

EXAMINING AMBIGUITIES IN THE AUTOMATIC PACKET REPORTING SYSTEM

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ABSTRACT

Examining Ambiguities in the Automatic Packet Reporting System

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The Automatic Packet Reporting System (APRS) is an amateur radio packet network that has evolved over the last several decades in tandem with, and then arguably beyond, the lifetime of other VHF/UHF amateur packet networks, to the point where it is one of very few packet networks left on the amateur VHF/UHF bands. This is proving to be problematic due to the loss of institutional knowledge as older amateur radio operators who designed and built APRS and other AX.25-based packet networks abandon the hobby or pass away. The purpose of this document is to collect and curate a sufficient body of knowledge to ensure the continued usefulness of the APRS network, and re-examining the engineering decisions made during the network's evolution to look for possible improvements and identify deficiencies in documentation of the existing network.

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1 Preface

Like any major research endeavor, this thesis certainly didn't start anywhere near where it ended. Most of the credit for the genesis for this thesis needs to be given to Sivan Toledo, 4X6IZ, from Tel-Aviv University. In 2012 he wrote an article in the amateur radio QEX technical journal where he explored improving the soundcard digital signal processing modem used for amateur packet radio by passing the original signals through a series of band-pass filters [31]. His improvements were commendable, and his article was very well written, but what bothered me was that more than three decades after the inception of amateur packet radio, we are still seeing measurable improvement in the modems we use for the original modulation techniques.

This thesis started with me wanting to tear apart the current state of the various Bell 202 modems used in amateur radio, build a quantitative model of the kinds of interference and distortion that each modem handled well, and hopefully design a new signal processing algorithm that showed immunity to the most common forms of interference on real-world channels. Sevan's work in JAVA showed promise, but while his library is useful on desktop computers and Android devices, it left out in the cold the many different 8, 16, and 32 bit fixed-point microcontrollers that are often used for embedded modems in amateur radio projects.

As I started to examine the specifications for the various network layers used in the amateur Automatic Packet Reporting System (namely Bell 202, AX.25, and APRS), I grew increasingly shocked and confused when I kept finding that the documentation I was looking for was poorly written or simply didn't exist. Protocol specifications would identify variables critical for network performance, and then never give guidance on what the actual value should be. Many of the documents on the expected behavior for network nodes consist solely of console commands to be run on specific pieces of discontinued hardware instead

of actual protocol behavior. Most articles discussing aspects of the network disagreed with other documentation on specific details, and was often internally inconsistent as well.

The final turning point was an interview in March, 2014 with Scott Miller, the designer for Open Trackers, which are one of the more popular lines of contemporary modems used in the APRS network. I brought a laundry list of inconsistencies from the network specs and he explained how much effort he had put into reverse engineering the existing hardware. It was an eye-opening conversation that drove home how much the amateur packet network has grown haphazardly over the past three decades into a jumble of band-aids applied upon band-aids.

I realized that the most important academic research on the topic of APRS isn't how to squeeze out another incremental improvement in one of the modem DSP algorithms, but an over-arching prolegomenon on the entire network stack as it actually exists today. The existing documentation clearly falls short, and much of the institutional knowledge that I've been able to draw on during my research is coming from the "old guard" of the hobby, which leaves us exposed to the labor intensive requirement of newcomers to the network to reverse engineer the existing network before they can participate.

Ideally, this document would be able to stand by itself as a complete "implementer's guide to APRS" from the physical layer all the way up to high level aggregate network behavior, but the scope of reverse engineering that much behavior, documenting it, and then verifying the documentation quickly becomes monumental. It is my hope that this document does at least identify the most glaring short-falls in the current documentation and network design, and gives answers to the questions that can be answered while staying within the scope of this survey.

For every identified problem which is answered in this paper, there are twice as many unanswered questions which each warrant being considered as a thesis of their own.

2 Introduction

The Automatic Packet Reporting System (APRS) is an amateur radio packet network designed to provide each participating node a local view of the tactical environment based on each node beaconing its current status and advertising any other local resources known to exist.

Exactly what types of resources should be advertised on a local APRS network is left to the discretion of the local network coordinators, but a typical APRS network would advertise information such as:

- The location of amateur radio operators and what frequencies they are using for voice communications.
- The location, frequency, and access information for voice repeaters.
- The location and status of APRS digital repeater nodes.
- The location and access information for other packet networks such as BBSes, Winlink nodes, or open Internet access points.
- The location and status of useful facilities such as rest stops or resupply points for food and water.
- Telemetry from sensors such as weather stations or remote site monitoring equipment.
- Short real-time messages and announcements directed at other amateur radio operators.

Despite these flexible capabilities, and much to the chagrin of many of the original designers of APRS, the vast majority of user traffic on the APRS network consists solely of real-

time Automatic Vehicle Location (AVL). Fittingly, it follows that one of the hotbeds for APRS network congestion is the Los Angeles basin, due to its bowl-shaped geography and unusually high population density. [10] When discussing specifics of the APRS network, such as how often to send traffic or how many hops to route it over, LA invariably comes up as a counter-example that under-cuts any specific guidance on what to expect from the network.

The author is more interested in being able to make concise statements about APRS in general than construct an entirely exhaustive analysis, so the reader need only appreciate that places like LA are the exception to the rules. Any readers operating in the LA basin have the author's heart-felt condolences, but need look elsewhere for definitive guidance on operating in such a unique part of the APRS network.

2.1 History of APRS

APRS was created as an evolution of the AX.25 packet networks built throughout the amateur community during the 1980s and 1990s and the Connectionless Emergency Traffic System (CETS) built by Bob Bruninga during the early 1980s to map Navy position reports.

Near-ubiquitous access to the Internet caused the decline in local BBS systems and AX.25 TCP/IP networks during the 1990s, but APRS has continued to enjoy a growing user-base due to it filling a unique application of amateur packet radio to local short-lived communication. The 1200 baud Bell 202 modems used for AX.25 are often bemoaned for having such a low data rate, but proves to be plenty of bandwidth for the short periodic text messages involved in APRS.

APRS supports basic communication between stations via node to node text messages and comment field status updates, but should not be considered a communications network to an end, but a way to be made aware of the other assets in the local area made available to support amateur radio operations.

Since APRS is built upon the relatively slow 1200bps AX.25 VHF packet network and the channel sharing concepts developed for the ALOHAnet at the University of Hawaii, the amount of traffic and the number of stations that it is possible to successfully support on a

single regional network is severely limited. A typical APRS network is considered successful if a single node can use it to discover the 60 closest other assets on the network in a 10-30 minute time frame. Trying to advertise information beyond this “ALOHA circle” consisting of the 60 closest stations exceeds the operational objective of the APRS network and usually proves to only be detrimental to the network and other users as network throughput is consumed by advertisements for resources beyond the radius of interest for the local operator.

2.2 Physical Topology and Hardware

A typical APRS network consists of three types of stations:

- Trackers - Mobile radios, often installed in vehicles with a GPS receiver, that periodically advertise their physical location along with any additional information including what other frequencies the operator is listening on.¹
- Digipeaters (digis) - Half-duplex digital repeaters that build the backbone of an APRS network, allowing stations to interact with other stations beyond their immediate radio range. This is done by immediately repeating any received packets which request being repeated from the digipeater’s higher location.
- Internet gateways (I-gates) - Stations usually installed in homes that act as bridges between the local APRS network on RF and the world-wide APRS-IS (Internet System) network, which uses the Internet to aggregate and route all of the APRS traffic generated in each local network to one unified network.

As each tracker beacons its information for the local network, it is repeated by the digipeaters for consumption by other local stations, and gatewayed to the Internet by any I-gates that receive the packet along the way. Figure 2.1 shows the typical path of a packet from a low-powered handheld tracker as it moves throughout the local APRS network. It’s not unusual for battery-powered trackers to only output one to five watts of RF power, which limits their range to any stations immediately around them. Since digipeaters often

¹The term tracker will be used in this paper to encompass all APRS nodes which move throughout the network, not limited to those without receivers as the term is often used.

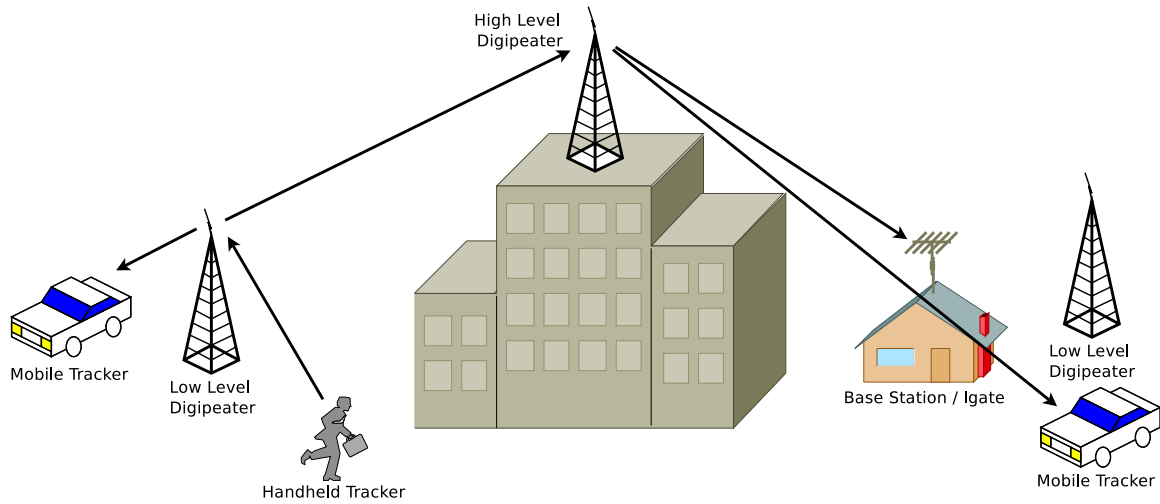


Figure 2.1. Example packet path from a handheld APRS tracker

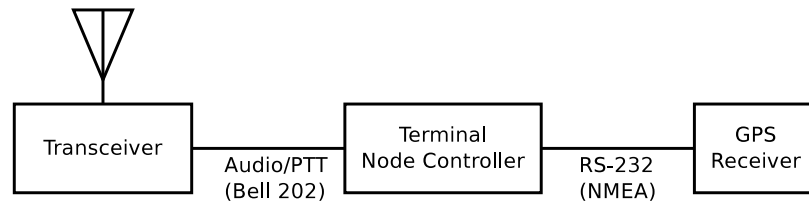


Figure 2.2. Block diagram for typical APRS tracker

have higher power transmitters with high-quality antennas, they can repeat packets from these low-power trackers and greatly increase their range and usefulness.

2.2.1 Station Block Diagrams

Like most aspects of the APRS network, there are many options when assembling an APRS node. This section presents block diagrams for the three most common ways to assemble trackers, digipeaters, and I-gates, but additional permutations of these and entirely different topologies are possible.

Blocks presented as dotted lines are optional. The “Transceiver” blocks refer to VHF FM amateur radios. “Terminal Node Controllers” (TNC) are the modems and radio interfaces with minimal embedded intelligence. These blocks and the labeled protocols between blocks will be expanded upon in later chapters.

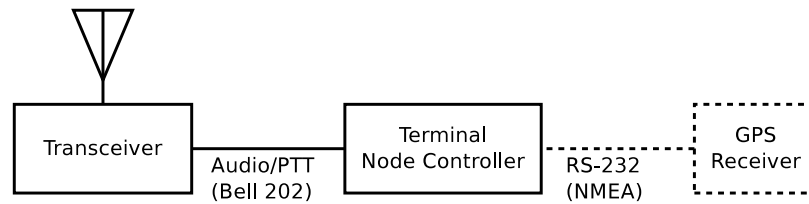


Figure 2.3. Block diagram for typical APRS Digipeater

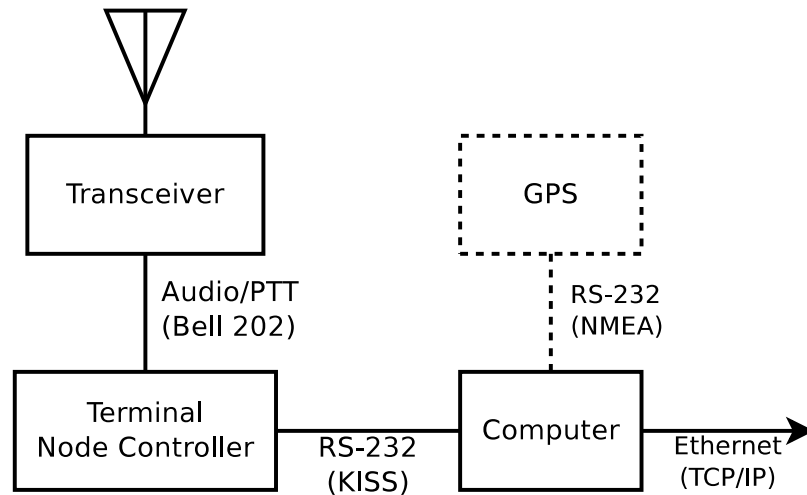


Figure 2.4. Block diagram for typical APRS Internet Gateway

Figure 2.2 shows the block diagram for an APRS tracker, which is built around a Terminal Node Controller which parses NEMA positions provided by a GPS receiver and converts them into APRS position reports that are then frequency shift modulated and sent to a VHF FM voice transceiver using an interface cable that includes transmit and receive audio, as well as a line to key the “push to talk” button on the radio to start transmitting.

Figure 2.3 shows the block diagram for a digital repeater, which is similar to a tracker except that the GPS receiver is often omitted. Since the digipeater is always installed in a fixed location, its GPS coordinates can be hard-coded into non-volatile memory in the TNC.

Figure 2.4 shows the block diagram for an Internet Gateway (I-gate), which like the digipeater doesn’t require a GPS receiver. Unlike the digipeater, instead of sending received packets back out through the VHF transceiver, I-gates send received packets to the APRS-IS Internet System via a local connection to the Internet.

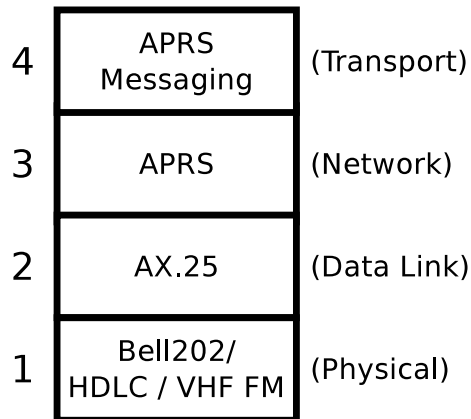


Figure 2.5. The OSI network model as applied to APRS in this paper

2.3 Document Overview

The rest of this document is going to start at the bottom of the APRS protocol stack and work its way up, touching on each layer with an introduction and some basic analysis. Ideally, this document would answer all of the ambiguities existing in the APRS network protocols, but many of the issues that will be touched upon deserve an entire masters thesis of their own, and therefore will often be noted as simply deficient before moving on.

The rest of this document will be divided into three parts based on the bottom three layers of the Open Systems Interconnection (OSI) model, to separately discuss issues found on each of these layers of the APRS network stack. Figure 2.5 shows how the most popular protocols used on APRS will be mapped to the OSI model, including the APRS messaging system which will not be further mentioned due to it being a relatively unimportant part of APRS.

Part I will cover the Bell 202 modem used to encode APRS on the VHF packet channels and the KISS protocol used to connect modems to host devices such as computers. Chapter 3 will go into an unusual amount of detail since a specification document for Amateur Bell 202 was never written and therefore will likely prove to be one of the more significant contributions of this paper to the field.

Part II will touch on what could be called the data link layer of APRS. It will start with an introduction to the concept of a terminal node controller, move into how the AX.25

protocol has been modified for APRS, and finally discuss the digipeater behavior needed to flood packets from their originating stations throughout the network.

Finally, part III will discuss the popular algorithms used to determine how and when an individual node should send packets to the network, and introduce some simple models for the expected capacity of a typical APRS network.

This paper will not consider the higher ISO layers of APRS, such as the guaranteed delivery mechanism provided by APRS Messaging, for the sake of maintaining focus on the most pressing deficiencies in the current documentation of the network. It needs to be stressed that this document will be far from complete, and should not be mistaken as a comprehensive treatise on any of the subjects it considers. It's a strong start, but there is still plenty of work to be done.

OSI Layer 1 — Physical

3 Amateur Bell 202

This chapter considers the most popular modulation used for APRS on RF, Amateur Bell 202. One of the major features of APRS is that large areas have standardized on single VHF packet frequencies using this very-popular Amateur Bell 202 modulation. This is what enables APRS tracker to move throughout the United States while beaconing on 144.390MHz and always be able to participate in the local APRS network. Surprisingly, despite its age, this modulation still suffers from much confusion in its documentation, so the primary points made in this chapter will be:

- Pointing out that what amateur radio operators call “Bell 202” implicitly extends well beyond the original Bell 202 specification. Therefore, the new term “Amateur Bell 202” is proposed to differentiate between the entire modem and the underlying modulation.
- Drawing a new line between AX.25 and Amateur Bell 202 to make it clear that error detection is a concern for the modem and not the data link protocol.
- Presenting a reference implementation of the checksum used to detect transmission errors in Amateur Bell 202 frames.
- Discussing how the baseband modem signal should be modulated using VHF voice radios and some challenges this presents to modem performance.

Despite Amateur Bell 202 as it is used in APRS often being decried for its age and vastly outliving its usefulness, it can’t be denied that it is still an integral part of the amateur radio digital communications landscape. While its deficiencies dictate that Amateur Bell 202 will rarely be the best choice for new packet radio networks, its simplicity causes Amateur Bell 202 to be an appealing gateway into APRS and the digital communications hobby.

3.1 Bell 202 in Amateur Radio

Bell 202 is an audio frequency shift keyed (AFSK) modulation that encodes data by shifting a 1700Hz carrier down and up 500Hz (which produces 1200Hz and 2200Hz tones). These tones represent a binary one and zero respectively and transitions occur at a rate of 1200 symbols per second. Originally developed by AT&T for use on the telephone network [4], Bell 202 became popular among amateur radio operators due to the abundance of Bell 202 modem chipsets available in 1981 when the FCC authorized amateur packet operations in the United States [17].

There isn't a particularly clean mapping of packet radio protocols to the seven layers of the OSI Network Model, but one can be formed to help clarify references to the different layers in this paper. Figure 3.1 shows the ISO model which will be used in the rest of this paper. Due to Amateur radio operators using Bell 202 as the modem below AX.25, which is a derivative of the X.25 network protocol [6, §1.1], the physical layer implicitly includes the High-Level Data Link Control (HDLC) protocol for framing and bit stuffing [22]. Since using HDLC with Bell 202 modems is so implicit in amateur radio systems, the Layer 1 packet protocol should be called "Amateur Bell 202," to distinguish it from the original Bell 202 developed by AT&T.

One implication of using HDLC is that frames are not encoded using the 1200Hz mark and 2200Hz space symbols of traditional Bell 202. Instead it uses an inverted non-return

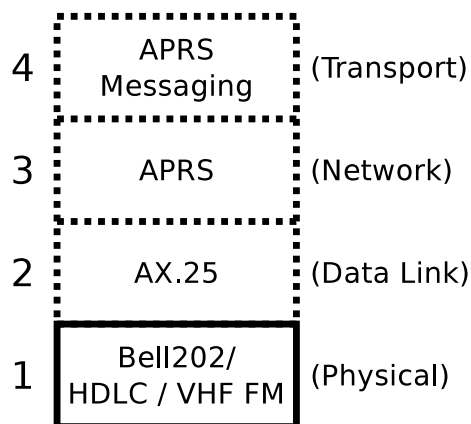


Figure 3.1. This paper refers to the entirety of OSI Layer 1 as "Amateur Bell 202"

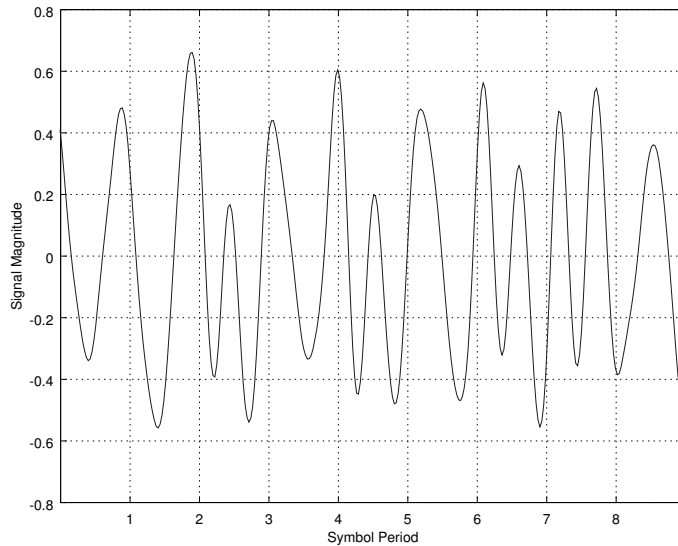


Figure 3.2. Amateur Bell 202 signal representing the ASCII letter A (0x41)

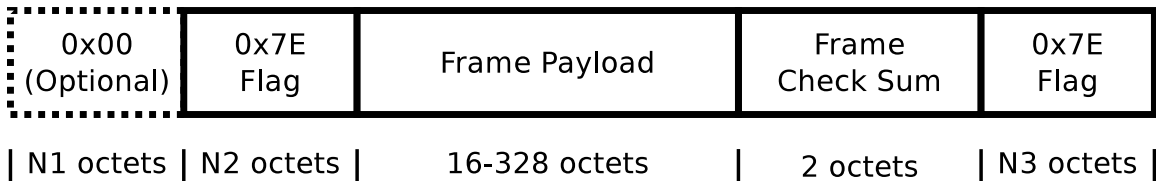


Figure 3.3. Amateur Bell 202/HDLC frame format

to zero (NRZI) encoding, which calls for zeros in the original bit stream to be encoded as a continuous-phase frequency transition between consecutive symbols, while ones are encoded as the lack of a frequency change between two symbols [30]. Figure 3.2 shows a typical Amateur Bell 202 signal representing the ASCII letter “A,” starting with the least significant bit.

3.2 Amateur Bell 202 Transmission Format

Figure 3.3 shows the format of a typical single-frame Amateur Bell 202 transmission, as it is based on HDLC. There are a number of important facets to note:

- The leading 0x00 octets are mentioned in very few documents discussing Amateur

Bell 202, yet they reportedly improve modem throughput [21][29]. 0x00 encoded in NRZI causes a symbol transition every clock cycle and thus provides a more effective clock synchronization target than the originally specified 0x7E octets. 0x7E is actually the longest allowable string of 1s in the frame and therefore has the lowest amount of energy at the clocking frequency, which causes it to be the *worst* octet for asynchronous clock recovery.

- The octet 0x7E is used to indicate the beginning and end of HDLC frames. There is little guidance on the number of flag octets needed before or after frames in Amateur Bell 202 (represented by N2 and N3 in Figure 3.3) beyond stating that there must be at least one of each.
- The sum of N1 and N2 is variable in most modems via the “TXDelay” parameter, which specifies how long the preamble should be, in 10ms increments.
- It is permissible to encode multiple frames per transmission, yet there is no guidance as to how many octets of 0x7E should be included between them; most modems insert several 0x7E octets between frames.¹ Tests indicate that many demodulators are sensitive to the number of flags before, between, and after frames as mentioned in the last three points. Finding definitive minimums would require testing a representative sample of the popular Amateur Bell 202 modems, which is beyond the author’s resources.
- The frame payload and frame checksum must be modified such that no string of more than five 1’s happen to appear in a row. This is done by “bit-stuffing” the transmitted bitstream by appending a zero after any string of five ones at the transmitter, and subsequently dropping this zero following five ones at the receiver.²
- Every octet is encoded and transmitted least-significant bit first, except for the CRC-16-CCITT frame checksum, which is transmitted big-endian and most-significant bit first. [6, §3.8], [16, §8.1.1-2], [22]. See Section 3.2.2 for further discussion.

¹For a specific example, the Argent Data OT3m TNC with firmware r56474 inserts 3 flags before a frame, 7 flags between two frames, and 5 flags after the final frame.

²Six ones in a row represent a 0x7E flag indicating the end of a frame or an idle carrier. Seven or more ones in a row indicate an invalid channel state that shouldn’t happen, but regularly does, so modems must be able to handle arbitrary strings of ones gracefully.

- The minimum and maximum payload sizes indicated in Figure 3.3 aren't enforced by any properties of Bell 202 or HDLC, but from the Maximum Transmission Unit (MTU) specified in the Layer 2 AX.25 network protocol. Larger frames are possible and were often used in specialized AX.25 and IPv4 packet networks [24]. A practical upper limit on frame size is enforced by the need for successful frames to be completely error-free.

3.2.1 Excluding HDLC from Layer 2 AX.25

It is important to note that the presentation of the HDLC framing and checksum in Figure 3.3 as part of the Layer 1 modulation instead of as part of the Layer 2 AX.25 frame is novel to this work and hasn't been seen in any of the existing literature. This classification isn't consistent with the traditional OSI model, but the author's main objective is to decouple HDLC from AX.25. This change is suggested because including the frame checksum and flags in the Layer 2 documentation confuses the separation between Amateur Bell 202 and AX.25, which should be independent protocols.

This is particularly important when AX.25 packets are transported across other Layer 1 links which use their own framing protocols. The Keep It Simple Stupid (KISS) serial link between a host system and modem is the most notable transport where the HDLC fields are not included in outgoing frames, and are instead post-facto generated by the modem during transmission³ [12]. M. Chepponis and P. Karn made the technically correct decision of excluding HDLC framing from the AX.25 payload when developing KISS, but this causes an unfortunate situation where KISS is transporting an entirely undocumented fragment of the AX.25 packet. Figure 3.4 presents the AX.25 Layer 2 protocol as it should be documented without the Layer 1 HDLC framing.

Removing HDLC from AX.25 also permits any researchers developing new amateur radio packet modems to select a different framing protocol with more desirable properties, such as forward error correction.

³The opposite is also true; incoming frames with correct checksums have them stripped, and incorrect checksums cause the frame to be dropped and never transported over the KISS link.

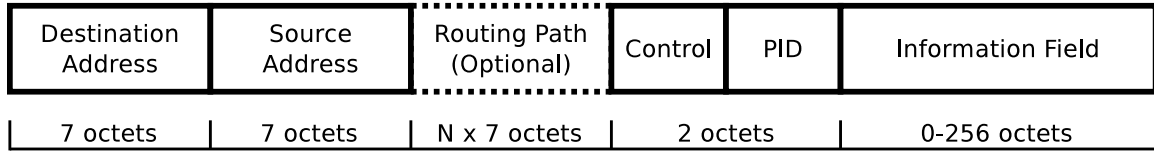


Figure 3.4. Modified AX.25 packet format excluding HDLC fields.

```

1: function CALCULATE_CRC(frame[ ], frame_length)
2:   crc ← 0xFFFF
3:   for all byte ← frame0, frameframe_length-1 do
4:     for all bit ← byteLSb, byteMSb do
5:       if crcLSb ≠ bit then
6:         crc ← (crc ≫ 1) XOR 0x8408
7:       else
8:         crc ← crc ≫ 1
9:       end if
10:    end for
11:  end for
12:  crc ← crc XOR 0xFFFF
13:  return crc
14: end function

```

Figure 3.5. Algorithm to calculate CRC-16-CCITT in reverse-bit order.

3.2.2 Calculating the Frame Check Sum

The Frame Check Sum (FCS) used for error detection in Amateur Bell 202 is the well-known CRC-16-CCITT, which enjoys a wide deployment in network protocols and systems.⁴ Unfortunately, the language used in §4.2.5 of the ISO specification for HDLC [30] is particularly awkward, and does not lend itself well to implementation. For the sake of clarity, Figure 3.5 presents one possible algorithm to calculate the FCS of a complete frame, which is implemented in ANSI C in Appendix A. The constant 0x8408 comes from a bit reversal of the 0x1021 generator polynomial since the presented algorithm calculates the CRC in bit

⁴Example systems using CRC-16-CCITT include HDLC, Bluetooth, the XMODEM file transfer protocol, and SD cards.

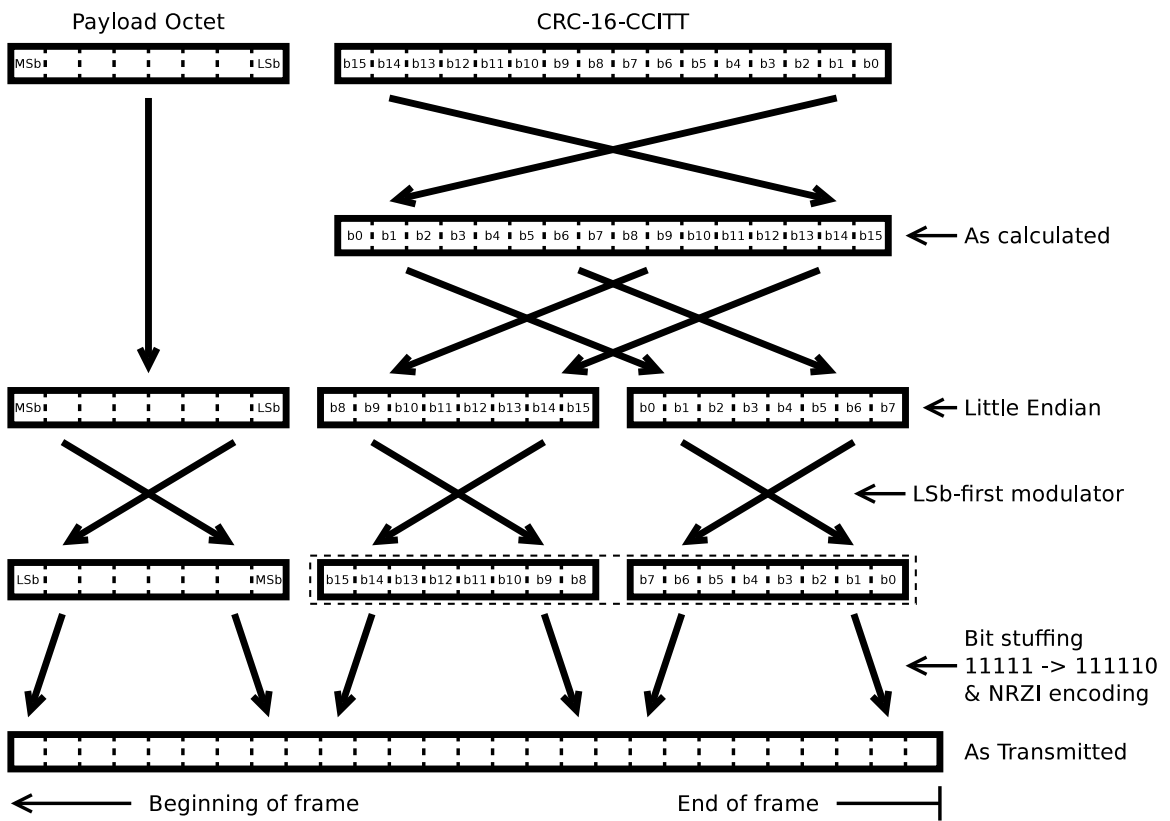


Figure 3.6. Pathway of frame payload to final bit stream using only a LSb-first modulator

reversed order.

The order of the two octets and bit order of the checksum as transmitted over the air is particularly muddled in the existing amateur radio literature. Many sources call for sending the checksum little-endian, while ITU V.42 §8.1.2.3 clearly specifies big-endian, as is the convention for most network protocols. The original specifications also call for transmitting the checksum most significant bit (MSb) first, which is the opposite of the payload octets. This exception is noted in §3.8 of the AX.25 specification as well.

This creates plenty of confusion on the part of implementers, which is likely caused by the fact that available reference implementations of the CCITT checksum don't make it clear that they already integrate the needed bit reversal after the Cyclic Redundancy Check (CRC) division and one's complement. The algorithm presented in Figure 3.5 *does not* calculate the true CCITT CRC, but follows the convention of calculating it in reverse-bit order, such that the final bit-reversal step may be omitted during modulation of the bit stream while using the least significant bit (LSb) first modulation subroutines already used for the payload data. Therefore, the checksum as presented should be sent lower octet first, using the same modulator as for payload octets that modulates the LSb-first. This ensures that the resulting checksum as transmitted will have the correct sequence, starting with the MSb and finishing with the LSb, and is why many implementations appear to completely ignore the need to send the FCS MSb. Figure 3.6 demonstrates how calculating the FCS in reverse bit order and then feeding it through the same LSb-first modulator as the payload octets is equivalent to calculating the FCS in canonical bit order and using a separate MSb-first modulator. This is desirable because it prevents the need for this second subroutine to send bits MSb-first to ever be written or maintained.

3.3 FM Deviation and Emphasis

Once the Amateur Bell 202 frame is generated, encoded using NRZI, and converted into a baseband AFSK signal, it still needs to be converted into a VHF FM signal and transmitted to other stations. Since Bell 202 was originally designed for telephone data service, the existing specifications give no guidance on the unique aspects of the amateur VHF FM physical layer. One such issue is what value of FM deviation to target when setting modem

audio levels.

While quantitatively justifying this value is beyond the ability of the author, a proposed specification for FM deviation is 3.5kHz for both the 1200Hz and 2200Hz tones, or for the wider of the two tones if equal deviation is not possible [21].

This proposal is complicated by two major issues:

- The lack of availability of the necessary test equipment to measure FM deviation.
- The inconsistency in pre-emphasis and de-emphasis filters used by individual network nodes.

The VHF deviation meter needed to properly set modem deviation is prohibitively expensive for the typical packet radio operator, so presenting a figure such as 3.5kHz deviation to most users does little good. Qualitative and home-brew solutions have been developed for setting deviation levels [2], and these techniques should be better promoted until deviation meters become a more standard part of a packet operator's toolkit.

Pre-emphasis and de-emphasis is a concept in FM voice communications where higher baseband frequencies are modulated with larger deviation than lower frequencies to provide a consistent signal-to-noise ratio across the channel. Unfortunately, the advantages of these audio filters to packet operation are debatable, and they are not applied consistently. A single packet station is likely to have any permutation of pre-emphasized or flat transmit audio and de-emphasized or flat receive audio. This means that even when one station deliberately uses flat audio, there is no guarantee that it won't suffer from receiving another station's pre-emphasized signal or be received by another station using de-emphasis.

Different types of Bell 202 modems vary in how sensitive they are to this high/low pass filtering effect. More importantly, there is no specification established for what level of pre-/de-emphasis a modem should tolerate. One suitable source for such a benchmark would be to go back to the telephone networks where Bell 202 was originally used. Figure 3.7 shows the allowable audio skew of a basic type 3002 channel as used in the telephone network; any level of skew that falls inside the shaded region relative to a test tone at 1004 Hz is considered acceptable [3].

For application to Amateur Bell 202, normalizing the skew thresholds to 1004Hz is less

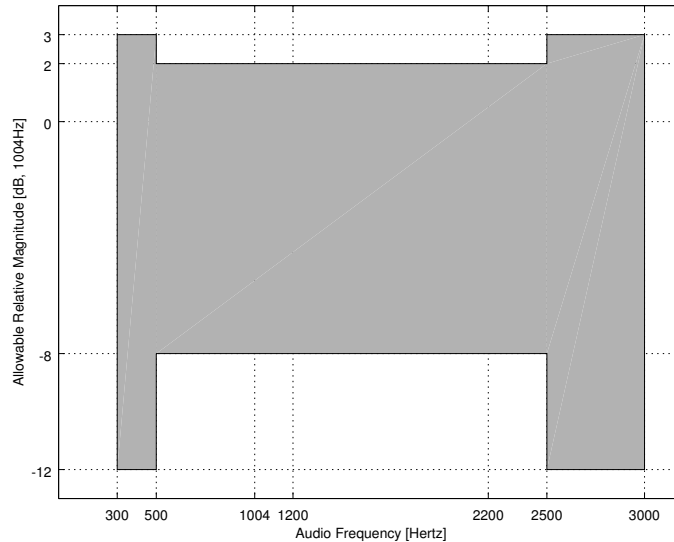


Figure 3.7. Allowable skew in a basic 3002 telephone channel

important than simply noting that the allowable skew between the two tones of interest (1200Hz and 2200Hz) is 10dB in either direction. An insightful measurement for Bell 202 modem designers would be testing how quickly their modem's performance falls off as a packet signal approaches the ± 10 dB limits. Creating a test suite which could yield quantitative measures of a modem's sensitivity to the pre-emphasis/de-emphasis issue would be a valuable contribution beyond the scope of this paper.

3.4 Carrier Sense Multiple Access

Since Amateur Bell 202 is a half duplex modulation using re-purposed FM voice transceivers, one of the challenges to packet radio is avoiding multiple stations transmitting on the same channel at once. This is done using Carrier Sense Multiple Access (CSMA), where each station listens before transmitting to see if the channel is clear. Unlike other CSMA implementations, such as IEEE 802.3 Ethernet, Amateur Bell 202 doesn't enjoy the advantage that transmitters can at least sense when a collision has taken place and use that information to abort the transmission of the rest of the frame early. When a collision takes place on an Amateur Bell 202 channel, both colliding frames are transmitted in their entirety,

but both are lost and the channel time wasted.

Besides the degenerate case of ignoring the current channel status completely when deciding to transmit a pending frame,⁵ there are two popular algorithms used for channel access in North America; DWait and P-persistent.

DWait is a deterministic algorithm where each station is assigned a fixed “quiet time” after the end of any other transmission before they will begin a locally pending transmission. This lends itself well to designed networks where the relative priority of each station is known and a corresponding DWait time is set for each station where a shorter DWait will always gain the channel over a longer one. Conversely, this doesn’t lend itself well to ad-hoc networks, since two stations that happen to both operate near one another with similar DWait parameters will tend to collide and reduce throughput.

P-persistent is a stochastic algorithm that attempts to randomly spread stations apart when the channel becomes clear. This is configured with two variables: the slot time (SLOttime), and the probability that a station should choose to transmit during a given slot (PErsist). The SLOttime should be set to as short of a time interval as possible during which a station can reliably identify another station as transmitting before beginning its own transmission. The PErsist value should be tuned based on how likely another station is to transmit during the same time slot considering the number of other stations with pending traffic attempting to gain the channel.

A typical modem supporting the P-persistent algorithm will need three values adjusted based on the specific network hardware in use; PErsist, SLOttime, and the transmitter preamble time TXDelay mentioned earlier in this paper.

- PErsist: Measured in units of $1/256$, the suggested default is 63, which translates into a 0.25 chance of selecting a specific available slot [12]. A typical implementation selects a random number in the range $[0,255]$ and tests if it is equal or less than the PErsist value. Therefore, a setting of 0 would result in a 0.004 chance of selecting a slot and a setting of 255 would result in always selecting an open slot. The optimal value for a specific network is highly dependent on the local channel occupancy, so

⁵This is a surprisingly common channel access method, used primarily by what are called “dumb” or “deaf” APRS trackers, which are transmit-only and lack an FM receiver altogether.

the suggested default shouldn't be considered definitive.

- **SLottime:** Measured in units of 10ms increments, the traditional default from sources such as the KISS specification and Kantronics hardware is a value of 10 (100ms) [12][18], but performance measurements of contemporary VHF radios indicate a need for a longer slot time. The new suggested value is 30 (300ms), which is discussed further in Appendix B.
- **TXDelay:** Measured in units of 10ms increments, the suggested default is a value of 50, which translates into a 500ms synchronization preamble from when a transmitter is keyed up until when a payload frame is transmitted [12]. This value is very conservative and can usually be reduced when receiving stations are properly configured with well aligned clock recovery mechanisms.

There are additional channel access methods beyond the two mentioned above that are applied in amateur radio packet networks. Examples include Demand Assigned Multiple Access (DAMA), which is primarily used in European packet networks, and Time Slotting, which is used in carefully designed high-throughput networks. Since these alternatives see less application in American packet networks, they are excluded from this discussion and the reader need only appreciate that this is not a comprehensive survey of channel access methods.

3.5 Conclusion

By most measures, Amateur Bell 202 is a very poor performing modulation to be used by amateurs for packet operations. One-bit symbols cause Bell 202 to suffer from poor spectral efficiency, HDLC lacks any error correcting codes so single-bit errors cause entire frames to be dropped, and 1200 bits per second is a remarkably low data rate when even consumer radio systems are operating at hundreds of millions of bits per second throughput.

One aspect of Amateur Bell 202 that is appealing, other than the huge legacy systems still using it, is its relative simplicity. The fact that amateurs are able to implement Amateur Bell 202 modems on systems as minimalistic as 8 bit microcontrollers, and that modems can interface with unmodified voice radios, make it possible for amateur radio operators to

build their own APRS nodes with relatively little difficulty.

Faster data rates and more sophisticated modems aren't being discouraged, but the value of being able to learn about amateur digital communications via the simplicity of Bell 202 shouldn't be discounted. The public APRS networking is deeply entrenched in using Amateur Bell 202, so exploring future enhancements to the Amateur Bell 202 modem is a topic that begs for further examination.

4 KISS

During the early 1980's when amateur Terminal Node Controllers were developed, the expectation was that the TNC would be handling the entire packet protocol stack up to the final presentation to the user. This would have been done using a dumb terminal, such as a VT100, or line printer and a keyboard. As personal computers became affordable in the late 1980's, the expectation that the entire application stack would run on the embedded TNC became severely limiting and KISS ("Keep It Simple, Stupid") emerged as the solution to expose the modem inside TNCs via an eight bit clean interface and bypass the TNC's internal network stack.

KISS was originally presented by Mike Chepponis, K3MC and Phil Karn, KA9Q at the 6th ARRL Computer Networking Conference in Redondo Beach, CA [12]. KISS was designed as an extension to the Serial Line Internet Protocol (SLIP) allowing for in-band signaling from the host to the TNC to enable setting modem configuration parameters such as the preamble length and CSMA parameters.

This meant that the existing TNCs with their radio interfaces could be upgraded once with new ROMs that supported KISS and any new network behavior or protocol could be implemented on a separate host PC. This was particularly valuable since personal PCs were much more productive development environments than the 256kb EPROMs and 8 bit Z80 microprocessors of the popular Tucson Amateur Packet Radio TNC 2 product and its clones [32].

4.1 Isolating Modulation from Network

The advantage of KISS is that it has become the standard packet interchange protocol between TNC modems and host network controllers, enabling each side to experiment with new protocols. This means that as the APRS protocol has evolved, stations that used the KISS protocol are able to continue using the same ROM-based modems and only need to upgrade the software running on their host system. This abstraction holds up even further in that it allows operators to use different data link protocols than AX.25, yet there have been few examples of this since the collapse of the IPv4 amateur radio networks with the wide-spread deployment of the Internet.

As discussed in the prior chapter, as Bell 202 and HDLC begin to show their age, the field is ripe for a new modulation to replace them on VHF/UHF packet networks. Should a researcher wish to deploy a new modulation to use under APRS, all that needs to be done is build new KISS modems, which will seamlessly interface with most existing APRS software. Replacing Amateur Bell 202 modems has always been a popular subject of discussion on the APRS mailing lists, and is an area ripe for future quantitative study.

4.2 Shortcomings of KISS

While originally presented as an interim solution until a better protocol was developed, KISS has enjoyed a lasting popularity among its users. One concern about KISS that has spawned several derivative protocols is the lack of a checksum used in each KISS frame. Should any bit errors happen between the host system and the modem, they may go undetected and cause corruption in the transported payload as it continues through the network. One of the most popular of these derivatives of KISS is SMACK (Stuttgart Modified Amateurradio-CRC-KISS), which is a backwards compatible extension to KISS which includes a frame checksum to protect against frame corruption [28].

Traditionally, KISS links between the host PC and KISS modems have been deployed over relatively short RS-232 serial links (three to six feet). An increasing number of contemporary modems are moving to a pure USB implementation. What the author has been unable to find is any evidence that, when correctly deployed, these types of short serial links have

any risk of corruption which is avoided by using the SMACK extension. Further study is needed to examine typical APRS KISS deployments to justify the additional effort required to implement and deploy SMACK above KISS to protect against any possible corruption risks.

A second shortcoming of KISS which has not evoked anywhere near the level of discussion that the corruption issue has is KISS' lack of any way for modems to pass out-of-band (OOB) information back to the host PC. KISS defines numbered command codes for the host to pass information to the modem, including channel access settings and hardware specific settings beyond the type '0' data frame that should be transmitted on the channel. In the opposite direction, from modem to host, the KISS specification explicitly limits frame types to only data frames. This disallows hosts from being able to interrogate modems as to their current configuration settings or any information about the RF channel beyond packets as they are received.

The author suggests that a revision to the KISS specification be considered that would allow modems to pass non-zero payloads back to the host PC. Legacy applications would need to properly discard these non-zero frames as OOB data that they are not interested in, while enabling new applications such as interactive configuration programs and give more meaningful metrics as to current channel utilization.

- An interactive configuration program would allow a user to request a dump of the current configuration, edit it, and re-upload it to one or several KISS modems. A canonical example of this type of application is the OTWINCFG tool provided by Argent Data for their trackers [5, §17.6].
- Channel utilization information could include how much time is consumed on the channel by AFSK data that does not decode as valid packets. Some APRS applications attempt to estimate channel utilization based on received packets, which will consistently under-estimate the actual figure due to frame preambles and frames which fail their checksums never being reported. The worst case of continuous collisions would result in the application misinterpreting no received packets as an entirely idle channel, where the opposite is the actual case.

4.3 Conclusion

KISS have proven itself tremendously useful as it has decoupled the modem hardware from the network protocol used above it. The decreasing price and size of single-board computers such as the Texas Instrument's BeagleBone have cemented the popularity of network nodes built using a full-fledged computer that uses a KISS modem as a radio interface. It should be appreciated that KISS enables the development of new modulation schemes without the need to modify existing APRS software to run over new higher-quality links. Future work involving KISS should include studying the quantitative risks of not using checksums on the serial link between the host and the modem and the feasibility of extending KISS to allow OOB information to be passed from modem to host.

OSI Layer 2 — Data Link

5 AX.25

AX.25 is the amateur radio derivative of CCITT X.25 that was designed during the early 1980's as the primary data link protocol used by amateur packet networks. The AX.25 specification has been maintained by the Tucson Amateur Packet Radio (TAPR) organization until its latest release, Version 2.2 in July of 1998.

The most significant difference between AX.25 and the original X.25 protocol lies in the hardware addresses used by AX.25, which are based on the expectation of each station using their FCC-issued callsign. Each node is addressed by their callsign plus an additional 4 bit secondary station identifier (SSID), which allows each licensee to maintain and operate up to 16 stations in each packet namespace.

AX.25 is one of the best-documented protocols used in amateur radio packet networks, so it could be argued that a chapter in this thesis considering AX.25 could be omitted. The AX.25 specification goes into tremendous detail as to the expected behavior of each node and how the system should transition between states. Where documentation does fall short is in how APRS abuses a small subset of the AX.25 protocol for the specific needs of the APRS network. The rest of the chapter will walk through each field of the AX.25 packet and note how it is used by APRS, followed by a discussion of the implications of the FCC requirement to identify an active transmitter by its FCC-issued license every ten minutes.

5.1 Header Format for APRS

A very limited subset of the complete AX.25 protocol is used by APRS due to APRS deliberately avoiding the use of any of the connected or control modes of AX.25. This means that any AX.25 protocol stack used for APRS need only support Unnumbered Information

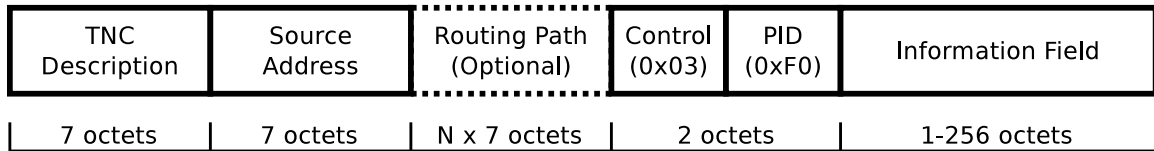


Figure 5.1. AX.25 UI Packet Format

(UI) frames, and many APRS protocol stacks cannot handle any other forms of AX.25 traffic. Figure 5.1 presents the modified form of the AX.25 frame that is used by APRS.

5.1.1 TNC Description / Destination Address

Traditional AX.25 traffic is usually directed at a single station, which would be indicated by a packet’s destination address. Since APRS is strictly a one-to-many network protocol at Layer 3, this field was deemed not needed for APRS and several alternative uses for the field have been proposed.

The most popular application for this field is to be used as a tracker identifier, where a six character identifier is allocated from the APRS Working Group to identify a specific APRS TNC and firmware version. This provides valuable information to the rest of the APRS network. APRS TNCs often “misbehave” and it is helpful to be able to immediately identify the original developer for a remote APRS node. Experimental trackers which have not yet received a tracker ID may use the APZ prefix with three additional arbitrary alphanumeric characters as their TNC identifier.

5.1.2 Source Address

The source address is either the FCC-issued or a “tactical” callsign used by the beaconing APRS station, with an additional SSID appended to the station, which may range from zero to 15. Source addresses must be at least three characters long, and may not be any longer than six. Stations using AX.25 over RF are limited to the SSID’s of 0 to 15 due to AX.25’s binary format, while APRS imposes a looser standard such that the SSID is only limited to two alphanumeric characters when not transported over AX.25 links..

5.1.3 Routing Path

The AX.25 routing path is an optional variable-length field consisting of an ordered list of digipeaters which should process and re-transmit the considered packet. Should a station not require the use of this field, it can be completely omitted and the end-of-path bit should be set on the source address field. The path must consist of an integer number of seven octets.

The original AX.25 version 2.0 spec allowed for anywhere from zero to eight digipeaters to be included in an AX.25 frame. Unfortunately, due to the unreliable nature of amateur packet radio, packets with a routing path requesting as many as eight hops would rarely be successfully delivered to the end station. The version 2.2 specification for AX.25 was rewritten limiting the number of requested digipeaters to two with the argument that packets traveling beyond two hops should be handled by a higher layer protocol than AX.25. Because AX.25 doesn't guarantee delivery from a digipeater to another station, packets passing through a digipeater that are lost need to be resent from their origin. Higher layer protocols can recover from a lost packet locally without needing to twice consume the bandwidth used to get the packet to the digipeater.

This concept of higher-layer retries and a limit of two digipeaters introduced in AX.25 v2.2 is ignored by APRS. More than two digipeaters are often seen on APRS traffic, and users are only strongly discouraged from using a large number of hops.

5.1.4 Control Flags

The Control Flag octet indicates different modes for the AX.25 frame. Since APRS strictly uses only Unnumbered Information (UI) frames, this field must contain the value 0x03.

5.1.5 Protocol Identifier

The Protocol Identifier (PID) field is normally used to identify the Layer 3 protocol being transported by AX.25. TAPR has reportedly stopped processing requests for new PID values to be issued to new Layer 3 applications of AX.25 [20], which is a possible explanation

for why APRS doesn't have a unique PID. It instead uses the value of 0xF0, incorrectly indicating that no Layer 3 protocol is in use.

5.1.6 Information Field

The rest of the AX.25 frame contains the APRS payload in what is called the Information Field. The end of the Information Field is indicated by the Layer 1 modulation, which is traditionally the Amateur Bell 202 FCS and 0x7E flag.

The maximum transmission unit for the Information Field is 256 octets, and APRS imposes a minimum of one octet identifying the type of APRS packet being carried [33].

5.2 FCC Identification Requirements

One of the conditions of operating a radio under FCC Title 47 CFR Part 97 is that amateur radio operators are required to transmit their callsign at least once every ten minutes during an exchange. An ongoing source of controversy in the APRS community is what this means for operating an APRS node, and particularly digipeaters.

The source of argument is what constitutes properly identifying the transmitting station; only a station's FCC callsign in the source address field, or simply including the callsign somewhere in the complete frame. Considering only the source address field as a valid way to identify a station is a very conservative interpretation of §97.119, but establishes the requirement that every digipeater active in the APRS network needs to originate a new packet every ten minutes. Alternatively, accepting the digipeater's callsign injected anywhere in an outgoing packet lends itself to the digipeater staying more "quiet" since appending its callsign to the end of the routing path of incoming packets could be considered identification enough.

The considered disadvantage of the more conservative interpretation is that the additional beacons being generated by every active digipeater every ten minutes is an excessive burden on the APRS network. Digipeaters rarely have information of value to the rest of the APRS network, so their beacons are seen as little more than noise. This interpretation also prohibits the use of "tactical" callsigns, which are selected to convey more useful information

that the control operator's callsign. One common example is digipeaters on major mountain peaks; a digipeater on Tassajara peak beaconing as "TASS" has a more meaningful name than beaconing as its owner's FCC callsign. The FCC callsign is then placed somewhere in the comment section of the APRS beacon.

The issue with identifying via appending callsigns to other station's packets and using tactical callsigns is that it isn't always clear what a station's callsign is.

- Many digipeaters fail to correctly append their callsign to routing paths when they process packets, so the last callsign in the path isn't necessarily the station transmitting it.
- There is no standard secondary place for a station's "real" callsign when using a tactical call. Operators often program digipeaters with comments that fail to make it clear what their callsign actually is.

In the end, the question of how each station needs to identify to meet part 97 is a strictly legal one. Arguments have been made for interpreting §97.119 several places between these two extremes, and the final decision on how to interpret the legal requirements are left up to the individual amateur radio operators and the federal government's lawyers.

6 Digital Repeater Routing Behavior

When the AX.25 networks were originally built in the 1980s, one of the fundamental design assumptions was that every node was physically static in the network. Digipeaters were installed on top of high buildings or mountain tops, and client nodes were modems connected to bulky video terminals or desktop PCs. When two stations wanted to exchange packets, the operators had to manually construct an explicit list of digipeaters to use to deliver packets to the other station. Should a station move to a new area, the operator would need to discover new near-by digipeaters and manually construct routing paths using them.

One of the design goals of APRS has been to support mobile nodes, so this requirement to pre-facto be aware of the local infrastructure is unacceptable. The solution was to categorize digipeaters into a small number of “aliases” such that a digipeater would respond to both its specific callsign and to any of its aliases. A mobile station expecting to move throughout the APRS network could then construct its routing paths purely out of digipeater aliases and use the network infrastructure despite not knowing each digipeater’s callsign or location.

As APRS has grown from an experimental network to one that covers much of the country, it has adopted and discarded multiple sets of digipeater aliases. As the expectations as to each node’s behavior regarding those aliases have changed, the APRS community has failed to make it clear that the previous behavior has been deprecated. The rest of this chapter will walk through the history of the basic set of routing aliases, followed by an overview of possible digipeater behaviors.

More than anything else, this chapter is going to highlight how ambiguous the APRS specification is in regards to digipeater behavior. Most of the aliases discussed in the original APRS protocol specification have been subsequently deprecated, without the APRS specification being amended to indicate as such. The APRS specification also failed to have

a detailed discussion on digipeater behavior, so many different interpretations and ideas have been developed, which has created several divergent schools of thought on what behavior is best. Writing a definitive analysis on digipeater behavior is a large undertaking that warrants further consideration beyond what is possible in this work.

6.1 Routing Aliases

The original definition of APRS included several aliases, the most notable of which were RELAY and WIDE. Digipeaters were divided into two categories depending on if they were high-level (on the top of large towers, mountain tops, etc.) or low-level (typically residential sites). Low-level sites would only digipeat packets which used the RELAY alias or the digipeater's literal callsign, where high-level digipeaters would respond to both RELAY and WIDE in addition to their callsign. This enabled a user to selectively include or exclude the numerous low-level digipeaters depending on if the station had sufficient power to reach the high-level WIDE digipeaters or needed the low-level receivers to assist with being heard on the network.

A station would construct their desired routing path as an ordered list of callsigns and aliases. For example, a station requesting three high-level hops out from their position would use the routing path "WIDE,WIDE,WIDE" when beaconing in a new area where the local digipeater callsigns were unknown. Since these strings of several WIDE aliases proved to be common, the APRS community developed the concept of WIDEn-N, where multiple WIDE aliases are summarized as a single routing alias to reduce the average packet length on the network.

A WIDEn-N alias represents multiple WIDE aliases by using two numbers, represented by n and N . The first n represents the originally requested number of WIDE hops, where the trailing N represents the remaining number of hops that have not yet been consumed. Therefore, the alias "WIDE3-2" represents an original request for three hops that has already been processed by one digipeater. Once a WIDEn-N alias gets decremented to WIDEn-0, it is considered entirely consumed, having been processed by n digipeaters.

Low-level "fill-in" digipeaters are needed to assist low-power moving trackers in reaching the primary high-level digipeater network before it is possible to be received by other stations.

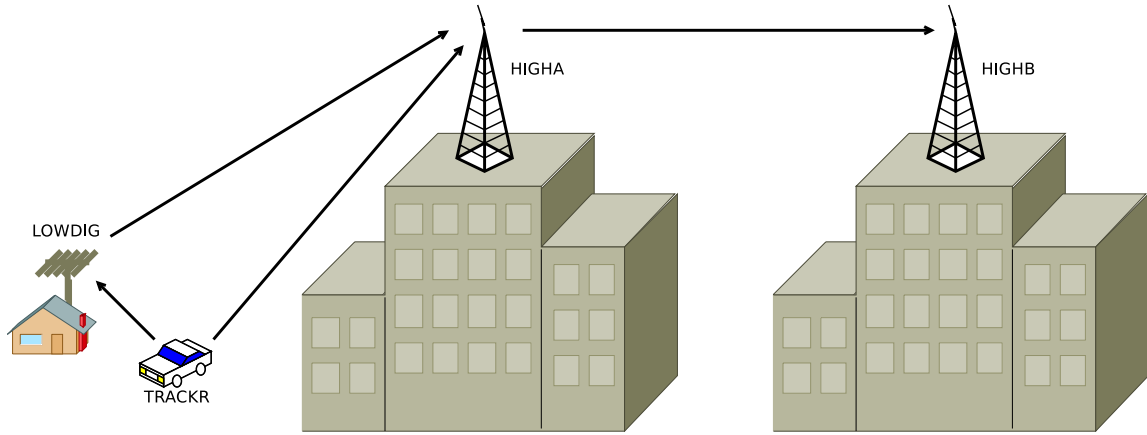


Figure 6.1. APRS network used for path routing examples

Without these fill-in digipeaters, low power beacons would be lost in the noise and never reach the network at large. This allows the network to have a very high density of these low-level digipeaters without all of them generating network traffic by repeating every packet moving through the network requesting WIDE digipeater service.

6.2 Examples

As an example, consider a small APRS network with one tracker and three digipeaters as shown in figure 6.1: TRACKR, LOWDIG, HIGHA, and HIGHB. TRACKR is an APRS tracker originating all of the packets in these examples. LOWDIG is a low level digipeater that only responds to the RELAY alias, where HIGHA and HIGHB are both high-level digis and thus respond to both RELAY and WIDE. Consider the routing path sequence in table 6.1.

Path	Transmitted by
WIDE1-1	TRACKR
HIGHA*	HIGHA
—	HIGHB

Table 6.1. TRACKR requesting a single WIDE hop

Note the use of the asterisk to represent “consumed” digipeater hops. This is originally represented in the binary AX.25 frame by setting the “H” bit in the repeater address field.

This notation for the H bit comes from the monitor mode of the TAPR TNC2, which has become the de-facto standard way to represent APRS packets textually, such as in log files, documentation, and the TCP/IP based APRS Internet System backbone. The TAPR TNC2 also defined the convention to drop the -0 SSID so TRACKR-0 is written as simply TRACKR.

When HIGHA digipeats this example packet, it appends its callsign to the list of consumed hops, and should drop the finished "WIDE1*" alias, which is not consistently implemented in APRS digipeaters.

The "—" is used in Table 6.1 to represent that WIDEB does not repeat this beacon. It receives the repeated packet from WIDEA, but there are no un-consumed hops remaining in the path, so the packet is dropped and no one on the other side of WIDEB would hear this packet.

To reach further out in the network, the user would use a WIDE statement requesting more than one hop:

Path	Transmitted by
WIDE2-2	TRACKR
HIGHA*,WIDE2-1	HIGHA
HIGHA*,HIGHB*	HIGHB

Table 6.2. TRACKR requesting two WIDE hops

If the tracker doesn't happen to reach any of the high-level digis, but does reach a low-level one, a WIDE path would do them no good:

Path	Transmitted by
WIDE2-2	TRACKR
—	LOWDIG

Table 6.3. TRACKR requesting WIDE hops but only reaching a relay digi

Table 6.3 shows that LOWDIG drops the packet since TRACKR only requests hops from WIDE digipeaters. Therefore, stations that expect to often be depending on low-level digipeaters should begin their path with a RELAY alias as shown in table 6.4.

Table 6.5 is an instance when it's important that high-level digipeaters also respond to

Path	Transmitted by
RELAY,WIDE1-1	TRACKR
LOWDIG*,WIDE1-1	LOWDIG
LOWDIG*,HIGHA*	HIGHA

Table 6.4. TRACKR using LOWDIG to reach HIGHA

the RELAY alias, since digipeaters traditionally only process the first unconsumed alias. Should TRACKR happen to be able to reach HIGHA but be out of range of LOWDIG, it's desirable for HIGHA to still repeat it.

Path	Transmitted by
RELAY,WIDE1-1	TRACKR
HIGHA*,WIDE1-1	HIGHA
HIGHA*,HIGHB*	HIGHB

Table 6.5. HIGHA also responding to the RELAY alias

6.3 Deduplication Behavior

As the APRS network grew and the density of digipeaters and stations increased in the late 1990s and early 2000s, it became increasingly important that digipeaters don't "ping-pong" packets between themselves. Since APRS' routing is a hop-limited flooding protocol, there was no mechanism preventing a digipeater from repeating a packet multiple time.

Path	Transmitted by
WIDE3-3	TRACKR
HIGHA*,WIDE3-2	HIGHA
HIGHA*,HIGHB*,WIDE3-1	HIGHB
HIGHA*,HIGHB*,HIGHA*	HIGHA

Table 6.6. A packet "ping-ponging" between HIGHA and HIGHB

Table 6.6 shows how HIGHA could hear an echo from HIGHB and transmit the same packet twice, needlessly using additional network bandwidth. To prevent this, a new behavior was implemented in digipeaters where an "aging hash table" was used to store a hash of each packet for a limited length of time (this period is never formally specified, so the author suggests 30 seconds be used as it is a popular choice). When a new packet with hops

remaining in the path are received, a hash of the source callsign and Information Field are compared against the hash table to check if the same packet had been recently transmitted. If so, the packet is dropped, but if there is no match in the hash table the packet is digipeated and its hash added to the hash table. This hash is then dropped from the hash table 30 seconds later to allow the digipeater to repeat the same packet the next time the original station beacons it.

Path	Transmitted by
WIDE3-3	TRACKR
HIGHA*,WIDE3-2	HIGHA
HIGHA*,HIGHB*,WIDE3-1	HIGHB
—	HIGHA

Table 6.7. HIGHA drops the echo heard from HIGHB

This behavior, as shown in Table 6.7, proved to be tremendously helpful in improving the performance of the APRS network by removing loops in each packet’s flooding behavior. No quantitative analysis has been found on the subject, but many areas suffering from congestive collapse reportedly became usable again.

6.4 Deprecation of RELAY

Since APRS was becoming popular among amateur radio operators, equipment manufacturers such as Kantronics, MFJ, and Kenwood started adding APRS-specific features into their off-the-shelf TNC products. One of the most popular TNCs used for digipeater sites was the Kantronics KPC-3+, which turned out to have a fatal anomaly in its version 9.0 firmware ROM regarding the deduplication behavior just presented [19].

The KPC-3+ correctly deduplicated packets routed via the WIDEn-N system, but failed to correctly add to or consult the dedup hash table for single-hop aliases such as RELAY. This meant that popular routing paths such as “RELAY,WIDE2-2” would still result in routing loops on the network:

Kantronics did release a patched ROM v9.1 in 2007, but to insufficient effect. Getting access to digipeaters at remote radio sites is burdensome, and physically replacing the 32

Path	Transmitted by
RELAY,WIDE2-2	TRACKR
RELAY*,WIDE2-2	HIGHA
RELAY*,HIGHB*,WIDE2-1	HIGHB
RELAY*,HIGHB*,HIGHA*	HIGHA

Table 6.8. HIGHA failing to dedup its prior RELAY

pin ROM chip inside the KPC-3+ with a \$40 replacement¹ proved to be a sufficient barrier that many APRS digipeaters still suffer from this defect today.²

Due to this growing population of digipeaters suffering from the Kantronics or other misinterpretations of the RELAY,WIDE alias system, it was proposed that APRS switch to a purely WIDEn-N routing method. Instead of low-level digipeaters responding to RELAY, they should now only process the alias WIDE1-1. This means that a path such as “RELAY,WIDE2-2” should now be rewritten as “WIDE1-1,WIDE2-2.” To digipeaters aware of this new interpretation, “WIDE1-1,WIDE2-2” signifies requesting one low-level and two high-level hops. To older digipeaters like the KPC-3+, it appears to be an odd way to request three WIDE hops compared to “WIDE3-3,” yet the deduplication is still done correctly.

The issue with this replacement of RELAY with WIDE1-1 is that there is now no way to correctly request a single high-level hop. The solution was to allow trackers to use the alias of WIDE2-1 for single high level hops. This works, but now breaks the original meaning of the first number, which stood for the originally requested number of hops. When a digipeater receives a packet with a “WIDE2-1” path, there is no way to definitively tell if that alias represents a two hop request that has gone through one hop, or a single high-level hop request that hasn’t been processed yet.

Surprisingly, this single overload of WIDE2-1 for WIDE1-1 has rendered the original meaning of the first digit almost meaningless. Allowing this one exception to the originating station setting the two WIDEn-N numbers the same, while not providing sufficient documentation to make it abundantly clear that this is the only allowable exception, has muddied

¹Kantronics did offer free v9.1 ROM exchanges to any customers who had purchased their TNCs new in the previous two years

²It has been jokingly said that once a digipeater goes on the air, none of its settings will be changed until either the digipeater or its owner dies

the waters as to the actual meaning of the first number. Based on a sample of 21 million packets on the APRS-IS world-wide APRS backbone on May 8th, 2014, it was found that 0.7% of APRS packets requested routing paths such as WIDE1-2 or WIDE2-3, which should not ever exist before or after the WIDE2-1 exception was made.. A station beaconing with a path of WIDE2-3 indicates that they are requesting two hops, and three of those hops are remaining, which is nonsense.³ This demonstrates that users are clearly confused and that a major institutional failure has occurred in how the meaning of the WIDEn-N alias has been presented.

6.5 Minimum WIDEn-N Behavior

APRS digipeaters are divided into two classes: high-level digipeaters and low-level digipeaters, which dictates variations in their behavior. High-level digipeaters form the major backbone of the APRS digipeater network and are generally installed on the tops of mountains, tall office buildings, and large towers. Low-level digipeaters usually have their antennas less than 50 feet above ground level, and cover a small subset of the wider coverage provided by the nearest high-level digipeater.

Since each low-level digipeater's coverage area is a subset of the nearest high-level digipeater's coverage, a low-level digi isn't needed to repeat packets coming in from the high-level digipeater. Low-level digipeaters are designed to solely act as "boosters" to help local low-power trackers be able to reach the nearest high-level digi. Therefore, low-level digipeaters should only digipeat packets which have "WIDE1-1" as their first routing hop, since that indicates that the user doesn't believe they can reach high-level digipeaters directly.

High-level digipeaters form the actual blanket coverage of APRS, and should respond to any valid WIDEn-N alias which still contains unconsumed hops, including WIDE1-1. This is because many digipeaters will only consume the first unused alias in the path, so a low-power tracker that gets "lucky" and manages to reach a high-level digipeater while using a path such as "WIDE1-1,WIDE2-2" depends on high-level digipeaters responding to WIDE1-1.

Digipeater behavior includes several more caveats which don't involve the APRS WIDEn-N

³The proper way to request three hops would be to use WIDE3-3.

routing alias,⁴ so this section can't be considered a complete definition of a digipeater's behavior.

6.6 Variations on Digipeater Behavior

As previously mentioned, the failure of the APRS specification to provide a comprehensive extension of digipeater behavior for APRS has caused implementers to get creative with the details and extensions of the basic behavior defined in the AX.25 specification. Some of these variations include:

- How should the last hop of multi-hop aliases be handled?⁵
- Preemptive digipeating, where digipeaters consider all unused aliases, instead of only the first, and possibly consumes multiple hop requests if an alias match is found later in the path.
- Long path traps, where abusive WIDE paths such as WIDE7-7 are trapped and all or many requested hops are consumed by the first digipeater, to prevent flooding extremely large areas.
- Direct-only digipeaters, where low-level digipeaters don't only respond to WIDE1-1, but only digipeat packets when they appear to have not been already digipeated by another digipeater.
- Viscous delay digipeaters, where packets are held for a number of seconds to see if they are otherwise re-transmitted by other digipeaters. If an echo of a packet is heard, it gets dropped instead of digipeated.
- Token bucket digipeaters, which refuse to digipeat stations which exceed a specified volume of network traffic.

While the implications of any single item on this list are seemingly small, the countless permutations and inconsistencies seen deployed in the world-wide APRS network causes

⁴Examples include the expectation of digipeaters to substitute their callsigns into routing paths as they consume aliases, and that they still must respond to their callsign as a routing hop in addition to the WIDEn-N alias.

⁵i.e. should "WIDE3-1" become "DIGIA*" or "DIGIA*,WIDE3*"?

the network to behave unpredictably and give disappointing levels of service to its end-users. Further work is needed to specify how much of a digipeater's behavior is required versus optional, and how much latitude should be given to individual digipeater operators for any of the optional features implemented.

OSI Layer 3 — Network

7 Node Beacons Behavior

Since APRS is a source-routed protocol, most of the decisions as to how often a station should send traffic and how far that traffic should travel are allowed to be made by the originating station. The existing specification for the protocol hardly touches on this issue, while a great deal of time and energy is spent on the development forums bemoaning specific examples of misbehaving members of the network. The two major parameters under the source node's control that are considered here are the frequency of beacons and the routing path used for each beacon.

Being a source-routed protocol does fundamentally introduce a moral hazard in the network. For each node in the network to enjoy the most benefit from the network, they would want to beacon as often as possible with the longest path allowed by the network. It is only by mutual trust, respect, and education that this logic isn't universally followed and the network is not grossly oversubscribed in the self-interest of every individual network node. Unfortunately, the most popular documentation on APRS fails to stress the importance of correctly configuring nodes in the best interest for the network at large, and rarely gives any concrete guidance on what values should typically be used when configuring various types of network nodes.

7.1 Beacons Algorithms

For each APRS node with information available for the network, a local decision needs to be made as to when that information will best serve the local network and should be transmitted. This is rarely a trivial decision, and one that could warrant much more creative and application or data specific solutions than the ones presented here, which should be considered the typical minimum of most popular APRS trackers. The only datum considered

in this work is that of a mobile node's position, but these algorithms would likely extend to most other user applications of APRS.

7.1.1 Fixed Interval Beaconing

The simplest beaconing algorithm consists of waiting a single fixed interval between beacons, and only requires a single parameter that is the beacon interval. When a tracker is turned on, it acquires a GPS lock and immediately sends out a beacon and starts a timer. Once that timer exceeds the beacon interval value, a new position is acquired from the GPS receiver and the new location is beamed. While simple, this algorithm does suffer from a number of inadequacies:

- The decision to beacon is made solely based on how long it has been since the previous beacon, without considering any other information available to the tracker. Examples of additional information would include whether the tracker has moved, how fast the tracker is moving, or any packets received from the APRS network since the last beacon.
- A single fixed interval limits the amount of entropy introduced into the network with regards to inter-packet arrival at other nodes. Since APRS is a CSMA shared channel network, it's tempting to use Poisson distribution models for network capacity, which is likely invalid when the only source of entropy per tracker is the time when it was last turned on or gained GPS lock. This is discussed further in the next chapter.

There are of course several possible extensions to the fixed interval beaconing algorithm which each fix various deficiencies at the expense of simplicity.

7.1.2 Time Slot Interval Beaconing

Arguably a more restrictive form of fixed interval beaconing, time slotting is based on the idea of preventing channel collisions by allocating each tracker a fixed time slot in each interval for when they are allowed to transmit. An unfeasible solution for the national APRS network due to its scale and lack of coordination, time slotting is often applied

where unusually high levels of coordination do exist, such as special events and insular networks.

Time slotting introduces a new parameter called the slot time, and depends on every tracker using it having synchronized real time clocks, which is reasonable since most GPS receivers provide real time to within typically 200ms of UTC as part of their position reports.¹

The slot time determines how many seconds after the beginning of each interval a tracker should beacon. The beginning of each interval is defined by the top of the UTC hour,² and intervals run successively for the remainder of the hour. For example, a tracker configured to time slot with an interval of 550 seconds and a time slot of 12 would beacon at the following times:

Time	Calculated by
00:00:12	$0*550 + 12$
00:09:22	$1*550 + 12$
00:18:32	$2*550 + 12$
00:27:42	$3*550 + 12$
00:36:52	$4*550 + 12$
00:46:02	$5*550 + 12$
00:55:12	$6*550 + 12$
01:00:12	$0*550 + 12$

This deterministic beaconing algorithm allows carefully designed networks to over-subscribe network throughput well beyond the levels expected from the national APRS channel with its stochastic access methods. On an insular network separate from the national network, it would be possible to set an aggressively short beacon interval and carefully space trackers such that no two beacons collide with each other. This would make it possible to accomplish service levels such as every-minute position updates from several dozen tracked vehicles, at the expense that there is no allowance for any additional traffic on the RF channel, and the network would depend on manual assurance that every tracker is configured to use its proper time slot.

¹The 200ms uncertainty is a typical value due to the delivery of time over an asynchronous 4800 baud serial port. Internally, GPS receivers must maintain their real time clocks to several orders of magnitude higher precision than this to be even remotely useful, but this precision isn't needed for APRS time slotting.

²Specifying to use UTC time is remarkably important, since UTC and GPS time have currently diverged by 16 seconds due to only UTC including leap seconds. Most, but not all, GPS receivers correctly compensate for this variable offset and report correct UTC time in their GPRMC sentences.

```
while beaconing do  
    interval_delay  $\leftarrow$  RANDOM()  $\cdot$  beacon_interval/8  
    sleep(beacon_interval + interval_delay)  
    send_beacon( )  
end while
```

Figure 7.1. Beacon interval dithering algorithm

7.1.3 Nice Interval Beaconing

Nice beaconing is a behavioral extension to fixed interval beaconing where trackers consider whether a “echo” of a position beacon is heard back from any near-by digital repeaters. Since digipeaters tend to have much higher power transmitters and better quality antennas than mobile trackers, once a packet is successfully received by any digipeater, that packet is much more likely to be received by a much larger fraction of the target audience than directly from the low-power tracker. Most implementations introduce a new parameter called nice [5, p. 38], which is the number of subsequent beacons to skip when a digipeater echo is heard.

7.1.4 Dithered Interval Beaconing

One of the popular traffic models used for ALOHA-based networks assumes that traffic enters the network as a Poisson process. This assumption has a number of implications for the total channel capacity, as will be discussed in chapter 8, but an important note for tracker behavior is the fact that fixed interval beaconing introduces no new entropy once a tracker is activated and beaconing.

Since APRS channel access is often a stochastic process, it is possible that introducing more sources of entropy into how often trackers beacon will improve network performance overall. Deliberately introducing a small amount of noise into the interval between beacons is called dithering, and has been known to improve system performance in other fields such as computer graphics [27].

Developing analytic justifications for dithering or selecting specific distributions are beyond

the scope of this work, but it's theorized that introducing any kind of entropy with approximately 1% variance could improve network traffic distribution. Figure 7.1 shows a possible implementation, where *RANDOM()* is a function that returns a normalized distribution such as a uniform distribution in the range $[0,1]$ or a Gaussian distribution with $\mu = 0$ and $\sigma = 1$. The dividing factor of 8 was selected to scale the uniform distribution $[0,1]$ to yield a variance of 1%, but that value was selected arbitrarily.

7.1.5 SmartBeaconing

SmartBeaconing™ is an adaptive beaconing algorithm developed by Tony Arnerich and Steve Bragg for the HamHUD tracker kits [7]. It allows trackers to make intelligent decisions as to how often to beacon based on how “interesting” the tracker’s new position is. Vehicles moving in straight lines will tend to beacon at very long intervals, but will beacon more often when making turns or traveling faster. The algorithm is owned by HamHUD Nichetronix, LLC, and licensed freely for non-commercial amateur radio applications.

This algorithm is particularly useful for APRS due to the fact that APRS position reports support dead-reckoning, where they include a direction and velocity. As long as trackers don't deviate from this advertised heading, there is less reason to repeatedly beacon the tracker's new position.

The SmartBeaconing algorithm accepts up to seven parameters from the user [23], which are relatively more opaque than the one or two parameters required for all the other popular interval algorithms. While there are suggested default values for each of these parameters, SmartBeaconing still proves to be controversial due to its users tending to beacon much more often than trackers using other beaconing algorithms [8]. As discussed in chapter 8, calculating the actual channel capacity in an area and the appropriate beaconing interval for any of these algorithms are not at all straight forward.

The original HamHUD algorithm is documented online as a snippet of C-like pseudo-code as shown in figure 7.2. In addition to the seven algorithm parameters, the pseudo-code requires the current speed and heading of the tracker, which are both typically available from the \$GPRMC NMEA sentence [13] provided by the tracker's GPS receiver, and the number of seconds since the last transmitted beacon. Unfortunately, there are a number of

Parameter Name	Value	Description
low_speed	5 mph	This is the speed below which the tracker switches to the slow_beacon_interval and no longer performs corner pegging.
slow_beacon_interval	1800 seconds	This is how often a tracker should beacon when it is moving slower than low_speed, which indicates that it is essentially stopped.
high_speed	60 mph	High speed is the value that slower speed beacon intervals are interpolated from. Speeds faster than high_speed are valid but simply beacon at fast_beacon_interval.
fast_beacon_interval	180 seconds	This is how often a tracker should beacon when traveling at high_speed and the scalar used to calculate beacon intervals at lower speeds as a fraction of high_speed.
turn_minimum	30°	The absolute minimum heading change needed to trigger a “corner peg” where the tracker beacons sooner than its speed warrants.
turn_slope	255°miles / hr	A scalar to convert between how fast a tracker is going and how tight of a turn they need to perform to trigger a corner peg. The original documentation notes this value as unitless, which isn’t entirely invalid since degree miles per hour isn’t a particularly helpful dimension.
turn_beacon_interval	15 seconds	The minimum time between beacons when a tracker is changing heading often.

Table 7.1. Suggested defaults for SmartBeaconing parameters

issues with the provided code that have possibly harmed deployment of the SmartBeaconing algorithm on other trackers:

- Variable names change. Both “speed” and “mph” are used to indicate the current speed of the tracker.
- Variable names are inconsistent. `slow_rate` is used for the beacon interval when the vehicle is stopped, `fast_beacon_rate` for when the vehicle is moving, and `turn_time` for when it is turning. A better set of variable names could be `*_beacon_interval`.
- The corner pegging algorithm suffers from an off-by-one error due to the final if statement being a `>` comparison instead of a `≥` comparison. As documented, turning a corner would never cause an early beacon.
- The corner pegging section changes the value of `secs_since_beacon` instead of `beacon_rate`, where `secs_since_beacon` is a system invariant that could possibly be implemented as a macro or function call.
- The SmartBeaconing documentation specifies that the velocities used are in units of miles per hour, where velocities expressed in the APRS protocol are in knots.³

Due to these issues, it seemed appropriate to rewrite the algorithm to correct these issues and to re-typeset the pseudo-code in a more contemporary style, which can be seen in figure 7.3.

7.2 Path Recommendations

In addition to the task of deciding when to beacon, each user needs to make a judgment as to how far they want to flood their traffic throughout the APRS network. This is another subject that evokes controversy due to the problem that recommendations that are valid in *most* of the network will often be invalid for small parts of it. This causes every discussion on the subject to be muddled with arguments about small edge-cases and the specifications make no concrete recommendations on the subject all together.

³APRS uses knots for velocity due to most GPS receivers outputting location data as NMEA 0183 sentences, which were originally designed for maritime applications.

```
IF (speed < low_speed) {
    beacon_rate = slow_rate;
}
ELSE {
    // Adjust beacon rate according to speed
    IF (speed > high_speed) {
        beacon_rate = fast_beacon_rate;
    }
    ELSE {
        beacon_rate = fast_beacon_rate * high_speed / speed;
    }
    // Corner pegging – ALWAYS occurs if not "stopped"
    // Note turn threshold is speed-dependent
    turn_threshold = turn_min + turn_slope / mph;
    IF (heading_change_since_beacon > turn_threshold) AND
        (secs_since_beacon > turn_time) {
        secs_since_beacon = beacon_rate;
    }
}

IF (secs_since_beacon > beacon_rate)
    // ... send beacon
```

Figure 7.2. Original HamHUD SmartBeaconing documentation using C-like syntax

```
1: if  $speed < low\_speed$  then
2:    $beacon\_interval \leftarrow slow\_beacon\_interval$ 
3: else
4:   if  $speed > high\_speed$  then
5:      $beacon\_interval \leftarrow fast\_beacon\_interval$ 
6:   else
7:      $beacon\_interval \leftarrow fast\_beacon\_interval \cdot high\_speed / speed$ 
8:   end if
9:    $turn\_threshold \leftarrow turn\_minimum + turn\_slope / speed$ 
10:  if  $heading\_change\_since\_beacon > turn\_threshold$  then
11:     $beacon\_interval \leftarrow turn\_beacon\_interval$ 
12:  end if
13: end if
14: if  $secs\_since\_beacon \geq beacon\_interval$  then
15:    $send\_beacon( )$ 
16: end if
```

Figure 7.3. Novel presentation of SmartBeaconing algorithm by the author

This means that the following guidance is guaranteed to be “flawed” when applied somewhere in the APRS network, but the hope is that this will be generally correct when considering most of the network. The local administrators in regions which require different path selections than the following should be expected to either maintain and publish a set of their own recommendations or re-design their network to support the standard suggestions.

7.2.1 Vehicles and Mobile Stations

In the most general case, vehicles should use a path of “WIDE1-1,WIDE2-1,” which requests two total hops, including allowing low-level digis to assist them reaching the high-level digipeater network on their first hop. Vehicles which stay in highly populated areas should consider using the shorter “WIDE1-1” path, while vehicles which do the opposite and spend a significant amount of their time in areas with unusually sparse APRS coverage may use “WIDE1-1,WIDE2-2.” [14]

7.2.2 Fixed Stations

Many APRS stations aren’t expected to ever move, such as home stations acting as Internet gateways, digipeaters that are part of the infrastructure, or remote weather stations. Since these stations should have the transmitter power and high-quality antennas needed to reach high-level digipeaters, they should not begin their path with WIDE1-1 but select between the following options depending on how many hops are needed:

- WIDE2-1 - A single hop for unimportant traffic or stations near a particularly large coverage digipeater.
- WIDE2-2 - The most standard path that stations should only deviate from after careful consideration.
- WIDE3-3 - Should only be used in mountainous or sparse areas for traffic important to a large number of stations. Very few stations should ever use this path.

Unlike mobile trackers, fixed stations enjoy the advantage that they do not need to handle a constantly changing APRS infrastructure. Instead of using the APRS WIDE aliases,

fixed stations should seriously consider using literal paths consisting of specific digipeater callsigns. For example, a fixed station desiring a single hop through the near-by HIGHA digipeater should beacon with a path of “HIGHA” instead of “WIDE2-1.” This causes only the HIGHA digipeater to repeat the packet and not involve any further away or lower-level digipeaters which happen to also decode the initial transmission. Literal paths requesting the help of specific digipeaters is rarely seen in APRS traffic, but should be encouraged.

Fixed stations should also consider using “proportional pathing,” which warrants its own section below.

7.2.3 Airborne Stations

APRS is often used as a safety or recovery system for airplanes or weather balloons, and these stations *MUST NOT* use any digipeaters in their path while significantly above ground-level. [15] It is critical that airborne stations use an empty path because their altitude ensures that they already cover more area than any of the digipeaters they would enlist to repeat their traffic. It is common for balloons to be launched with paths such as “WIDE1-1,WIDE2-1” which cause havoc as packets are received by every digipeater within hundreds of miles and needlessly repeated to other stations which have already received the original transmission.

It may be desirable for airborne trackers to support the feature of switching from an empty path to something else when on the ground. A good example would be a weather balloon payload switching from an empty path to a “WIDE1-1” path when the balloon bursts to aid in recovery of the payload once it reaches the ground.

7.2.4 Proportional Pathing

Proportional pathing is the tracker behavior where every beacon does not use the same routing path, which is the norm for APRS trackers. It is not a part of the APRS spec, but has been documented as an errata on the aprs.org website. [11] Since information passed through the APRS network is usually more important for stations near the originating station than those further away, it follows that it would be preferable for near-by stations

to hear these beacons more often. This can be accomplished by modulating the number of requested hops per beacon, such that not every packet takes multiple hops, but some do.

A common example of where proportional pathing should be applied is low level digipeaters. A typical low-level digipeater beacons its location every ten minutes with a path of “WIDE2-1.” This means that every station within range of this digipeater, and every station within range of adjacent high-level digipeaters, see the same location beacon for the unmoving digipeater every ten minutes. It is useful to be able to see where low-level digipeaters are, and the beacons may be required every ten minutes to meet FCC part 97 identification requirements, but it’s unlikely that every station needs to be reassured that the digipeater is still online every ten minutes.

Proportional pathing suggests that instead of transmitting every packet with a path of WIDE2-1, a low-level digipeater could produce less traffic on the APRS network by alternating between paths as follows:

Time	Path
0:00	“ ”
0:10	“ ”
0:20	“WIDE2-1”
0:30	“ ”
0:40	“ ”
0:50	“WIDE2-2”

Table 7.2. Example of Proportional Pathing

At the top of the hour, 10 minutes after, 30 after, and 40 after, this low-level digipeater beacons with an empty path, meaning that no other digipeaters will repeat the packet. Once an hour the beacon is sent requesting a single hop, and a second time in the hour the beacon is sent with an even longer two-hop path. This enables the digipeater to beacon every ten minutes locally, but stations further away only have to handle its traffic once or twice an hour while still being able to see it periodically. The digipeater is therefore still highly visible locally, but generates much less traffic on the high-level backbone digipeaters and further away from its longer-range advertisements.⁴

⁴It’s important to note that although the example shows every beacon at the beginning of every ten minutes, an actual deployment should select a random offset or dither their beacon interval to prevent local traffic spikes from happening once every ten minutes when every digi simultaneously beacons.

This example considered the most common form of proportional pathing, where the tracker maintains a finite state machine to switch between a limited and predefined list of paths, but a possible area for future work would be to develop more sophisticated proportional pathing algorithms. Much like the SmartBeaconing algorithm considered earlier, heuristics could be developed to categorize how important the next beacon will be, based on variables such as the local traffic level, how many new stations have been recently heard, or time of day, and used to adaptively change the routing path used.

7.3 Conclusion

This chapter has presented a list of the most popular algorithms used to determine when a tracker should beacon, and provided some general guidance on what routing paths should be used by different types of trackers. The source-routed nature of APRS is often considered a double-edge sword, since any operator may tailor how the network handles the local station's traffic to meet their own need. The downside to source-routing is that malicious or ignorant stations are empowered to cause a tremendous amount of harm to the network's performance. There are inevitable exceptions to the guidance given in this chapter, so modifications to any defaults should be done with careful consideration and user education.

The guidance given has been as general as possible, without documenting the numerous edge cases and exceptions in individual areas. This is a common flaw with APRS documentation, where writers refuse to give any concrete advice since it is inevitably wrong somewhere in the world-wide APRS network. Stations planning to operate in unusually high or low density areas should carefully consider their beaconing behavior and consult local APRS interest groups.⁵

⁵<http://info.aprs.net> is a particularly useful website documenting local exceptions to these general rules.

8 APRS RF Channel Capacity

One of the largest limitations of the APRS network is the fact that it primarily operates on a single regional 1200 bits per second data channel. Individual nodes share the 1200bps channel using CSMA, as discussed in section 3.4, but the relationship between these channel access methods and how often a station should beacon isn't straight forward.

The APRS specification avoids giving concrete guidance on what beacon interval should be used beyond stating that every station should beacon at the net's cycle rate, which it lists as 10 minutes locally and 30 minutes network wide[33, p. 9].¹ For fixed nodes such as weather stations and network infrastructure, these intervals are appropriate since their information for the network is unlikely to dramatically change in the 10 to 30 minute time scale. Mobile users are a different story, since they often have new information for the network such as a direction change or a new text message. Fixed 10 minute beacon intervals are therefore often unsatisfactory for mobile users, who would like to see new information passed to the rest of the network more quickly. The popularity of SmartBeaconing is a testament to this desire to beacon more often, but the question now becomes how much more often can stations beacon while staying within the limitations of the APRS network?

This chapter will give an introduction to the original ALOHAnet research that networks like APRS are based on, and point out how these models fall short of the actual APRS network. A comprehensive study of the specifics as applied to APRS are beyond the scope and resources of this work, so no concrete recommendations will be made in this chapter.

¹see proportional pathing in the previous section

8.1 Network Capacity Objectives

The stated objective of APRS is to pass real-time tactical information among a station's 60 nearest peers. This value was apparently selected arbitrarily based on the feeling that it is unlikely that a station would need to interact with anyone beyond their 60 closest peers, but will nevertheless be used for the analysis in this chapter. This does complicate analysis of the APRS network since each station's set of their 60 nearest peers, which is referred to as their "Aloha Circle," is a different subset of all the stations in the network. Every station has a different concept as to who is in their Aloha Circle and who is not. Analysis is further complicated by the fact that few APRS stations are actually within radio range of 60 other stations, so often a significant portion of an Aloha Circle is beyond the local horizon and relayed through a limited number of digipeaters. This effects a phenomenon called the "hidden node problem," which impacts Layer 1 CSMA access, which is ignored in the limited scope of this chapter.

An appropriate starting point for analyzing APRS channel capacity would be the original methods developed for the ALOHA System at the University of Hawaii[1]. The ALOHAnet was a 9600bps UHF packet radio system that was the first application of wireless computer networking. This system developed several of the shared channel access methods that would subsequently be used in other protocols such as Ethernet, GSM, and APRS.

8.2 Poisson Channel

The most basic analysis of a channel's Aloha Circle capacity can be based on the assumption that each packet is the same length, that traffic enters the network as a Poisson process, and that any collision between two packets causes both of them to fail to be delivered. Defining the rate of packets entering the network as λ packets per second and the length of each packet as τ seconds, the normalized channel traffic G can be calculated as

$$G = \lambda\tau \tag{8.1}$$

This normalized channel traffic metric is expressed using the dimensionless unit Erlang named after the engineer who originally developed the field of queuing theory as applied to the telephone network. Erlangs express the fraction of a channel that would be needed for

all of the traffic entering the network. For example, a value $G = 1.0$ would indicate that a single station would need to transmit packets continuously, where $G = 0.5$ indicates that the channel is idle half of the time. Erlang values above 1.0 are valid, and simply represent that more than one channel would be required to handle all of the traffic.

When channel access is stochastic, as it is in APRS, there is no guarantee that two stations won't transmit at the same time, regardless of how much traffic there is on the network. Any packet transmission starting less than τ seconds before or after another would cause a collision and simple models dictate that both packets would be lost. This indicates that the rate of successfully received packets exiting the network is often less than λ , and is traditionally notated as λ' . Since $\lambda' \leq \lambda$, we define the normalized channel throughput S as

$$S = \lambda' \tau \quad (8.2)$$

This implies that the fraction of the channel capacity used for successfully delivered packets must follow the same relationship as λ and λ' , namely that $S \leq G$.

Making the assumption that channel access is Poisson in nature, the probability that a packet will collide with another can be derived to be $e^{-2\lambda\tau}$, as originally derived by Abramson [1]. This yields the relationship between S and G of

$$S = Ge^{-2G} \quad (8.3)$$

This indicates a moderately surprising result that for Poisson channel access, the throughput is completely independent of the number of stations on the channel, but only dependent upon the total channel loading.

Graphing the channel throughput versus the channel's traffic yields figure 8.1, which indicates that as you increase channel traffic, throughput increases to an inflection point when there is no unused channel capacity and packet collisions finally start to dominate the channel and harm throughput. This inflection point happens to be at an incoming traffic $G = 0.5$ which yields an expectation that 36% of the packets will be successfully received ($S = 0.18$).

While assuming that APRS traffic is based on a Poisson process is highly suspect, this simple model of channel capacity does yield some insightful values. Collecting several days of APRS traffic on 144.390MHz in San Luis Obispo, California shows an average packet

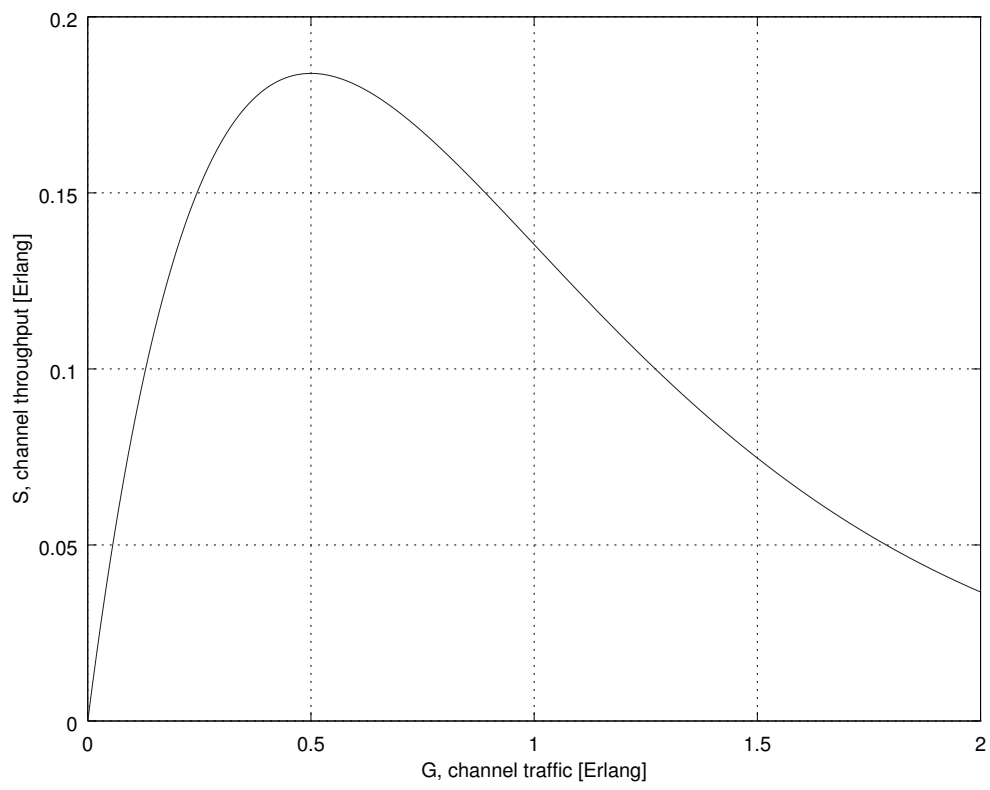


Figure 8.1. Poisson channel traffic and throughput

size of 119 octets. With a typical 300ms preamble, the assumption that every packet is the mean length, and a data rate of 1200bps, this yields $\tau = 1.09$ seconds.

$$\lambda_{APRS} = \frac{G_{MAX}}{\tau} = 0.457 \quad (8.4)$$

Equation 8.4 indicates that a single APRS channel can support 0.457 transmitted packets per second, or 27 packets per minute, which need to be shared between all of the participating network nodes. Assuming the typical target LAN size of 60 stations, this implies an average packet interval per station of *2 minutes 13 seconds*.² The less satisfying result is the fact that only 10 of these packets every minute will be successfully received, which indicates that two thirds of the transmitted power is wasted.

The next section will go into how this Poisson model is deficient to the point that this 2 minute 13 seconds value is nearly meaningless. Any station that beacons that fast on an actual APRS network could very quickly be identified as abusive to the rest of the network, so further work is needed before an analytic interval suggestion can be made. What this calculation does demonstrate is that the general concept of 60 stations sharing a single ALOHA channel in the way APRS intends is within the realm of possibilities. Had this value come out an order of magnitude larger, the claim that APRS could allow a user to discover 60 other stations would be much more suspect.

8.3 Deficiencies of the Poisson Model

There were several assumptions made to simplify the just-presented model for an ALOHA channel that are not valid when applied to a typical APRS network. While most of these assumptions indicate that the result of equation 8.4 is overly optimistic and stations should beacon less often, there are enough conflicting mechanisms at play in both directions that the true traffic versus throughput relationship does not appear to be easily found and requires further work beyond this paper. Some of the issues ignored in this chapter include:

- Differences in packet length – Actual APRS traffic varies in length, which has an effect on throughput rates by changing τ . Abramson presented a closed solution for the case

²For the reader skimming this chapter, DO NOT use this beacon interval for APRS; this Poisson model is too simplified to give meaningful results. A suggested default is 600 second intervals when beaconing.

of two discrete packet lengths [1], but his methods don't lend themselves well to the broad distribution of packet lengths found in APRS. His solution does imply that users should attempt to make packets as short as possible to improve throughput.

- Digipeaters and channel access methods – The presented model assumes that every packet is added to the channel as an entirely blind shot in the dark, but many APRS stations use CSMA to avoid transmitting on already busy channels. This reduces the window for destructive collisions and thus reduces the ratio between the channel traffic G and the usable throughput S .
- FM capture effect – This model assumed that any overlap between packets is fatal for both packets, but experience shows that packets from closer stations often “beat out” overlapping packets from stations much further away. This effect has not been quantified as it pertains to APRS.
- Sources of entropy in the network – Starting with a Poisson stochastic model implies a strong assumption that every packet starts at a random time independent of every other packet. Many APRS stations beacon on a fixed interval, such as 600 seconds, which makes that station's traffic very self-similar. Digipeaters also strongly break this assumption by their action of immediately repeating packets after they're originally transmitted. These digipeater echos aren't random at all. Papers have been written on the misuse of Poisson traffic models with regards to TCP/IP networks [26], and those arguments often apply equally well to the APRS network.
- The heterogeneous nature of APRS equipment – Any closed-form analysis of APRS depends on each network node behaving in one of a small set of possible behaviors. The home-brewed and come-as-you-are nature of APRS makes the cumulative behavior of the network at large much harder to model. Useful models would need to depend on careful measurement of the behavior of existing nodes, and likely use Monte Carlo methods to make meaningful statements about the system at large.

In the end, building a meaningful model for APRS network traffic will likely prove to be surprisingly challenging. Much of this derives from the unusual amount of latitude given to APRS implementations by the lack of detailed specifications that causes the aggregate network to behave so unpredictably. For further work modeling APRS to deliver meaningful

results, it's likely that it will need to begin by making a decision on which existing nodes in the APRS network are "misbehaving" by some developed metric and exclude them from any further analysis.

The community has been extremely reluctant to outright classify APRS hardware as misbehaving in the past, due to the embedded nature of APRS nodes that usually precludes any major modifications in behavior of existing nodes. Classifying a node as misbehaving often meant that the operator would need to spend a significant amount of time and money outright replacing the deficient hardware. As more APRS nodes move to open-source and/or reprogrammable implementations, it's conceivable that updates to APRS behavior as resulting from future research may become merely difficult, instead of utterly impossible.³

³For example, the open source aprx package is one of the most popular pieces of i-gate software on the network [25], and modern trackers like those from Argent Data often enjoy firmware updates which are relatively easy to install.

9 Conclusion

This paper has considered several of the different aspects of the APRS network, ranging from the low-level modem and channel access methods up to how nodes should make beaconing and routing decisions. During this survey, particular attention has been paid to pointing out deficiencies in the existing documentation while forming an unusually large collection of information on the topic of APRS. Some of these deficiencies have been followed by improvements and suggestions, while many of the larger shortfalls have been merely identified for future work. Due to many limited resources, this paper cannot stand as the definitive reexamination of the topic of APRS, but should be seen as a fastidious call to action to step back and try to re-examine the APRS network in the modern context.

Some of the major contributions of this paper include:

- Explicitly reclassifying HDLC framing as part of the modem and not the Layer 2 AX.25 stack, particularly with regards to the KISS modem interface protocol.
- Novel documentation of the CCITT CRC checksum as shown in figure 3.5 and Appendix A.
- Summarizing the AX.25 format as it is used for APRS.
- Explicitly deprecating the original meaning of the first number in the WIDEn-N routing alias.
- Presenting a comprehensive list of beacon interval algorithms, including a major reformatting of the existing SmartBeaconing documentation as presented in figure 7.3.
- Providing guidance on what the default paths should be for various categories of APRS stations.

- Applying a simple Poisson traffic model to the APRS network as a sanity check that the range goals of APRS are viable.

While these will likely prove useful to any readers looking to learn about the internal mechanics of APRS in the interest of building their own nodes for the network, it is the author's hope that the lasting value of this paper eventually proves to be its call to action for others to critically reexamine the APRS ecosystem. APRS has received little of the analytic mind-share that other large computer networks have received over the past few decades. As the APRS network has grown, many of the original design decisions made when it was a very small network have begun to break down as APRS grows into the tens of thousands of active stations.

A re-enumeration of all the possible avenues for further work derived from this paper would invariably be incomplete. The interested reader need not look too deeply to find possible subjects for further research, as evidenced by the author's painful overuse of phrases like "beyond the scope of this work."

While currently used primarily as a vehicle tracking system, the APRS network offers a tantalizing amount of flexibility to lend itself useful to countless other applications. Should enough effort be expended to tame the ambiguities left in the specification of APRS, it would be positioned to be a tremendously useful asset to the amateur radio community.

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A Reference CRC-16-CCITT Implementation

```
// CRC-16-CCITT Reference Implementation in C
// Kenneth Finnegan, 2014
// This is a skeleton program that takes a static AX.25 frame,
// calculates the Frame Check Sum, and prints every octet as hex.

#include <stdio.h>
#include <stdint.h>

uint16_t calc_crc(uint8_t frame[], size_t frame_len);
void send_octet(uint8_t byte);

int main(void) {
    uint16_t crc;
    int i;
    // Sample APRS frame payload - FCS = {0x76, 0x4A}
    uint8_t testvector[] =
    { 0x82, 0xA0, 0xB4, 0x60, 0x60, 0x60, 0xE0, // "APZ___"
      0x9C, 0x60, 0x86, 0x82, 0x98, 0x98, 0xE3, // "NOCALL-1"
      0x03, 0xF0, 0x2C, 0x41}; // Control PID ",A"
    size_t testlength = sizeof(testvector);

    // Calculate the FCS
    crc = calc_crc(testvector, testlength);
```

```

    // "Transmit" the complete frame
    printf("                .....7E\n");
    for (i=0; i<testlength; i++) {
        send_octet(testvector[i]);
    }
    send_octet(crc & 0xFF); // Send FCS bits 8-15
    send_octet((crc >> 8) & 0xFF); // Send FCS bits 0-7

    printf("7E.....\n");
    return 0;
}

// Calculate the CRC-16-CCITT of a given array of a given length
// NOTE: Operates completely in reverse-bit order
uint16_t calc_crc(uint8_t frame[], size_t frame_len) {
    int i, j;
    // Preload the CRC register with ones
    uint16_t crc = 0xffff;

    // Iterate over every octet in the frame
    for (i=0; i<frame_len; i++) {
        // Iterate over every bit, LSb first
        for (j=0; j<8; j++) {
            int bit = (frame[i] >> j) & 0x01;
            // Divide by a bit-reversed 0x1021
            if ( (crc & 0x0001) != (bit) ) {
                crc = (crc >> 1) ^ 0x8408;
            } else {
                crc = crc >> 1;
            }
        }
    }
}

```

```
    }

    // Take the one's compliment of the calculated CRC
    crc = crc ^ 0xffff;

    return crc;
}

// A dummy modulator that only prints each octet to the screen
// NOTE: The actual modulator should send the argument byte
// least significant bit first, and handle bit-stuffing
// the entire frame
void send_octet(uint8_t byte) {
    static int octetsperline = 0;

    printf("%02X ", byte);

    if ((++octetsperline) > 7) {
        octetsperline = 0;
        printf("\n");
    }

    return;
}

// END CRC-16-CCITT Reference Implementation
```

B SLOttime Justification

The SLOttime parameter of modems is dependent upon the total time it takes for one station to begin transmitting and for receiving stations to subsequently identify the channel as occupied. This sequence can be broken into the following stages:

- Transmitter key-up from standby (RX-TX turnaround time)
- RF propagation from transmitter to receiver (negligible)
- Receiver opening squelch and delivering AFSK signal to modem (While not usually measured, a representative measurement is the TX-RX turnaround time [24])
- Modem Data Carrier Detect (DCD) of the received signal

Radio Model	RX-TX Time	TX-RX Time	QST Issue
Yaesu FT-2600M	55ms	60ms	Dec 1999
Icom IC-910H	32ms	70ms	May 2001
Kenwood TM-271A	72ms	88ms	Mar 2004
Yaesu FT-7800R	190ms	98ms	Apr 2004
Yaesu FT-8800R	120ms	190ms	May 2005
Icom ID-800H	55ms	173ms	Nov 2005
Kenwood TM-V708A	56ms	86ms	Apr 2006
Yaesu FT-1802M	77ms	130ms	Jun 2006
Kenwood TM-V71A	75ms	102ms	Nov 2007
Icom IC-2820H	43ms	110ms	Nov 2007
Kenwood TM-D710A	75ms	106ms	Feb 2008
Yaesu FT-1900R	74ms	150ms	May 2010
Yaesu FTM-350R	134ms	70ms	Jan 2011

Since most Amateur Bell 202 packet activity is done using amateur VHF mobile radios, a survey was performed of ARRL QST magazine hardware reviews published on VHF-capable mobile radios since 1999. These reviews included RX-TX and TX-RX turnaround times which indicate that a significantly longer SLOttime is needed than the traditionally

suggested 100ms. This is due to *none* of the evaluated radios being fast enough to key up, a second radio to open squelch, and then allow any time for modem DCD before the end of a slot.

The author suggests that a new default of 300ms SLOTTIME be considered. This value allows many more radios to be able to detect a transmission in progress before the expiration of the slot time, based on the values collected above.