1	THE FIRST CAPYBARAS (RODENTIA, CAVIIDAE, HYDROCHOERINAE)
2	INVOLVED IN THE GREAT AMERICAN BIOTIC INTERCHANGE
3	LOS PRIMEROS CARPINCHOS (RODENTIA, CAVIIDAE, HYDROCHOERINAE)
4	PARTICIPANTES DEL GRAN INTERCAMBIO BIÓTICO AMERICANO
5	
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23	Abstract. The new combination Phugatherium dichroplax nov. comb. (Ahearn and
24	Lance, 1980) for the North American species "Neochoerus" dichroplax Ahearn and
25	Lance, 1980 and "N." cordobai Carranza-Castañeda and Miller, 1988 is proposed. Its
26	biochron is here enlarged (late Early Blancan - latest Blancan; Piacenzian-Gelasian),
27	being the youngest species of <i>Phugatherium</i> and thus extending the biochron of the
28	genus into the Early Pleistocene. This is the first mention of a Pliocene South American
29	lineage of capybaras crossing the Panamanian bridge, implying the recognition of a
30	third lineage of capybaras involved in the Great American Biotic Interchange. The
31	proposed North American origin of the genus Neochoerus is discussed.
32	Keywords: Capybaras. Pliocene. North America. GABI
33	
34	Resumen. LOS CARPINCHOS (RODENTIA, CAVIIDAE, HYDROCHOERINAE) Y
35	EL GRAN INTERCAMBIO BIÓTICO AMERICANO. Se propone la nueva
36	combinación Phugatherium dichroplax nov. comb. (Ahearn y Lance, 1980) para las
37	especies "Neochoerus" dichroplax Ahearn y Lance, 1980 y "N." cordobai Carranza-
38	Castañeda y Miller, 1988 de América del Norte. Esta es la especie más joven del
39	género. En este trabajo se extiende el biocrón de la especie (Blanquense tardío
40	Temprano a Blanquense más Tardío; Piacenciano-Gelasiano) así como el del género
41	hasta el Pleistoceno Temprano. Esta es la primera mención de un linaje de carpinchos
42	pliocénicos sudamericanos que atraviesan el puente de Panamá, implicando el
43	reconocimiento de un tercer linaje de carpinchos involucrado en el Gran Intercambio
44	Biótico Americano. Se discute el origen norteamericano del género Neochoerus.
45	Palabras clave. Carpinchos. Plioceno. América del Norte. GABI.
46	

49 THE so called Great American Biotic Interchange (GABI) is the process by which North and South America, which had been separated during most of the Cenozoic, exchanged 50 their biotas through the Panamanian corridor (see Morgan, 2008; Woodburne, 2010 and 51 literature therein). Although there is evidence indicating that the interchange began in 52 the late Miocene (about 9 Ma), its major phase began during the Late Pliocene and had 53 its acme in the Latest Pliocene-Early Pleistocene (Woodburne, 2010; Fig. 1). Two 54 lineages of South American rodents (porcupines and capybaras) were among the legions 55 that crossed the isthmus from South to North America. Up to date, it was generally 56 57 thought that the capybaras that reached North America pertained to the most derived 58 Pleistocene lineage found in South America, the genera Neochoerus Hay 1926 and Hydrochoerus Brisson 1762. Their occurrence in the North American Pliocene raised 59 60 several doubts since they would be older than their South American ancestors (Webb, 1985; Woodburne, 2010; Woodburne et al., 2006). 61

Capybaras are a unique group of rodents, with ever-growing cheek teeth that 62 increase in size and change in occlusal morphology through life. The change is caused 63 partly by generation of structures after birth and by their allometric growth (Vucetich et 64 65 al., 2005, 2014a; Deschamps et al., 2007, 2009). These characteristics caused some confusion when interpreting the fossil record, and led to regard them as taxonomically 66 highly diverse. Small species with simple dental morphology where considered as 67 primitive, and large species with complicated cheek teeth, as derived. In the past ten 68 years Vucetich et al. (2005) began the revision of the late Miocene -Pliocene South 69 70 American capybaras and stated that in each locality small specimens were juveniles of the species represented by large specimens. This new taxonomic paradigm led to a 71 72 reduction of nominal taxa and a better comprehension of the species limits (Vucetich et

73	al., 2005, 2014a, b; Deschamps et al., 2007, 2009). They also made the first
74	phylogenetic analyses that helped to understand their relationships, resulting in the
75	Pleistocene clade Neochoerus-Hydrochoerus the sister group of the Pliocene
76	Phugatherium (Pérez et al, 2014; Vucetich et al., 2014a). All this new knowledge
77	showed that extinct species had short stratigraphic ranges (Fig. 1) (partly because of
78	their physiological requirements) and relatively wide geographic distributions,
79	establishing them useful as biostratigraphic tools (Deschamps et al., 2013).
80	In this paper, we begin the revision of the genus Neochoerus Hay 1926 with the
81	study of the Pliocene North American species N. dichroplax Ahearn and Lance, 1980
82	and N. cordobai Carranza-Castañeda and Miller, 1988.
83	
84	MATERIALS AND METHODS
85	The following materials housed in the American Museum of Natural History
86	were revised: F: AM 107680 (crashed skull with crashed left and right P4-M3), 107685
87	(edentulous partial left dentary), 107688 (partial dentary), 107689 (right dentary with
88	p4-m1), 107691 (left maxillary fragment with M2-3), 107692 (partial palate with left
89	P4-M3 and right P4-M1 and M3); 107694 (left M1-M2 and partial M3 and right P4-
90	M2), 107695 (maxillary fragment with left M3), and the cast F: AM 105010 of TRO
91	564 (left dentary fragment with p4-m2 assigned in schedis to ? Hydrochoerus and to
92	Neochoerus (?) pinckneyi by Mones in 1978). The following illustrations (Ahearn and
93	Lance, 1980; Mones, 1980) were also used in this study: F: AM 107686 (partial dentary
94	-107(02)(-107(02)(-100)) UALD 1102(-100) $-11025(-100)$ $-10005(-100)$
	with p_{4} , 10/693 (right m1-m2), UALP 1183 (right M3) and 1225 (left m3), and SAF

96 They were compared with *Phugatherium cataclisticum* Ameghino, 1887 from
97 the early Pliocene Monte Hermoso Formation, Argentina, *P. novum* (Ameghino, 1908)

98	from the early late Pliocene Chapadmalal Formation, Argentina, Hydrochoeropsis
99	dasseni Kraglievich, 1930 from the late Pliocene Uquía Formation, Argentina,
100	Neochoerus sp. (MMH 08-04-02 and UNSGH 645) from the Pleistocene of southern
101	Buenos Aires Province, Argentina, and illustrations of Phugatherium saavedrai
102	(Hoffstetter, Villarroel and Rodrigo, 1984) from the Pliocene Umala Formation,
103	Bolivia, and Neochoerus cordobai Carranza-Castañeda and Miller, 1988 from the late
104	Pliocene of San Miguel de Allende, Guanajuato, Mexico.
105	Although in many papers about the GABI the authors use the "short Pleistocene"
106	for the chronologic Time scale (e.g. Morgan, 2008; Woodburne, 2010), here the
107	International Stratigraphic Chart with the Plio-Pleistocene boundary at 2.588 Ma is
108	followed.
109	Institutional abbreviations. F: AM, Frick Collection, American Museum of Natural
110	History, New York, USA; Fcs, Facultad de Ciencias Sociales, Universidad Nacional del
111	Centro, Argentina; IGCU, Museo de Paleontología de Vertebrados del Instituto de
112	Geología de la Universidad Autónoma de México; MACN Pv, Museo Argentino de
113	Ciencias Naturales, Vertebrate Paleontology Collection, Buenos Aires, Argentina; MD,
114	Museo Darwin, Punta Alta, Argentina; MLP, Museo de La Plata, La Plata, Argentina;
115	MMH, Museo de Monte Hermoso, Monte Hermoso, Argentina; MMP, Museo
116	Municipal de Mar del Plata, Mar del Plata, Argentina; MNHN Bol, Museo Nacional de
117	Historia Natural, La Paz, Bolivia; UALP, University of Arizona, Laboratory of
118	Paleontology, Tucson, USA; UNSGH, Cátedra de Geología Histórica, Universidad
119	Nacional del Sur, Argentina.
120	Nomenclature and anatomical abbreviations. Tooth terminology is explained in Fig. 2.
121	

122 TAXONOMICAL BACKGROUND

123	Mones (1991), in his last revision of the family Hydrochoeridae, recognized five
124	valid species for the genus Neochoerus Hay 1926: N. dichroplax Ahearn and Lance,
125	1980; N. tarijensis (Ameghino, 1902); N. fontanai (Rusconi, 1933); N. sulcidens (Lund,
126	1839), and N. aesopi (Leidy, 1853).
127	The geographic and stratigraphic provenance of the type specimens of each
128	taxon reported by Mones (1991) is: N. dichroplax, Arizona, North America, late
129	Blancan, late Pliocene; N. tarijensis, Bolivia, Tarija, Ensenadan?, middle Pleistocene?
130	(currently Ensenadan, Pleistocene in age; Tonni et al., 2009); N. fontanai, Argentina,
131	Buenos Aires, late Pliocene-early Pleistocene, "Puelchense" (currently considered
132	Pleistocene in age; Soibelzon et al., 2005); N. sulcidens, Brasil, Lagoa Santa, Lujanian,
133	late Pleistocene, and N. aesopi, North America, South Carolina, Rancholabrean, late
134	Pleistocene (Fig. 1).
135	According to Mones (1984, 1991) N. dichroplax is also reported for the late
136	Pliocene of Guanajuato, Mexico through its synonyms Neochoerus sp. nov. A and B
137	Carranza-Castañeda, Ferrusquía-Villafranca and Miller, 1981 (or N. cordobai and
138	Neochoerus sp. respectively in Carranza-Castañeda and Miller, 1988). In this way, the
139	late Pliocene record of North American capybaras includes one species, Neochoerus
140	dichroplax, or three, N. dichroplax, N. cordobai, and Neochoerus. sp.
141	Other capybaras recorded in the Plio-Pleistocene of North America include
142	Neochoerus aesopi, N. pinckneyi Hay, 1923 and Hydrochoerus holmesi Simpson, 1928
143	whose validity is controversial (see Mones, 1991; Baskin and Thomas, 2007; Morgan,
144	2005, 2008). Even the Pliocene provenance of some of them is dubious (Sanders, 2002:
145	101-102; Morgan, 2008: 122).
146	SYSTEMATIC PALEONTOLOGY

147Order RODENTIA Bowdich, 1821

148	Suborder Hystricognathi Tullberg, 1899
149	Superfamily CAVIOIDEA (Fischer, 1817) Kraglievich, 1930
150	Family CAVIIDAE Fischer, 1817
151	Subfamily HYDROCHOERINAE (Gray, 1825) Gill, 1872: Weber, 1928 sensu Kraglievich,
152	1930
153	Genus Phugatherium Ameghino, 1887
154	Type species. Phugatherium cataclisticum Ameghino, 1887; fixed by monotypy.
155	Montehermosan, early Pliocene, Argentina.
156	Included species. P. cataclisticum, P. novum, P. saavedrai, P. dichroplax
157	Additions to the diagnosis (see Vucetich et al., 2014a). The p4 (Fig. 1) with C3 well
158	defined by deep h.2i. and h.3i. at least reaching 50% of the width of the tooth, equal in
159	depth or h.3i. deeper; both within the pr.I (ahead the h.f.e.).
160	Distribution. Montehermosan-Chapadmalalan (Zanclean-early Piacenzian) of South
161	America, and late Early-latest Blancan (Piacenzian- Gelasian) of North America (Fig.
162	1).
163	Phugatherium dichroplax nov.comb.
164	Figures 3.1-2, 3.9, 4.1-3
165	Neochoerus dichroplax Ahearn and Lance, 1980
166	Neochoerus lancei Mones, 1980
167	Neochoerus cordobai Carranza-Castañeda and Miller, 1988 (=Neochoerus sp. nov. A
168	Carranza-Castañeda, Ferrusquía-Villafranca and Miller, 1981)
169	Neochoerus sp. nov. B Carranza-Castañeda, Ferrusquía-Villafranca and Miller, 1981
170	(=Neochoerus sp. Carranza-Castañeda and Miller, 1988)
171	Holotype. F: AM 107691, left M2-M3.

Type locality. Dry Mountain (=111 Ranch; Mones, 1991; Morgan, 2005), San Simon
Creek, Graham County, Arizona.

174 Holotype of synonyms. Neochoerus lancei UALP 1183, large palatal fragment with

right P4-M3 and left P4-M1 and M3; *Neochoerus cordobai* IGCU 6170, skull fragment.

176 *Emended diagnosis*. *P. dichroplax* differs from the other species of *Phugatherium* by

the deep h.s.e. of pr.IIb of m3 that reaches 50% of the prism width (Fig. 3.9). In p4 h.2i.

and h.3i. reach 75% the prism width defining a long drop-shaped C3 (Figs. 1, 3.1–2);

179 pr.I has thin walls more lingually divergent than in the other species. M3 with external

180 fissures in almost every prism, deeper (up to 35% the width of the prism) in prisms 4

and 5 (Figs. 4.1–4), intermediate in depth between *P. novum* (Fig. 4.6) and *P.*

182 *cataclisticum* (Figs. 2.3, 4.7).

183 *Geographic and temporal distribution*. The localities mentioned by Ahearn and Lance

184 (1980) and Mones (1980); plus San Miguel de Allende, Guanajuato, Mexico (Carranza-

185 Castañeda and Miller (1988) and Inglis-1A, Citrus County, Florida; late Early Blancan

to Latest Blancan (Fig. 1).

187 Relationships of Phugatherium dichroplax

"Neochoerus" dichroplax was defined by Ahearn and Lance in 1980 upon remains 188 189 found in North America (Arizona and Florida). The materials of the hypodigm from 190 Florida (Sommer's Pit and Mule Pen Quarry) listed but not illustrated by Ahearn and Lance (1980), as well as those from the Macasphalt Shell Pit and Kissimmee River 191 192 (Morgan and Hulbert, 1995; Morgan, 2005: Table 1) have not been revised. Mones (1980) described the species N. lancei upon the same remains (holotype UALP 1183 193 194 from the 111 Ranch Beds, Graham County, Arizona). The type specimens of each species are not the same, but they are included in the hypodigm of the other. Mones 195

196 (1981) reported this issue and synonymyzed both species as *Neochoerus dichroplax*

197	Ahearn and Lance, 1980 by priority. Later, Mones (1984) included in the synonymy two
198	species described on materials from the early Blancan (late Pliocene) of Guanajuato,
199	Mexico: Neochoerus sp. nov. A and N. sp. nov. B (N. cordobai and Neochoerus sp.
200	respectively, in Carranza-Castañeda and Miller, 1988).
201	The assignment of the specimens to the genus Neochoerus raised several doubts
202	from the beginning. Mones (1980) said that the morphological cranio-dental features of
203	"N. lancei" suggested that the generic assignment of the species could be controversial
204	because of the structure of the p4 very similar to that of Chapalmatherium irenense
205	Reig 1958 (Fig. 3.3; currently considered a juvenile specimen of <i>Phugatherium</i>
206	cataclisticum, Fig. 3.7; see Vucetich et al., 2014b and Deschamps et al., 2013), and the
207	structure of the M3 similar to "Protohydrochoerinae" (then including
208	Protohydrochoerus and Chapalmatherium, both junior synonyms of Phugatherium) and
209	Hydrochoeropsis Kraglievich, 1930 (Fig. 4.8; see revision in Vucetich et al., 2014b).
210	The analysis of the molariforms, in the context of the South American species,
211	suggests that the specimens on which "N". <i>dichroplax</i> is based would not pertain to the
212	genus Neochoerus, but to Phugatherium. The main characters on which this statement is
213	based are: 1) the deep h.2i. and h.3i. in pr.I of p4 defining a conspicuous and
214	transversally long C3 (in <i>Neochoerus</i> the h.3i. is opposite or posterior to h.f.e.; see Figs.
215	2, 3.2, 3.8), and 2) the M3 with external fissures (Figs. 2, 4) in all (or almost all) the
216	prisms like in all the species of South American Phugatherium. In Hydrochoeropsis
217	these fissures are present only in the anterior prisms (2 to 6; Fig. 4.8). They are
218	exceptional and very shallow in Neochoerus (Fig. 4.9), and absent in Hydrochoerus
219	(Fig. 4.10). Mones (1975, 1980) considered that these fissures appeared several times
220	among fossil capybaras, but new analyses showed that they are conspicuous only in the
221	species of <i>Phugatherium</i> and in <i>Hydrochoeropsis</i> . These fissures are present already in

222	the late Miocene Cardiatherium (Fig. 1) as a smooth continuous wave along the
223	external face of the M3. However, Cardiatherium is very different from the other
224	capybaras because the prisms are still united (Mones, 1991; Vucetich et al., 2005;
225	Deschamps et al., 2013).
226	The m3 with a deep h.s.e. of pr.IIb (Fig. 3.9) is intermediate between
227	Phugatherium (Figs. 3.3-4, 3.6-7) and Neochoerus (Fig. 3.8) and was interpreted by
228	Mones (1980) as more closely related to the latter. But as there is a gradual deepening
229	of the fissures in the evolution of capybaras (Vucetich et al., 2005; Vieytes and
230	Deschamps, 2007), this could be a character state more derived than in the other species
231	of <i>Phugatherium</i> . This tooth is unknown in <i>Hydrochoeropsis</i> .
232	The mandible has longer diastema and symphysis than in Neochoerus (Mones,
233	1980). In Phugatherium the diastema is longer than p4-m3, except for P. saavedrai in
234	which it is approximately equal in length (Hoffstetter et al., 1984). But the holotype of
235	P. saavedrai is small, and the relatively short symphysis could be a juvenile character as
236	seen in modern capybaras (pers. ob.). In Neochoerus the diastema is much shorter than
237	p4-m3. In <i>P. dichroplax</i> , the posterior margin of the symphysis area has a dorsal
238	entrance not seen in Neochoerus, but present in P. novum and P. cataclisticum (Mones,
239	1980, Plate 1, 5). The mandible is unknown in Hydrochoeropsis.
240	Phugatherium dichroplax differs from the other species of the genus in the
241	configuration of the p4 (Figs. 3.1–2), with the h.2i. deeper than h.3i. (up to 75% of the
242	tooth) determining a drop-shaped C3 (column of pr. II). This is clearly observed in
243	specimens F: AM 107689 (Figs. 3.1-2; p4 AP=1.88 cm) and F: AM 107686 (=SAF 9-
244	19 in Mones, 1980). In P. novum (Fig. 3.6) these fissures are sub equal in depth, and a
245	little shallower than in <i>P. dichroplax</i> . In <i>P. cataclisticum</i> , the h.3i. is slightly shorter
246	than h.2i. which turns forwards, and the secondary anterior prism (pr.s.a., Fig. 2) is very

different as a whole (Fig. 3.7). In *P. saavedrai* (Fig. 3.4) these fissures and the dropshaped C3 are similar to those of *P. dichroplax*, but the margins of the prism are less
divergent. This specimen can be a juvenile according to its size (Deschamps et al.,
2013).

The pr. IIb of m3 is Y shaped (Fig. 3.9), with a marked external fissure (h.s.e.), which reaches up to 50% of the width of the tooth. It differs from the South American species of *Phugatherium*, in which the h.s.e. is very shallow (Fig. 3.11), or short (Fig. 3.10). It is also different from that of *Neochoerus* (Fig. 3.8) in which pr.IIb is V shaped because the h.s.e. reaches almost the labial side.

256 The M3 has 16 prisms depressed on the labial side, and in some specimens, with 257 marked external fissures up to 33% of the width of the tooth in prisms 4 and 5 (Fig. 4.1-4). This is observed in specimens F: AM 107680) and F: AM 107695 (Fig. 4.2). The 258 number of prisms in Phugatherium varies between 11 and 18 depending at least 259 partially on the age of the specimen, because they increase with age (Vucetich et al. 260 2014b). In P. cataclisticum (Fig. 4.7) these fissures are very deep in prisms 4 to 6. In P. 261 novum (Fig. 4.6) all fissures are shallow, and in P. saavedrai (Fig. 4.5), they are deeper 262 263 in 3 and 4.

264 The characters that define *P. dichroplax* (the typical shape of p4, m3 and M3) are also present in "N". cordobai. Hence, we follow Mones (1984, 1991) considering 265 this species a junior synonym of *P. dichroplax*. The characters described and figured of 266 267 *Neochoerus* sp. (Carranza-Castañeda and Miller, 1988) from the same site as *P*. cordobai do not permit a precise determination. However the presence of two species in 268 269 a single locality would be an exception in the capybaras record. Recently, it was proposed that the different morphs found in each locality actually represent individuals 270 271 of different ontogenetic stages of a population or successive populations, rather than a

multiplicity of coeval species in different stages of evolution (Deschamps et al., 2007,
2013; Vucetich et al., 2014a,b).

274 Phylogenetic analysis

275	In order to test the phylogenetic position of <i>P. dichroplax</i> nov. comb. within
276	Caviidae, a cladistics analysis was performed using the combined matrix of Vucetich et
277	al. (2014a) with identical methodology (Supplementary Information Online 1). We
278	included P. dichroplax nov. comb. and added four new morphological characters
279	(Supplementary Information Online 2), resulting a combined matrix of 4406 characters
280	and 52 taxa (Supplementary Information Online 3).
281	The parsimony analysis resulted in 4116 most parsimonious trees (MPTs) of
282	3182 steps and <i>P. dichroplax</i> nov. comb. is depicted in all MPTs nested within
283	Phugatherium. The reduced consensus (ignoring the alternative positions of four
284	unstable taxa; Supplementary Information Online 1) retrieves the three major living
285	lineages of Caviidae: Caviinae, Hydrochoerinae and Dolichotinae.
286	Phugatherium (node A, Fig. 5) forms a monophyletic group and the
287	synapomorphies of the genus are: first five laminar prisms of M3 with deep external
288	fissures (character 97[2]). P. novum is the most basal species of this genus (node A, Fig.
289	5). The node of <i>P. cataclisticum</i> and more derived species (node B, Fig. 5) is supported
290	by only one unambiguous synapomorphy: h.t.i. in m2 crossing the prism but not
291	splitting (character 43[1]). The close relationship between <i>P. dichroplax</i> nov. comb. and
292	P. saavedrai (node C, Fig. 5) is the long C3 (character 92[2]). In addition,
293	Hydrochoeropsis is basal to Phugatherium and this clade is the sister group of the node
294	formed by Hydrochoerus and Neochoerus (Fig. 5).
295	

296 **DISCUSSION**

297	The genus <i>Phugatherium</i> was known so far only in the Pliocene of southern
298	South America, with the species P. cataclisticum from the Monte Hermoso Formation
299	(between 5 and 4.5 Ma), <i>P. novum</i> from the Chapadmalal Formation (4 to 3.3 Ma) and
300	Calera Avellaneda, and the northernmost record P. saavedrai from the Umala
301	Formation, Bolivia (see details in Deschamps et al., 2013; Vucetich et al., 2014a).
302	Moreno-Bernal et al. (2013) reported the genus "Chapalmatherium" from the Castilletes
303	Formation, northern Colombia, but the assignment could not be verified because no
304	illustrations or descriptions were provided. As well, an isolated and fragmented
305	specimen found in Pliocene sediments of Venezuela was tentatively assigned to
306	Cardiatherium (Vucetich et al., 2010), but it is a very small specimen and could be a
307	juvenile of a more derived capybara.
308	The species newly referred to <i>Phugatherium</i> , <i>P. dichroplax</i> , was so far

Ŋ 309 considered to be restricted to the early Late Blancan (3.0-2.2 Ma), but in this paper its biochron is substantially extended. On the one hand the reassignment of "Neochoerus" 310 cordobai (Mexico) as a junior synonym of P. dichroplax extends the biochron back to 311 the late Early Blancan (<3.6 Ma). On the other hand, the recognition of *P. dichroplax* in 312 Inglis-1A (TRO 564) extends it up to the Latest Blancan (2.2-1.8 Ma). Another 313 314 capybara is already mentioned for this locality, Hydrochoerus holmesi (e.g., Morgan, 2005), but this report could not be corroborated. If it were so, this will represent an 315 exceptional case among hydrochoerids with two different species living together in 316 317 simpatry. Besides, this new assignment greatly enlarges the geographical distribution of the genus, and extends its biochron into the Early Pleistocene (Fig. 1). 318 319 The taxonomical reassignment of the North American materials originally

320 described as *Neochoerus dichroplax* has several implications in the understanding of

321 which groups participated in the northward dispersal of South American mammals

322 during the GABI. From a biogeographical standpoint it corroborates that *Phugatherium* 323 must have been living in northern South America at least before 3.6 Ma, when it is recorded in Guanajuato, Mexico (Carranza-Castañeda and Miller, 2004). The presence 324 of this lineage in North America proves that two main lineages of capybaras entered 325 across the Panama land bridge. One of them is the lineage of *Phugatherium*. This is the 326 first mention of a Pliocene South American lineage of capybaras crossing the 327 328 Panamanian bridge. Phugatherium is recorded in Mexico at 3.6 Ma (Carranza-Castañeda and Miller, 2004) reaching Florida and Arizona, their northernmost record, at 329 about 2.7 Ma (Morgan, 2005). The other lineage is that of Neochoerus-Hydrochoerus. 330 331 The differentiation between both genera is made on the basis of characters of the skull 332 (see diagnosis of Hydrochoerus in Mones, 1991) and the extent of the masseteric ridge on the lateral side of the mandible (sensu Ahearn and Lance, 1980). Hence, the 333 identification of fragmentary material or exclusively teeth has not been well supported 334 yet. This issue will be discussed in a future work, but it must be taken into account 335 when making inferences about the age of their records, since it is possible that some 336 assignments are not correct. This lineage is certainly represented in the Pleistocene with 337 N. aesopi, N. pinckneyi and H. holmesi. The Pliocene records of the two latter must be 338 339 revised both taxonomically and stratigraphically. If the presence of both *Neochoerus* and Hvdrochoerus in North America is confirmed there would be three capybara 340 lineages involved in the GABI. 341 342 The genus Neochoerus is first recorded in South America in the Pleistocene,

although in accordance with phylogenetic analysis (Vucetich et al., 2014a), its
differentiation from the Pliocene *Phugatherium* would be older. The lineage *Neocheorus-Hydrochoerus* would have been restricted to Neotropical South America in
the Pliocene, where the fossil record is very scanty and Pliocene hydrochoerines have

not been well studied yet. If this is correct, it could explain the presence of *N. pinckneyi*in Pliocene sediments of temperate North America (Sanders, 2002: 101-102; Morgan,
2008: 122; Woodburne, 2010: 250).

Based on the previous taxonomic assignments it had been proposed that 350 Neochoerus could have originated in Central America (Woodburne et al., 2006; 351 Woodburne, 2010) because it was recorded since the Pliocene, whereas in South 352 353 America, only since the middle Pleistocene. The assignment of the species "dichroplax" to *Phugatherium* weakens this statement because the records of *Phugatherium* in South 354 America are about two My older. Moreover, even if the assignments of the Pliocene 355 356 North American records of Neochoerus is confirmed, this would not prove a North 357 American origin because the dichotomy from the *Phugatherium* lineage is older than the Pliocene (Vucetich et al., 2014a; see above). No capybaras have been recorded in North 358 America previous to the Pliocene, whereas in South America they are recorded since the 359 late Miocene (Pérez and Pol, 2012; Deschamps et al., 2007 and literature therein). This 360 would be in accordance with Webb (1985: 375-376) who suggested that this 361 discrepancy in the record would be an artifact. 362

Modern capybaras inhabit areas around ponds, lakes, rivers, marshes and 363 364 swamps, using water primarily as refuge but also for basic physiological requirements as temperature regulation (Nowak and Paradiso, 1983, Herrera, 2012). In South 365 America, all of the lithological units bearing capybaras have been interpreted as 366 367 deposited in water-related settings, mostly fluvial deposits, but also karstic landscapes or travertine deposits formed by hydrothermal processes (Deschamps et al., 2013). 368 369 Accordingly, in North America *Phugatherium dichroplax* nov. comb. was found in water-related sediments. The sediments that yielded the capybaras from Guanajuato are 370 horizontal deposits of flood plains (Carranza-Castañeda and Miller, 1988). The 371

composite stratigraphic section in the 111 Ranch area, Arizona, consists of fluvial and 372 373 lacustrine sediments (Morgan and White, 2005). The Florida sites Macasphalt Shell Pit and Kissimmee River were formed by accumulations from freshwater and nearshore 374 settings in a shallow marine depositional environment; and Haile 15A consists of 375 undifferentiated sands and clays filling a karst fissure developed in marine Eocene 376 limestone (Morgan, 2005). This suggests that P. dichroplax nov. comb. had ecological 377 requirements similar to modern capybaras. Hence, the dispersal route from South 378 America through the Panamanian bridge to central Mexico, and temperate North 379 America during the Pliocene must have been scattered with permanent water bodies. 380

381

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549	Captions for figures
550	Fig. 1. Stratigraphic chart with the record of extinct capybaras, and the Global, North
551	and South American stages/ages. Scales based on the International Stratigraphic
552	chart (2013), Deschamps et al. (2013), Verzi and Quintana (2005), and Morgan
553	(2008). 1, Guanajuato, Mexico; 2, 111 Ranch, Arizona; 3, Kissimmee River,
554	Florida; 4, Macasphalt Shell Pit, Florida; 5, Inglis -1A, Citrus County, Florida.
555	Fig. 2. Tooth nomenclature in left lower and upper teeth. 1, p4 MMP 236; 2, M1 MLP
556	15-231a; 3 , MLP 15-231a (reversed); pr.s.a., anterior secondary prism; pr.I,
557	prism I; pr.II, prism II; h.1i., internal fifth fissure; h.2i. internal second fissure;
558	h.3i., internal third fissure; h.5i., internal fifth fissure; h.f.e., external
559	fundamental fissure; h.s.e., external secondary fissure; H.F.I., internal
560	fundamental fissure; H.P.E., external primary fissure; H.S.E., external secondary
561	fissure.
562	Fig. 3. Lower cheek teeth. 1-2, Phugatherium dichroplax, F: AM 107689, right p4-m1
563	and detail of p4; 3, P. cataclisticum P-14282 (holotype of Chapalmatherium
564	<i>irenense</i>), R p4-m3; 4 , <i>P. saavedrai</i> MNHN AYO 226, R p4-m3; 5 ,
565	Hydrochoeropsis dasseni MACN 5302a right p4; 6, P. novum MMP 236 right
566	p4-m3; 7, P. cataclisticum MLP 15-232a right p4-m3; 8, Neochoerus sp. MMH
567	08-04-02, right p4-m3. Scale equals 2 cm.
568	Fig. 4. Upper cheek teeth. 1-3, Phugatherium dichroplax. 1, F: AM 107694, left M1-
569	M2; 2, F: AM 107695, right M3 (reversed); 3, F: AM 107691, left M2-M3, 4,
570	detail of part of M3; 5-10, left M3. 5, P. saavedrai, MNHN AYO 193; 6, P.

571	novum, Fcs 92-V-15-2; 7, P. cataclisticum, MLP 15-232a (reversed); 8,
572	Hydrochoeropsis dasseni MACN 5302; 9, Neochoerus sulcidens; 10,
573	Hydrochoerus hydrochaeris (both taken from Mones, 1991). Scale equals 2 cm.
574	Fig. 5. Reduced strict consensus tree ignoring Allocavia, M. huemulensis, C. andinus
575	and Xenocardia from the 4116 MPTs obtained in the combined phylogenetic
576	analysis.
577	

Ма	Epoch		Global scale Stage/Age	South American Stage/Age	North American Stage/Age	Localities with " <i>N</i> ." <i>dichroplax</i>	Southern South American capybaras			ı	
	ne	Late	"Ionian"	Lujanian Bonaerian	Rancholabrean		noerus				
-1	Deistocer	arly I	Calabrian	Ensenadan	Irvingtonian	Irvingtonian	ax	Neoch			
-2		Ш	Gelasian	्तु Sanandresian	Late	dichrop		eropsis			
-3	(I)	Late	Piacenzian	Vorohuean Barrancalobian	Blancan	(T) gatherium		Hydrocho 	د ا ک		
-4	Pliocen	-		Chapadma- Ialan	Early) Dhui			P. novur atherium	מוויטיוש	
-5		Earl	Zanclean	? Monte- hermosan				saavedra	aclisticum	A	
-6	Late Miocene		Messinian	Huayquerian				σ.	P. cat		
-7					Hemphillian				nium		
-8			Tortonian						Cardiathe		
-9				Chasicoan							







Supplementary Information 1: Phylogenetic Analysis

The combined dataset (modified of Vucetich et al., 2014) consists in 103 morphological characters (Supplementary Information Online 2) concatenated with the DNA sequences of the four genes (*12s, Cytb, Ghr*, and *Tth*), scoring fossil taxa with missing entries for the DNA partitions. This dataset contained a total of 52 taxa and a total of 4303 characters. The combined matrix (Supplementary Information Online 3) was analyzed using equally weighted parsimony in TNT 1.1 (Goloboff et al. 2008a, b), treating gaps as missing data. The heuristic search consisted in 1000 replicates of a Wagner tree followed by TBR branch swapping, collapsing zero-length branches under strictest criterion. Some morphological characters were treated as ordered (Supplementary Information Online 2).

The support values were calculated using Bootstrap and Jackknife resampling techniques and Bremer indices in TNT. Unstable taxa in the set of the most parsimonious trees (MPTs) were identified using IterPCR (Pol and Escapa, 2009) to derive an informative reduced consensus.

The combined analysis resulted in a total of 4116 MPTs of 3182 steps and the strict consensus of all trees was calculated (Fig. S1.1). This consensus shows as monophyletic the subfamilies Caviinae and Hydrochoerinae, while the other taxa of the crown group form a basal polytomy due to the unstable fragmentary fossil taxa: *Allocavia chasicoense* Pascual 1962, *Microcardiodon huemulensis* (Kraglievich 1930), *Cardiomys andinus* Kraglievich 1930, and *Xenocardia diversidens* Pascual and Bondesio 1963.

Figure S1.1. Strict consensus tree including all taxa of the combined phylogenetic analysis

When the alternative positions of the unstable fragmentary fossil taxa are ignored, the reduced consensus tree reveals that all MPTs have a common topology that resolves the interrelationships of the three major lineages of Caviidae: Caviinae, Dolichotinae, and Hydrochoerinae (Fig. S1.2). The nodal support of the combined analysis was evaluated using Bremer support (Bremer 1994), bootstrap (Felsenstein 1985), and jackknife (Farris et al. 1996). The nodal support values were calculated in the reduced consensus trees ignoring the unstable taxa (*Allocavia, M. huemulensis, C. andinus* and *Xenocardia*). Bremer support values were calculated using the TNT script BREMER.RUN included in the TNT software package (Goloboff et al. 2008a,b). For both resampling techniques (bootstrap and jackknife) we performed 1000 replicates and a heuristic tree search. The support values are low for most nodes (within the crown group) in the reduced consensus with Bremer support values of 1 and only a few nodes with frequency values above 50% in the Jackknife and Bootstrap analyses (Fig. S1.2).

Figure S1.2. Reduced strict consensus tree ignoring *Allocavia, M. huemulensis, C. andinus* and *Xenocardia* from the 24708 MPTs obtained in the combined phylogenetic analysis. The numbers in bold indicate Bremer indices, numbers in italics represent absolute jackknife values, and numbers in grey represent absolute bootstrap values.

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Supplementary Information 2: Morphological Character List

Characters with an asterisk (*) are considered "ordered"

1. Mental foramen: absent (0); present (1).

2. Location of the mental foramen on the anterior region of the dentary: close to the dorsal margin of the dentary and opening dorsolaterally (0); at the dorsoventral midpoint of the lateral surface of the dentary and opening laterally (1).

3. Position of the mandibular foramen: behind the retromolar fossa (0); below the m3 (1).

4. Posteroventral projection of the posterior end of the mandibular symphysis: absent (0); present (1).

5. Development of posteroventral projection of the posterior end of the mandibular symphysis in lateral view: well developed, forming an elongate peg exposed in lateral view (0); moderately developed, only a low bulge projects ventrally and is marginally exposed in lateral view (1).

6. Labial edge of the condyle that is the insertion point of *m. masseter posterior*, in posterior view: projecting laterally with respect to wall of the dentary, forming small knob (0); lacking a distinct knob, continuous with lateral wall of the dentary (1).

7. Medial edge of the condyle that is the insertion point of *m. pterygoideus externus*, in posterior view: projecting medially forming a shelf that overhangs the medial surface of the dentary (0); poorly developed projecting medially forming a small knob with respect to medial wall of the dentary (1).

8. Shape of the post-condylar process, in lateral view: squared-off, forming approximately a 90° angle (0); rounded (1).

9. Length of the post-condylar process: equal or longer than the anteroposterior length of the condyle (0); shorter than anteroposterior length of the condyle (1).

10. Height of the coronoid process compared to the position of the condyle: located at the same dorsoventral level as the condyle (0); located more ventrally than the condyle (1).

11. *Anterior margin of the coronoid process: convex (0); straight (1); concave (2).

12. Dorsal end of the coronoid process: pointed and posterodorsally projected (0); pointed and dorsally projected (1); blunt (2).

13. *Dorsoventral position of the mandibular notch: located above the occlusal surface of the dental series (0); located at the same height as the occlusal surface of the dental series (1); located ventral to the occlusal surface of the dental series (2).

14. Shape of the mandibular notch: concave (0); almost straight (1).

15. *Dorsoventral position of the anterior most point of the lunar notch: low, located ventral to the dorsoventral midpoint of the dentary (between the ventral edge of the dentary and the condyle) (0); located at the approximate dorsoventral midpoint of the dentary (1); high, located above the dorsoventral midpoint of the dentary (2).

16. Posterior extension of the angular process: level with the post-condylar process (0); ending anterior to the post-condylar process (1); ending posterior to the post-condylar process (2).

17 *Posterior extension of the root of the lower incisors: extending up to the level of m3 (0); extending up to the level of the posterior lobe of m2 (1); extending up to the level of the anterior lobe of m2 (2); extending up to the level of the posterior lobe of m1 (3); extending up to the level of the anterior lobe of m1 (4).

18 *Location of the notch for the insertion of the tendon of the *m. masseter medialis pars infraorbitalis* with respect to the toothrow: between p4 and m1 (0); below m1 (1); between m1 and m2 (2).

19 *Notch for the insertion of the tendon of the *m. masseter medialis pars infraorbitalis*: connected to the masseteric crest (0); isolated, located between the massteric crest and the horizontal crest (1); connected to the horizontal crest (2). 20 *Development of the masseteric crest: well developed, forming a shelf that projects laterally with respect to the lateral surface of the dentary (0); forming a well-developed ridge that fails to project with respect to the lateral surface of the dentary (1); absent or poorly developed as a thin and low ridge (2).

21. Shape of the lateral crest (*sensu* Woods, 1972): straight, projecting anteroventrally from the base of the coronoid process (0); curved, deflecting anteroventrally from the base of the coronoid process (1).

22. *Anterior origin of the masseteric crest with respect to the toothrow: below m1 (0); below m2 (1); below m3 or posteriorly to m3 (2).

23 *Posterior extension of the horizontal crest, in lateral view: extending up to the anterior margin of the mandibular condyle (0); approximately ending at the anteroposterior midpoint of the mandibular condyle (1); extending up to the posterior margin of the mandibular condyle (2).

24 *Development of the horizontal crest: absent or extremely reduced (0); present as a low and broad ridge (1); present as a conspicuous crest, forming a laterally projected shelf but lacking a dorsal fossa (2); well developed, forming a laterally projected shelf and bearing a fossa on its dorsal surface (3).

25. Depth of the fossa located dorsal to the horizontal crest with respect to the dorsoventral depth of the notch for the insertion of the tendon of the *m. masseter medialis pars infraorbitalis*: notch deeper than fossa (0); fossa deeper than notch (1); notch and fossa equal in depth (2).

26. Alveolar protuberances (ventral outgrowth of the base of some molariform alveoli that projects ventrally from the ventral surface of the dentary): absent (0); present (1).

27. Development of alveolar protuberances: present as a small but distinct convexity on the ventral margin of the dentary (0); present as well-developed bulge on the ventral margin of the dentary (1).

28. *Degree of hypsodonty: slightly hypsodont, having the root and the anteroposterior length of the occlusal surface longer than the height of the crown (0); mesodont, having the root and the anteroposterior length of the occlusal surface approximately equal to the height of the crown (1); protohypsodont, having the root and the anteroposterior length of the occlusal surface less than half the height of the crown (2); euhypsodont, lacking roots (3).

29. Developments of lobes in m1-m2: incipient lobes (0); developed lobes (1).

30. Constriction of the apex in each lobe of the m1-m2: absent (0); present (1).

31. Shape of the anterior lobe of m1-m2: triangular (0); heart-shaped (1); lanceolate (leaf-shaped) (2); laminar (3).

32. Shape of the posterior lobe of m1-m2: triangular (0); heart-shaped (1); complex heart-shaped (2).

33. Shape of the anterior lobe of M1-M2: heart-shaped (0); laminar (1); lanceolate (leaf-shaped) (2).

34. Shape of the posterior lobe of M1-M2: triangular (0); heart-shaped (1).

35. Lobes in p4: two incipient lobes (0); two well-developed lobes, but lacking an anterior projection (1); two well-developed lobes and one incipient anterior projection that is not separated from the anterior lobe by an interprismatic furrow (2); two well-developed lobes and one developed anterior projection separated from the anterior lobe by a well-developed interprismatic furrow (3); three lobes (4).

36. Number of lobes in P4: one (0); two (1).

37. Number of lobes in M3: one (0); two lobes with an incipient posterior projection

(1); two lobes with a developed posterior projection (2); 3-4 with posterior projection(3); 5-6 with posterior projection (4); 7-10 with posterior projection (5); more than 10 lobes.

38. Longitudinal furrow opposite to hypoflexus/id: absent (0); present (1).

39. h.s.i. in m1-m2: absent (0); present (1).

40. Depth of h.s.i. in m1-m2: shallow (0); less than 50% (1); approximately half of the prisms (50%) (2); more than 50% of the prism but not splitting (3).

41. h.t.i. in m1-m2: absent (0); present (1).

42. Depth of h.t.i. in m1: up to 50% of the prism (0); crossing the prism but not splitting (1); crossing and dividing the prism (2).

43. Depth of h.t.i. in m2: up to 50% of the prism (0); crossing the prism but not splitting (1); crossing and dividing the prism (2).

44. Depth of h.s.i. respect to h.t.i. m1: equally deep (0); h.s.i. shallower than h.t.i. (1).

45. h.p.i. in m1-m2: absent (0); present (1).

46. Depth of h.s.i. respect to h.p.i. m1: equally deep (0); h.s.i. shallower than h.p.i. (1).

47. Depth of h.p.i. in m1-m2: shallow (0); up to 25% (1); up to 50% (2); reaching the labial end (3); dividing the prism (4).

48. h.s.e. in m1-m2: absent (0); present (0).

49. Depth of h.s.e. in m1-m2: shallow, not surpassing the labial end of the h.p.i. (0); up to 50% of the width of the tooth (1).

50. H.P.E. in M1-M2: absent (0); present (1).

51. H.S.E. in M1-M2: absent (0); present (0).

52. Depth of H.P.E. respect to H.S.E.: equally deep (0); H.P.E. deeper than H.S.E. (1); H.S.E. deeper than H.P.E. (2).

53. *Transverse extension of the hypoflexus/id: transversely shorter than half of the width of the crown (0); extending from the margin up to the transverse midpoint of the crown (1); extending beyond the transverse midpoint of the crown (2); crossing completely the tooth (3).

54. Shape of the hypoflexus/id in occlusal view: very narrow and short (0); V-shaped (1); narrow and very long (2); funnel shaped (3); canal shaped (4); V-shaped with blunt end (5).

55. Transverse dentine crest on the occlusal surface, located at the middle of each molar lobe: absent (0); present (1).

56. Length of p4-m1 with respect to the length of the m2-m3 (Wood and Patterson, 1959): p4-m1 shorter than m2-m3 (0); p4-m1 approximately equal to m2-m3 (1).

57. Relative size of lower molars: m1<m2>m3 (0); m1<m2<m3 (1); m1=m2<m3 (2); m1=m2=m3 (3).

58. Relative size of the upper molars: P4<M1<M2 (0); P4>M1<M2 (1); P4>M1=M2 (2); P4>M1>M2 (3).

59. Replacement of deciduous premolar: unreplaced (0); with replacement (1).

60. Type of replacement: postnatal replacement (0); prenatal replacement (1).

61. Orientation of left and right molar series: parallel to each other (0); anteriorly convergent (1).

62. Cement in late ontogenetic stages: absent (0); present (1).

63. Cement in young-adult ontogenetic stages: absent (0); present (1).

64. Cement in juvenile ontogenetic stages: absent (0); present (1).

65. Distribution of enamel in molars: covering the entire crown (0); interrupted at the base of the lingual wall (1); interrupted at the base and the corner of the lingual wall (2); interrupted at the base and in two strips (3); interrupted along the entire labial wall of the upper molars (lingual of the lower molars) except for the flexus/ids opposite to the hyopflexus/id (4); interrupted along the entire lingual wall and anterolingual and posterolingual walls (5).

66. Fossettes/ids in late ontogenetic stages: present (0); absent (1).

67. Fossettes/ids in young-adult ontogenetic stages: present (0); absent (1).

68. Fossettes/ids in juvenile ontogenetic stages: present (0); absent (1).

69. Mesofossettid in young-adult stages: present (0); absent (1).

70. Length of the upper diastema: equal or longer then the molariform series (0); shorter than molariform series (1).

71. Apex of mesopterygoid fossa with respect to M2: level with M2 (0); apex in front of M2 (1). (Quintana 1998: character 2).

72. Shape of the apex of mesopterygoid fossa: acuminate (0); curved (1); plane (2).

73. Margens of the mesopterygoid fossa: convergent (0); subparallel (1).

74. Articulation of nasals with respect to premaxillae: nasals articulate with premaxillae throughout their length (0); anterior half of nasals do not articulate with premaxillae (1). (Quintana 1998: character 6)

75. Shape of frontals: not convex (0); convex (1); markedly convex posteriorly (2). (Quintana, 1998: character 15)

76. Interorbital width: longer or equal to braincase (0); shorter than braincase (1). (Quintana 1998: character 14).

77. Length of incisive foramina: long, <50% (0); short, >50% (1).

78. Palatal concavity: plane (0); only anterior portion concave (1); concave (2). (Quintana 1998: character 24)

79. Position of upper incisors: orthodont (0); inclined (1). (Quintana 1998: character 35)

80. Enamel of upper and lower incisors: uncolored (0); with color (1). (Quintana 1998: character 36)

81. Position of the boundary between the mastoid and paraoccipital processes: at the same level or above the external auditory meatus (0); beneath the external auditory meatus (1). (Ubilla et al. 1999: character 15)

82. Length of ulna bone with respect to length of skull: ulna less or same than skull (0); ulna greater than skull (1). (Quintana 1998)

83. Length of shin bone with respect to length of skull: shin bone less than skull (0); shinbone greater than skull (1). (Quintana 1998)

84. Length of radius with respect to length of humerus: radius less than humerus (0); radius greater than humerus (1). (Quintana 1998)

85. Area between temporal fossae: plane interposed (fossae do not merge on the middle line) (0); sagittal crest (1).

86. Development of the temporal fossae: shallow (0); deep (1).

87. Orientation of the posterior projection of the posterior lobe of M3: antero-posterior

(0); transverse (1).

88. Length of the lower diastema: equal or shorter than molariform series (0); longer than the molariform series (1).

- 89: Dorsal margin of the lower distema: oblique (0); subplane (1)
- 90: Ventral margin of the lower diastema: oblique (0); subplane (1)
- 91: C3: absent (0); present (1). New character
- 92: C3 development: normal (0); long (1). New character
- 93: *External fissures in prisms of M3: ephemeral or absent (0); present (1). New character
- 94: p4: simple (0); complex (1). New character
- 95: m3: simple (0); complex (1). New character
- 96: Transversal extension of the external fissure in complex m3: up to 75% (0); more than 75% (1).
- 97: First five laminar prisms in M3 when have more than 10 laminar prisms: ephemeral
- or absent (0); shallow (1); deep (2). New character
- 98: h.5i. in complex p4: absent (0); shallow (1); deep (2). New character
- 99: h.2i.: shallow (0); deep (1). New character
- 100: h.3i.: shallow (0); deep (1). New character
- 101: depth of h.2i. respect to h.3i.: h2.i deeper h.3i (0); equally deep (1); h2.i shallower
- h.3i (2). New character
- 102: Location of h.2i. in complex p4: PrI (0); Pr.II (1). New character
- 103: Orientation of h.2i. and h.3i.: parallel (0); convergent (1). New character

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