

1 **Characterization and fate of EfOM during ozonation applied for effective**
2 **abatement of recalcitrant micropollutants**

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11

12 **ABSTRACT**

13

14 Alterations occurring in the effluent organic matter (EfOM) during ozonation could be
15 detrimental depending on the final application of the treated effluent. In this work, the
16 fate of EfOM in different ozonized wastewaters was assessed through the monitoring of
17 general water quality parameters and organic fractions determined through size-exclusion
18 chromatography combined with organic carbon detection (SEC-OCD) analysis. These
19 different components of EfOM were distinguished based on relative molecular weights
20 and assigned to fractions named as biopolymers, humic substances, building blocks and
21 low molecular weight neutrals and acids. The significant abatement (60-90%) of an
22 ozone-refractory micropollutant (MP) was employed as reference to simulate potential
23 scenarios in which also the presence of these species is wanted to be attenuated.
24 Ultraviolet absorbance at 254 nm (UVA₂₅₄) and chemical oxygen demand (COD)
25 reductions ranged from 40 to 80% and from 10 to 45%, respectively, for ozone doses

26 between 0.6 and 1.0 mM, depending on the organic matter content (both dissolved and
27 suspended) and alkalinity of the effluents. Dissolved organic carbon (DOC) analysis
28 showed 21-27% reductions in Membrane bioreactor (MBR) effluents, whereas for
29 conventional activated sludge (CAS) samples this value increased (6-35%) during the
30 oxidative treatment. This was attributed to the continuous solubilization of humic
31 substances, according to SEC-OCD results. Moreover, accumulation of lower molecular
32 weight fractions such as building blocks or acids was observed in all the tested effluents,
33 and attributed to the breakdown of largest EfOM fractions, mainly humic substances.
34 Relationships proposed in this work between humic substances evolution, water quality
35 (UVA_{254}) and process parameters (immediate ozone demand (IOD), IOD-normalized
36 hydroxyl radical exposure ($\int[\bullet OH]dt/IOD$) and transferred ozone dose (TOD)) might be
37 useful for EfOM variations estimations along ozonation.

38

39 **KEYWORDS**

40

41 Wastewater ozonation, micropollutants oxidation, EfOM fractions, SEC-OCD, humic
42 substances

43

44 **1. Introduction**

45

46 In a time in which water scarcity increasingly constitutes one of the most serious threats
47 for human and environmental safety, enhanced wastewater treatment and reclamation
48 consolidates as the strategy to follow if sustainability regarding this vital resource is
49 wanted to be preserved [1–3]. Advanced treatment of effluents released into freshwater
50 bodies minimizes the negative impacts (*i.e.*, pollution of the receiving aqueous

51 compartments) derived from this practice. On the other hand, reclaimed wastewater can
52 be employed for a variety of non-potable uses, including agricultural and municipal
53 irrigation, environmental applications, recreational activities or industrial processes [3,4].
54 By means of these practices, significant volumes of freshwater are saved. However, the
55 use of this alternative water source in applications implying further human or animal
56 exposure is limited by the presence –among others– of organic micropollutants (MPs)
57 which, although in general not regulated, pose potential risks for living species [1].
58 Considering this particular issue, ozonation and activated carbon are nowadays one of the
59 most recognized advanced treatment technologies for enhanced wastewater treatment and
60 reclamation purposes [5–11].

61

62 So far, most studies dealing with ozonation of wastewater effluents mainly focus on the
63 fate of ozone-sensitive micropollutants and harmful oxidation byproducts – such as
64 bromate [12–14] – under such operational conditions (*i.e.*, low ozone doses). Higher
65 oxidant doses such as those required for ozone-resistant MPs abatement are in general not
66 considered in full-scale ozonation steps, although several organic compounds typically
67 present in wastewater effluents are recalcitrant to ozone and present toxic properties
68 which should encourage their effective abatement. On their part, changes in effluent
69 organic matter (EfOM) are traditionally set aside or studied, at most, through the variation
70 of general related parameters such as total and dissolved organic carbon (TOC and DOC,
71 respectively), chemical oxygen demand (COD) or ultraviolet absorbance at 254 nm
72 (UVA₂₅₄) [15–20]. There is still, however, a lack of knowledge regarding the fate of the
73 different organic fractions during municipal wastewater ozonation. Deeper information
74 can be obtained by means of size-exclusion chromatography in combination with organic
75 carbon detection, SEC-OCD [21], which is able to separate and quantify different EfOM

76 fractions according to their relative molecular weights and additional measurements such
77 as UVA₂₅₄ or organic nitrogen content.

78

79 There are several publications in literature describing the impact of wastewater effluent
80 ozonation on EfOM fractions [22–31]. However, in some of these works the employed
81 ozone doses were either not properly quantified [22,23] or too high to be considered for
82 practical applications [24]. Moreover, changes in EfOM fractions are often available in a
83 very qualitatively way [25–27], or expressed as variations in the average molecular size
84 but not quantified in terms of organic carbon concentrations [28,29]. In other few works,
85 changes in EfOM fractions were not related to or discussed together with micropollutants
86 oxidation or the reduction of any other general parameters typically monitored in
87 wastewater treatment [30,31]. None of these studies discuss the fate of EfOM for various
88 wastewater sources presenting different water qualities.

89

90 EfOM contained in reclaimed wastewater treated by ozonation can cause some technical
91 and environmental problems if further treatments are planned next. For instance, residual
92 organic matter (OM) could contribute to the formation of harmful disinfection by-
93 products in a subsequent disinfection step, or be partly responsible for membrane fouling
94 in a filtration unit [32,33]. Also, EfOM can compete with target pollutants for adsorption
95 sites in activated carbon systems or avoid the separation of these chemicals from the water
96 matrix if these are bound to the organic matter surface [32,33]. Moreover, since prior to
97 be used this water need to be redirected to its final destination, EfOM – especially its
98 lower molecular weight fractions – can be a perfect substrate for bacterial growth in
99 distribution systems, or cause eutrophication in receiving water compartments if the final
100 use is related to surface or groundwater recharge [32].

101

102 This work aimed to contribute to the – still – scarce knowledge regarding the variation of
103 EfOM fractions during ozonation of wastewater effluents. Concretely, the objective of
104 the study was to assess changes taking place when ozone doses required for the effective
105 abatement of micropollutants are applied, including the elimination of ozone-resistant
106 species. Thus, a potentially realistic scenario was explored in this study. To do so,
107 ozonation experiments in semi-continuous mode were performed for six different
108 wastewater effluents presenting a wide range of water qualities and spiked with an ozone-
109 resistant organic micropollutant as internal reference. The evolution of distinguishable
110 dissolved EfOM fractions was then followed by means of the SEC-OCD technique,
111 together with variations observed for other parameters typically discussed in practice,
112 such as UVA₂₅₄, COD and DOC. Finally, some relationships between EfOM changes and
113 some effluent quality and ozonation parameters were discussed.

114

115 **2. Materials and methods**

116

117 *2.1. Wastewater effluents*

118

119 Six different wastewater effluents coming from five wastewater treatment plants
120 (WWTPs) in the province of Barcelona (Spain) were employed in this work. All of them
121 treat municipal wastewater. Technical details of WWTPs from which they were collected
122 are gathered in Table 1. All effluents samples were filtered through 25 µm filter paper to
123 remove coarse particles not belonging to the effluent (*e.g.*, dragged from the sample
124 collection system) and avoid technical problems with the equipment used during

125 ozonation experiments (*e.g.*, ozone sensor). All the effluent samples were kept at 4 °C
126 until they were used.

127

128 Table 1. List of wastewater effluent samples used in this work and technical details of WWTPs from which
129 they were collected. (PC: primary clarification; MBR: membrane bioreactor; UF: ultrafiltration membrane;
130 NR: nutrient removal; CAS: conventional activated sludge; IFAS: Integrated fixed-film activated sludge;
131 SC: secondary clarification).

Sample ID	WWTP location	Population equivalent [PE]	Design flow [m³ d⁻¹]	Type of treatment
M1	Vacarisses	5280	1320	PC + MBR (UF) with NR
M2	Vallvidrera	5500	1100	PC + MBR (UF) with NR
M3	Gavà	192000	32000	PC + MBR (UF) with NR
C1	El Prat	2275000	420000	PC + CAS with NR + SC
C2	Gavà	192000	32000	PC + IFAS with NR + SC
C3	La Llagosta	358333	43000	PC + CAS + SC

132

133 2.2. Ozonation experiments

134

135 Wastewater ozonation experiments were performed in a 750 mL semi-batch reactor, at a
136 temperature of 20 °C and without pH adjustment. Ozone was generated by using a 301.19
137 lab ozonizer (Sander, Germany) and introduced into the reactor through a porous diffuser.
138 A mechanical stirrer was used to provide a proper contact between liquid and gas phases.
139 The gas flow rate and the ozone inlet concentration were maintained at 0.1 L min⁻¹ and
140 0.63 mmol L⁻¹, respectively. The transferred ozone dose (TOD), which represents the

141 ozone consumption, was determined through continuous evaluation of the O₃ mass
142 balance in the gas phase [34]. Inlet and outlet ozone concentrations were measured by
143 two BMT 964 ozone analyzers (BMT Messtechnik, Germany). The residual
144 concentration of ozone in the aqueous phase was monitored by means of a Q45H/64
145 dissolved O₃ sensor (Analytical Technology, USA).

146

147 Each wastewater effluent was spiked with 0.45 μM of the pesticide acetamiprid (ACMP),
148 here employed as reference micropollutant because of its resistance to molecular ozone
149 attack during ozonation, and then ozonized for 30 min under the mentioned operational
150 conditions. Samples were withdrawn at known time intervals and kept at room conditions
151 until complete consumption of dissolved ozone was achieved. Then, analyses for ACMP,
152 typical physicochemical parameters (namely UVA₂₅₄, DOC and COD) and EfOM
153 fractions were conducted.

154

155 Immediate ozone demand (IOD) of each wastewater sample was estimated in this work
156 as the minimum TOD required to detect dissolved ozone in the reaction medium [38].
157 The initial hydroxyl radical (•OH) consumption rates, which provide information about
158 the amount of •OH available in the reaction medium for micropollutant oxidation [39],
159 were here estimated considering the sum of contributions by dissolved EfOM and
160 alkalinity. For EfOM contribution, a mean rate constant value between dissolved EfOM
161 and •OH of $2.1 \cdot 10^5 \text{ (mg C L)}^{-1} \text{ s}^{-1}$ was used according to the work by Lee et al. [10],
162 together with the DOC values determined for each effluent sample (see Table 3).
163 Consumption rate due to alkalinity was calculated according to the known rate constant
164 of bicarbonate ion reaction with •OH ($8.5 \cdot 10^6 \text{ M}^{-1} \text{ s}^{-1}$ [40]) and the IC values determined

165 for each effluent sample (see Table 3). Thus, calculations were performed according to
166 the expression $\bullet\text{OH}_{\text{scavenging rate}} = 2.1 \cdot 10^5 \cdot \text{DOC} + 8.5 \cdot 10^6 \cdot \text{IC}$.

167

168 2.3. Analytical methods

169

170 ACMP concentration was determined through HPLC-UV. Samples were previously
171 filtered through 0.45 μm polytetrafluoroethylene (PTFE) filters. The mobile phase
172 consisted of 70:30 volumetric mixtures of acetonitrile and ultrapure water at pH 3
173 (achieved by addition of H_3PO_4). Separation was achieved by means of a 25x0.46 cm (5
174 μm size packing) Sea18 column (Teknokroma, Spain). The flow rate and injection
175 volume were set at 1 mL min^{-1} and 100 μL , respectively. Detection was performed at 254
176 nm. UV absorbance at 254 nm was measured by means of a DR6000 UV Vis
177 spectrophotometer (Hach, USA) employing a quartz cuvette (path length: 1 cm). TOC,
178 DOC and inorganic carbon (IC) were measured by means of a Shimadzu TOC-VCSN
179 analyzer. COD was determined according to Standard Methods procedure 5220D [36].
180 Nitrite (NO_2^-) concentration was determined by means of ion-exchange chromatography
181 with UV detection. For DOC, IC, UVA_{254} and nitrite analyses, samples were previously
182 filtered through 0.45 μm PTFE filters. In order to characterize EfOM fractions after
183 applying particular ozone doses, the SEC-OCD technique from DOC-Labor was
184 employed. Detailed information regarding this technique can be found elsewhere [21,37].
185 In summary, samples were previously filtered through 0.45 μm PTFE filters. The mobile
186 phase was a phosphate buffer (0.01 M, pH 7) containing 0.1 M of NaCl. Separation of
187 EfOM fractions by molecular weight was achieved by using a 25x2.2 cm column filled
188 with HW-50 resin purchased from Sigma-Aldrich (Germany). Operation flow-rate and
189 injection volume were 1 mL min^{-1} and 1 mL, respectively. As mentioned, the SEC-OCD

190 procedure consists of size exclusion chromatography (SEC) followed by organic carbon
 191 detection. For calibration of humic substances molecular weights, Suwannee River
 192 standard humic and fulvic acids were employed. Both were acquired from the
 193 International Humic Substances Society. Based on the good agreement between the
 194 chromatographic behavior of these standards and that of effluent samples, as well as to
 195 additional UVA₂₅₄ measurements, the name humic substances (HS) was assigned to one
 196 of the observed EfOM fractions. The nominal average molecular weights of fulvic and
 197 humic acid standards (711 and 1066, respectively) could be used to determine the
 198 molecular weight distribution of HS. The fraction with the highest molecular size (thus,
 199 eluting first and before HS) received the name biopolymers (BP). The fraction observed
 200 after HS elution was named as building blocks (BB), and was related to products coming
 201 from the breakdown of humic substances. The names low molecular weight acids and
 202 neutrals (LMWA and LMWN, respectively) were assigned to those fractions eluting last.
 203 The slightly more hydrophobic character of LMWN compared to LMWA allowed
 204 distinction between both fractions. A summary of the different fraction assignments can
 205 be found in Table 2. It has to be noted that fraction assignments in this work were made
 206 using the same fraction names firstly proposed by Huber and coworkers for
 207 characterization of organic matter in natural waters [21,37], and then adopted by other
 208 researchers for description of other types of water samples, including wastewater
 209 effluents [39].

210

211 Table 2. Properties of dissolved EfOM fractions distinguished in SEC-OCD analyses.

Dissolved EfOM fraction assignment	Molecular weight	Description
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Biopolymers (BP)	> 20000	Associated to amino sugars, polypeptides and proteins. Do not absorb UV radiation.
Humic substances (HS)	~1000	Mix of hydrophobic humic substances and humic/fulvic acids, in varying concentrations
Building blocks (BB)	300 - 450	Degradation intermediates of humic substances
Low molecular weight neutrals (LMWN)	< 300	Short chain, non-acidic degradation products: alcohols, aldehydes, ketones...
Low molecular weight acids (LMWA)	< 300	Final degradation products of organics

212

213 3. Results and discussion

214

215 3.1. Effluent characteristics

216

217 The main physicochemical characteristics of all effluent samples are gathered in Table 3.
 218 Differences observed in the main quality parameters of tested effluents were attributed to
 219 particular WWTPs technologies and operational conditions, especially those regarding
 220 biological processes and subsequent separation steps. They covered a relatively broad
 221 range of effluent qualities and therefore represented the diverse range of wastewaters that
 222 currently can be found in practice.

223

224 Table 3. Effluent quality parameters. M and C stands for MBR and CAS effluents, respectively. All
 225 measurements were performed per triplicate (n=3). Discrepancies between replicates were in all cases lower
 226 than 5%.

Sample ID	WWTP location	pH	UVA ₂₅₄ * [m ⁻¹]	TOC [mg C L ⁻¹]	DOC * [mg C L ⁻¹]	COD [mg O ₂ L ⁻¹]	Turbidity [NTU]	IC * [mg C L ⁻¹]	NO ₂ ⁻ * [mg N L ⁻¹]
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M1	Vacarisses	7.9	17.9	6.7	6.6	14.9	0.6	87.4	0.9
M2	Vallvidrera	7.4	16.3	10.5	10.3	20.7	0.9	42.7	< 0.1
M3	Gavà	7.7	14.9	12.4	12.1	16.6	0.3	53.0	0.1
C1	El Prat	7.5	22.9	14.0	13.6	29.7	1.1	63.6	0.1
C2	Gavà	7.8	67.2	42.1	27.6	93.5	28.6	114.7	0.1
C3	La Llagosta	7.7	66.4	25.6	21.3	53.0	20.1	98.3	< 0.1

227 * Samples were filtered through 0.45 μm PTFE filters for the measurement of these parameters.

228

229 3.2. Ozone demand, radical scavenging and removal of the reference compound ACMP

230

231 Estimated values of IOD and $\bullet\text{OH}$ consumption rates are presented in Table 4.

232

233 Table 4. Estimated IOD and $\bullet\text{OH}$ consumption rates of tested effluent samples. EfOM contributions in

234 samples C2 and C3 may have been underestimated, as only dissolved EfOM was taken into account.

Effluent sample ID	M1	M2	M3	C1	C2	C3
IOD [$\text{mmol O}_3 \text{ L}^{-1}$]	0.29	0.19	0.23	0.3	0.6	0.4
$\bullet\text{OH}$ consumption rate [s^{-1}]	$2.0 \cdot 10^5$	$2.5 \cdot 10^5$	$2.9 \cdot 10^5$	$3.3 \cdot 10^5$	$6.6 \cdot 10^5$	$5.2 \cdot 10^5$

235

236 Although relatively low O_3 doses (*e.g.*, 0.1-0.3 mmol L^{-1} or 5-15 mg L^{-1}) should be

237 enough to meet the removal of organic compounds with medium or high reactivity to

238 ozone [10,11,14,41], this criteria could get gradually stricter as is likely to occur in view

239 of the increasing water scarcity and concern on micropollutants presence in water

240 resources. Then, the abatement of even those MPs recalcitrant to ozone may be also

241 required in a near future. In this study, we selected ACMP as reference compound on the

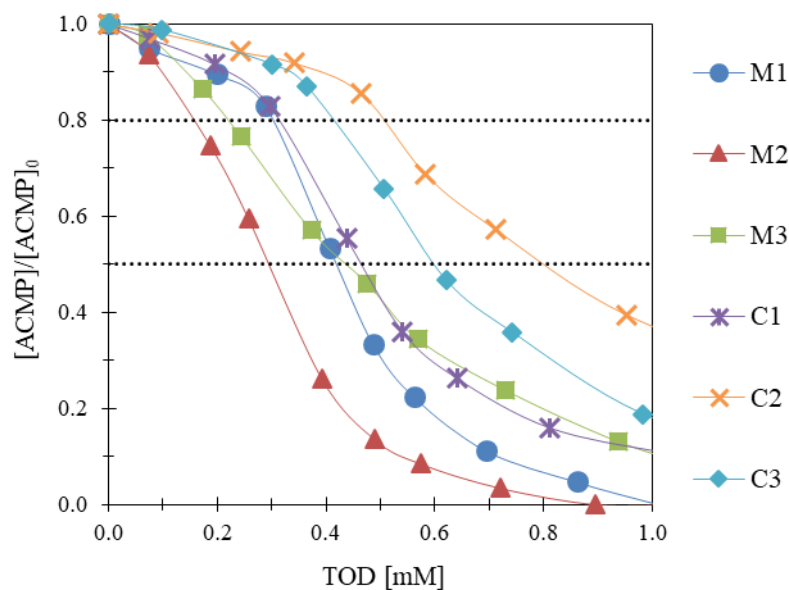
242 basis of this hypothesis. ACMP reacts very slowly with ozone [35], and therefore only

243 hydroxyl radicals generated through O_3 decay contribute to its degradation during
244 ozonation. If ozone-resistant MPs such as this pesticide are significantly removed from
245 wastewater effluents, a higher abatement of any other compound with higher sensitivity
246 towards ozone attack would be guaranteed. Furthermore, O_3 doses necessary for
247 disinfection purposes are generally lower than that for micropollutant oxidation [9,42].

248

249 Measurements of the reference compound ACMP ($[ACMP]_0$: $0.45 \mu M$) at regular
250 reaction times allowed the obtaining of the degradation profiles presented in Fig. 1. Ozone
251 doses required to reach 80% abatement of ACMP differed significantly between samples.
252 These were approximately between 0.4 and 0.8 mM (~ 19 - 38 mg L^{-1}) for effluents M1-
253 M3 and C1, whereas for effluents C2 and C3 such doses were about 1 mM (48 mg L^{-1}) or
254 even higher. For an abatement level of 50%, doses between 0.3 and 0.5 mM (14 - 24 mg
255 L^{-1}) would instead be required for the less polluted effluents and between 0.6 and 0.8 Mm
256 (29 - 38 mg L^{-1}) for samples C2 and C3.

257



258

259 Figure 1. Abatement of the reference compound ACMP during semi-batch ozonation experiments with
260 different wastewater effluent samples.

261

262 The feasibility of ozonation steps implementation for MPs abatement (including ozone-
263 resistant compounds) should be individually assessed for each wastewater source by
264 means of more comprehensive procedures [43], especially for those effluents presenting
265 a higher O₃ demand and •OH scavenging rate (*e.g.*, C2 and C3). In any case, in this study
266 we considered maximum ozone doses of about 1 mM as potentially practical for ozone
267 applications to enhanced wastewater treatment and reclamation goals, and explored the
268 fate of EfOM when subjected to these treatment conditions.

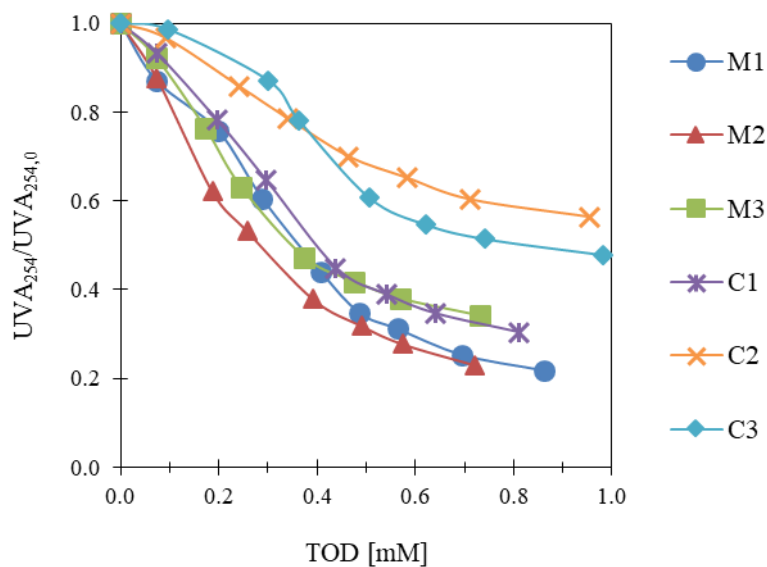
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270 3.3. Changes of general EfOM descriptors (UVA₂₅₄, COD and DOC) during ozonation

271

272 Changes in UV absorbance at 254 nm (UVA₂₅₄) during ozonation experiments are shown
273 in Fig. 2. Ozone typically reacts with electron-rich moieties of EfOM [39], resulting this
274 in a sustained decrease of the wastewater UV absorption along the process. Differences
275 observed between effluents regarding UVA₂₅₄ decrease can be related to their respective
276 water characteristics, which led to different availabilities of oxidants in the reaction
277 medium (see estimated IOD and •OH consumption rate values in Table 4). Thus, for C2
278 and C3 effluents, both containing important amounts of O₃-consuming [20] particulate
279 and colloidal matter (see the difference between TOC and DOC values as well as
280 turbidity, Table 3) and alkalinity (IC), the UVA₂₅₄ decrease at 30 min (TOD: 0.9-1.0 mM)
281 ranged between 40 and 50%, whereas for MBR effluents (M1-M3) this value was
282 determined to be considerably higher (70-80%) for a lower ozone consumption. In the
283 particular case of C1, its lower content in solid and colloidal matter (difference between
284 TOC and DOC values: 0.4 mg C L⁻¹; turbidity: 1.1 NTU) compared with C2 and C3
285 allowed a larger aromaticity reduction, more similar to that accounted for membrane

286 bioreactor effluents. Other organic matter descriptors such as COD, DOC, TOC
 287 (addressed in the following paragraphs) and turbidity varied along the oxidative treatment
 288 displaying a similar dependence with effluent characteristics. For instance, turbidity
 289 measurements at the end of treatment (*i.e.*, after 30 min of ozonation, which corresponded
 290 to the highest applied ozone dose), reductions of 70-80% for effluents M1-M3, 60% for
 291 C1 and about 30-40% in the case of samples C2 and C3 were registered.
 292



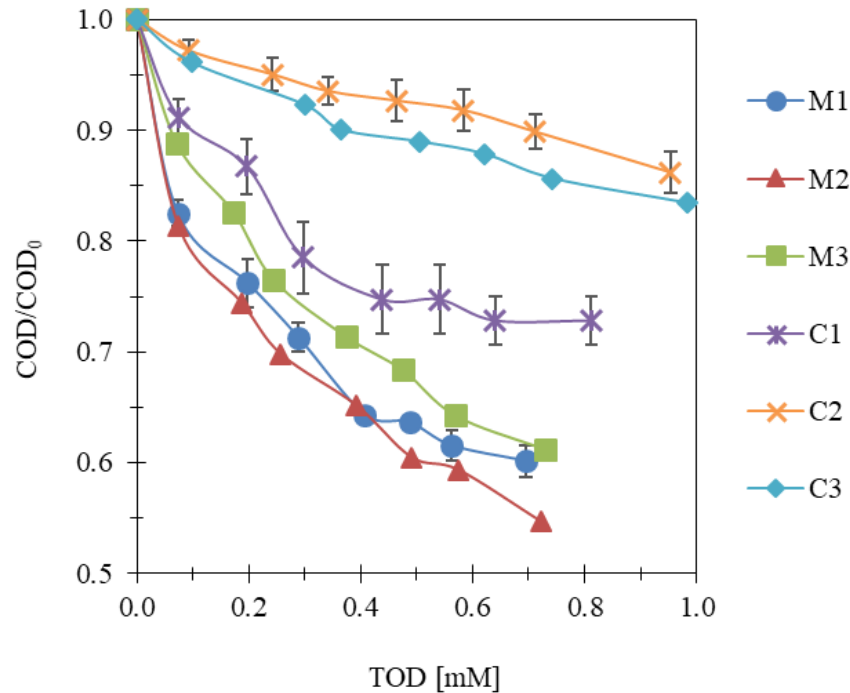
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294 Figure 2. UV absorbance (at 254 nm) evolution during ozonation of wastewater effluents.

295

296 Fig. 3 shows the COD/COD₀ profiles obtained for each water source, as a function of the
 297 TOD. COD removals at the end of the treatment (TOD: 0.6-1.0 mM) ranged from 10 to
 298 45%, depending on the tested sample. Again, clear differences were observed between
 299 effluents, together with a consistent trend for this bulk parameter with respect to the
 300 UVA₂₅₄ evolution, previously described. According to this, for instance, the M2 effluent
 301 – with relatively low content in organic matter and the lowest alkalinity among the studied
 302 wastewaters – was the one for which the largest COD removal was observed, whereas C2
 303 and C3 samples (highest content in both organic and inorganic carbon as well as in

304 colloidal and particulate matter) exhibited the lowest –and also the slowest– reduction for
 305 this parameter.
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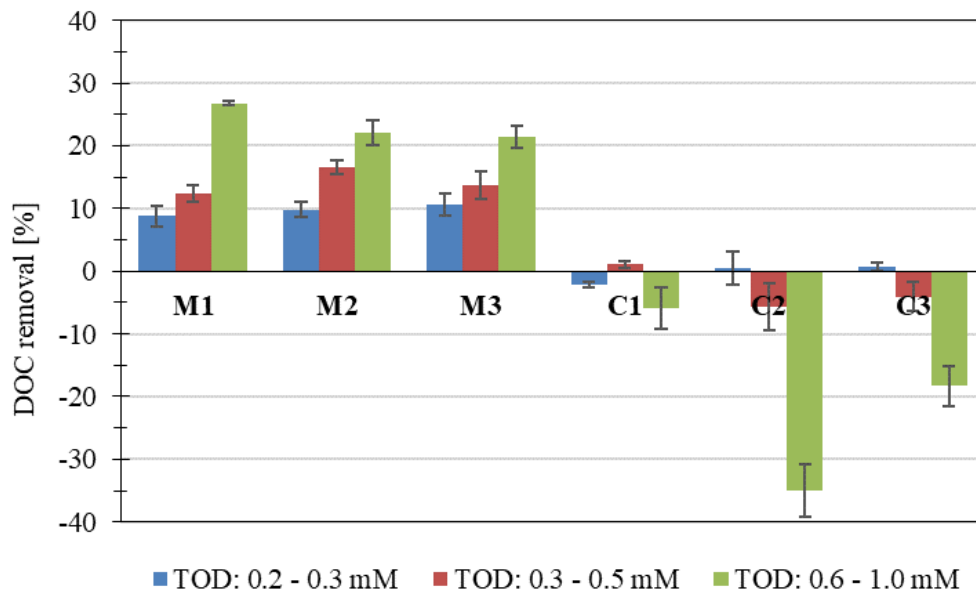


307
 308 Figure 3. COD removal versus transferred ozone dose during semi-batch effluent ozonation experiments.

309
 310 In general, lower overall reductions of COD (max. 45%) in comparison to UVA₂₅₄ (up to
 311 80%) were observed. It seems that the provided oxidation conditions were not strong
 312 enough in order to achieve comparable levels of organic matter transformation in terms
 313 aromaticity depletion and COD reduction.

314
 315 Fig. 4 shows the percentage of DOC removal during effluent ozonation experiments,
 316 determined at three different ozone doses (TOD values of 0.2-0.3, 0.3-0.5 and 0.6-1.0
 317 mM). For effluents M1, M2 and M3, all of them coming from MBR systems, the DOC
 318 concentrations after 30 min of treatment (TOD: 0.6-0.7 mM) were reduced by 27%, 22%
 319 and 21%, respectively. TOC measurements of the same samples (data not shown)

320 revealed almost identical removal values, which is logical given the fact that nearly all of
 321 the organic matter was in dissolved form. In any case, organic carbon removals were in
 322 comparison lower than those reached for COD or UVA₂₅₄ at the same consumed ozone
 323 doses. In wastewater ozonation, the degree of mineralization is typically low, and other
 324 water quality parameters related to the organic content – such as COD and UVA₂₅₄ – are
 325 in general more affected during the process. This is reasonable, as DOC concentration
 326 only is reduced when decarboxylation reactions are produced, that is, when the maximum
 327 possible level of organic matter oxidation takes place [39].
 328



329
 330 Figure 4. DOC concentration removal in wastewater ozonation experiments at various transferred ozone
 331 doses (TOD).
 332
 333 Concerning effluents C1, C2 and C3, the observed DOC removals at the end of ozonation
 334 experiments were negative in all cases. Water effluents coming from CAS systems
 335 usually contain residual amounts of suspended solids and colloids (see TOC, DOC and
 336 turbidity values of Table 3). Therefore, and in agreement with literature [44,45], it is
 337 possible that part of this non-dissolved material could be solubilized upon oxidation. For

338 the CAS effluents tested in the present study, this phenomenon was observed after
339 consumption of relatively high ozone doses (*i.e.*, 0.7-1.0 mM), rather than at low TOD
340 values. In fact, at O₃ doses between 0.2 and 0.5 mM only small changes in the DOC
341 concentration were registered, which could be indicative of a simultaneous oxidation-
342 solubilization of, respectively, the dissolved and undissolved EfOM. An indicative of this
343 competition between degradation and solubilization may be the TOC removals measured
344 at the end of treatment, which were insignificant for samples C2 and C3 and only about
345 10% in the case of C1.

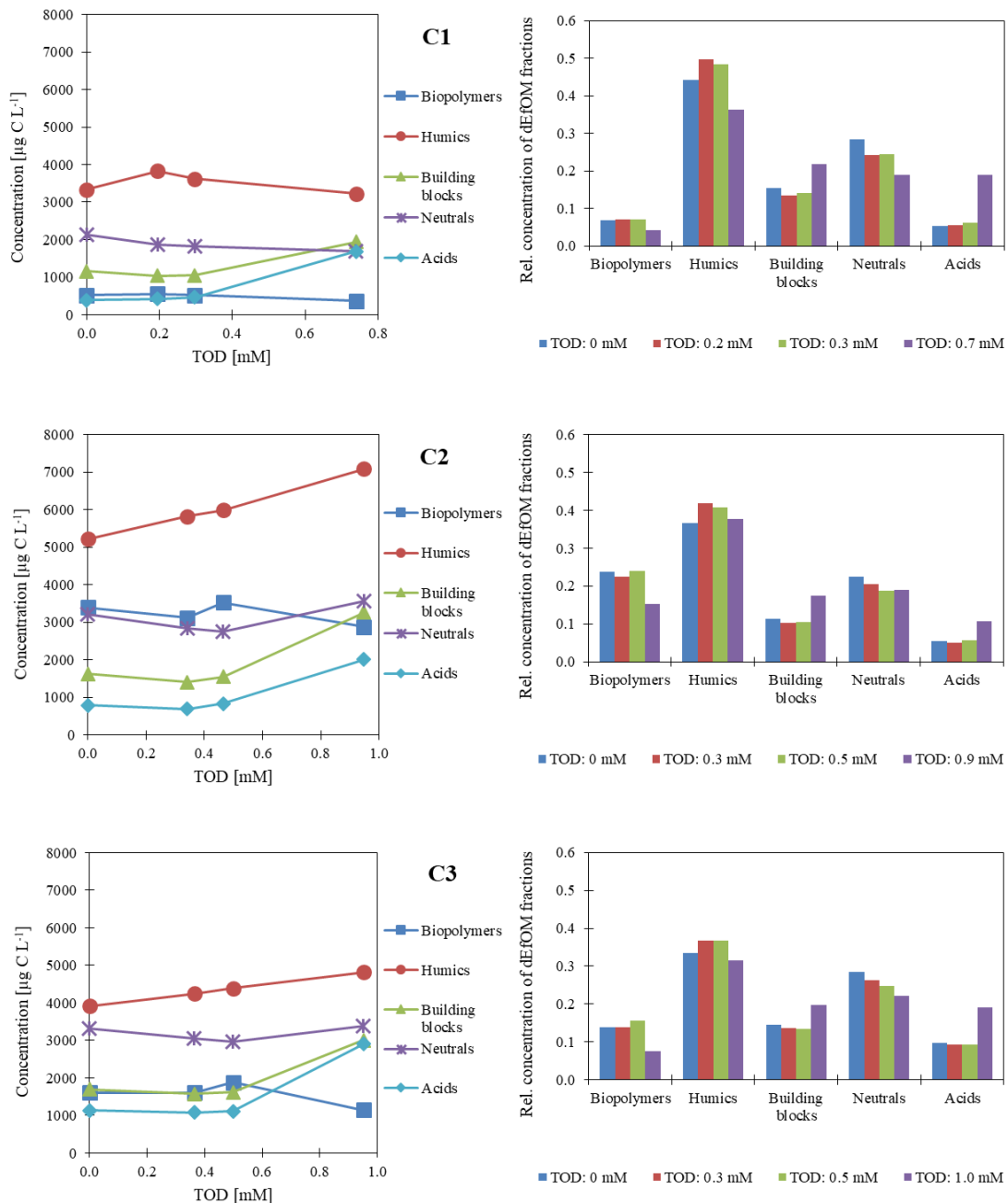
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347 3.4. *Evolution of EfOM fractions*

348

349 Figs. 5 and 6 show the evolution of (dissolved) EfOM fractions and their relative
350 contribution to DOC during ozonation of wastewater effluents. At this point it has to be
351 recalled that EfOM fractions referred to in the following lines are due to fraction
352 assignments based on the chromatographic behavior of organic matter components in
353 wastewater effluent samples, according to the methods described for SEC-OCD analyses
354 in section 2.3. Samples coming from CAS processes presented larger percentages of
355 biopolymers than MBR effluents (7-25% vs 1-2%, respectively), which was already
356 expected given that ultrafiltration membranes employed in MBR units are able to retain
357 extracellular polymeric substances (EPSs). Differences were also observed in the humic
358 substances concentration (2697-3319 and 3335-5217 $\mu\text{g C L}^{-1}$ for MBR and CAS,
359 respectively) which, in agreement with previous related studies concerning membrane
360 fouling in MBR units, suggests that a part of the largest constituents of this EfOM fraction
361 could also be retained during the separation process [24,46].

362



363

364 Figure 5. Evolution of dissolved EfOM fractions (left) and contribution to DOC (right) for ozonized CAS
 365 effluents. Relative concentration of EfOM fractions in right column plots (y-axis) refer to DOC of
 366 considered fraction divided by total DOC.

367

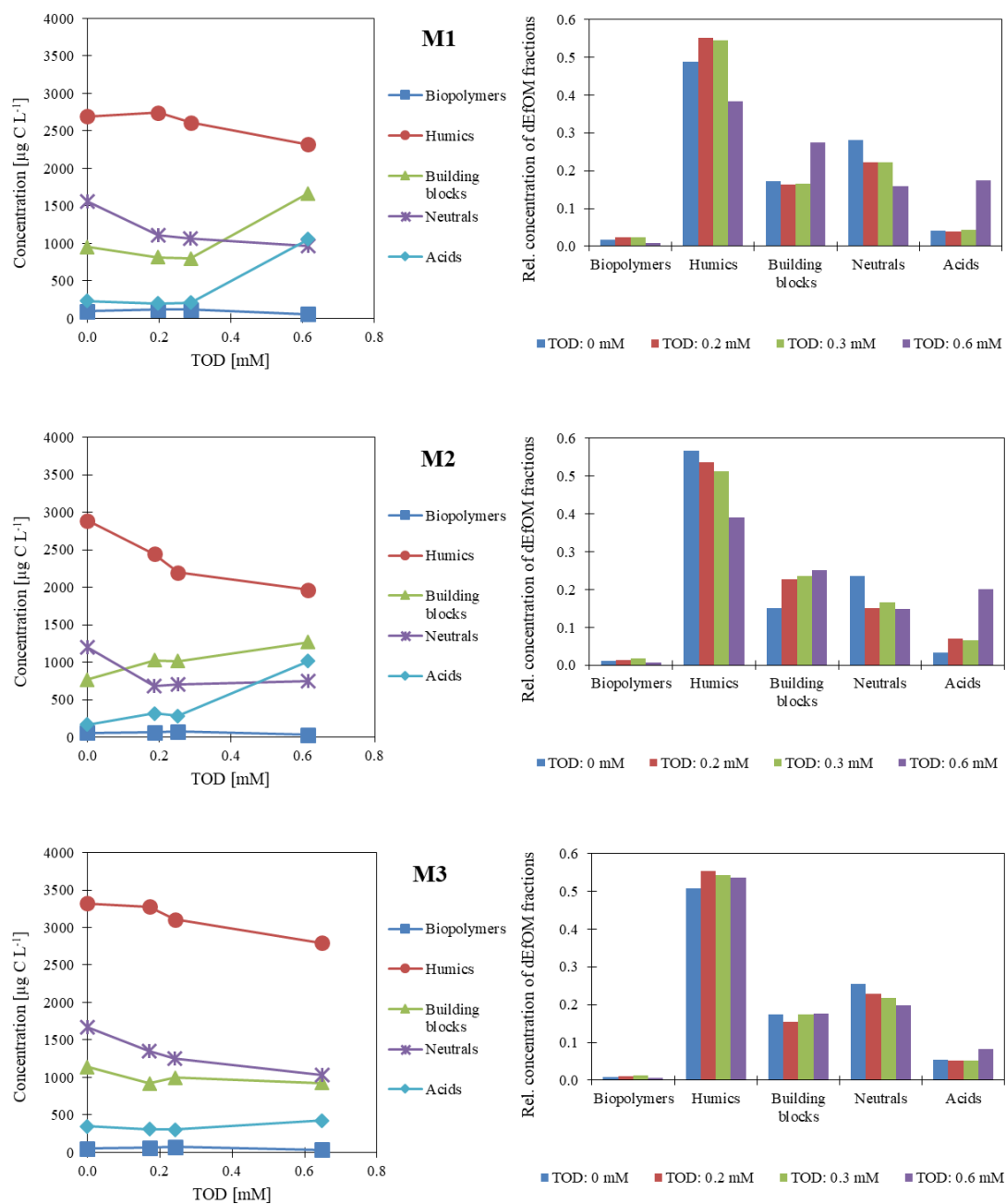
368 Regarding CAS effluents (Fig. 5), biopolymers were not significantly removed until
 369 higher doses of ozone were consumed [from 7 to 4% (C1), from 24 to 15% (C2) and from
 370 14 to 8% (C3) of dissolved EfOM content for O₃ doses of 0.7-1.0 mM]. Especially

371 remarkable is the case of humic substances, which noticeably increased from the
372 beginning of the treatment, especially for C2 and C3 samples. The observed accumulation
373 of humic substances during the entire C2 and C3 ozonation time, together with the fact
374 that these two waters contain the highest fraction of suspended solids among all the
375 studied effluents may be linked. Thus, the non-soluble fraction of humic substances could
376 be solubilized when oxidized [24,37]. This affirmation would be experimentally
377 supported by the DOC measurements made at different ozone doses and early seen in this
378 study, which revealed significant increases in this parameter (35% and 18% for C2 and
379 C3 samples, respectively) for consumed ozone doses of 0.9-1.0 mM. Significantly higher
380 doses applied in related works for effluents containing suspended EfOM [24] could have
381 hinder a possible initial increase of this fraction followed by subsequent depletion for
382 higher oxidant dosages. This was in fact observed for sample C1, a CAS effluent
383 containing a lesser amount of suspended solids. Moreover, the continuous solubilization
384 of humic substances would provide an additional explanation to the low rates of UVA₂₅₄
385 depletion found for C2 and C3 waters. BB remained almost unaltered until higher ozone
386 doses were applied, which means that humic substances were not being destroyed at those
387 oxidation extents. Only at O₃ doses of 0.7-1.0 mM, an enrichment in the BB contents
388 [from 15 to 22% (C1), from 11 to 17% (C2) and from 15 to 20% (C3)] was noticeable in
389 all EfOMs. Regarding LMWN, the concentration of this fraction increased at the end of
390 the treatment (*i.e.*, for ozone doses of 0.7-1.0 mM) for samples C2 and C3, but only after
391 an initial – and also slight – reduction at the first stages of the process took place. On the
392 contrary, for effluent C1 a slightly decrease in this fraction concentration was observed
393 during the entire ozonation time. Again, differences between effluents C2 and C3, on one
394 hand, and effluent C1, on the other, appear to be well explained by the solubilization
395 process taking place in the first ones: the continuous introduction of humic substances to

396 the system would hypothetically lead to an accumulation of degradation intermediates
397 (*i.e.*, LMWN), contrarily to what typically happens in water matrices in which this re-
398 dissolution of OM does not significantly take place (*e.g.*, MBR effluents and also sample
399 C1). Despite the increase in LMWN observed for C2 and C3, a gradual impoverishment
400 and accumulation of this fraction in all the tested CAS effluents was registered [overall
401 changes in EfOM composition for consumed O₃ doses of 0.7-1.0 mM: from 28 to 19%
402 (C1), from 23 to 19% (C2), from 28 to 22% (C3)]. Finally, a significant accumulation of
403 LMWA was observed for all three samples at ozone doses of 0.7-1.0 mM, leading to the
404 enrichment in this component of the corresponding EfOM compositions [from 5 to 19%
405 (C1), from 6 to 11% (C2) and from 10 to 19% (C3)]. This evolution was predictable, as
406 carboxylic acids present low reactivity towards ozone and the contribution of hydroxyl
407 radical oxidation in complex water matrices is usually expected to be low [39,47].

408

409 The evolution of EfOM fractions during ozonation of MBR effluents is shown in Fig. 6.
410 With no biopolymers nor suspended solids present in wastewater matrices, ozone
411 primarily attacked humic substances and LMWN, leading this to the gradual
412 accumulation of BB but particularly LMWA (from 4 to 18% (M1), from 3 to 20% (M2)
413 and from 5 to 8% (M3), for consumed ozone doses of 0.6-0.7 mM). The overall increase
414 of humic substances concentration observed for the M3 dissolved EfOM was the result
415 of the small changes that took place in the rest of fractions, together with a DOC reduction
416 of *ca.* 21% at the end of the treatment.



417

418 Figure 6. Evolution of dissolved EfOM fractions (left) and contribution to DOC (right) for ozonized MBR
 419 effluents. Relative concentration of EfOM fractions in right column plots (y-axis) refer to DOC of
 420 considered fraction divided by total DOC.

421

422 In view of the above results, it is clear that applying ozonation can change EfOM along
 423 the treatment. These changes in turn, could cause negative impacts that require especial
 424 mention: in first place, the cleavage of macromolecules and medium-size structures to

425 yield LMWA, which are not effectively mineralized by ozone, is known for leading to
426 the enhancement of water biodegradability [20,48]. Although this could be interesting if
427 a final biological treatment step is planned (*e.g.*, biological activated carbon), it could be
428 also detrimental if this water is discharged or directly reused instead: biodegradable
429 EfOM is a perfect substrate for bacterial growth in pipes, membranes or receiving water
430 compartments [49]. Regarding the biopolymers fraction, the observed reduction during
431 the process was in any of the cases higher than *ca.* 45%. For CAS effluents, containing a
432 significantly higher concentration of these components, the reduction percentage was still
433 lower. This means that the greatest part of biopolymers, partly responsible for membrane
434 fouling, for instance, remained unaltered in the water matrix. With respect to particulate
435 matter solubilization during the treatment, ozonizing secondary effluents containing
436 suspended solids seems to be not recommended. Finally, increasing DOC in an already
437 treated wastewater would be in first place inefficient for obvious reasons. In addition, this
438 detrimental effect could lead to other problems such as increased generation of
439 disinfection by-products in hypothetical post-treatment.

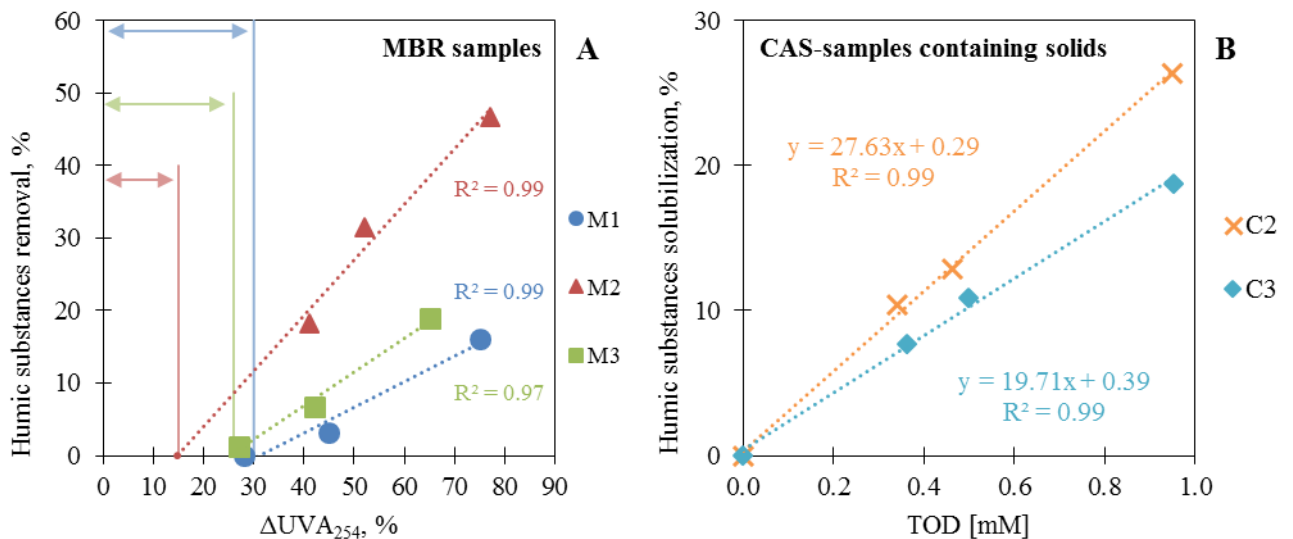
440

441 *3.5. Relationship between process parameters and EfOM changes*

442

443 Although UVA_{254} is typically used as an estimate of the content in humic substances of
444 EfOM [19,50–53], a strict correlation between these two factors has not been observed
445 during ozonation experiments. Oxidation of humic substances initially occurs at the
446 external part of the coil formed by these molecules, which in turn prevents its inner part
447 to be destroyed by ozone and hydroxyl radicals [54]. According to the aromaticity model
448 for humic substances proposed by Del Vecchio and Blough [55], the attack on the
449 peripheral part of chemical structures of humic substances potentially leads to the

450 oxidation of electron-donating or -accepting subunits responsible for charge transfer
 451 transitions. As charge transfer transitions between these structures are responsible for UV
 452 absorption, this would be enough to reduce the sample UVA₂₅₄. Similar observations
 453 reported by studies employing chlorine as oxidizing agent [56], instead of ozone, support
 454 this hypothesis. Likewise, the observed mismatch between COD and UVA₂₅₄ reduction
 455 during ozonation experiments (see Figs. 1 and 2) could be partly related to the mentioned
 456 steric impediment caused by the spatial configuration of humic substances.
 457



458
 459 Figure 7. Relationship between effluent quality and process parameters with humic substances
 460 concentration in wastewater effluents ozonation. A) Humic substances removal in MBR effluent samples
 461 versus % UVA₂₅₄ reduction; B) Humic substances solubilization versus transferred ozone dose in CAS
 462 effluent samples containing significant amounts of suspended organic matter.

463
 464 Recent works have shown how the measurement of spectroscopic parameters (UVA and
 465 fluorescence removal) could be potentially used as on-line proxies for biodegradable
 466 DOC generation during ozonation and other advanced tertiary treatments [49,57]. This
 467 biodegradable fraction of EfOM corresponds to the presence of medium-weight BB, as
 468 well as to LMWN and LMWA. Since all these species have their origin in the cleavage

469 of larger molecules of humic substances, it seems logical to put the focus on the
470 relationship between this fraction and some common effluent quality and process
471 parameters in order to anticipate potential changes during ozonation.

472

473 Fig. 7A shows a plot of the percentage of humic substances removal versus the UVA₂₅₄
474 depletion, for samples coming from MBR units. As seen, a good relationship between
475 them appears to be possible after an initial lag stage in which any abatement of humic
476 substances is observed. In other words, a degree of UV absorbance reduction is observed
477 before measuring any depletion in the concentration of humic substances. This is also
478 indicated in Fig. 7A. The magnitude of this lag can be related with the oxidizing
479 conditions of the media, since a higher availability of oxidant species favors a more severe
480 oxidation of humic substances. As known, O₃ and •OH availability during ozonation will
481 depend on the effluent characteristics, which is mainly given by the contents in organic
482 and inorganic matter that readily consume these oxidants. Interestingly, the observed
483 trend for IOD (*i.e.*, 0.29, 0.19 and 0.23 mmol O₃ L⁻¹ for samples M1, M2 and M3,
484 respectively) agreed well with the trend observed for the lag values represented in Fig.
485 7A, which resulted to be: M2 > M3 > M1 (corresponding to 14.5, 25.5 and 31.1 % of
486 UVA₂₅₄ depletion, respectively). The slope of the humic substances-UVA₂₅₄ removal
487 correlation for these waters, which would give an idea about the process kinetics, also
488 followed the same trend (0.35, 0.76 and 0.47 for M1, M2 and M3, respectively).

489

490 Contrarily, the agreement between humic substances-UVA₂₅₄ removal and IOD, on one
491 hand, and the observed lag phase and IOD, on the other, was not observed with the initial
492 •OH consumption rate values estimated for MBR effluents. In fact, the presence of •OH
493 in the reaction medium not only depends on the consumption rate of these species by the

494 water matrix but also on the capacity of consumed ozone to generate them. Therefore, a
495 better indicator of •OH availability to react with humic substances during the first stages
496 of ozonation process should also consider ozone consumption. A good option can be the
497 use of the ratio between •OH exposure (*i.e.*, $\int[\bullet OH]dt$) and IOD. Hydroxyl radical
498 exposure for an ozone consumption corresponding to the IOD value could be estimated
499 in this work through ACMP degradation data presented in Fig. 1, according to the
500 calculation procedure described elsewhere [34] and based on the use of an ozone-resistant
501 compound as •OH probe. The obtained $\int[\bullet OH]dt/IOD$ values were $3.1 \cdot 10^{-7}$, $7.3 \cdot 10^{-7}$ and
502 $4.9 \cdot 10^{-7}$ s for samples M1, M2 and M3, respectively. As can be checked, the trend
503 followed by these values now match with the lag and slope values in Fig. 7A: the higher
504 the amount of hydroxyl radicals available per ozone dose, the stronger the oxidation
505 conditions, thus allowing a more significant (and faster) degradation of humic substances
506 by •OH during the initial stages of ozonation.

507

508 Finally, if it was the case that ozonation was applied to a CAS effluent containing
509 significant amounts of suspended matter, the percentage of humic substances
510 solubilization in the water matrix seems to be well correlated with the transferred ozone
511 dose (TOD). This is shown in Fig. 7B: the higher the oxidation extent, the higher the
512 number of hydrophilic moieties generated in the non-soluble fractions of EfOM, which
513 can then be solubilized into the water matrix. In addition, larger concentrations of solid
514 matter, which also contribute to a higher IOD value, seem to favor faster solubilization
515 kinetics, as shown in the correlation parameters obtained in experiments with samples C2
516 and C3.

517

518 **Conclusions**

519

520 Ozone application for the effective removal of micropollutants including ozone-resistant
521 species, which can be a potentially realistic situation in wastewater treatment in a near
522 future, from wastewater produced significant changes in EfOM concentration and quality
523 in all effluents tested. The extent of COD and UVA₂₅₄ reduction agreed well with the
524 water quality of each effluent, being the most influencing factors the concentration of
525 both dissolved and particulate/colloidal matter and alkalinity. For CAS samples
526 containing relatively large amounts of suspended solids, an increase in the DOC
527 concentration was observed. This was attributed to the solubilization of non-dissolved
528 humic substances. The continuous introduction of this fraction (humic substances) in the
529 reaction medium resulted in the net accumulation of this component. Only for samples
530 coming from MBR systems, the sequential reduction of the largest fractions leading to an
531 accumulation of some of the lightest components, namely BB and LMWA, could be
532 clearly observed. Also for these waters, a net level of OM mineralization took place. In
533 general, an accumulation of low molecular weight acids at the end of the treatment was
534 registered. Correlations between some observed changes (variation in humic substances
535 concentration), water quality (UVA₂₅₄) and process parameters (IOD, $\int[\bullet OH]dt/IOD$,
536 TOD) were established. Changes in organic matter concentration and characteristics
537 derived from ozone application could be detrimental for water reuse purposes, depending
538 on the final application of the treated water. Thus, accumulation of lower weight fractions
539 could lead to bacterial growth or eutrophication, and the increase in humic substances
540 concentration upon oxidation of particular/colloidal matter is in any case detrimental
541 because of the general increase in the DOC content. Thus, a careful consideration of this
542 factor together with the water properties of the effluent to treat and the quality

543 requirements to achieve throughout the process (*e.g.*, micropollutants removal) should be
544 properly assessed during the planning stage of an ozonation unit.

545

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547

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552

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