

**Use of infrared thermography to estimate brown fat activation after a cooling protocol  
in patients with severe obesity that underwent bariatric surgery**

**Short title: Brown adipose tissue after bariatric surgery**

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## Use of infrared thermography to estimate brown fat activation after a cooling protocol in patients with severe obesity that underwent bariatric surgery

### Abstract

#### Introduction

Obesity has reached epidemic proportions worldwide, and its prevalence has doubled in the last 30 years. In contrast to the energy-storing role of white adipose tissue (WAT), brown adipose tissue (BAT) acts as the main site of non-shivering thermogenesis in mammals, and has been reported to play a major role in protection against obesity and associated metabolic alterations in rodents. Infrared thermography (IRT) has been proposed as a novel non-invasive, safe, inexpensive, and quick method to estimate BAT thermogenic activation in humans. The aim of this study is to determine the thermogenic activation of BAT after a cooling protocol using IRT in patients with severe obesity in response to two different types of bariatric surgery (BS).

#### Methods

Supraclavicular BAT thermogenic activation was evaluated using IRT in a cohort of 31 patients ( $50 \pm 10$  years-old,  $BMI = 44.5 \pm 7.8$ ) at baseline and 6 months after BS. Clinical parameters were determined at different time points.

#### Results

BAT thermogenic activation by IRT was increased at 6 months after laparoscopy sleeve gastrectomy (LSG), while patients undergoing to a roux-en-Y gastric bypass (RYGB) did not change their thermogenic response using the same cooling protocol.

#### Conclusions

Our study reports a differential effect of LSG technique compared to RYGB on BAT activation, suggesting that the mechanisms involved in weight loss after surgery might differ between the two techniques.

## Introduction/Purpose

1 Increased body mass is associated with numerous metabolic diseases, including type 2  
2 diabetes (T2D). Thus, it is not surprising to find out that, concomitantly with the dramatic  
3 increase in obesity, T2D has become the most common metabolic disorder, being recognized as  
4 one of the deadliest non-communicable diseases worldwide. Nowadays, it has been estimated  
5 that approximately 350 million people has diabetes, representing almost 10% of the world  
6 population [1]. White adipose tissue (WAT) is involved in the regulation of energy balance and  
7 glucose homeostasis, owing to its function as a lipid-storing and endocrine organ. Numerous  
8 studies have demonstrated that abnormal WAT function is linked to obesity, whole body insulin  
9 resistance and T2D [2]. However, few studies have comprehensively addressed the effect of  
10 obesity and weight loss in the thermogenic capacity.

11 In contrast to the energy-storing role of WAT, brown adipose tissue (BAT) acts as the  
12 main site of non-shivering thermogenesis in mammals due to the presence of uncoupling  
13 protein-1 (UCP1) in mitochondria of brown adipocytes, which uncouples mitochondrial oxidative  
14 processes and generates heat [3]. BAT plays a major role in protection against obesity and  
15 associated metabolic alterations in rodents due to its draining of glucose and lipids from  
16 circulation to sustain thermogenesis [4, 5]. Moreover, sustained thermogenic activation leads  
17 also to the so-called 'browning' of adipose tissue: the appearance of beige (brown adipocyte-  
18 like) adipocytes in anatomical sites corresponding to WAT depots [6, 7]. Chronic exercise, cold  
19 exposure and chronic  $\beta$ 3-adrenergic stimulation have been shown to promote browning of WAT  
20 in experimental models [8]. A higher capacity of browning has been directly associated with  
21 protection against experimental obesity and improved glucose tolerance in mice [9]. Moreover,  
22 BAT has been also reported to secrete endocrine regulatory factors which contribute, in addition  
23 to the intrinsic energy expending properties of BAT, to the healthy effects of active BAT on  
24 systemic metabolism [10, 11].

25 Laparoscopy sleeve gastrectomy (LSG) and Roux-en-Y gastric bypass (RYGB) are two  
26 types of bariatric surgery (BS), the most effective therapy to avert obesity and T2D currently  
27 available [12-15]. One of the consequences of BS is activation of BAT and browning of WAT,  
28 which is hypothesized to contribute to the increased energy expenditure, weight loss, and  
29 overall improvement in systemic glucose and lipid metabolism after BS [16, 17]. In 2009, several  
30 studies confirmed that active BAT is present in adult humans, and that, accordingly, BAT activity  
31 is reduced in patients with obesity [18-21]. One of the techniques currently used to quantify BAT  
32 is positron emission tomography with 2-deoxy-2-[fluorine-18] fluoro-D-glucose integrated with  
33 computed tomography (18F-FDG-PET-CT). This technique is considered the "gold-standard" to  
34 measure BAT/beige activity and metabolism [22], it is an expensive, time-consuming and  
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1 relatively invasive technique [23]. For the moment, the lack of non-invasive and low-cost  
2 methods to measure BAT activation before and after BS in patients makes it difficult to  
3 implement this parameter in the clinical practice for a better evaluation of the patients.  
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5 In the last years, infrared thermography (IRT) has been proposed as a novel non-  
6 invasive, safe, cheap and quick method to estimate BAT thermogenic activation in humans [24].  
7 IRT uses the heat-emitting properties of BAT and the relatively superficial position of the  
8 supraclavicular BAT depot. A rise in supraclavicular temperatures after cold exposure has been  
9 demonstrated using this methodological approach, and several studies correlated IRT data  
10 obtained in supraclavicular skin surface with BAT activity as determined using PET scans [25-29].  
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12 In this study, we determined for the first time the thermogenic activation of BAT after a  
13 hand-cold protocol using IRT in patients with severe obesity and the role of two different types  
14 of BS techniques.  
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## 22 **Materials and Methods**

### 23 *Subject cohort and surgical interventions*

24 A cohort of 31 patients (19 females/12 males, aged=  $50 \pm 10$  years-old, BMI=  $44.5 \pm 7.8$ )  
25 with severe obesity were included in this study, and were stratified into two groups according  
26 to the type of BS they underwent: 1) LSG (n= 15), in which the stomach is transected vertically  
27 from 5cm proximal to the pylorus up to the His' angle, using a 36Fr bougie as a calibrator.  
28 Hence, more than 70% of patient's stomach (mainly fundus and body) volume is removed  
29 without modifying the rest of gastrointestinal tract (GIT) [30]; 2) RYGB (n= 16), where the  
30 surgeons tailor a 40-60 mL gastric pouch that is anastomosed to the previously divided jejunum  
31 distal to the ligament of Treitz. Reconstruction of the GIT was completed in a "Y" configuration  
32 with a distal jejuno-jejunostomy [31]. All patients were evaluated by the same endocrinology  
33 specialist according to criteria formulated in Spanish Position Statement between Obesity,  
34 Endocrinology, Diabetes and Surgery Societies [32]. IRT, demographic and clinical data, including  
35 age, diabetes, and hypertension were recorded for all participants at baseline and 6 months  
36 after BS.  
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### 51 *Study Visits and Protocols*

52 For IRT acquisition, participants went to a specific room where the body area object of  
53 evaluation was uncovered and sat-down during 5 min in a thermoneutral ambient for  
54 acclimatization ( $24.3 \pm 1.6^\circ\text{C}$ ). Women were asked to move the straps of their sports bra aside,  
55 and any long-haired participants were asked to tie their hair up to expose the supraclavicular  
56 area when necessary.  
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For the cold protocol, 3 thermal images were taken for each patient using a FLIR T420 infrared camera (FLIR T420 Systems AB, Sweden), with a thermal sensitivity of 0.05 °C and resolution set at 320 x 240 pixels. The first image was taken to an aluminum foil phantom (1 meter away) to obtain a measurement of the reflected temperature for each set of images; in this moment, ambient temperature and relative humidity were registered for each set as well. For the second thermal image, the participants remained seated in an upright position, with their arms relaxed on both sides of their legs. After a calculation of optimal distances, the camera was placed 1 meter from the midpoint of the chair for these images. For the third image, patients were asked to put their left hand in cold water (17°C) during 5 minutes to stimulate BAT activation, after which the thermographic picture was taken.

### *Analysis of IRT*

Thermal data were extracted from IRT pictures by using a region of interest (ROI)-based approach. The ROIs were manually drawn in the images on the supraclavicular and sternum region using the FLIR ResearchIR Max software version 4.40.6.24 for windows (FLIR Systems Inc., North Billerica, MA, USA). All analyses were adjusted by atmospheric temperature, distance to the participant and relative humidity, which, as previously stated, were recorded at the beginning of each IRT session. Moreover, the reflected temperature was obtained by placing a rounded ROI on the aluminum foil phantom of the first thermal image and retaining the mean value (°C) for adjustments. For all thermographic images emissivity was set at 0.98 (human skin). Minimum, maximum and mean values of each ROI were retained as variables. The temperature in the supraclavicular region was normalized by sternum region temperature for each participant at all time points before and after BS.

We calculated the delta 'Supraclavicular T - Sternum T in thermal image number 1 (0 min) and in thermal image number 2 (5 min after cold stimulation), obtaining a value ' $\Delta T^0$ ' for the thermal image 1 and a value ' $\Delta T^5$ ' for the thermal image 2:

$$\Delta T_{(scr-str)}^0 = T_{supraclavicular\ area}^0 - T_{sternum\ area}^0$$

$$\Delta T_{(scr-str)}^5 = T_{supraclavicular\ area}^5 - T_{sternum\ area}^5$$

After that, we calculated the delta ' $\Delta T^{0-5min}$ ', which gave us the value of BAT thermogenic activation capacity elicited by cold stimulus. This is key readout of our study:

$$\Delta T^{0-5min} = \Delta T_{(scr-str)}^0 - \Delta T_{(scr-str)}^5$$

We repeated this same sequence 6 months after bariatric surgery, and we compared the values of the capacity of BAT thermogenic activation by cold stimulus 6 months after surgery, taking into account the different type of surgery.

### *Serum samples*

Serum samples from the study participants were collected after a 12h fasting period at baseline and 6 months after BS. All samples were stored at -80°C in the Biobanc of the Health Sciences Research Institute Germans Trias i Pujol Foundation. The Institutional Ethics Committee, in accordance with the Declaration of Helsinki, approved the study (PI16-025). All participants gave their written informed consent before the IRT and the collection of clinical data and samples.

### *Human serological analysis*

Fasted glucose and insulin levels, glycated haemoglobin, lipid profile (total cholesterol, HDL and LDL cholesterol, and triglycerides), urea, creatinine, and c-reactive protein were measured in the certified core clinical laboratory at the hospital. Body mass index, waist circumference and blood pressure were measured by the endocrinologists and dieticians in charge of the patients. The Homeostatic model Assessment-insulin resistance (HOMA-IR) was calculated through the following formula:

$$HOMA - IR = \frac{\left[Glucose \frac{mg}{dL}\right] * \left[Insulin \frac{m. u. int}{dL}\right]}{405}$$

### *Statistical analysis*

Data are presented as mean ± sem. Statistical tests were performed with GraphPad Prism 6.0. Normality of datasets was assessed using the Kolmogorov-Smirnoff test. Wilcoxon matched pairs test was used to compare delta 'ΔT<sup>0-5min</sup>' before and after bariatric surgery. Grubbs test was used to remove outliers prior to statistical analyses. *P*<0.05 was considered as the threshold of statistical significance in all analyses.

## **Results**

*BAT thermogenic capacity of patients with severe obesity increases after BS. Evidence of higher effects of the LSG versus RYGB type of BS on the induction of BAT activation.*

Clinical data from patients with obesity are shown in table 1. Patients were distributed according to the type of surgery. As expected, both LSG and RYGB led to weight loss and improved metabolic profile, including glucose and triglycerides levels. The group of individuals operated by LSG displayed significant lower levels of glucose and glycated hemoglobin before the surgery compared to the RYGB group. IRT images from all participants were taken at baseline (before surgery) and 6 months after the surgery.

1 We performed a pilot study in order to select the correct time of cold stimulus, and  
2 pictures were taken before and at 1, 2, 3, 4, and 5 minutes since the patients put the hand in  
3 the cold water. We detected a marked variability at very short times between the different  
4 patients (Supplementary Figure S1), and considering our observations and the previous  
5 literature, we chose to perform 5 minutes of cold stimulus.  
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8 Figure 1 shows a representative example of IRT images of one participant from each  
9 type of surgery. This figure shows the lack of thermogenic activation by cold exposure before  
10 surgery in both patients. At 6 months after bariatric surgery, cold exposure activated  
11 supraclavicular BAT in the patient from the LSG group but not in the patient from the RYGB  
12 group. The general data from the whole cohort of patients are shown in Figure 2, where we  
13 observe a significant increase of the thermogenic activity 6 months after LSG but not RYGB.  
14 There were no significant differences between females and males before and after bariatric  
15 surgery.  
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## 25 **Conclusions**

26 In this study, we demonstrate for the first-time usage of IRT as a novel non-invasive  
27 technique to estimate BAT activation after hand-cold exposure in patients with severe obesity  
28 undergoing BS. Due to IRT being a non-invasive method, a longitudinal approach could be used  
29 to estimate BAT activity at several time points after BS in patients with obesity.  
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33 Patients undergoing BS are currently evaluated before surgery in different aspects  
34 including endocrine-metabolic status and psychological traits. However, it is still challenging to  
35 predict the success of surgery because of the high variability in the extent of weight loss after  
36 surgery. Moreover, it is known that BAT thermogenic activation inversely correlates with BMI  
37 [18]. According with that, we have observed that at 6 months after BS, BAT thermogenic capacity  
38 increases. Therefore, we propose that this parameter, obtained through a non-invasive method  
39 such as IRT, is worthy to be considered among the number of data that are taken into account  
40 to evaluate the physiological and metabolic status of patients candidates to BS.  
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48 Interestingly, we found differences in the extent of BAT activation depending of the type  
49 of surgery, being patients undergoing LSG but not RYGB the ones who actually improved BAT  
50 activation capacity after BS. It is important to point out that RYGB has demonstrated better long-  
51 term results in patients with diabetes [33], and due to this fact, it is accepted in the clinical  
52 practice that this type of surgery must be performed in patients with severe obesity and  
53 impaired glucose homeostasis. In our study, differences in glucose levels and glycated  
54 hemoglobin were found between LSG and RYGB groups at baseline. Moreover, the LSG group  
55 showed normal glucose levels despite of having the same degree of obesity in terms of BMI  
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1 compared to RYGB group. Considering that BAT has demonstrated its positive role in energy  
2 expenditure and insulin sensitivity, contributing to a better metabolic state [4], it is tempting to  
3 speculate that the BAT of patients with normoglycemia would maintain a better function than  
4 patients with pre-diabetes or diabetes in terms of endocrine activity. It could explain, at least in  
5 part, the improvement in insulin sensitivity and the increase in the capacity to activate BAT after  
6 cold exposure observed in LSG group compared to RYGB, which might be contributing to the  
7 success of BS regarding weight loss. Other researchers have described BAT activation measured  
8 by 18F-FDG-PET-CT after a RYGB, but interestingly, a lack of hypothalamic activity was reported  
9 in these patients with obesity before and after the RYGB [17]. Since hypothalamic signaling to  
10 BAT is the main neural circuit involved in thermogenic activation of this tissue [34], a potential  
11 explanation is that our protocol to expose patients to cold stress (5 minutes with their hand in  
12 cold water) might not be enough to activate BAT thermogenesis in the RYGB group compared  
13 to LSG group due to this impairment of sympathetic signaling in the former set of patients. Other  
14 mechanisms of action (e.g. specific changes in enterokine secretion, adipokine release or  
15 microbiota changes) could account for the systemic effects of RYGB without involving central  
16 nervous BAT activation. Further studies should be developed to decipher the potential different  
17 effect of LSG and RYGB in the capacity to activate BAT thermogenesis.  
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30 Despite the advantages described above, IRT-based estimation of BAT activity is not  
31 exempt of limitations. Some researchers have pointed out some flaws in the quantification of  
32 BAT activity by IRT in subjects with obesity, suggesting that the changes in the layer of  
33 subcutaneous fat insulation could be a confounding factor to measure BAT temperature [35].  
34 Although we assume this potential limitation in our study, it is important to remark that we did  
35 not use basal temperature at the supraclavicular area as index of BAT activity but the individual  
36 capacity to increase supraclavicular temperature before and after a single bout of cold stress in  
37 every individual. As the fat layer is the same before and after the 5 min cold-stimulus exposure  
38 in each individual patient, changes in fat layer width are not expected to significantly influence  
39 our estimation. PET-scan-based measurement of BAT activity may have less potential limitations  
40 in this regard. However, using PET-scan techniques rises as well a number of concerns (e.g.,  
41 specific type of labeled metabolite used for the assay, stress associated with the scanning  
42 procedures, etc.) and are obviously not feasible for dynamic repeated measuring in the same  
43 individual as performed in our study, which can otherwise be assessed by IRT. Moreover, the  
44 lack of randomization in the type of surgery and no inclusion of normal weight individuals to  
45 compare at the same time their thermogenic activation by IRT are also limitations of the study  
46 and might be a bias in the different response to RYGB and LSG.  
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1 In conclusion, we report for the first time that IRT-based estimation of BAT thermogenic  
2 activity may be useful for a follow-up of patients after BS in a convenient, non-invasive manner.  
3 Thus, we propose that quantification of active BAT by IRT after a cold protocol may be useful in  
4 clinical practice as an additional measurement to evaluate the metabolic status and the  
5 potential success of BS in patients with obesity. Further studies including a meta-analysis to  
6 check potential biological and clinical associations would be necessary to establish its precise  
7 contribution to the clinical practice.  
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#### 10 11 12 13 **Conflict of Interest**

14 The authors declared no conflict of interest.  
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**Figures 1. Representative IRT images of 2 patients with severe obesity undergoing RYGB or LSG, respectively.**

IRT before RYGB at 0 minutes (A) and before RYGB at 5 minutes of cold exposure (B). IRT 6 months after RYGB at 0 minutes (C) and 6 months after RYGB at 5 minutes of cold exposure (D). IRT before LSG at 0 minutes (E) and before LSG at 5 minutes of cold exposure (F). IRT 6 months after LSG at 0 minutes (G) and 6 months after LSG at 5 minutes of cold exposure (H).

**Figure 2. BAT thermogenic capacity of patients with severe obesity is increased after BS.**

Supraclavicular skin temperature corrected by sternum temperature before and 5 minutes after cold exposure. Thermogenic activation, measured as the difference between 5 minutes and 0 minutes, is represented at basal time and 6 months after the RYGB (A) and LSG (B). Paired two-tailed t-test was used. **\*\*  $p < 0.01$**

**Supplementary Figure S1. Supraclavicular skin temperature measured in several times during 5 minutes of cold stimulus.** Supraclavicular skin temperature ( $^{\circ}\text{C}$ ) before and 1, 2, 3, 4, and 5 minutes after cold stimulus (n= 8 patients).

**Table 1.** Anthropometric and metabolic parameters from patients with morbid obesity before and after bariatric surgery.

	Basal		6 months		Δ6-0 months	
	LSG (n=15)	RYGB (n=16)	LSG	RYGB	LSG	RYGB
Age (years)	46 ± 13	53 ± 8	-	-	-	-
Sex (F/M)	10/5	9/7	-	-	-	-
Type 2 diabetes	5	9	0	0	-	-
Body mass index (kg/m <sup>2</sup> )	46 ± 9.7	42 ± 5.1	<b>35 ± 9.0<sup>#</sup></b>	<b>31 ± 5.3<sup>#</sup></b>	-11.74 ± 2.82	-10.91 ± 1.68
Waist circumference (cm)	136 ± 14	130 ± 11	<b>117 ± 16<sup>#</sup></b>	<b>105 ± 15<sup>#</sup></b>	-19.25 ± 11.23	-25.96 ± 11.91
Glucose (mg/dl)	94 ± 10	<b>128 ± 44<sup>*</sup></b>	86 ± 9	<b>90 ± 16<sup>#</sup></b>	-7.60 ± 9.91	<b>-36.33 ± 30.68<sup>*</sup></b>
Glycated hemoglobin (%)	5.6 ± 0.38	<b>6.2 ± 1.01<sup>*</sup></b>	5.3 ± 0.32	<b>5.3 ± 0.50<sup>#</sup></b>	-0.30 ± 0.27	<b>-0.89 ± 0.61<sup>*</sup></b>
Insulin (m.u.int./l)	11.02 ± 8.48	12.07 ± 7.32	10.38 ± 8.34	<b>7.14 ± 4.27<sup>#</sup></b>	-2.38 ± 9.32	-10.72 ± 17.98
Homeostatic model assessment (HOMA-IR)	2.87 ± 2.21	4.50 ± 3.99	2.54 ± 2.11	<b>1.63 ± 1.13<sup>#</sup></b>	-0.77 ± 2.3	-4.48 ± 6.68
Triacylglycerides (mg/dl)	133 ± 46	130 ± 35	<b>97 ± 39<sup>#</sup></b>	<b>100 ± 33<sup>#</sup></b>	-38.50 ± 54.37	-29.81 ± 46.28
Total cholesterol (mg/dl)	153 ± 20	145 ± 33	181 ± 45	164 ± 34	30.00 ± 54.17	18.75 ± 51.36
LDL-cholesterol (mg/dl)	85 ± 20	82 ± 34	113 ± 43	100 ± 30	30.50 ± 52.76	15.06 ± 46.65
HDL-cholesterol (mg/dl)	41 ± 9	37 ± 4	48 ± 7	48 ± 22	8.41 ± 7.82	10.97 ± 22.97
Creatinine (mg/dl)	0.91 ± 0.64	0.84 ± 0.26	0.86 ± 0.59	0.76 ± 0.18	0 ± 0.15	-0.10 ± 0.14
Urea (mg/dl)	39.13 ± 29.09	37.06 ± 13.74	34.80 ± 24.27	35.00 ± 7.65	-4 ± 9.87	-2.06 ± 11.62
C-reactive protein (mg/l)	8.89 ± 5.08	6.67 ± 5.17	7.12 ± 7.32	4.22 ± 3.59	-3 ± 9.79	0.11 ± 10.92
Systolic blood pressure (mmHg)	136 ± 16	134 ± 13	-	-	-	-
Diastolic blood pressure (mmHg)	80 ± 7	80 ± 10	-	-	-	-
Absolute temperature difference (°C) <sup>a</sup>	0.59 ± 0.2	0.56 ± 0.2	0.44 ± 0.1	0.58 ± 0.3	-	-
Relative temperature difference (°C) <sup>b</sup>	0.06 ± 0.1	0.09 ± 0.1	<b>0.32 ± 0.1<sup>#*</sup></b>	0.08 ± 0.1	-	-

Data are shown as mean ± SD except when it is indicated. Two-tail Student's t-test was applied to compare two groups, and one-way ANOVA with Tukey's post-hoc test was used for comparisons between more than two groups. \* indicates p<0.05 between LSG and RYGB; # indicates p<0.05 between 0-6 postsurgery. LSG: laparoscopic sleeve gastrectomy; RYGB: roux-en-Y gastric bypass; LDL-Cho: Low-density lipoprotein cholesterol; HDL-Cho: High-density lipoprotein cholesterol.

<sup>a</sup> This parameter is the difference between the supraclavicular skin temperature measured before the cold stimulation and the supraclavicular skin temperature measured after 5 minutes of cold exposure.

<sup>b</sup> This parameter is the difference between the supraclavicular skin temperature minus the sternum temperature measured before the cold stimulation and the supraclavicular skin temperature minus the sternum temperature measured after 5 minutes of cold exposure.

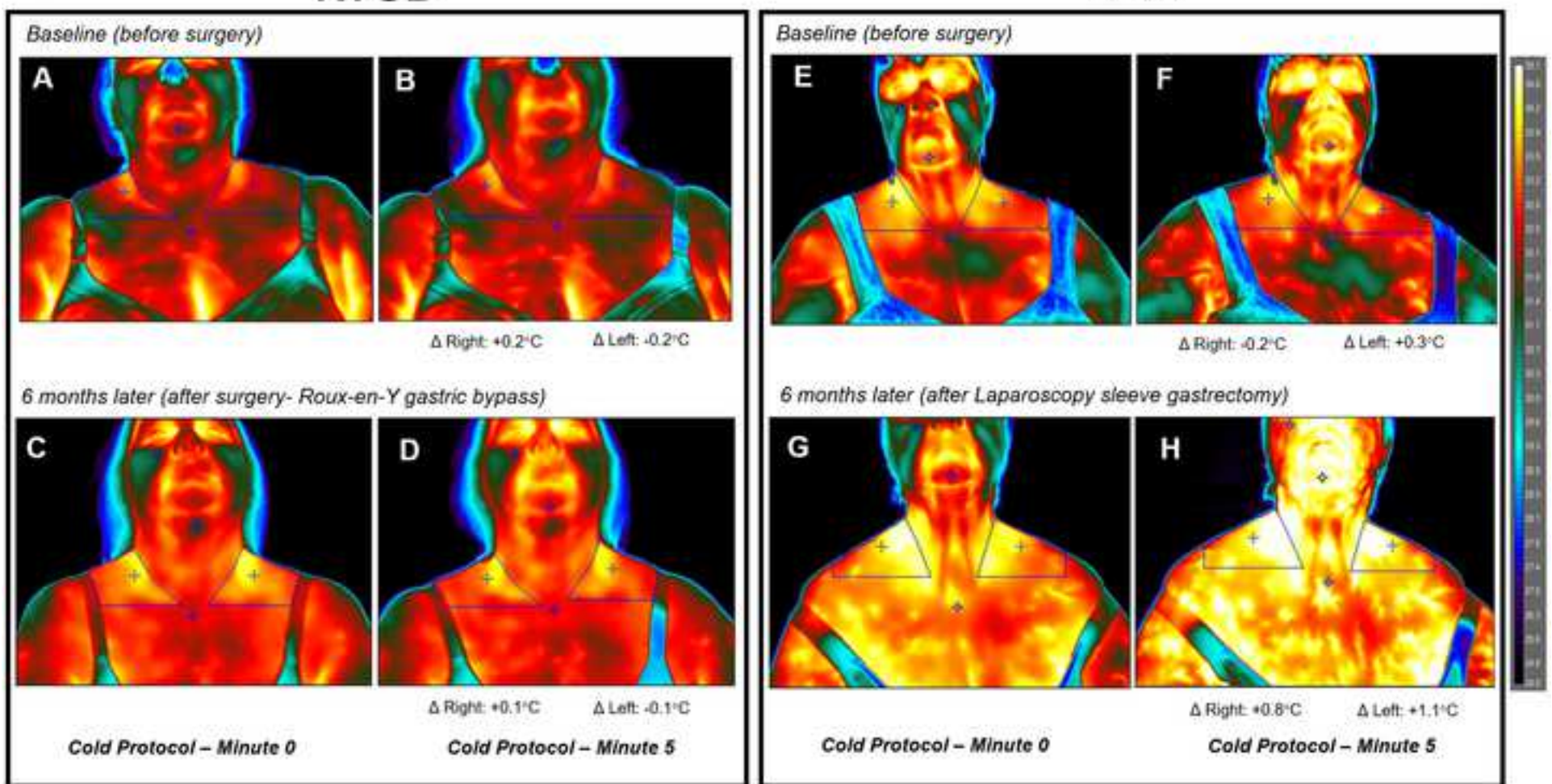


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Figure 1

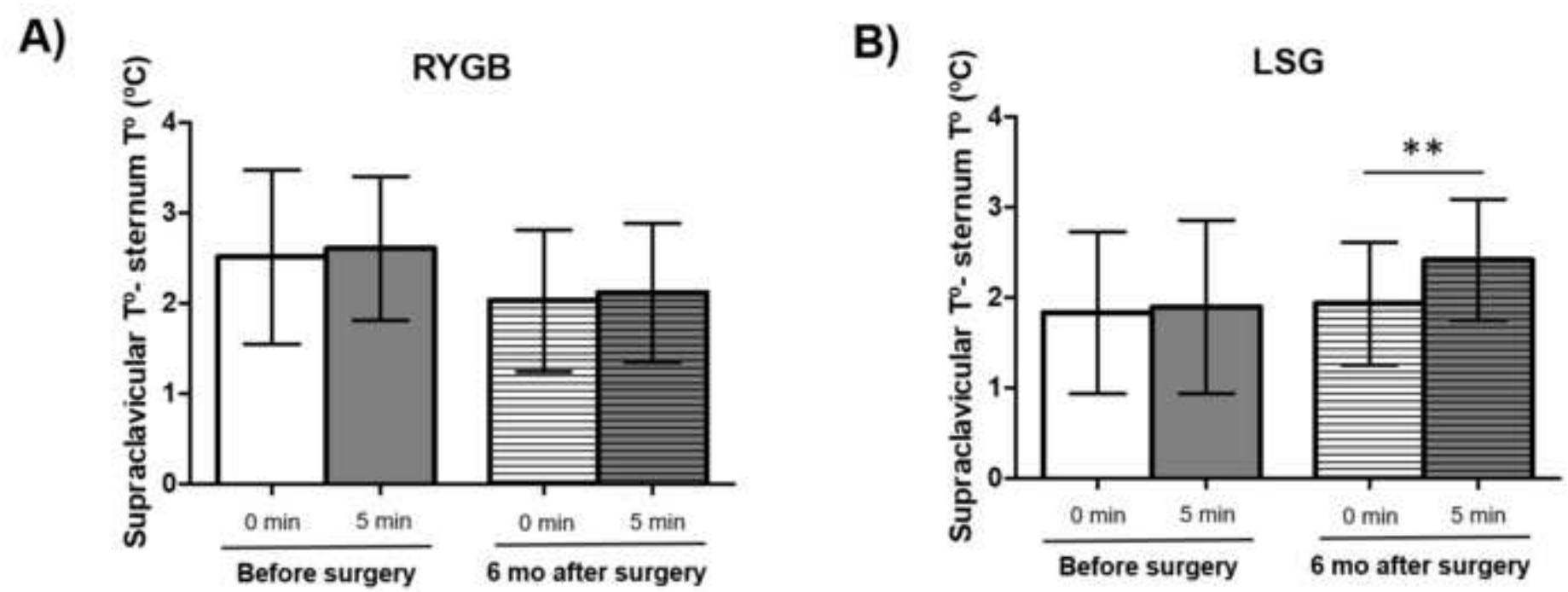
### RYGB

### LSG



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Figure 2



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# Pilot study

Supraclavicular Skin Temperature (°C)

