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Corresponding Author: Dr. Heather L. Buss, PhD

Corresponding Author's Institution: U.S. Geological Survey

First Author: Heather L. Buss, PhD

Order of Authors: Heather L. Buss, PhD; Susan L Brantley; Andreas Luttge

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Abstract: Understanding the effects of microbiota on mineral alteration requires the ability to recognize evidence of bacteria-promoted dissolution on mineral surfaces. Although siderophores are known to promote mineral dissolution, their effects on mineral surfaces are not well known. We have utilized atomic force microscopy (AFM), X-ray photoelectron spectroscopy (XPS), and Mirau vertical scanning interferometry (VSI) to investigate surfaces after incubation with the siderophore desferrioxamine-B mesylate (DFAM) and under colonies of bacteria. Iron-silicate glass planchets chemically similar to hornblende were incubated in buffered growth medium with siderophore-producing bacteria (*Bacillus* sp.) for 46 days with parallel abiotic experiments conducted with and without 240 μ M DFAM, with and without 0.01 g/l of microbially produced extracellular polysaccharides (EPS, alginate or xanthan gum). Some glass planchets were protected by dialysis tubing from direct contact with the EPS. Weekly sampling and analysis of all filtered sample solutions showed negligible Fe and Al release in the control experiments and significant release of Fe and Al in the presence of DFAM, with negligible changes in pH. Concentration of Fe in the filtered solutions after incubation with bacteria was below detection, consistent with uptake of Fe by cells. Release of Fe, Al, and Si in control, xanthan-only, and alginate-only experiments was negligible. Release of these elements was enhanced in all experiments containing DFAM, and greatest in alginate + DFAM experiments.

AFM and VSI analyses reveal widespread, small etch pits and greater root mean squared roughness on siderophore-exposed surfaces and fewer, localized, larger etch pits on bacteria-exposed surfaces. This is the first documented case of etch pit development during siderophore-promoted dissolution. Roughness was not affected by growth medium or alginate or xanthan gum alone. The roughness trends among samples correlate with trends in Fe depletion documented by XPS. Enhanced dissolution and roughness cannot be attributed to direct contact with EPS

because no significant chemical or physical differences were observed between surfaces directly exposed to EPS and those protected by dialysis tubing. Acetate released from the EPS may have enhanced the siderophore-promoted dissolution. Siderophores produced by *Bacillus* sp. may be responsible for some of the 'biopits.' The difference in size and distribution of the biopits may be related to colonization.

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Revision for Chemical Geology

Etch pit formation on iron silicate surfaces during siderophore-promoted dissolution

Heather L. Buss^{a*1}, Andreas Lüttge^b, and Susan L. Brantley^c

^aDepartment of Geosciences, the Pennsylvania State University, University Park, Pennsylvania 18802 USA, hlbuss@usgs.gov

^bDepartment of Earth Science MS-126, Rice University, 6100 Main Street, Houston, Texas 77005 USA, aluttge@rice.edu

^cEarth and Environmental Systems Institute, the Pennsylvania State University, University Park, Pennsylvania 16802 USA, brantley@eesi.psu.edu

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*Corresponding author, email: hlbuss@usgs.gov, tel: 650-329-4420, fax: 650-329-4538

¹Present address: U.S. Geological Survey, Water Resources Discipline, 345 Middlefield Rd., MS 420, Menlo Park, California 94025 USA

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Abstract

Understanding the effects of microbiota on mineral alteration requires the ability to recognize evidence of bacteria-promoted dissolution on mineral surfaces. Although siderophores are known to promote mineral dissolution, their effects on mineral surfaces are not well known. We have utilized atomic force microscopy (AFM), X-ray photoelectron spectroscopy (XPS), and Mirau vertical scanning interferometry (VSI) to investigate surfaces after incubation with the siderophore desferrioxamine-B mesylate (DFAM) and under colonies of bacteria. Iron-silicate glass planchets chemically similar to hornblende were incubated in buffered growth medium with siderophore-producing bacteria (*Bacillus* sp.) for 46 days with parallel abiotic experiments conducted with and without 240 μM DFAM, with and without 0.01 g l^{-1} of microbially produced extracellular polysaccharides (EPS, alginate or xanthan gum). Some glass planchets were protected by dialysis tubing from direct contact with the EPS. Weekly sampling and analysis of all filtered sample solutions showed negligible Fe and Al release in the control experiments and significant release of Fe and Al in the presence of DFAM, with negligible changes in pH. Concentration of Fe in the filtered solutions after incubation with bacteria was below detection, consistent with uptake of Fe by cells. Release of Fe, Al, and Si in control, xanthan-only, and alginate-only experiments was negligible. Release of these elements was enhanced in all experiments containing DFAM, and greatest in alginate + DFAM experiments.

AFM and VSI analyses reveal widespread, small etch pits and greater root mean squared roughness on siderophore-exposed surfaces and fewer, localized, larger etch pits on bacteria-exposed surfaces. This is the first documented case of **etch pit development during siderophore-promoted dissolution**. Roughness was not affected by the growth medium, alginate, or xanthan gum alone. The roughness trends among samples correlate with trends in Fe depletion

41 documented by XPS. Enhanced dissolution and roughness cannot be attributed to direct contact
42 with EPS because no significant chemical or physical differences were observed between
43 surfaces directly exposed to EPS and those protected by dialysis tubing. Acetate released from
44 the EPS may have enhanced the siderophore-promoted dissolution. Siderophores produced by
45 *Bacillus* sp. may be responsible for some of the ‘biopits.’ The difference in size and distribution
46 of the biopits may be related to colonization.

47

48 **Keywords:** siderophores, etch pits, hornblende, desferrioxamine, biofilms

49

50 **1. Introduction**

51 *1.1 Surface Colonization*

52 Microbial colonization of mineral surfaces is rapid and extensive in aqueous and soil
53 environments because organic macromolecules adsorb to surfaces and form a layer that
54 encourages attachment of microorganisms (Baier, 1980; Brisou, 1995; Characklis, 1989; Little et
55 al., 1997). As a result, free microorganisms represent only 0.1 to 1.0 % of total microorganisms
56 in an aquatic ecosystem, with the remainder of the microorganisms attached to surfaces (Brisou,
57 1995; Madigan et al., 2000).

58 To colonize a surface, microorganisms form large aggregates of cells, proteins, lectins, and
59 polysaccharides, collectively termed “biofilms” (e.g., Brisou, 1995; Little et al., 1997; e.g.,
60 Wilderer and Characklis, 1989). A number of researchers have documented the attachment of
61 microorganisms to mineral surfaces via the formation of a biofilm (e.g., Barker et al., 1998; e.g.,
62 Thorseth et al., 1995). The nutrient content of mineral surfaces drives the attachment (Bennett et
63 al., 1996a; Brisou, 1995; Madigan et al., 2000). In fact, in environments depleted in one or more

64 nutrients, microorganisms preferentially colonize mineral surfaces containing essential macro- or
65 micronutrients (Bennett et al., 1996a; Grantham and Dove, 1996; Kalinowski et al., 2000; Rogers
66 et al., 1998; Sawyer and Hermanowicz, 1998).

67 The effects of colonization on mineral surfaces remain, for the most part, un-quantified.
68 Effects such as the formation of etch pits by microorganisms on mineral surfaces are of interest
69 as potential biosignatures. Several researchers have documented etch pits on colonized mineral
70 surfaces using scanning electron, transmission electron, vertical scanning interferometry or
71 atomic force microscopies (SEM, TEM, VSI, AFM, respectively). Barker et al. (1998) and
72 Rogers et al. (1998) saw etch pits on feldspars near attached microbial colonies. Fisk et al.
73 (1998) observed remnants of cells within etched channels on basaltic glass collected from the sea
74 floor and found the etchings to be consistent with microbial weathering. Similarly, Furnes et al.
75 (2004) found tubular and segmented etchings that were likely microbial in origin on formerly
76 glassy rims of Archean pillow basalts. Irregular etchings on hematite particles (Maurice et al.,
77 1996) and muscovite surfaces (Maurice et al., 2001) were observed after incubation with bacteria
78 in laboratory and field experiments, respectively. Others have documented etch pits on surfaces
79 from which colonies have been removed (Bennett et al., 1996a; Thorseth et al., 1995). Whether
80 the etch pits were formed by way of direct cellular attachment or chemical interactions with one
81 or more microbial exudates is unknown. Conversely, Lüttge and Conrad (2004) found bacteria to
82 inhibit etch pit formation on calcite surfaces.

83 Microorganisms produce and secrete a variety of substances that may influence mineral
84 dissolution by lowering pH, by complexing with surface or solution ions, or by catalyzing redox
85 reactions. Some of these substances include enzymes, alcohols, low molecular weight organic
86 acids (LMWOA), high molecular weight extracellular polymeric substances (EPS), and highly

87 Fe(III)-specific ligands called siderophores. Some high affinity ligands may also be released to
88 extract other metals (e.g., Liermann et al., 2005).

89 The EPS that bacteria secrete are primarily composed of glycocalyx, which are primarily
90 polysaccharides and serve to anchor and give structure to the biofilm and to concentrate and
91 store enzymes, ions, other bioessential molecules, and heavy metals (Brisou, 1995; Madigan et
92 al., 2000; Morel and Palenik, 1989; Roane and Kellogg, 1996; Templeton et al., 2003).

93

94 *1.2 Siderophores*

95 Most microorganisms need $\sim\mu\text{M}$ concentrations of Fe to thrive (Neilands, 1995).

96 Fe(III)-oxides (including oxides, oxyhydroxides, and hydrated oxides), specifically
97 goethite ($\alpha\text{-FeOOH}$), are the dominant forms of Fe in most aerobic soils (Hersman,
98 2000). Fe in these secondary minerals ultimately derives from the common rock-forming
99 Fe-silicates: olivines, pyroxenes, amphiboles (notably hornblende), and biotite (Allen and
100 Hajek, 1989; Huang, 1989). In soils containing these primary Fe minerals, the actions of
101 microorganisms may affect silicate weathering rates (e.g., Bennett et al., 1996b; Buss et
102 al., 2005; Liermann et al., 2000b) with implications for the global regulation of CO_2 over
103 geologic timescales (e.g., Berner, 1995). However, the low solubility products of most
104 Fe-minerals and especially Fe(III)-oxides, limit the aqueous Fe concentration at
105 equilibrium and near-neutral pH to as low as 10^{-17} M in inorganic solutions
106 (Schwartzman and Volk, 1991). Many microorganisms have evolved the ability to
107 produce siderophores in order to overcome the ~ 10 orders of magnitude difference
108 between available Fe and Fe needed for metabolism (Hersman, 2000).

109 Typical aqueous siderophore concentrations in nature are estimated to range from
110 approximately equal to as much as three orders of magnitude less than concentrations of
111 other chelators in soils, such as LMWOA (Hersman et al., 1995; Hersman, 2000;
112 Kalinowski et al., 2000). LMWOA can increase weathering via proton- or ligand-
113 promoted dissolution. However, siderophores have greater affinity for Fe than LMWOA
114 and previous studies have shown that siderophores are more effective than LMWOA for
115 inducing release of Fe(III) from minerals at near neutral pH (Brantley et al., 2001;
116 Holmen and Casey, 1996; Kalinowski et al., 2000).

117 Here we investigate the effects on Fe-silicate surfaces of siderophores, EPS, and
118 microorganisms that only use Fe as a micronutrient (i.e., that do not respire Fe). We
119 performed batch dissolution experiments in which flasks containing a polished Fe-silicate
120 substrate and an Fe-free, buffered, pH-neutral growth medium were each supplemented
121 with desferrioxamine-B mesylate (DFAM, the salt of a commercially available
122 siderophore) or a strain of *Bacillus* sp., an obligately aerobic soil bacterium that produces
123 an acidic glycocalyx (Brantley et al., 2001) and a catecholate siderophore (Kalinowski et
124 al., 2000). To more specifically investigate the influence of EPS on siderophore-
125 promoted dissolution, we also incubated hornblende glass planchets in batch experiments
126 with alginate or xanthan gum (Sigma), two commercially available extracellular
127 polysaccharides, with and without DFAM.

128 Surfaces were analyzed with AFM, Mirau vertical scanning interferometry (VSI), and XPS
129 to document changes in microtopography and chemistry of the surfaces. Solution analyses were
130 performed to document glucose consumption, pH changes, and Fe, Al, and Si release.

131

132 2. Materials and Methods

133 2.1 Experimental Setup

134 In order to isolate biogenic features from mineralogical features (e.g., heterogeneities
135 among crystals, preferential dissolution of inclusions or along crystal grain boundaries), glass
136 planchets were synthesized with a composition similar to the Fe-silicate mineral hornblende
137 (Liermann et al., 2000a) and polished to provide a smooth, chemically analogous substrate. The
138 composition of this “hornblende glass” (in wt%: 48.2% SiO₂, 14.5% Al₂O₃, 11.0% Fe₂O₃, 8.33%
139 CaO, 10.9% MgO, 2.31% Na₂O, 0.51% K₂O, where Fe₂O₃ includes Fe(II) and Fe(III)) was
140 determined using the lithium metaborate fusion technique (Medlin et al., 1969; Suhr and
141 Ingamells, 1966) and inductively coupled plasma atomic emission spectrometry (ICP-AES). The
142 glass was cut into planchets approximately 1 × 1 × 0.5 cm with a diamond blade and polished
143 with diamond slurries to 0.25 μm. Polished samples were ultrasonicated in acetone for 10
144 minutes, air-dried, and stored in a dessicator.

145 The soil bacterium selected for this study was previously identified as an *Arthrobacter* but
146 was subsequently found to be a *Bacillus* sp. (Buss et al., 2003). This bacterium was isolated from
147 a hornblende-containing soil from Gore Mountain, New York, and has been shown to grow
148 vigorously and produce siderophores in Fe-deficient growth medium in the presence of
149 hornblende or hornblende glass (Brantley et al., 2001; Kalinowski et al., 2000; Liermann et al.,
150 2000a). Two polished hornblende glass planchets were placed in each 500-ml glass culture flask
151 and sterilized by autoclaving at 250°C for 20 minutes. After cooling, 150 ml of sterilized,
152 modified iron-free MM9 medium (Liermann et al., 2000a; Schwyn and Neilands, 1987) was
153 added aseptically. The medium composition was: 6.0 g l⁻¹ Na₂HPO₄, 0.3 g l⁻¹ KH₂PO₄, 0.5 g l⁻¹

154 NaCl, 1.0 g l⁻¹ NH₄Cl, and 6.06 g l⁻¹ (50 mM) TRIS buffer, prepared from ultrapure chemicals
155 and deionized water and buffered at pH 7.4. The medium was supplemented with 2% (v/v)
156 Chelex-100-treated 10% (w/v) casamino acids (Bio-Rad Laboratories, Difraco Laboratories,
157 respectively), 0.2% (v/v) 1M MgSO₄, 1% (v/v) filter-sterilized 20% (w/v) glucose, and 0.01%
158 (v/v) 1M CaCl₂, each prepared and sterilized separately. Some experiments were supplemented
159 with filter-sterilized 240 μM DFAM, or sterilized 0.1 g ml⁻¹ alginate or xanthan gum. Alginates
160 are polysaccharides produced by several species of bacteria and algae. These polymers contain
161 monomers of D-mannosyluronic and L-gulosyluronic acids (Budavari, 1996) or β-D-mannuronic
162 and α-L-guluronic acids (Larsen and Haug, 1971). Xanthan gum is produced by *Xanthomonas*
163 *campestris* and is composed of monomers of D-glucose, D-mannose, and D-glucuronic acid
164 (Sloneker and Jeanes, 1962). The mannuronic acid and guluronic acid monomers of alginate
165 have pKa's of 3.38 and 3.65, respectively and the glucose, mannose, and glucuronic acid
166 monomers of xanthan gum have pKa's of 12.28, 12.08, and ~2.9, respectively (Rohrer and
167 Olechno, 1992; Wang et al., 1991). The pKa's of these polymers' acid groups are below the
168 experimental pH of 7.4 indicating that they remain deprotonated during the experiments.
169 Although the protonation constants for DFAM are relatively high (pKa's of 8.50, 9.24, and 9.69),
170 protonation occurs at non-chelating amino groups and thus does not interfere with Fe(III)
171 chelation at pH values below the pKa's (Winkelmann, 1991).

172 Duplicate flasks for each of six conditions were set up. Abiotic conditions included 1)
173 controls (medium + planchets), 2) DFAM-only (medium + planchets + DFAM), 3) xanthan-only
174 (medium + planchets + xanthan gum), 4) alginate-only (medium + planchets + alginate), 4)
175 xanthan + DFAM (medium + planchets + xanthan gum + DFAM), and 5) alginate + DFAM
176 (medium + planchets + alginate + DFAM). **To determine whether direct attachment to mineral**

177 surfaces is required for EPS to affect dissolution, in the flasks with EPS (alginate or xanthan
178 gum) one of the two glass planchets was enclosed in 12 – 14,000 Dalton dialysis tubing. The
179 molecular weights of alginate and xanthan gum are about 240,000 and $> 10^6$ Daltons,
180 respectively (Budavari, 1996). Thus, the dialysis tubing prevented the polymers from contacting
181 the surfaces while permitting free flow of siderophores and other small molecules and ions. A
182 sixth set of 2 flasks contained live bacteria (medium + planchets + 2.0 ml of an inoculum of
183 stationary stage cultures of *Bacillus* sp.). Inocula contained 2.5×10^7 cells ml⁻¹, as counted on
184 streak plates. All experiments were incubated at room temperature for 46 days on a shaker table
185 continuously agitated at 120 rpm.

186 To monitor chemical changes over time and to replenish nutrients to sustain microbial
187 growth, solutions were aseptically sampled from each flask and replaced with equivalent
188 amounts of fresh medium \pm DFAM \pm EPS approximately once a week. Sampled solutions were
189 syringe-filtered through 0.2 μ m Nuclepore polycarbonate membranes and aliquots were
190 measured for pH immediately. Of the remaining filtered supernatant, 2 ml were frozen for
191 glucose analysis, and the remainder acidified to 1% with nitric acid for elemental analysis of Fe,
192 Al, and Si by inductively coupled plasma–atomic emission spectrometry (ICP-AES) and ICP–
193 mass spectrometry (ICP-MS).

194 After 47 days, the EPS experiments were terminated and the planchets were gently rinsed
195 with fresh MM9 medium followed by distilled and deionized water. Planchets were then imaged
196 using an FEI Quanta 2000 Environmental SEM operated at 5 °C and 4.5 – 5 Torr. Following
197 ESEM analysis, all planchets were ultrasonicated for 45 minutes in a 2% solution of sodium
198 dodecyl sulfate (SDS), then rinsed in distilled and deionized water and ultrasonicated for 30
199 minutes in spectroscopic grade acetone before air-drying. SDS has been shown to effectively

200 remove biomatter from hornblende glass without chemically or physically altering the surfaces
201 (Buss et al., 2003).

202 At the end of the bacteria-containing experiments, the bacteria in the solutions were
203 pelleted by centrifugation, dried overnight at 65°C, and weighed.

204

205 2.2 XPS

206 X-ray photoelectron spectroscopy (XPS) has been used to study a variety of chemical
207 changes at mineral surfaces such as the bioleaching of metals, leached layer formation, or
208 adsorption of organics (e.g., Balaz et al., 1996; Blight et al., 2000; Buss et al., 2003; Hamilton et
209 al., 2000; Kalinowski et al., 2000; Maurice et al., 2001).

210 Elemental concentrations of the upper ~100Å of 3 oval areas (1 x 0.7 mm each) of each of
211 the hornblende glass planchets was analyzed by XPS using a Kratos Analytical Axis ULTRA
212 XPS with a 1486.6 eV Al monochromatic X-ray source at 280 Watts at a takeoff angle of 90°
213 with respect to the sample plane. The three measurements for each individual planchet were
214 averaged. Prior to XPS analysis, samples were cleaned with spectroscopic grade acetone
215 followed by 15 minutes of ultraviolet ozone cleaning (UVOC) to remove organic contamination
216 (Kalinowski et al., 2000; Vig, 1992; Zazzera and Evans, 1993). Such contamination can distort
217 elemental ratios such as Fe/Si as measured by XPS (Buss et al., 2003).

218

219 2.3 AFM

220 All hornblende glass planchets were imaged in air with a Digital Instruments Dimension™
221 3100 Atomic Force Microscope in Tapping-Mode® (TM-AFM) using a tapping-mode etched
222 silicon probe tip (TESP-70) at a scan-rate of 0.75 – 1.00 Hz.

223 Three types of images were collected of each scan, including height images, showing
224 features both above and below the average surface level; amplitude images, showing only the
225 positive features; and phase-contrast images, revealing variations in surface adhesive properties
226 (Digital Instruments, 1997). Third-order plane fitting was performed on each image to eliminate
227 tilt and S-shaped bow distortions caused by curvature of the piezoelectric stylus, thermal drift, or
228 lateral forces (Ruppe and Duparee, 1996). Fifteen to 26 randomly chosen $100\ \mu\text{m}^2$ areas of each
229 surface were scanned in addition to $4 - 10\ \mu\text{m}^2$ areas, which were scanned to examine surface
230 features in detail.

231 The images were analyzed using Digital Instruments Nanoscope IIIa Controller
232 software to measure the dimensions of surface features and to calculate the root mean
233 square (RMS) roughness – the standard deviation of the height measurements relative to
234 the basal plane – for each image. Only $100\ \mu\text{m}^2$ scans at the same resolution (39 nm
235 lateral resolution) were compared because RMS roughness varies with scan size and
236 resolution (e.g., Mellott et al., 2002). Fifteen RMS roughness measurements were made
237 of the untreated, polished glass surfaces (blanks) to obtain a range of RMS roughness
238 values for the initial variations of the glass surfaces.

239 2.3 VSI

240 Mirau vertical scanning interferometry (VSI) is a light-optical technique that provides an
241 additional source of microtopographic data, providing approximately 1 nm vertical resolution in
242 white light mode. In green light mode (i.e., a narrow band of green light centered at 550 nm),
243 VSI has a vertical resolution of $0.7\ \text{\AA}$. Although VSI is commonly used in industry for quality
244 assurance applications, its use as a research tool is still relatively novel (Lasaga and Lutge,

245 2001; Lüttge et al., 1999). Scanning surfaces with light prevents many of the analytical artifacts
246 that result from the physical probing involved in AFM. Additionally, VSI can scan up to a 760 x
247 840 μm area with a vertical scan range of up to 100 μm , while AFM scans are limited to a
248 maximum of 90 x 90 μm with a vertical scan range of only $\sim 6 \mu\text{m}$. Although AFM suffers from
249 pixelization, which limits resolution to the pixel size (Digital Instruments, 1997), the maximum
250 lateral resolution of AFM (1-5 nm) is far superior to VSI (0.5-1.2 μm) making both techniques
251 indispensable and complementary tools for analyzing surfaces in detail. A thorough description
252 of the VSI technique is found in Lüttge et al. (1999).

253 A vertical scanning phase shift interferometer (MP8 8, ADE-Phase Shift, Tuscon) was used
254 to image the hornblende glass samples. For each sample, an overall scan of 800 x 600 μm was
255 made with a 10X objective followed by 25 scans of adjacent $\sim 124 \times 163 \mu\text{m}$ areas to form a 5 x
256 5 grid pattern using a 50X objective. The raw VSI data were analyzed using software we
257 developed to format the data and measure RMS roughness. Topographic height images, 3-D
258 plots, and cross-sectional traces were produced from the digitized interferograms of some scans
259 using MAPVUE software (ADE Phase Shift, Tuscon, Arizona).

260 **3. Results**

261 *3.1 Solution Chemistry*

262 Concentrations of Fe in the filtered solutions, [Fe], increased with time for all conditions
263 except those incubated with bacteria (Table 1, Figure 1a), for which [Fe] was below the lower
264 limit of detection (0.36 μM for [Fe] by ICP-AES). Release of Fe, Al, and Si in control, xanthan-
265 only, and alginate-only experiments was negligible (Figure 1). Release of these elements was

266 enhanced in all experiments containing DFAM, with alginate + DFAM experiments showing the
267 greatest release. By day 11, xanthan + DFAM experiments showed higher [Al] and [Si], but
268 approximately equal [Fe] compared to the DFAM-only experiments.

269 Throughout the experiments, changes in pH were negligible, with pH ranging from 7.2–7.6,
270 as compared to a starting pH of 7.4. Glucose levels in abiotic experiments remained relatively
271 constant ($>1500 \text{ mg l}^{-1}$). Only in the experiments with bacteria were fluctuations in glucose
272 levels observed, increasing to 600–680 mg l^{-1} immediately after replenishment with fresh
273 medium at each sampling time point and then dropping to 4.3–6.8 mg l^{-1} by the following week.
274 The drastic reductions in glucose levels between sampling days in the biotic solutions confirmed
275 that the bacteria remained viable for the duration of the experiments.

276

277 *3.2 Surface Chemistry*

278 Ratios of Fe/Si on polished hornblende glass surfaces are identical within error for
279 untreated blanks and abiotic controls incubated in medium for 39 days (Table 2, Figure 2). For
280 our analysis, ratios are considered “unchanged” when they fall within the range of the ratios
281 measured on the untreated blanks ($\text{Fe/Si} = 0.102 - 0.110, \pm 0.012$). This range of values includes
282 measurement errors of 5% for Si and 10% for Fe. This “blank range” (± 0.016) indicates sample
283 variability and is used as an estimate of error in the XPS analyses. DFAM-only experimental
284 surfaces have lower Fe/Si ratios (0.072 ± 0.016) than the blank range. Fe/Si ratios are
285 significantly lower on surfaces exposed to xanthan + DFAM, whether inside or outside the
286 dialysis bags (0.018 ± 0.016 and 0.038 ± 0.016 , respectively). In contrast, Fe/Si ratios on
287 xanthan-only experimental surfaces are unchanged. The surfaces exposed to alginate + DFAM
288 have unchanged Fe/Si ratios regardless of the dialysis bags. The alginate-only sample incubated

289 inside the bag also has an unchanged Fe/Si ratio but the sample incubated outside the bag has an
290 elevated Fe/Si ratio (0.154 ± 0.016).

291

292 3.3 *Etch Pits*

293 No evidence for widespread adsorption of EPS on surfaces was detected by ESEM. The
294 planchet exposed to alginate-only (and not protected by dialysis tubing) did rarely contain a few
295 strands of hydrated material within some of the larger polishing scratches: such strands were not
296 observed on any other samples.

297 In AFM images, surfaces from all experiments were observed to contain polishing
298 scratches, 7-30 nm deep and < 600 nm wide as seen previously (Buss et al., 2003). All surfaces
299 also contain very small pits < 20 nm deep. However, these pits were rare (0-3 pits per $100 \mu\text{m}^2$
300 scan) except on surfaces exposed to DFAM. Regardless of the sample treatment and pit size, etch
301 pits were roughly circular to oval-shaped. Thus, different treatments do not produce differently
302 shaped etch features as has been demonstrated for anisotropic materials such as crystals (e.g.,
303 Honess, 1929).

304 The control and EPS-only surfaces appear identical to the blanks as observed by AFM.
305 Specifically, these surfaces exhibit no distinguishable changes in the shapes or dimensions of the
306 etched polishing scratches or the bulk surfaces relative to the blanks. In contrast, some polishing
307 scratches appeared enlarged on the DFAM experiments as a result of etch pit formation along the
308 scratches (Figure 3); in addition, many etch pits were not associated with polishing scratches.
309 The DFAM-exposed surfaces also contained numerous (4–50 per $100 \mu\text{m}^2$ area), scattered, small
310 etch pits, measuring less than 450 nm wide and less than 60 nm deep. In fact, a $100 \mu\text{m}^2$ region

311 on any DFAM-treated planchet could not be scanned with the AFM without observing from 4 to
 312 20 or more pits.

313 Etch pits on surfaces exposed to alginate + DFAM or xanthan + DFAM are comparable in
 314 size and frequency to etch pits on DFAM-only surfaces regardless of dialysis tubing (Figures 3-
 315 4). The glass surfaces in the EPS experiments did not show any topographic variability according
 316 to presence or absence of dialysis tubing. In comparison to the DFAM-only surfaces, non-pitted
 317 regions of the surfaces exposed to alginate + DFAM or xanthan + DFAM appear more corroded
 318 in that the polishing features are more prominent (Figures 4-5).

319 The etch pits on the bacteria-exposed surfaces tended to be fewer (0-5 pits per 100 μm^2
 320 image), larger (~300–1800 nm wide, < 95 nm deep), and grouped together, unlike those observed
 321 on the DFAM experiments (Figures 3 and 6). Although etch pits also formed along the polishing
 322 scratches of the surfaces that were exposed to *Bacillus* sp., these pits were too few to impact the
 323 overall shape of the polishing scratches.

324

325 3.3 RMS Roughness

326 RMS roughness, is the root-mean-square average of height deviations from the average
 327 plane, calculated from the relative heights of each data point

$$RMS = \sqrt{\frac{(z_1^2 + z_2^2 + \dots + z_n^2)}{n}} \quad (1)$$

328 where z_i is the height difference relative to the mean plane for each point i and n is the total
 329 number of points measured. Fifteen AFM height images of the blanks (polished, un-treated glass
 330 surfaces) were collected and analyzed for RMS roughness (Table 2, Figure 7). The range of
 331 values for these blanks (2.83–5.36 nm) was used as a comparison to the glass surfaces exposed to

332 experimental solutions. Unusually large polishing scratches on all samples have larger RMS
333 roughness, and were therefore avoided during imaging.

334 Roughness values (AFM) measured on the control, alginate-only, and xanthan-only
335 experimental surfaces fall within the range of the blanks. All surfaces exposed to DFAM or
336 bacteria have elevated RMS roughness as follows: (alginate + DFAM) \approx bacteria $<$ DFAM-only
337 \ll (xanthan + DFAM). This ordering is similar to the magnitude of the surface Fe-depletion
338 documented by XPS: (alginate + DFAM) $<$ bacteria \approx DFAM-only \ll (xanthan + DFAM). No
339 such correlation was observed between RMS roughness and Al-depletion.

340 For control, bacteria, and DFAM-only surfaces, 25 adjacent 164 x 124 μm VSI scans were
341 performed to obtain a representative analysis of the surfaces. The larger VSI scans show
342 numerous etch pits on the DFAM-exposed surfaces (Figure 8), but due to the lower lateral
343 resolution of the VSI scans, the majority of the DFAM-pits visible in the AFM images ($<$ 450
344 nm wide) are not visible at the VSI scale. Many of the pits visible in the VSI scans may represent
345 etching at inherent glass defects (e.g., air bubbles; Buss et al., 2003) that were avoided during
346 AFM imaging. Rather than rely on visual analyses of these pits and other surface features, we
347 developed algorithms to calculate RMS roughness values from the raw numerical VSI data.
348 RMS-roughness values of the VSI images were more variable than RMS-roughness values
349 determined on AFM images, but followed the same general trend: controls $<$ bacteria $<$ DFAM,
350 confirming AFM results (Table 2).

351

352 **4. Discussion**

353 Characteristic etching and non-stoichiometric chemical changes demonstrate the coupled
354 physical-chemical nature of microbial dissolution. It has been established that siderophores

355 promote mineral dissolution (Cervini-Silva and Sposito, 2002; Cheah et al., 2003; Coccozza et al.,
356 2002; Hersman et al., 1995; Kraemer et al., 1999; Liermann et al., 2000b; Rosenberg and
357 Maurice, 2003) and we have now shown that siderophores can alter the topography of mineral
358 surfaces during dissolution. This is the first documented case in which the growth of etch pits in
359 response to siderophores has been observed. Etch pits either were nucleated by siderophores or,
360 if undetectable etch pits had previously nucleated, grew in the presence of siderophores.
361 However, the presence of pre-existing etch pits is unlikely because the surfaces were polished
362 prior to use in the experiments.

363 It has been well established that most microorganisms reside in biofilms, composed in large
364 part by EPS, and exist attached to surfaces in natural environments (e.g., Brisou, 1995; Watnick
365 and Kolter, 2000). Here we show that interaction of EPS with siderophores affects mineral
366 dissolution in a manner distinct from either substance alone.

367 To determine the extent of dissolution, we can compare the solution chemistry, etch pits,
368 surface roughness, and XPS data. For example, according to the solution chemistry data, release
369 of Fe was most enhanced by alginate + DFAM. This dissolution was close to stoichiometric
370 because the Fe/Si ratio of the surface was not changed from the blanks or controls (Figure 2). In
371 contrast, although the solution chemistry would suggest that xanthan + DFAM experiment did
372 not release the most Fe to solution, the surface roughness was significantly higher than for other
373 experiments, and the Fe/Si ratio measured by XPS was significantly lower. These surface
374 measurements indicate significant dissolution and preferential Fe release that was not evident
375 from the solution data alone.

376

377 *4.1 Surface Effects of Siderophores*

378 Differences in size, distribution, and number of etch pits on the DFAM-exposed surfaces as
379 compared to controls and other samples indicate that dissolution by siderophores promotes the
380 widespread growth of etch pits on the Fe-silicate glass surfaces. Although etch pits were
381 frequently seen along polishing scratches, the bulk surfaces (areas not marred by polishing
382 scratches) also contained numerous pits of comparable size. Etch pits contribute to surface
383 roughness and increased surface area, promoting further dissolution. The 240 μM concentration
384 of DFAM used in these experiments lies within the range of siderophore concentrations
385 estimated for soil solutions ($\sim 10 \mu\text{M}$ - mM, Hersman et al., 1995). The extensive pitting
386 produced by DFAM under these relatively dilute conditions demonstrates the potential
387 importance of siderophore-promoted pitting to mineral dissolution in the environment. Indeed,
388 etch pits have been observed on hornblende crystals in the environment (e.g., Anand and Gilkes,
389 1984; Berner and Schott, 1982; Brantley et al., 1993; Hall and Horn, 1993; Hall and Martin,
390 1986; Velbel, 1989).

391 Dissolution stoichiometries can be documented by changes in the ratio of Fe/Si on the
392 surfaces of the glasses. XPS evidence documents Fe depletion in the layer of glasses exposed to
393 bacteria or DFAM alone, consistent with previous experiments with *Bacillus* sp. and hornblende
394 crystal (Kalinowski et al., 2000) or hornblende glass (Buss et al., 2003) where Fe depletion was
395 also shown. Lateral resolution of XPS is poor: Kalinowski et al. used a spot size of 3 mm on
396 polished hornblende crystal and Buss et al. used a spot size of 700 μm on polished hornblende
397 glass. XPS yields mol % elemental composition averaged over some area and depth into the
398 surface, $\sim 100 \text{ \AA}$ depth based upon the angle of measurement. Therefore, if the depletion
399 observed on DFAM-only or bacteria-exposed experimental surfaces is localized to etch pits less
400 than 2 μm wide and up to 1 μm deep, the actual depletion at the pits may be much greater than

401 estimated based on XPS analyses. Such a possibility has been suggested for other phases: Berner
402 et al. (1985) proposed that localized dissolution via etch pit formation may explain apparent
403 discrepancies between XPS-based and solution chemistry-based estimations of feldspar leached-
404 layer thicknesses (Schott et al., 1981).

405

406 *4.2 Surface Effects of EPS*

407 Dissolution of hornblende glass (as measured by Si release, Figure 1c) was not enhanced
408 in the presence of EPS alone. This is consistent with observations by Welch and Vandevivere
409 (1994), who also saw no enhanced dissolution when incubating either alginate or xanthan gum
410 with feldspars. They did observe enhanced dissolution in the presence of fresh EPS extracted
411 from bacterial cultures in their laboratory, and proposed that low-molecular-weight metabolites
412 present in the fresh EPS may have contributed to dissolution. Likewise, Malinovskaya et al.
413 (1990) also found that EPS produced by *Bacillus mucilaginosus* only enhanced dissolution of
414 silicate minerals only when incubated with minerals in combination with low molecular-weight
415 metabolites such as organic acids. Similarly, in our experiments, EPS did not enhance release of
416 Fe to solution without the addition of a siderophore. Welch and Vandevivere (1994) concluded
417 that bacterial EPS may aid dissolution by affecting the affinity of reaction through complexation
418 of dissolved cations, thereby changing the saturation state of the cation with respect to the
419 dissolving solid.

420 Siderophores increase solubility by complexing aqueous Fe(III). Simulations of our
421 experiments run using Geochemist's Workbench 4.0 (Bethke, 2002; NIST, 1998) using the
422 thermodynamic database of Delany and Lundeen (1991) with added constants from NIST (1998)
423 showed that our experiments were consistent with this effect. Concentrations of elements in

424 solution for each experiment on each sampling day were entered into the simulations. The results
425 demonstrated that if the solution were allowed to equilibrate, control solutions (without DFAM)
426 would precipitate iron as a ferric mineral, whereas solutions with DFAM would retain all Fe(III)
427 as aqueous species.

428 In our experiments, when DFAM was added to alginate or xanthan gum, Fe release to
429 solution more than doubled over what was observed in the presence of the siderophore alone. A
430 similar effect was seen by Cervini-Silva and Sposito (2002) and Cheah et al. (2003) on goethite
431 dissolution when mixing the siderophore desferrioxamine-B with oxalate. In those studies,
432 dissolution in the presence of both the siderophore and oxalate was greater than the sum of the
433 dissolution effects of the two ligands alone. Siderophores have significantly higher affinity for
434 Fe(III) than does oxalate but due to their large size may be sterically hindered from forming
435 surface complexes as easily as oxalate. Cheah et al. (2003) concluded that the siderophores in
436 solution complexed Fe(III) from aqueous oxalate-Fe(III) complexes, freeing the oxalate ions to
437 complex additional surface-bound Fe(III). In this way, the siderophores effectively use oxalate as
438 an Fe shuttle.

439 Similarly, the presence of acetate led to an increase in the dissolution rate of amorphous
440 Cr(III) hydroxide by each of 6 different aminocarboxylate chelators (Carbonaro, 2005). In that
441 study, the adsorption of chelators onto the mineral surface was reduced at the same time that the
442 dissolution rate increased. Carbonaro (2005) proposed a mechanism by which a chelator
443 adsorbed to the Cr(III) hydroxide surface may more effectively remove a metal atom when
444 acetate is adsorbed to a neighboring metal atom because acetate may increase the rate of ligand
445 exchange on the bridging oxygen atom. Although acetate may not be a strong enough ligand to
446 measurably enhance the rate of dissolution alone, in this way it may aid dissolution by other,

447 stronger, ligands such as siderophores. Carbonaro (2005) also proposed a second mechanism in
448 which the adsorption of acetate alters the speciation of adsorbed chelators. In this scenario,
449 acetate occupies neighboring coordination sites, which deters chelators from forming
450 multinuclear surface complexes in favor of mononuclear complexes, which more effectively
451 remove the metal atoms from the surface, increasing the dissolution rate.

452 In our study, we see no evidence for strong adhesion or direct physical effects from EPS-
453 surface contact in ESEM or AFM images, or in surface Fe/Si ratios. Therefore, enhanced
454 dissolution and roughness cannot be attributed to direct contact with the polymer. Xanthan gum
455 is a complex polysaccharide polymer that forms highly viscous, gel-like solutions. The primary
456 monomers of the polysaccharide backbone are D-glucose, D-mannose, and D-glucuronic acid, but
457 the polymer also contains 4.7% acetic acid and 3.0-3.5% pyruvic acid by weight (Sloneker and
458 Jeanes, 1962). The acetic acid is present as an ester (Sloneker and Jeanes, 1962), which is
459 susceptible to hydrolysis at room temperature; thus xanthan gum is likely to release acetate ions
460 into solution. Both acetate and pyruvate are small enough (60.05 and 88.06 g mol⁻¹, respectively)
461 to pass easily through the dialysis tubing (12,000-14,000 Daltons) and may have interacted with
462 the surface and enhanced siderophore-promoted dissolution via one of the aforementioned
463 mechanisms (Carbonaro, 2005; Cheah et al., 2003). Removal of Fe could de-stabilize the glass
464 structure, enhancing overall dissolution in addition to discrete dissolution at etch pits.

465 Although monodentate ligands such as acetate are not as effective at enhancing mineral
466 dissolution as multidentate ligands such as oxalate, small increases in dissolution in the presence
467 of acetate have been recorded (e.g., Hamer et al., 2003; e.g., Miller et al., 1986). In similar
468 experiments using the same MM9 medium and constant agitation (as used here), Brantley et al.
469 (2004) documented increased iron release from hornblende crystal in the presence of acetate.

470 To investigate the possibility of acetate release from xanthan gum and alginate in our
471 experiments, we filtered 1-week old solutions of 0.1 g l⁻¹ alginate, 0.1 g l⁻¹ xanthan gum, and 240
472 μM DFAM, each with and without 240 μM acetic acid and analyzed them with an ion
473 chromatograph (Dionex 2010i) using a 0.005 M Na-borate eluent and compared to a 240 μM
474 acetic acid standard. Results were consistent with the presence of a LMWOA in solutions
475 containing alginate or xanthan gum (as well as in all solutions spiked with acetate for
476 comparison). Resolution of the peaks was not sufficient to positively identify acetate versus other
477 LMWOA's. Therefore, to confirm the presence of acetate in solutions containing alginate or
478 xanthan gum, samples of these polymers at 0.1 g l⁻¹ were filtered and analyzed on a gas
479 chromatograph mass spectrometer. Peaks were positively identified as acetate in the spectra for
480 both polymer samples. The absence of other LMWOA's was not verified and thus other
481 LMWOA's could have been present at much lower concentrations than acetate. However,
482 enhanced dissolution in the presence of a siderophore and a bidentate LMWOA such as oxalate
483 would likely be observed even at concentrations of a few micromolar oxalate (S. Kraemer, *pers.*
484 *comm.*).

485 Exopolysaccharides from a wide variety of bacteria have been shown to contain acetyl
486 groups, e.g., succinoglycan produced by the nitrogen-fixing soil bacterium *Sinorhizobium*
487 *meliloti* (González et al., 1996), the EPS of thermophilic *Streptococcus thermophilus* (Nordmark
488 et al., 2005), and the EPS of *Klebsiella aerogenes* (Atkins et al., 1987). Thus, siderophore-
489 promoted dissolution enhanced by EPS-derived acetate or other small organic moieties could be
490 an important component of biogeochemical iron cycling in a variety of bacterial biofilm systems.

491 Although general dissolution, as measured by Si release into solution, was enhanced by
492 combining DFAM and xanthan gum, Fe release into solution was not enhanced over that

493 observed in the presence of DFAM alone. In contrast, Fe release was more than doubled over
494 DFAM alone when DFAM was combined with alginate. However, XPS results show the Fe/Si
495 ratio to be extremely low on the xanthan + DFAM surfaces (Figure 2), indicating either a non-
496 stoichiometric, preferential loss of Fe or precipitation of Si onto the surface. Neither of these
497 possibilities was reflected in the solution data. If some Fe were trapped within, or complexed to
498 the polymer, it may have been filtered out of the solution before ICP-MS analysis. Considering
499 the highly viscous nature of the xanthan gum in solution at 0.1 g l^{-1} , this is plausible.
500 Furthermore, trivalent metal ions including Al^{3+} and Fe^{3+} can crosslink xanthan gum inducing
501 gelation (Sabine et al., 1992), which would have increased viscosity and Fe sequestration within
502 the polymer. In addition, removal of acetyl groups from bacterial EPS has been shown to
503 increase the viscosity and crystallinity of the polymer (Atkins et al., 1987; Sutherland, 1997;
504 Sutherland, 2001), which could also restrict movement of metal ions within the polymer.
505 Elevated Fe/Si ratios on surfaces exposed directly to alginate (without DFAM) may indicate
506 back precipitation of an Fe(III)-containing phase, demonstrating a lesser tendency to sequester
507 metals compared to xanthan gum.

508

509 *4.3 Surface Effects of Bacillus sp.*

510 In experiments containing *Bacillus sp.*, concentrations of Fe in solution that are below
511 detection are consistent with uptake of Fe by cells (Brantley et al., 2001). XPS data confirms
512 preferential removal of Fe from the glass surfaces exposed to bacteria (Figure 2).

513 Proton-promoted dissolution is an unlikely mechanism for etch pit formation in the
514 presence of bacteria in these experiments because 1) etch pits were not seen on control surfaces,
515 and 2) silicate dissolution should not be affected by the small pH changes observed within the

516 range of this study (7.2-7.6, White and Brantley, 1995). Therefore, the etch pits produced in the
517 presence of bacteria were likely caused by ligand-promoted dissolution. Although lower pH
518 values in microenvironments at the microbe-mineral interface can contribute to pitting (Barker
519 and Banfield, 1998), Liermann et al. (2000a) detected a pH change of less than 0.04 across
520 biofilms of *Bacillus* sp. grown in the same buffered medium used here in the presence of
521 hornblende.

522 The production of glycocalyx by *Bacillus* sp. grown in the presence of hornblende was
523 verified previously by Alcian Blue staining, which revealed a layer of acidic polysaccharides
524 surrounding the cells when grown in an iron-depleted medium with hornblende crystals
525 (Brantley et al., 2001). However, in the present experiments, *Bacillus* sp. did not produce enough
526 polymeric material to harvest for experimentation. Iron release in the DFAM and EPS
527 experiments cannot be directly compared to the *Bacillus* sp. experiments because these
528 experiments contain different siderophores and EPS in different quantities. Semi-quantitative
529 universal (Schwyn and Neilands, 1987) and catechol-specific (Arnou, 1937; Liermann et al.,
530 2000a; Neilands and Nakamura, 1991) siderophore assays on this strain of *Bacillus* sp. growing
531 in Fe-free MM9 medium for 3 weeks indicated approximately 180 - 200 μM catecholate
532 siderophore in the culture solutions (B. Kalinowski, *unpublished data*). Our abiotic siderophore
533 experiments contained 240 μM DFAM. The lower concentrations of EPS and siderophore in the
534 *Bacillus* sp. experiments compared to the alginate+DFAM or xanthan+DFAM experiments are
535 consistent with the trends observed in dissolution and roughness (Figure 1c and Figure 3).

536 The difference in size and distribution between the 'biopits' and the 'DFAM-pits' may be
537 related to the EPS produced by the bacteria. In contrast to those produced abiotically by DFAM
538 alone, the etch pits produced in the presence of *Bacillus* sp. are larger and more localized, often

539 forming small groups of pits (Figure 6). Additional components (besides polysaccharide) in the
540 *Bacillus* sp. EPS may have adhered more strongly to the surfaces than did alginate or xanthan
541 gum. Indeed, *Bacillus* sp. cells and cellular debris were observed by SEM and AFM,
542 respectively, on hornblende glass planchets rinsed with distilled and deionized water in our
543 previous work (Buss et al., 2003). Significant debris was not observed on planchets incubated
544 with alginate or xanthan gum, suggesting that these substances either did not adhere to the
545 surfaces or did not adhere strongly.

546 The initial step in the development of a biofilm is the adsorption of a conditioning film,
547 which may contain polysaccharides, but is thought to be primarily glycoproteins (e.g., Baier,
548 1980; Characklis, 1989). This film is dynamic, that is, constantly exchanging with solution
549 molecules, and may be heterogeneously distributed over the surface (Characklis, 1989). *Bacillus*
550 sp. likely colonized the hornblende glass surfaces by secreting a glycoprotein conditioning film
551 for the biofilm to adhere to. This film would not have formed in the alginate and xanthan gum
552 experiments.

553 Colonization-related pitting has been documented on silicate minerals before. For example,
554 in a study of natural basaltic glass, irregular localized pitting was observed after incubation for
555 181 days in seawater while the majority of the surface appeared unaltered (Thorseth et al., 1995).
556 This pitting was presumably caused by a consortia of bacteria attached to the glass surfaces that
557 were observed using SEM and TEM. Similarly, when cyanobacteria were grown on polished
558 glass, Staudigel et al. (1995) observed irregularly shaped, localized etch pits clustered along
559 zones parallel to polishing scratches. And Bennett et al. (1996a) also observed significant etching
560 in microbially colonized regions of microcline surfaces, but no etching on the uncolonized
561 regions.

562 Enzymes, molecules, and ions become concentrated in EPS, which limits their diffusion
563 into solution (Madigan et al., 2000; Morel and Palenik, 1989; Roane and Kellogg, 1996). It
564 follows that a biofilm would contain a higher concentration of siderophores than the bulk
565 solution. The viscosity of EPS may restrict movement of siderophores and other ligands; in a
566 strongly adhering biofilm, this could explain the localization of the biopits. The large biopits
567 could therefore represent assemblages of the same-sized pits as on the DFAM-exposed surfaces.
568 Therefore, EPS may be instrumental in weathering minerals because they sorb to surfaces and
569 create micro-environments with higher concentrations of ligands in close proximity to mineral
570 surfaces. Our experiments with siderophores and EPS highlight additional mechanisms by which
571 microbial communities can interact with surfaces, contributing to chemical weathering of silicate
572 minerals.

573 **5. Conclusions**

574 **This study is the first to document the growth of etch pits during siderophore-promoted**
575 **dissolution.** The widespread pitting and enhanced Fe release from siderophore-exposed surfaces
576 is consistent with chelation of Fe by siderophores, which is likely responsible for the etch pits
577 formed in the presence of *Bacillus* sp. at near-neutral pH. Small, approximately circular pits
578 formed on hornblende glass dissolved with DFAM document that siderophore-promoted
579 dissolution is localized to pits that are ubiquitous on the surface and contribute to an increase in
580 surface roughness. EPS may contribute to dissolution by providing a sink for released ions,
581 enhancing apparent solubilities; by providing additional ligands that act as Fe-shuttles for
582 siderophores; or by releasing additional ligands (such as acetate) that could alter the speciation of
583 siderophore-surface complexes or accelerate ligand exchange on surface atoms. When sorbed
584 strongly to surfaces via a conditioning film, microbial EPS may affect the size and distribution of

585 ligand-produced etch pits. Widespread pitting on siderophore-exposed surfaces demonstrates the
586 ability of siderophores to alter surface morphology. By comparison, the distribution and size of
587 microbial etch pits suggest that the ‘biopits’ may be caused by siderophores concentrated in
588 biofilms. Non-stoichiometric depletion of Fe on surfaces exposed to bacteria supports the
589 interpretation of localized dissolution by metal-specific ligands. Mineral surface features such as
590 etch pits provide non-exclusive evidence of microbial activity but may, when used in
591 combination with other biomarkers, provide clues to the character of microbial communities.

592

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602

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824

825 **Figure Captions**

826 **Figure 1.** Release of Fe, Al, or Si (μM) from Fe-silicate glass surfaces to MM9 medium. **(a)** The
827 [Fe] in the bacteria-containing experiments is below the lower detection limits of the ICP-AES,
828 consistent with uptake of Fe by the bacteria. Fe release was comparable in all solutions without
829 DFAM, whereas the DFAM-containing solutions enhanced Fe release. Alginate + DFAM

830 solutions showed the greatest release. Similar trends are seen for **(b)** Al release and **(c)** Si release,
831 except these elements were not completely removed from solution by the bacteria.

832

833 **Figure 2.** Fe/Si ratios of the upper ~ 100 Å of the hornblende glass surfaces measured by XPS.

834 Error in the XPS measurements is estimated to be about 5% for Si and 10% for Fe.

835 Measurements on replicate samples are shown as averages except for the blanks and controls, for
836 which all replicates are shown. Sample variability is greater than the XPS measurement error for

837 blanks: the highest and lowest Fe/Si ratios are bracketed by lines for the two blanks. This

838 variability is used as the error on the samples. Standard deviations of the averaged values are

839 within this variability range. The x-axis is arbitrary. Sample codes: A = alginate, X = xanthan

840 gum, D = DFAM, B = inside dialysis bag, control = growth media only.

841

842 **Figure 3.** AFM height images of 10×10 μm areas of hornblende glass planchets. The vertical

843 scale is ± 200 nm from the average plane (gray). Lighter and darker areas represent positive and

844 negative topography, respectively, relative to the average plane of the surface. **(a)** Starting

845 surfaces, or “blanks,” were polished but not incubated in solution. AFM images of blanks reveal

846 polishing scratches visible on all sample surfaces. **(b)** Control surfaces were polished and

847 incubated in MM9 growth medium for 46 days and appear unchanged relative to blanks. **(c)**

848 Surfaces exposed $240 \mu\text{M}$ DFAM in MM9 medium for 46 days reveal numerous, widely

849 distributed etch pits (< 450 nm wide, < 60 nm deep). Pits were seen on un-scratched areas of the

850 surfaces as well as along polishing scratches.

851

852 **Figure 4.** AFM height images of 10 x 10 μm areas of hornblende glass planchets. The vertical
853 scale is ± 200 nm from the average plane. Lighter and darker areas represent positive and
854 negative topography, respectively, relative to the average plane of the surface. **(a)** On surfaces
855 incubated in MM9 medium with xanthan gum or **(b)** alginate, polishing scratches appear slightly
856 more prominent than on control and blank surfaces (Fig. 3a-b). **(c)** When the siderophore DFAM
857 was combined with xanthan gum or **(d)** with alginate, etch pits, enlarged polishing scratches and
858 more prominently etched background texture was observed in AFM images.

859

860 **Figure 5.** Cross sections of AFM imaged surfaces exposed to **(a)** xanthan gum or **(b)** xanthan
861 gum and the siderophore DFAM. The white lines on the AFM images at the right of the figure
862 indicate the locations of the cross sections. The vertical scale is ± 50 nm.

863

864 **Figure 6. (a)** and **(b)** AFM height images of 10 x 10 μm areas of hornblende glass surfaces after
865 incubation with *Bacillus* sp. Vertical scale is ± 200 nm from the average plane, which is
866 represented by gray. Lighter and darker areas represent positive and negative topography,
867 respectively, relative to the average plane of the surface. The etch pits caused by the bacteria
868 tend to form in groups as shown here, leaving most of the surface un-pitted. Such “biopits” also
869 tend to be larger than those formed in abiotic experiments (Figs. 3-4).

870

871 **Figure 7.** Box and whisker statistical plot of root-mean-squared (RMS) roughness measured by
872 AFM on polished Fe-silicate sample surfaces. Sample codes: A = alginate, X = xanthan gum, D
873 = DFAM, B = inside dialysis bag, Bac = bacteria, Con = control (growth media only). Dotted
874 lines indicate the RMS roughness range of the untreated starting surfaces (blanks) measured on

875 15 surfaces. Boxes represent 25-75% of the data, whiskers (vertical lines) indicate 5-95% of the
876 data, X symbols bracket the range between 1 and 99% of the data and fall coincident with the
877 dash (–) symbols, which indicate the maximum and minimum values. Solid squares (■)
878 represent the mean.

879

880 **Figure 8.** VSI height image of a 164 x 124 μm area of a hornblende glass planchet incubated in
881 240 μM DFAM in MM9 growth medium. Vertical scale is ± 50 nm from the average plane. As
882 with the AFM height images, the lighter and darker areas represent positive and negative
883 topography, respectively, relative to the average plane of the surface, which is gray. The white
884 square in the bottom left corner of the image indicates the average size of the AFM scans (10 x
885 10 μm).

886

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Revision for Chemical Geology

2

**Etch pit formation on iron silicate surfaces during siderophore-promoted
dissolution**

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4

Heather L. Buss^{a*1}, Andreas Lüttge^b, and Susan L. Brantley^c

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^aDepartment of Geosciences, the Pennsylvania State University, University Park,

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Pennsylvania 18802 USA, hlbuss@usgs.gov

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^bDepartment of Earth Science MS-126, Rice University, 6100 Main Street, Houston, Texas

9

77005 USA, aluttge@rice.edu

10

^cEarth and Environmental Systems Institute, the Pennsylvania State University, University

11

Park, Pennsylvania 16802 USA, brantley@eesi.psu.edu

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*Corresponding author, email: hlbuss@usgs.gov, tel: 650-329-4420, fax: 650-329-4538

15

¹Present address: U.S. Geological Survey, Water Resources Discipline, 345 Middlefield Rd.,

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MS 420, Menlo Park, California 94025 USA

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Abstract

Understanding the effects of microbiota on mineral alteration requires the ability to recognize evidence of bacteria-promoted dissolution on mineral surfaces. Although siderophores are known to promote mineral dissolution, their effects on mineral surfaces are not well known. We have utilized atomic force microscopy (AFM), X-ray photoelectron spectroscopy (XPS), and Mirau vertical scanning interferometry (VSI) to investigate surfaces after incubation with the siderophore desferrioxamine-B mesylate (DFAM) and under colonies of bacteria. Iron-silicate glass planchets chemically similar to hornblende were incubated in buffered growth medium with siderophore-producing bacteria (*Bacillus* sp.) for 46 days with parallel abiotic experiments conducted with and without 240 μM DFAM, with and without 0.01 g l^{-1} of microbially produced extracellular polysaccharides (EPS, alginate or xanthan gum). Some glass planchets were protected by dialysis tubing from direct contact with the EPS. Weekly sampling and analysis of all filtered sample solutions showed negligible Fe and Al release in the control experiments and significant release of Fe and Al in the presence of DFAM, with negligible changes in pH. Concentration of Fe in the filtered solutions after incubation with bacteria was below detection, consistent with uptake of Fe by cells. Release of Fe, Al, and Si in control, xanthan-only, and alginate-only experiments was negligible. Release of these elements was enhanced in all experiments containing DFAM, and greatest in alginate + DFAM experiments.

AFM and VSI analyses reveal widespread, small etch pits and greater root mean squared roughness on siderophore-exposed surfaces and fewer, localized, larger etch pits on bacteria-exposed surfaces. This is the first documented case of etch pit development during siderophore-promoted dissolution. Roughness was not affected by the growth medium, alginate, or xanthan gum alone. The roughness trends among samples correlate with trends in Fe depletion

41 documented by XPS. Enhanced dissolution and roughness cannot be attributed to direct contact
42 with EPS because no significant chemical or physical differences were observed between
43 surfaces directly exposed to EPS and those protected by dialysis tubing. Acetate released from
44 the EPS may have enhanced the siderophore-promoted dissolution. Siderophores produced by
45 *Bacillus* sp. may be responsible for some of the ‘biopits.’ The difference in size and distribution
46 of the biopits may be related to colonization.

47

48 **Keywords:** siderophores, etch pits, hornblende, desferrioxamine, biofilms

49

50 **1. Introduction**

51 *1.1 Surface Colonization*

52 Microbial colonization of mineral surfaces is rapid and extensive in aqueous and soil
53 environments because organic macromolecules adsorb to surfaces and form a layer that
54 encourages attachment of microorganisms (Baier, 1980; Brisou, 1995; Characklis, 1989; Little et
55 al., 1997). As a result, free microorganisms represent only 0.1 to 1.0 % of total microorganisms
56 in an aquatic ecosystem, with the remainder of the microorganisms attached to surfaces (Brisou,
57 1995; Madigan et al., 2000).

58 To colonize a surface, microorganisms form large aggregates of cells, proteins, lectins, and
59 polysaccharides, collectively termed “biofilms” (e.g., Brisou, 1995; Little et al., 1997; e.g.,
60 Wilderer and Characklis, 1989). A number of researchers have documented the attachment of
61 microorganisms to mineral surfaces via the formation of a biofilm (e.g., Barker et al., 1998; e.g.,
62 Thorseth et al., 1995). The nutrient content of mineral surfaces drives the attachment (Bennett et
63 al., 1996a; Brisou, 1995; Madigan et al., 2000). In fact, in environments depleted in one or more

64 nutrients, microorganisms preferentially colonize mineral surfaces containing essential macro- or
65 micronutrients (Bennett et al., 1996a; Grantham and Dove, 1996; Kalinowski et al., 2000; Rogers
66 et al., 1998; Sawyer and Hermanowicz, 1998).

67 The effects of colonization on mineral surfaces remain, for the most part, un-quantified.
68 Effects such as the formation of etch pits by microorganisms on mineral surfaces are of interest
69 as potential biosignatures. Several researchers have documented etch pits on colonized mineral
70 surfaces using scanning electron, transmission electron, vertical scanning interferometry or
71 atomic force microscopies (SEM, TEM, VSI, AFM, respectively). Barker et al. (1998) and
72 Rogers et al. (1998) saw etch pits on feldspars near attached microbial colonies. Fisk et al.
73 (1998) observed remnants of cells within etched channels on basaltic glass collected from the sea
74 floor and found the etchings to be consistent with microbial weathering. Similarly, Furnes et al.
75 (2004) found tubular and segmented etchings that were likely microbial in origin on formerly
76 glassy rims of Archean pillow basalts. Irregular etchings on hematite particles (Maurice et al.,
77 1996) and muscovite surfaces (Maurice et al., 2001) were observed after incubation with bacteria
78 in laboratory and field experiments, respectively. Others have documented etch pits on surfaces
79 from which colonies have been removed (Bennett et al., 1996a; Thorseth et al., 1995). Whether
80 the etch pits were formed by way of direct cellular attachment or chemical interactions with one
81 or more microbial exudates is unknown. Conversely, Lüttge and Conrad (2004) found bacteria to
82 inhibit etch pit formation on calcite surfaces.

83 Microorganisms produce and secrete a variety of substances that may influence mineral
84 dissolution by lowering pH, by complexing with surface or solution ions, or by catalyzing redox
85 reactions. Some of these substances include enzymes, alcohols, low molecular weight organic
86 acids (LMWOA), high molecular weight extracellular polymeric substances (EPS), and highly

87 Fe(III)-specific ligands called siderophores. Some high affinity ligands may also be released to
88 extract other metals (e.g., Liermann et al., 2005).

89 The EPS that bacteria secrete are primarily composed of glycocalyx, which are primarily
90 polysaccharides and serve to anchor and give structure to the biofilm and to concentrate and
91 store enzymes, ions, other bioessential molecules, and heavy metals (Brisou, 1995; Madigan et
92 al., 2000; Morel and Palenik, 1989; Roane and Kellogg, 1996; Templeton et al., 2003).

93

94 *1.2 Siderophores*

95 Most microorganisms need $\sim\mu\text{M}$ concentrations of Fe to thrive (Neilands, 1995).

96 Fe(III)-oxides (including oxides, oxyhydroxides, and hydrated oxides), specifically
97 goethite ($\alpha\text{-FeOOH}$), are the dominant forms of Fe in most aerobic soils (Hersman,
98 2000). Fe in these secondary minerals ultimately derives from the common rock-forming
99 Fe-silicates: olivines, pyroxenes, amphiboles (notably hornblende), and biotite (Allen and
100 Hajek, 1989; Huang, 1989). In soils containing these primary Fe minerals, the actions of
101 microorganisms may affect silicate weathering rates (e.g., Bennett et al., 1996b; Buss et
102 al., 2005; Liermann et al., 2000b) with implications for the global regulation of CO_2 over
103 geologic timescales (e.g., Berner, 1995). However, the low solubility products of most
104 Fe-minerals and especially Fe(III)-oxides, limit the aqueous Fe concentration at
105 equilibrium and near-neutral pH to as low as 10^{-17} M in inorganic solutions
106 (Schwartzman and Volk, 1991). Many microorganisms have evolved the ability to
107 produce siderophores in order to overcome the ~ 10 orders of magnitude difference
108 between available Fe and Fe needed for metabolism (Hersman, 2000).

109 Typical aqueous siderophore concentrations in nature are estimated to range from
110 approximately equal to as much as three orders of magnitude less than concentrations of
111 other chelators in soils, such as LMWOA (Hersman et al., 1995; Hersman, 2000;
112 Kalinowski et al., 2000). LMWOA can increase weathering via proton- or ligand-
113 promoted dissolution. However, siderophores have greater affinity for Fe than LMWOA
114 and previous studies have shown that siderophores are more effective than LMWOA for
115 inducing release of Fe(III) from minerals at near neutral pH (Brantley et al., 2001;
116 Holmen and Casey, 1996; Kalinowski et al., 2000).

117 Here we investigate the effects on Fe-silicate surfaces of siderophores, EPS, and
118 microorganisms that only use Fe as a micronutrient (i.e., that do not respire Fe). We
119 performed batch dissolution experiments in which flasks containing a polished Fe-silicate
120 substrate and an Fe-free, buffered, pH-neutral growth medium were each supplemented
121 with desferrioxamine-B mesylate (DFAM, the salt of a commercially available
122 siderophore) or a strain of *Bacillus* sp., an obligately aerobic soil bacterium that produces
123 an acidic glycocalyx (Brantley et al., 2001) and a catecholate siderophore (Kalinowski et
124 al., 2000). To more specifically investigate the influence of EPS on siderophore-
125 promoted dissolution, we also incubated hornblende glass planchets in batch experiments
126 with alginate or xanthan gum (Sigma), two commercially available extracellular
127 polysaccharides, with and without DFAM.

128 Surfaces were analyzed with AFM, Mirau vertical scanning interferometry (VSI), and XPS
129 to document changes in microtopography and chemistry of the surfaces. Solution analyses were
130 performed to document glucose consumption, pH changes, and Fe, Al, and Si release.

131

132 **2. Materials and Methods**133 *2.1 Experimental Setup*

134 In order to isolate biogenic features from mineralogical features (e.g., heterogeneities
135 among crystals, preferential dissolution of inclusions or along crystal grain boundaries), glass
136 planchets were synthesized with a composition similar to the Fe-silicate mineral hornblende
137 (Liermann et al., 2000a) and polished to provide a smooth, chemically analogous substrate. The
138 composition of this “hornblende glass” (in wt%: 48.2% SiO₂, 14.5% Al₂O₃, 11.0% Fe₂O₃, 8.33%
139 CaO, 10.9% MgO, 2.31% Na₂O, 0.51% K₂O, where Fe₂O₃ includes Fe(II) and Fe(III)) was
140 determined using the lithium metaborate fusion technique (Medlin et al., 1969; Suhr and
141 Ingamells, 1966) and inductively coupled plasma atomic emission spectrometry (ICP-AES). The
142 glass was cut into planchets approximately 1 × 1 × 0.5 cm with a diamond blade and polished
143 with diamond slurries to 0.25 μm. Polished samples were ultrasonicated in acetone for 10
144 minutes, air-dried, and stored in a dessicator.

145 The soil bacterium selected for this study was previously identified as an *Arthrobacter* but
146 was subsequently found to be a *Bacillus* sp. (Buss et al., 2003). This bacterium was isolated from
147 a hornblende-containing soil from Gore Mountain, New York, and has been shown to grow
148 vigorously and produce siderophores in Fe-deficient growth medium in the presence of
149 hornblende or hornblende glass (Brantley et al., 2001; Kalinowski et al., 2000; Liermann et al.,
150 2000a). Two polished hornblende glass planchets were placed in each 500-ml glass culture flask
151 and sterilized by autoclaving at 250°C for 20 minutes. After cooling, 150 ml of sterilized,
152 modified iron-free MM9 medium (Liermann et al., 2000a; Schwyn and Neilands, 1987) was
153 added aseptically. The medium composition was: 6.0 g l⁻¹ Na₂HPO₄, 0.3 g l⁻¹ KH₂PO₄, 0.5 g l⁻¹

154 NaCl, 1.0 g l⁻¹ NH₄Cl, and 6.06 g l⁻¹ (50 mM) TRIS buffer, prepared from ultrapure chemicals
155 and deionized water and buffered at pH 7.4. The medium was supplemented with 2% (v/v)
156 Chelex-100-treated 10% (w/v) casamino acids (Bio-Rad Laboratories, Difracco Laboratories,
157 respectively), 0.2% (v/v) 1M MgSO₄, 1% (v/v) filter-sterilized 20% (w/v) glucose, and 0.01%
158 (v/v) 1M CaCl₂, each prepared and sterilized separately. Some experiments were supplemented
159 with filter-sterilized 240 μM DFAM, or sterilized 0.1 g ml⁻¹ alginate or xanthan gum. Alginates
160 are polysaccharides produced by several species of bacteria and algae. These polymers contain
161 monomers of D-mannosyluronic and L-gulosyluronic acids (Budavari, 1996) or β-D-mannuronic
162 and α-L-guluronic acids (Larsen and Haug, 1971). Xanthan gum is produced by *Xanthomonas*
163 *campestris* and is composed of monomers of D-glucose, D-mannose, and D-glucuronic acid
164 (Sloneker and Jeanes, 1962). The mannuronic acid and guluronic acid monomers of alginate
165 have pKa's of 3.38 and 3.65, respectively and the glucose, mannose, and glucuronic acid
166 monomers of xanthan gum have pKa's of 12.28, 12.08, and ~2.9, respectively (Rohrer and
167 Olechno, 1992; Wang et al., 1991). The pKa's of these polymers' acid groups are below the
168 experimental pH of 7.4 indicating that they remain deprotonated during the experiments.
169 Although the protonation constants for DFAM are relatively high (pKa's of 8.50, 9.24, and 9.69),
170 protonation occurs at non-chelating amino groups and thus does not interfere with Fe(III)
171 chelation at pH values below the pKa's (Winkelmann, 1991).

172 Duplicate flasks for each of six conditions were set up. Abiotic conditions included 1)
173 controls (medium + planchets), 2) DFAM-only (medium + planchets + DFAM), 3) xanthan-only
174 (medium + planchets + xanthan gum), 4) alginate-only (medium + planchets + alginate), 4)
175 xanthan + DFAM (medium + planchets + xanthan gum + DFAM), and 5) alginate + DFAM
176 (medium + planchets + alginate + DFAM). To determine whether direct attachment to mineral

177 surfaces is required for EPS to affect dissolution, in the flasks with EPS (alginate or xanthan
178 gum) one of the two glass planchets was enclosed in 12 – 14,000 Dalton dialysis tubing. The
179 molecular weights of alginate and xanthan gum are about 240,000 and $> 10^6$ Daltons,
180 respectively (Budavari, 1996). Thus, the dialysis tubing prevented the polymers from contacting
181 the surfaces while permitting free flow of siderophores and other small molecules and ions. A
182 sixth set of 2 flasks contained live bacteria (medium + planchets + 2.0 ml of an inoculum of
183 stationary stage cultures of *Bacillus* sp.). Inocula contained 2.5×10^7 cells ml⁻¹, as counted on
184 streak plates. All experiments were incubated at room temperature for 46 days on a shaker table
185 continuously agitated at 120 rpm.

186 To monitor chemical changes over time and to replenish nutrients to sustain microbial
187 growth, solutions were aseptically sampled from each flask and replaced with equivalent
188 amounts of fresh medium \pm DFAM \pm EPS approximately once a week. Sampled solutions were
189 syringe-filtered through 0.2 μ m Nuclepore polycarbonate membranes and aliquots were
190 measured for pH immediately. Of the remaining filtered supernatant, 2 ml were frozen for
191 glucose analysis, and the remainder acidified to 1% with nitric acid for elemental analysis of Fe,
192 Al, and Si by inductively coupled plasma–atomic emission spectrometry (ICP-AES) and ICP–
193 mass spectrometry (ICP-MS).

194 After 47 days, the EPS experiments were terminated and the planchets were gently rinsed
195 with fresh MM9 medium followed by distilled and deionized water. Planchets were then imaged
196 using an FEI Quanta 2000 Environmental SEM operated at 5 °C and 4.5 – 5 Torr. Following
197 ESEM analysis, all planchets were ultrasonicated for 45 minutes in a 2% solution of sodium
198 dodecyl sulfate (SDS), then rinsed in distilled and deionized water and ultrasonicated for 30
199 minutes in spectroscopic grade acetone before air-drying. SDS has been shown to effectively

200 remove biomatter from hornblende glass without chemically or physically altering the surfaces
201 (Buss et al., 2003).

202 At the end of the bacteria-containing experiments, the bacteria in the solutions were
203 pelleted by centrifugation, dried overnight at 65°C, and weighed.

204

205 2.2 XPS

206 X-ray photoelectron spectroscopy (XPS) has been used to study a variety of chemical
207 changes at mineral surfaces such as the bioleaching of metals, leached layer formation, or
208 adsorption of organics (e.g., Balaz et al., 1996; Blight et al., 2000; Buss et al., 2003; Hamilton et
209 al., 2000; Kalinowski et al., 2000; Maurice et al., 2001).

210 Elemental concentrations of the upper $\sim 100\text{\AA}$ of 3 oval areas (1 x 0.7 mm each) of each of
211 the hornblende glass planchets was analyzed by XPS using a Kratos Analytical Axis ULTRA
212 XPS with a 1486.6 eV Al monochromatic X-ray source at 280 Watts at a takeoff angle of 90°
213 with respect to the sample plane. The three measurements for each individual planchet were
214 averaged. Prior to XPS analysis, samples were cleaned with spectroscopic grade acetone
215 followed by 15 minutes of ultraviolet ozone cleaning (UVOC) to remove organic contamination
216 (Kalinowski et al., 2000; Vig, 1992; Zazzera and Evans, 1993). Such contamination can distort
217 elemental ratios such as Fe/Si as measured by XPS (Buss et al., 2003).

218

219 2.3 AFM

220 All hornblende glass planchets were imaged in air with a Digital Instruments Dimension™
221 3100 Atomic Force Microscope in Tapping-Mode® (TM-AFM) using a tapping-mode etched
222 silicon probe tip (TESP-70) at a scan-rate of 0.75 – 1.00 Hz.

223 Three types of images were collected of each scan, including height images, showing
224 features both above and below the average surface level; amplitude images, showing only the
225 positive features; and phase-contrast images, revealing variations in surface adhesive properties
226 (Digital Instruments, 1997). Third-order plane fitting was performed on each image to eliminate
227 tilt and S-shaped bow distortions caused by curvature of the piezoelectric stylus, thermal drift, or
228 lateral forces (Ruppe and Duparee, 1996). Fifteen to 26 randomly chosen $100\ \mu\text{m}^2$ areas of each
229 surface were scanned in addition to $4 - 10\ \mu\text{m}^2$ areas, which were scanned to examine surface
230 features in detail.

231 The images were analyzed using Digital Instruments Nanoscope IIIa Controller
232 software to measure the dimensions of surface features and to calculate the root mean
233 square (RMS) roughness – the standard deviation of the height measurements relative to
234 the basal plane – for each image. Only $100\ \mu\text{m}^2$ scans at the same resolution (39 nm
235 lateral resolution) were compared because RMS roughness varies with scan size and
236 resolution (e.g., Mellott et al., 2002). Fifteen RMS roughness measurements were made
237 of the untreated, polished glass surfaces (blanks) to obtain a range of RMS roughness
238 values for the initial variations of the glass surfaces.

239 2.3 VSI

240 Mirau vertical scanning interferometry (VSI) is a light-optical technique that provides an
241 additional source of microtopographic data, providing approximately 1 nm vertical resolution in
242 white light mode. In green light mode (i.e., a narrow band of green light centered at 550 nm),
243 VSI has a vertical resolution of $0.7\ \text{\AA}$. Although VSI is commonly used in industry for quality
244 assurance applications, its use as a research tool is still relatively novel (Lasaga and Luttge,

245 2001; Lüttge et al., 1999). Scanning surfaces with light prevents many of the analytical artifacts
246 that result from the physical probing involved in AFM. Additionally, VSI can scan up to a 760 x
247 840 μm area with a vertical scan range of up to 100 μm , while AFM scans are limited to a
248 maximum of 90 x 90 μm with a vertical scan range of only $\sim 6 \mu\text{m}$. Although AFM suffers from
249 pixelization, which limits resolution to the pixel size (Digital Instruments, 1997), the maximum
250 lateral resolution of AFM (1-5 nm) is far superior to VSI (0.5-1.2 μm) making both techniques
251 indispensable and complementary tools for analyzing surfaces in detail. A thorough description
252 of the VSI technique is found in Lüttge et al. (1999).

253 A vertical scanning phase shift interferometer (MP8 8, ADE-Phase Shift, Tuscon) was used
254 to image the hornblende glass samples. For each sample, an overall scan of 800 x 600 μm was
255 made with a 10X objective followed by 25 scans of adjacent $\sim 124 \times 163 \mu\text{m}$ areas to form a 5 x
256 5 grid pattern using a 50X objective. The raw VSI data were analyzed using software we
257 developed to format the data and measure RMS roughness. Topographic height images, 3-D
258 plots, and cross-sectional traces were produced from the digitized interferograms of some scans
259 using MAPVUE software (ADE Phase Shift, Tuscon, Arizona).

260 **3. Results**

261 *3.1 Solution Chemistry*

262 Concentrations of Fe in the filtered solutions, [Fe], increased with time for all conditions
263 except those incubated with bacteria (Table 1, Figure 1a), for which [Fe] was below the lower
264 limit of detection (0.36 μM for [Fe] by ICP-AES). Release of Fe, Al, and Si in control, xanthan-
265 only, and alginate-only experiments was negligible (Figure 1). Release of these elements was

266 enhanced in all experiments containing DFAM, with alginate + DFAM experiments showing the
267 greatest release. By day 11, xanthan + DFAM experiments showed higher [Al] and [Si], but
268 approximately equal [Fe] compared to the DFAM-only experiments.

269 Throughout the experiments, changes in pH were negligible, with pH ranging from 7.2–7.6,
270 as compared to a starting pH of 7.4. Glucose levels in abiotic experiments remained relatively
271 constant ($>1500 \text{ mg l}^{-1}$). Only in the experiments with bacteria were fluctuations in glucose
272 levels observed, increasing to 600–680 mg l^{-1} immediately after replenishment with fresh
273 medium at each sampling time point and then dropping to 4.3–6.8 mg l^{-1} by the following week.
274 The drastic reductions in glucose levels between sampling days in the biotic solutions confirmed
275 that the bacteria remained viable for the duration of the experiments.

276

277 *3.2 Surface Chemistry*

278 Ratios of Fe/Si on polished hornblende glass surfaces are identical within error for
279 untreated blanks and abiotic controls incubated in medium for 39 days (Table 2, Figure 2). For
280 our analysis, ratios are considered “unchanged” when they fall within the range of the ratios
281 measured on the untreated blanks ($\text{Fe/Si} = 0.102 - 0.110, \pm 0.012$). This range of values includes
282 measurement errors of 5% for Si and 10% for Fe. This “blank range” (± 0.016) indicates sample
283 variability and is used as an estimate of error in the XPS analyses. DFAM-only experimental
284 surfaces have lower Fe/Si ratios (0.072 ± 0.016) than the blank range. Fe/Si ratios are
285 significantly lower on surfaces exposed to xanthan + DFAM, whether inside or outside the
286 dialysis bags (0.018 ± 0.016 and 0.038 ± 0.016 , respectively). In contrast, Fe/Si ratios on
287 xanthan-only experimental surfaces are unchanged. The surfaces exposed to alginate + DFAM
288 have unchanged Fe/Si ratios regardless of the dialysis bags. The alginate-only sample incubated

289 inside the bag also has an unchanged Fe/Si ratio but the sample incubated outside the bag has an
290 elevated Fe/Si ratio (0.154 ± 0.016).

291

292 3.3 *Etch Pits*

293 No evidence for widespread adsorption of EPS on surfaces was detected by ESEM. The
294 planchet exposed to alginate-only (and not protected by dialysis tubing) did rarely contain a few
295 strands of hydrated material within some of the larger polishing scratches: such strands were not
296 observed on any other samples.

297 In AFM images, surfaces from all experiments were observed to contain polishing
298 scratches, 7-30 nm deep and < 600 nm wide as seen previously (Buss et al., 2003). All surfaces
299 also contain very small pits < 20 nm deep. However, these pits were rare (0-3 pits per $100 \mu\text{m}^2$
300 scan) except on surfaces exposed to DFAM. Regardless of the sample treatment and pit size, etch
301 pits were roughly circular to oval-shaped. Thus, different treatments do not produce differently
302 shaped etch features as has been demonstrated for anisotropic materials such as crystals (e.g.,
303 Honess, 1929).

304 The control and EPS-only surfaces appear identical to the blanks as observed by AFM.
305 Specifically, these surfaces exhibit no distinguishable changes in the shapes or dimensions of the
306 etched polishing scratches or the bulk surfaces relative to the blanks. In contrast, some polishing
307 scratches appeared enlarged on the DFAM experiments as a result of etch pit formation along the
308 scratches (Figure 3); in addition, many etch pits were not associated with polishing scratches.
309 The DFAM-exposed surfaces also contained numerous (4–50 per $100 \mu\text{m}^2$ area), scattered, small
310 etch pits, measuring less than 450 nm wide and less than 60 nm deep. In fact, a $100 \mu\text{m}^2$ region

311 on any DFAM-treated planchet could not be scanned with the AFM without observing from 4 to
312 20 or more pits.

313 Etch pits on surfaces exposed to alginate + DFAM or xanthan + DFAM are comparable in
314 size and frequency to etch pits on DFAM-only surfaces regardless of dialysis tubing (Figures 3-
315 4). The glass surfaces in the EPS experiments did not show any topographic variability according
316 to presence or absence of dialysis tubing. In comparison to the DFAM-only surfaces, non-pitted
317 regions of the surfaces exposed to alginate + DFAM or xanthan + DFAM appear more corroded
318 in that the polishing features are more prominent (Figures 4-5).

319 The etch pits on the bacteria-exposed surfaces tended to be fewer (0-5 pits per 100 μm^2
320 image), larger (~300–1800 nm wide, < 95 nm deep), and grouped together, unlike those observed
321 on the DFAM experiments (Figures 3 and 6). Although etch pits also formed along the polishing
322 scratches of the surfaces that were exposed to *Bacillus* sp., these pits were too few to impact the
323 overall shape of the polishing scratches.

324

325 3.3 RMS Roughness

326 RMS roughness, is the root-mean-square average of height deviations from the average
327 plane, calculated from the relative heights of each data point

$$RMS = \sqrt{\frac{(z_1^2 + z_2^2 + \dots + z_n^2)}{n}} \quad (1)$$

328 where z_i is the height difference relative to the mean plane for each point i and n is the total
329 number of points measured. Fifteen AFM height images of the blanks (polished, un-treated glass
330 surfaces) were collected and analyzed for RMS roughness (Table 2, Figure 7). The range of
331 values for these blanks (2.83–5.36 nm) was used as a comparison to the glass surfaces exposed to

332 experimental solutions. Unusually large polishing scratches on all samples have larger RMS
333 roughness, and were therefore avoided during imaging.

334 Roughness values (AFM) measured on the control, alginate-only, and xanthan-only
335 experimental surfaces fall within the range of the blanks. All surfaces exposed to DFAM or
336 bacteria have elevated RMS roughness as follows: (alginate + DFAM) \approx bacteria $<$ DFAM-only
337 \ll (xanthan + DFAM). This ordering is similar to the magnitude of the surface Fe-depletion
338 documented by XPS: (alginate + DFAM) $<$ bacteria \approx DFAM-only \ll (xanthan + DFAM). No
339 such correlation was observed between RMS roughness and Al-depletion.

340 For control, bacteria, and DFAM-only surfaces, 25 adjacent 164 x 124 μm VSI scans were
341 performed to obtain a representative analysis of the surfaces. The larger VSI scans show
342 numerous etch pits on the DFAM-exposed surfaces (Figure 8), but due to the lower lateral
343 resolution of the VSI scans, the majority of the DFAM-pits visible in the AFM images ($<$ 450
344 nm wide) are not visible at the VSI scale. Many of the pits visible in the VSI scans may represent
345 etching at inherent glass defects (e.g., air bubbles; Buss et al., 2003) that were avoided during
346 AFM imaging. Rather than rely on visual analyses of these pits and other surface features, we
347 developed algorithms to calculate RMS roughness values from the raw numerical VSI data.
348 RMS-roughness values of the VSI images were more variable than RMS-roughness values
349 determined on AFM images, but followed the same general trend: controls $<$ bacteria $<$ DFAM,
350 confirming AFM results (Table 2).

351

352 **4. Discussion**

353 Characteristic etching and non-stoichiometric chemical changes demonstrate the coupled
354 physical-chemical nature of microbial dissolution. It has been established that siderophores

355 promote mineral dissolution (Cervini-Silva and Sposito, 2002; Cheah et al., 2003; Coccozza et al.,
356 2002; Hersman et al., 1995; Kraemer et al., 1999; Liermann et al., 2000b; Rosenberg and
357 Maurice, 2003) and we have now shown that siderophores can alter the topography of mineral
358 surfaces during dissolution. This is the first documented case in which the growth of etch pits in
359 response to siderophores has been observed. Etch pits either were nucleated by siderophores or,
360 if undetectable etch pits had previously nucleated, grew in the presence of siderophores.
361 However, the presence of pre-existing etch pits is unlikely because the surfaces were polished
362 prior to use in the experiments.

363 It has been well established that most microorganisms reside in biofilms, composed in large
364 part by EPS, and exist attached to surfaces in natural environments (e.g., Brisou, 1995; Watnick
365 and Kolter, 2000). Here we show that interaction of EPS with siderophores affects mineral
366 dissolution in a manner distinct from either substance alone.

367 To determine the extent of dissolution, we can compare the solution chemistry, etch pits,
368 surface roughness, and XPS data. For example, according to the solution chemistry data, release
369 of Fe was most enhanced by alginate + DFAM. This dissolution was close to stoichiometric
370 because the Fe/Si ratio of the surface was not changed from the blanks or controls (Figure 2). In
371 contrast, although the solution chemistry would suggest that xanthan + DFAM experiment did
372 not release the most Fe to solution, the surface roughness was significantly higher than for other
373 experiments, and the Fe/Si ratio measured by XPS was significantly lower. These surface
374 measurements indicate significant dissolution and preferential Fe release that was not evident
375 from the solution data alone.

376

377 *4.1 Surface Effects of Siderophores*

378 Differences in size, distribution, and number of etch pits on the DFAM-exposed surfaces as
379 compared to controls and other samples indicate that dissolution by siderophores promotes the
380 widespread growth of etch pits on the Fe-silicate glass surfaces. Although etch pits were
381 frequently seen along polishing scratches, the bulk surfaces (areas not marred by polishing
382 scratches) also contained numerous pits of comparable size. Etch pits contribute to surface
383 roughness and increased surface area, promoting further dissolution. The 240 μM concentration
384 of DFAM used in these experiments lies within the range of siderophore concentrations
385 estimated for soil solutions ($\sim 10 \mu\text{M}$ - mM, Hersman et al., 1995). The extensive pitting
386 produced by DFAM under these relatively dilute conditions demonstrates the potential
387 importance of siderophore-promoted pitting to mineral dissolution in the environment. Indeed,
388 etch pits have been observed on hornblende crystals in the environment (e.g., Anand and Gilkes,
389 1984; Berner and Schott, 1982; Brantley et al., 1993; Hall and Horn, 1993; Hall and Martin,
390 1986; Velbel, 1989).

391 Dissolution stoichiometries can be documented by changes in the ratio of Fe/Si on the
392 surfaces of the glasses. XPS evidence documents Fe depletion in the layer of glasses exposed to
393 bacteria or DFAM alone, consistent with previous experiments with *Bacillus* sp. and hornblende
394 crystal (Kalinowski et al., 2000) or hornblende glass (Buss et al., 2003) where Fe depletion was
395 also shown. Lateral resolution of XPS is poor: Kalinowski et al. used a spot size of 3 mm on
396 polished hornblende crystal and Buss et al. used a spot size of 700 μm on polished hornblende
397 glass. XPS yields mol % elemental composition averaged over some area and depth into the
398 surface, $\sim 100 \text{ \AA}$ depth based upon the angle of measurement. Therefore, if the depletion
399 observed on DFAM-only or bacteria-exposed experimental surfaces is localized to etch pits less
400 than 2 μm wide and up to 1 μm deep, the actual depletion at the pits may be much greater than

401 estimated based on XPS analyses. Such a possibility has been suggested for other phases: Berner
402 et al. (1985) proposed that localized dissolution via etch pit formation may explain apparent
403 discrepancies between XPS-based and solution chemistry-based estimations of feldspar leached-
404 layer thicknesses (Schott et al., 1981).

405

406 *4.2 Surface Effects of EPS*

407 Dissolution of hornblende glass (as measured by Si release, Figure 1c) was not enhanced
408 in the presence of EPS alone. This is consistent with observations by Welch and Vandevivere
409 (1994), who also saw no enhanced dissolution when incubating either alginate or xanthan gum
410 with feldspars. They did observe enhanced dissolution in the presence of fresh EPS extracted
411 from bacterial cultures in their laboratory, and proposed that low-molecular-weight metabolites
412 present in the fresh EPS may have contributed to dissolution. Likewise, Malinovskaya et al.
413 (1990) also found that EPS produced by *Bacillus mucilaginosus* only enhanced dissolution of
414 silicate minerals only when incubated with minerals in combination with low molecular-weight
415 metabolites such as organic acids. Similarly, in our experiments, EPS did not enhance release of
416 Fe to solution without the addition of a siderophore. Welch and Vandevivere (1994) concluded
417 that bacterial EPS may aid dissolution by affecting the affinity of reaction through complexation
418 of dissolved cations, thereby changing the saturation state of the cation with respect to the
419 dissolving solid.

420 Siderophores increase solubility by complexing aqueous Fe(III). Simulations of our
421 experiments run using Geochemist's Workbench 4.0 (Bethke, 2002; NIST, 1998) using the
422 thermodynamic database of Delany and Lundeen (1991) with added constants from NIST (1998)
423 showed that our experiments were consistent with this effect. Concentrations of elements in

424 solution for each experiment on each sampling day were entered into the simulations. The results
425 demonstrated that if the solution were allowed to equilibrate, control solutions (without DFAM)
426 would precipitate iron as a ferric mineral, whereas solutions with DFAM would retain all Fe(III)
427 as aqueous species.

428 In our experiments, when DFAM was added to alginate or xanthan gum, Fe release to
429 solution more than doubled over what was observed in the presence of the siderophore alone. A
430 similar effect was seen by Cervini-Silva and Sposito (2002) and Cheah et al. (2003) on goethite
431 dissolution when mixing the siderophore desferrioxamine-B with oxalate. In those studies,
432 dissolution in the presence of both the siderophore and oxalate was greater than the sum of the
433 dissolution effects of the two ligands alone. Siderophores have significantly higher affinity for
434 Fe(III) than does oxalate but due to their large size may be sterically hindered from forming
435 surface complexes as easily as oxalate. Cheah et al. (2003) concluded that the siderophores in
436 solution complexed Fe(III) from aqueous oxalate-Fe(III) complexes, freeing the oxalate ions to
437 complex additional surface-bound Fe(III). In this way, the siderophores effectively use oxalate as
438 an Fe shuttle.

439 Similarly, the presence of acetate led to an increase in the dissolution rate of amorphous
440 Cr(III) hydroxide by each of 6 different aminocarboxylate chelators (Carbonaro, 2005). In that
441 study, the adsorption of chelators onto the mineral surface was reduced at the same time that the
442 dissolution rate increased. Carbonaro (2005) proposed a mechanism by which a chelator
443 adsorbed to the Cr(III) hydroxide surface may more effectively remove a metal atom when
444 acetate is adsorbed to a neighboring metal atom because acetate may increase the rate of ligand
445 exchange on the bridging oxygen atom. Although acetate may not be a strong enough ligand to
446 measurably enhance the rate of dissolution alone, in this way it may aid dissolution by other,

447 stronger, ligands such as siderophores. Carbonaro (2005) also proposed a second mechanism in
448 which the adsorption of acetate alters the speciation of adsorbed chelators. In this scenario,
449 acetate occupies neighboring coordination sites, which deters chelators from forming
450 multinuclear surface complexes in favor of mononuclear complexes, which more effectively
451 remove the metal atoms from the surface, increasing the dissolution rate.

452 In our study, we see no evidence for strong adhesion or direct physical effects from EPS-
453 surface contact in ESEM or AFM images, or in surface Fe/Si ratios. Therefore, enhanced
454 dissolution and roughness cannot be attributed to direct contact with the polymer. Xanthan gum
455 is a complex polysaccharide polymer that forms highly viscous, gel-like solutions. The primary
456 monomers of the polysaccharide backbone are D-glucose, D-mannose, and D-glucuronic acid, but
457 the polymer also contains 4.7% acetic acid and 3.0-3.5% pyruvic acid by weight (Sloneker and
458 Jeanes, 1962). The acetic acid is present as an ester (Sloneker and Jeanes, 1962), which is
459 susceptible to hydrolysis at room temperature; thus xanthan gum is likely to release acetate ions
460 into solution. Both acetate and pyruvate are small enough (60.05 and 88.06 g mol⁻¹, respectively)
461 to pass easily through the dialysis tubing (12,000-14,000 Daltons) and may have interacted with
462 the surface and enhanced siderophore-promoted dissolution via one of the aforementioned
463 mechanisms (Carbonaro, 2005; Cheah et al., 2003). Removal of Fe could de-stabilize the glass
464 structure, enhancing overall dissolution in addition to discrete dissolution at etch pits.

465 Although monodentate ligands such as acetate are not as effective at enhancing mineral
466 dissolution as multidentate ligands such as oxalate, small increases in dissolution in the presence
467 of acetate have been recorded (e.g., Hamer et al., 2003; e.g., Miller et al., 1986). In similar
468 experiments using the same MM9 medium and constant agitation (as used here), Brantley et al.
469 (2004) documented increased iron release from hornblende crystal in the presence of acetate.

470 To investigate the possibility of acetate release from xanthan gum and alginate in our
471 experiments, we filtered 1-week old solutions of 0.1 g l⁻¹ alginate, 0.1 g l⁻¹ xanthan gum, and 240
472 μM DFAM, each with and without 240 μM acetic acid and analyzed them with an ion
473 chromatograph (Dionex 2010i) using a 0.005 M Na-borate eluent and compared to a 240 μM
474 acetic acid standard. Results were consistent with the presence of a LMWOA in solutions
475 containing alginate or xanthan gum (as well as in all solutions spiked with acetate for
476 comparison). Resolution of the peaks was not sufficient to positively identify acetate versus other
477 LMWOA's. Therefore, to confirm the presence of acetate in solutions containing alginate or
478 xanthan gum, samples of these polymers at 0.1 g l⁻¹ were filtered and analyzed on a gas
479 chromatograph mass spectrometer. Peaks were positively identified as acetate in the spectra for
480 both polymer samples. The absence of other LMWOA's was not verified and thus other
481 LMWOA's could have been present at much lower concentrations than acetate. However,
482 enhanced dissolution in the presence of a siderophore and a bidentate LMWOA such as oxalate
483 would likely be observed even at concentrations of a few micromolar oxalate (S. Kraemer, *pers.*
484 *comm.*).

485 Exopolysaccharides from a wide variety of bacteria have been shown to contain acetyl
486 groups, e.g., succinoglycan produced by the nitrogen-fixing soil bacterium *Sinorhizobium*
487 *meliloti* (González et al., 1996), the EPS of thermophilic *Streptococcus thermophilus* (Nordmark
488 et al., 2005), and the EPS of *Klebsiella aerogenes* (Atkins et al., 1987). Thus, siderophore-
489 promoted dissolution enhanced by EPS-derived acetate or other small organic moieties could be
490 an important component of biogeochemical iron cycling in a variety of bacterial biofilm systems.

491 Although general dissolution, as measured by Si release into solution, was enhanced by
492 combining DFAM and xanthan gum, Fe release into solution was not enhanced over that

493 observed in the presence of DFAM alone. In contrast, Fe release was more than doubled over
494 DFAM alone when DFAM was combined with alginate. However, XPS results show the Fe/Si
495 ratio to be extremely low on the xanthan + DFAM surfaces (Figure 2), indicating either a non-
496 stoichiometric, preferential loss of Fe or precipitation of Si onto the surface. Neither of these
497 possibilities was reflected in the solution data. If some Fe were trapped within, or complexed to
498 the polymer, it may have been filtered out of the solution before ICP-MS analysis. Considering
499 the highly viscous nature of the xanthan gum in solution at 0.1 g l^{-1} , this is plausible.
500 Furthermore, trivalent metal ions including Al^{3+} and Fe^{3+} can crosslink xanthan gum inducing
501 gelation (Sabine et al., 1992), which would have increased viscosity and Fe sequestration within
502 the polymer. In addition, removal of acetyl groups from bacterial EPS has been shown to
503 increase the viscosity and crystallinity of the polymer (Atkins et al., 1987; Sutherland, 1997;
504 Sutherland, 2001), which could also restrict movement of metal ions within the polymer.
505 Elevated Fe/Si ratios on surfaces exposed directly to alginate (without DFAM) may indicate
506 back precipitation of an Fe(III)-containing phase, demonstrating a lesser tendency to sequester
507 metals compared to xanthan gum.

508

509 *4.3 Surface Effects of Bacillus sp.*

510 In experiments containing *Bacillus sp.*, concentrations of Fe in solution that are below
511 detection are consistent with uptake of Fe by cells (Brantley et al., 2001). XPS data confirms
512 preferential removal of Fe from the glass surfaces exposed to bacteria (Figure 2).

513 Proton-promoted dissolution is an unlikely mechanism for etch pit formation in the
514 presence of bacteria in these experiments because 1) etch pits were not seen on control surfaces,
515 and 2) silicate dissolution should not be affected by the small pH changes observed within the

516 range of this study (7.2-7.6, White and Brantley, 1995). Therefore, the etch pits produced in the
517 presence of bacteria were likely caused by ligand-promoted dissolution. Although lower pH
518 values in microenvironments at the microbe-mineral interface can contribute to pitting (Barker
519 and Banfield, 1998), Liermann et al. (2000a) detected a pH change of less than 0.04 across
520 biofilms of *Bacillus* sp. grown in the same buffered medium used here in the presence of
521 hornblende.

522 The production of glycocalyx by *Bacillus* sp. grown in the presence of hornblende was
523 verified previously by Alcian Blue staining, which revealed a layer of acidic polysaccharides
524 surrounding the cells when grown in an iron-depleted medium with hornblende crystals
525 (Brantley et al., 2001). However, in the present experiments, *Bacillus* sp. did not produce enough
526 polymeric material to harvest for experimentation. Iron release in the DFAM and EPS
527 experiments cannot be directly compared to the *Bacillus* sp. experiments because these
528 experiments contain different siderophores and EPS in different quantities. Semi-quantitative
529 universal (Schwyn and Neilands, 1987) and catechol-specific (Arnow, 1937; Liermann et al.,
530 2000a; Neilands and Nakamura, 1991) siderophore assays on this strain of *Bacillus* sp. growing
531 in Fe-free MM9 medium for 3 weeks indicated approximately 180 - 200 μM catecholate
532 siderophore in the culture solutions (B. Kalinowski, *unpublished data*). Our abiotic siderophore
533 experiments contained 240 μM DFAM. The lower concentrations of EPS and siderophore in the
534 *Bacillus* sp. experiments compared to the alginate+DFAM or xanthan+DFAM experiments are
535 consistent with the trends observed in dissolution and roughness (Figure 1c and Figure 3).

536 The difference in size and distribution between the 'biopits' and the 'DFAM-pits' may be
537 related to the EPS produced by the bacteria. In contrast to those produced abiotically by DFAM
538 alone, the etch pits produced in the presence of *Bacillus* sp. are larger and more localized, often

539 forming small groups of pits (Figure 6). Additional components (besides polysaccharide) in the
540 *Bacillus* sp. EPS may have adhered more strongly to the surfaces than did alginate or xanthan
541 gum. Indeed, *Bacillus* sp. cells and cellular debris were observed by SEM and AFM,
542 respectively, on hornblende glass planchets rinsed with distilled and deionized water in our
543 previous work (Buss et al., 2003). Significant debris was not observed on planchets incubated
544 with alginate or xanthan gum, suggesting that these substances either did not adhere to the
545 surfaces or did not adhere strongly.

546 The initial step in the development of a biofilm is the adsorption of a conditioning film,
547 which may contain polysaccharides, but is thought to be primarily glycoproteins (e.g., Baier,
548 1980; Characklis, 1989). This film is dynamic, that is, constantly exchanging with solution
549 molecules, and may be heterogeneously distributed over the surface (Characklis, 1989). *Bacillus*
550 sp. likely colonized the hornblende glass surfaces by secreting a glycoprotein conditioning film
551 for the biofilm to adhere to. This film would not have formed in the alginate and xanthan gum
552 experiments.

553 Colonization-related pitting has been documented on silicate minerals before. For example,
554 in a study of natural basaltic glass, irregular localized pitting was observed after incubation for
555 181 days in seawater while the majority of the surface appeared unaltered (Thorseth et al., 1995).
556 This pitting was presumably caused by a consortia of bacteria attached to the glass surfaces that
557 were observed using SEM and TEM. Similarly, when cyanobacteria were grown on polished
558 glass, Staudigel et al. (1995) observed irregularly shaped, localized etch pits clustered along
559 zones parallel to polishing scratches. And Bennett et al. (1996a) also observed significant etching
560 in microbially colonized regions of microcline surfaces, but no etching on the uncolonized
561 regions.

562 Enzymes, molecules, and ions become concentrated in EPS, which limits their diffusion
563 into solution (Madigan et al., 2000; Morel and Palenik, 1989; Roane and Kellogg, 1996). It
564 follows that a biofilm would contain a higher concentration of siderophores than the bulk
565 solution. The viscosity of EPS may restrict movement of siderophores and other ligands; in a
566 strongly adhering biofilm, this could explain the localization of the biopits. The large biopits
567 could therefore represent assemblages of the same-sized pits as on the DFAM-exposed surfaces.
568 Therefore, EPS may be instrumental in weathering minerals because they sorb to surfaces and
569 create micro-environments with higher concentrations of ligands in close proximity to mineral
570 surfaces. Our experiments with siderophores and EPS highlight additional mechanisms by which
571 microbial communities can interact with surfaces, contributing to chemical weathering of silicate
572 minerals.

573 **5. Conclusions**

574 This study is the first to document the growth of etch pits during siderophore-promoted
575 dissolution. The widespread pitting and enhanced Fe release from siderophore-exposed surfaces
576 is consistent with chelation of Fe by siderophores, which is likely responsible for the etch pits
577 formed in the presence of *Bacillus* sp. at near-neutral pH. Small, approximately circular pits
578 formed on hornblende glass dissolved with DFAM document that siderophore-promoted
579 dissolution is localized to pits that are ubiquitous on the surface and contribute to an increase in
580 surface roughness. EPS may contribute to dissolution by providing a sink for released ions,
581 enhancing apparent solubilities; by providing additional ligands that act as Fe-shuttles for
582 siderophores; or by releasing additional ligands (such as acetate) that could alter the speciation of
583 siderophore-surface complexes or accelerate ligand exchange on surface atoms. When sorbed
584 strongly to surfaces via a conditioning film, microbial EPS may affect the size and distribution of

585 ligand-produced etch pits. Widespread pitting on siderophore-exposed surfaces demonstrates the
586 ability of siderophores to alter surface morphology. By comparison, the distribution and size of
587 microbial etch pits suggest that the ‘biopits’ may be caused by siderophores concentrated in
588 biofilms. Non-stoichiometric depletion of Fe on surfaces exposed to bacteria supports the
589 interpretation of localized dissolution by metal-specific ligands. Mineral surface features such as
590 etch pits provide non-exclusive evidence of microbial activity but may, when used in
591 combination with other biomarkers, provide clues to the character of microbial communities.

592

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602

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824

825 **Figure Captions**

826 **Figure 1.** Release of Fe, Al, or Si (μM) from Fe-silicate glass surfaces to MM9 medium. **(a)** The
827 [Fe] in the bacteria-containing experiments is below the lower detection limits of the ICP-AES,
828 consistent with uptake of Fe by the bacteria. Fe release was comparable in all solutions without
829 DFAM, whereas the DFAM-containing solutions enhanced Fe release. Alginate + DFAM

830 solutions showed the greatest release. Similar trends are seen for **(b)** Al release and **(c)** Si release,
831 except these elements were not completely removed from solution by the bacteria.

832

833 **Figure 2.** Fe/Si ratios of the upper ~ 100 Å of the hornblende glass surfaces measured by XPS.

834 Error in the XPS measurements is estimated to be about 5% for Si and 10% for Fe.

835 Measurements on replicate samples are shown as averages except for the blanks and controls, for
836 which all replicates are shown. Sample variability is greater than the XPS measurement error for

837 blanks: the highest and lowest Fe/Si ratios are bracketed by lines for the two blanks. This

838 variability is used as the error on the samples. Standard deviations of the averaged values are

839 within this variability range. The x-axis is arbitrary. Sample codes: A = alginate, X = xanthan

840 gum, D = DFAM, B = inside dialysis bag, control = growth media only.

841

842 **Figure 3.** AFM height images of 10×10 μm areas of hornblende glass planchets. The vertical

843 scale is ± 200 nm from the average plane (gray). Lighter and darker areas represent positive and

844 negative topography, respectively, relative to the average plane of the surface. **(a)** Starting

845 surfaces, or “blanks,” were polished but not incubated in solution. AFM images of blanks reveal

846 polishing scratches visible on all sample surfaces. **(b)** Control surfaces were polished and

847 incubated in MM9 growth medium for 46 days and appear unchanged relative to blanks. **(c)**

848 Surfaces exposed $240 \mu\text{M}$ DFAM in MM9 medium for 46 days reveal numerous, widely

849 distributed etch pits (< 450 nm wide, < 60 nm deep). Pits were seen on un-scratched areas of the

850 surfaces as well as along polishing scratches.

851

852 **Figure 4.** AFM height images of 10 x 10 μm areas of hornblende glass planchets. The vertical
853 scale is ± 200 nm from the average plane. Lighter and darker areas represent positive and
854 negative topography, respectively, relative to the average plane of the surface. **(a)** On surfaces
855 incubated in MM9 medium with xanthan gum or **(b)** alginate, polishing scratches appear slightly
856 more prominent than on control and blank surfaces (Fig. 3a-b). **(c)** When the siderophore DFAM
857 was combined with xanthan gum or **(d)** with alginate, etch pits, enlarged polishing scratches and
858 more prominently etched background texture was observed in AFM images.

859

860 **Figure 5.** Cross sections of AFM imaged surfaces exposed to **(a)** xanthan gum or **(b)** xanthan
861 gum and the siderophore DFAM. The white lines on the AFM images at the right of the figure
862 indicate the locations of the cross sections. The vertical scale is ± 50 nm.

863

864 **Figure 6. (a)** and **(b)** AFM height images of 10 x 10 μm areas of hornblende glass surfaces after
865 incubation with *Bacillus* sp. Vertical scale is ± 200 nm from the average plane, which is
866 represented by gray. Lighter and darker areas represent positive and negative topography,
867 respectively, relative to the average plane of the surface. The etch pits caused by the bacteria
868 tend to form in groups as shown here, leaving most of the surface un-pitted. Such “biopits” also
869 tend to be larger than those formed in abiotic experiments (Figs. 3-4).

870

871 **Figure 7.** Box and whisker statistical plot of root-mean-squared (RMS) roughness measured by
872 AFM on polished Fe-silicate sample surfaces. Sample codes: A = alginate, X = xanthan gum, D
873 = DFAM, B = inside dialysis bag, Bac = bacteria, Con = control (growth media only). Dotted
874 lines indicate the RMS roughness range of the untreated starting surfaces (blanks) measured on

875 15 surfaces. Boxes represent 25-75% of the data, whiskers (vertical lines) indicate 5-95% of the
876 data, X symbols bracket the range between 1 and 99% of the data and fall coincident with the
877 dash (–) symbols, which indicate the maximum and minimum values. Solid squares (■)
878 represent the mean.

879

880 **Figure 8.** VSI height image of a 164 x 124 μm area of a hornblende glass planchet incubated in
881 240 μM DFAM in MM9 growth medium. Vertical scale is ± 50 nm from the average plane. As
882 with the AFM height images, the lighter and darker areas represent positive and negative
883 topography, respectively, relative to the average plane of the surface, which is gray. The white
884 square in the bottom left corner of the image indicates the average size of the AFM scans (10 x
885 10 μm).

886

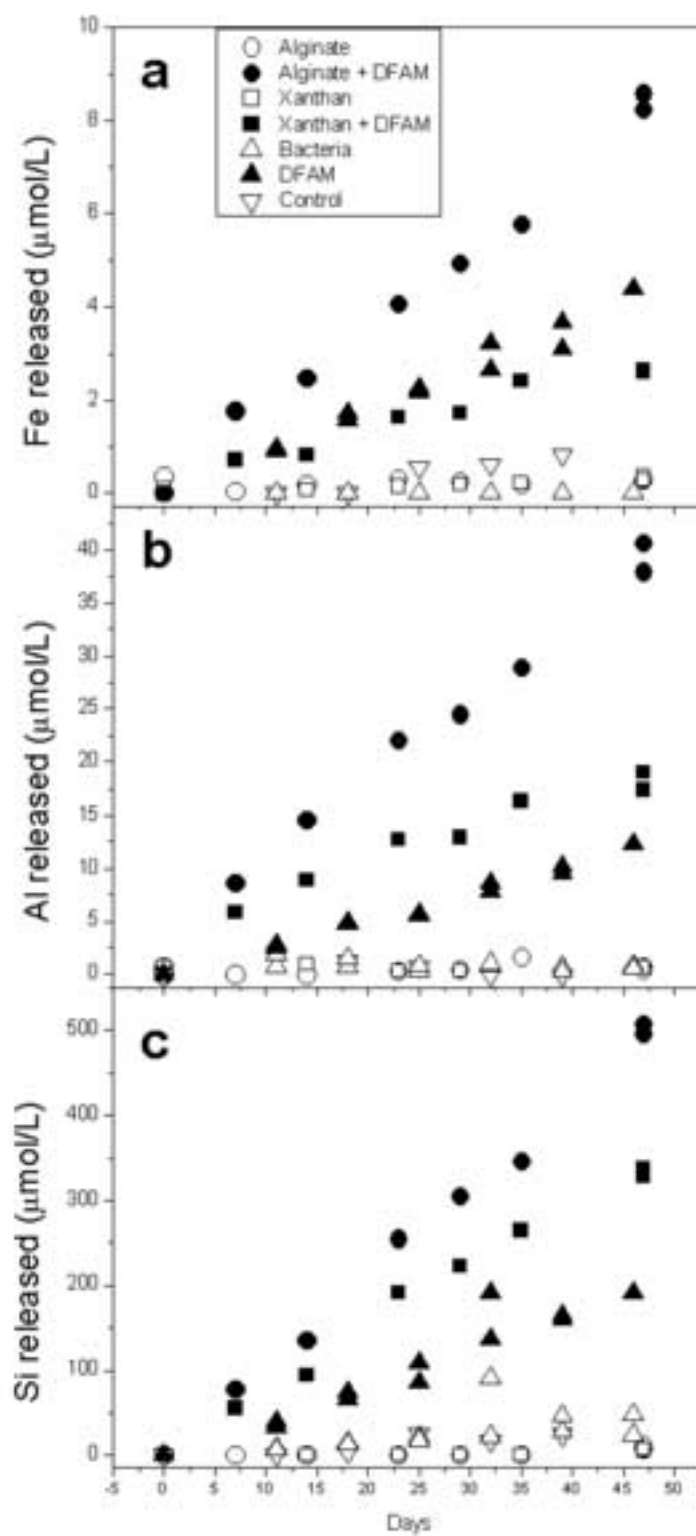


Figure 1

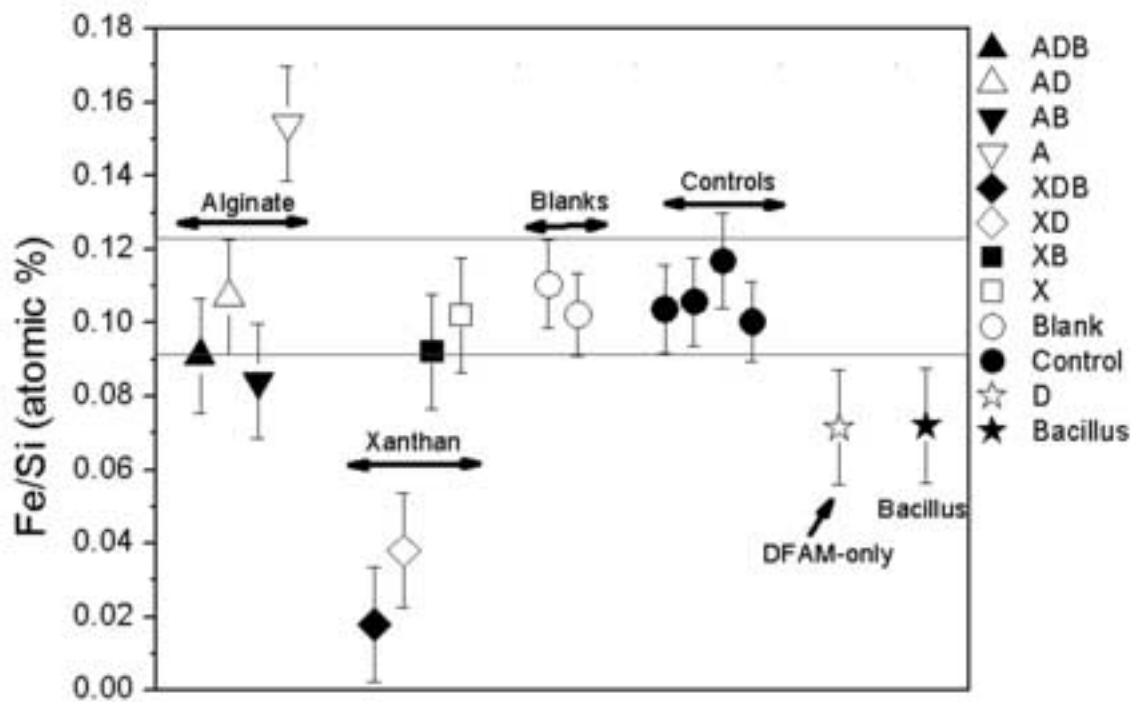


Figure 2

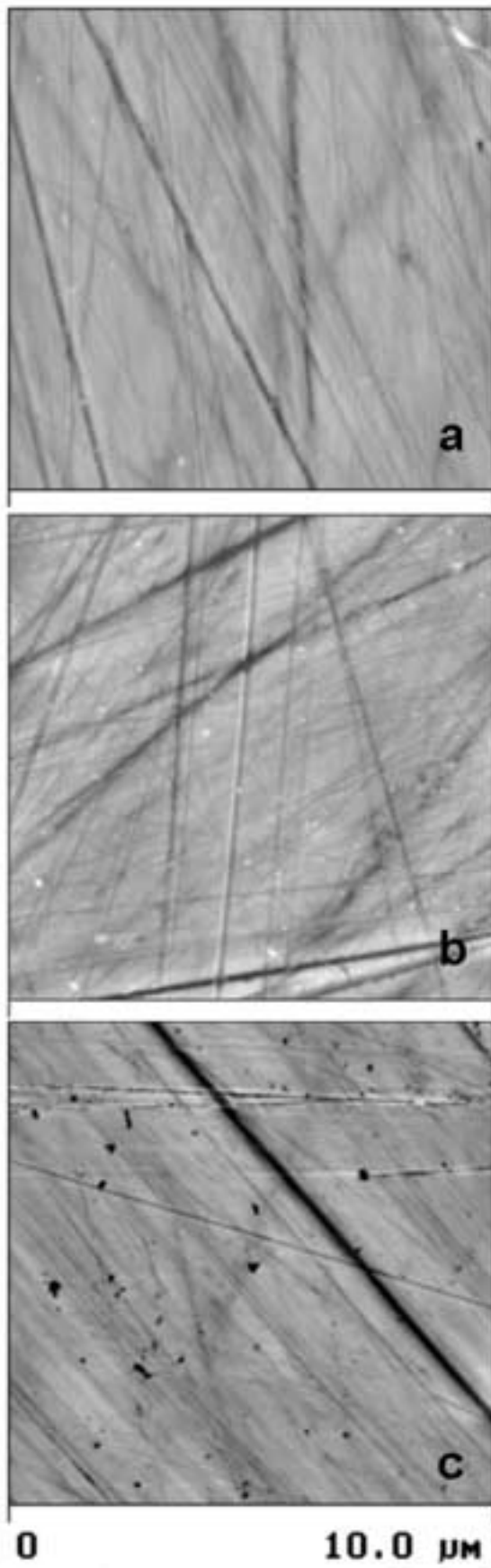


Figure 3

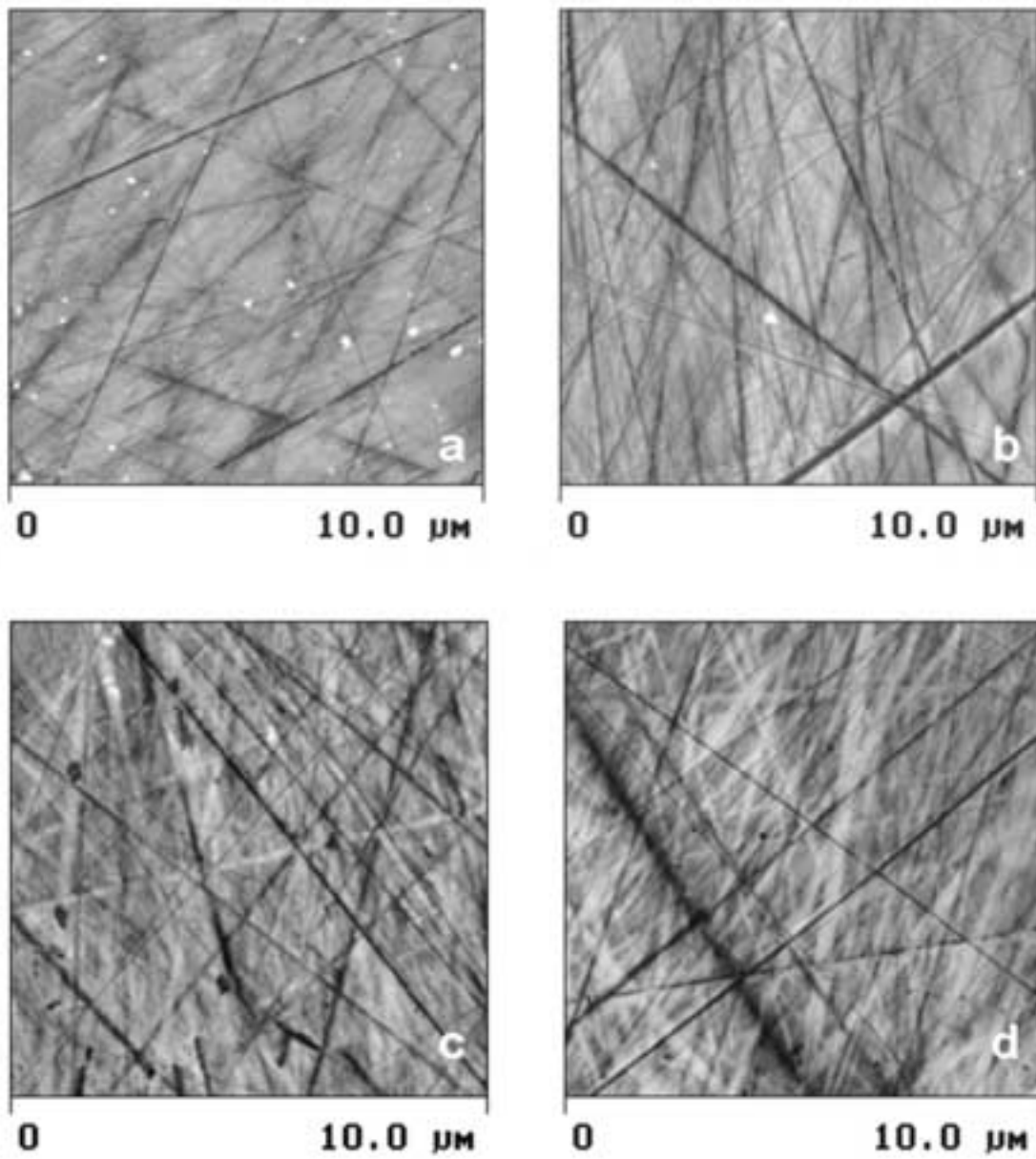


Figure 4

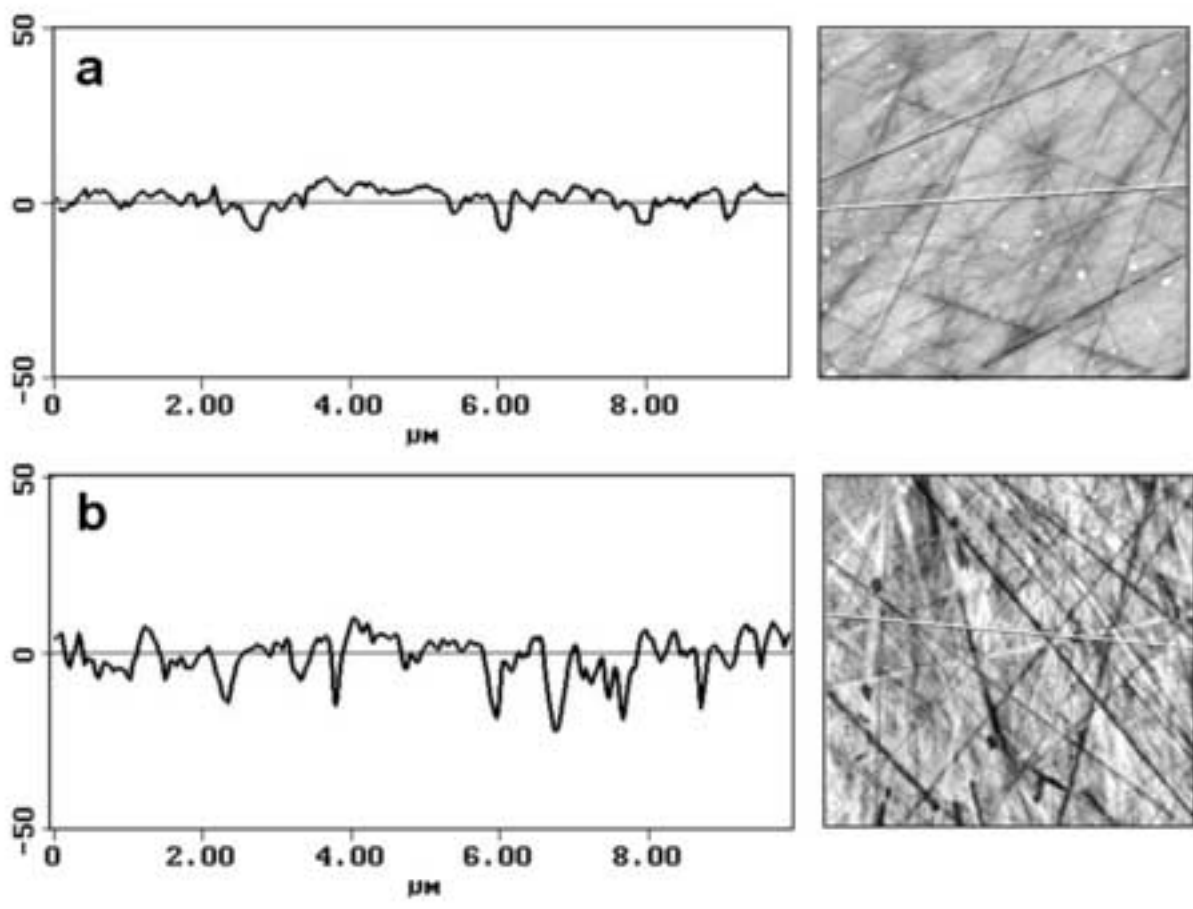


Figure 5

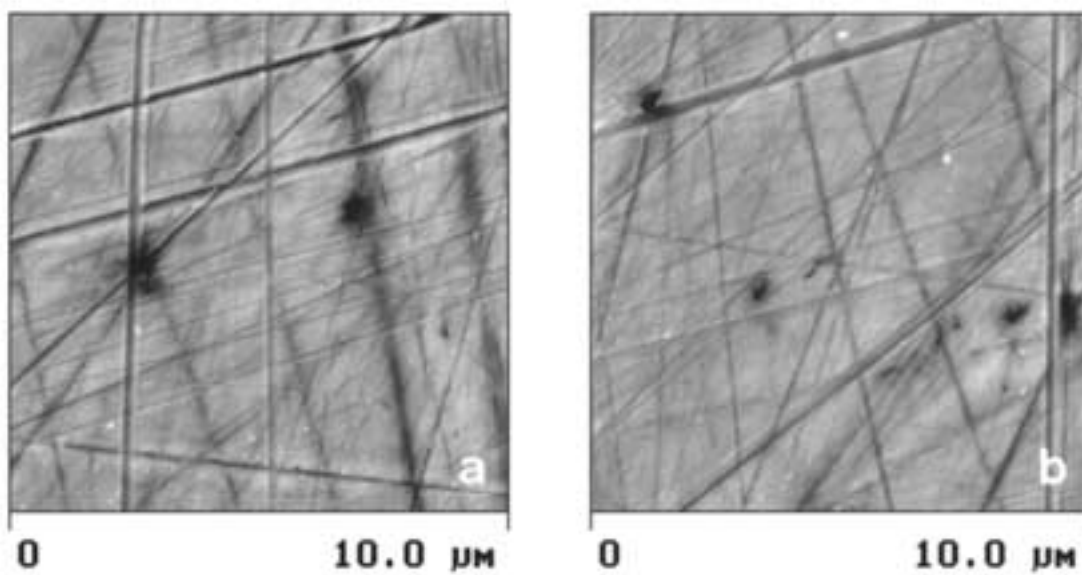


Figure 6

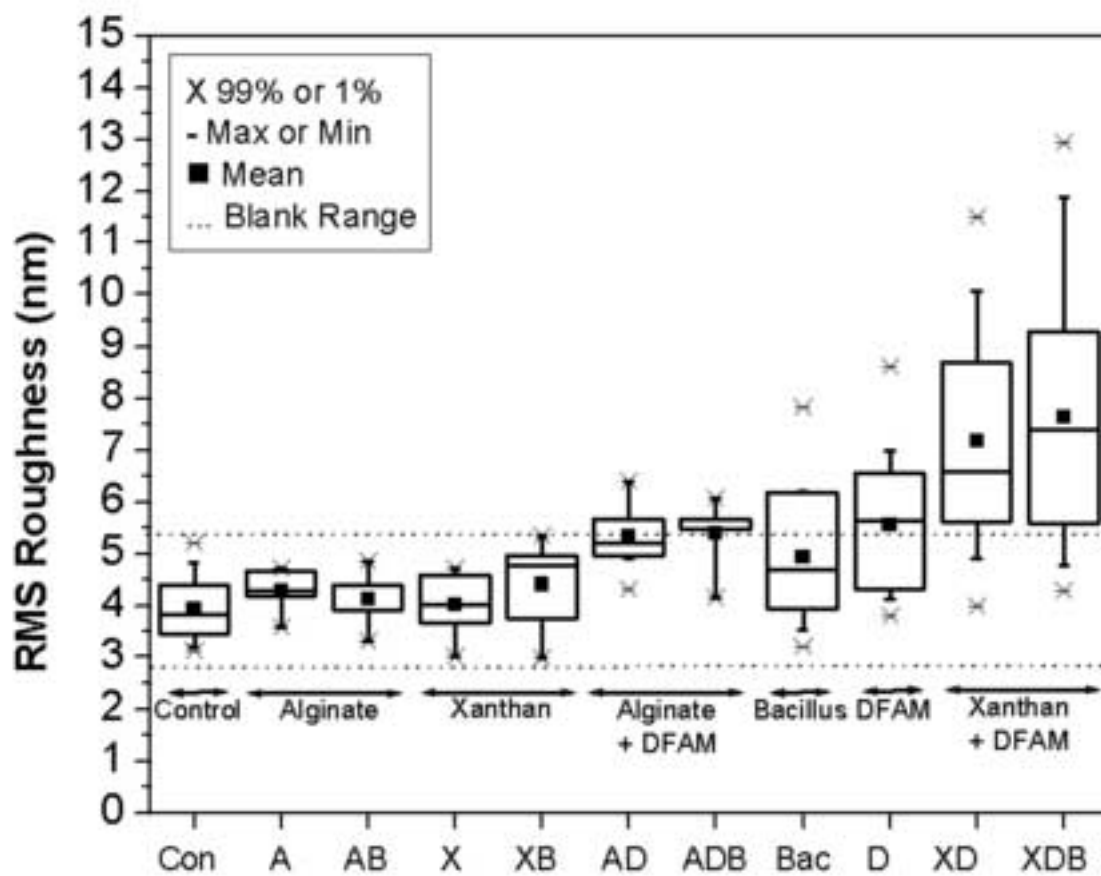


Figure 7

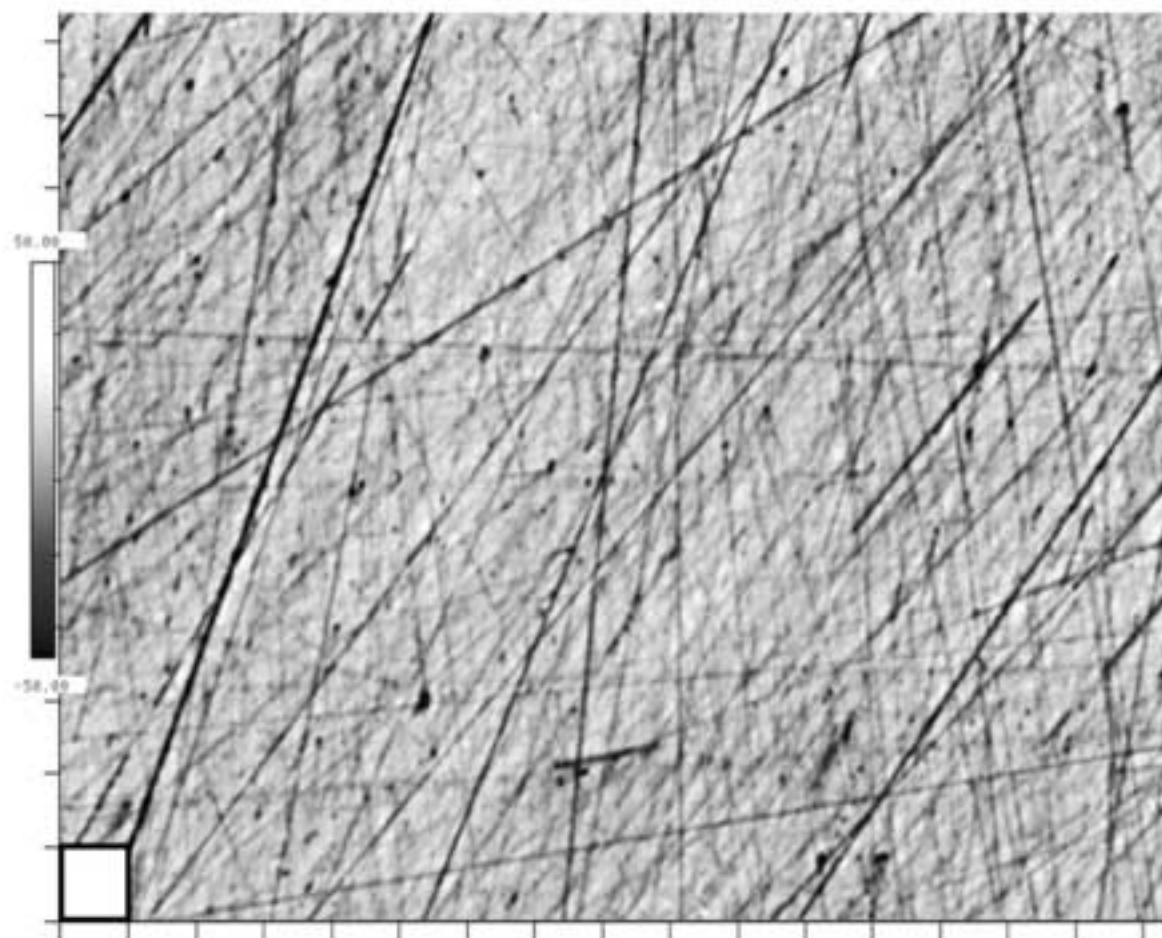


Figure 8

Table 1: Si, Fe, and Al released from hornblende glass

Experiment^a	Days Incubation	[Si] μM	[Fe] μM	[Al] μM
Controls	39	24.6 \pm 1.7	0.82 \pm 0.00	bd
DFAM	39	159.2 \pm 2.7	3.1 \pm 0.3	9.5 \pm 0.9
DFAM	46	191.5 \pm 2.9	4.4 \pm 0.4	12.3 \pm 0.9
Bacteria	46	24.0 \pm 1.4	bd	0.58 \pm 0.33
Bacteria	46	47.5 \pm 1.4	bd	0.84 \pm 0.36
Alginate	47	7.4 \pm 14.1	0.28 \pm 0.08	0.67 \pm 0.39
Alginate	47	12.0 \pm 20.0	0.30 \pm 0.06	0.50 \pm 0.32
Xanthan Gum	47	6.2 \pm 9.9	0.36 \pm 0.06	0.55 \pm 0.29
Xanthan Gum	47	7.5 \pm 10.5	0.27 \pm 0.04	0.62 \pm 0.30
Alginate + DFAM	47	507.9 \pm 39.7	8.6 \pm 0.3	40.6 \pm 0.39
Alginate + DFAM	47	496.3 \pm 26.1	8.3 \pm 0.5	37.9 \pm 0.32
Xanthan + DFAM	47	328.3 \pm 16.6	2.6 \pm 0.1	17.4 \pm 1.4
Xanthan + DFAM	47	337.7 \pm 23.4	2.7 \pm 0.1	19.0 \pm 0.3

^aControl experiments contained hornblende glass planchets incubated in Fe-free MM9 medium only. DFAM experiments contained hornblende glass planchets incubated in Fe-free MM9 medium with 240 μM desferrioxamine-B mesylate. Bacteria experiments contained hornblende glass planchets incubated in Fe-free MM9 medium with *Bacillus* sp. Alginate and xanthan gum experiments contained hornblende glass planchets incubated in MM9 medium with 0.1 g l⁻¹ alginate or xanthan gum.

Table 2: Surface data

Experiment ^a	Days Incubation	Fe/Si (atomic %) ^b	Fe/Si (atomic %) <i>In dialysis bag</i> ^c	AFM ^d RMS (nm)	AFM RMS (nm) <i>In dialysis bag</i>	VSI ^e RMS (nm)
Blanks	0	0.102 – 0.110	na ^f	2.83 – 5.40	na	nd ^f
Controls	39	0.104 – 0.117	na	3.12 – 5.20	na	5.35 – 26.2
DFAM	39	0.072 ± 0.016	na	3.80 – 8.60	na	4.14 – 29.8
Bacillus	46	0.072 ± 0.016	na	3.22 – 7.33	na	5.19 – 21.5
Alginate	47	0.154 ± 0.016	0.084 ± 0.016	3.57 – 4.71	3.32 – 4.85	nd
Xanthan Gum	47	0.102 ± 0.016	0.092 ± 0.016	3.00 – 4.72	2.98 – 5.34	nd
Alginate + DFAM	47	0.107 ± 0.016	0.091 ± 0.016	4.32 – 6.39	4.16 – 6.06	nd
Xanthan + DFAM	47	0.038 ± 0.016	0.018 ± 0.016	3.98 – 11.5	4.28 – 12.9	nd

^aBlanks are the starting material: polished hornblende glass planchets that were not incubated. Control experiments contained hornblende glass planchets incubated in Fe-free MM9 medium only. DFAM experiments contained hornblende glass planchets incubated in Fe-free MM9 medium with 240 µM desferrioxamine-B mesylate. Bacteria experiments contained hornblende glass planchets incubated in Fe-free MM9 medium with *Bacillus* sp. Alginate and xanthan gum experiments contained hornblende glass planchets incubated in MM9 medium with 0.1 g l⁻¹ alginate or xanthan gum.

^bSurface Fe relative to Si measured by XPS. Each XPS measurement is an average of three measurements on a single sample. Ranges are for given for replicate blanks and controls. Error in these values is based on measurement error of 5% for Si and 10% for Fe. This error is shown in Figure 2. Measurements on other replicate samples are reported as averages with error given as the sample variability based on the range and precision of measurement on the blanks.

^c“In dialysis bag” indicates that the surfaces were protected from EPS by dialysis tubing.

^dRange of AFM root mean square roughness values measured on 10 x 10 µm AFM images using Digital Instruments Nanoscope IIIa Controller software.

^eRange of VSI root mean square roughness values calculated from raw numerical VSI data collected from 124 x 163 µm areas.

^fna = not applicable, nd = not determined