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Exploring water-soluble organic aerosols structures in urban atmosphere using advanced solid-state  $^{13}\text{C}$  NMR spectroscopy

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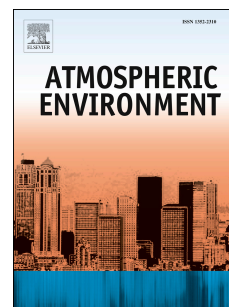
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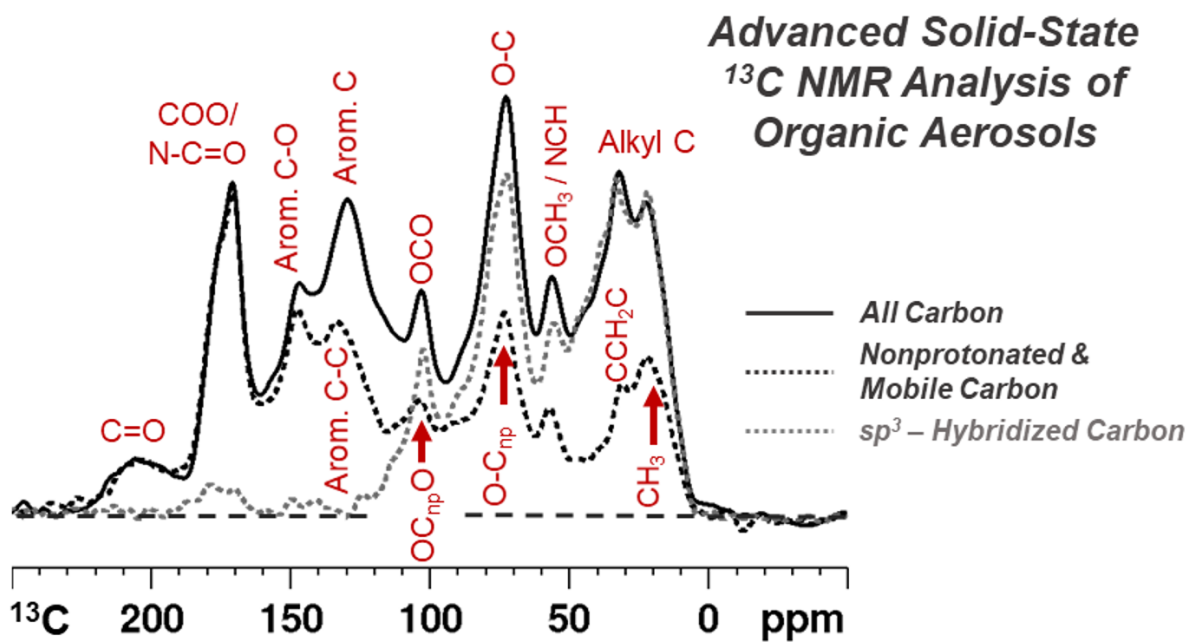
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## CRediT author statement

**Regina M. B. O. Duarte:** Conceptualization, Investigation, Resources, Formal analysis, Writing-Original draft preparation and Review & Editing, Funding acquisition, Project administration. **Pu Duan:** Data curation, Investigation, Formal analysis, Writing- Reviewing & Editing. **Jingdong Mao:** Conceptualization, Methodology, Validation, Writing - Review & Editing. **Wenying Chu:** Formal analysis, Writing- Reviewing & Editing. **Armando C. Duarte:** Visualization, Writing-Reviewing & Editing. **Klaus Schmidt-Rohr:** Methodology, Validation, Resources, Writing-Reviewing & Editing, Funding acquisition.

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1 **Exploring water-soluble organic aerosols structures in urban atmosphere using**  
2 **advanced solid-state  $^{13}\text{C}$  NMR spectroscopy**

3  
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13  
14  
15 **Abstract:** Water-soluble organic matter (WSOM) in air particles has profound effects on climate and  
16 human health. At the heart of this environmental significance of WSOM lies a complex set of  
17 compounds, of which a major fraction still often remains undeciphered. Yet, not all environmental  
18 problems require delving into the molecular-level identification of WSOM constituents. Understanding  
19 the contribution of different functional groups to whole aerosol WSOM composition offers a highly  
20 important structural dataset that enables a better representation of WSOM in climate studies. For the  
21 first time, advanced solid-state  $^{13}\text{C}$  nuclear magnetic resonance (NMR) techniques, including nearly  
22 quantitative  $^{13}\text{C}$  multiple cross polarization/magic angle spinning (multiCP/MAS), multiCP/MAS with  
23 dipolar dephasing, multiCP/MAS with  $^{13}\text{C}$  chemical shift anisotropy filter, and two-dimensional  $^1\text{H}$ - $^{13}\text{C}$   
24 heteronuclear correlation (2D HETCOR), are applied to acquire an accurate quantitative structural  
25 description of whole aerosol WSOM collected in an urban atmosphere. Two urban aerosol WSOM  
26 samples collected in two short periods of time, under different wintry weather conditions, were  
27 investigated. NMR data successfully pinpointed the variability of whole aerosol WSOM composition,  
28 allowing to suggest source-specific structural characteristics for each sample in two short periods of  
29 time. A new structural model of urban aerosol WSOM was build based on this compositional data,  
30 showing the presence of three independent classes of compounds that vary both in content and

31 molecular diversity within short periods of time: heteroatom-rich aliphatic (either chain or branched),  
32 carbohydrate-like moieties, and highly substituted aromatic units. These findings establish advanced  
33 solid-state NMR as a promising tool for probing the chemical structures of inhomogeneous aerosol  
34 WSOM in rapidly changing atmospheric conditions, allowing to resolve discrepancies between  
35 modeled and measured aerosol WSOM.

36

37 **Keywords:** Water-soluble organic aerosols; Quantitative structural diversity; Structural model; Source  
38 assignment; Advanced solid-state  $^{13}\text{C}$  NMR spectroscopy

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40

## 41 1. Introduction

42 Water-soluble organic matter (WSOM) from fine particulate matter ( $\text{PM}_{2.5}$ , aerodynamic diameter less  
43 than  $2.5\ \mu\text{m}$ ) plays a key role on climate, through its impact on cloud formation and properties (Müller  
44 et al., 2017; Padró et al., 2010), Earth's radiative balance (Laskin et al., 2015; Moise et al., 2015), and  
45 atmospheric chemistry (George et al., 2015; Laskin et al., 2015). Atmospheric deposition of aerosol  
46 WSOM can also affect carbon and nitrogen biogeochemical cycles in aquatic ecosystems (Iavorivska  
47 et al., 2016; Witkowska et al., 2016). Fine aerosol WSOM may also exert adverse health effects by  
48 generating reactive oxygen and nitrogen species (Tuet et al., 2016; Verma et al., 2014).  
49 Understanding these dynamic processes involving aerosol WSOM depends on how well one can  
50 identify its organic constituents. Yet, the ability to address such organic matrix is rather challenging,  
51 including  $\text{PM}_{2.5}$  collection in amounts suitable for chemical analysis, WSOM extraction/processing  
52 ensuring representativeness of the extracted organic materials, complexity of WSOM composition,  
53 and limitations of some instrumental techniques to deal with such complexity (Duarte and Duarte,  
54 2011). Keeping these challenges in mind, one must decide the level of structural and/or molecular  
55 knowledge required to answer a specific problem. In fact, most of the research on aerosol WSOM  
56 (e.g., optical properties, secondary formation, atmospheric variability, and source apportionment) only  
57 require intermediate levels of structural analysis, such as the identification of specific classes of  
58 compounds or functional groups (Nozière et al., 2015). Still, attaining this level of structural  
59 identification necessitates an understanding of the inherent complexity of WSOM composition, as well  
60 as its atmospheric fate and reactivity (Duarte and Duarte, 2015; Nozière et al., 2015).

61 Recent reviews have settled the debate regarding the use of different sophisticated analytical  
62 techniques to unravel the complex chemical composition of aerosol WSOM (Duarte and Duarte, 2017;  
63 Nozière et al., 2015). The remarkable advance of high-resolution analytical techniques, namely  
64 ultrahigh resolution mass spectrometry and solution-state multidimensional nuclear magnetic  
65 resonance (NMR) spectroscopy, has provided fundamentally novel insights into the structural  
66 composition of aerosol WSOM (Chalbot et al., 2013, 2016; Duarte et al., 2019, 2017a; Duarte and  
67 Duarte, 2017, 2011; Matos et al., 2017; Schmitt-Kopplin et al., 2010; Willoughby et al., 2016).  
68 Solution-state NMR methods have been particularly essential in clarifying some intricacies of the  
69 structure-origin relationships of different aerosol WSOM samples. However, these methods still have  
70 several shortcomings when dealing with complex organic mixtures (e.g., low sample solubility, non-  
71 detection of non-protonated carbons). A more comprehensive overview on these limitations is found in  
72 Simpson et al. (Simpson et al., 2011) and Mao et al. (Mao et al., 2017). Solid-state  $^{13}\text{C}$  NMR, on the  
73 other hand, is an essential tool in overcoming some of those limitations in the untargeted structural  
74 analysis of complex mixtures (Mao et al., 2017). As described by Mao and co-workers (2017), when  
75 compared with solution-state NMR, solid-state NMR has the following advantages: (1) it overcomes  
76 sample solubility problems; (2) it requires less sample handling and is free of solvent effects; (3) it  
77 does not consume sample, thus allowing for its recovery for subsequent structural analysis; (4) it  
78 facilitates a much higher sample concentration than solution NMR, thus enhancing signals intensity;  
79 (5) it allows the straightforward detection of non-protonated carbons, allowing for the quantitative  
80 characterization of complex organic matrices; (6) the fast tumbling of molecules averages anisotropic  
81 interactions in solution NMR, while in solid-state NMR these anisotropic interactions can be  
82 manipulated with specially developed pulse sequences to extract structural information not available  
83 from solution NMR; (7) it can identify domains and heterogeneities within complex organic structures,  
84 which cannot be easily discerned by solution NMR; and (8) the macro-molecular structures,  
85 aggregates, and colloids present in complex organic mixtures slow the tumbling of these molecules,  
86 leading to  $T_2$  relaxation times that are too short to allow many of the pulse sequences of solution NMR  
87 to be successfully used (Mao et al., 2017).

88 Yet, the application of solid-state  $^{13}\text{C}$  NMR to aerosol WSOM analysis has been rather limited (Duarte  
89 et al., 2015, 2007; Sannigrahi et al., 2006), mostly due to the low atmospheric concentrations of  
90 aerosol WSOM, rendering the routine application of these methods difficult. When solid-state  $^{13}\text{C}$  NMR

91 is used for aerosol WSOM characterization, the individual WSOM samples are usually pooled together  
92 according to different pollution or seasonal events, aiming at obtain enough amount of sample for  
93 NMR analysis (30-80 mg) (Duarte et al., 2015, 2007, 2005; Sannigrahi et al., 2006). These composite  
94 aerosol WSOM samples typically represent average ambient and/or meteorological conditions (e.g.  
95 seasons or biomass burning events), which however do not allow for capturing the individual WSOM  
96 compositional changes in short periods of time (i.e., up to a week (Duarte and Duarte, 2017)), thus  
97 hindering the investigation of chemical processes or aerosol sources in rapidly changing scenarios  
98 (e.g., different air masses or changes in atmospheric boundary-layer height). Furthermore, the most  
99 frequently used technique has been the standard cross-polarization magic-angle spinning (CP/MAS)  
100  $^{13}\text{C}$  NMR experiment, which only provides a semi-quantitative assessment of the carbon functional  
101 group distributions within the studied samples. Advanced solid-state  $^{13}\text{C}$  NMR methods (e.g.,  $^{13}\text{C}$   
102 direct polarization/magic angle spinning (DP/MAS) and DP/MAS with recoupled dipolar dephasing,  $^{13}\text{C}$   
103 multiple cross polarization/MAS (multiCP/MAS) and multiCP/MAS with dipolar dephasing,  $^{13}\text{C}$   
104 chemical shift anisotropy (CSA) filter, and two-dimensional  $^1\text{H}$ - $^{13}\text{C}$  heteronuclear correlation (2D  
105 HETCOR) NMR) can cope with this complexity by successfully providing accurate and detailed  
106 structural data on complex organic mixtures, such as those from aquatic and soil samples. In this  
107 regard, readers are encouraged to consult the works of Johnson and Schmidt-Rohr (2014) and Mao et  
108 al. (2017, 2012, 2011), and references therein to obtain a more complete understanding of the  
109 advantages of advanced solid-state  $^{13}\text{C}$  NMR methods over conventional CP/MAS  $^{13}\text{C}$  NMR for the  
110 analysis of such complex organic materials (Johnson and Schmidt-Rohr, 2014; Mao et al., 2017, 2012,  
111 2011). These advanced solid-state NMR methods have never been applied to the structural analysis  
112 of aerosol WSOM. Furthermore, little is known about the structural features of the aerosol WSOM as a  
113 whole, as an important fraction of this organic component still eludes the current analytical window.

114 This study took the challenge to explore the structural diversity of whole aerosol WSOM by applying  
115 for the first time advanced solid-state  $^{13}\text{C}$  NMR techniques. A standard reference sample of urban air  
116 particles (NIST SRM® 1648a) and urban  $\text{PM}_{2.5}$  WSOM samples collected under different weather  
117 conditions during two consecutive weeks in winter were selected for this study. The NIST SRM®  
118 1648a material was used as a surrogate for urban  $\text{PM}_{2.5}$  samples to assess whether the whole  
119 atmospheric particulate matter was amenable to advanced solid-state  $^{13}\text{C}$  NMR analysis. The  
120 objectives were: (i) to assess the effectiveness of advanced solid-state  $^{13}\text{C}$  NMR for the analysis of

121 organic aerosols, (ii) to acquire a comprehensive structural description of whole aerosol WSOM, (iii) to  
122 quantify and assess the variability of the structural composition of whole aerosol WSOM in two short  
123 periods of time, (iv) to identify the potential sources of the major structural categories of aerosol  
124 WSOM, and (v) to build structural model representing the chemical diversity of urban aerosol WSOM.  
125 The level of structural details here attained breaks the solid-state NMR resolution barrier on the  
126 spectral identification of specific chemical classes in ambient organic aerosols, allowing the accurate  
127 assessment of the atmospheric concentrations of the major and specific functional groups of these  
128 highly complex atmospheric matrices on relatively short periods of time.

129

## 130 **2. Materials and methods**

### 131 **2.1. Sampling and extraction of aerosol WSOM samples**

132 The PM<sub>2.5</sub> samples were collected on a rooftop (ca. 20 m above ground) at the campus of University of  
133 Aveiro (40°38'N, 8°39'W), which is located about 10 km from the Atlantic coast on the outskirts of the  
134 city of Aveiro. The sampling site is impacted by both marine air masses travelling from the Atlantic  
135 Ocean and anthropogenic emissions from vehicular transport, residential, and industrial sources  
136 (Duarte et al., 2019, 2017b; Matos et al., 2017). Episodes of increased PM<sub>2.5</sub> and WSOM  
137 concentrations are common in this area during colder seasons and they can last several days (Duarte  
138 et al., 2019, 2017b, 2015), allowing the collection of enough amount of aerosol WSOM within relatively  
139 short periods of time for subsequent NMR studies. Each PM<sub>2.5</sub> sample was collected on a weekly  
140 basis (i.e., 7 days in continuum) in the periods of 4-11 February 2015 (Sample S1, start/end time:  
141 15:35) and 11-18 February 2015 (Sample S2, start/end time: 15:50), on pre-fired (at 500 °C) quartz-  
142 fiber filters (20.3×25.4 cm; Whatman QM-A, Maidstone, UK) with an airflow rate of 1.13 m<sup>3</sup> min<sup>-1</sup>.  
143 Additional details on aerosol sampling procedure are available in Section S1, in Supplementary  
144 Material (SM) data. After sampling, the filter samples were folded in two, wrapped in aluminum foil and  
145 immediately transported to the laboratory, where they were weighted and stored frozen until further  
146 analysis. The meteorological data recorded during the PM<sub>2.5</sub> samples collection are available in Table  
147 S1, in SM.

148 An area of 315 cm<sup>2</sup> of each collected filter was extracted with 150 mL of ultra-pure water, and the  
149 dissolved organic carbon (DOC) content of each aqueous extract was measured by means of a  
150 Shimadzu (Kyoto, Japan) TOC-5000A Analyzer. Additional details on water-soluble organic carbon



151 (WSOC) extraction and DOC analysis can be found in Section S2, in the SM. The WSOC  
152 concentrations are expressed in  $\mu\text{g C m}^{-3}$  (additional details are available in Table S2, in SM). After  
153 the WSOC extraction, each aqueous aerosol extract was freeze-dried, and the obtained solid residues  
154 (designated as “whole aerosol WSOM samples”) were kept in a desiccator over silica gel until the  
155 solid-state NMR analysis.

156

## 157 **2.2. Advanced solid-state $^{13}\text{C}$ NMR spectroscopy**

158 All the NMR experiments were performed at 100 MHz for  $^{13}\text{C}$  and 400 MHz for  $^1\text{H}$  using a Bruker  
159 Avance 400 spectrometer. Solid NIST SRM® 1648a material and aerosol WSOM samples were  
160 packed in 4-mm-diameter zirconia rotors with Kel-F caps, and experiments were run in a double-  
161 resonance probe head. The  $^{13}\text{C}$  chemical shifts were referenced externally to tetramethylsilane (TMS),  
162 with  $^{13}\text{COO}^-$  labeled glycine at 176.49 ppm as a secondary reference. Quantitative  $^{13}\text{C}$  NMR spectra  
163 were acquired using direct polarization (DP), 14 kHz magic-angle spinning (MAS), and a recycle delay  
164 of 20 s for NIST SRM® 1648a (approximately 90 mg), 1 s for aerosol WSOM sample S1  
165 (approximately 32 mg), and 4 s for aerosol WSOM sample S2 (approximately 80 mg). The acquired  
166  $^{13}\text{C}$  DP/MAS NMR spectra are shown in Section S3, Figure S1, in the SM. Urban aerosol WSOM  
167 samples S1 and S2 were further characterized using nearly quantitative multiple cross-polarization  
168 magic angle spinning (multiCP/MAS)  $^{13}\text{C}$  NMR, multiCP/MAS  $^{13}\text{C}$  NMR with dipolar dephasing, and  
169 multiCP/MAS with a  $^{13}\text{C}$  chemical shift anisotropy (CSA) filter. The nearly quantitative multiCP/MAS  
170  $^{13}\text{C}$  spectra were collected at a spinning speed of 14 kHz, with very small (<3%) spinning sidebands  
171 that have minimal overlap with centerbands. The  $90^\circ$   $^{13}\text{C}$  pulse length was 4.2  $\mu\text{s}$  (Johnson and  
172 Schmidt-Rohr, 2014). The multiCP/MAS experiment combined with dipolar dephasing was applied to  
173 obtain quantitative structural information on non-protonated carbons and mobile segments. Most of the  
174 experimental conditions were the same as for the multiCP/MAS method except that a recoupled  
175 dipolar dephasing time of 68  $\mu\text{s}$  was applied (Mao and Schmidt-Rohr, 2004a). The  $^{13}\text{C}$  CSA filter was  
176 used to separate signals of  $\text{sp}^3$ -hybridized carbons from those of  $\text{sp}^2$ - and  $\text{sp}$ -hybridized carbons (i.e.,  
177 to resolve the overlap between anomeric and aromatic carbon signals). This technique is based on the  
178 carbon bonding symmetry, which results in CSAs of  $\text{sp}^3$ -hybridized carbons being much smaller than  
179 those of  $\text{sp}^2$ - and  $\text{sp}$ -hybridized carbons, so their magnetization remains after a certain recoupling time  
180 (Mao and Schmidt-Rohr, 2004b).

181 Sample S2 was further characterized by means of two-dimensional  $^1\text{H}$ - $^{13}\text{C}$  heteronuclear correlation  
 182 (2D HETCOR) NMR. In the 2D HETCOR spectrum, specific functional groups and their connectivities  
 183 and proximity can be identified (Mao et al., 2001). 2D HETCOR experiments were performed at a  
 184 spinning speed of 7.5 kHz. Standard Hartmann-Hahn CP (HH-CP) with 0.5 ms CP time was used,  
 185 allowing for correlations between carbons and protons within  $\sim 0.5$  nm radius. Sample S1 was not  
 186 analyzed by means of 2D HETCOR due to its small mass amount (approximately 32 mg) and  
 187 correspondingly low signal-to-noise ratio.

188

### 189 3. Results and discussion

#### 190 3.1. Contribution of WSOM to $\text{PM}_{2.5}$ mass

191 The ambient concentrations of  $\text{PM}_{2.5}$ , water-soluble organic carbon (WSOC), and total mass of WSOM  
 192 follow the same weekly trend, with higher values during the first week (Table 1). During this period, the  
 193 inflowing air masses mostly come from inland (Table S1, SM), containing presumably a higher content  
 194 of particulate organics from both local and continental sources. These conditions contrast with the  
 195 cleaner air masses originating from the ocean sector during the second week, which usually contain a  
 196 lower amount of particulate organic matter. Overall, the WSOM accounts to 23.4-25.3% of the urban  
 197  $\text{PM}_{2.5}$  mass during the two sampling periods, being within the range of variation previously reported for  
 198 this same location in winter (Duarte et al., 2017a).

199

200 **Table 1.** Ambient concentrations of  $\text{PM}_{2.5}$ , WSOC, total mass of particulate WSOM, and WSOM/ $\text{PM}_{2.5}$   
 201 mass ratio in each sampling period.

Parameter	Sample S1	Sample S2
Total $\text{PM}_{2.5}$ ( $\mu\text{g m}^{-3}$ )	22.7	16.9
WSOC ( $\mu\text{g C m}^{-3}$ )	$3.32 \pm 0.08$	$2.67 \pm 0.04$
WSOM <sup>(a)</sup> ( $\mu\text{g m}^{-3}$ )	$5.31 \pm 0.26$	$4.28 \pm 0.10$
WSOM/ $\text{PM}_{2.5}$ (%)	23.4	25.3

202

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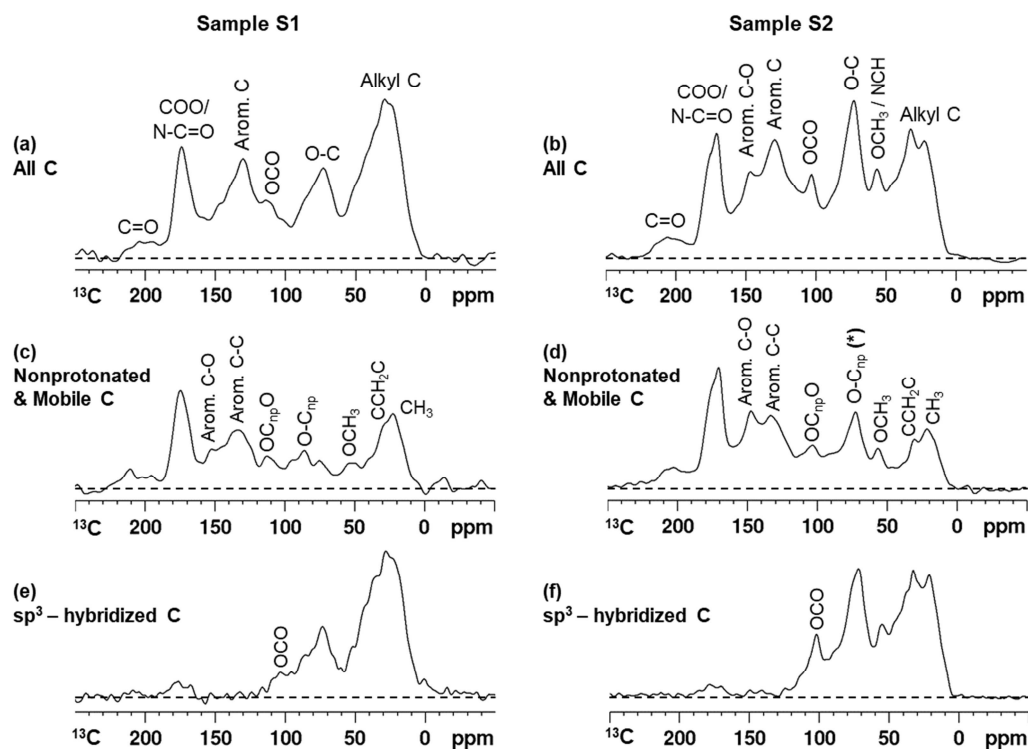
205

<sup>(a)</sup>  $[\text{WSOM}] = [\text{WSOC}] \times 1.6$  (factor used to convert WSOC into WSOM derived from elemental analysis of WSOC aerosol samples collected during different seasons at Aveiro (Duarte et al., 2015)).

#### 206 3.2. Qualitative and quantitative NMR analysis of aerosol WSOM

207 Figures 1(a) and 1(b) shows the nearly quantitative multiple cross-polarization magic angle spinning  
 208 (multiCP/MAS)  $^{13}\text{C}$  NMR spectra of aerosol WSOM samples S1 and S2, respectively. The

209 corresponding dipolar-dephased 68- $\mu$ s  $^{13}\text{C}$  multiCP/MAS NMR spectra are shown in Figures 1(c) and  
 210 1(d), whereas the  $^{13}\text{C}$  multiCP/MAS spectra after a  $^{13}\text{C}$  chemical shift anisotropy (CSA) filter are  
 211 displayed in Figures 1(e) and 1(f). The quantitative direct polarization magic angle spinning (DP/MAS)  
 212  $^{13}\text{C}$  NMR spectra of the aerosol WSOM samples and NIST SRM® 1648a material are shown in Figure  
 213 S1 (SM). The higher noise level in the DP spectra, particularly of sample S1, hinders the detection of  
 214 smaller peaks, which are more clearly observed in the corresponding multiCP spectra due to their  
 215 better signal-to-noise ratio. The presence of large spinning sidebands in the DP spectrum of NIST  
 216 SRM® 1648a, which are also seen in the corresponding  $^1\text{H}$  NMR spectrum (Figure S2, SM), are likely  
 217 due to dipolar fields of paramagnetic species in this sample; this is supported by the presence of iron  
 218 in the sample ( $3.92 \pm 0.21\%$ , certified mass fraction). This feature indicates the need to minimize the  
 219 amount of paramagnetic species in the sample, which is the case for WSOM samples S1 and S2.



220

221 **Figure 1.**  $^{13}\text{C}$  NMR with spectral editing of whole WSOM extracted from urban  $\text{PM}_{2.5}$  sample S1 [(a),  
 222 (c), and (e)] and sample S2 [(b), (d), and (f)] collected in Aveiro. (a, b) Nearly quantitative  
 223 multiCP/MAS  $^{13}\text{C}$  NMR spectra (all carbon (C)), compared to the corresponding (c, d) dipolar-  
 224 dephased 68- $\mu$ s multiCP/MAS  $^{13}\text{C}$  NMR spectra of nonprotonated C ( $\text{C}_{\text{np}}$ ) and highly mobile  $\text{CH}_n$   
 225 groups, and (e, f) selection of  $\text{sp}^3$ -hybridized C signals by a  $^{13}\text{C}$  CSA filter. The asterisk (\*) in (d)  
 226 denotes that the resonance at 62–94 ppm can have contributions of both  $\text{O-C}_{\text{np}}$  and highly mobile  $\text{O-}$   
 227  $\text{C(H,R)C}$  groups.

228

229 Table 2 lists the integrals of the NMR resonances of the specific functional groups identified in WSOM  
 230 samples S1 and S2 by means of the multiCP and spectral-editing techniques as well as their ambient  
 231 concentrations. The assignments are as follows: 0–49 ppm, alkyl carbon (C); 49–62 ppm, NCH and  
 232 OCH<sub>3</sub>; 62–94 ppm, O-alkyl C, including carbohydrate-like C; 94–110 ppm, O–C–O anomeric C;  
 233 110–141 ppm, aromatic C–C and C–H; 141–160 ppm, aromatic C–O; 160–188 ppm, COO and  
 234 N–C=O; and 188–230 ppm, ketone or aldehyde C. The spectra of multiCP/MAS <sup>13</sup>C NMR combined  
 235 with dipolar dephasing selected nonprotonated carbons and mobile functional groups, providing  
 236 remarkable information on the type of potential functionalities within the two aerosol WSOM samples.  
 237 The NMR data indicate that these samples hold similar carbon functional groups; however, they differ  
 238 in terms of the relative carbon distribution.

239

240 **Table 2.** Integration results (percentage values) based on multiCP/MAS <sup>13</sup>C NMR and spectral editing  
 241 techniques, and ambient concentrations (in  $\mu\text{g C m}^{-3}$ ) of each carbon functional group.

Sample ID	Chemical Shifts (ppm) and Assignments											
	230–188 R(C=O)H R(C=O)R'	188–160 COO N–C=O	160–141 Arom. C–O	141–110		110–94		94–62		62–49		49–0 CCH <sub>2</sub> C CCH <sub>3</sub>
				Arom. C–C	Arom. C–H	O–C(R,R')–O O–C(H,R')–O	O–C(R,R')–C and mobile O–C(H,R')–C	O–C(H,R')–C and O–CH <sub>2</sub> –C	OCH <sub>3</sub>	NCH		
<b>Percentage (%)</b>												
S1	2.8	13.4	4.6	14.6	4.8	3.8	7.2	9.7	4.0	3.4	31.8	
S2	3.6	12.4	8.4	15.4	2.9	6.9	10.5	9.5	3.8	2.3	24.3	
<b>Ambient concentration<sup>(a)</sup> (<math>\mu\text{g C m}^{-3}</math>)</b>												
S1	0.09	0.44	0.15	0.48	0.16	0.13	0.24	0.32	0.13	0.11	1.06	
S2	0.10	0.33	0.23	0.41	0.08	0.18	0.28	0.25	0.10	0.06	0.65	

242 <sup>(a)</sup> Ambient concentration of each carbon functional group calculated based on the corresponding integration  
 243 result (percentage value) and on the total amount of WSOC of the sample (shown in Table 1).

244

245 The spectrum of sample S1 is clearly dominated by the resonance assigned to alkyl C (31.8%),  
 246 whereas the alkyl C (24.3%) and O-alkyl C (20.0%) resonances dominate the spectrum of sample S2  
 247 (Table 2). The NMR resonance assigned to carbohydrate-like moieties or other O-alkyls (62–94 ppm)  
 248 is quite intense in both spectra (16.9 and 20.0%, respectively), particularly when compared to data  
 249 obtained in previous conventional CP/MAS <sup>13</sup>C NMR spectra of urban aerosol WSOM from cold  
 250 seasons (10–12% of the total NMR peak area) (Duarte et al., 2015). The difference between these  
 251 data and those previously published could be due to the different experimental procedures applied to

252 WSOM processing (Duarte et al., 2015). Previously, the WSOM samples were isolated from the water-  
253 soluble inorganics using a solid-phase extraction (SPE) procedure (Duarte et al., 2015), whereas the  
254 present study focus on whole aerosol water-soluble extracts. It is suggested that the WSOM isolated  
255 using SPE is enriched in those organic species that are targeted by the low hydrophilic character of  
256 the SPE sorbent, which retains the highly conjugated and more hydrophobic compounds of the  
257 aerosol WSOM (Duarte et al., 2015). The spectral features reported here further suggest that  
258 carbohydrate-like moieties or other O-alkyl groups may contribute more than previously expected to  
259 the compositional features of whole aerosol WSOM in winter.

260 The aromatic C structures (110-160 ppm), of which a substantial portion are nonprotonated aromatic  
261 C-C (14.6 and 15.4% in samples S1 and S2, respectively), also have an important contribution to the  
262 compositional features of both WSOM samples. However, a closer inspection of the multiCP/MAS  $^{13}\text{C}$   
263 NMR data suggests that these aromatic C structures may have different origins in each sample. Note  
264 that the multiCP/MAS  $^{13}\text{C}$  spectra of both samples exhibit two distinctive NMR resonances at 56 ppm  
265 ( $\text{OCH}_3$  and NCH) and 146 ppm (aromatic C-O; e.g.,  $-\text{OCH}_3$  or  $-\text{OH}$  substituents), whose presence are  
266 typically assigned to lignin breakdown products (e.g., methoxyphenols) emitted from wood combustion  
267 for home heating during cold temperatures (Duarte et al., 2017a, 2015; Matos et al., 2017).  
268 Nonetheless, the contribution of aromatic C-O groups to sample S2 is 1.8 times higher than for sample  
269 S1. Furthermore, the  $-\text{OCH}_3$  and NCH groups contribute equally to the resonance at 56 ppm in sample  
270 S1, whereas a major fraction of this resonance is assigned to  $-\text{OCH}_3$  groups in sample S2. Moreover,  
271 the content in anomeric C groups (94-110 ppm), whose presence is usually attributed to carbohydrate-  
272 like structures emitted during the pyrolysis of cellulose and hemi-cellulose (Duarte et al., 2019, 2008;  
273 Matos et al., 2017), is also higher in sample S2 than in sample S1. These spectral findings suggest  
274 that sample S1 is less wood smoke impacted than sample S2, although biomass burning still  
275 contributes to the composition of the former sample. The question is now which possible additional  
276 sources can explain the content of both aromatic C-C and C-H groups in sample S1. Motor-vehicle  
277 exhaust and secondary organic aerosol (SOA) formation have been mentioned as possible additional  
278 sources of aromatic C groups to urban aerosol WSOM (Chalbot et al., 2014; Sannigrahi et al., 2006).  
279 For example, aromatic acids, such as phthalic acid and its isomer, terephthalic acid, as well as  
280 nitrophenyl-derived compounds and cinnamic acid are water-soluble secondary products of oxidation  
281 of aromatic hydrocarbons from traffic emissions (Chalbot et al., 2016, 2014; Hallquist et al., 2009; Lee

282 et al., 2014) and they have already been detected in aerosol WSOM samples in urban areas (Alier et  
283 al., 2013; Chalbot et al., 2016, 2014; Duarte et al., 2019, 2017a; Matos et al., 2017). Backward  
284 trajectories (Table S1) reveal that air masses transport from continental Europe was predominant  
285 during sample S1 collection, suggesting that the transport of polluted air masses from industrial and  
286 traffic-related urban sources (in addition to local sources) might contribute to the high aromatic C  
287 content of this WSOM sample. Fossil fuel combustions are also major sources of aliphatic compounds  
288 in urban atmospheres (Willoughby et al., 2016; Wozniak et al., 2012). The presence of traffic and  
289 industrial activity in short- and long-range proximity of the sampling location suggests that fossil fuel  
290 combustion is also a strong candidate for explaining the high content of saturated aliphatic C groups  
291 (0-49 ppm) in sample S1 (Table 2).

292 Approximately 17% (sample S1) to 20% (sample S2) of NMR signal intensity falls in the O-alkyl C  
293 region (62-94 ppm). Nevertheless, the estimates of protonated and nonprotonated O-alkyl C structures  
294 (Table 2) resonating within this region should be viewed with caution, as an uncounted fraction of O-  
295 C(H,R')-C resonating at ~73 ppm is highly mobile due to sample hygroscopicity. The O-alkyl C region  
296 is typically assigned to carbohydrate-like moieties, although other alcohols (polyols) can resonate  
297 within this region as well. Polyols have already been detected in urban aerosol WSOM (Fu et al.,  
298 2010; Minguillón et al., 2016; Suzuki et al., 2001; Wang and Kawamura, 2005) and are thought to be  
299 produced via photooxidation of isoprene in aerosols (e.g., 2-methyltetrols) (Fu et al., 2010; Minguillón  
300 et al., 2016). Carbohydrate-like moieties, on the other hand, are important components of aerosol  
301 WSOM and may include mono- and disaccharides (such as glucose, trehalose, maltose, fructose, and  
302 sucrose) and anhydrosugars (such as levoglucosan and mannosan) (Matos et al., 2017; Yttri et al.,  
303 2007). Glucose, fructose, maltose, and sucrose can be emitted from the combustion of cellulose and  
304 hemi-cellulose, whereas anhydrosugars are molecular markers of biomass burning emissions  
305 (Chalbot et al., 2013; Duarte et al., 2019, 2008; Matos et al., 2017). Primary saccharides, such as  
306 glucose and trehalose, may also reflect the contribution of biogenic sources (e.g., products of fungal  
307 metabolism) (Côté et al., 2008). Trehalose is considered a useful tracer of soil materials and  
308 associated microbiota (Simoneit et al., 2004) and, therefore, resuspension of soil from agricultural  
309 activities in areas near the sampling area could be a plausible source as well.

310 Interestingly, the content in NCH groups, which overlap with those of -OCH<sub>3</sub> groups in the multiCP <sup>13</sup>C  
311 NMR spectra and are removed by dipolar dephasing (Mao et al., 2012), is somewhat higher in sample

312 S1 than in sample S2 (Table 2). The presence of N-alkyl structures has already been identified in  
313 aerosol WSOM samples (Matos et al., 2017), but their quantification in organic aerosols is rather  
314 difficult. These N-containing organic structures could be associated with SOA formation, resulting from  
315 photochemical oxidation of different anthropogenic and natural gas-phase precursors (e.g., alkanes,  
316 carbonyl, aliphatic amines, epoxides, and anhydrides) (Duarte et al., 2019; Matos et al., 2017). The  
317 long-range transport of polluted air masses from inland urban and industrial sources (Table S1) can  
318 contribute to the higher content of these N-containing structures in sample S1 as compared to sample  
319 S2.

320 In both samples, the dipolar-dephased spectra also exhibit signals from -COO and N=C=O between  
321 160–188 ppm, and ketones between 188–230 ppm. Apparently, the presence of aldehydes C groups  
322 (188-230 ppm) is not significant for both WSOM samples, since the corresponding dipolar-dephased  
323 spectra do not show a considerable signal decrease within this spectral region.

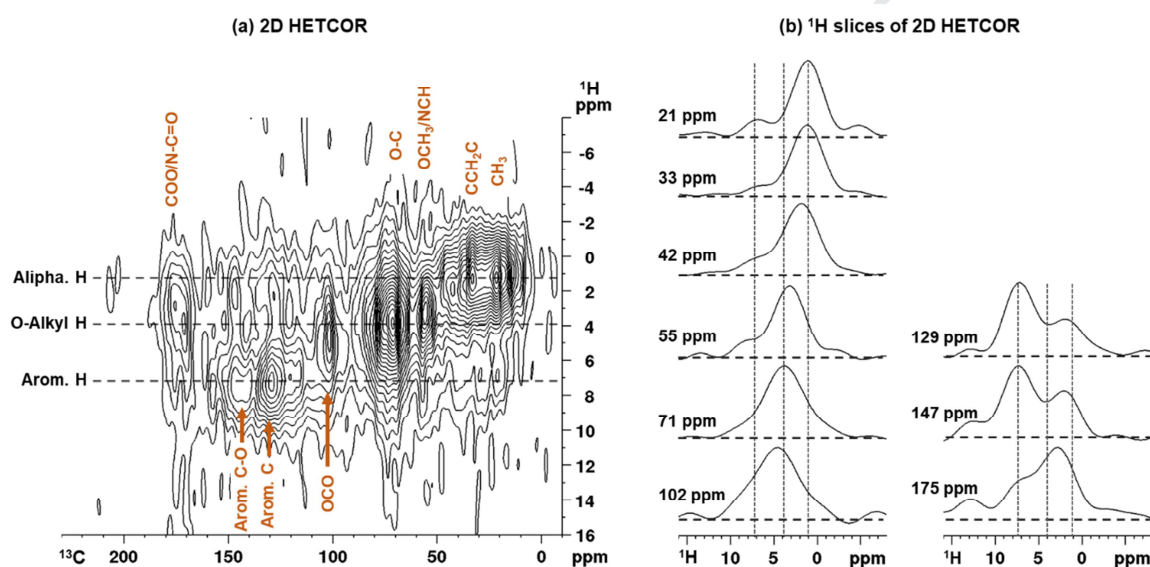
324

### 325 **3.3. Structural information on aerosol WSOM from 2D HETCOR NMR**

326 To further assess the connectivities (or proximities) of the different C functional groups, the 2D  
327 HETCOR spectrum of sample S2 was also acquired [Figure 2(a)]. The  $^1\text{H}$  cross sections at specific  
328  $^{13}\text{C}$  chemical shifts were extracted to facilitate the identification of connectivities and/or proximities of  
329 different functional groups [Figure 2(b)]. The  $^1\text{H}$  cross sections extracted at the alkyl  $^{13}\text{C}$  chemical  
330 shifts of 21 and 33 ppm, attributable to mobile  $-\text{CH}_3$  and  $\text{CCH}_2\text{C}$  groups, respectively, show that the  
331 dominant contributions are from alkyl  $^1\text{H}$  at 1.2 ppm, although proximity to aromatic  $^1\text{H}$  at 7.1 ppm is  
332 also observed, particularly for alkyl  $^{13}\text{C}$  at 21 ppm. While the former feature indicates that  
333 polymethylene structures and terminal  $\text{CH}_3$  are important contributors to WSOM, the latter indicates  
334 that neutral alkyl C groups (namely  $\text{CH}_3$ ) might be also substituents in aromatic rings. The  $^1\text{H}$  slice  
335 extracted at 42 ppm, likely from  $-\text{CCHC}$  and/or quaternary C groups, mainly show correlation with alkyl  
336  $^1\text{H}$  at 1.9 ppm, indicating that aliphatic structures in the WSOM sample may also include branched  
337 carbon chains.

338 The  $^1\text{H}$  spectrum associated with  $\text{OCH}_3/\text{NCH}$  groups at 55 ppm indicates that these carbons are  
339 mainly associated with their directly bonded  $^1\text{H}$  at 3.25 ppm, although their proximity with aromatic  $^1\text{H}$   
340 resonating at  $\approx 8.0$  ppm is also observed. Considering that  $-\text{OCH}_3$  groups account for most of the  
341 resonance within the 49-62 ppm region (Table 2), these spectral features are consistent with the

342 presence of lignin-derived structures, which are a defining feature of biomass burning emissions and  
 343 of importance in the composition of sample S2. The  $^1\text{H}$  slice at the  $^{13}\text{C}$  chemical shift of 71 ppm,  
 344 assigned to -OCH groups, show mainly an O-alkyl  $^1\text{H}$  band at 3.9 ppm, suggesting that these carbon  
 345 sites primarily correlate with their directly bonded O-alkyl  $^1\text{H}$ . Furthermore, the  $^1\text{H}$  slice extracted at  
 346 102 ppm also indicates that the protonated anomeric C (O-C-O) shows correlations predominantly  
 347 with anomeric  $^1\text{H}$  resonating at 4.7 ppm. These findings confirm that both -OCH and O-C-O are  
 348 primarily associated with carbohydrate-like moieties, whose presence in this sample may result from  
 349 cellulose and hemicellulose combustion.



350  
 351 **Figure 2.** 2D  $^1\text{H}$ - $^{13}\text{C}$  HETCOR NMR spectrum (a) and associated  $^1\text{H}$  slices (b) of whole WSOM  
 352 extracted from  $\text{PM}_{2.5}$  sample S2.  
 353

354 Within the aromatic NMR region, the  $^1\text{H}$  slices extracted at the chemical shifts of aromatic C (129 ppm)  
 355 and aromatic C-O (147 ppm) show major contributions from aromatic  $^1\text{H}$  (at  $\approx 7.3$  ppm) as well as  
 356 signals of alkyl  $^1\text{H}$  (at  $\approx 2.0$  ppm). Aromatic C-O is also correlated with carboxylic acid  $^1\text{H}$  at 12 ppm.  
 357 These NMR fingerprints suggest the presence of highly substituted aromatic rings, bearing neutral  
 358 (alkyl C) and/or O-containing (namely,  $\text{OCH}_3$ , OH, and/or COOR, where R=H or alkyl group)  
 359 substituents. Such aromatic structural signatures are consistent with those observed for molecular  
 360 markers of primary organic aerosols that are directly emitted from biomass burning (Duarte et al.,  
 361 2019, 2008; Matos et al., 2017). Their presence in sample S2 confirm the importance of this emission  
 362 source in the chemical composition of this aerosol WSOM sample during winter. Additional sources  
 363 contributing to the aromatic structural signatures of sample S2 can also include *in-situ* secondary  
 364 formation, as the presence of COO-bonded aromatics has been associated to terephthalic acid and

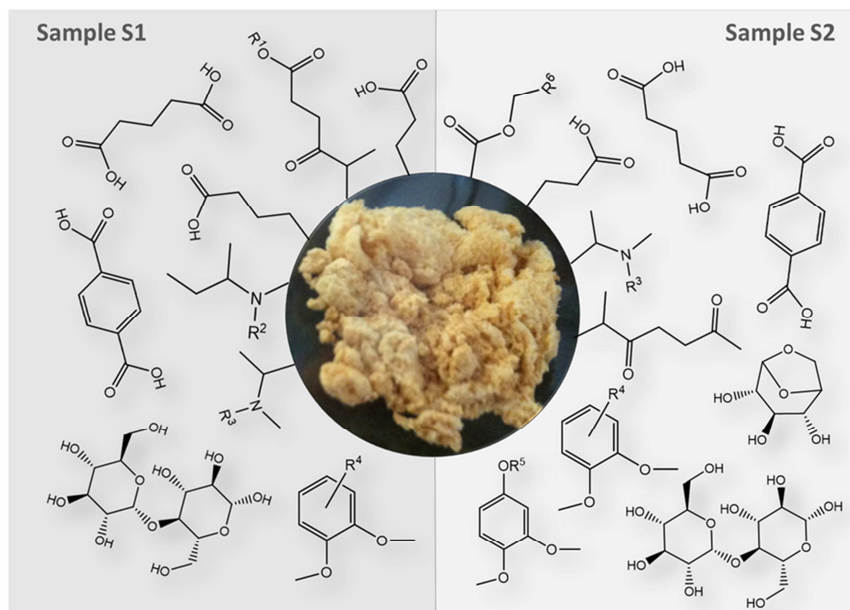


365 cinnamic acid, possibly formed during the oxidation of aromatic hydrocarbons from urban traffic  
366 emissions (Duarte et al., 2019; Matos et al., 2017).

367 The 2D HETCOR spectrum also shows that COO/N–C=O groups (175 ppm), which account to 12% of  
368 the NMR signal (Table 2), are primarily correlated with alkyl  $^1\text{H}$  at 2.8 ppm, with additional  
369 contributions from O-alkyl, aromatic and carboxylic acid  $^1\text{H}$ . The presence of COO groups bonded to  
370 alkyls, O-alkyls, and aromatic structures in urban aerosol WSOM can be attributed to SOA that form  
371 via oxidation reactions involving naturally (e.g., sea-to-air emission of marine organics, and terrestrial  
372 vegetation (Liu et al., 2011; Russell et al., 2011; Schmitt-Kopplin et al., 2012)) and anthropogenically  
373 (e.g., biomass burning and fossil fuel combustion (Kundu et al., 2010; Liu et al., 2011)) gas-phase  
374 precursors. In the context of the studied urban area, the contribution of fossil fuel SOA to total organic  
375 aerosol load has a noteworthy importance in winter (up to 20% of the total aerosol carbon (Gelencsér  
376 et al., 2007)), thus making atmospheric aging of anthropogenic volatile and semi-volatile organic  
377 compounds a key process in defining the molecular features of urban organic aerosols.

378 A structural model of aerosol WSOM can be further deduced based on the data from multiCP/MAS  $^{13}\text{C}$   
379 NMR with spectral editing techniques (Table 2) and 2D HETCOR. Figure 3 integrates all the structural  
380 information on the complex molecular WSOM assemblies, with the addition of the chemical data from  
381 2D solution-state NMR of urban organic aerosols (Duarte et al., 2019, 2017a; Matos et al., 2017). The  
382 model shows that urban particulate WSOM contain at least three classes of compounds which are not  
383 associated with each other in the same structure, and that vary both in concentration and molecular  
384 diversity within short periods of time: (1) a core of heteroatom-rich aliphatics (either chain or branched,  
385 mostly with  $-\text{CH}_3$  and/or  $-\text{COO}$  terminal units), reflecting the large contribution of these structures as  
386 deduced from the quantitative NMR data in Table 2; (2) carbohydrate-like moieties; and (3) highly  
387 substituted aromatic units.

388



389  
 390 **Figure 3.** Structural model of aerosol WSOM in samples S1 and S2, with a ratio of aliphatic  $\text{-CH}_3$ ,  
 391  $\text{C-CH}_2\text{-C}$ ,  $\text{-COO}$ , aromatic  $\text{C-O}$ , and anomeric  $\text{O-C-O}$  of 5:11:7:2:2 and 4:8:6:4:3, respectively, as  
 392 deduced from the combination of multiCP/MAS  $^{13}\text{C}$  NMR with spectral editing techniques and 2D  
 393 HETCOR ( $\text{R}^1$  to  $\text{R}^6$  = H or alkyl group; photo: aerosol WSOM, after freeze-drying).  
 394

395

#### 396 4. Conclusions

397 The combination of multiCP/MAS  $^{13}\text{C}$  NMR with spectral editing techniques has been critical in  
 398 providing, for the first time, key information on the major and specific structural components of whole  
 399 WSOM present in urban  $\text{PM}_{2.5}$  collected in two short periods of time. The weekly-resolved structural  
 400 data show quantifiable changes in whole aerosol WSOM composition not observable until now in NMR  
 401 datasets provided in the literature. The O- and N-containing aliphatic features of sample S1 may  
 402 reflect the effect of long-range-transported anthropogenic emissions (namely, from fossil-fuel  
 403 combustion sources) that underwent chemical aging along the transport. These aged anthropogenic  
 404 emissions might also contribute to the aromatic C features of sample S1. However, local residential  
 405 wood burning also contributes to the WSOM composition to a minor extent. On the other hand, the  
 406 structural characteristics of sample S2, particularly the carbohydrate-like and the highly substituted  
 407 aromatic moieties, are mainly apportioned to local biomass burning emissions. SOA formation from  
 408 anthropogenic precursors emitted from local traffic sources can also contribute to whole aerosol  
 409 WSOM during the second week.

410 The knowledge on the whole aerosol WSOM functional-group composition should also be helpful for  
411 predicting the role of this fraction in diverse atmospheric processes. Rather than using surrogate  
412 organic compounds to represent aerosol WSOM, improved reproductions of how WSOM affect  
413 aerosol hygroscopic growth and activation should be obtained if accurate ambient concentrations of  
414 each carbon functional group (Table 2) are appropriately taken into account in such modelling studies  
415 (McNeill, 2015; Mircea et al., 2005). Our structural findings further highlight a dynamic picture of  
416 aerosol WSOM composition that should be integrated in climate models. For example, Martin and co-  
417 workers reported that it is not possible to use the same value of hygroscopicity for freshly emitted and  
418 aged soot particles in climate models due to their different chemical composition (Martin et al., 2013).  
419 Therefore, it is worth investigating how the hygroscopic properties change relative to the different  
420 composition of locally emitted primary organic aerosols and chemically processed organic particles, as  
421 this would make the inferred values in climate models more representative.

422 Future research should refine both the composition and structures of whole aerosol WSOM by  
423 addressing additional sample sets from other locations across different time scales, which should  
424 enable a more definite quantification and better constrained structural models of this organic aerosol  
425 fraction. The application of advanced solid-state  $^{13}\text{C}$  NMR methods will lay the groundwork for such  
426 structure–function investigations, thus enabling exploration of role of WSOM in different atmospheric  
427 processes.

428

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439

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636 **FIGURES CAPTIONS**

637

638 **Figure 1.**  $^{13}\text{C}$  NMR with spectral editing of whole WSOM extracted from urban  $\text{PM}_{2.5}$  sample S1 [(a),  
639 (c), and (e)] and sample S2 [(b), (d), and (f)] collected in Aveiro. (a, b) Nearly quantitative  
640 multiCP/MAS  $^{13}\text{C}$  NMR spectra (all carbon (C)), compared to the corresponding (c, d)  
641 dipolar-dephased 68- $\mu\text{s}$  multiCP/MAS  $^{13}\text{C}$  NMR spectra of nonprotonated C ( $\text{C}_{\text{np}}$ ) and  
642 highly mobile  $\text{CH}_n$  groups, and (e, f) selection of  $\text{sp}^3$ -hybridized C signals by a  $^{13}\text{C}$  CSA  
643 filter. The asterisk (\*) in (d) denotes that the resonance at 62–94 ppm can have  
644 contributions of both  $\text{O-C}_{\text{np}}$  and highly mobile  $\text{O-C(H,R')-C}$  groups.

645 **Figure 2.** 2D  $^1\text{H}$ - $^{13}\text{C}$  HETCOR NMR spectrum (a) and associated  $^1\text{H}$  slices (b) of whole WSOM  
646 extracted from  $\text{PM}_{2.5}$  sample S2.

647 **Figure 3.** Structural model of aerosol WSOM in samples S1 and S2, with a ratio of aliphatic  $-\text{CH}_3$ ,  
648  $\text{C-CH}_2\text{-C}$ ,  $-\text{COO}$ , aromatic  $\text{C-O}$ , and anomeric  $\text{O-C-O}$  of 5:11:7:2:2 and 4:8:6:4:3,  
649 respectively, as deduced from the combination of multiCP/MAS  $^{13}\text{C}$  NMR with spectral  
650 editing techniques and 2D HETCOR ( $\text{R}^1$  to  $\text{R}^6$  = H or alkyl group; photo: aerosol WSOM,  
651 after freeze-drying).

## ***Atmospheric Environment***

**Manuscript:** Exploring water-soluble organic aerosols structures in urban atmosphere using advanced solid-state  $^{13}\text{C}$  NMR spectroscopy

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### **HIGHLIGHTS**

- Advanced solid-state NMR used for the first time to decode urban aerosol WSOM
- Weekly-resolved structural data showed quantifiable changes in WSOM composition
- Accurate ambient concentrations of carbon functional groups in aerosol WSOM
- Source-specific structural characteristics for each aerosol WSOM sample
- New structural model of aerosol WSOM in contrasting atmosphere

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: