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MECHANISTIC BACKGROUND OF HORMESIS IN
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□ The animal model *Caenorhabditis elegans* was employed to study polyphenol- and humic substances-induced hormetic changes in lifespan. A detailed insight into the underlying mechanism of hormesis was uncovered by applying whole genome DNA microarray experimentation over a range of quercetin (Q), tannic acid (TA), and humic substances (HuminFeed®, HF) concentrations. The transcriptional response to all exposures followed a non-linear mode which highlighted differential signaling and metabolic pathways. While low Q concentrations regulated processes improving the health of the nematodes, higher concentrations extended lifespan and modulated substantially the global transcriptional response. Over-represented transcripts were notably part of the biotransformation process: enhanced catabolism of toxic intermediates possibly contributes to the lifespan extension. The regulation of transcription, Dauer entry, and nucleosome suggests the presence of distinct exposure dependent differences in transcription and signaling pathways. TA- and HF-mediated transcript expression patterns were overall similar to each other, but changed across the concentration range indicating that their transcriptional dynamics are complex and cannot be attributed to a simple adaptive response. In contrast, Q-mediated hormesis was well aligned to fit the definition of an adaptive response. Simple molecules are more likely to induce an adaptive response than more complex molecules.

Keywords: Caenorhabditis elegans; Transcript Expression; Humic Substances; Lifespan Extension; Quercetin; Tannic Acid

INTRODUCTION

In previous experiments, we identified that *Caenorhabditis elegans* (Maupas, 1900) actively migrates into some unfavorable environments and in doing so suffers from symptoms of stress such as loss of energy, activation of oxygen, and induction of stress genes (Menzel *et al.* 2005; Steinberg *et al.* 2007; Menzel *et al.* 2011). Many of these responses are

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Transcript expression and hormesis in C. elegans

transcriptionally controlled (Menzel *et al.* 2005, 2012) and demand an increase in energy levels (Cazenave *et al.* 2006). However, the animals benefit from this seemingly absurd behavior: exposure to low or intermediate concentrations of humic substances, which were shown to be stressors, extends their lifespan significantly, whereas high concentrations did not differ from the control (Steinberg *et al.* 2007; Menzel *et al.* 2011). Hence, by definition, this dose-response relationship is hormetic in nature. In *C. elegans* longevity can be induced via several chemical and physical triggers, which supports the notion that this nematode is ideally positioned to study hormetic effects (Yashin *et al.* 2001; Cypser and Johnson 2002; Hartwig *et al.* 2009; Le Bourg 2009).

One central paradigm of hormesis is that the “adaptive response” is characterized by biphasic dose responses that display analogous quantitative features such as the amplitude and range of the stimulatory response (which are either directly induced or the result of compensatory biological processes following an initial disruption in homeostasis) (Calabrese and Baldwin 2002). However several recent papers (reviewed by Steinberg *et al.* (2008)) have challenged this paradigm and suggest that low and high dose exposure are characterized by different pathways. In order to identify the major regulatory and metabolic pathways, we compared the whole-genome transcriptomes of nematodes exposed to three different polyphenolic substrates with increasing complexity at the molecular and structural level, namely quercetin, tannic acid, and humic substances (HuminFeed[®], HF), a commercial humic preparation. Initially, we defined the differentially expressed genes (DEGs), many of which displayed concentration dependent changes in expression. Subsequent investigations included gene ontology (GO; Ashburner *et al.* (2000)) and Kyoto encyclopedia of genes and genomes (KEGG; Kanehisa (2002)) pathway analyses. In concert, these bioinformatic pipelines allowed the identification of gene classes and pathways which are significant over-representations in the treated nematodes.

This paper relies predominantly on published data (Menzel *et al.* 2012; Pietsch *et al.* 2012), but focuses in particular on the identification of putative mechanisms underlying hormetic dose-responses, an aspect that was previously not covered in depth. Overall, this study provides evidence that polyphenols and HSs induce longevity via a hormetic mode of action. Moreover, polyphenols and HSs induce distinct pathways at different concentrations which reject, at least in part, the notion that the adaptive response is the major underlying mechanism.

MATERIAL AND METHODS

The methods are described in full in Pietsch *et al.* (2012) and Menzel *et al.* (2012). Here, we provide only a brief overview.

C. E. W. Steinberg and others

Nematodes

N2 wild type *C. elegans* were maintained on nematode growth medium (NGM) plates using *Escherichia coli* OP50 as a food source (Brenner 1974). Approximately 10,000–15,000 untreated larval nematodes per concentration and trial were transferred onto control and treatment plates (50, 100, and 200 μM Q; 100, 200, and 300 μM TA; 200 and 2,000 μM HF as dissolved organic carbon, DOC) and incubated at 20°C for 4 days for the polyphenols and, for HF, at 25 °C to the young adult stage (3 days) or an older adult stage (11 days). Nematodes were fed every third day by replenishing the bacterial suspension and a fresh preparation of humic substances. Each condition was cultivated in triplicate.

RNA preparation

Total RNA of each individual condition ($n = 3$) was isolated using an innuSPEED issue RNA Kit (AnalytikJena, Jena, Germany), which included an improved homogenization step with a Speed-Mill (AnalytikJena, Jena, Germany) and the removal of genomic DNA through an initial spin filter column step. The RNA quality and quantity was analyzed spectroscopically (NanoDrop 1000, ThermoScientific, UK) and by means of Agilent's Bioanalyzer 2100 (Agilent Technologies, Santa Clara, CA, USA). All RNA-samples showed no signs of degradation (RNA integrity numbers ≥ 7.5).

DNA microarrays

The processing of each RNA sample was performed with a MessageAmp™Premier RNA Amplification Kit (Ambion, Austin, TX, USA). We used the GeneChip® *C. elegans* genome platform (Affymetrix, Santa Clara, CA, USA), representing 22,548 different transcripts. To conduct the microarray hybridization experiments, we followed the specifications from Affymetrix's GeneChip® kit. The scans were conducted by means of a GeneChip® scanner 3000 7G (Affymetrix). Triplicate GeneChips® were run for each condition.

Data interpretation and statistical analysis

Pre-processing of DNA microarray raw data included probe-specific background correction, summarization of probe set values, and normalization using the GCRMA algorithm with CARMAweb 1.4, an R- and Bioconductor-based web service for microarray data analysis (Rainer *et al.* 2006). For selection of DEGs, an unpaired t-test was performed followed by a significance analysis of microarray test including a calculation that estimates the false discovery rate (FDR), which was set to a non-stringent level of <12.5%. DEGs exceeding a fold change of 1.25 and returning a

Transcript expression and hormesis in C. elegans

p-value (t-test) below 0.05 were further analyzed with respect to their functional clustering (Grigoryev *et al.* 2004). Only the genes, which fulfill these criteria (fold change ≥ 1.25 , *p*-value ≤ 0.05 , and FDR $< 12.5\%$) were included in the analysis. This analysis was conducted using the functional annotation clustering tool of the Database for Annotation, Visualization, and Integrated Discovery (DAVID; Huang *et al.* (2007)). The resultant annotation clusters were ranked according to the statistical significance of cluster enrichment. The microarray data is MIAME compliant and has been deposited in the National Center for Biotechnology Information's Gene Expression Omnibus, GEO (<http://www.ncbi.nlm.nih.gov/geo>, accession numbers GSE35354 and GSE35360).

Representation factor

Due to huge sample numbers, HF-treatments were run in two different series. To assess the level of overlap between different conditions, we calculated the representation factor (RF) in order to explore the fold enrichment. The RF identifies the level of enrichment (of individual transcripts) between gene lists (Kim *et al.* 2001). RF were considered significant when $RF > 1$.

Validation of DNA microarray data by qRT-PCR

qRT-PCR analyses were conducted with samples from control, 200 μM quercetin and 200 μM tannic acid treated nematodes. The qRT-PCR validation for the HuminFeed[®] microarray was already published (Menzel *et al.*, 2012). The RNA was isolated as described previously and was then reverse transcribed into cDNA with the aid of the M-MLV (Moloney Murine Leukemia Virus) reverse transcriptase (Promega, USA). Quantitative real-time amplification was performed in a MyiQ single color qPCR detection system (BIO-RAD, Germany) using the double-stranded DNA intercalating fluorescent agent EvaGreen for amplicon detection. Each reaction consisted of the qPCR Green Core Kit (Jena Bioscience, Germany), 200 nM of each primer pair, and cDNA template equivalent to 5 ng RNA starting material. The relative expression of the target genes was calculated by means of the comparative $2^{-\Delta\Delta CT}$ method (Livak and Schmittgen 2001) and *act-1* and *cdc-42* were used as reference genes. The list of primers is given in Supplementary File 2 as well as the validation of the reliability of the microarray platform via qRT-PCR.

Polyphenols and humic substances

Tannic acid (TA) and quercetin (Q) were purchased from Sigma-Aldrich, Taufkirchen, Germany. HuminFeed[®] (HF, Humintech GmbH, Düsseldorf, Germany) was made by an alkaline extraction process of highly oxidized lignite (for a detailed and comparative physicochemical

C. E. W. Steinberg and others

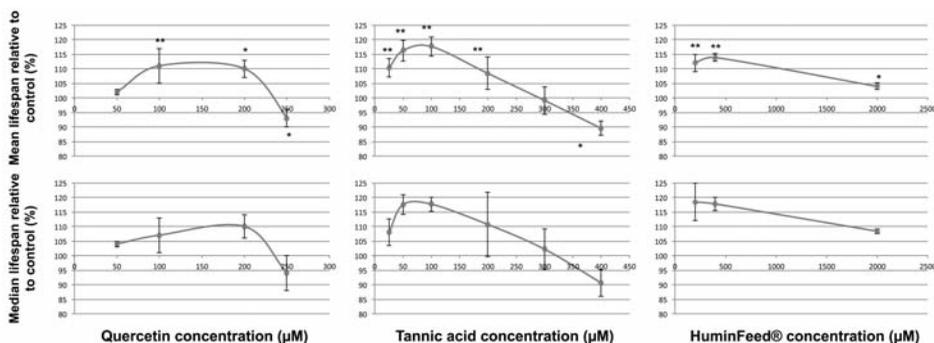


FIGURE 1. Concentration-dependent variation of mean and median lifespan quercetin, tannic acid and HuminFeed[®] were tested for their ability to modulate the lifespan of *C. elegans* at 20°C. The percentage variations of the mean (upper row) as well as the median (lower row) lifespan compared to control are illustrated. The error bars represent the SEM, and differences in the mean lifespan were considered significant at * $p < 0.05$ and ** $p < 0.005$, respectively (log-rank test). Each data point represents the average of at least 2 independent trials with a total of at least 171 nematodes per concentration. Further details are presented in the Supplementary File 1. The data are modified from Pietsch *et al.* (2011, with permission from Springer), Saul *et al.* (2011, with permission from the American Chemical Society), and Steinberg *et al.* (2007, courtesy of Northeastern University, Boston, MA).

analysis see (Meinelt *et al.* 2007)). HF was used for practical reasons and by no means as an advertisement for this product. Our experiments used the same HF batch as previously chemically characterized by Meinelt *et al.* (2007). TA, Q, and HF were added to the NGM and the OP50 bacteria with final concentrations ranging between 25 and 2,000 µM. The final concentrations of each substance were chosen according to its solubility, to published information and/or preliminary tests performed in our laboratory. Equal amounts of solvent (final concentration of 0.3% [v/v] DMSO; Applichem, Darmstadt, Germany) were used in all conditions except HF.

RESULTS

Quercetin

Quercetin-mediated mean and median lifespan changes are shown in Figure 1: while 50 µM Q evoked no significant change in lifespan, 100 and 200 µM Q prolonged the mean lifespan by approximately 10%. Higher concentrations (250 µM Q) reduced the lifespan by 7% and therefore can be considered to be toxic. Thus, we utilized two lifespan prolonging concentrations (100 and 200 µM), and a non-effective (50 µM) pre-longevity dose to study the global transcriptomic profile of Q exposure.

Venn diagrams (Figure 2) summarize the level of overlap between doses. The number of DEGs increased markedly at the lifespan modulating doses of 100 and 200 µM. At 50 µM Q exposure, more genes were up-regulated than down-regulated, but the opposite was observed at 100 and

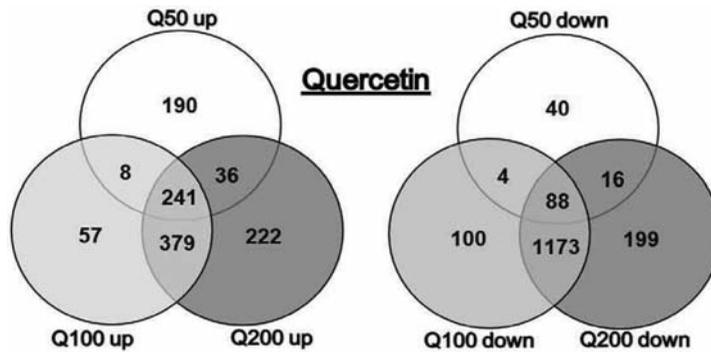
Transcript expression and hormesis in *C. elegans*

FIGURE 2. Over-represented genes. The Venn diagrams show the overlap of significantly up (left) - or down (right) -regulated genes (fold change >1.25 or <0.8) per concentration in nematodes exposed to quercetin (modified from (Pietsch *et al.* 2012), courtesy of Frontiers in Genetics).

200 μM . The majority of DEGs overlapped in 100 and 200 μM exposures, thus suggesting the presence of common response pathways. DEGs in 50 μM -treated nematodes differed strongly from those in the 100 and 200 μM treatments. In contrast, the expression profiles in response to the two latter concentrations resembled each other in identity and magnitude. The doubling in dose (from 50 to the 100 μM) increased the number of DEGs by a factor of 3.3, which was further increased by a marginal 1.1 fold in the 200 μM -treatment. A further discrepancy between the non-longevity provoking concentration 50 μM and both longevity-mediating doses was the proportion of up- and down-regulated transcripts: three times more genes were up-regulated than down-regulated at 50 μM , a ratio that was reversed in the higher concentrations. Furthermore, the high percentage (37 %) of transcripts exclusively regulated at 50 μM suggests the presence of a distinct mode of action, possibly leading to the observed variance in life extension.

Classifying DEGs by GO-term analyses (Figure 3), highlighted that over-represented GO-terms derived from the up-regulated transcripts are shared between 100 and 200 μM exposures and included chromatin assembly, lipid metabolic processes, monooxygenase, and nucleosome activities. GO-terms from down-regulated genes comprised nervous system development, regulation of multicellular organism growth, Dauer entry, regulation -of transcription, -of response to stimulus, -of cell communication, -of biological quality, -of locomotion, and -of programmed cell death.

KEGG-analysis returned a multitude of pathways (Figure 4). Over-represented KEGGs in 50 μM Q included pathways involved in the metabolism of amino acids, glutathione, and xenobiotics as well as fatty acid elongation. At higher exposures seven (100 μM) and eight (200 μM) KEGG-pathways were found to be over-represented, notably most (six) were

C. E. W. Steinberg and others

Quercetin: Selected overrepresented GO-terms			
GO-term	Fold Change		
		Hormetic concentr.	
	50 μ M	100 μ M	200 μ M
Regulation of gene expression			1.2
Regulation of transcription, DNA-dependent		1.3	1.4
Anatomical structure morphogenesis & development		1.3	1.3
Cell differentiation		1.8	1.7
Nervous system development		3.6	3.0
Generation of neurons		3.4	3.0
Neuron differentiation		3.2	2.9
Protein-based cuticle development	3.1	1.8	1.9
Protein-DNA complex assembly	4.8	3.0	3.1
Transmembrane receptor protein serine/threonine kinase signaling pathway		4.2	5.1
Morphogenesis of embryonic epithelium		9.4	8.1
Embryonic morphogenesis		1.6	
Post-embryonic development		4.4	3.8
Locomotion		1.3	1.3
Reproductive behavior		1.5	1.4
Oxidation reduction	2.9	1.6	1.6
Cytoskeleton	2.2	2.1	1.8
Extracellular region		1.6	1.7
Intracellular membrane-bounded organelle		1.1	1.2
Intracellular non-membrane-bounded organelle		1.3	
Membrane-bounded organelle		1.1	1.2
Plasma membrane		1.6	1.4
Endoplasmic reticulum lumen		6.9	6.1
Chromatin	3.6	3.0	2.6
Nucleosome	6.5	4.2	4.1
Protein-DNA complex	6.0	3.4	3.7
Cell-cell junction		3.2	2.8
Pseudopodium		12.7	11.2
Structural molecule activity	3.8	1.9	1.9
Structural constituent of cuticle	6.2	3.0	3.2
Oxidoreductase activity	2.4	1.4	1.4
Oxidoreductase activity, acting on the CH-CH group of donors	3.9		
Oxidoreductase activity, acting on CH-OH group of donors	3.2		
Oxidoreductase activity, acting on paired donors, with incorporation or reduction of molecular oxygen		2.1	2.2
Intramolecular oxidoreductase activity		3.6	3.7
MAP kinase activity			4.3
Monooxygenase activity		1.9	1.8
Transcription factor activity		1.4	1.5
Transcription regulator activity		1.3	1.4
Binding		1.1	1.1
Protein binding		1.2	1.2
DNA binding		1.2	1.3
Vitamin binding	3.2		
Cofactor binding	2.0		
Sugar binding		1.7	
Nutrient reservoir activity			7.8

FIGURE 3. Overview of significant over-represented GO-terms from differentially expressed genes (DEG) following exposure to quercetin. Shown is the fold change for DEGs in the respective list in relation to the overall count in significantly enriched GO-terms. Pale and deep colors correspond to different cut-offs.

present at both concentrations (Figure 4) with an analogous mode/direction of regulation, i.e., either repressed or induced. Pathways include metabolism of amino acids, xenobiotics or drugs, transport processes

Transcript expression and hormesis in *C. elegans*

Quercetin		Fold Change		
			Hormetic concentr.	
KEGG-pathway		50 μ M	100 μ M	200 μ M
Fatty acid elongation in mitochondria				
	3-hydroxyacyl-CoA dehydrogenase	<i>2.8</i>		
Glutathione metabolism				
	Glutathione transferase	<i>1.29</i>		<i>1.28</i>
	Glutathione transferase	<i>0.58</i>	<i>0.64</i>	
	Glutathione peroxidase	<i>1.52</i>	<i>1.32</i>	<i>1.51</i>
	Glutathione peroxidase	<i>0.54</i>		<i>0.77</i>
Cysteine and methionine metabolism				
	S-adenosylmethionine synthetase	<i>3.32</i>	<i>2.57</i>	<i>4.18</i>
	Cystathionine β -synthase and related	<i>0.67</i>	<i>0.63</i>	<i>0.64</i>
	Lactate dehydrogenase		<i>0.78</i>	<i>0.70</i>
Arginine, proline metabolism				
	Aminotransferase		<i>0.74</i>	<i>0.78</i>
	Creatine kinases	<i>1.53</i>	<i>2.18</i>	<i>1.89</i>
	Pyroline-5-carboxylate reductase		<i>1.29</i>	<i>1.32</i>
	Prolyl 4-hydroxylase α subunit		<i>0.67</i>	<i>0.61</i>
	S-adenosylmethionine decarboxylase	<i>2.50</i>	<i>0.76</i>	<i>0.58</i>
	1-pyroline-5-carboxylate synthetase	<i>1.29</i>	<i>0.73</i>	<i>0.69</i>
Tyrosine metabolism				
	Dopamine β -monooxygenase		<i>0.80</i>	<i>0.78</i>
Lysosome				
	Cysteine proteinase cathepsin L	<i>1.56</i>	<i>5.83</i>	<i>8.28</i>
	Cysteine proteinase cathepsin L		<i>1.57</i>	<i>1.92</i>
	Thiol protease	<i>2.61</i>	<i>3.57</i>	<i>4.11</i>
	Serine carboxypeptidases	<i>1.33</i>	<i>0.63</i>	<i>0.71</i>
	Nucleoside phosphatase		<i>0.73</i>	<i>0.78</i>
	Lysosomal cathepsin A	<i>1.33</i>	<i>0.63</i>	<i>0.71</i>
	Clathrin-associated protein medium chain		<i>0.75</i>	<i>0.65</i>
TGF-beta signaling pathway				
	SCF ubiquitin ligase	<i>0.78</i>	<i>0.72</i>	<i>0.52</i>
	RNA polymerase II elongation factor like	<i>0.78</i>	<i>0.74</i>	<i>0.55</i>
Sphingolipid metabolism				
	Acid sphingomyelinase		<i>1.38</i>	<i>1.79</i>
	β -glucocerebrosidase	<i>1.42</i>	<i>1.30</i>	<i>1.57</i>
Wnt signaling pathway				
	Wnt family of developmental regulators		<i>0.75</i>	<i>0.65</i>
	MAP kinase	<i>0.71</i>	<i>0.74</i>	<i>0.62</i>
	SCF ubiquitin ligase, Skp1 component		<i>0.63</i>	<i>0.58</i>
	SCF ubiquitin ligase, Skp1 component		<i>0.72</i>	<i>0.62</i>
	SCF ubiquitin ligase, Skp1 component		<i>0.80</i>	<i>0.67</i>
Drug metabolism				
	Flavin-containing monooxygenase	<i>0.69</i>	<i>3.22</i>	<i>4.47</i>
	Aldehyde dehydrogenase	<i>0.73</i>	<i>1.95</i>	<i>2.28</i>
	UDP-glucuronosyltransferase		<i>1.46</i>	<i>1.44</i>

FIGURE 4. Overview of significant over-represented KEGG terms from differentially expressed genes (DEG) following exposure to quercetin. Shown is the fold change for DEGs in the respective list in relation to the overall count in significantly enriched KEGG terms. Repetition of gene names indicates the presence of different loci. Red and green labels define up- and down-regulated genes; respective pale and deep colors correspond to different cut-offs. The numbers in italics show the Fold Changes values of 50 μ M Q, however lacking statistical robustness.

(lysosome), and signal transduction processing (Wnt and transforming growth factor- β (TGF- β signaling)). DEGs from amino acid metabolism and the lysosome displayed heterogeneous expression levels, transcripts belonging to the signaling pathways were predominantly repressed; in contrast almost all genes associated with drug/xenobiotic metabolism were found to be induced.

Tannic acid

For TA, the most effective concentration in terms of lifespan extension was 100 μ M, which resulted in an increase of 18%, an effect that was

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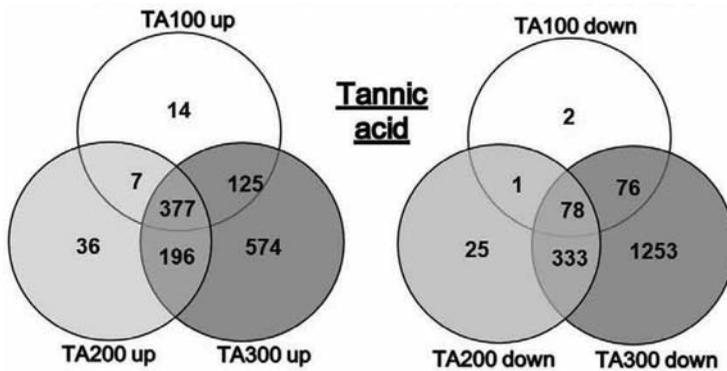


FIGURE 5. Over-represented genes. The Venn diagrams show the overlap of significantly up (left) - or down (right)-regulated genes (fold change >1.25 or <0.8) per concentration in nematodes exposed to tannic acid (modified from (Pietsch *et al.* 2012), courtesy of Frontiers in Genetics).

still significant but notably less pronounced at 200 μM (8%) and was absent at 300 μM (Saul *et al.* 2010). 400 μM reduced the mean lifespan (Figure 1). Hence, we used two life-extending concentrations (100 and 200 μM), and in addition one post-effective concentration (300 μM).

As with *Q*, exposure to TA resulted in a dose dependent increase in DEGs which was most pronounced at the highest concentration tested (Figure 5), the dose that did not affect longevity. More genes were up-regulated than down-regulated in the lifespan-prolonging exposures 100 and 200 μM , a ratio that was reversed at 300 μM where more genes were down-regulated (Figure 5). Striking was the proportion of DEGs that responded exclusively to 300 μM (61%), a substantial proportion compared to 100 μM (2%) or 200 μM (6%).

In longevity-inducing TA exposures, significant GO-terms were linked to muscle contraction, neurotransmitter transporter activity and cytoskeleton, embryonic development ending in birth or egg hatching, positive regulation of biological process, and chromatin (Figure 6). The dynamic response patterns of *Q* exposure were not observed in the TA treatments. Although several genes were modulated, no KEGG-pathways could be linked to the most potent lifespan extending concentration, 100 μM TA (Figure 7). For 200 μM TA, only two KEGG-pathways were found to be over represented, both derived from amino acid metabolism. Analysis of the 300 μM TA gene list returned six KEGG-pathways, notably DNA replication, mismatch repair, and Ubiquitin-mediated proteolysis.

Humic substances

Previously, we were able to show that low HF concentrations (up to 800 μM) prolonged the lifespan of *C. elegans*, whereas exposure to 2,000 μM HF resembled control conditions (Steinberg *et al.* 2007) (Figure 1).

Transcript expression and hormesis in *C. elegans*

Tannic acid: Selected overrepresented GO-terms			
GO-term	Fold Change		
	Hormetic concentrations		
	100 μ M	200 μ M	300 μ M
Anatomical structure morphogenesis		1.4	1.4
Protein transport	1.9		
Protein catabolic process			1.4
Protein modification process			1.2
Cellular protein catabolic process			1.4
Maintenance of protein location			2.8
Protein localization			1.3
Protein import			2.4
Gamete generation			1.77
Male gamete generation			2.5
Female gamete generation			1.5
Embryonic development		1.2	1.3
Post-embryonic development			1.1
Phospholipid metabolic process			2.0
Phospholipid biosynthesis			2.1
Reproductive process			1.5
Reproductive behavior			1.3
Cytoskeleton	3.4	2.0	2.1
Extracellular region			2.2
Intracellular non-membrane-bound organelle	2.1	1.4	1.7
Chromatine			3.2
Ubiquitin ligase complex			3.8
Pseudopodium	36.0	23.8	8.2
ATP binding			1.3
ATPase activity			1.4
Nucleic acid binding			1.6
Chromatine binding			3.2
Phosphatase activity		1.7	1.4
Hydrolase activity (C-N bonds)	1.4	1.2	1.2
Structural molecule activity	2.5	2.0	
Structural constituent of cuticle	2.4		
Vitamin binding		2.1	
Vitamin B6 binding		2.8	
Ubiquitin protein ligase binding			3.4

FIGURE 6. Overview of significant over-represented GO-terms from differentially expressed genes (DEG) following exposure to tannic acid. Shown is the fold change for DEGs in the respective list in relation to the overall count in significantly enriched GO-terms. Pale and deep colors correspond to different cut-offs.

This non-linear dose-response relationship therefore lacked concentrations that exert adverse effects, however, toxic effects induced by high doses of HSS have been documented in the past (Cheng *et al.* 2003; Bittner *et al.* 2006; Cazenave *et al.* 2006; Matsuo *et al.* 2006; Andersson *et al.* 2010). Our global transcriptomics includes lifespan extending (200 μ M DOC) and non-lifespan extending (2,000 μ M DOC) concentrations.

C. E. W. Steinberg and others

Tannic Acid	Fold Change		
	100 µM	200 µM	300 µM
KEGG-pathway			
Cysteine, methionine metabolism			
S-adenosylmethionine synthetase		1.56	1.34
S-adenosylmethionine synthetase	1.79	1.57	1.53
Cystathionine β-lyases/cystathionine γ-synthases	0.78	0.73	0.69
Cystathionine β-lyases/cystathionine γ-synthases	2.01	2.02	1.46
Cystathionine beta-synthase and related enzymes	1.28	1.40	1.88
Progesterone-mediated oocyte maturation			
Phosphatidylinositol 3-kinase catalytic subunitA	0.78		0.55
Serine/threonine protein kinase	0.78		0.62
G2/Mitotic-specific cyclin A			0.49
Protein kinase			0.69
Ubiquitin mediated proteolysis			
Chaperone-dependenTA E3 ubiquitin protein ligase			0.75
Cullins			0.60
E3 ubiquitin ligase	0.75		0.64
Cyclophilin type peptidyl-prolyl cis-trans isomerase	0.63	0.80	0.60
Anaphase-promoting complex			0.66
SCF ubiquitin ligase, Skp1 component			0.57
Ubiquitin-protein ligase			0.72
Ubiquitin conjugating enzyme	0.73	0.70	0.62
Non-canonical ubiquitin conjugating enzyme 1	0.71	0.77	0.52
locus:sl-1 zinc-finger protein	0.65	0.72	0.48
Heparan sulfate biosynthesis			
unknown	0.59	0.63	0.66
Heparan sulfate-glucuronic acid C5-epimerase			0.69
Sulfotransferases	0.77	0.78	0.61
Acetylglucosaminyltransferase	0.79	0.74	0.54
Exostosin			0.68
Galactosyltransferases		0.78	0.63
β-1,3-glucuronyltransferase			0.67
Mismatch repair			
DNA polymerase delta, catalytic subunit			0.74
DNA polymerase delta, regulatory subunitA 55		0.80	0.58
DNA ligase I			0.65
Replication factor C, subunitA RFC2			0.67
Replication factor C, subunitA RFC3			0.56
DNA replication			
DNA replication helicase	0.77		0.65
DNA polymerase delta, catalytic subunit			0.74
DNA polymerase delta, regulatory subunitA 55		0.80	0.58
DNA ligase I			0.65
DNA replication licensing factor, MCM7 component	0.77		0.48
Eukaryotic-type DNA primase, catalytic (small)			0.68
Starch and sucrose metabolism			
Maltase glucoamylase and related hydrolases			1.40
Glycogen synthase	0.79	0.74	0.51
Hexokinase			0.70
Hexokinase			0.59
UDP-glucose/GDP-mannose dehydrogenase			0.69
Neutral trehalase	0.78		0.62
Neutral trehalase		1.31	1.70
UDP-glucuronosyltransferase	1.44	1.34	1.82

FIGURE 7. Overview of significant over-represented KEGG terms from differentially expressed genes (DEG) following exposure to tannic acid. Shown is the fold change for DEGs in the respective list in relation to the overall count in significantly enriched KEGG terms. Repetition of gene names indicates the presence of different loci. Red and green labels define up- and down-regulated genes; respective pale and deep colors correspond to different cut-offs. The numbers in italics show the Fold Changes values, however possibly lacking statistical robustness.

The Venn diagrams (Figure 8) show that, due to the low threshold (a minimum fold change in transcript expression of 1.25); many genes were classified as DEGs, especially in young adults. However, the extended incubation time of 11 days was characterized by a substantial decline in the number of DEGs, in particular down-regulated genes. An extensive overlap was observed between the two concentrations (Figure 8).

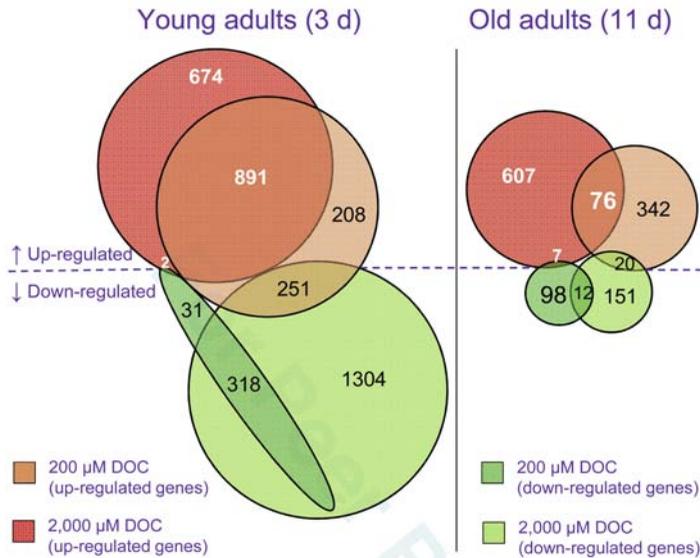
Transcript expression and hormesis in *C. elegans*

FIGURE 8. Over-represented genes. The Venn diagrams show the overlap of significantly up- or down-regulated genes (fold change >1.25 or <0.8) per concentration in nematodes exposed for 3 days (left) or 11 days to HuminFeed® (right) (modified from (Menzel *et al.* 2012), courtesy of Frontiers in Genetics).

The GO-analysis produced a multitude of significantly enriched terms, many represented by the same genes across and within the three GO-domains: biological process, cellular component, and molecular function. A selection of the 25 most striking terms are given in Figure 9. Young adult nematodes were much more responsive than old adults and their response was also more consistent. Lysosomal processes, defense response as well as lipid and fatty acid metabolism were found to be enriched in the group of up-regulated genes. Moreover, HF induced the expression of genes coding for constituents of the cuticle and cytoskeleton. The persistently strong induction of the cellular components pseudopodium and extracellular region is caused by a comprehensive up-regulation of various major sperm proteins (MSP). HF may contribute to slowing down the reproductive development of *C. elegans*.

In young adults, HF exposure at 200 μM DOC induced 10 KEGG pathways and 16 KEGG pathways at 2,000 μM DOC (Figure 10). Particularly noticeable was the induction of fatty acid metabolism, in particular arachidonic acid (AA) and sphingolipid metabolism, as well as the up-regulation of lysosome related genes. In the 11 day old HF-exposed worms, only four significantly enriched KEGG pathways were modulated, which were limited to the lower concentration of HF (200 μM DOC). Besides the persistent induction of the AA metabolism, HF (200 μM DOC) was marked by a pronounced up-regulation of the biotransforma-

C. E. W. Steinberg and others

Humic Substances

GO-term	DOC	Representation factor					
		HF - 3d		HF - 11d			
		200 μM	2,000 μM	200 μM	2,000 μM		
Cell Cycle		2.8	2.9		2.4	Biological process	
Defense response		3.2	2.0	3.9			
Determination of adult life span		2.0		3.9			
Fatty acid metabolic		3.4	3.7				
Gamete generation		3.0	2.1		2.6		
Lipid modification		3.2	3.4				
Lysosome organization			6.5				
Neuropeptide signaling			3.3				
Oxidation reduction				2.0	4.6		
Reproductive develop.		2.4	2.0				
Cytoskeleton		2.7		2.3	3.2		Cellular component
		4.1	3.1				
Extracellular region			2.0		4.7		
Gap junction		8.9	3.6				
Intracellular non-m.-b.*		3.5	3.1		2.0		
Lysosome		5.8	7.7				
Peroxisome		7.6	6.9				
Pseudopodium		13.9		46.0	30.0		
ATPase activity			2.8		2.4		
ATP-dependent helicase		3.8	3.6		3.0		
Molecular function			5.1				
Hydrolase activity (C-N)			3.5				
Lipase activity			3.2				
Lysozyme activity		10.6		68.2			
Oxidoreductase activity		8.0	5.8				
Structural constituent of cuticle		2.0		2.8	3.0		

FIGURE 9. Overview of significant over-represented GO-terms from differentially expressed genes (DEG) following exposure to humic substances. Shown is the representation factor for DEGs in the respective list in relation to the overall count in significantly enriched GO-terms. Red and green labels define up- and down-regulated genes; respective pale coloring corresponds to $p < 0.05$, deep colors to $p < 0.001$ (from Menzel *et al.* (2012), courtesy of Frontiers in Genetics).

tion machinery, which includes glutathione and cytochrome P450 (CYP) pathways. It should be noted that HF (2,000 μM DOC) also induced bio-transformation associated genes, however, because of the large number of DEGs, they were not found to be significantly enriched. The validation of the microarray via qRT-PCR is shown in Menzel *et al.* (2012).

DISCUSSION

It remains to be confirmed whether hormesis is the direct result of an adaptive response. It is assumed that hormesis is characterized by similar quantitative features with respect to amplitude and range of the stimulatory response that are either directly induced or the result of compensatory biological processes following an initial disruption in homeostasis

Transcript expression and hormesis in *C. elegans*

Humic Substances

KEGG-pathway	DOC	Representation factor			
		HF - 3d		HF - 11d	
		200 μM	2,000 μM	200 μM	2,000 μM
Alpha-Linolenic acid metabolism		5.2	4.4		
Aminoacyl-tRNA biosynthesis			2.3		
Arachidonic acid metabolism			3.3	14.8	
Arginine and proline metabolism			2.4		
Basal transcription factors			2.3		
Biosynthesis of unsaturated fatty acids		4.0	3.3		
Cysteine and methionine metabolism					
Drug metabolism		2.6		10.2	
Ether lipid metabolism			4.7		
Fatty acid elongation in mitochondria					
Fatty acid metabolism					
Glutathione metabolism				6.9	
Glycerophospholipid metabolism			2.9		
Homologous recombination			2.8		
Jak-STAT signaling pathway			2.9		
Lysosome		2.5	3.3		
MAPK signaling pathway					
Metabolism of xenobiotics by cytochrome P450				9.1	
Other glycan degradation			5.5		
Progesterone-med. oocyte maturation		8.0	2.2		
Propanoate metabolism		2.7			
Proteasome			2.3		
Sphingolipid metabolism		3.4	2.8		
Spliceosome		3.1	2.5		
Starch and sucrose metabolism					
TGF-beta signaling pathway		2.8			
Tryptophan metabolism					
Wnt signaling pathway		2.1			

FIGURE 10. Overview of significant over-represented KEGG terms from differentially expressed genes (DEG) following exposure to humic substances. Shown is the representation factor for DEGs in the respective list in relation to the overall count in significantly enriched KEGG terms. Red and green labels define up- and down-regulated genes; respective pale coloring corresponds to $p < 0.05$, deep colors to $p < 0.001$ (from Menzel *et al.* (2012), courtesy of Frontiers in Genetics).

(Calabrese and Baldwin 2002). The term ‘adaptive response’ implies that low- and high-dose exposures should activate analogous response or defense pathways and in consequence the low-dose exposure trains the defense systems to cope with future potentially adverse exposures. Differential gene expression analysis may prove a promising tool to evaluate this descriptive hypothesis. Indeed, there is an increasing body of evidence that transcript expression profiles of low-dose exposures differ from higher doses, including studies on radiation (Ding *et al.* 2005; Sokolov *et al.* 2006; Abou-El-Ardat *et al.* 2012), gravity (Allen *et al.* 2007), or chemicals. For instance, Toyoshiba *et al.* (2006) analyzed low and high-dose effects of acetaminophen (paracetamol) administered to rats. The

C. E. W. Steinberg and others

authors identified two gene interaction networks clearly segregated by the two doses: at lower doses, oxidative stress signaling pathways did not interact with apoptosis-related genes, but did in the higher doses. In a more environmentally relevant context, Gong *et al.* (2007a, b) uncovered a hormetic increase in reproduction in earthworms exposed to trinitrotoluene. This effect was mirrored by specific transcriptional responses at the low-dose exposure. Recently, Celorio-Mancera *et al.* (2011) showed a transcription based hormetic response in a generalist herbivore challenged by the toxic plant secondary metabolite gossypol: at low levels, genes involved in energy acquisition (such as β -fructofuranosidases) were up-regulated in the gut, and genes involved in cell adhesion were down-regulated in the body. High levels of gossypol affected transcripts predicted to be integral to the membrane or associated with the proteasome core complex. Oxidoreductase activity-related genes were observed to be significantly altered in both tissues at the highest gossypol dose. The authors concluded that hormesis may be due to a lower induction threshold of growth-promoting, stress-coping responses and a higher induction threshold of detoxification pathways that are costly and cause collateral damage to the cell. These examples indicate that hormesis is not limited to a simple adaptive response but accompanied by a complex transcriptional activation of interwoven pathways. In line with this argument is the recent plea by Piña and Barata (2011) to increase our understanding of transcriptomic responses to high and low doses.

We were able to show for three natural xenobiotics (for terminology, see also Li *et al.* (2007)) that all act in a hormetic fashion concerning lifespan in *C. elegans* and, in two of the three chemicals, low concentrations induce pathways that are distinct from those activated at high concentrations, findings which do not support the adaptive response theory. However, Q-mediated longevity modulation does align well with the adaptive response mode (Figures 3, 4). In detail: The number of DEG in 50, 100, and 200 μM Q suggests that the action of Q in *C. elegans* follows a non-linear mode: low concentrations (50 μM) regulate processes which potentially improve the health of the nematodes (e.g. the gene classes *gsts*, peroxidases, lysozymes; GO-term: oxidoreductase activity; KEGG pathway: glutathione metabolism and metabolism of xenobiotics by cytochrome P450). In consequence, though insufficient to extend lifespan, 50 μM Q induces enhanced thermal stress resistance (Pietsch 2011). Concentrations of 100 and 200 μM Q promote a longevity phenotype, and the global transcriptional response of the worms changed substantially. The over-representation of DEGs related to biotransformation (gene-classes: *gsts*, *ugts*, *cyts*; KEGG pathways: drug- and xenobiotic metabolism) indicate that enhanced catabolism of toxic intermediates contributes to the lifespan extension. Thus, Q action resembles predictions made by the green theory of aging (Gems and McElwee 2005), and

Transcript expression and hormesis in C. elegans

is further supported by an additional enrichment of *hsp* expression levels in 200 μM treatment (Pietsch 2011). However, it is likely that further mechanisms contribute to Q induced longevity, as indicated by the over-representation of *pdz* genes, transcription factors and vitellogenins. The GO-term (regulation of transcription, Dauer entry, nucleosome and others) and KEGG pathway (Wnt, TGF- β) results suggest the presence of extensive alterations in signaling pathways and transcription. Moreover, the alterations of the amino acid metabolism and certain degradation processes in the lysosome may contribute to the longevity phenotype. Overall, both hormetic Q concentrations appear to induce common basic longevity-controlling pathways; thus, Q longevity modulation fits best to the concept described as the adaptive response.

With TA, longevity is possibly linked to the amino acid metabolism and modulated by the TGF- β and the p38 MAPK pathways. DAF-12, which integrates TGF- β and Insulin-like downstream signaling, and genetic players of the p38 MAPK pathway therefore may constitute crucial regulators. Although some similarities in the longevity-controlling pathways between Q and TA prevail, the lowest TA concentration (100 μM) with the highest lifespan extension does not display any distinct pathways, neither by GO-term nor by KEGG-pathway evaluation. Consequently, one may question whether this hormetic response really reflects an adaptive response.

The transcriptional profiles of HF most closely resembles the results from TA-treated nematodes. However, a significant proportion of genes up-regulated after 3 days are down-regulated after 11 days. This result demonstrates the dynamic nature of the transcriptome during the HF-mediated aging process. Despite the observed similarities, the mode of action of HF is complex and heavily influenced by the negative regulation of TGF- β signaling as well as a predicted increase in lysosomal activity. At the lower concentration (200 μM DOC), HF regulates processes which potentially promoted growth and improved health of the nematodes (e.g. lysozymes, cuticle development; GO-term: oxidoreductase activity; KEGG pathway: α -linolenic acid metabolism, drug metabolism, TGF- β signaling pathway; progesterone-mediated oocyte maturation) and thereby likely induces longevity, however with a delay in reproductive development. At the higher concentration (2,000 μM DOC), longevity is not observed but the global transcriptional response changes. Over-represented DEGs can be linked to lipid, protein, glycan degradation, and ATP decomposition (GO-term: lipase, ceramidase, and ATPase activity; KEGG pathways: proteasome, glycan degradation) indicating that the integrity of the body is challenged.

CONCLUSION

The dose-response of hormesis has been observed in a diverse range of biological systems (Calabrese and Baldwin 2003; Calabrese 2005;

C. E. W. Steinberg and others

Calabrese and Blain 2011; Calabrese and Mattson 2011). Hence, it is unlikely that one single hypothesis is universally applicable (Celorio-Mancera *et al.* 2011). By exploring three natural xenobiotics within the model organism *C. elegans*, we show that hormesis emerges as one of two types of distinct and specific transcriptional responses to chemically-mediated stress. The occurrence of an adaptive response is seemingly dependent on the molecular characteristics of the chemical. Simple molecules, such as quercetin are more likely to induce an adaptive response than more complex molecules, such as tannic acid or humic substances.

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C. E. W. Steinberg and others

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