

1 Microplasma assisted synthesis of gold nanoparticle/graphene oxide 2 nanocomposites and their potential application in SERS sensing

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16 **Abstract**

17 This is the first study on the deployment of direct current (DC) atmospheric pressure microplasma
18 (APM) technique for the single step synthesis of gold nanoparticle/graphene oxide (AuNP/GO)
19 nanocomposites. The nanocomposites were characterized using ultraviolet-visible spectroscopy (UV-
20 Vis), X-ray diffraction (XRD) and X-ray photoelectron spectroscopy (XPS) and their formation
21 mechanisms have been discussed in detail. Our AuNP/GO nanocomposites are highly biocompatible
22 and have demonstrated surface enhanced Raman scattering (SERS) properties as compared to pure
23 AuNPs and pure GO. Their potential as SERS substrate has been further demonstrated using probe
24 molecules (methylene blue) at different concentrations.

25 **Introduction**

26 Atmospheric pressure microplasma (APM) operates at room temperature under non-thermal
27 equilibrium conditions with high electron temperature (e.g. 10^4 K)[1,2]. When in contact with aqueous
28 solution, APM can lead to dynamic production of highly reactive species including solvated electrons,
29 radicals (e.g. OH[•], H[•], and O[•], etc.), ions (e.g. OH⁻ and H⁺), and H₂O₂[3,4]. These species can interact
30 with metal salt precursors within the aqueous solution via different reaction pathways, initiating the
31 reduction of metal ions[5–7]. As a result, APM-liquid interaction has become an emerging technology
32 for the synthesis of various metal based nanoparticles (NPs) such as AgNPs, AuNPs, Cu₂O NPs, Co₃O₄,
33 Fe₃O₄ NPs, and alloyed Au_xAg_{1-x} NPs [6–12]. The unique advantage of the APM synthetic approach is
34 its ability to create highly charged NP surfaces within minutes and enable the stabilisation of NPs in
35 aqueous based solutions without the need for surfactants or ligand coating.

36 In recent years, APM based nanomaterials synthesis has been expanded further towards the fabrication
37 of nanocomposite systems. By exposing aqueous mixture of metal salt precursors and polymers to high
38 doses of plasma induced reactive species, we have successfully achieved one-step synthesis of a number

1 of multifunctional nanocomposites such as AuNP/PEDOT: PSS for potential fuel cell electro-catalyst,
2 thermos-responsive Fe₃O₄/poly (N-isopropylacrylamide), and AuAg NP/PVA hydrogel nanocomposites
3 for potential bioapplications[13–15]. We have also developed the rapid and green APM synthesis
4 process for the fabrication of AuNP decorated carbon nanotubes with enhanced photothermal
5 conversion capability[16]. These preliminary results highlight the exciting possibility of using APM to
6 synthesize a wide range of advanced nanocomposites for functional applications.

7 Graphene oxide (GO), one of the graphene derivatives, is a two-dimensional (2D) sheet with a sp²-
8 hybridized carbon atoms packed into a honeycomb lattice[17]. While retaining the unique structure and
9 excellent mechanical properties of graphene, GO is rich in surface functional groups (e.g. -OH, -C-O-
10 and -COOH), which promote the cell adhesion and biocompatibility[18,19]. Functional metallic NPs,
11 in particular gold NPs (AuNPs), when incorporated into GO, can form multifunctional nanocomposites
12 for many applications such as drug delivery, tissue engineering, electrocatalysis[20–22]. AuNP/GO
13 nanocomposites are also of particular interest for surface enhanced Raman scattering (SERS)
14 sensing[23]. In SERS sensing, AuNPs with localized surface plasmon resonance (LSPR) property could
15 lead to a much higher Raman signal enhancement through the electromagnetic mechanism (EM)[24].
16 GO on the other hand, can offer enhanced Raman signal through a chemical mechanism (CM) due to
17 the electron transfer between the analyte and the graphene structures[25]. AuNPs/GO nanocomposites
18 can fully deploy both mechanisms, leading to a much enhanced SERS capability[26]. To date,
19 AuNP/GO nanocomposites with SERS activities have been reported in a number of SERS related
20 applications, such as detection of aromatic molecules and biomolecules, intercellular imaging, and
21 cancer diagnostics[20,27–32]. Some of the synthetic routes of AuNP/GO nanocomposites reported for
22 SERS based applications have been summarized in Table 1. Although traditional wet chemistry based
23 synthesis techniques (e.g. *in situ* chemical reduction,[30,33,34] self-assembling,[31,35–38] and
24 electrochemical deposition.[39]) have been commonly used, most of these approaches require elevated
25 temperatures, long processing time (hours), and / or multiple reaction steps / cleansing procedures.
26 Photo-reduction process has also been reported for the synthesis of AuNP/rGO[40], however, the long
27 exposure to UV-irradiation may be potentially hazardous to human[41].

28 In this work, we demonstrated the first use of a direct current (DC) APM for the one-step synthesis of
29 AuNP/GO nanocomposites. An in-depth understanding has been developed into the interfacial
30 interactions between AuNP and GO during the APM assisted synthesis process and the formation
31 mechanism of AuNP/GO nanocomposites under the plasma induced chemistry has been elucidated in
32 detail. The resulting AuNP/GO nanocomposites have been evaluated for their biocompatibility and their
33 potential as SERS substrate has been demonstrated using a model probe molecule methylene blue (MB).

Table 1. Au-graphene nanocomposites with enhanced SERS for biomolecule detection applications.

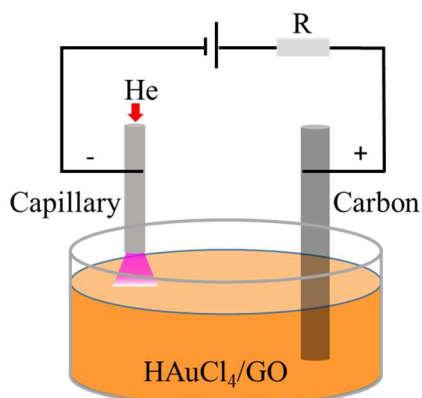
Method	Platform	Specified AuNP shapes	Interlayers	Reducing Agent	Probe molecules	Applications	Ref
<i>In situ</i> chemical reduction	AuNP/GO	Spheres	N/A	Tyrosine	Malachite Green (MG)	SERS	[30]
	AuNP/rGO	N/A	Polyvinylpyrrolidone	Ascorbic Acid	NBA	SERS	[33]
	AuNP/GO AuNP/rGO	N/A	N/A	Sodium Citrate	Rhodamine 6G (R6G)	SERS	[34]
Self-assembling	AuNP/GO	Rods	Polyvinylpyrrolidone	Sodium Borohydride	Crystal Violet (CV), Neutral Red (NR), Trypan Blue (TB) and Ponceau S (PS)	SERS	[31]
		N/A	2-Mercaptopyridine	Sodium Borohydride	Rh6G	SERS	[36]
		Popcorns	Thionyl Chloride and Cysteamine	Sodium Citrate and Sodium Borohydride	Rh6G, HIV DNA, and Methicillin Resistant Staphylococcus Aureus (MRSA) bacteria	SERS	[38]
	AuNP/GO AuNP/rGO	N/A	2-Mercaptopyridine	Sodium Citrate	P-aminothiophenol (PATP)	SERS and Catalysis	[37]
Electrochemical	AuNP/rGO	N/A	N/A	N/A	Rhodamine B (RhB)	SERS	[39]
UV-irradiation	AuNP/GO	N/A	N/A	N/A	Crystal Violet (CV) and Flavin Adenine Dinucleotide (FAD)	SERS and Electrochemical reactions	[40]

1 Experiment section

2 **APM set-up.** The APM set-up deployed in this study is shown in Schematic 1. The cathode consists of
3 a hollow stainless-steel capillary with an inner diameter of 250 μm , and the anode is a conductive carbon
4 rod which is immersed in the solution. The two electrodes were vertically placed with a distance of \sim
5 2cm in between. The capillary is placed \sim 1 mm above the liquid surface, through which helium (He)
6 gas was supplied (25 SCCM). The plasma can be ignited at \sim 2 kV and all samples were treated at a
7 constant current of 5 mA for 10 min without stirring the solutions.

8 **The preparation of AuNP/GO nanocomposites.** GO aqueous solution (Graphene Laboratories Inc.,
9 50 mg/mL) was mixed with HAuCl_4 (Sigma-Aldrich) aqueous solution to obtained aqueous
10 HAuCl_4/GO mixtures with constant GO concentration (0.5 mg/mL) and varying HAuCl_4 concentrations
11 (2.5 μM , 0.1 mM, and 0.2 mM). The resulting mixtures were settled for 10 min before APM treatment.
12 The samples after APM treatment were named as 2.5 μM AuNP/GO, 0.1 mM AuNP/GO, and 0.2 mM
13 AuNP/GO, respectively. All samples were collected and stored in glass vials for further analysis (see
14 Figure S1 in supporting information).

15 **Characterization.** The optical properties of the samples were analyzed using ultraviolet-visible (UV-
16 Vis) spectroscopy (Cary 60 UV-Vis, Agilent Technologies). Transmission electron microscopy (TEM)
17 analysis was carried out using a Philips Tecnai F20D transmission electron microscope, and size of the
18 AuNPs within the nanocomposite was obtained by analyzing 150 NPs using “ImageJ” software. The
19 diameter was measured for spherical NPs, while for NPs with other morphologies, the longest
20 dimension was measured. X-ray powder diffraction (XRD) patterns of the samples were carried out
21 using a PAN-alytical X’PERT Pro MPD machine, while the XRD pattern were referred to the JCPDS
22 card. X-ray photoelectron spectroscopy (XPS) was conducted using Kratos Axis Ultra XPS system
23 (monochromatic Al $K\alpha$ X-rays, 1486 eV) at 10 mA current, 15 kV voltage under 1×10^{-9} mbar of
24 pressure. The XPS samples were prepared by drop-casting aqueous sample solutions on intrinsic silicon
25 wafer, followed by drying thoroughly under room temperature. High-resolution XPS spectra (0.05 eV)
26 including C 1s and Au 4f peaks of all samples were performed under a pass energy of 40 eV. The spectra



Scheme 1. APM set-up used in the present work.

1 were calibrated and normalized to the C 1s peak located at 284.5 eV and the data were analyzed using
2 an open source software CasaXPS. Samples for Raman test was prepared by drop-casting 100 μL of
3 each AuNP/GO aqueous solution on neat silicon wafer with a pre-fabricated 6 mm \times 6 mm well
4 followed by drying under room temperature. Raman spectroscopy were performed using a 632 nm N₂-
5 H₂ laser excitation Raman spectroscopy (LabRAM 300, Horiba, UK with a 632 nm source). The
6 operating current and voltage of the laser is 7 mA and 3.7 kV, respectively. For all samples, a 1 % filter
7 of the output power (0.259W) was chosen to avoid the surface damages of the materials. Measurements
8 were repeated three times to ensure reproducibility.

9 **SERS measurements.** 0.1 mM AuNP/GO was selected as a typical sample to evaluate the potential of
10 our nanocomposites for SERS sensing applications. MB was used as model probe molecule. 1 mL of
11 the 0.1 mM AuNP/GO aqueous solution was mixed with 1 mL MB of varying concentrations (2×10^{-1}
12 mg/mL, 2×10^{-2} mg/mL, 2×10^{-3} mg/mL, and 2×10^{-4} mg/mL), and the mixtures were settled for 30 mins
13 to allow thorough adsorption of the molecules. 100 μL of each sample was drop-casted onto silicon
14 wafer with a pre-fabricated 6 mm \times 6 mm well and dried thoroughly under ambient condition before
15 conducting Raman scanning.

16 **In vitro cytotoxicity test.** The alamarBlue™ Cell Viability Reagent (ThermoFisher Scientific Inc.,
17 Gaithersburg, MD, USA) was used to determine the cytotoxicity of nanocomposites following the
18 manufacturer's instruction. Immortalized human cervical cells, HeLa cells (ATCC® CCL-2TM,
19 Manassas, VA, USA) were cultured in Minimum Essential Medium (MEM, ThermoFisher Scientific
20 Inc.) supplemented with 3.9 mM L-glutamine, 1.0 mM sodium pyruvate, 2.2 g/L sodium bicarbonate
21 and 10% fetal bovine serum (FBS) and maintained in a humidified atmosphere containing 5% CO₂ at
22 37°C. HeLa cells were cultured until they reached approximately 80% confluency before preparing the
23 plates for the cytotoxicity assay. Cells were seeded into Costar® 96-well assay plates (Costar 3904,
24 Corning Inc., NY, USA) with different initial cell densities of 5×10^3 , 4×10^3 and 3×10^3 cells per well.
25 The cells were cultured for 24 h to allow attachment to the wells. 100 μL water suspension of tested
26 materials, 0.1 mM AuNP, GO, or 0.1 mM AuNP/GO was mixed with 100 μL 2X MEM supplemented
27 with 10% FBS and 1% Primocin (ThermoFisher Scientific Inc.). For each well, the cultured medium
28 was replaced with 200 μL complete medium of tested materials. Cells were maintained in 37°C
29 incubator for 24 h, 48 h and 72 h, corresponding to the initial cell density of 5×10^3 , 4×10^3 , 3×10^3 cells
30 per well, respectively. 100 μL of autoclaved double-distilled water was used as no treatment control.
31 After each time point, cells were washed twice with PBS. Complete medium containing 10%
32 alamarBlue® solution was added and incubated in the 37°C incubator for 2 h. After incubation,
33 fluorescence was measured using a POLARstar® Glomax multidetection system (Promega,
34 Southampton, UK) with excitation/emission wavelength at 544/590 nm. Cell viability was calculated
35 by the relative ratio of fluorescence from test materials to control media.

1 Results and discussion

2 HAuCl₄/GO mixtures showed immediate colour change when the samples were subjected to APM
3 treatment (See Figure S1 in supporting information). The optical properties of the APM treated samples
4 are shown in Figure 1. It can be seen that the UV-vis absorption spectrum of GO is insensitive to the
5 APM treatment. However, in the presence of HAuCl₄, the UV-vis spectra of APM treated 0.1 mM
6 HAuCl₄/GO (0.1 mM AuNP/GO) and 0.2 mM HAuCl₄/GO (0.2 mM AuNP/GO) mixtures both display
7 a surface plasmon resonance (SPR) band typical of AuNPs (539 nm and 553 nm, respectively). The
8 SPR peak wavelength is red shifted with increasing initial HAuCl₄ concentration (from 0.1 mM to 0.2
9 mM). This can be attributed to the larger AuNPs formed within samples containing higher HAuCl₄
10 concentration[42].

11 The presence of AuNP on GO was further confirmed by TEM analysis, see Figure 2. Figure 2A shows
12 a typical TEM image of GO sheets. AuNPs were found to be well dispersed on the surfaces of GO for
13 the plasma treated samples, see Figure 2B-D. It can be seen that AuNPs are present in the 2.5 μM
14 AuNP/GO sample, although no AuNP SPR band has been observed in its associated UV-vis spectrum
15 in Fig 1. This may be due to the number of NPs formed under very low initial HAuCl₄ is below the UV-
16 vis detection limit[16]. The average NP size increased from 19.2 ± 7.3 nm to 63.4 ± 4.3 nm with
17 increasing HAuCl₄ precursor concentration (Figure 2B-D insets). This is consistent with the red shift of
18 the SPR peak shown in UV-Vis absorption spectra in Figure 1. It is noteworthy that the AuNPs within
19 the 2.5 μM AuNP/GO sample feature clusters of coalesced NPs with much smaller sizes (see also Figure
20 S2 in supporting information), in contrast to the well dispersed single AuNPs in 0.1 mM and 0.2 mM
21 AuNP/GO samples.

22 It is also of interest to investigate if the GO within the nanocomposite structure has undergone any
23 reduction reaction (e.g. formation of reduced GO) during the APM treatment. Figure 3 shows the XRD
24 spectra of pure GO and APM treated GO. For pure GO, the crystalline peaks at $2\theta = 9.77^\circ$ and $2\theta =$
25 23.60° signify the (001) and (002) crystalline structures of GO, respectively[43]. After the APM
26 treatment, the intensity of (001) peak was significantly reduced, indicating the reduction of oxygen-

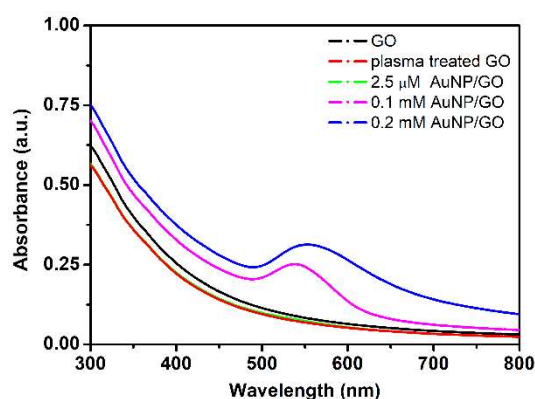


Figure 1. The UV-Vis spectra of GO, APM treated GO and AuNP/GO nanocomposites

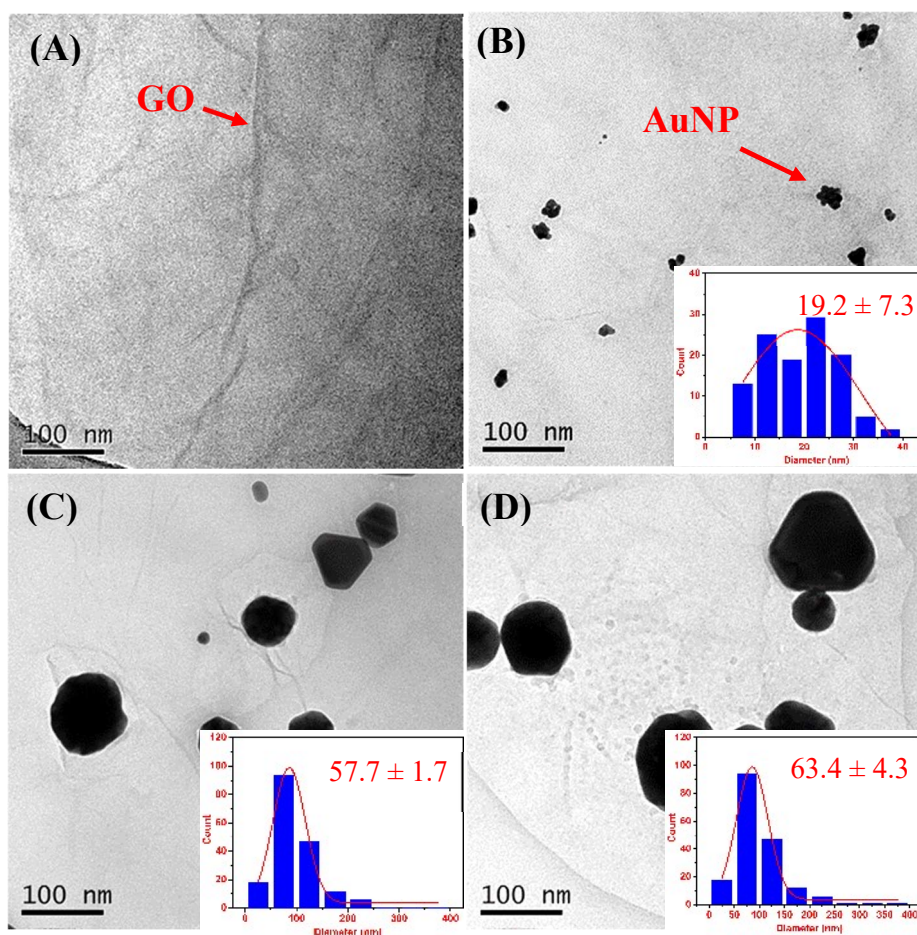


Figure 2. TEM micrographs of (a) GO, (b) 2.5 μM AuNP/GO, (c) 0.1 mM AuNP/GO, and (d) 0.2 mM AuNP/GO; (b)-(d) insets: size distribution of AuNPs within each sample.

1 containing functionalities on GO[44]. This suggests the APM treatment has caused reduction of GO in
 2 aqueous mixtures containing HAuCl₄. The finding is consistent with the previous study where GO was
 3 AMP treated in water only[2]. For AuNP/GO samples, the (001) peak of GO can still be observed in
 4 the XRD spectra. Comparing to the pure GO, the intensity of this peak also decreased, but to a lesser
 5 extent. Additionally, new peak at $2\theta = 38.2^\circ$ emerges, which correspond to the (111) facet of the face
 6 cornered cubic (fcc) gold nanostructures.[45] These results indicate that both Au ions and the GO
 7 surface oxygen-functionalities have undergone reduction reaction during the APM process. There could
 8 be a competition between these two reduction processes, hence a lower degree of GO reduction is
 9 expected for the AuNP/GO sample (less reduced (001) GO peak intensity). With increasing initial
 10 HAuCl₄ concentration, the intensity of the $2\theta = 38.2^\circ$ peak significantly increases, suggesting that (111)
 11 facet is the predominant AuNP growth orientation under the APM treatment[45]. The preferential
 12 growth of AuNPs along the (111) facet with increasing HAuCl₄ concentration suggest the crystal grown
 13 in these samples is dominated by the non-equilibrium kinetic growth regime[46,47].

14 Figure 4A presents the survey XPS of pure GO and 0.1 mM AuNP/GO. In contrast to pure GO, a distinct
 15 Au 4f peak at binding energy (BE) around 83 eV (Figure S3 in supporting information) can be found
 16 in the 0.1 mM AuNP/GO spectrum. The formation of AuNPs on the surface of GO resulted in a slightly

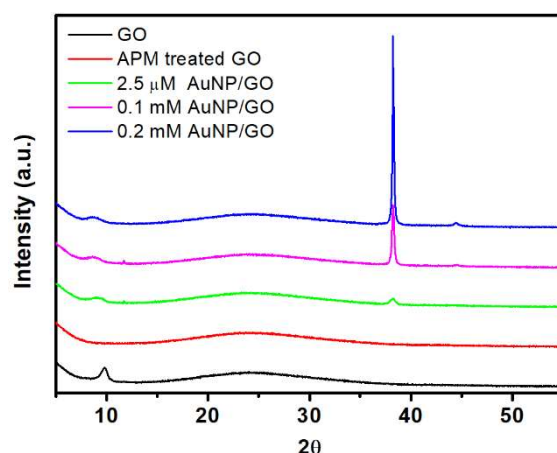


Figure 3. XRD spectra of pure GO, APM treated GO and AuNP/GO nanocomposites.

1 increased C/O ratio in the survey spectra (from 1.83 for pure GO to 1.87 for 0.1 mM AuNP/GO). In
 2 order to develop more in-depth understanding of the AuNP-GO interfacial interaction, high-resolution
 3 XPS spectra of the C1s and Au 4f core peaks were further analysed for pure GO, 0.1 mM HAuCl₄/GO
 4 aqueous mixture, and 0.1 mM AuNP/GO nanocomposite. As is shown in Figure 4B, the C 1s core
 5 region of pure GO consist of three main components: 284.4 eV (C-C), 286.6 eV(C-O), and 288.0
 6 eV(C=O)[48]; the fraction of each component is listed in Table 2. The C 1s core peak in HAuCl₄/GO
 7 (Figure 4C) consists of 284.6 eV (C-C), 286.7 eV (C-O), and 288.3 eV (C=O). Comparing to the C 1s
 8 peak of the pure GO sample, the BE of these signals have slightly shifted, indicating the change of their
 9 chemical environments. A possible reason for such change is the formation of Au-O-C bonds, as
 10 HAuCl₄ interacts with the GO surface oxygen-functionalities[40]. The Au 4f core peak of the 0.1 mM
 11 HAuCl₄/GO mixture (Figure 4D) can be deconvoluted into three doublets. The two doublets centred at
 12 BE of 84.7/88.4 eV and 87.0/90.7 eV can be correlated to the ionic Au states of the HAuCl₄ salt, Au¹⁺
 13 and Au³⁺, respectively (Table 2) [49–51]. A third doublet centred at 84.2/87.9 eV is also noticed, which
 14 signifies Au⁰ state.[52] This could be due to the reduction of HAuCl₄ occurred during the XPS sample
 15 drying process, driven by the difference between the reduction potential of AuCl₄⁻ and the oxidation
 16 potential of GO[53]. However, this process is usually very slow, especially in the absence of external
 17 energy input (such as heating or sonication) [54], and the presence of AuNPs in the 0.1 mM HAuCl₄/GO
 18 mixture prior to APM treatment can be considered negligible in comparison to the AuNPs formed
 19 during the subsequent synthesis process. Figure 4E shows that after the APM treatment, the total
 20 fraction of oxygen-containing components (C-O and C=O) in the C 1s core peak of 0.1 mM AuNP/GO
 21 decreased from 52.1 % to 44.9 %. This reduction can be due to (i) the ability of APM to reduce the
 22 oxygen-containing groups of GO, as is supported by the XRD analysis earlier; and (ii) the APM induced
 23 AuNP formation on GO surface at the Au-O-C bonding sites. Figure 4F shows the Au 4f spectrum of
 24 the 0.1 mM AuNP/GO nanocomposite, where two doublets of the typical Au¹⁺ peaks centred at the BE
 25 of 84.9/88.8 eV and the typical Au⁰ peaks centred at the BE of 84.0/87.7 eV, respectively (Table 2).
 26 Clearly, comparing to the Au 4f peak of 0.1 mM HAuCl₄/GO sample, the ionic state Au has gone

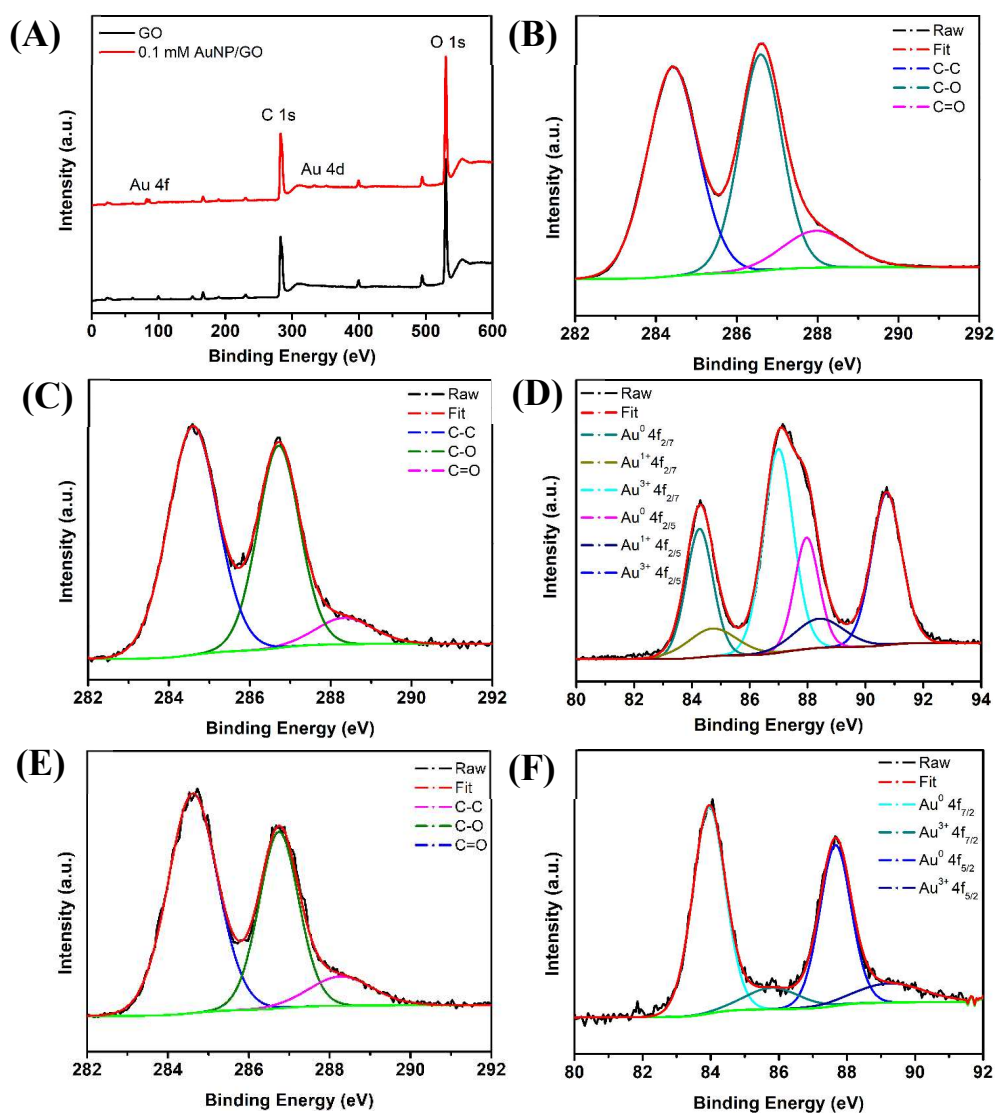
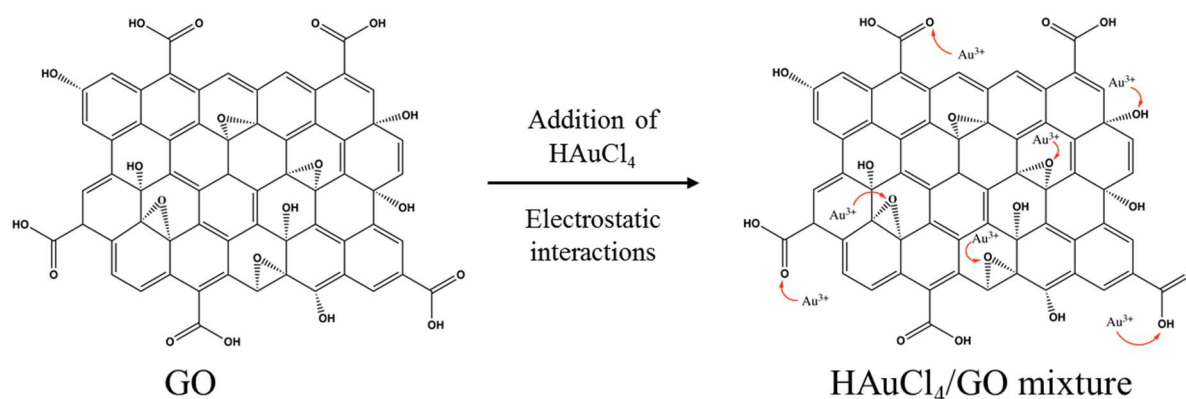


Figure 4. (a) survey XPS spectra of GO and 0.1 mM AuNP/GO; (b) C 1s core peak of GO; (c) and (d) C 1s and Au 4f core peak of 0.1 mM HAuCl₄/GO; (d)-(e) C 1s and Au 4f core peak of 0.1 mM AuNP/GO.

- 1 through significant change during APM treatment. These results indicate the efficient reduction of gold
- 2 precursor and formation of AuNP due to the APM treatment. In contrast to APM assisted synthesis of
- 3 AuNPs only, the formation of AuNPs in the present work can be influenced by both the APM induced

Table 2. C 1s core peak analysis results for GO, 0.1 mM HAuCl₄/GO mixture and 0.1 mM AuNP/GO nanocomposites.

Samples		Carbon species			Au species		
		C-C	C-O	C=O	Au ¹⁺	Au ³⁺	Au ⁰
GO	BE (eV)	284.4	286.6	288.0	--	--	--
	Fraction (%)	47.9	41.0	11.1	--	--	--
0.1 mM HAuCl ₄ /GO	BE (eV)	284.6	286.7	288.3	84.7/88.4	87.0/90.7	84.2/87.9
	Fraction (%)	52.9	40.3	7.0	14.3	53.3	31.4
0.1 mM AuNP/GO	BE (eV)	284.6	286.7	288.3	84.9/88.8	--	84.0/87.7
	Fraction (%)	55.1	35.6	9.3	25.2	--	74.8



Scheme 2. The schematic of electrostatic interactions between Au³⁺ and oxygen-functionalities on the surface of GO

1 rich liquid chemistry and the presence of GO. When the HAuCl₄ was mixed with GO solution, the GO
 2 surface functional groups (-COOH, or O-C-O) will first interact with AuCl₄⁻ ions through electrostatic
 3 interaction, as is shown by scheme 2[34]. Apart from the XPS analysis earlier, this interaction can also
 4 be evidenced by the UV-Vis spectra shown in Figure 5. The GO band (230 nm) in the UV-Vis spectrum
 5 disappeared from the 0.1 mM HAuCl₄/GO aqueous mixture sample. Instead, a boarder AuCl₄⁻ band
 6 emerged at 216 nm, indicating the interfacial interaction between GO and HAuCl₄. The formation
 7 mechanism of AuNP/GO nanocomposites as a result of APM treatment has been illustrated in Scheme
 8 3. The solvated electrons (R_I in Scheme 3) and H₂O₂ (R_{II} in Scheme 3) are believed to be the two main
 9 species that trigger the initial reduction of the gold precursors[5,8,9,55,56]. When the mixture was
 10 subject to APM treatment, the AuCl₄⁻ ions anchored on the GO surface and any unbound free AuCl₄⁻
 11 ions in the bulk solution were reduced into free Au⁰ atoms through both R_I and R_{II} reaction pathways
 12 (Step 1 in Scheme 3). The associated half-cell reactions for R_I and R_{II} are:

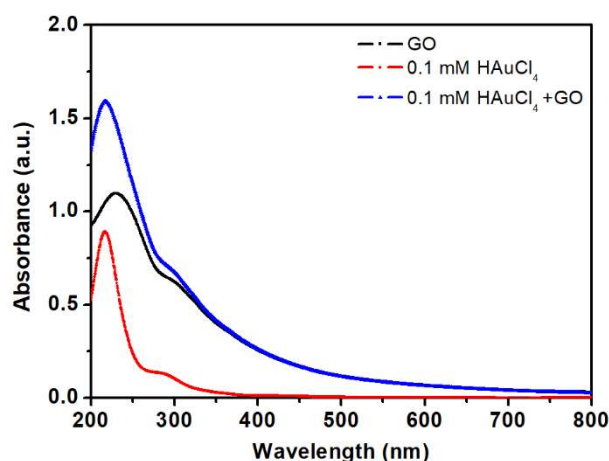
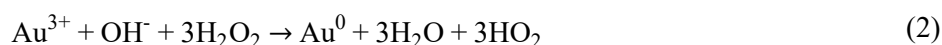
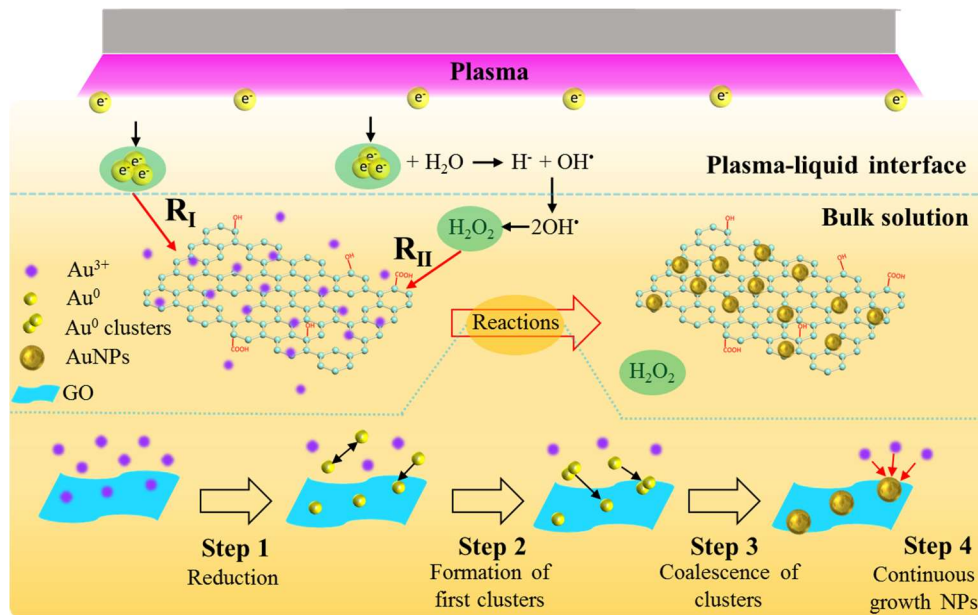


Figure 5. The UV-vis spectra of GO, 0.1mM HAuCl₄ and 0.1 mM HAuCl₄/GO.



Scheme 3. Formation mechanism of AuNP/GO under the physical and chemical processes induced by APM.

1 It is worth pointing out that the reduction induced by the short-lived solvated electrons (Eq. 1) is usually
 2 constrained at the plasma-liquid interfaces[9,56], while the ability of H_2O_2 to diffuse into the bulk
 3 solution enable the reduction of the remaining gold precursors ($AuCl_4^-$) (Eq. 2). The APM induced
 4 nucleation and subsequent NP growth in the present study is very much similar to the process seen in
 5 conventional wet chemistry; however, due to the APM induced reduction steps, the supply of the Au
 6 monomer is kinetically driven by the APM induced reaction products. That is, the initial growth of Au
 7 clusters is through the coalescence of Au atomic nuclei, and the subsequent growth of AuNPs arise
 8 from the coalescence of Au clusters at the cost of reduction in the number of clusters/NPs[57,58]. In
 9 the presence of GO, on the other hand, the formation of first Au^0 clusters can take place on the Au-O-
 10 C bonding sites on the GO surface (or also in the bulk solution, depending on the $HAuCl_4$ precursor/GO
 11 ratio) (Step 2). The as-formed Au^0 clusters further coalesce with others clusters to form stable seed
 12 AuNPs (Step 3). In the event where residual Au ions are still present in the solution after Step 3, the
 13 ions will be attracted towards the seed AuNPs due to the presence of their surface electric double layer
 14 (EDL) and the H_2O_2 within the bulk liquid will further reduce these ions into Au^0 as part of the
 15 continuous surface growth process (Step 4) [8,58,59]. The AuNPs formed in the bulk solution could
 16 also be physically adsorbed onto the GO surface through the interactions between GO aromatic
 17 structures and d-orbitals of AuNPs via covalent attachment[40]. It should be noticed that the formation
 18 and growth of AuNPs will cease when $AuCl_4^-$ ions within the precursor solution are depleted. For
 19 instance, in the $2.5 \mu M$ $HAuCl_4$ /GO sample, the further growth of AuNP after the initial formation of
 20 Au clusters was not possible, due to the rapid depletion of $AuCl_4^-$ ions at low precursor concentration.
 21 Whereas for 0.1 mM and 0.2 mM AuNP/GO nanocomposites, there is more abundant supply of $AuCl_4^-$
 22 in the solution to sustain the growth of AuNPs until they reach their colloid stability. It should be noted

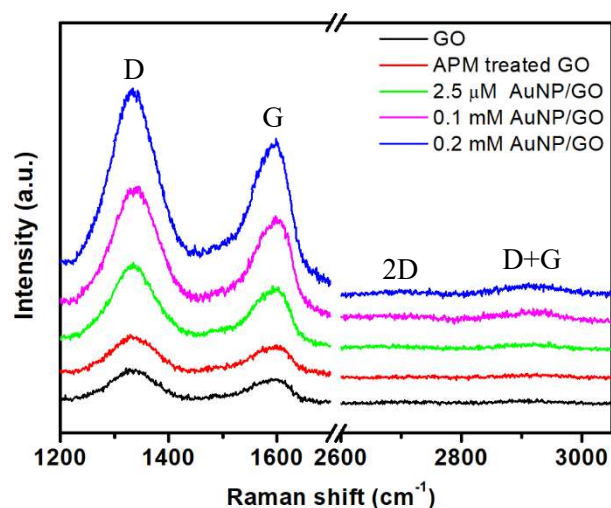


Figure 6. Raman spectra of GO, APM treated GO, and AuNP/GO nanocomposites.

1 that the morphology of the AuNPs can also be affected by the density and chemical nature of oxygenated
 2 groups on the GO surface[40].

3 The Raman scattering properties of our AuNP/GO nanocomposites have been investigated with pure
 4 GO and APM treated GO being the references, see **Figure 6**. All samples feature a typical disordered
 5 band (D band at 1330 cm^{-1}) which represents the A_{1g} mode sp^2 hybridized carbon atoms in defects; and
 6 a typical graphene-like band (G band at 1596 cm^{-1}) which relates to the E_{2g} mode sp^3 -like carbon
 7 atoms.[60] The D/G intensity ratio (I_D/I_G) of APM treated GO increased to 1.07, as compared to 1.04
 8 for pure GO. The slight increase in the I_D/I_G ratio indicates that the APM treatment altered the structure
 9 of GO, due to the reduction of oxygen-containing groups. [61] Compared to pure GO and APM treated
 10 GO, AuNP/GO samples exhibit significantly stronger SERS at the D band and G band, and the intensity
 11 of the 2D peak ($\sim 2680\text{ cm}^{-1}$) and the D + G peak ($\sim 2911\text{ cm}^{-1}$) have also been enhanced. The
 12 enhancements of these Raman peaks can be attributed to the SPR oscillations of electrons on AuNPs
 13 under laser irradiation. Additionally, it is observed that the Raman signal intensifies for samples
 14 prepared from higher HAuCl_4 precursor concentrations. This can be related to the AuNPs size within
 15 the nanocomposites, as larger AuNPs normally possess higher SERS efficiency[16].

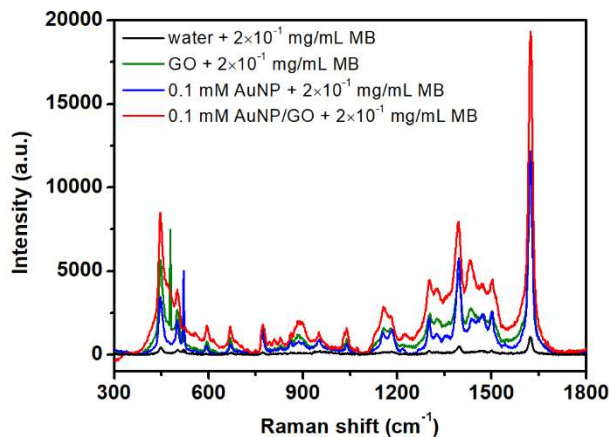


Figure 7. Raman spectra of GO, 0.1 mM AuNP, and 0.1 mM AuNP/GO for 2×10^{-1} mg/mL MB sensing; Pure MB was used as reference.

1 To evaluate the feasibility of our APM synthesized AuNP/GO nanocomposites for potential SERS bio-
 2 sensing, a commonly used aromatic molecule MB was chosen as a model probe[62,63]. Figure 7
 3 presents the Raman scattering spectrum of MB only (control) and the SERS spectra of MB on pure GO,
 4 0.1 mM AuNP, and 0.1 mM AuNP/GO nanocomposites, respectively. It is noticed that the Raman signal
 5 (based on the characteristic band at 1625 cm^{-1}) of MB is negligible in the MB only sample. When GO
 6 or 0.1 mM AuNP were used as SERS substrates, the MB SERS signals are intensified significantly. The
 7 enhancement seen in these two samples is due to the charge transfer between MB and the substrate via
 8 two different SERS enhancement mechanisms: (i) CM due to the π - π stacking between MB and GO,
 9 and (ii) EM due to the electrostatic interaction between MB and AuNPs[30,64,65]. When 0.1 mM
 10 AuNP/GO was used as the SERS substrate, the signal was further enhanced, due to the combined effects
 11 of CM and EM. In order to quantitatively determine the SERS sensitivity of our AuNP/GO
 12 nanocomposites, MB solutions with different concentrations were tested. Figure 8 shows that the
 13 Raman signal of MB only sample can be hardly detected when the concentration is lower than 1×10^{-1}
 14 mg/mL. However, the use of 0.1 mM AuNP/GO significantly enhanced the characteristic peak signal
 15 (1625 cm^{-1}) and the detection limit can reach as low as 1×10^{-3} mg/mL.

16 The biocompatibility of SERS substrate material is of particular importance for bio-related SERS
 17 applications[66]. In this work, the cytotoxicity of 0.1 mM AuNP/GO was evaluated *in vitro* using HeLa
 18 cell using alamarBlue™ cell viability Assay. The cytotoxicity of the pure GO and the 0.1 mM AuNP
 19 were used as reference. Results show that all samples are highly biocompatible after incubation of 24 h
 20 (Fig 9A), 48 h (Fig 9B), and 72 h (Fig 9C). Both GO and 0.1 mM AuNP/GO show comparable

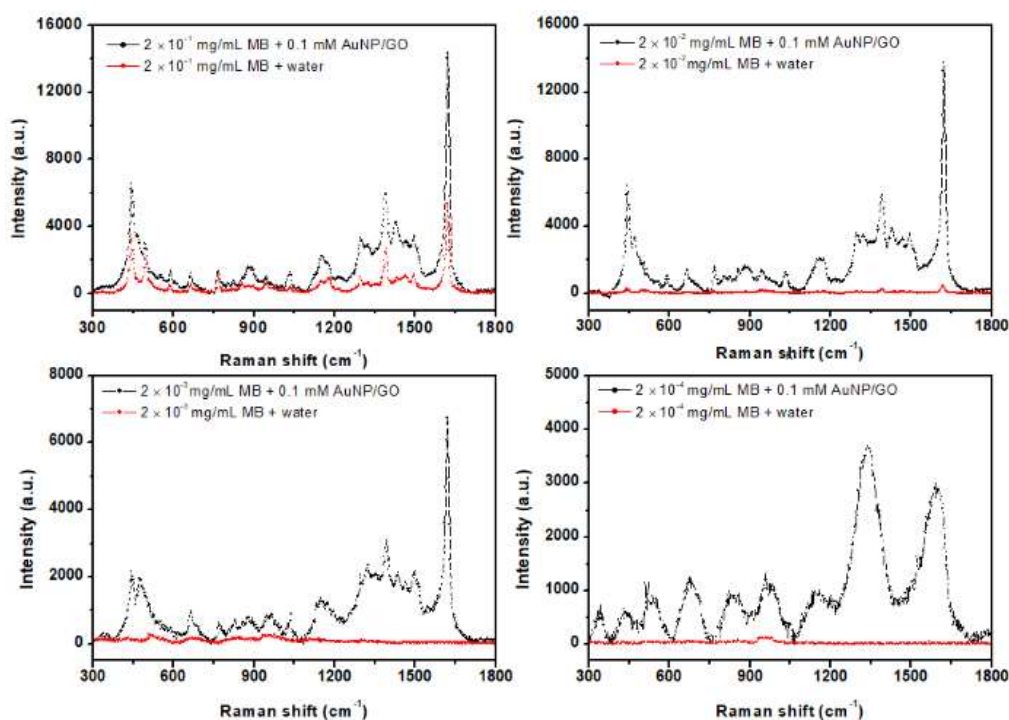


Figure 8. Raman spectra of 0.1 mM AuNP/GO for sensing MB with different concentrations.

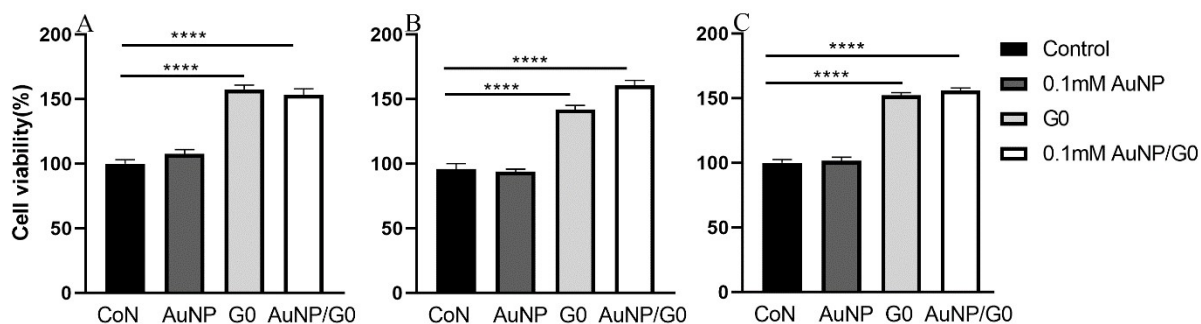


Figure 9. Cell viability of HeLa cell was measured by alamarBlue™ cell viability Assay after incubated with pure GO, 0.1 mM AuNP and 0.1 mM AuNP/GO for 24 h (A), 48h (B), and 72 h (C), respectively. Data were presented as mean \pm S, E, and N=5. One way ANOVA followed by Dunnett's multiple comparisons test. **** p<0.0001.

1 biocompatibility, as well as ability to further enhance the cell proliferation comparing to the 0.1mM
 2 AuNP sample. These preliminary results demonstrate that our AuNP/GO nanocomposites are highly
 3 biocompatible and may be considered for biosensing applications and beyond.

4 Conclusion

5 In summary, we demonstrated a rapid and facile single step APM based approach for synthesizing
 6 AuNP/GO nanocomposites. The reactive species generated through APM-liquid interaction are
 7 responsible for the formation of the AuNP-GO nanocomposites within the complicated multi-phase
 8 reaction system consisting of gas, liquid and solid nanomaterials. The as-synthesized AuNP/GO
 9 nanocomposites exhibited excellent biocompatibility and has been demonstrated for SERS sensing
 10 applications where high detection sensitivity (probe molecule concentration as low as 1×10^{-3} mg/mL)
 11 has been achieved.

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