

Original Article

**Biological Ablation of Sentinel Lymph Node Metastasis in Submucosally  
Invaded Early Gastrointestinal Cancer**

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**Abbreviations:** hTERT, human telomerase reverse transcriptase; GFP, green fluorescent protein; ESD, endoscopic submucosal dissection; CPE, cytopathic effect; MOI, multiplicity of infection; RFP, red fluorescent protein; PFU, plaque forming units; vp, virus particles.

**Running title:** Biological ablation of lymph node metastasis.

**Key words:** endoscopic treatment, adenovirus, colorectal cancer, lymphatic metastasis.

**ABSTRACT**

Currently, early gastrointestinal cancers are treated endoscopically, as long as there are no lymph node metastases. However, once a gastrointestinal cancer invades the submucosal layer, the lymph node metastatic rate rises to higher than 10%. Therefore, surgery is still the gold standard to clean regional lymph nodes containing possible metastases. Here, to avoid prophylactic surgery, we propose a less-invasive biological ablation of lymph node metastasis in submucosally invaded gastrointestinal cancer patients. We have established an orthotopic early rectal cancer xenograft model with spontaneous lymph node metastasis by implantation of green fluorescent protein (GFP)-labeled human colon cancer cells into the submucosal layer of the murine rectum. A solution containing telomerase-specific oncolytic adenovirus was injected into the peritumoral submucosal space, followed by excision of the primary rectal tumors mimicking the endoscopic submucosal dissection (ESD) technique. Seven days after treatment, GFP signals had completely disappeared indicating that sentinel lymph node metastasis was selectively eradicated. Moreover, biologically treated mice were confirmed to be relapse-free even 4 weeks after treatment. These results indicate that virus-mediated biological ablation selectively targets lymph node metastasis and provides a potential alternative to surgery for submucosal invasive gastrointestinal cancer patients.

## INTRODUCTION

Due to recent advances in endoscopic technology, early gastrointestinal cancers, which are defined as those that invade no more deeply than the submucosa, are treated endoscopically<sup>1-3</sup>. Endoscopic submucosal dissection (ESD) or local tumor excisions that allow en bloc resection, which lead to more precise histological evaluation and more potential for cure, are considered clinically relevant for early gastrointestinal cancer. A complete local resection of *in situ* or intramucosal tumor is acceptable as a curative treatment due to little risk of lymph node metastasis<sup>4-7</sup>. However, lymph node metastasis is typically found in submucosal invasive gastrointestinal cancer such as esophageal, gastric and colorectal cancer, at an approximate frequency of greater than 10%<sup>8-11</sup>. Since it is hard to determine submucosally invaded lesions with the risk of lymph node metastasis without pathological evaluation, these patients are treated surgically to remove possibly metastasized lymph nodes, even though primary early gastrointestinal cancer itself is technically resectable with ESD. This means that most submucosal invasive gastrointestinal cancer patients, who are node-negative, routinely undergo unnecessary surgery. Thus, a less invasive way to selectively treat lymph node metastasis would benefit these patients by allowing them to avoid a prophylactic surgery.

Sentinel lymph node metastasis represents the initial spread of malignant tumors from the primary site. Metastatic lymph nodes as well as migrating tumor cells in the draining lymph vessels have to be treated to prevent recurrence and, therefore, anticancer agents that spread over the regional lymphatic area are required. For sentinel lymph node mapping, submucosal injection of a visible dye such as methylene blue or indocyanine green (ICG) allows an adequate regional diffusion in the lymphatic area<sup>12</sup>. It has also been reported that

human adenovirus can be effectively transported into the lymphatic circulation in murine models<sup>13,14</sup>. Oncolytic viruses that selectively replicate in tumor cells and lyse infected cells have been developed as anticancer agents<sup>15-18</sup>. These viruses are designed to induce virus-mediated lysis of infected cells after selective viral replication within the tumor cells.

In the present study, we evaluated whether a telomerase-dependent, tumor-killing replicating adenoviral agent (OBP-301) that was administered submucosally prior to the primary tumor resection could purge lymph node metastasis in an orthotopic early rectal cancer xenograft model with spontaneous lymph node metastasis. The steps of this procedure mimic the procedures of ESD for gastrointestinal cancer in the clinical setting. The successful elimination of sentinel lymph node metastasis indicates that concurrent submucosal injection of OBP-301 and endoscopic tumor removal might be an epoch-making therapeutic alternative to prophylactic surgery for patients with submucosally invaded gastrointestinal cancer.

## RESULTS

### ***In vitro* cytopathic effect of the virus towards human colorectal cancer cells**

OBP-301 (Telomelysin) is an attenuated adenovirus that drives the *E1A* and *E1B* genes under the human telomerase reverse transcriptase (hTERT) promoter and is capable of killing human epithelial as well as mesenchymal malignant cells in a telomerase-dependent manner (**Supplementary Figure S1a**)<sup>19-21</sup>. To assess the cytopathic effect (CPE) of OBP-301 towards human colorectal cancer cells, green fluorescent protein (GFP)-labeled HCT-116 or Colo205 cells were infected either with OBP-301 or with a replication-deficient, E1-deleted adenovirus, dl312 and were photographed using a fluorescent microscope after viral infection. Both HCT-116-GFP and Colo205-GFP cells infected with OBP-301 at an MOI of 10

exhibited rapid cell death by 72 h after virus infection, whereas cells treated with the same MOI of dl312 or with PBS showed no morphological change (**Figure 1a** and **Supplementary Figure S2**). The XTT cell viability assay also demonstrated that OBP-301 infection induced cell death in a dose-dependent fashion both in HCT-116-GFP and Colo205-GFP cells, whereas infection with dl312 did not show significant CPE at MOIs of up to 100 (**Figure 1b**). We previously reported that no apparent CPE was observed in normal human cell lines after OBP-301 infection<sup>19</sup>.

### **Sentinel lymph node metastasis in an orthotopic rectal cancer xenograft model**

A submucosally invaded early rectal tumor model was established by inoculating HCT-116-GFP or Colo205-GFP human colorectal cancer cells orthotopically into athymic *nu/nu* mice. Seven days after implantation of GFP-labeled cancer cells into the submucosal layer of the rectum, the mice developed tiny rectal tumors that were clearly visible by fluorescence imaging of GFP signals (**Figure 2a**, **Supplementary Figure S3** and **S5a**). Histopathological examination of the excised primary rectal tumors showed a submucosal tumor formation composed of implanted cancer cells with no muscularis propria invasion. Examination under high magnification showed cancer cell-filled lymphatic vessels in the submucosal layer (**Figure 2b**). The metastatic status of regional lymph nodes was easily assessed at laparotomy, by detection of cancer cell-derived GFP signals in the lymph node (**Figure 2c, d**). A series of experiments confirmed that percent metastasis to the sentinel lymph node on day 7 after tumor cell inoculation in mice implanted with HCT-116-GFP and Colo205-GFP was 78.5% (33/42) and 62.5% (25/40), respectively.

### **Viral trafficking to lymph nodes and selective replication in metastatic foci**

The lymphatic system is a major pathway for the metastatic spread of cancers as well as for the regional distribution of biological mediators including fluids, proteins, chemicals, cells and drugs. Prior to injection of virus, we first assayed the ability of an injected solution to reach the draining lymph nodes. For this purpose, we investigated the diffusion pattern of a 1% indigo carmine blue dye solution that was peritumorally injected into the submucosal space of the rectum in the orthotopic rectal cancer xenografts. Intense blue staining was detected in regional lymph nodes as early as 1 minute after injection of the dye, indicating that an injected solution could rapidly enter the lymphatics and spread to the draining lymph nodes (**Supplementary Figure S4**).

To verify that virus could move to regional lymph nodes and further infect tumor cells in these nodes after peritumoral injection into the submucosal space of the mouse rectum, we used RFP-labeled HCT-116 cells and GFP-expressing OBP-401 (TelomeScan). OBP-401 was constructed by inserting the *GFP* gene under the control of the cytomegalovirus promoter at the deleted E3 region of OBP-301<sup>13,22</sup> (**Supplementary Figure S1**). When RFP-expressing sentinel lymph node metastases were established, mice were peritumorally injected with OBP-401 into the rectal submucosal space. Six days after OBP-401 injection, virus-induced GFP expression was detected in the sentinel lymph nodes by fluorescence imaging. The merged images showed that the viral GFP signals were coincident with RFP fluorescence of metastatic foci in sentinel lymph nodes (**Figure 3a, b**). Moreover, immunohistochemical staining for adenoviral E1A protein demonstrated that the E1A protein was selectively expressed in the metastatic area in lymph nodes, confirming the presence of replicating OBP-301 in metastatic tumor cells (**Figure 3c**). These results indicate that, after injection into the submucosal space, OBP-301 can traffic through the lymphatics to the regional lymph nodes and selectively replicate in cancer cells in metastatic lymph nodes.

### **Virus-mediated biological ablation of metastatic foci in regional lymph nodes**

We next examined whether peritumoral submucosal injection of OBP-301 followed by primary tumor resection could ablate lymph node metastasis in the orthotopic submucosally invaded rectal cancer xenografts. Seven days after inoculation with HCT-116-GFP human colorectal cancer cells, mice that had successfully established GFP-expressing lymph node metastasis were selected by fluorescence imaging at laparotomy, and were further studied (**Figure 4a**). When primary rectal tumors were surgically removed, a solution containing OBP-301 ( $1 \times 10^9$  PFU/30 $\mu$ l PBS) was peritumorally injected into the submucosal space as a fluid cushion. This fluid cushion was used to lift up the tumors in order to precisely preserve the rectal muscular layer (**Supplementary Figure S5**). These procedures mimicked the standard ESD technique in humans. Seven days after tumor resection, a second-look laparotomy was performed to assess tumor progression in the lymph nodes. Mice treated with PBS (30  $\mu$ l), cisplatin (30  $\mu$ l of concentrated original solution; 30  $\mu$ g), or dl312 ( $1 \times 10^9$  PFU/30 $\mu$ l PBS) showed more intense GFP expression, and GFP expression over a wider area in metastatic lymph nodes, whereas GFP signals were undetectable in mice that had received OBP-301, indicating the complete eradication of metastatic tumor cells in these mice (**Figure 4b**).

To more precisely quantify virus-mediated effects on lymph node metastasis, fluorescence intensities were measured using image analysis software. Pre-injection of OBP-301 prior to primary tumor resection significantly reduced GFP signals compared to the other groups in both HCT-116 and Colo205 xenograft models (**Figure 5a**). Quantification of the amounts of human cancer cells in mouse lymph nodes by using a highly sensitive real-time PCR method that targets human *Alu* sequences also demonstrated that OBP-301 completely



eradicated metastatic human cancer cells (**Figure 5b**). Furthermore, lower viral doses ( $1 \times 10^7$  or  $1 \times 10^6$  PFU/30 $\mu$ l PBS) failed to eliminate GFP fluorescence, indicating that these virus-mediated purging effects on metastatic lymph nodes were dose-dependent (**Figure 5c**).

### **Sustained metastatic tumor eradication by virus-mediated biological ablation**

Finally, to assess if OBP-301-mediated biological ablation exerted prolonged antitumor effects, metastatic lymph nodes were visualized at laparotomy at 1 and 4 weeks after treatment using fluorescence imaging. In mice that received PBS or dl312, all metastatic lymph nodes grew larger in a time-dependent manner, although the magnitude of the enlargement varied between individual mice. Pre-injection of cisplatin also could not affect tumor progression in the lymph nodes (**Supplementary Figure S6**). On the other hand, mice pretreated with OBP-301 showed no GFP fluorescence for at least 4 weeks after primary tumor resection (**Figure 6** and **Supplementary Figure S6**). Histopathological examination confirmed that virally purged lymph nodes were relapse-free (data not shown). These results suggest that submucosal pre-injection of OBP-301 followed by primary tumor resection sustainably prevented metastatic tumor relapse over a long period.

## **DISCUSSION**

The standard of care for treatment of intramucosal neoplastic lesions of the esophagus, stomach and colorectum is now a patient-friendly ESD that enables en-block resection of cancerous lesions regardless of size, since statistically intramucosal tumors rarely metastasize to the lymph node<sup>2,23-25</sup>. However, when tumors penetrate slightly deeper into the submucosal layer, the incidence of nodal metastasis appears to increase significantly and,

therefore, these patients are referred for complementary surgery with regional lymph node dissection<sup>10,11,26</sup>. Here, we describe a more effective and less invasive biological management for lymphatic metastasis that uses the telomerase-specific, replication-selective, oncolytic adenovirus OBP-301 and that employs submucosally invaded early rectal cancer xenograft mouse models. In detail, in place of surgical lymphadenectomy we used a solution containing a tumor-killing virus as a submucosal cushioning agent before resection of the primary tumor. From a clinical viewpoint, this new, simple, and robust strategy is a more realistic and promising bench-to-bedside translation than prophylactic surgery for ablation of potential lymph node metastases in early gastrointestinal cancer patients.

Overexpression of telomerase, which is a ribonucleoprotein enzyme complex that is responsible for the complete replication of chromosomal ends, is thought to play a key role in the infinite reproduction of cancer cells<sup>27,28</sup>. We constructed a telomerase-specific replicating adenovirus, OBP-301, in which the hTERT promoter element drives expression of the *E1* genes that are essential for adenoviral replication<sup>19</sup>. As hTERT is the catalytic subunit of telomerase, OBP-301 shows tumor-specific intracellular viral replication that is regulated by hTERT transcriptional activity in human tumors<sup>19-21</sup>. Viral yields correlated well with *hTERT* mRNA expression in human cancer cell lines<sup>13,22</sup>, although there was no significant correlation between *hTERT* mRNA expression and the cytopathic activity of OBP-301<sup>21</sup>. It has been reported that the hTERT promoter could be applied to induction of transgene expression in syngeneic tumors in mice<sup>29</sup>. We also previously confirmed that a hTERT promoter-driven tumor killing adenovirus could replicate in murine colorectal cancer cells such as Colon-26 *in vitro* and *in vivo*<sup>13</sup>. These findings suggest that OBP-301 can replicate in murine as well as in human tissues, when telomerase is activated. Thus, the submucosally invaded orthotopic early rectal cancer xenograft model, which develops spontaneous lymph

node metastasis, is a suitable translational animal model for simulation of the *in vivo* behavior patterns of this virus.

The lymphatic system plays a crucial role in initial lymphatic dissemination of human cancer cells and subsequent development of lymph node metastases. In addition to the pre-existing lymphatic network, it is well known that new lymphatic vessels can be generated from pre-existing ones by tumor-secreting mediators such as vascular endothelial growth factors (VEGF) and angiopoietins<sup>30</sup>. This tumor-induced lymphangiogenesis is often associated with structural and functional abnormalities of the lymphatic vasculature, which are analogous to the aberrations of tumor blood vessels<sup>31</sup>. Although lymphatic vessels began to show abnormalities even in the early stages of carcinogenesis, advanced tumors have more compressed and nonfunctional lymphatics presumably due to tumor infiltration<sup>32</sup>. The lymphatic system also provides a route for the delivery of therapeutic molecules including biological agents. We have shown that OBP-301 virus injected into the space under the orthotopically xenografted early rectal cancer could easily reach regional lymph nodes with normal lymphatic flow; however, a complex and impaired lymphatic network in more advanced tumors might disturb an optimal distribution of therapeutics into the regional lymphatic area. Therefore, early-stage cancer patients who potentially have micro-lymph node metastasis might be an appropriate target for locoregional therapy through the lymphatic system.

The standard procedures for ESD, which include marking outside the lesion, injection of various submucosal solutions, circumferential incision into the mucosa and direct dissection of the submucosal layer, have been established<sup>33</sup>. As submucosal dissection with simultaneous hemostasis causes destruction of the normal lymphatic network, administration of therapeutic molecules prior to complete removal of neoplastic lesions is the ideal time to

deliver these molecules over the locoregional lymphatic area including the sentinel lymph nodes. The use of submucosal injection to isolate the target lesion is considered to be essential for a successful ESD. In addition to normal saline, many types of solutions such as glycerol, dextrose water and hyaluronic acid have been applied clinically<sup>34</sup>. The key aspect of our study is that a solution containing tumor-killing virus was used as a submucosal cushion and, therefore, the virus delivery could be easily adapted to the standard ESD procedures. Moreover, we found that submucosally injected dye could rapidly enter the lymphatic flow and spread to the draining lymph nodes, indicating a potential for extension of the purging effects of the viruses beyond the sentinel lymph nodes.

Submucosal injection of OBP-301 prior to ESD-mimicking resection of submucosally invaded primary tumors resulted in complete inhibition of metastatic outgrowth on the draining lymph nodes in a dose-dependent manner. Replicating viruses in metastatic foci of the sentinel lymph nodes could be visualized using dual-color *in vivo* imaging. Preclinical studies have demonstrated that the antitumor efficiency of OBP-301 strongly depends on its infectivity towards tumor cells, which varies among tumor types<sup>21,35</sup>. However, a dose-titration study indicated that OBP-301 at  $10^7$  PFU, which is 2 logs lower than the optimal concentration for mice ( $1 \times 10^9$  PFU/mouse), could still effectively suppress lymph node metastasis, suggesting that the use of higher doses of OBP-301 could potentially overcome the varied sensitivity of tumor cells to OBP-301. The safety profile of OBP-301 itself after intratumoral delivery has already been confirmed up to  $1 \times 10^{12}$  virus particles (vp) ( $1 \times 10^{11}$  PFU) in a phase I clinical trial for various types of solid tumors<sup>36</sup>. Furthermore, an investigator-driven clinical study of OBP-301 in combination with radiotherapy for esophageal cancer is currently ongoing in our hospital without any severe dose-limiting toxicity. Therefore, a 2-log-higher dose of OBP-301 could be available in humans for

monotherapy as well as for combination therapy. In addition, analysis of autopsied patients in our previous trial showed that a replication-defective adenoviral vector can persist in proximal lymph nodes for approximately 5 months after intratumoral injection<sup>37</sup>. Indeed, although metastatic lymph nodes grew in mice that received cisplatin, which is a broadly used anticancer drug, no recurrence was observed in OBP-301-treated mice in which lymph node metastasis had been eradicated, suggesting a long-term surveillance activity of OBP-301.

In conclusion, we have demonstrated that the telomerase-specific replication-selective adenovirus OBP-301 can be delivered into neoplastic foci in regional lymph nodes after submucosal injection at the time of primary tumor dissection and effectively ablate lymph node metastasis in an early gastrointestinal cancer model. We previously reported that metastatic tumor cells in the lymph nodes apparently unexpectedly increased after surgical removal of invasive rectal tumors, presumably due to excessive damage to the host<sup>38</sup>; however, less-invasive submucosal dissection of tumors did not affect the incidence of lymph node metastasis. The administration of OBP-301 by inclusion in the standard ESD procedures is a revolutionary treatment option for early gastrointestinal cancer patients, which avoids impairing the quality of life that occurs due to surgery for prophylactic lymphadenectomy. Moreover, clinical morbidity of lymphedema particularly in breast cancer axillary node dissection is of significant consequence. Our strategy may have a potential for clinical advantages in other oncologic fields.

## MATERIALS AND METHODS

**Cell lines and recombinant adenoviruses.** The human colorectal cancer cell lines HCT-116-GFP and Colo205-GFP, which express the *GFP* gene, and HCT-116-red fluorescent protein

(RFP) cells, which express the *RFP* gene, were established previously<sup>39-42</sup>, and were routinely cultured in RPMI 1640 medium supplemented with 10% FBS. The recombinant replication-selective, tumor-specific adenovirus vector OBP-301 (Telomelysin), in which the hTERT promoter element drives the expression of *E1A* and *E1B* genes linked with an internal ribosome entry site, was previously constructed and characterized<sup>19-21</sup> (**Supplementary Figure S1a**). OBP-401 (TelomeScan) is a telomerase-specific, replication-competent adenovirus variant in which the replication cassette and *GFP* gene under the control of the cytomegalovirus promoter were inserted into the E3 region for monitoring of viral replication<sup>13,22</sup> (**Supplementary Figure S1b**). The E1A-deleted adenovirus vector lacking a cDNA insert (dl312) was also used as a control vector. Viruses were purified by ultracentrifugation using CsCl step gradients. Viral titers were determined by a plaque-forming assay using 293 cells, and the virus was stored at  $-80^{\circ}\text{C}$ .

**Cell viability assay.** HCT-116-GFP and Colo205-GFP cells were seeded on 96-well plates at a density of  $1 \times 10^3$  cells/well 18-20 h before viral infection. The cells were then infected with OBP-301 or control dl312 at a multiplicity of infection (MOI) of 0, 1, 5, 10, 50 or 100 plaque forming units (PFU)/cell. Cell viability was determined on days 1, 2, 3 and 5 after virus infection using the Cell Proliferation Kit II (Roche Molecular Biochemicals, Indianapolis, IN), which is based on a sodium 3'-[1-(phenylaminocarbonyl)-3,4-tetrazolium]-bis(4-methoxy-6-nitro)benzene sulfonic acid hydrate (XTT) assay, according to the manufacturer's protocol.

**Animal experiments.** The experimental protocol was approved by the Ethics Review Committee for Animal Experimentation of our institution. Six to eight-week old female

BALB/c nude mice (Clea Japan Tokyo, Japan) were used in this study. All animal procedures were performed under anesthesia using s.c. administration of a ketamine mixture (100 mg/kg ketamine HCL, 7 mg/kg xylazine HCL).

Mice were anesthetized and placed in a supine position. Both the dorsal vaginal wall and the ventral ano-rectal wall were cut at a length of 7 mm to expose the rectal mucosa for easy operation. To develop a submucosally invaded orthotopic rectal cancer model, HCT-116-GFP, Colo205-GFP or HCT-116-RFP human colon cancer cells ( $1.5 \times 10^6$  cells/mouse) suspended in a mixture of 15  $\mu$ l of PBS and 15  $\mu$ l of Matrigel (BD Biosciences, San Jose, CA) were slowly injected into the submucosal layer of the rectum using a 30-gauge needle (**Supplementary Figure S3**). Seven days later, after fluorescent signals were confirmed in the sentinel lymph nodes at laparotomy, a 30  $\mu$ l-solution containing OBP-301, OBP-401 or dl312 at the indicated doses was peritumorally injected into the submucosal space as a fluid cushion. The tiny GFP- or FRP-positive rectal tumors were then surgically removed.

For pathological evaluation of lymph node metastasis, mice were sacrificed and all para-aortic or iliac lymph nodes were isolated and were stained with hematoxylin and eosin or were immunohistochemically analyzed.

***In vivo fluorescence imaging.*** To monitor the outgrowth of the primary tumors and the metastatic lymph nodes, *in vivo* fluorescence images were taken at laparotomy using an Olympus SZX16 microscope and a DP71 camera (Olympus, Tokyo, Japan). Images were processed for contrast and brightness with the use of Adobe Photoshop software (Adobe). Green fluorescence intensity was analyzed using Image J software for the quantification of lymph node metastasis. For long-term evaluation, abdominal images were serially obtained and quantified.

**Quantitative real-time PCR analysis.** We previously established a highly sensitive quantitative assay that targets a human-specific *Alu* sequence in order to quantify lymph node metastasis in mice. We used this previously described assay in the present study to measure the numbers of metastatic human tumor cells in mouse lymph nodes<sup>38</sup>. Briefly, genomic DNA was extracted from harvested lymph node tissues and analyzed by the quantitative real-time PCR assay using a set of human *Alu* primers (sense: 5'-CTG AGG TCA GGA GTT CGA G-3'; and antisense: 5'-TCA AGC GAT TCT CCT GCC-3'). We also amplified the mouse *GAPDH* genomic DNA sequence using mouse *GAPDH* primers (sense: 5'-CCA CTC TTC CAC CTT CGA T-3'; and antisense: 5'-CAC CAC CCT GTT GCT GTA-3'). The number of metastatic tumor cells in mouse lymph nodes is defined as the *Alu/GAPDH* ratio relative to that of the PBS-treated sample (PBS = 1).

**Immunohistochemistry.** For histological studies, rectal tumors and lymph nodes were removed and placed into buffered formalin for 24 h at room temperature. All of the tissues were subsequently processed through alcohol dehydration and paraffinization. Tissues were embedded in paraffin and sectioned for hematoxylin-eosin staining and also for immunohistochemical examination. After deparaffinization and rehydration, antigen retrieval was performed by microwave irradiation in 10 mM citrate buffer (pH 6.0). Following quenching of endogenous tissue peroxidase, tissue sections were incubated with mouse anti-adenovirus type 5 E1AmAb (BD Biosciences). The sections were then incubated using the Histofine Mouse Stain Kit (Nichirei Biosciences, Tokyo, Japan) for 10 min at 25 °C to block nonspecific reactivity with mouse serum. Immunoreactive signals were visualized by using a 3,39-diaminobenzidine tetrahydrochloride solution, and the nuclei were counterstained with



hematoxylin. Signals were viewed under a microscope (BX50; Olympus).

**Statistical analysis.** We used Student's *t*-test to identify statistically significant differences between groups. All data are expressed as means  $\pm$  SD. *P* values less than 0.05 were considered statistically significant.

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## Figure Legends

**Figure 1** Cytopathic effect of OBP-301 on human colorectal cancer cell lines. **(a)** HCT-116-GFP cells were infected with replication-deficient adenovirus dl312 or OBP-301 at a multiplicity of infection (MOI) of 10. Cell morphology and GFP expression were evaluated at the indicated time points by phase-contrast (top panels) and fluorescence (bottom panels) microscopy, respectively. Magnification:  $\times 200$ . Scale bar, 200  $\mu\text{m}$ . **(b)** HCT-116-GFP and Colo205-GFP cells were infected with OBP-301 or dl312 at the indicated MOIs and cell survival was quantified over 5 days using the XTT assay. The cell viability of a mock-treated group on each day was considered 100% and the percent cell viability was calculated. Data are means  $\pm$  SD. Statistical significance was defined as  $p < 0.05$  (single asterisk).

**Figure 2** Submucosally invaded orthotopic xenografts of human colorectal cancer cells and subsequent development of sentinel lymph node metastasis. HCT-116-GFP human colorectal cancer cells ( $1.5 \times 10^6$  cells/mouse) were submucosally inoculated into the rectum of nude mice. **(a)** Macroscopic appearance of an HCT-116-GFP rectal tumor at 7 days after tumor inoculation. Green line, the direction of tumor cross-sections. **(b)** Histological sections stained with hematoxylin and eosin showing local growth of the HCT-116-GFP tumor in the submucosal layer of the rectum (green arrowheads). Scale bar, 500  $\mu\text{m}$ . Left,  $\times 40$  magnification; middle, detail of the boxed region of the left panel,  $\times 400$ ; right, lymphatic vessel invasion of HCT-116-GFP cancer cells (yellow arrowheads),  $\times 400$  magnification. **(c)** Gross appearance of the abdominal cavity in a representative mouse. Seven days after inoculation of HCT-116-GFP cancer cells, mice were assessed for lymph node metastasis at laparotomy. The white box outlines the region shown in (d). **(d)** Left, four para-aortic lymph

nodes (LN) were identified (yellow arrows). Right, a sentinel node was positive for a light emitting spot with GFP fluorescence expressed by HCT-116-GFP cells under the fluorescence imaging (white arrowhead). Scale bar, 5 mm.

**Figure 3** Lymphatic spread of the virus and selective replication in metastatic foci in regional lymph nodes. Mice bearing HCT-116-RFP primary rectal tumors that developed lymph node metastasis were peritumorally injected with  $1 \times 10^8$  PFU of GFP-expressing OBP-401 into the submucosal space of the rectum. Virus spread and replication were assessed at laparotomy 6 days after virus administration. Three mice used for this study and analyses of a representative mouse are shown. **(a)** Gross localization of tumor-derived RFP and virus-induced GFP expression in the abdominal cavity of a representative mouse. The merged image shows that RFP-expressing metastatic foci in the sentinel lymph node were labeled with GFP fluorescence by OBP-401, indicating the successful delivery and replication of the virus in metastatic lymph nodes. Scale bars: 5 mm. **(b)** Excised metastatic lymph node of (A). Scale bar: 2 mm. **(c)** Histopathological examination of excised metastatic lymph nodes. Left, hematoxylin and eosin staining showing metastatic foci. Scale bar, 200  $\mu\text{m}$ ; middle, detail of the boxed region of the left panel. Scale bar, 50  $\mu\text{m}$ ; right, immunohistochemical staining for adenoviral E1A protein in a serial section showing selective viral replication within tumor cells. The nuclei were counterstained with hematoxylin. Positive staining is reddish brown (yellow arrowheads). Scale bar, 50  $\mu\text{m}$ .

**Figure 4** Biologically targeted ablation using OBP-301 eliminates metastatic foci on sentinel lymph nodes in an orthotopic colorectal cancer xenograft model. **(a)** Treatment and evaluation schedule of animal experiments. **(b)** Macroscopic and fluorescence images of the

abdominal cavity at laparotomy. Representative images among 7 or 8 mice with GFP-expressing metastatic foci in regional lymph nodes on day 7 of tumor inoculation are shown (top panels). Following treatment with mock (PBS), dl312, cisplatin or OBP-301, the same mice were re-evaluated at laparotomy for the size and intensity of GFP signals on metastatic lymph nodes on day 14 (bottom panel). Scale bar, 5 mm (low magnification); 2 mm (high magnification).

**Figure 5** Quantitative analysis of the antitumor effect of OBP-301 on lymph node metastasis in an orthotopic colorectal cancer xenograft model. **(a)** The ratio of tumor cell-derived GFP intensity on metastatic lymph nodes of HCT-116-GFP (left panel) or Colo205-GFP (right panel) inoculated mice before and after the indicated treatments was calculated based on measurements of fluorescence images by using Image J software. We used 7 or 8 mice with Colo205-GFP cells and 6 mice with HCT116-GFP cells for each treatment group. Data are means  $\pm$  SD. Statistical significance was defined as  $p < 0.05$  (single asterisk). **(b)** Mice with established orthotopic early HCT-116-GFP tumors were treated with submucosal injection of  $1 \times 10^9$  PFU of OBP-301 or dl312 followed by primary rectal tumor dissection on day 7 after tumor inoculation. Three mice were used for each group. Lymph nodes were harvested on day 14, and DNA was then extracted and subjected to quantitative *Alu* PCR analysis. The number of metastatic tumor cells is defined as the *Alu/GAPDH* ratio relative to that of the mock (PBS)-treated sample (mock = 1). Data are shown as means  $\pm$  SD. Statistical significance was defined as  $p < 0.05$  (single asterisk). **(c)** A dose-dependent purging effect of OBP-301 on metastatic lymph nodes. Mice with orthotopic early HCT-116-GFP tumors received a submucosal injection of OBP-301 at the indicated MOIs on day 7 and were subsequently subjected to GFP image-based quantification of lymph node metastasis on day

14. The numbers of mice used in this experiment are 8 (mock) and 4 each (viral treatments).

Data are shown as means  $\pm$  SD.

**Figure 6** Virus-mediated biological elimination of established lymph node metastasis prevented relapse. **(a)** Gross and fluorescence images of the abdominal cavity were serially obtained at laparotomy on days 7, 14 and 35 after tumor inoculation in orthotopic HCT-116-GFP tumor xenografts treated with PBS, dl312 or OBP-301. Representative images of each group are shown. Scale bar, 5 mm. **(b)** The GFP intensity of metastatic lymph nodes in each mouse was serially measured on days 7, 14 and 35. Data of each mouse are plotted individually. Arrows indicate the time of virus injection and primary tumor removal.



Fig. 1

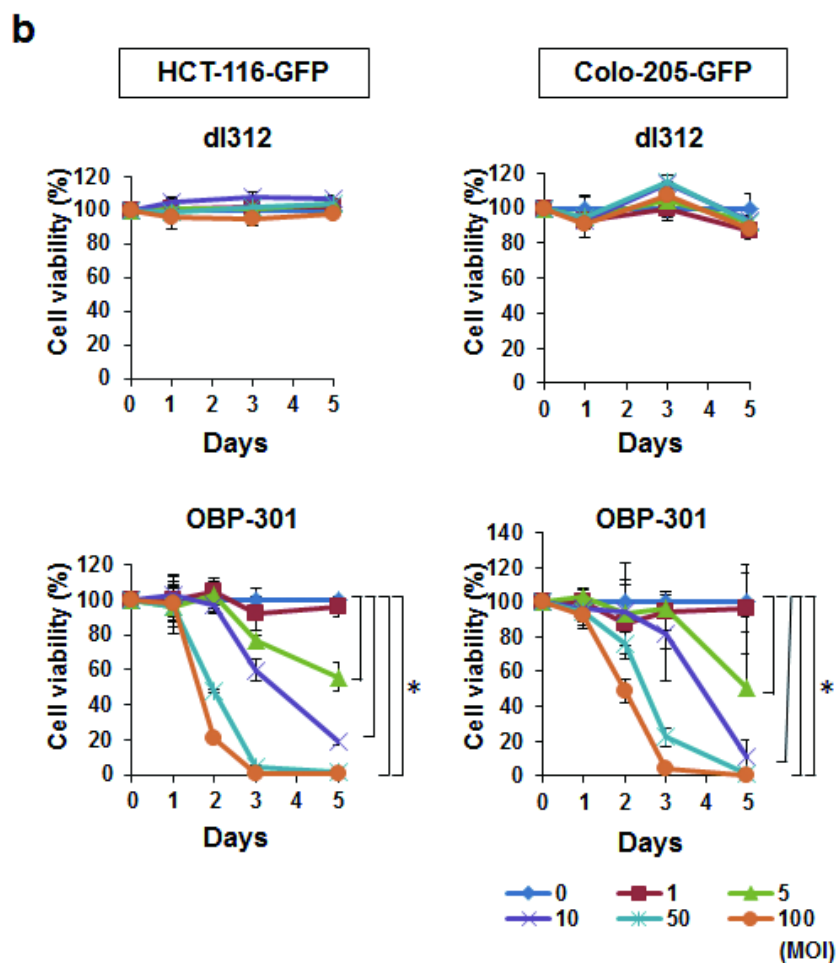
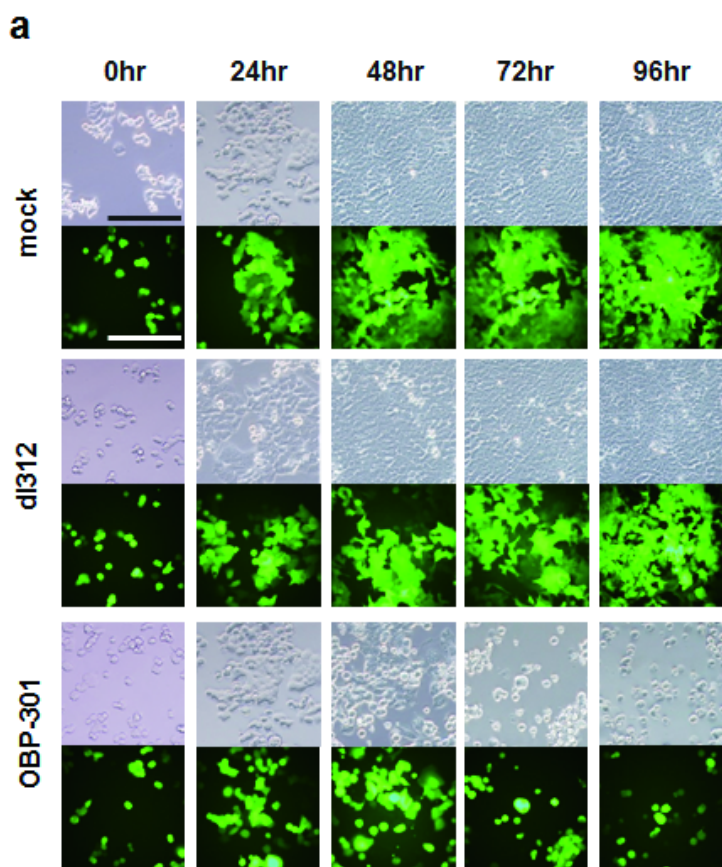


Fig. 2

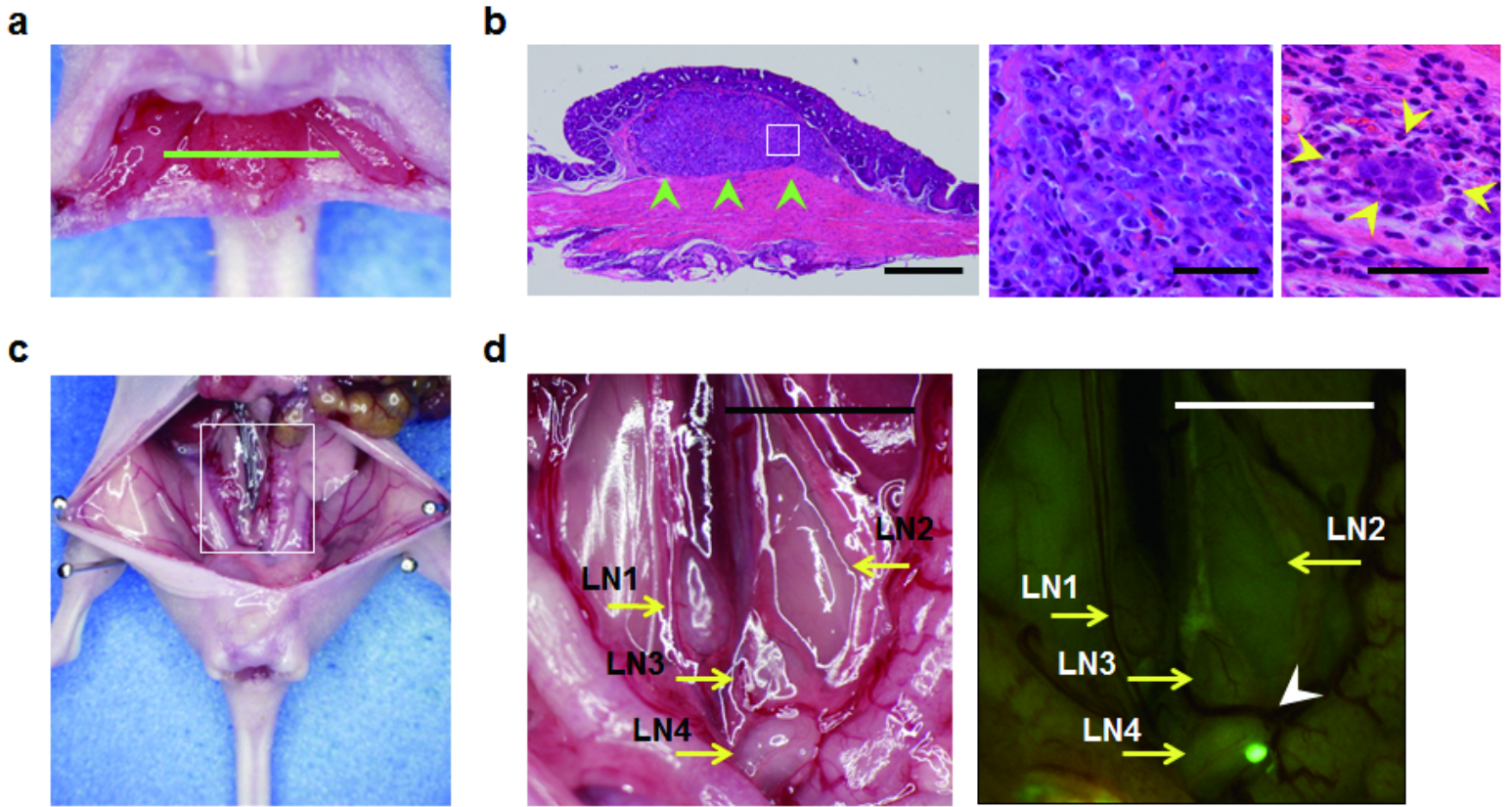


Fig. 3

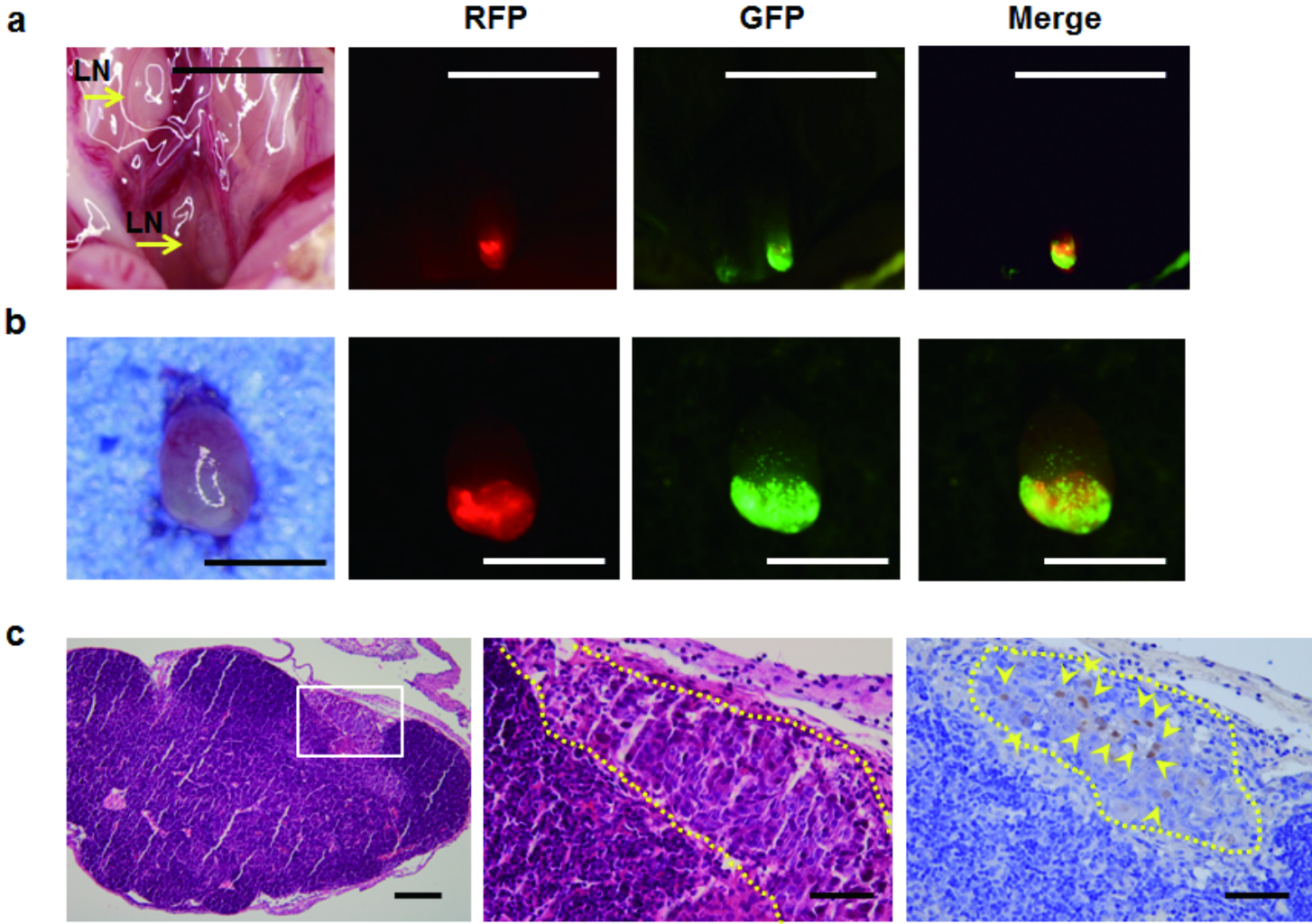




Fig. 4

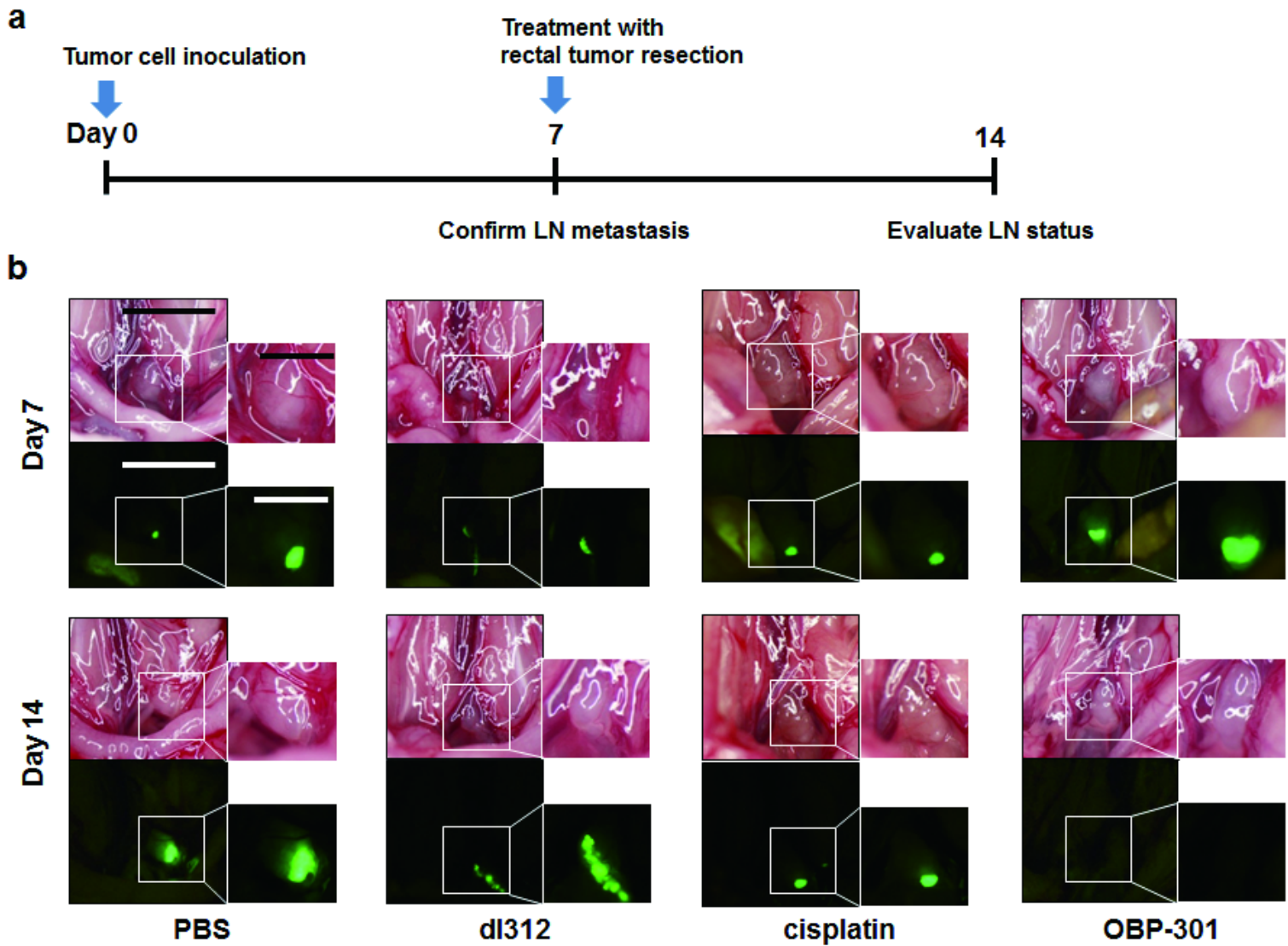
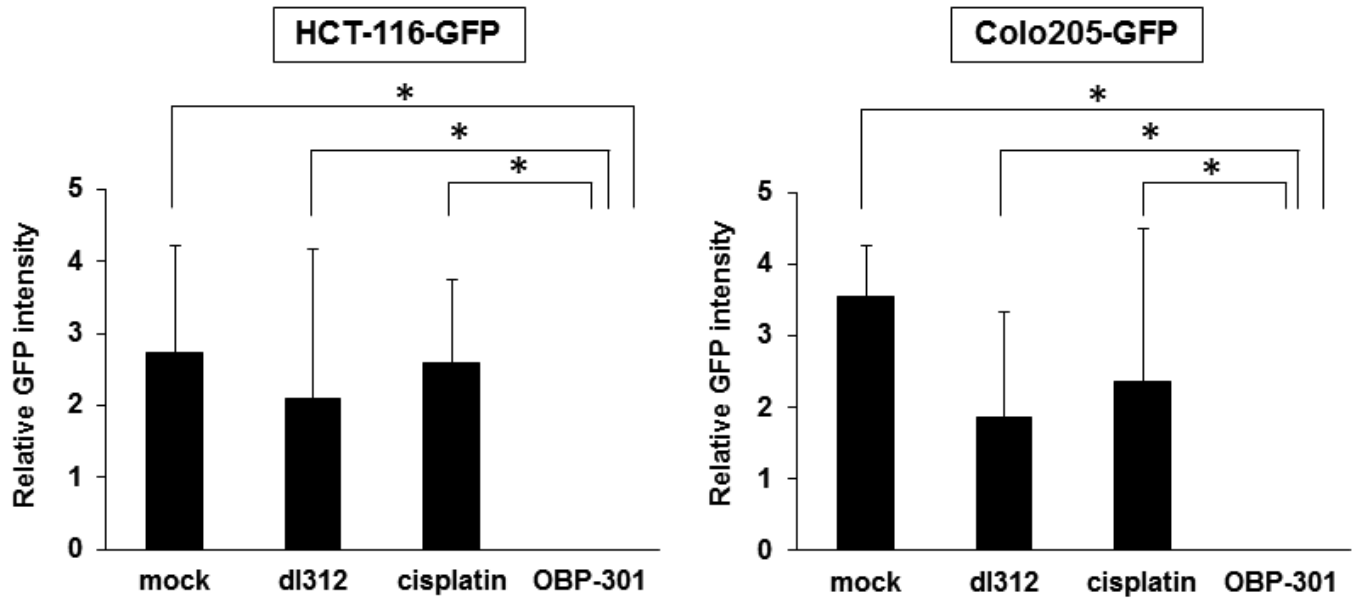
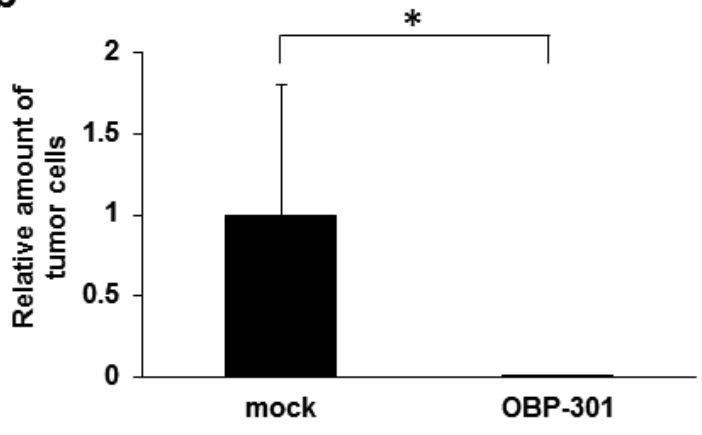


Fig. 5

a



b



c

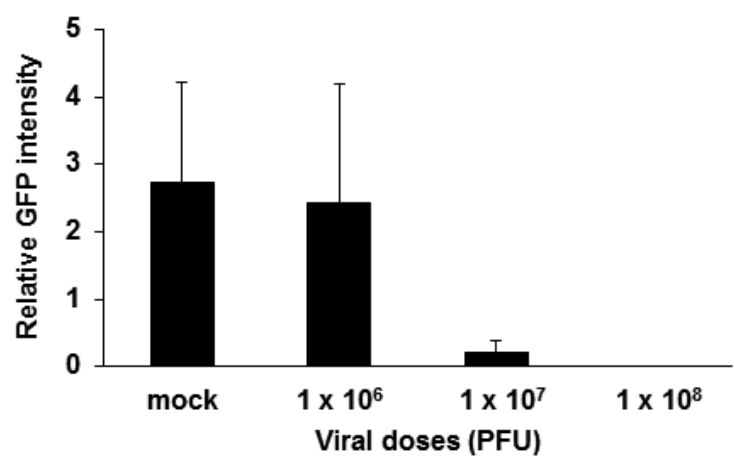
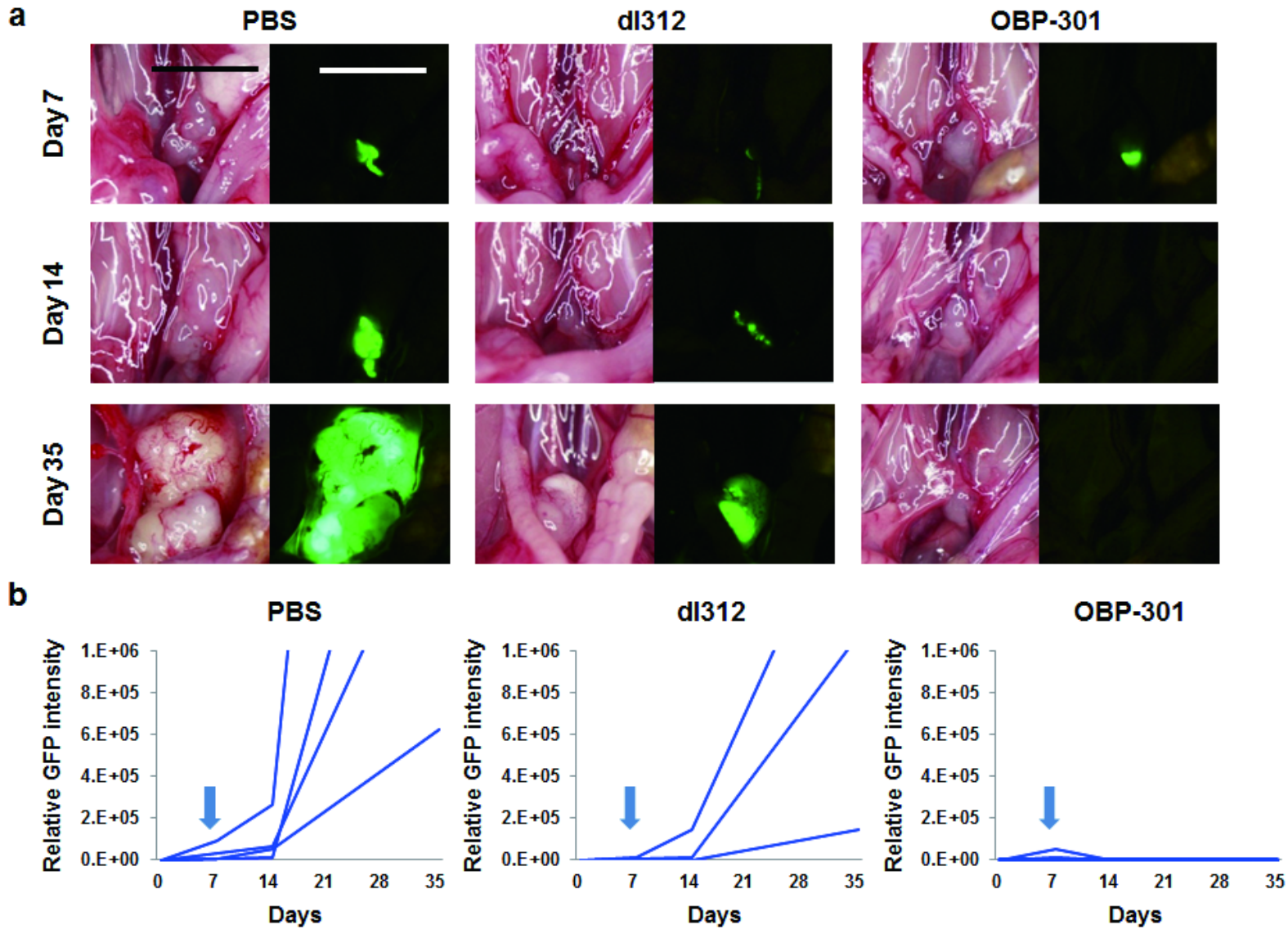


Fig. 6



## **SUPPLEMENTARY MATERIAL**

### **Biological Ablation of Sentinel Lymph Node Metastasis in Submucosally Invaded Early Gastrointestinal Cancer**

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#### **Supplementary Figure S1**

Schematic DNA structures of the telomerase-specific viruses.

#### **Supplementary Figure S2**

*In vitro* cytopathic effect of OBP-301 on Colo205-GFP human colorectal cancer cells.

#### **Supplementary Figure S3**

Procedure for inoculation of human colorectal cancer cells for establishment of a submucosally invaded orthotopic xenograft model.

#### **Supplementary Figure S4**

*In vivo* lymphatic spread of a blue dye in the regional lymph nodes.

#### **Supplementary Figure S5**

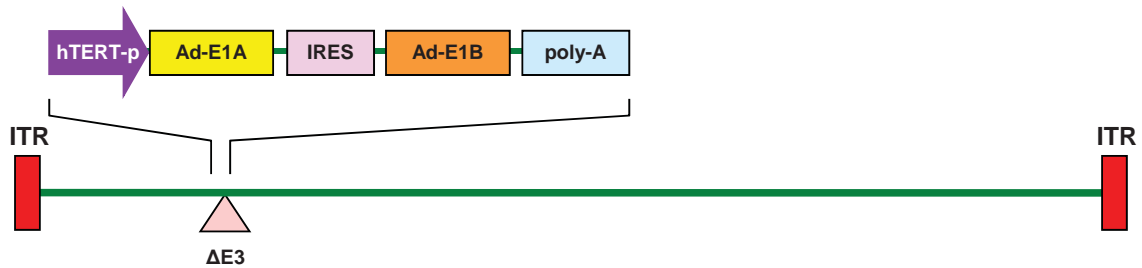
Removal of the primary rectal tumor mimicking endoscopic submucosal dissection (ESD).

#### **Supplementary Figure S6**

Comparative study of OBP-301 and cisplatin effects in an orthotopic colorectal cancer xenograft model.

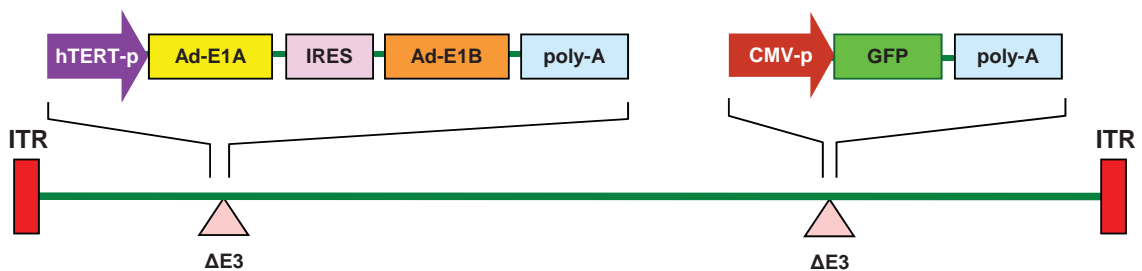
**a**

**OBP-301 (Telomelysin)**



**b**

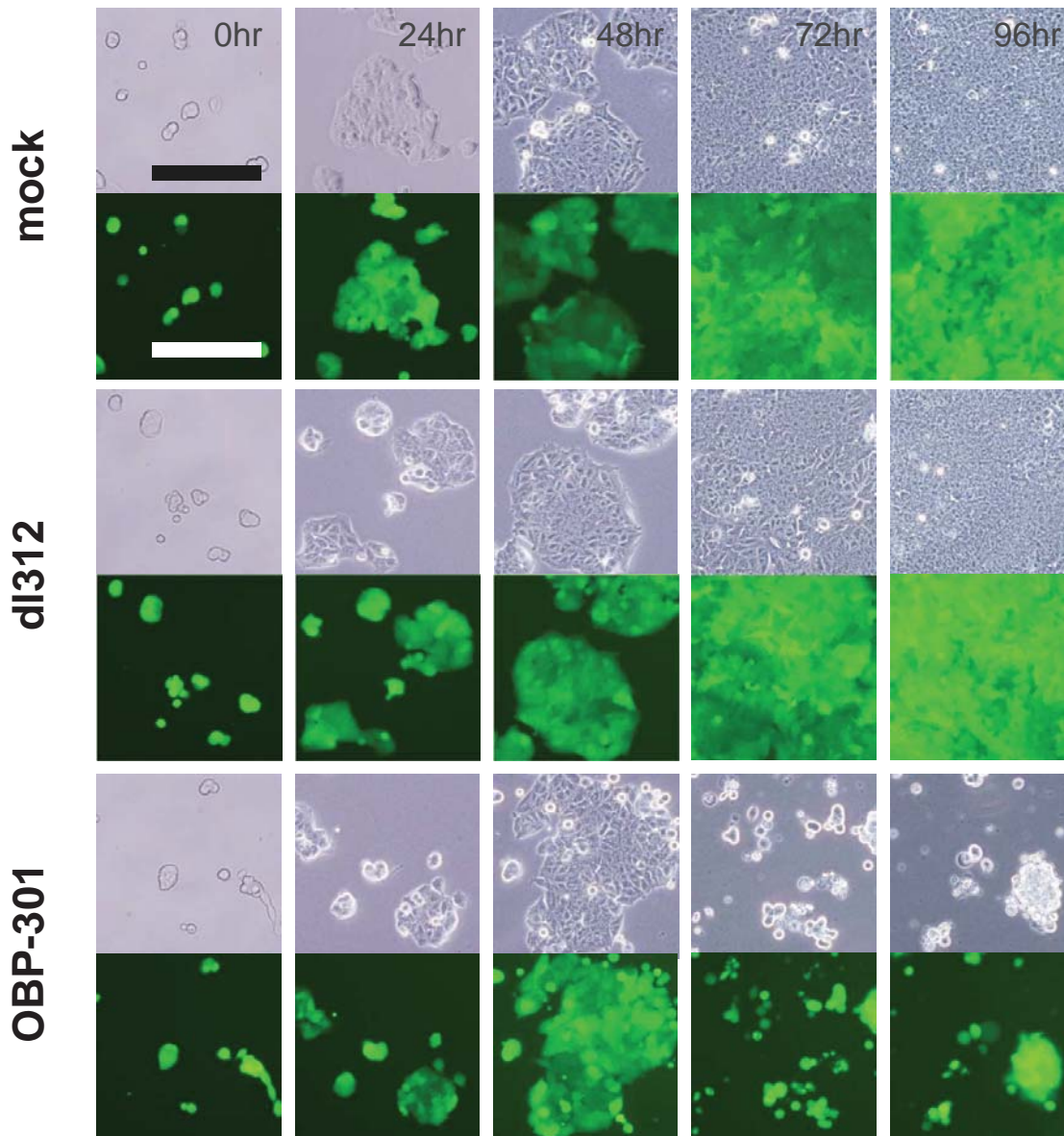
**OBP-401 (TelomeScan)**



**Supplementary Figure S1**

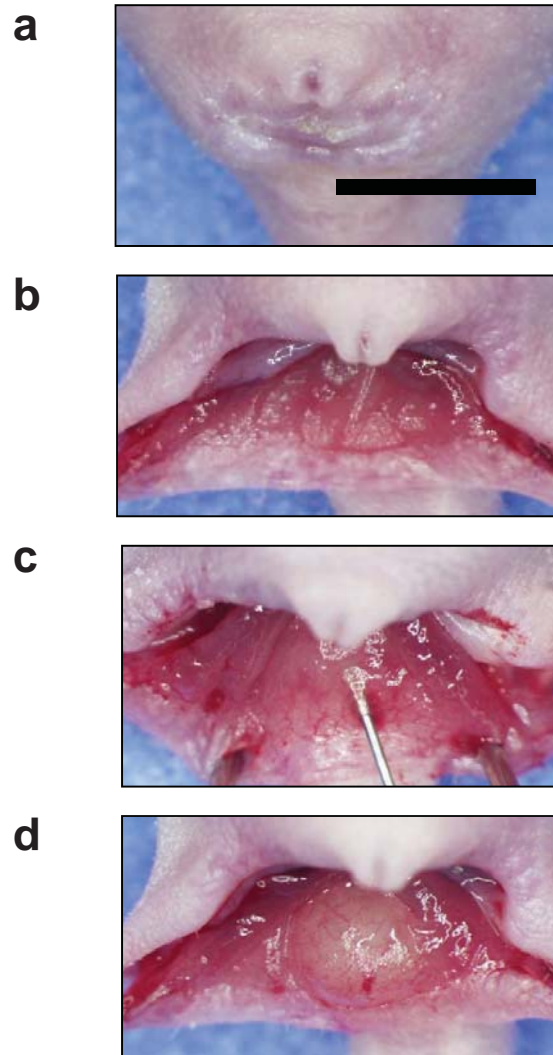
Schematic DNA structures of the telomerase-specific viruses. **(a)** OBP-301 is a telomerase-specific replication-competent adenovirus that contains the hTERT promoter sequence inserted into the adenovirus genome to drive transcription of the *E1A* and *E1B* bicistronic cassette linked by an internal ribosome entry site. **(b)** OBP-401 is a variant of OBP-301 in which the *GFP* gene is inserted into the E3 region, under the cytomegalovirus promoter for monitoring of viral replication.





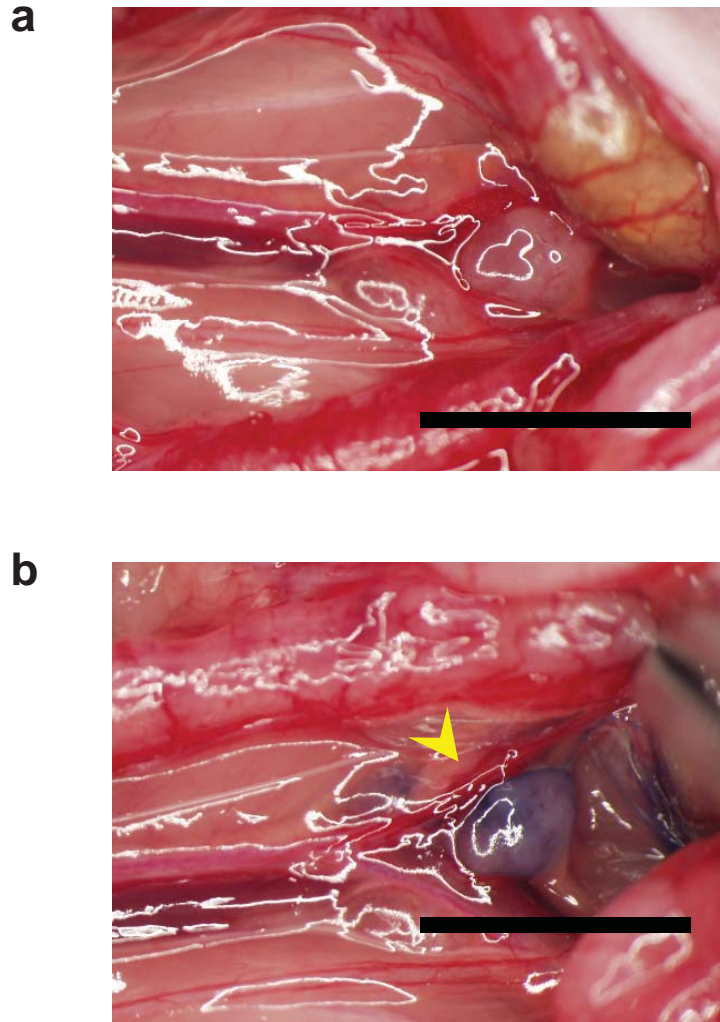
### Supplementary Figure S2

*In vitro* cytopathic effect of OBP-301 on Colo205-GFP human colorectal cancer cells. Colo205-GFP cells were infected with dl312 or OBP-301 at an MOI of 10. Cell morphology and GFP expression were evaluated at the indicated time points by phase-contrast (top panels) and fluorescence (bottom panels) microscopy, respectively. Magnification:  $\times 200$ . Scale bar, 200  $\mu\text{m}$ .



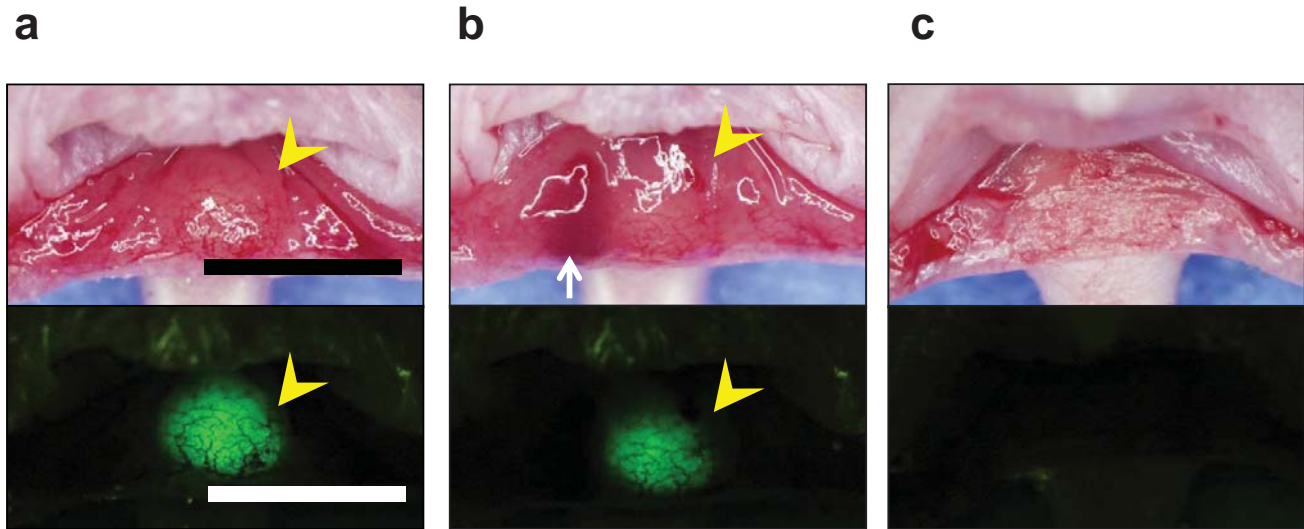
### Supplementary Figure S3

Procedure for inoculation of human colorectal cancer cells for establishment of a submucosally invaded orthotopic xenograft model. **(a)** The ano-rectal appearance of a representative mouse. **(b)** The ano-rectal wall was cut at a length of 7 mm to expose the dorsal rectal mucosa. **(c)** The human colorectal cancer cells were injected into the submucosal layer of the rectum. **(d)** After tumor cell inoculation, a bleb formed under the rectal mucosa at the site of injection. Scale bar, 5 mm.



#### Supplementary Figure S4

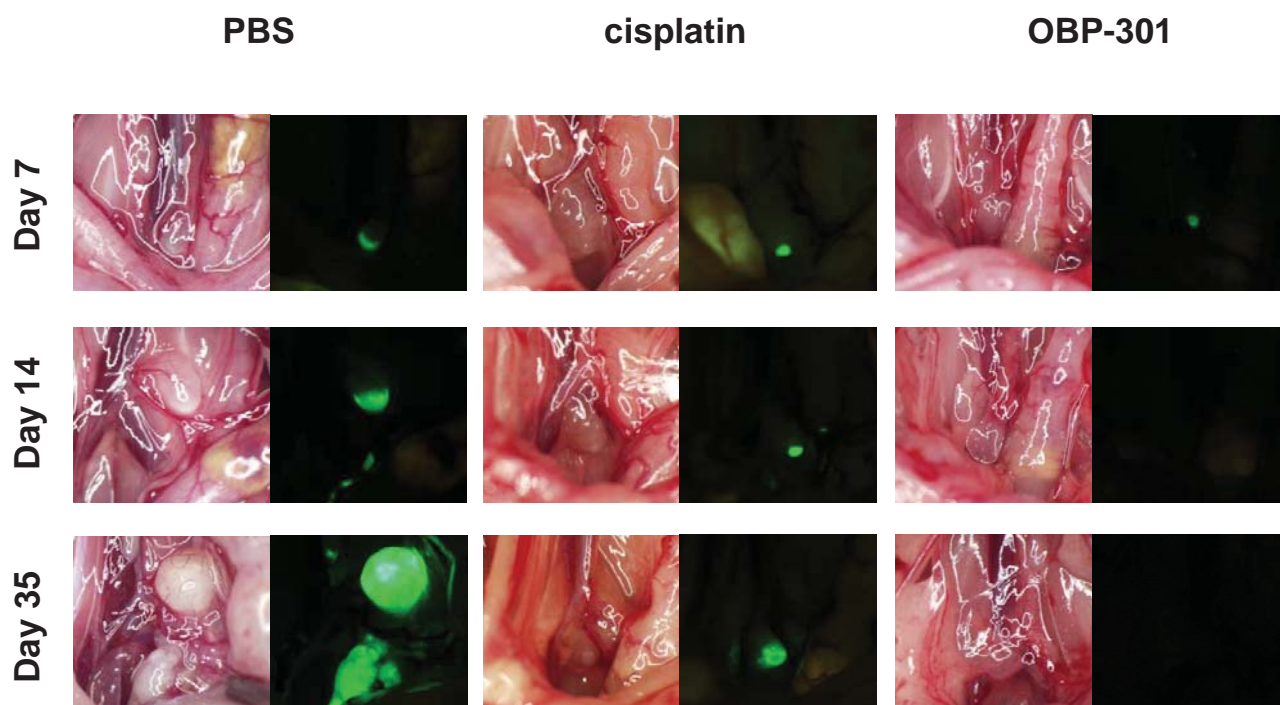
*In vivo* lymphatic spread of a blue dye in the regional lymph nodes. **(a)** Gross appearance of the abdominal cavity. **(b)** The abdominal cavity was photographed 1 minute after injection of the indigo carmine blue dye into the submucosal space near the primary rectal tumor. The arrowhead indicates lymph nodes stained with the blue dye. Scale bar, 5 mm.



### Supplementary Figure S5

Removal of the primary rectal tumor mimicking endoscopic submucosal dissection (ESD). **(a)** The ano-rectal appearance of a representative mouse a week after HCT-116-GFP tumor cell inoculation. Arrowheads indicate an established submucosally invaded rectal tumor. **(b)** OBP-301 at a dose of  $1 \times 10^9$  PFU/30  $\mu$ l PBS was peritumorally injected into the submucosal space before primary tumor dissection. White arrow, injection site. **(c)** The primary rectal tumor was surgically removed using the submucosal dissection technique, which preserves the muscular layer of the rectum. Disappearance of GFP fluorescence indicated complete removal of the transplanted tumor tissues. Upper panel, bright view; lower panel, fluorescent view. Scale bars, 5 mm.





### Supplementary Figure S6

Comparative study of OBP-301 and cisplatin effects in an orthotopic colorectal cancer xenograft model. Mice bearing HCT-116-GFP primary rectal tumors that developed lymph node metastasis were peritumorally injected with 30  $\mu\text{g}$  of cisplatin or  $1 \times 10^9$  PFU of OBP-301 into the submucosal space of the rectum. Gross (left panel) and fluorescence (right panel) images of the abdominal cavity were serially obtained at laparotomy on days 7, 14 and 35 after tumor inoculation.