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## An Analysis of the Role of Tobacco-Specific Nitrosamines in the Carcinogenicity of Tobacco Smoke

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### ABSTRACT

Cigarette smoke is a complex mixture consisting of more than 4500 chemicals, including several tobacco-specific nitrosamines (TSNA). TSNA typically form in tobacco during the post-harvest period, with some fraction being transferred into mainstream smoke when a cigarette is burned during use. The most studied of the TSNA is 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNK). NNK has been shown to be carcinogenic in laboratory animals. Studies examining the carcinogenicity of NNK frequently are conducted by injecting rodents with a single dose of 2.5 to 10  $\mu\text{mol}$  of pure NNK; the amount of NNK contained in all of the mainstream smoke from about 3700 to 14,800 typical U.S. cigarettes. Extrapolated to a 70-kg smoker, the carcinogenic dose of pure NNK administered to rodents would be equivalent to the amount of NNK in all of the mainstream smoke of 22 to 87 million typical U.S. cigarettes. Furthermore, extrapolating results from rodent studies based on a single injection of pure NNK to establish a causative role for NNK in the carcinogenicity of chronic tobacco smoke exposure in humans is not consistent with basic pharmacological and toxicological principles. For example, such an approach fails to consider the effect of other smoke constituents upon the toxicity of NNK. *In vitro* studies demonstrate that nicotine, cotinine, and aqueous cigarette "tar" extract (ACTE) all inhibit the mutagenic activity of NNK. *In vivo* studies reveal that the formation of pulmonary DNA adducts in mice injected with NNK is inhibited by the administration of cotinine and mainstream cigarette smoke. Cigarette smoke has been shown to modulate the metabolism of NNK, providing a mechanism for the inhibitory effects of cigarette smoke and cigarette smoke constituents on NNK-induced tumorigenesis. NNK-related pulmonary DNA adducts have not been detected in rodents exposed to cigarette smoke, nor has the toxicity of tobacco smoke or tobacco smoke condensate containing marked reductions in TSNA concentra-

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tions been shown to be reduced in any biological assay. In summary, there is no experimental evidence to suggest that reduction of TSNA will reduce the mutagenic, cytotoxic, or carcinogenic potential of tobacco smoke.

**Key Words:** mainstream cigarette smoke, tobacco-specific nitrosamines, 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNK).

### NNK AND OTHER TSNA IN TOBACCO AND TOBACCO SMOKE

Nitrosamines have been reported to be constituents of food, beverages, air, cosmetics, and industrial environments; accordingly, these chemicals have been an intensive topic of research and review for many years (IARC 17 1978; Banbury Report 1982; Loepky and Michejda 1984; Magee 1996; Tricker 1997; Lin 1990; Preussmann and Eisenbrand 1984; Preston-Martin and Correa 1989; Magee 1989; Tricker *et al.* 1989; Startin 1996; Eisenbrand *et al.* 1996; Scanlan 1999;). It is commonly accepted that humans are exposed to nitrosamines on a daily basis; however, the precise levels of exposure and the significance of such exposure remains inconclusive (Tricker 1997).

Tobacco consumption represents an additional source of nitrosamine exposure. Tobacco-specific nitrosamines (TSNA) are a class of nitrosamines believed to occur only in tobacco, and have been reported as being present in a wide variety of tobacco-related products (Hoffmann *et al.* 1980; Adams *et al.* 1984; IARC 38 1985; Surgeon General's Report 1989; Hoffmann *et al.* 1991; Tricker *et al.* 1991; Hoffmann *et al.* 1994; Hoffmann and Hoffmann 1997; Hoffmann *et al.* 1997; Hoffmann and Hoffmann 1998; Hecht 1999). Known TSNA include 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone [NNK], 4-(methylnitrosamino)-4-(3-pyridyl) butanal [NNA], *N'*-nitrosonornicotine [NNN], *N'*-nitrosoanabasine [NAB], *N'*-nitrosoanatabine [NAT], 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanol [NNAL], 4-(methylnitrosamino)-4-(3-pyridyl)-1-butanol [*iso*-NNAL], and 4-(methylnitrosamino)-4-(3-pyridyl) butanoic acid [*iso*-NNAC] (Hecht and Tricker 1999). *iso*-NNAL and *iso*-NNAC rarely occur in mainstream cigarette smoke (Hecht and Tricker 1999). NNK, NNN, and NNAL are mutagenic *in vitro* and carcinogenic when administered to laboratory rodents (Boyland *et al.* 1964; Hoffmann *et al.* 1984). NAT and NAB demonstrate little or no mutagenic potential during *in vitro* testing nor carcinogenic activity in laboratory animals (Hoffmann *et al.* 1984; Padma *et al.* 1989).

Green and freshly harvested tobaccos are virtually free of TSNA (Green and Rodgman 1996; Caldwell and Conner 1990; Parsons *et al.* 1986; Spiegelhalder and Bartsch 1996; Brunnemann *et al.* 1982; Fischer *et al.* 1990). It is recognized that TSNA form during the post-harvest processing (e.g., curing) to which tobacco is subjected (Andersen *et al.* 1989; Djordjevic *et al.* 1989). Significant efforts have been expended toward studying the mechanism by which TSNA are formed (Peele 1995). TSNA are recognized as being formed when tobacco alkaloids (e.g., nicotine and nornicotine) are nitrosated (Tricker and Preussmann 1988). It has been postulated that, in the case of air curing of Burley tobacco, TSNA form as a result of microbial-

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mediated conversion of nitrate to nitrite, coupled with the subsequent reaction of nitrate-derived chemical species with alkaloids present in the tobacco (Peele *et al.* 1995; Chamberlain and Chortyk 1992; Hecht 1998; Hamilton *et al.* 1982; Burton *et al.* 1992; Bush *et al.* 1995; Wiernik *et al.* 1995). In addition, there have been studies examining the potential impact of factors such as temperature of curing barns, humidity, amount of nitrogenous fertilizer used in growing, and amount of shade vs. sunlight on TSNA formation (Tso 1990; Davis and Nielsen 1999). More recently, the presence of NO<sub>x</sub> gases produced from heating units used during flue-curing processes of Virginia tobacco has been shown to be a contributing factor to TSNA formation (Peele *et al.* 1999).

Typically, TSNA are not formed from tobacco pyrolysis; rather some fraction of the TSNA formed within tobacco during its curing process is transferred in mainstream tobacco smoke as preformed TSNA (Fisher *et al.* 1990). This is supported by the fact that the smoke generated by cigarettes made from low TSNA tobacco delivers low yields of TSNA in mainstream smoke (Peele *et al.* 1995; Doolittle *et al.* 2001). The amount of TSNA reported to be present in tobacco smoke varies among publications; probably due in part to differences in agricultural variations inherent in different crop years, tobacco curing techniques, the designs of the tested cigarette, the blends of tobacco used in cigarette manufacture, and smoking conditions. Furthermore, the various analytical methods employed to measure TSNA levels may contribute to this observed variability, leading some investigators to point out that earlier values reported in the scientific literature may be exaggerated due to artifact formation inherent in the earlier methodologies (Green and Rodgman 1996; Caldwell and Conner 1990).

Recent investigations have focused on the amount of TSNA, especially NNK, that a smoker is exposed to during smoking. Djordjevic *et al.* (2000) examined observed delivery of NNK and compared these data with delivery calculated using Federal Trade Commission (FTC) data. The actual observed delivery was nearly two times higher than delivery calculated using FTC data. Using observed delivery values (Djordjevic *et al.* 2000), a smoker of low-yield nicotine cigarettes ( $\leq 0.8$  mg/cigarette) would be exposed to approximately 187 ng NNK/cigarette, while a smoker of medium-yield nicotine cigarettes (0.9 to 1.2 mg/cigarette) would be exposed to approximately 251 ng NNK/cigarette.

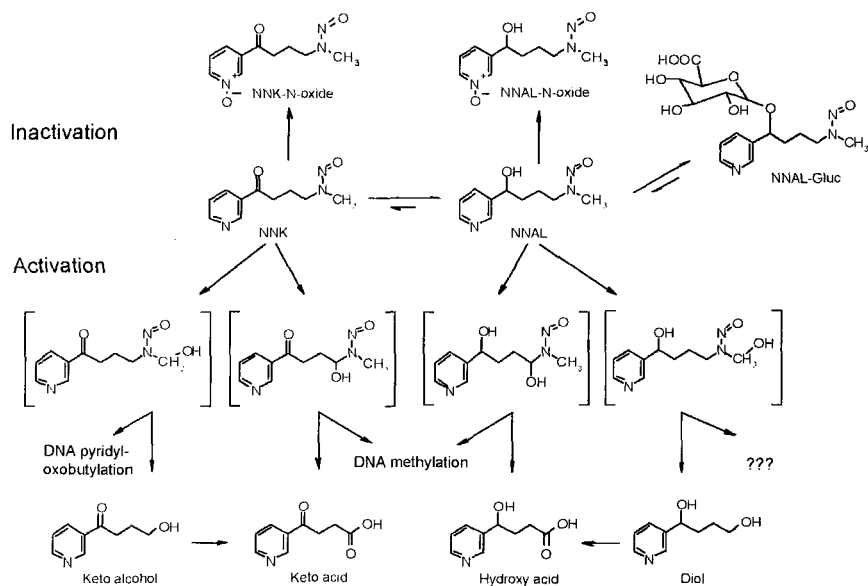
Some scientists have hypothesized that ingested tobacco alkaloids, such as nicotine, might contribute to TSNA formation within the human body (Hoffmann *et al.* 1994; Hecht and Hoffmann 1989). Others have published data that support the conclusion that endogenous TSNA formation does not occur (Fischer *et al.* 1990; Caldwell *et al.* 1991; Meger *et al.* 1995; Adlkofer 1995; Spiegelhalder and Fischer 1990; Hecht *et al.* 1999; Tricker *et al.* 1993). The hypothesis of endogenous TSNA formation conflicts with recent evidence that smoking cessation therapies that involve the administration of nicotine in the form of gum, patch, and/or inhalers do not lead to endogenous TSNA formation (Hecht *et al.* 1999).

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## GENOTOXICITY OF NNK

*N*-nitrosamines require metabolic activation by cytochromes P<sub>450</sub> for the expression of genotoxicity. NNK metabolism by a P<sub>450</sub>-mediated  $\alpha$ -hydroxylation pathway leads to several intermediates, some of which are genotoxic (Figure 1) (Hecht and Tricker 1999; Atalla and Maser 1999; Ren *et al.* 1999; Hecht *et al.* 1997). Moreover, NNAL, a genotoxic product of NNK carbonyl reduction may undergo  $\alpha$ -hydroxylation resulting in the formation of additional genotoxic metabolites (Hecht and Tricker 1999; Atalla and Maser 1999; Ren *et al.* 1999; Hecht *et al.* 1997). Detoxication pathways include glucuronidation of NNAL and pyridine-*N*-oxidation of both NNK and NNAL (Hecht and Tricker 1999; Atalla and Maser 1999; Ren *et al.* 1999; Hecht *et al.* 1997).

TSNA and cigarette smoke condensate are both mutagenic in the Ames assay in the presence of S9 metabolic activation (Palma *et al.* 1989; Lee *et al.* 1996). However, there is no evidence to suggest that the small amount of NNK in cigarette smoke contributes to the mutagenicity observed for cigarette smoke condensate. Approximately 200  $\mu$ g of pure NNK is required to demonstrate mutagenicity in the Ames assay using strain TA1535, the most sensitive strain for base pair mutagens commonly associated with *N*-nitrosamines (Lee *et al.* 1996). The dose of NNK required to elicit a moderate mutagenic response (200  $\mu$ g) is equivalent to the amount of NNK yielded by approximately 2985 Kentucky 1R4F reference cigarettes smoked under standard FTC smoking conditions (Borderding *et al.* 1997). Since the amount of cigarette smoke condensate present in approximately 0.01 1R4F cigarettes (100



**Figure 1.** NNK metabolism pathways based on studies in laboratory animals. (From Hecht and Tricker, 1999.)

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µg of CSC) is sufficient to demonstrate a substantial mutagenic response in the Ames test, it follows that the mutagenic response is not being driven by the level of TSNA in CSC. Furthermore, mainstream smoke from cigarettes generated using low TSNA tobacco failed to demonstrate reduced mutagenic potential within the Ames assay (Doolittle *et al.* 2001). Therefore, several lines of experimental evidence indicate that there are insufficient quantities of TSNA in tobacco smoke to contribute to the mutagenicity of tobacco smoke observed in the Ames test.

#### TUMORIGENICITY OF NNK IN LABORATORY ANIMALS

Tobacco-specific nitrosamines such as NNK are rodent carcinogens (Hecht and Tricker 1999; Hecht *et al.* 1978; Hecht *et al.* 1988; Belinsky *et al.* 1990; Hoffmann *et al.* 1993; Hecht *et al.* 1989; Hecht *et al.* 1986; Hecht *et al.* 1983) when administered in pure form. Hecht *et al.* (1989) has shown that NNK induces lung adenomas in A/J mice in a dose-response manner within 4 months of a single intraperitoneal (i.p.) injection. Tobacco-specific nitrosamines have also been shown to be carcinogenic as a consequence of oral cavity implantation and skin painting (Hecht *et al.* 1986). At present, there are no published data demonstrating TSNA to be carcinogenic via inhalation or respiratory tract exposure.

The fact that systemically administered TSNA produce lung adenomas in rodents have led some investigators to hypothesize that TSNA may be an important risk factor for lung cancer development in smokers (Hecht *et al.* 1989; Hecht *et al.* 1986; Hecht *et al.* 1983). However, extrapolation of the carcinogenic dose used in rodents results in unachievable “pack-year equivalents” per smoker (discussed in next section). Furthermore, a simplistic extrapolation of results obtained with pure TSNA to tobacco smoke is not supported by published animal studies demonstrating that tobacco extracts and/or smoke may actually reduce the carcinogenicity of pure TSNA in rodents (Hecht *et al.* 1986; Finch *et al.* 1996). In one such study, a solution of NNN and NNK solubilized in water and administered to male F344 rats via oral swab induced statistically significant ( $p < 0.05$ ) increases in oral cavity tumors as compared to vehicle control (Hecht *et al.* 1986). However, when the animals received the same dose of NNN and NNK along with snuff extract, there was a statistically significant decrease in oral cavity tumors when compared with animals treated with pure NNN and NNK (Hecht *et al.* 1986).

A recent study has reported that whole-body inhalation exposure of A/J mice to 11% mainstream cigarette smoke and 89% sidestream cigarette smoke, used as an experimental surrogate for environmental tobacco smoke (ETS), resulted in a tumorigenic response provided that a 4 month post-exposure recovery period is incorporated into the experimental design (Witschi *et al.* 1997). A/J mice were similarly exposed to the same surrogate for whole ETS as well as HEPA-filtered ETS surrogate to remove the particulate phase of the smoke, so that the smoke consisted primarily of gas phase constituents (Witschi *et al.* 1997). Both exposures resulted in similar numbers of lung adenomas even though the concentration of NNK (mean

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$\pm$  SD) in the whole ETS surrogate and gas-phase ETS surrogate exposure atmospheres was  $3.9 \pm 3.5$  and  $0.29 \pm 0.28 \mu\text{g}/\text{m}^3$ , respectively. Based on these values, the authors concluded that NNK was not the causative agent in the observed adenomas (Witschi *et al.* 1997). Finally, smoke from cigarettes made with low TSNA tobacco gave essentially the same biological response in a 90-day inhalation study in rats as the smoke from cigarettes made without reduced TSNA tobacco (Kinsler *et al.* 2002). Moreover, a comparative 30-week dermal study using SENCAR mice and comparing the cigarette smoke condensate (CSC) from low TSNA tobacco with the CSC from cigarettes made without reduced TSNA tobacco showed no statistically significant differences in numbers of dermal tumors (Hayes *et al.* 2003).

#### DOSE COMPARISONS OF NNK USED IN ANIMAL STUDIES VERSUS SMOKER EXPOSURE

TSNA have been found to be carcinogenic in the lungs of rats, mice, and hamsters when injected systemically (Hecht *et al.* 1978; Hecht *et al.* 1988; Belinsky *et al.* 1990; Hoffmann *et al.* 1993; Hecht *et al.* 1989; Hecht *et al.* 1986; Hecht *et al.* 1983). However, as shown in Table 1 extrapolation of the carcinogenic dose used in rodent studies results in unachievable “pack-year equivalents” per smoker. Actually, to mimic the mouse exposure data, a smoker would need to smoke all of the cigarettes at once since rodents receive a single injection of NNK. Also, one needs to also assume 100% absorption of NNK from the smoke. These dose calculations are based on an average NNK yield of market full flavor cigarettes smoked under FTC conditions, and serve to compare the dosimetry reported in systemically injected mice versus the dose in cigarettes that a smoker would have to consume. When considering the relevance to human smokers of the doses employed during animal studies, it is important to remember that over 40 years of smoking, a three pack-a-day smoker would smoke 876,000 cigarettes, or 43,800 packs. The 876,000 cigarettes would be approximately 2% to 8% of the 11 to 43 million cigarettes required to yield the dose of NNK reported to be carcinogenic in mice scaled to human body weight.

Some investigators have hypothesized a possible additive effect of individual TSNA in tobacco smoke. In the case of TSNA, there are 182 ng of NAT, 158 ng of NNN, and 135 ng of NNK per typical U.S. market full flavor cigarette (Chepiga *et al.* 2000); the total of all three TSNA would be about 475 ng per cigarette. Even assuming that NNN and NAT are as carcinogenic as NNK in rodents, which they are not (Hoffmann *et al.* 1984; Padma *et al.* 1989), one would still be considering unrealistic “pack-year equivalents” per smoker to yield the doses demonstrated to be carcinogenic in rodents (i.e., 10 to 40 packs per day for 40 years).

A recent study (Djordjevic *et al.* 2000) compared the amounts of NNK delivered to a smoker using the Federal Trade Commission (FTC) specified machine-smoking protocol (35-ml puff volume drawn for 2 s once per min) vs. data from actual smokers. Compared with the FTC protocol values, smokers of low-yield cigarettes

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**Table 1. Extrapolation of rodent bioassay results to human smokers.**

Animal Model	A/J Mouse	
	Minimum Dose	Maximum Dose
Total NNK Dose (mg/25 gram mouse) required to induce significant incidence of lung tumors	0.52 mg	2.07 mg
Total NNK Dose (mg/kg body weight) required to induce significant incidence of lung tumors	20.8 mg	82.8 mg
NNK/Cigarette (Chepiga, 2000)	135 ng	
Total Cigarette Equivalence/kg Mouse Body Weight	~154,000	~613,000
Equivalent Dose of NNK in a 70 kg Smoker	1456 mg	5796 mg
Equivalent Number of Cigarettes	10,785,185	42,933,333
Comparison to Smoker (packs/day for 40 yrs)	37 packs	147 packs
Comparison to Smoker (Years of smoking 2 packs/day)	739 years	2941 years



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( $\leq 0.8$  mg of nicotine per cigarette) and medium-yield cigarettes (0.9 to 1.2 mg of nicotine per cigarette) took statistically significantly larger puffs (48.6 and 44.1 ml, respectively) at statistically significantly shorter intervals (21.2 and 18.5 s, respectively), and drew larger total smoke volumes. Compared with the FTC yield for NNK per cigarette for Kentucky reference 1R4F, values of NNK per cigarette of smokers was approximately 2.5-fold higher for low-yield cigarettes and approximately 3.2-fold higher for medium-yield cigarettes. Using these values, a smoker would still need to smoke two packs per day for more than 300 years to be exposed to the low dose of NNK used in rodent studies and two packs per day for more than 1400 years for the equivalent of the higher dose used in rodent studies.

One of the more significant studies carried out to look at the dose-response relationship of the induction of pulmonary neoplasia in the Fischer 344 rat was by Belinsky *et al.* (1990). The lowest dose of NNK used to induce lung adenomas was 6 mg/kg, which is equivalent to over 44 thousand cigarettes/kg using 135 ng NNK/cigarette, as stated previously. The authors stated that this dose of NNK was similar to the dose of NNK that a smoker would be exposed to during a lifetime of smoking. This calculation apparently assumes that a smoker consumes 10 packs/day for 40 years.

#### INHIBITION OF THE BIOLOGICAL ACTIVITY OF NNK BY TOBACCO SMOKE AND SELECTED CONSTITUENTS

The tobacco-specific nitrosamine, NNK, requires metabolic activation to express its carcinogenic effects. However, there are competing detoxication pathways (Hecht 1994). The major metabolic pathway for NNK (in most tissues) involves conversion to NNAL via reduction of the NNK carbonyl group (Figure 1). This reaction occurs rapidly in rodents, primates, and human tissues (Smith *et al.* 1992; Castonguay *et al.* 1983).  $\alpha$ -Hydroxylation of the methylene groups adjacent to the *N*-nitroso nitrogen of NNK and NNAL yields the corresponding keto acid and hydroxy acid, with liberation of the methylating agent, methanediazohydroxide.  $\alpha$ -Hydroxylation of the methyl group in NNK ultimately yields the keto alcohol (also referred to as HPB), which can be oxidized to keto acid. The reactive intermediate,  $\alpha$ -hydroxymethyl-NNK can decompose and react by pyridyloxobutylation of DNA and hemoglobin to form HPB-releasing adducts.  $\alpha$ -Methyl hydroxylation of NNAL produces the major end product of 4-(3-pyridyl)butane-1,4-diol (diol), with no existing evidence to suggest that this metabolic pathway results in adduct formation (Richter *et al.* 2000). NNK and NNAL can be pyridine *N*-oxidized to form either the NNK or NNAL-*N*-oxide or can be conjugated to form NNAL-glucuronide, all of which are nongenotoxic metabolites that are readily excreted in urine.

Nicotine, cotinine, cigarette smoke, and aqueous cigarette "tar" extract (ACTE) have all been shown to inhibit the  $\alpha$ -hydroxylation of NNK. Nicotine, as well as NNN and NAT demonstrate a dose-dependent inhibition of *in vitro*  $\alpha$ -hydroxylation of NNK within rat oral tissue (Murphy and Heiblum 1990). Nicotine and cotinine both

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reduce NNK metabolic activation by  $\alpha$ -hydroxylation in the isolated and perfused rat liver, but not in the isolated and perfused rat lung (Schulze *et al.* 1998). Nicotine significantly reduces *in vivo* metabolic activation of NNK and excretion of  $\alpha$ -hydroxylation metabolites (Richter and Tricker 1994), as well as significantly reduces [5-<sup>3</sup>H]NNK binding of radioactivity (pyridyloxobutylation) to rat hemoglobin (Kutzer *et al.* 1994). Nicotine inhibits *in vitro*  $\alpha$ -hydroxylation of NNK and protein binding (pyridyloxobutylation) in hamster lung explants (Schuller *et al.* 1991) and hepatic microsomal proteins (Castonguay and Rossignol 1992). Similar effects may occur *in vivo* since co-administration of nicotine results in a significant inhibition of NNK  $\alpha$ -hydroxylation in the hamster (Richter *et al.* 2000). Compared to other rodent species, the hamster is relatively insensitive to NNK-induced lung tumorigenesis (Richter *et al.* 2000), most likely a consequence of limited NNK  $\alpha$ -hydroxylation in the lung (Richter *et al.* 2000).

Lee *et al.* (1996) evaluated the mutagenicity of *N*-nitrosamines in the presence of nicotine and other structurally similar pyridine alkaloids. NNK, *N*-nitrosodimethylamine (NDMA), and NNN were tested in the Ames *Salmonella typhimurium* assay (in the presence of a metabolic activation system, S9) using strain TA1535, the most sensitive strain for base pair mutagens such as *N*-nitrosamines (Padma *et al.* 1989; Lee *et al.* 1996; Yahagi *et al.* 1977). Nicotine, cotinine, and aqueous cigarette "tar" extract (ACTE) all inhibited the mutagenicity of NDMA and NNK, while NNN mutagenicity was not affected. The induction of sister chromatid exchanges (SCE) in mammalian cells (CHO) by NNK in the presence of metabolic activation also was reduced significantly by nicotine and cotinine. Therefore, consistent with metabolism studies, nicotine and other tobacco constituents effectively inhibit the mutagenicity of NNK (Lee *et al.* 1996; Richter and Tricker 1994; Kutzer *et al.* 1994; Schuller *et al.* 1991).

While nicotine clearly inhibits the mutagenicity of NNK, other tobacco smoke constituents can also play a significant role. ACTE (aqueous cigarette tar extract), prepared from de-nicotinized cigarettes (containing significantly less nicotine [~0.08 mg/cig] than the Kentucky 1R4F reference cigarette [~0.9 mg/cig]) was tested for its effect on NNK mutagenicity (Lee *et al.* 1996). The inhibitory effects were almost identical suggesting that the inhibitory effect of ACTE on the mutagenicity of NNK is attributable to water-soluble constituents of cigarette smoke (Lee *et al.* 1996). The specific agent(s) in ACTE responsible for the inhibition of mutagenicity have not yet been identified.

NNAL is a potent pulmonary carcinogen in mice and rats (Hoffmann *et al.* 1993; Hecht *et al.* 1990) and is mutagenic in the Ames bacterial mutagenesis assay (Yahagi *et al.* 1977; Brown *et al.* 2001). Given the structural similarity between NNK and NNAL, and the metabolic activation of both by cytochromes P<sub>450</sub>, we hypothesized that there may be a similar inhibition of NNAL metabolism, and consequently, inhibition of the mutagenic activity of NNAL by tobacco smoke and its pyridine alkaloid constituents. In a recent study, we evaluated the ability of two pyridine alkaloids (nicotine and cotinine), as well as ACTE to inhibit the mutagenicity of NNAL as assessed by *Salmonella typhimurium* strain TA1535 in the presence of a

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metabolic activation system (S9) (Brown *et al.* 2001). Both pyridine alkaloids tested, as well as ACTE, inhibited the mutagenicity of NNAL in a concentration-dependent manner. These results demonstrate that tobacco smoke contains pyridine alkaloids, as well as other unidentified constituents that inhibit the mutagenicity of NNAL, a major metabolite of NNK (Brown *et al.* 2001). Due to the presence of these modulating agents in cigarette smoke, the biologically reactive dose of NNAL from cigarette smoking is likely to be much lower than predicted from studies comparing the biological activity of pure NNAL with plasma concentrations of NNAL.

A single intraperitoneal injection of NNK induces the formation of *O*<sup>6</sup>-methylguanine in A/J mouse lung DNA (Brown *et al.* 1999; Peterson and Hecht 1991). *O*<sup>6</sup>-MeG is a promutagenic base that induces guanine (G) to adenine (A) transition (Ronai *et al.* 1993). Any inhibition of the P<sub>450</sub>-mediated  $\alpha$ -hydroxylation reaction would be expected to reduce the formation of DNA-reactive species from TSNA, hence reducing genotoxic, mutagenic, and tumorigenic activities. Exposure of A/J mice to mainstream cigarette smoke (0, 400, 600, or 800 mg TSP/m<sup>3</sup>) did not result in detectable levels of *O*<sup>6</sup>-MeG in either lung or liver (Figure 2) (Brown *et al.* 1999). Moreover, A/J mice co-exposed to mainstream smoke (0, 400, 600, or 800 mg TSP/m<sup>3</sup>) and a single i.p. administration of NNK (0, 3.75, or 7.5  $\mu$ mol/mouse, sufficient to induce significant levels of *O*<sup>6</sup>-MeG adducts) resulted in a significant dose-dependent reduction in NNK-induced lung and liver *O*<sup>6</sup>-MeG (Figure 3) (Brown *et al.* 1999).

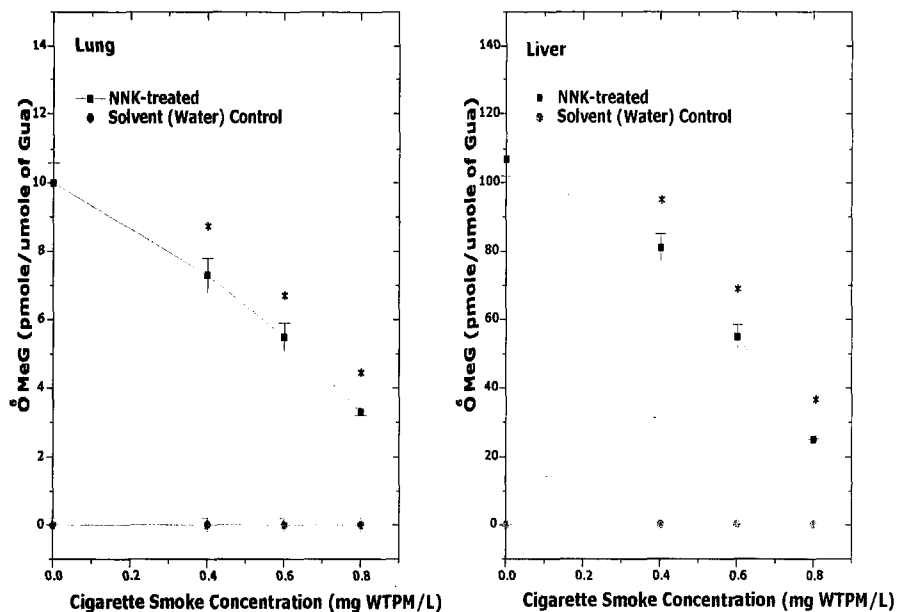
In a recent study designed to study metabolic inhibition/competition, A/J mice were exposed to mainstream cigarette smoke from the 1R4F cigarette (600 mg TSP/m<sup>3</sup>) for 2 h, followed by a single i.p. injection of NNK (7.5  $\mu$ mol/mouse). Results from these studies demonstrated that tobacco smoke exposure significantly reduced NNK metabolic activation to the hydroxy acid and keto acid by 15% ( $p=0.0029$ ) and 42% ( $p<0.0001$ ), respectively, compared with sham-exposed (control) animals (Brown *et al.* 2001). Thus, co-administration of cigarette smoke reduces the metabolic activation of NNK (via  $\alpha$ -hydroxylation) to DNA-reactive methylating species, a critical step in the induction of lung tumorigenesis in the A/J mouse.

Finally, phenethyl isothiocyanate (PEITC) is an effective inhibitor of lung tumorigenesis induced in rats and mice by the tobacco-specific carcinogen NNK (Hecht *et al.* 2000). However, studies have failed to demonstrate a protective effect for PEITC on tobacco smoke carcinogenesis in rodent models (Witschi *et al.* 1998; Witschi *et al.* 1999) providing additional evidence that NNK is not the causative agent in animal models of tobacco-smoke carcinogenesis.

#### HUMAN BIOMARKERS OF NNK METABOLISM

Although some have assumed that NNK metabolism is similar in laboratory rodents and in man, recent data do not support this assumption. Studies examining urinary metabolites of nicotine, NNK, NNN, and NNAL in rats (when compared with humans) revealed significant differences (Trushin and Hecht 1999; Hecht *et al.*

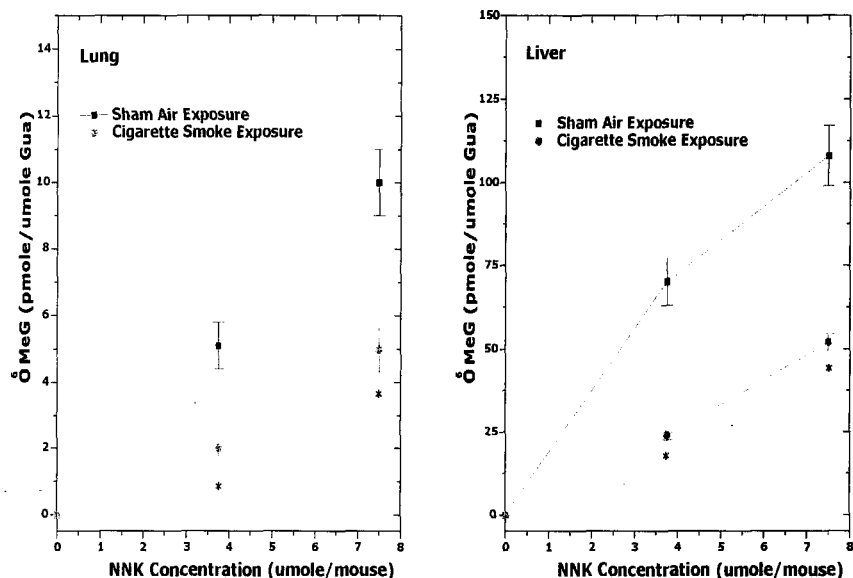
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**Figure 2.** Dose-dependent reduction of O<sup>6</sup>-MeG concentration by 1R4F cigarette smoke in A/J mice. Mice received a one-time, nose-only inhalation exposure of 1R4F cigarette smoke at 0, 0.4, 0.6, or 0.8 mg WTPM/L for 2 h to study the potential of cigarette smoke to inhibit NNK-induced O<sup>6</sup>-MeG formation. The dosing of NNK (7.5  $\mu$ mol/mouse, ip) was performed at the midpoint of the 2-h exposure. Mice were euthanized 4 h after NNK treatment and lung and liver DNA was analyzed for O<sup>6</sup>-MeG by HPLC. (Mean  $\pm$  SE; n = 18; \* =  $p < 0.05$ ). (From Brown *et al.* 1999.)

1999). An initial hypothesis of these studies was that urinary (S)-hydroxy acid could be a potential urinary biomarker of NNK and NNN  $\alpha$ -hydroxylation in smokers. However, researchers discovered that the metabolism was significantly different between rodents and humans. For example, in the rat it is possible to distinguish the hydroxy acid derived from nicotine from that derived from TSNA (Trushin and Hecht 1999); this was not possible in humans (Hecht *et al.* 1999). Furthermore, when metabolism of NNK within precision-cut rodent and human liver and lung slices was compared, metabolism to NNAL was significantly higher in human tissues than in rodent tissues (Castonguay *et al.* 1983).

Incubation of NNK (3 to 10  $\mu$ M) with microsomes from human liver (Staretz *et al.* 1997) and lung (Smith *et al.* 1995) yields at least 95% NNAL, with little evidence of metabolism via  $\alpha$ -hydroxylation, the predominant pathway in rodents (Hecht and Tricker 1999). Recent *in vitro* studies report that human buccal mucosa predominantly reduces NNK to NNAL (95 to 99%), in addition to metabolism via  $\alpha$ -hydroxylation (0.6 to 3.8%) and pyridyl N-oxidation (0.3 to 2.2%) (Liu *et al.* 1993). In a study utilizing human lung slices (Castonguay *et al.* 1983), lung tissue

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**Figure 3.** Effect of Kentucky reference 1R4F cigarette smoke (0.6 mg WTPM/L) on the lung and liver concentrations of O<sup>6</sup>-MeG in NNK-treated A/J mice. Mice received a one-time, nose-only inhalation regimen of either HEPA-filtered and humidified air (control) or 1R4F cigarette smoke at the previously determined MNLD (0.6 mg WTPM/L) for 2 h to monitor the effect of cigarette smoke on the concentration of O<sup>6</sup>-MeG in mice treated with NNK. A single ip dose of NNK (0, 3.75, or 7.5  $\mu$ mol/mouse) was administered to mice at the midpoint of the 2-h exposure. Mice were euthanized 4 h after the NNK treatment, lung and liver DNA were analyzed for O<sup>6</sup>-MeG by HPLC. (Mean  $\pm$  SE; n = 18; \* =  $p < 0.05$ ). (From Brown *et al.* 1999.)

demonstrated a low capacity to metabolize NNK. Metabolism proceeded mainly via a low  $K_m$  (high affinity) reduction to NNAL ( $K_m$  0.5  $\mu$ M;  $V_{max}$  388 fmol/min/mg protein and  $K_m$  39;  $V_{max}$  21380), with a lower potential to form methylating and/or pyridyloxobutylating species. Consistent with this, 7-methyl-2-deoxyguanosine DNA adduct levels in the lungs of smokers (and nonsmokers) cannot be explained by differences in tobacco exposure, with pyridyloxobutylation undetectable in smokers' lungs (Blomeke *et al.* 1996). At a plausible level of NNK exposure,  $\alpha$ -hydroxylation to the keto acid ( $K_m$  690;  $V_{max}$  13390) in the liver is unlikely due to the low  $K_m$  high-affinity reduction to NNAL ( $K_m$  0.6;  $V_{max}$  254 and  $K_m$  44;  $V_{max}$  11340) (Castonguay *et al.* 1983).

Hemoglobin (Hb) adducts from TSNA have been suggested as biomarkers of exposure for both tobacco smoke and smokeless tobacco. The metabolic activation of both NNK and NNN results in a common Hb adduct, releasing 4-hydroxy-1-(3-pyridyl)-1-butanone (HPB) after alkaline hydrolysis. Initial biomonitoring studies reported adduct levels significantly higher in the blood of smokers than in non-

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smokers (Carmella *et al.* 1990; Falter *et al.* 1994; Atawodi *et al.* 1998), while Hb adduct levels were significantly higher for users of smokeless tobacco when compared with smokers in two of the studies (Carmella *et al.* 1990; Falter *et al.* 1994). Urinary excretion of the NNK metabolites, NNAL and NNAL-Gluc are about 120 times higher in smokers than in nonsmokers, but HPB-releasing hemoglobin adducts derived from NNK and NNN in both smokers and nonsmokers are frequently not much higher than assay background amounts (Hecht and Tricker 1999). In a study in which smokers and smokeless tobacco users demonstrated approximately the same level of NNK and NNN uptake, the mean adduct level was approximately 7 times higher in smokeless tobacco users than in smokers (Carmella *et al.* 1990; Falter *et al.* 1994). This leads to the conclusion that adduct levels in smokers may be lower than expected due to induction of metabolizing enzymes that detoxify TSNA in smokers (Falter *et al.* 1994; Atawodi *et al.* 1998) and/or that TSNA activation is inhibited by other smoke constituents (Lee *et al.* 1996; Brown *et al.* 2001; Brown *et al.* 1999; Brown *et al.* 2001).

### CONCLUSION

Is it prudent to reduce NNK and other TSNA in tobacco products? Sure it is, to the extent possible. Reduced TSNA in tobacco products will result in reduced exposure to TSNA. Will such reduction mean a reduced cancer risk? That cannot be determined until smokers have used reduced TSNA products for several years.

A review of the scientific literature suggests the following: (1) NNK, a tobacco-specific nitrosamine, is found in cured tobacco and in tobacco smoke; (2) in pure form, NNK is toxic and mutagenic to cultured cells *in vitro*; (3) in pure form, NNK is carcinogenic in experimental animals; (4) extrapolated to man and based on the minimum amount of NNK required to cause tumors in A/J mice (the most sensitive rodent model), the amount of NNK found in the smoke from millions of cigarettes would be required to provide a carcinogenic equivalent to smokers; (5) the mutagenicity and carcinogenicity of NNK can be inhibited by nicotine and cotinine, as well as additional unidentified constituents of cigarette smoke; and (6) CSC or smoke from reduced TSNA cigarettes is similar in toxicity, mutagenicity, and carcinogenicity to CSC or smoke from cigarettes with current levels of TSNA.

Based on our review of the published literature, we conclude that there is neither direct nor convincing evidence that NNK or TSNA *in toto* play a significant role in the increased risk of lung cancer associated with cigarette smoking. Furthermore, there is no compelling experimental evidence that reducing the levels of TSNA in tobacco smoke will have a significant impact on the lung cancer risks associated with cigarette smoking.

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