}{2(p+1)} \geq \frac{p}{p+1}.$$

Hence  $\overline{G}$  is a  $p$ -group, which implies that  $G$  is also a  $p$ -group. □

We remark that there do exist ‘non-trivial’ instances of non  $p$ -groups with high rootiness – that is, groups with elements having more  $p^{\text{th}}$  roots than the identity. For example there is a group  $G$  of order 36 with  $\varrho_3(G) = \frac{1}{3}$  but  $\alpha_3(G) = \frac{1}{12}$ . We can improve slightly on Theorem 2.14 for the case  $p = 3$ , thanks to another result of Laffey.

**Theorem 2.15.** [8, Laffey] *Let  $G$  be a finite group. If  $\alpha_3(G) \geq \frac{7}{9}$ , then  $G$  is a 3-group and either  $\alpha_3(G) = \frac{7}{9}$  or  $G$  has exponent 3.*

This allows us to show that the only non-trivial examples of groups with cube rootiness greater than  $\frac{7}{18}$  occur in 3-groups.

**Theorem 2.16.** *Suppose  $G$  is a finite group with  $\varrho_3(G) \geq \frac{7}{18}$ . Then either*

- (a)  $G \cong H \times C_2$ , where  $H$  is a group of exponent 3, and  $\varrho(H) = \frac{1}{2}$ ;
- (b)  $G \cong H \times C_2$ , where  $H$  is a 3-group with  $\alpha_3(H) = \frac{7}{9}$ , and  $\varrho(H) = \frac{7}{18}$ ; or
- (c)  $G$  is a 3-group of exponent 9 and nilpotency class at most 4.

*Proof.* Suppose  $G$  is a finite group with  $\varrho(G) \geq \frac{7}{18}$ . Suppose first that  $G$  is not a 3-group. By Theorem 2.14 then,  $G \cong H \times C_2$ , where  $H$  is a 3-group with  $\varrho_3(G) = \frac{1}{2}\alpha_3(H)$ . By assumption  $\varrho_3(G) \geq \frac{7}{18}$ . Hence by Theorem 2.15, either  $\alpha_3(G) = \frac{7}{9}$  or  $G$  has exponent 3. This deals with parts (a) and (b). It remains to deal with the case that  $G$  is a 3-group. Suppose this is the case, and let  $g$  be a rooty element, with  $R$  the set of cube roots of  $g$ . Now  $g^{-1}$  and  $g^2$ , which as  $G$  is a 3-group are both distinct from  $g$ , also have  $|R|$  cube roots. Therefore,  $g^{-1} = g^2$  and  $o(g) = 3$ . More than  $\frac{7}{9}$  of the elements of  $G$  cube to an element of  $\langle g \rangle$ , because every element of  $R \cup R^{-1} \cup \langle g \rangle$  has this property. Hence  $g$  is central; write as usual,  $\overline{G}$  for  $G/\langle g \rangle$ . We have  $\alpha_3(\overline{G}) > \frac{7}{9}$ , which implies, by Theorem 2.15, that  $\overline{G}$  has exponent 3. Consequently every element of  $G$  cubes to an element of  $\langle g \rangle$ , meaning  $G$  has exponent 9. Clearly  $G$  must have class at least 3, or else  $G$  would be regular and its rootiness would be most  $\frac{1}{3}$ . It



is well-known that a group of exponent 3 has class at most 3. Thus  $\overline{G}$  has class at most 3, forcing  $G$  to have class at most 4.  $\square$

We note that there are groups  $G$  with  $\varrho_3(G) > \frac{7}{18}$ . Example 2.12 provides an irregular 3-group  $G$  of order 81 with  $\varrho(G) = \frac{4}{9}$ . It can also be shown that there is a 3-group  $K$  of order  $3^7$  such that  $\varrho_3(K) = \frac{13}{27}$  so, at least for  $p = 3$ , it is possible for  $\varrho_p(G) > \frac{p+1}{p^2}$ . As we shall see in the next section, this is very different from the case  $p = 2$ .

### 3. Square Roots

In this section we investigate groups with many nontrivial square roots. Just as groups with sufficiently many involutions must be elementary abelian 2-groups, it turns out that groups with a non-identity element with sufficiently many square roots must be 2-groups. As an indication of what happens, the database of small groups in Magma’s free online calculator [10], or in GAP [5], can be interrogated easily to find all 2-groups  $G$  of order at most 64 such that  $\varrho_2(G) > \frac{1}{2}$ . The outcome is summarised in Observation 3.2. In all cases, if  $\varrho_2(G) > \frac{7}{12}$ , then  $\varrho_2(G) \in \{\frac{5}{8}, \frac{3}{4}\}$ . Theorem 3.11 will show that this holds for all finite groups  $G$ , by classifying all finite groups for which  $\varrho_2(G) \geq \frac{7}{12}$ . In particular, we show that if  $\varrho_2(G) > \frac{7}{12}$ , then  $G$  is a 2-group. Before we proceed, we need to establish some notation that will be used in this section.

**Notation 3.1.** We denote by  $C_n$  the cyclic group of order  $n$ ;  $D_{2n}$  is the dihedral group of order  $2n$ , and  $Q_{4n}$  is the generalised quaternion group of order  $4n$  given by

$$Q_{4n} = \langle a, b : a^{2n} = 1, b^2 = a^n, ba = a^{-1}b \rangle.$$

We write  $D_8^{*r}$  for the central product of  $r$  copies of  $D_8$  (with the convention that  $D_8^{*0}$  is the trivial group). Note that  $D_8^{*r}$  is one of the extraspecial 2-groups of order  $2^{2r+1}$ , for  $r \geq 1$ : the other is  $D_8^{*(r-1)} * Q_8$ . For each positive integer  $r$  we define a group

$$W_r = \langle c, x_1, y_1, \dots, x_r, y_r \rangle,$$

where  $c^2 = x_i^2 = y_i^2 = 1$  and all pairs of generators commute except  $[c, x_i] = y_i$ , for all  $i$ . Finally, we will encounter a certain group of order 32 for which it will be useful to have a name:

$$\mathcal{M}_{32} := \langle a, b, c : a^4 = b^4 = c^4 = 1, ba = ab, ca = a^{-1}c, cb = b^{-1}c, c^2 = a^2 \rangle.$$

During this section, we will write  $\alpha_2(G)$  and  $\varrho_2(G)$  (as defined in Section 1) in the formal statements of results, for easy cross-referencing, but will usually write  $\alpha(G)$  and  $\varrho(G)$  elsewhere. Similarly, we will write  $\mathcal{I}(G)$  for  $\mathcal{I}_2(G)$ , the set of elements  $x$  of  $G$  for which  $x^2 = 1$ .

**Observation 3.2.** There are eighteen 2-groups  $G$  of order at most 64 with  $\varrho_2(G) > \frac{1}{2}$ . Of these, four have  $\varrho_2(G) = \frac{3}{4}$ . These are precisely the groups  $Q_8 \times E$ , where  $E$  is trivial or an elementary abelian 2-group. A further seven groups have  $\varrho_2(G) = \frac{5}{8}$ . These are precisely the groups  $Q_{16} \times E$ , or

$(D_8 * Q_8) \times E$ , or  $\mathcal{M}_{32} \times E$ , where  $E$  is trivial or elementary abelian, and  $\mathcal{M}_{32}$  is the group of order 32 defined in Notation 3.1. The remaining seven of the eighteen groups have  $\frac{1}{2} < \varrho_2(G) \leq \frac{9}{16}$ .

We note that there are infinitely many groups  $G$  with  $\varrho_2(G) > \frac{1}{2}$ , because  $Q_{8n}$ , where  $n$  is any even positive integer, has square rootiness  $\frac{1}{2} + \frac{1}{4n}$ . There are also infinitely many 2-groups with this property. For example the extraspecial group  $D_8^{*r} * Q_8$  of order  $2^{2r+3}$  has square rootiness  $\frac{1}{2} + \frac{1}{2^{r+2}}$  (see Proposition 3.5).

We first state Wall’s classification of groups with many involutions.

**Theorem 3.3.** [11, Wall] *Suppose  $H$  is a finite group for which  $\alpha(H) > \frac{1}{2}$ . Then  $H$  is either an elementary abelian 2-group, or the direct product of an elementary abelian 2-group with a group  $H_0$  of one of the following types.*

(I)  $H_0$  is generalised dihedral. Specifically,  $H_0$  has an abelian subgroup  $A_0$  of index 2 which does not admit a cyclic group of order 2 as a direct factor, and  $H_0$  is generated by  $A_0$  along with an involution  $c$  with the property that  $cac^{-1} = a^{-1}$  for all  $a \in A_0$ ;

(II)  $H_0 \cong D_8 \times D_8$ ;

(III)  $H_0 \cong D_8^{*r}$ , some  $r \geq 1$ ;

(IV)  $H_0 \cong W_r$ , some  $r \geq 1$ .

**Lemma 3.4.** *Suppose  $\varrho_2(G) > \frac{1}{2}$ , with  $g$  a rooty element. Then  $g$  is a central involution,  $G$  is non-abelian, and  $Z(G)$  is an elementary abelian 2-group. Moreover*

- (a) *If  $Z(G) \not\leq \Phi(G)$ , then  $G \cong G_0 \times E$ , where  $E$  is an elementary abelian 2-group,  $\varrho_2(G_0) = \varrho_2(G)$  and  $Z(G_0) \leq \Phi(G_0)$ .*
- (b) *For any  $h \in Z(G) - \langle g \rangle$ ,  $\varrho_2(G/\langle h \rangle) = \varrho_2(G)$ .*

*Proof.* Both  $g^{-1}$  and any conjugate of  $g$  have the same number of roots as  $g$ . Therefore,  $g = g^{-1}$  and  $g$  is central. Suppose  $a \in Z(G)$ . Then for any root  $x$  of  $g$  we have  $(xa)^2 = ga^2$ . Thus, to avoid a contradiction, it must be that  $ga^2 = g$ . Hence  $a^2 = 1$  and  $Z(G)$  is an elementary abelian 2-group. Clearly now  $G$  cannot be abelian, else  $Z(G)$  would equal  $G$  and  $g$  would have no square roots at all.

- (a) Suppose  $Z(G)$  is not contained in  $\Phi(G)$ . Let  $a$  be an element of  $Z(G) - \Phi(G)$ . Then there is a maximal subgroup  $U$  of  $G$  which does not contain  $a$ . Moreover since  $a$  is central,  $a$  is an involution that centralises, but is not contained in,  $U$ . Hence  $G \cong U \times \langle a \rangle$ , and clearly  $\varrho_2(G) = \varrho_2(U)$ . Note too that  $\Phi(G) \cong \Phi(U)$ . Repeating this step for any further elements of  $Z(G) - \Phi(G)$  we obtain the required decomposition of  $G$ .
- (b) For any  $h \in Z(G) - \langle g \rangle$ , and any  $x$  in  $G$ , we have that  $(xh)^2 = x^2$ . That is,  $x$  is a root if and only if  $xh$  is a root. Hence  $\varrho_2(G/\langle h \rangle) = \varrho_2(G)$ , as required. □

The next result obtains  $\alpha_2(G)$  and  $\varrho_2(G)$  in the case where  $G$  is an extraspecial 2-group.

**Proposition 3.5.** *Let  $r \geq 1$ .*

$$\alpha_2(D_8^{*r}) = \frac{2^r + 1}{2^{r+1}} \qquad \varrho_2(D_8^{*r}) = \frac{2^r - 1}{2^{r+1}}$$

$$\alpha_2(D_8^{*(r-1)} * Q_8) = \frac{2^r - 1}{2^{r+1}} \qquad \varrho_2(D_8^{*(r-1)} * Q_8) = \frac{2^r + 1}{2^{r+1}}.$$

*Proof.* Note that for any extraspecial 2-group  $G$ , we have  $\mathcal{U}_1(G) = Z(G) \cong C_2$ . Therefore, if  $g$  is the unique central involution, every element is either contained in  $\mathcal{I}(G)$  or in  $R(g)$ . Thus  $\alpha(G) + \varrho(G) = 1$ . Therefore, it is sufficient in each case to verify the expression for  $\alpha(G)$ . We proceed by induction, the result being easy to check for  $r = 1$  (where the groups involved are  $D_8$  and  $Q_8$ ), so suppose  $r > 1$ . Write  $D$  for  $D_8^{*(r-1)}$ . The elements of  $D * D_8$  are of the form  $xy$  where  $x \in D$  and  $y \in \{1, a, b, c\}$ , with  $a^2 = 1$ ,  $b^2 = 1$  and  $c^2 = g$ , where  $g$  is the central involution of  $D$ . Now  $(xy)^2 = x^2y^2$ , so  $(xy)^2 = 1$  when  $x^2 = y^2$ , and  $(xy)^2 = g$  otherwise. Hence

$$\alpha(D * D_8) = \frac{|D|}{|D * D_8|} (3\alpha(D) + \varrho(D)) = \frac{1}{4} \left( \frac{3(2^{r-1} + 1)}{2^r} + \frac{2^{r-1} - 1}{2^r} \right) = \frac{2^r + 1}{2^{r+1}}.$$

For  $D * Q_8$  we follow the same procedure, except that in this case elements of  $G$  are of the form  $xy$  where  $x \in D$  and  $y \in \{1, u, v, w\}$  where  $u^2 = v^2 = w^2 = g$ . The recurrence relation this time is  $\alpha(D * Q_8) = \frac{1}{4}(\alpha(D) + 3\varrho(D))$ , and a quick check shows that this results in  $\alpha(D * Q_8) = \frac{2^r - 1}{2^{r+1}}$ .  $\square$

**Proposition 3.6.** *Suppose  $\alpha(H) > \frac{7}{12}$ . Then either  $H$  is an elementary abelian 2-group, with  $\alpha(H) = 1$ , or  $H$  is the direct product of an elementary abelian 2-group with a group  $H_0$ , where  $\alpha(H) = \alpha(H_0)$  and  $H_0$  is one of the following groups (listed in decreasing order of  $\alpha(H_0)$ ).*

- $\alpha(H) = \frac{3}{4}$  and  $H_0 \cong D_8$ ;
- $\alpha(H) = \frac{2}{3}$  and  $H_0 \cong D_6$ ;
- $\alpha(H) = \frac{5}{8}$  and  $H_0$  is one of  $D_{16}$ ,  $D_8 * D_8$ ,  $W_2$ , or the generalised dihedral group whose abelian index 2 subgroup is  $C_4 \times C_4$ ;
- $\alpha(H) = \frac{3}{5}$  and  $H_0 \cong D_{10}$ .

*Proof.* Assume  $H$  is not elementary abelian. Since  $\alpha(H) > \frac{1}{2}$ , we have that  $H$  is one of the groups described in Theorem 3.3, so that  $H$  is the direct product of an elementary abelian 2-group with an  $H_0$  of one of the given four types. Observe that  $\alpha(H) = \alpha(H_0)$ .

First, let  $H_0$  be of type I. That is,  $H_0$  is generalised dihedral, the semidirect product of a non-trivial abelian group  $A_0$  with a group  $\langle c \rangle$ , where  $c$  is an involution which inverts every element of  $A_0$ . Moreover  $A_0$  does not have  $C_2$  as a direct factor. Write  $A_0 = \mathcal{O} \times \mathcal{T}$ , where  $\mathcal{O}$  is a subgroup of odd order  $\omega$  and  $\mathcal{T}$  is an abelian 2-group (or the trivial group). Then  $|\mathcal{I}(H_0)| = \frac{1}{2}|H_0| + |\mathcal{I}(\mathcal{T})|$ . Hence  $\alpha(H) = \alpha(H_0) = \frac{1}{2} + \frac{1}{2\omega}\alpha(\mathcal{T})$ . By assumption, none of the components of  $\mathcal{T}$  is cyclic of order 2. If  $\mathcal{T} \cong \{1\}$ , then  $\alpha(\mathcal{T}) = 1$ . If  $\mathcal{T} \cong C_4$ , then  $\alpha(\mathcal{T}) = \frac{1}{2}$ ; for all other  $\mathcal{T}$  we have  $\alpha(\mathcal{T}) \leq \frac{1}{4}$ . So, if  $\omega \geq 7$ , then  $\alpha(H) \leq \frac{1}{2} + \frac{1}{14} < \frac{7}{12}$ . If  $\omega = 5$ , then either  $A_0 \cong C_5$  and  $\alpha(H) = \frac{3}{5}$ , or  $\alpha(H) \leq \frac{1}{2} + \frac{1}{20} < \frac{7}{12}$ . If  $\omega = 3$ , then either  $A_0 \cong C_3$  and  $\alpha(H) = \frac{2}{3}$ , or  $\alpha(H) \leq \frac{1}{2} + \frac{1}{12} = \frac{7}{12}$ . If  $\omega = 1$ , then  $A_0 \cong C_4$  results in  $\alpha(H) = \frac{3}{4}$ ;  $A \cong C_8$  or  $A_0 \cong C_4 \times C_4$  give  $\alpha(H) = \frac{5}{8}$ ; all other possibilities give  $\alpha(H) \leq \frac{9}{16}$ . In

summary, if  $\alpha(H) = \frac{3}{4}$ , then  $H_0 \cong D_8$ . If  $\alpha(H) = \frac{2}{3}$ , then  $H_0 \cong D_6$ . If  $\alpha(H) = \frac{3}{5}$ , then  $H_0 \cong D_{10}$ . If  $\alpha(H) = \frac{5}{8}$ , then  $H_0 \cong D_{16}$  or  $H_0$  is the generalised dihedral group whose abelian index 2 subgroup is  $C_4 \times C_4$ . In all other cases,  $\alpha(H) \leq \frac{7}{12}$ .

For types II and III, if  $H_0 \cong D_8 \times D_8$ , then it is easy to check that  $\alpha(H_0) = \frac{9}{16} < \frac{7}{12}$ . If  $H_0$  is extraspecial, then by Proposition 3.5,  $\alpha(H) > \frac{7}{12}$  if and only if either  $H_0 \cong D_8$ , with  $\alpha(H) = \frac{3}{4}$ , or  $H_0 \cong D_8 * D_8$ , with  $\alpha(H) = \frac{5}{8}$ . The final type to consider is when  $H_0 \cong W_r$ . Let  $A_0 = \langle x_1, \dots, x_r, y_1, \dots, y_r \rangle$ . Certainly  $A_0 \subseteq \mathcal{I}(H_0)$ , so consider  $x \in H_0 - A_0$ . Then  $x = c \prod_{i=1}^r (x_i^{a_i} y_i^{b_i})$  where each  $a_i$  and each  $b_i$  is either zero or one. Because conjugation by  $c$  sends  $x_i$  to  $x_i y_i$ , and fixes  $y_i$ , we have  $x^2 = \prod_{i=1}^r y_i^{a_i}$ . Hence  $x^2 = 1$  if and only if  $a_i = 0$  for all  $i$ , which implies that  $\mathcal{I}(H_0) = |A_0| + 2^r$ . Since  $|H_0| = 2^{2r+1}$ , we obtain  $\alpha(H) = \frac{1}{2} + \frac{1}{2^{r+1}}$ . The only instances where  $\alpha(H) > \frac{7}{12}$  are when  $r = 1$  (which gives  $D_8$  again) or when  $r = 2$ , which gives  $W_2$ , with  $\alpha(W_2) = \frac{5}{8}$ .  $\square$

**Theorem 3.7.** *If  $\varrho_2(G) > \frac{1}{2}$ ,  $g$  is a rooty element and  $G/\langle g \rangle$  is elementary abelian, then  $G \cong D_8^{*r} * Q_8$  or  $G \cong (D_8^{*r} * Q_8) \times E$ , where  $E$  is an elementary abelian 2-group and  $r$  is a non-negative integer. Moreover,  $\varrho_2(G) = \frac{2^{r+1}+1}{2^{r+2}}$ .*

*Proof.* Notice that  $g$  is a central involution of  $G$ , by Lemma 3.4. Hence  $\langle g \rangle$  is normal in  $G$ , so  $G/\langle g \rangle$  is well-defined. Moreover  $|G| = 2|G/\langle g \rangle|$ , which means in particular that  $G$  is a 2-group. Consequently,  $\Phi(G)$  is contained in every normal subgroup with an elementary abelian quotient. Thus  $\Phi(G) \leq \langle g \rangle$ . Obviously  $\Phi(G)$  cannot be trivial; hence  $\Phi(G) = \langle g \rangle$ . By Lemma 3.4 (a), we may reduce to the case where  $Z(G) \leq \Phi(G)$ . The fact that  $G$  is a non-abelian 2-group now forces  $Z(G) = G' = \Phi(G)$ . Hence  $G$  is extraspecial. The result now follows immediately from Proposition 3.5.  $\square$

**Corollary 3.8.** *If  $\varrho_2(G) \geq \frac{3}{4}$ , then  $\varrho_2(G) = \frac{3}{4}$  and  $G$  is either  $Q_8$  or the direct product of  $Q_8$  with an elementary abelian 2-group.*

*Proof.* Suppose  $\varrho_2(G) \geq \frac{3}{4}$  with  $g$  a rooty element. The proportion of elements of  $G$  whose square is either 1 or  $g$  is just  $\varrho_2(G) + \alpha(G)$ . Now  $g$  is a central involution, meaning that  $(xg)^2 = x^2$  for any  $x \in G$ . Hence  $\alpha(G/\langle g \rangle) = \varrho_2(G) + \alpha(G) > \varrho_2(G) \geq \frac{3}{4}$ . Using Proposition 3.6, we see that  $G/\langle g \rangle$  is an elementary abelian 2-group. Now we employ Theorem 3.7. The only case in that theorem which gives  $\varrho_2(G) \geq \frac{3}{4}$  is when  $r = 0$ , meaning that  $\varrho_2(G) = \frac{3}{4}$  and  $G$  is either  $Q_8$  or the direct product of  $Q_8$  with an elementary abelian 2-group.  $\square$

**Theorem 3.9.** *Suppose  $\varrho_2(G) > \frac{1}{2}$ , and let  $g$  be a rooty element of  $G$ . Suppose  $G/\langle g \rangle \cong D_{2q} \times E$ , for some odd prime  $q$  and some elementary abelian 2-group  $E$ . Then  $\varrho_2(G) \leq \frac{2q+1}{4q}$ , with equality if and only if  $G$  is isomorphic to either  $Q_{8q}$  or the direct product of  $Q_{8q}$  with an elementary abelian 2-group.*

*Proof.* Write  $\overline{G} = G/\langle g \rangle$ , and for  $x$  in  $G$  write  $\overline{x}$  for the corresponding element of  $\overline{G}$ . Let  $x$  be an element of order  $q$  in  $G$ , and write  $N = \langle x \rangle$ . Then  $\overline{N\langle g \rangle}$  is the unique Sylow  $q$ -subgroup of  $\overline{G}$ . Since  $\overline{x^G} = \{\overline{x}, \overline{x}^{-1}\}$ , we see that  $x^G \subseteq \{x, x^{-1}, xg, (xg)^{-1}\}$ . But  $xg$  and  $xg^{-1}$  have order  $2q$ , so cannot be conjugate to  $x$ . Moreover  $x$  cannot be central in  $G$  because  $Z(G)$  is an elementary abelian 2-group

(Lemma 3.4). Hence  $x^G = \{x, x^{-1}\}$ , which means  $C_G(x)$  has index 2 in  $G$ , and is therefore normal. Let  $K$  be a Sylow 2-subgroup of  $C_G(x)$ ; it has index  $q$  in  $C_G(x)$ . Both  $K$  and  $N$  normalise  $K$ , which means (since  $C_G(x) = \langle K, N \rangle$ ) that  $K$  is normal in  $C_G(x)$ , and so  $K$  is the unique Sylow 2-subgroup of  $C_G(x)$ ; hence it is characteristic in  $C_G(x)$  and consequently normal in  $G$ . Therefore,  $K$  is contained in, and has index 2 in, every Sylow 2-subgroup of  $G$ . There must be more than one Sylow 2-subgroup of  $G$ , because every root of  $g$  is contained in a Sylow 2-subgroup. Hence there are  $q$  Sylow 2-subgroups; call them  $P_1, \dots, P_q$ . Note that, when  $i \neq j$ , we have  $P_i \cap P_j = K$ . By Corollary 3.8, we have that  $\rho_2(P_1) \leq \frac{3}{4}$ . Hence  $|R \cap P_1| \leq \frac{3}{4}|P_1|$ . Therefore,

$$\begin{aligned} R &\subseteq P_1 \cdot \cup(P_2 - K) \cdots \cup(P_q - K) \\ |R| &\leq \frac{3}{4}|P_1| + \sum_{i=2}^q |P_i - K| \\ |R| &\leq \frac{3}{4}|P_1| + (q-1)\frac{|P_1|}{2} \\ \rho_2(G) &\leq \frac{3}{4q} + \frac{q-1}{2q} = \frac{2q+1}{4q} \end{aligned}$$

with equality precisely when  $\rho_2(P_1) = \frac{3}{4}$  and  $K$  is a subgroup of index 2 in  $P_1$  such that every element of  $P_1 - K$  has order 4. By Corollary 3.8 we have that  $P_1 \cong Q_8 \times C_2^k$  for some  $k \geq 0$ , and the only suitable  $K$  is (isomorphic to)  $C_4 \times C_2^k$ . Recalling that  $x$  centralises  $K$ , we have that  $G = NP_1 \cong NQ_8 \times C_2^k \cong Q_{8q} \times C_2^k$ . For example, if  $u$  is any element of order 4 in  $K$ , and  $b$  is any element of order 4 in  $P_1 - K$ , then setting  $a = ux$  we have  $\langle a, b \rangle \cong Q_{8q}$  and  $G \cong \langle a, b \rangle \times C_2^k$ .  $\square$

**Lemma 3.10.** *If  $\alpha(H) > \frac{1}{2}$ , then  $Z(H)$  is an elementary abelian 2-group.*

*Proof.* Since  $\alpha(H) > \frac{1}{2}$ , we have, by Theorem 3.3, that  $H$  is either an elementary abelian 2-group, or the direct product of an elementary abelian 2-group with a group  $H_0$  of one of four given types. It is therefore sufficient to show that  $Z(H_0)$  is an elementary abelian 2-group for all possible  $H_0$ . If  $H_0$  is generalised dihedral and  $A_0$  is the abelian subgroup of index 2, then conjugation by any involution outside  $A_0$  inverts every element of  $A_0$ . Hence the central elements are precisely the involutions of  $A_0$  (plus the identity), and we are done. If  $H_0$  is  $D_8 \times D_8$ , then  $Z(H_0)$  is  $C_2 \times C_2$ . If  $H_0$  is extraspecial, then  $Z(H_0)$  is cyclic of order 2. Finally if  $H_0$  is  $W_r$ , then  $c$  conjugates  $x_i$  to  $x_i y_i$  and commutes with  $y_i$ , for all  $i$ . Thus  $Z(H_0) = \langle y_1, \dots, y_r \rangle$ . Therefore, in all cases,  $Z(H)$  is an elementary abelian 2-group.  $\square$

We may now complete the classification of groups with square rootiness at least  $\frac{7}{12}$ . Recall that  $\mathcal{M}_{32}$  is the group of order 32 whose presentation was given in Notation 3.1.

**Theorem 3.11.** *Suppose  $\rho_2(G) \geq \frac{7}{12}$ . Then  $G$  is isomorphic to  $G_0$ , or the direct product of  $G_0$  with an elementary abelian 2-group, where  $G_0$  is one of the following groups.*

- (a)  $G_0 \cong Q_8$  and  $\rho_2(G) = \frac{3}{4}$ ;
- (b)  $G_0 \cong Q_{16}$  and  $\rho_2(G) = \frac{5}{8}$ ;

- (c)  $G_0 \cong D_8 * Q_8$  and  $\varrho_2(G) = \frac{5}{8}$ ;
- (d)  $G_0 \cong \mathcal{M}_{32}$  and  $\varrho_2(G) = \frac{5}{8}$ ;
- (e)  $G_0 \cong Q_{24}$  and  $\varrho_2(G) = \frac{7}{12}$ .

For the purposes of the proof, we write  $B$  for the generalised dihedral group of order 32 whose abelian subgroup of index 2 is  $C_4 \times C_4$ . This is one of the groups given in Proposition 3.6.

*Proof.* Let  $g$  be a rooty element of  $G$ , and as usual write  $\overline{G} = G/\langle g \rangle$ . The fact that  $\varrho(G) \geq \frac{7}{12}$  implies that  $\alpha(\overline{G}) > \frac{7}{12}$ , so  $\overline{G}$  is one of the groups  $H$  listed in Proposition 3.6. If  $H_0$  is  $D_6$  or  $D_{10}$ , then by Theorem 3.9 the only possibility for which  $\varrho(G) \geq \frac{7}{12}$  is when  $G$  is  $Q_{24}$  (or its direct product with an elementary abelian 2-group), and here  $\varrho(G) = \frac{7}{12}$ . All the other possible  $H$  given by Proposition 3.6 are 2-groups. Hence if  $G$  is not a 2-group, the theorem holds.

We assume from now on that  $G$  is a 2-group, and proceed by induction on  $|G|$ . For the base case, if  $|G| \leq 64$ , then the result holds by Observation 3.2. If  $H$  is an elementary abelian 2-group, then by Theorem 3.7  $\varrho(G) = \frac{2^r+1}{2^{r+1}}$  for some positive integer  $r$ . Since  $\varrho(G) \geq \frac{7}{12}$  the only possibilities are  $r = 1$  and  $r = 2$ . These result in the cases  $G_0 \cong Q_8$  and  $G_0 \cong D_8 * Q_8$  above. If  $\varrho(G) \geq \frac{3}{4}$ , then by Corollary 3.8, we have the case  $G_0 \cong Q_8$ . We may therefore assume that  $\frac{7}{12} < \varrho < \frac{3}{4}$ , and that  $H_0$  is either  $D_8, D_8 * D_8, D_{16}, B$  or  $W_2$ . In the first case  $\alpha(H_0) = \frac{3}{4}$ ; in the last four cases  $\alpha(H_0) = \frac{5}{8}$ .

Suppose  $\alpha(H_0) = \frac{5}{8}$ . If  $Z(G) \neq \langle g \rangle$ , then  $G$  has a central involution  $h$  with  $h \neq g$ , and  $\varrho(G/\langle h \rangle) = \varrho(G)$ , which by assumption lies strictly between  $\frac{7}{12}$  and  $\frac{3}{4}$ . By induction  $\varrho(G) = \frac{5}{8}$ . But since  $\alpha(H_0) = \frac{5}{8}$ , at most  $\frac{5}{8}$  of the elements of  $G$  square to 1 or  $g$ . Since  $G$  contains at least one involution, we have  $\varrho(G) < \frac{5}{8}$ , a contradiction. Therefore, if  $\alpha(H_0) = \frac{5}{8}$ , then  $Z(G) = \langle g \rangle$ .

Return now to the general case where  $\alpha(H_0) \in \{\frac{3}{4}, \frac{5}{8}\}$ . Let  $K$  be the subgroup of  $G$  such that  $\overline{K} = Z(G/\langle g \rangle)$ . We will analyse the elements of  $K - Z(G)$ . Let  $a \in K - Z(G)$ . Then  $a^x \in a\langle g \rangle$  for all  $x \in G$ . Thus, since  $a$  is non-central,  $C_G(a)$  has index 2 in  $G$ . Write  $X = G - C_G(a)$ . For any  $x \in X$  we have  $(ax)^2 = a(xax^{-1})x^2 = a^2x^2g$ . Lemma 3.10 tells us that  $\overline{K}$  is an elementary abelian 2-group. Therefore,  $a^2 \in \{1, g\}$ , meaning either  $a$  is an involution, or  $a$  is a root of  $g$ .

Assume first, for a contradiction, that  $a$  is an involution. Then  $(ax)^2 = x^2g$ . Thus  $x$  is a root if and only if  $ax \in \mathcal{I}(G)$ . Hence at most half the elements of  $X$  are roots. That is,  $|R \cap X| \leq \frac{1}{4}|G|$ . This forces

$$|R \cap C_G(a)| \geq |R| - \frac{1}{4}|G| \geq \frac{7}{12}|G| - \frac{1}{4}|G| = \frac{1}{3}|G| = \frac{2}{3}|C_G(a)|.$$

Inductively, this forces  $C_G(a)$  to be  $Q_8$  or its direct product with an elementary abelian 2-group. Therefore,  $\varrho(C_G(a)) = \frac{3}{4}$  and every element of  $C_G(a)$  must square to 1 or  $g$ .

Now  $\alpha(\overline{G}) > \varrho(G)$ , so  $\alpha(\overline{G}) > \frac{7}{12}$ . We see from Proposition 3.6 that either  $\overline{G}$  is elementary abelian, or  $\alpha(\overline{G}) \leq \frac{3}{4}$ . The case where  $\overline{G}$  is elementary abelian has been dealt with in Corollary 3.8, so we can assume  $\alpha(\overline{G}) \leq \frac{3}{4}$ . That means at least a quarter of the elements  $h$  of  $G$  have the property that  $h^2 \notin \{1, g\}$ . Such elements, then, cannot be contained in  $C_G(a)$ . Therefore,  $X$  contains at least  $\frac{1}{4}|G|$  elements  $h$  such that  $h^2 \notin \{1, g\}$ . The remaining elements of  $X$  consist of pairs  $\{x, ax\}$  exactly one of which is a root (the other being an involution). So at most a quarter of the elements of  $X$  are roots.

But now

$$|R| = |R \cap X| + |R \cap C_G(a)| \leq \frac{1}{4}|X| + \frac{3}{4}|C_G(a)| = \frac{1}{2}|G|,$$

a contradiction.

Hence every element of  $K - Z(G)$  is a root. Let us consider the case where  $H_0 \cong D_8 * D_8$  in a little more detail. We have shown above that, since  $\alpha(H_0) = \frac{5}{8}$ , we have  $Z(G) = \langle g \rangle$ . As  $H_0$  is extraspecial,  $|K| = 4$ . Let  $a$  be either of the two elements of  $K - Z(G)$ . Then  $\bar{a}$  is the non-identity element of  $Z(\bar{G})$ . Elements of  $G$  which do not square to 1 or  $g$  must then square to  $a$  or  $ag$ . Thus,  $\frac{5}{8}$  of the elements of  $G$  square to 1 or  $g$ , and  $\frac{3}{8}$  of the elements of  $g$  square to  $a$  or  $ag$ . If  $x^2 = a$ , then  $x$  commutes with  $a$  and so  $x \in C_G(a)$ . Also  $a$  is conjugate to  $ag$  (because  $a$  isn't central) via some element  $w$  of  $G$  and so if  $x^2 = a$ , then  $(x^w)^2 = ag$ . Now  $C_G(a)$  is a normal subgroup of  $a$  and thus contains  $x^w$ . Therefore,  $C_G(a)$  contains all of the  $\frac{3}{8}|G|$  roots of  $a$  and  $ag$ . The remaining  $\frac{1}{8}|G|$  elements of  $C_G(a)$  are either roots or square to the identity. Now for any root  $b \in C_G(a)$ , we have  $(ab)^2 = 1$ ; and vice versa, if  $z \in \mathcal{I}(C_G(a))$ , then  $(az)^2 = g$ . That is  $|R \cap C_G(a)| = |\mathcal{I}(C_G(a))|$ . Hence  $C_G(a)$  contains precisely  $\frac{1}{16}|G|$  involutions and the same number of roots. So even if every element of  $G - C_G(a)$  is a root,  $\rho(G) \leq \frac{9}{16} < \frac{7}{12}$ , a contradiction. Therefore,  $H_0$  must be one of  $D_8, D_{16}, B$ , or  $W_2$ , and we have noted that if  $\alpha(H_0) = \frac{5}{8}$ , then  $Z(G) = \langle g \rangle$ . By Lemma 3.4(a), we may further assume that  $Z(G) \leq \Phi(G)$ . We will show that under these assumptions,  $|G| \leq 64$ .

Since every element of  $K - Z(G)$  is a root, we see from Corollary 3.8 that  $|K : Z(G)| \leq 4$ . Now

$$|G : K| = |\bar{G} : \bar{K}| = |\bar{G} : Z(\bar{G})| = |H_0 : Z(H_0)|.$$

Thus

$$|G| = |G : K| |K : Z(G)| |Z(G)| \leq 4 |H_0 : Z(H_0)| |Z(G)|.$$

If  $H_0$  is any of  $W_2, D_{16}$  or  $B$ , then  $|H_0 : Z(H_0)| = 8$ . Combining this with the fact that  $|Z(G)| = 2$  gives  $|G| \leq 64$ .

We are left with the case  $H_0 = D_8$ . Here  $|H_0 : Z(H_0)| = 4$ , so  $|G| \leq 16|Z(G)|$ . Recall that  $Z(G) \leq \Phi(G)$ . In particular,  $\langle g \rangle \leq \Phi(G)$ , which means that  $\langle g \rangle$  is contained in every maximal subgroup  $V$  of  $G$ . Therefore,  $\bar{V}$  is maximal in  $\bar{G}$  if and only if  $V$  is maximal in  $G$ . Hence  $\bar{\Phi(G)} = \Phi(\bar{G}) \cong \Phi(H_0) \cong C_2$ . Therefore,  $|Z(G)| \leq |\Phi(G)| = 2|\Phi(\bar{G})| = 4$ . Hence, again,  $|G| \leq 64$ . By Observation 3.2,  $G$  is one of the groups listed in the statement of Theorem 3.11, and the proof is complete.  $\square$

We note that the classification of all finite groups with  $\rho_2(G) > \frac{1}{2}$  is one of the aims of the second author's thesis, which is in preparation.

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