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Prioritizing Stream Barrier Removal to Maximize Connected Aquatic Habitat and Minimize Water Scarcity

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INTRODUCTION

Dams, culverts and diversions, collectively referred to as instream barriers, are economically-important for water supply and conveyance, but negatively affect river ecosystems and disrupt hydrologic processes. Instream barriers change chemical, physical and biological properties of rivers by altering stream temperature, dissolved oxygen, discharge, river depth, sediment transport and movement of native and non-native species (O’Hanley, 2011). Removing uneconomical and aging instream barriers to improve aquatic habitat connectivity is a technique increasingly used to restore river habitat (Stanley and Doyle, 2003; Magilligan *et al.*, 2016). Including both human water demands and aquatic habitat objectives in research and modeling advances understanding of environmental-economic tradeoffs to restore suitable habitat connectivity while managing competing human water uses (Null *et al.*, 2014).

Small barriers like diversion dams, weirs, and culverts fragment habitat patches and inhibit species’ migration and movement. They reduce genetic variability between populations (Pringle, 1997; Compton *et al.*, 2008; Peterson *et al.*, 2013). Many past barrier removal studies focused on identifying individual barriers to remove using a score-and-rank technique, which scores physical, economic or ecological attributes of barriers, then ranks them for potential removal. Scoring-and-ranking is straightforward and simple, but does not consider the cumulative hydrologic, habitat, or ecological effects of removing multiple barriers within the stream system (O’Hanley and Tomberlin, 2005; Kemp and O’Hanley, 2010; O’Hanley, 2011). Barrier removal systems modeling has focused on maximizing aquatic habitat connectivity but ignored economic benefits of dams, like water supply reliability, hydropower generation, recreation, or flood damage

1 reduction. When costs were included, they were for dam removal, remediation (Zheng
2 and Hobbs, 2013; Reagan, 2015; King and O’Hanley, 2016), or occasionally habitat
3 restoration (Null and Lund 2012). Conversely, water resources systems models
4 commonly include economic objectives, but represent environmental criteria as
5 constraints, removing them from decision-making (Cai et al., 2003; Jager and Smith,
6 2008; Null 2016).

7 Several studies have represented instream habitat and economic water supply
8 objectives, although habitat was typically modeled simplistically as accessible drainage
9 area, river length, or passability of barriers at different flows (Kuby et al., 2005; Null et
10 al., 2014; Neeson et al., 2015). Kuby et al. (2005) quantified and visualized trade-offs
11 between salmonid migration, hydropower generation, and water storage. Stream length
12 was summed to quantify habitat, assuming that all connected river segments provided
13 suitable habitat. Zheng et al. (2009) and Zheng and Hobbs (2013) included economic
14 losses from barrier removal and invasive species control, but water reliability was not
15 included as an objective. Null et al. (2014) minimized water scarcity from large dam
16 removals in California. Tradeoffs were evaluated between economic scarcity costs of
17 dam removal and environmental benefits of suitable upstream habitat; however, aquatic
18 habitat was not included directly in the optimization model. Most recently, Neeson et al.
19 (2015) used a return-on-investment optimization approach to analyze gains of barrier
20 removal at different spatial and temporal scales. Their project is noteworthy because cost
21 efficiency of barrier removal was evaluated basin wide and through time to understand
22 the significance of allocating funding for restoration projects. Their study did not include
23 economic losses from lost water deliveries.

1 To consider both water scarcity costs and aquatic habitat gains when prioritizing
2 barrier removal, we developed a dual-objective optimization model to evaluate barrier
3 removal benefits given economic and environmental objectives, and account for the
4 interconnected, spatial structure of a river network. Dual-objective optimization
5 mathematically maximizes or minimizes specific objectives, resulting in a Pareto-frontier
6 tradeoff curve, where points on the curve are efficient solutions (Pareto, 1964). Here, the
7 environmental objective is maximized to benefit aquatic habitat connectivity for trout,
8 using monthly average streamflow, water temperature, channel gradient, and geomorphic
9 condition as indicators of aquatic habitat suitability. Habitat suitability is multiplied with
10 reach length to determine reach quality-weighted habitat. An adapted version of the
11 Integral Index of Connectivity (IIC) (Saura and Pascual-Hortal, 2007) calculates
12 improved connectivity between quality-weighted habitat from removing barriers. The
13 economic objective is minimized to limit water scarcity costs using urban economic
14 penalty functions. We use the weighting method to combine two objective functions into
15 a single objective optimization problem. Weights on each objective vary between model
16 iterations to produce the Pareto-frontier curve. A budget constrains barrier removal costs
17 and limits the number of barriers to remove.

18 Our approach is novel because it simultaneously considers human water uses and
19 quality-weighted fish habitat connectivity for a large number of barriers and potential
20 barrier removals at the watershed-scale. It provides information for managing competing
21 human and environmental water demands, in this case, by prioritizing instream barrier
22 removal to improve accessibility to fish habitat at the least cost for people. The model is
23 applied to northern Utah's Weber Watershed. We focus on restoring habitat for protected

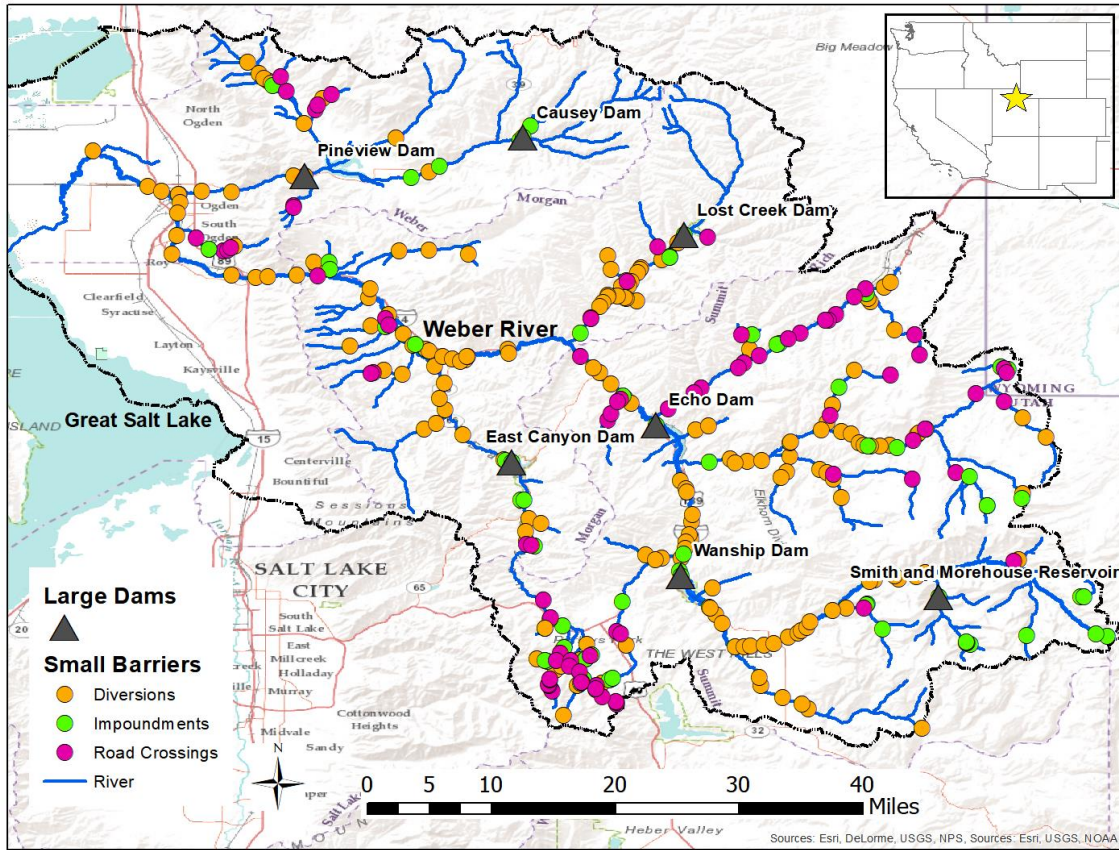
1 Bonneville cutthroat trout (*Oncorhynchus clarki Utah*) as an indicator of high quality,
2 connected aquatic habitat in the Weber Basin, although the model formulation is
3 generalizable to other basins. This paper begins with a description of the Weber Basin,
4 followed by modeling methods and assumptions including aquatic habitat suitability
5 classification, quality-weighted habitat connectivity, barrier passage ratings, cost of
6 barrier removal, and water scarcity cost estimates from economic penalty functions.
7 Results and discussion focus on tradeoffs between barrier removal costs, water scarcity
8 costs, and quality-weighted habitat connectivity. The paper ends with a discussion of
9 model limitations, followed by a summary of the five main conclusions of the paper.

10

11 STUDY SYSTEM AND BACKGROUND

12 Utah's Weber River flows approximately 200 km from the high Uintah
13 Mountains to Great Salt Lake (Figure 1). The watershed is about 6,400 square kilometers
14 (km²). Snowmelt from the Wasatch and Uintah Mountains is the primary source of
15 water. The basin has a montane to semi-arid environment and receives about 380 - 430
16 millimeters (mm) of precipitation per year (SWCA, 2014). The Weber Basin model
17 includes 348 barriers, defined as any unnatural instream structures, 66 in the mainstem
18 Weber River and 282 in tributaries.

19



1
 2 FIGURE 1. Weber Basin (Data sources: U.S. Geological Survey, 2013, accessed
 3 12/2015; Esri, 2017, accessed 01/2018). Dots represent small barriers such as diversion
 4 dams, impoundments, and road crossings. Large dams are represented by triangles.
 5 Stream barriers were combined to develop a barrier database (Data sources: NHD,
 6 accessed 12/2015, U.S. Geological Survey, 2013, accessed 12/2015; Trout Unlimited,
 7 2014, accessed 03/2016; National Inventory of Dams, accessed 03/2016).

8
 9 The Weber River is highly regulated. Discharge averages about 12.5 cubic meters
 10 per second (m^3/s) near the outlet to Great Salt Lake but would be considerably higher
 11 without consumptive water uses (Weber River Near Gateway USGS Gage 10136500)
 12 (Wurtsbaugh *et al.*, 2017). Currently, the Weber River supplies about 98.2 million cubic

1 meters (Mm³) of water to municipal and industrial water users each year and 266.4 Mm³
2 annually for irrigation (Weber Basin Water Conservancy District, 2010). The basin
3 provides water for over 500,000 people along the Wasatch Front and this population is
4 projected to nearly double by 2050 to one million people (Utah Foundation, 2014).

5 *Bonneville Cutthroat Trout Habitat*

6 The Weber River historically supported healthy populations of Bonneville
7 cutthroat trout. Altered environmental conditions reduced access to suitable habitat and
8 competition with nonnative species have led Bonneville cutthroat trout to be listed as a
9 “conservation species” in Utah (Budy et al. 2007). This means that Bonneville cutthroat
10 trout are protected under a multi-state conservation agreement to eliminate threats to
11 ensure long-term survival of populations and avoid listing under the Endangered Species
12 Act (Webber et al., 2012). Considering the conservation goal of this species, restoring
13 connectivity of suitable habitats is essential to sustain and enhance viable Bonneville
14 cutthroat trout populations.

15 Cutthroat trout prefer clear, cold water and complex habitats with sufficient depth
16 for migration, depending on life stage (Budy *et al.*, 2007). Annual spawning for
17 Bonneville cutthroat trout occurs in spring and into summer at higher elevations (Bennett
18 *et al.*, 2014). Trout prefer water temperatures under 15 °C (Bear *et al.*, 2007) but can
19 survive in temperatures over 22 °C and potentially up to 26 °C for short periods of time
20 (Schrank, *et al.*, 2003). Ideal water depth for adult cutthroat trout ranges between 0.4 and
21 0.7 m, and 0.3 to 0.6 m for juveniles in low velocity streams (Kershner, 1992;
22 Braithwaite, 2011).

1 Movement of Bonneville cutthroat trout are greatest in spring, moving distances
2 up to 82 km per season, although the majority of fish relocate less than 10 km within the
3 river. Summer and winter movement is generally within 1 km but, at times, cutthroat
4 trout move up to 22 km (Schrank and Rahel, 2004; Colyer *et al.*, 2005; Carlson and
5 Rahel, 2010). Habitat fragmentation between metapopulations in the Weber Basin limits
6 population dispersal and prevents access to preferred spawning reaches and other suitable
7 habitat (Budy *et al.*, 2014). Connectivity between habitats is important for access to
8 suitable habitat, but also to maintain genetic variability and exchange between
9 populations (Budy *et al.*, 2007; Budy *et al.*, 2014). Disconnected subpopulations become
10 isolated, increasing potential extinction risk (Hilderbrand and Kershner, 2004).

11

12 *Barrier Removal Decision-making in the Weber Basin*

13 Weber Basin stakeholders have implemented fish passage projects for river
14 restoration. In 2012, the National Fish Habitat Association listed the Weber River as
15 “Water to Watch” because of recent efforts to reconnect about 27 km of habitat by
16 building a fish passage structure on a mainstem river barrier and reconstructing two
17 previously impassable culverts (National Fish Habitat Partnership 2012). Trout Unlimited
18 has an ongoing project assessing potential fish passage barriers using aerial photography
19 and water rights data (Paul Burnett, Per. Comm., 2015). Given the scope and magnitude
20 of barrier effects on river habitat and aquatic ecosystem health, removing barriers offers
21 an opportunity to restore aquatic habitat connectivity (Stanley and Doyle, 2003;
22 Magilligan *et al.*, 2016). However, the number of barriers and restoration options, large

1 network, and competing water management objectives make it challenging to identify
2 which barriers to remove, ultimately hindering decision-making.

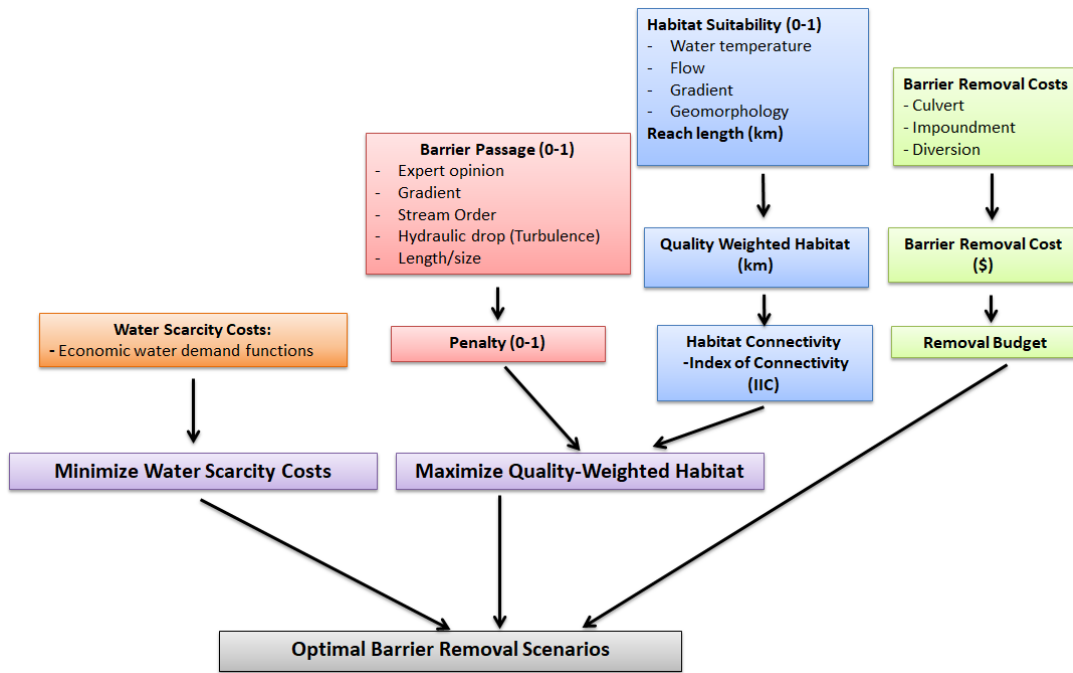
3

4

METHODS

5 We developed a dual-objective optimization model to prioritize barrier removal
6 (Figure 2). In this section, we first describe the mathematical model formulation for each
7 objective, as well as how we combine the two objectives into a single objective
8 optimization problem using weights. Next, we explain details and data to maximize the
9 quality-weighted habitat objective, including monthly habitat suitability classification
10 using streamflow, gradient, water temperature and geomorphic condition habitat criteria
11 for each reach and how habitat connectivity is represented in the model. Then, we
12 describe barrier passability ratings and barrier removal costs. Finally, we summarize the
13 seasonal economic water demand functions that minimize water scarcity costs from

1 removing water supply barriers (Figure 2). We end this section with a description of
 2 model runs.



3
 4 **FIGURE 2.** Inputs to the dual-objective optimization model that maximizes quality-
 5 weighted habitat and minimizes water scarcity costs subject to a removal budget.

6
 7 *Model Formulation*

8 A linear optimization model maximized quality-weighted fish habitat (km/month)
 9 and minimized water scarcity costs for urban water uses (US\$/month), constrained by a
 10 removal budget (US\$/month). The model was developed in the General Algebraic
 11 Modeling System (GAMS, 2013). Some decision variables, like removing barriers and
 12 reconnecting stream reaches, were binary.

13 The first objective maximized connected, quality-weighted habitat between
 14 barriers i and j (Equation 1). The second objective minimized water scarcity costs
 15 resulting from lost water deliveries to urban users when a barrier is removed (Equation

1 2). The model does not explicitly represent time, rather time is defined by input into the
 2 model.

$$\text{Maximize: } Z_{\text{habitat}} = \frac{\sum_{i=1}^n \sum_{j=1}^n \frac{H_i * H_j}{1+L_{ij}} * CR_{ij} * P_j * P_i + \sum_i H_i^2}{H_L^2}, \quad i \neq j \quad (1)$$

$$\text{Minimize: } Z_{\text{scarcity}} = \sum_k \frac{C_k}{\max(C_k)} * B_k \quad (2)$$

3 Where, H_i and H_j are the unimpeded distance (km/month) of quality-weighted habitat
 4 above barriers i and j (Figure 3). L_{ij} is the topological distance between the two barriers
 5 (unitless), CR_{ij} is the binary decision of reconnecting habitat between barriers i and j by
 6 removing intermediary barriers $\{0,1\}$. H_L is total quality-weighted habitat in the
 7 watershed (km/month), and P_i and P_j are passability penalties ($0.1 \leq P_i$ or $P_j \leq 1$) on
 8 barriers i and j , where values of 1 are impassable barriers and 0.1 are completely
 9 passable. Passable barriers were rated as 0.1, rather than 0, to avoid excluding passable
 10 barriers from barrier removal decision-making. In Equation 2, c_k represents the water
 11 scarcity costs (\$/month) from removing barrier k and B_k is the binary decision to remove
 12 barrier k from the stream network $\{0, 1\}$.

13 We combined the two objective functions (equations 1 and 2) into a single
 14 objective optimization problem using the weighted sum method by applying weights on
 15 each objective which sum to 1 (Equation 3) (Cohon and Marks, 1975). The quality-
 16 weighted habitat objective, Z_{habitat} , ranged between 0 - 1, while water scarcity losses
 17 (c_k , equation 2) were normalized between 0 - 1 when combining the objectives into a
 18 single function. Data for economic water scarcity costs and quality-weighted connected

1 habitat is month specific. Here, we focus model implementation on August conditions
 2 when we expect water temperature and streamflow most limit Bonneville cutthroat trout
 3 habitat and populations (Carlson and Rahel, 2010; Young, 2011), water scarcity costs are
 4 highest, and competition exists between quality-weighted connected habitat and urban
 5 water deliveries.

6

$$\text{Maximize } Z = (1-w) * Z_{\text{habitat}} - (w * Z_{\text{scarcity}}) \quad (3)$$

where $w =$ weight on objective ($0 \leq w \leq 1$).

7

8 Model constraints represent physical, habitat, and economic bounds. Equation 4
 9 defines a reconnected reach as existing only when all barriers between i and j are
 10 removed. Equations 5 and 6 specify that reconnecting reaches and barrier removals are
 11 binary decisions, thus barriers are either fully removed or not removed. A removal budget
 12 limits barriers removed based on removal costs (Equation 7).

13

$$CR_{i,j} \leq \sum_k Int_{i,j,k} * B_k / \sum_k Int_{i,j,k}, \forall i \neq j \quad (4)$$

$$CR_{i,j} \in \{0,1\}, \forall i,j \quad (5)$$

$$B_k \in \{0,1\}, \forall k \quad (6)$$

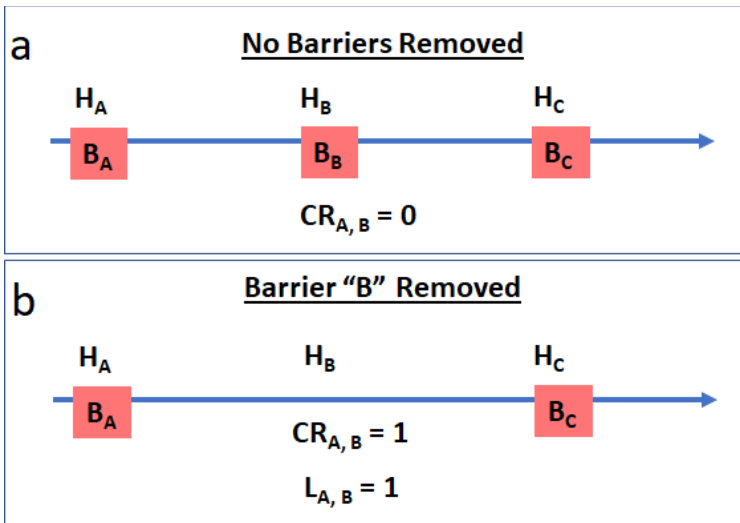
$$TC \geq \sum_k C_k * B_k, \forall k \quad (7)$$

14 where, $CR_{i,j}$ is the binary decision of reconnecting habitat between i and j by removing
 15 intermediary barriers $\{0,1\}$. The parameter, $Int_{i,j,k}$ is a binary parameter that indicates
 16 barrier k is in the reach between barriers i and j . Thus, the $CR_{i,j}$ variable takes a value of 1
 17 when the numerator (count of removed barriers along the path) equals the denominator

1 (count of all barriers along the path). Otherwise, $CR_{i,j}$ equals 0 (Equation 4). If no barriers
 2 are removed, the habitat upstream of barrier i , is counted as available habitat (H_i). The
 3 parameter, C_k is the cost of removing barrier B_k and TC is the barrier removal budget.

4 Figure 3 illustrates a simplified barrier network and decisions. If no barriers are
 5 removed, the decision to reconnect habitat between barriers A and B (CR_{AB}) is 0 and the
 6 unimpeded quality-weighted habitat upstream of barrier A (H_A) is included in the
 7 calculation of potential habitat (Figure 3a). $CR_{A,B}$ and topological distance ($L_{A,B}$) are 1
 8 when barrier B is removed because the reach between barriers A and C was reconnected
 9 (Figure 3b).

10



11

12 FIGURE 3. Schematic of a barrier network. When no barriers are removed (a), the
 13 decision to reconnect habitat between barriers A and C ($CR_{A,C}$) is 0. Quality-weighted
 14 habitat above barriers A and B is represented by H_{AB} . When barrier B is removed (b) a
 15 reach is created with a downstream barrier, C, and upstream barrier A. $CR_{A,B}$ is 1 and the
 16 topological distance, $L_{A,B}$, is 1.

17

1 *Environmental Objective: Habitat Suitability*

2 Habitat criteria, such as monthly percent of mean annual discharge, monthly
3 water temperature, gradient, and geomorphic condition were intersected for each month
4 and stream reach in a GIS database. We use habitat criteria to classify habitat suitability
5 and, thus, quality-weighted habitat (Figure 2). The intersection classified reaches into
6 excellent, good, fair, and poor habitat suitability (Table 1). Lindley *et al.*, (2006) and Null
7 *et al.*, (2014) previously used a similar habitat suitability classification for steelhead trout
8 in California streams. Merovich *et al.*, (2013) used landscape data such as elevation,
9 geology, land cover and drainage area to predict stream conditions at multiple watershed
10 scales in a heavily mined region of the Appalachian. Although differing in approach,
11 numerous other studies have applied habitat classification and scoring for fish species in
12 other watersheds (Burnett *et al.*, 2003; Quist, Rahel and Hubert, 2005; Nunn and Cowx,
13 2012).
14

1 TABLE 1 Habitat criteria to determine Bonneville cutthroat trout habitat suitability. All
 2 criteria must be met for excellent, good and fair habitat suitability.

	Flow October- March (% of MAD)	Flow April- September (% of MAD)	Water Temperature (°C)	Gradient (%)	Geomorphic Conditions	Rating
Excellent	> 25%	> 60%	0 - 15	0 - 6	Good or Intact	1
Good	> 12%	> 40%	0 - 18	0 - 9	Good or moderate or intact	0.75
Fair	> 5%	> 10%	0 - 21	0 - 10	good or poor or moderate or intact	0.25
Poor	0 - 5%	0 - 10%	≥ 21	> 10	good or poor or moderate or intact	0.10

3
 4 **Discharge.** Average monthly discharge was extracted for each reach from the
 5 National Hydrography Plus Dataset (NHD), which has 1971-2000 gage-adjusted
 6 streamflow (U.S. Geological Survey, 2013). The NHD data set is a suite of geospatial
 7 data products including modeled streamflow using the Enhanced Runoff Method at a 30
 8 m ground spacing resolution (McKay *et al.*, 2012). NHD estimated flow compared to
 9 2005-2015 measured flow has a standard error of the estimate (SEE) of 2.3 m³/s, percent
 10 bias (PBIAS) of 29.5%, R² of 0.96 and root mean square error (RMSE) of 2.3 m³/s. At
 11 low flows, NHD estimated discharge nears the one-to-one line, while at high flows NHD
 12 underestimated streamflow (Kraft 2017). A modified version of the Tennant
 13 environmental flow method estimated required instream flows as a percentage of mean
 14 annual discharge (MAD) for the Weber Basin, with classifications of poor, fair, good and

1 excellent (Orth and Maughan, 1981) (Table 1). The Tennant method is the most widely
2 used instream flow classification method (Pyrce, 2004; Gopal, 2013) and assumes a
3 proportion of MAD is necessary to maintain healthy ecosystems. Less than 10% of MAD
4 is considered severely degraded fish habitat, comprising unsuitable depths, velocities and
5 substrate. Maintaining suitable habitat for aquatic life requires flows that are at least 30%
6 of MAD, while outstanding or optimum classification requires flows that are 60-100% of
7 MAD (Orth and Maughan, 1981; Jowett, 1997; Gopal, 2013). Mann (2006) tested the
8 Tennant method in the western U.S. including Utah, and found the method appropriate as
9 a general recommendation of environmental flow, but not suitable for all regions and not
10 representative of high gradient streams.

11 MAD was computed with 10 – 30 year historical flow data prior to large dam and
12 diversion developments upstream of the gage for reaches aggregated by Strahler stream
13 order. Reaches were grouped by stream order because historical flow data was not
14 available for every reach in the basin. Then, October – March and April - September flow
15 classification was calculated based on percentage of NHD average monthly flow to MAD
16 (Table 1).

17 **Water Temperature.** Average monthly water temperature was correlated from
18 2005-2015 PRISM 4 km air temperatures and August 10-year average NorWeST stream
19 temperatures (Prism Climate Group, 2016; Isaak *et al.*, 2017). Scully (2010) calculated
20 that mean absolute error (MAE) of gridded PRISM air temperatures across the United
21 States were 0.72 to 0.74 °C and mean bias error was -0.11 to -0.13°C. Linear regression
22 models effectively predict water temperature from air temperature in the 0 to 20 °C range
23 at monthly and weekly time steps because they are not spatially auto-correlated compared

1 to daily time series (Caissie 2006). At temperatures < 0° C and > 20 °C, the slope of the
2 curve changes from evaporative cooling and snow and ground water inputs, and the
3 linearity assumption does not hold (Mohseni and Stefan, 1999). To account for patterns
4 of spatial autocorrelation during relatively warm August air temperatures, modeled
5 August stream temperatures were obtained from the NorWeST dataset. NorWeST stream
6 temperatures report root mean square percentage error (RMSPE) of 1.07°C and MAE of
7 0.74 °C (Isaak et al., 2017). For all other months, stream temperatures were linearly
8 regressed from air temperatures (Equation 8).

$$T_{i,j} = 4.2168 + 0.6259*(TA_{i,j}) \quad (8)$$

9 where $T_{i,j}$ represents estimated average stream temperature (°C) between barriers i and j,
10 and $TA_{i,j}$ is PRISM 10-year average air temperature (°C) between barriers i and j.

11 Predicted stream temperatures were validated with observed 2015 average monthly
12 stream temperatures. The 2015 observed versus predicted water temperatures had an R^2
13 of 0.93, MAE of 1.28 °C, RMSE of 1.55 °C, and percent bias (PBIAS) of 2% (Kraft
14 2017).

15 Stream temperatures were categorized for Bonneville cutthroat trout as poor, fair,
16 good or excellent. Poor water temperatures exceed 21°C and excellent water temperatures
17 are 15 °C or colder (Table 1) (Schrank et al., 2003; Hickman and Raleigh, 1982).

18 **Gradient.** Gradient was estimated with a digital elevation model (DEM).
19 Excellent gradients for Bonneville cutthroat trout are between 0-6%, while poor gradients
20 are over 10% (Table 1) (Kershner, 1992; Rosenfeld, Porter and Parkinson, 2000;
21 Hilderbrand and Kershner, 2004).

1 **Geomorphic Condition.** Stream reach geomorphic conditions range from
2 undisturbed to severely degraded, and were developed for the Weber River by the Fluvial
3 Habitat Center at Utah State University (Portugal *et al.*, 2016). The geomorphic
4 assessment is a simplified version of the River Styles Framework, a tool to classify and
5 rank river reaches by hydrology, geomorphic condition, riparian vegetation, character and
6 recovery potential (Table 1) (Portugal *et al.*, 2016).

7 **Habitat Suitability.** Discharge, water temperature, gradient, and geomorphic
8 condition habitat criteria were intersected for each month and stream reach to classify
9 habitat suitability (Equation 9) (Table 1). For example, a reach with excellent Bonneville
10 cutthroat trout habitat met all conditions of gradient $< 6\%$, good or intact geomorphic
11 condition, water temperature $\leq 15^{\circ}\text{C}$, and discharge $> 25\%$ of the mean annual
12 discharge between October and March, and $> 60\%$ of mean annual discharge between
13 April through September. A reach was categorized as poor habitat if any of the following
14 occurred: water temperature $\geq 21^{\circ}\text{C}$, gradient $> 10\%$, or discharge $< 5\%$ of the mean
15 annual discharge. Ratings between 0.1 to 1 quantified habitat suitabilities so they could
16 be input into a mathematical model. Poor habitat rating of 0.1, rather than 0, was assigned
17 because values of 0 remove the barrier as an option from decision-making.

18 Habitat suitabilities were compared with habitat for known populations of Weber
19 Basin Bonneville cutthroat trout using Fisher's exact test. Fish population estimates from
20 Trout Unlimited provide a general idea of fish locations but are preliminary data which

1 do not vary seasonally. The p-value of < 0.001 , suggests that the habitat suitability are
2 significant in predicting observed fish presence (Figure S1).

3 To determine quality-weighted habitat above each barrier for each month, the
4 longitudinal length between barriers i and j , was calculated in GIS and multiplied by
5 habitat suitability (Equation 10).

$$Hql_{i,j} = Q_{i,j} \cap G_{i,j} \cap T_{i,j} \cap GC_{i,j}, \forall_{i,j} \quad (9)$$

$$H_{i,j} = Hql_{i,j} * Hl_{i,j} \forall_{i,j} \quad (10)$$

6 For each length of stream between barriers i and j , $Q_{i,j}$ is the monthly percent of mean
7 annual discharge, $G_{i,j}$ is the gradient (%), $T_{i,j}$ is the monthly water temperature ($^{\circ}C$), and
8 $GC_{i,j}$ is the geomorphic condition (unitless). In Equation 10, the habitat suitability is $Hql_{i,j}$
9 (unitless), $Hl_{i,j}$ represents reach length (km), and $H_{i,j}$ denotes quality-weighted habitat
10 (km).

11 **Habitat Connectivity.** The Integral Index of Connectivity (IIC) measures the
12 degree of habitat connectivity at the watershed-scale, ranging from 0, unconnected, to 1,
13 a fully connected watershed absent of barriers (Pascual-Hortal and Saura, 2006). Among
14 the proliferation of metrics available, IIC is one of the most suitable for quantifying
15 accessible stream habitat (Malvadkar *et al.*, 2015). The IIC represents a graph network
16 with a set of nodes (habitat patches) and links between habitat patches. We defined river
17 reaches as habitat patches (nodes) and barriers as links between the habitat patches. The
18 original IIC includes all habitat patches between which fish disperse (Pascual-Hortal and
19 Saura, 2006). We adapted the IIC by only including stream reaches without barriers
20 between the downstream and upstream barrier. In the calculation of the IIC metric, the
21 variable $CR_{i,j}$ identifies reaches created from removing all barriers between barriers i and

1 j, but does not consider existing habitat above barrier i. H^2_i accounts for the quality-
2 weighted habitat above barrier i toward the overall stream connectivity (Equation 1).

3 If connectivity is not included, the first objective maximized quality-weighted
4 habitat above barrier k (H_k). The second objective did not change, and the weighted sum
5 method combined both objective functions into a single objective
6 (Equation 11). A sensitivity analysis of the objective function without connectivity was
7 included.

$$\text{Maximize: } Z_{\text{habitat}} = \sum_{k=1}^n H_k * B_k * P_k \quad (11)$$

8

9 *Barrier Passage*

10 Each barrier was assigned a passage rating based on the probability of Bonneville
11 cutthroat trout moving beyond the barrier throughout the year. Fish passage weights are
12 from a Trout Unlimited study where potential and known barriers were categorized and
13 passage was rated. Trout Unlimited used expert knowledge of barriers in the basin,
14 previous studies of fish movement, water rights data, and areal imagery where indicators
15 such as water turbulence, culvert length, evidence of vertical drop, skirt or apron size, and
16 structure type estimated barrier passage (Trout Unlimited, 2014). Passage ratings of the
17 identified barriers were further refined from the literature using stream gradient, stream
18 order, culvert length and areal imagery as shown in Table 2 (Weaver, 1963; Warren and
19 Pardew, 1998; Poplar-Jeffers *et al.*, 2009; Neeson *et al.*, 2015). Rating scores were based
20 on previous classification systems where zero was completely passable, 0.3 was mostly
21 passable, 0.6 was partially not passable, and 1 was not passable (Scotland & Northern
22 Ireland Forum for Environmental Research, Edinburgh, 2010; King and O’Hanley, 2016).

1 A barrier was partially passable if a fish can move past the barrier only in favorable
 2 hydrologic conditions.

3

4 TABLE 2. Criteria for barrier passage classification using culvert length, water
 5 turbulence, stream order, gradient and expert opinion (Weaver, 1963; Warren and
 6 Pardew, 1998; Poplar-Jeffers *et al.*, 2009; Trout Unlimited, 2014; Neeson *et al.*, 2015).

	Rating	Slope (reach)- GIS derived	Strahler order- GIS derived	Length of Culvert (m)	Box Culvert Length (m)	Water turbulence for all structures
passable	0.1	< .04	> 5	<= 10	<= 100	low
mostly passable	0.3	.04 - .05	<= 4	11 - 30	100 - 400	moderate
partially not passable	0.6	>.05 -. 06	<= 4	31 - 85	>400 - 750	high
not passable	1	> .06	<= 4	> 85	>= 750	high

7

8 Barrier passage ratings were incorporated into the model as barrier penalty
 9 parameters P_i and P_j (Equation 1). Higher penalties were assigned to un-passable barriers
 10 and lower penalties to less obstructive barriers to nudge the model to remove more
 11 inhibitive barriers (Table 2).

12

13

14

1 *Barrier Removal Costs*

2 Culvert removal/replacement costs were estimated from known culvert length or
3 measured culvert length in areal imagery. Culverts between 6.1 – 15.2 m (20 and 50 ft
4 long), typically used for two lane roads, were estimated at \$150,000 while those over
5 15.2 m (50 ft), typical for four lane roads, were estimated at \$75,000 per lane or \$300,000
6 (Salt Lake City Department of Public Utilities, 2008; Neeson *et al.*, 2015). Removal costs
7 of culverts less than 6.1 m long (20 ft), were calculated from cost estimates of culvert
8 removals in Idaho (Dupont 2000). The equation based off Dupont’s (2000) estimates
9 relate culvert length (CL) and cost of building materials, adjusted for inflation, to
10 estimate culvert removal and bridge replacement costs (measured in \$/ft) (Equation 12).
11 The initial value of \$33500 represents the base estimate for bridge replacement.

$$\text{Cost} = 33500 + 804 * \text{CL} \quad (12)$$

12 Diversion removal costs were estimated from expert opinion and, if known,
13 diverted water quantity and diversion structure size. Large diversions, primarily for
14 municipal water use with capacity of 28.3 m³/s or more, were estimated at a removal cost
15 of \$1 M (per comm. Paul Burnett, Trout Unlimited, 2016). Costs of small diversions, less
16 than 28.3 m³/s, were estimated at \$300,000 (per comm. Mitigation Commission 2016).

17 Dam removal costs are from the American Rivers database and past large dam
18 removal estimates in the U.S. (American Rivers, 2015). Dams with an unknown height
19 were assigned the average cost (\$250,000) of 0.3 – 1.5m (1 and 5 ft) high dam removals.
20 Klamath Dam removal costs were compared to Weber Basin large dams height, length
21 and reservoir capacity to estimate removal costs for dams with capacity over 1 Mm³ (US
22 Dept. of Interior, US Dept. of Commerce and National Marine Fisheries Service, 2012).

1 Six large dams in the Weber Basin were estimated to cost \$30 M for removal, except the
2 largest reservoir in the basin, Pineview Dam, which was estimated at \$50 M.

3
4 *Economic Objective: Water Scarcity Costs*

5 It is important to consider economic water uses in water resources and barrier
6 removal modeling since population in the Wasatch Front and Weber watershed continues
7 to grow, potentially changing water demands. Managing water resources as economic
8 goods enables resource management to mitigate water scarcity and dynamically represent
9 water management and decision-making (Van der Zaag *et al.*, 2006). We applied
10 estimated seasonal urban economic loss functions in the Ogden metropolitan area that
11 were developed by Null (2018) using the demand function method. This approach
12 requires water price (per comm. Jackson-Smith, 2018), volume of water applied at that
13 price (Jackson-Smith, 2017), urban population (US Census Bureau, 2012) and the price
14 elasticity of water demand (Coleman 2009). The loss functions used 2010 data for the
15 Ogden metropolitan area, except water demand price elasticities were estimated for Salt
16 Lake City in 1999-2003. For more detail see Null (2018).

17 Economic loss functions include the monthly prices that residential, commercial,
18 industrial, and institutional water users would be willing to pay for water (Draper *et al.*,
19 2003; Jenkins *et al.*, 2003; Whitelaw and Macmullan, 2014). Water deliveries that meet
20 or exceed target water demands result in no water scarcity (economic losses). When
21 water deliveries are less than demand, water scarcity represents costs incurred to users
22 (Jenkins *et al.*, 2003). During summer months, water demands are greater, sometimes
23 resulting in increased water scarcity. Loss functions provide the marginal willingness to

1 pay and scarcity cost estimates for an additional unit of water. Water demand elasticities,
2 and thus economic loss functions, are most accurate for small changes around historical
3 water demands and deliveries. However, most observed changes in water supply are
4 more substantial (Ward, 2009). If water deliveries do not remain within the price range
5 of estimated elasticity, economic losses would be underestimated. However, improving
6 estimates would require assumptions about future demand elasticity, water price, and
7 level of conservation, which are difficult to approximate reliably.

8 Monthly economic losses were estimated for seven water supply reservoirs and
9 three major diversions. To estimate urban water scarcity losses, we assumed the 30-year
10 average monthly flow downstream of reservoirs was equal to water demands, resulting in
11 no water scarcity. Water scarcity costs were calculated as percent change in water
12 delivered before and after dam removal, where 100% of water delivered resulted in zero
13 economic loss and 5% water deliveries resulted in water scarcity losses ranging between
14 \$129 M and \$856 M per month for the watershed, depending on season (Figure S2).
15 Removing large diversions resulted in no water deliveries to the downstream demand
16 area because we assumed that without diversions no water could be delivered.

17

18 *Model Runs*

19 We ran the model for six alternatives representing habitat suitability and water
20 scarcity conditions in August (Table 3). The base case model was implemented at
21 multiple barrier removal budget levels, ranging between \$0/month to a budget sufficient
22 to remove all barriers in the network, about \$317.2 M/month. For each budget, the

1 weight between the economic and environmental objectives was varied between 0 and 1
2 to generate alternatives along the Pareto front.

3

4 TABLE 3. Optimization model alternatives

Basecase
Without Connectivity Index
Without Barrier Passage
50% Increase to Barrier Removal Costs
25% Decrease to Barrier Removal Costs
50% Decrease to Barrier Removal Costs

5

6 We also performed extensive sensitivity analyses to explore how sensitive the
7 modeling approach and results are to input data and assumption changes. To evaluate the
8 sensitivity of model results to habitat connectivity, one run did not use a habitat
9 connectivity index (Equation 11). Next, barrier passage was removed from the
10 environmental objective function, providing results assuming that all barriers are
11 completely impassable. Lastly, to bracket the range of results with uncertain barrier
12 removal costs, barrier removal costs were increased by 50% and decreased by 25% and
13 50%.

14 We focused results on August habitat conditions because water scarcity costs are
15 greatest in summer months, resulting in competition for water. This provides the most
16 interesting results for complex water management. In reality, when barriers are removed,
17 they are removed in all months.

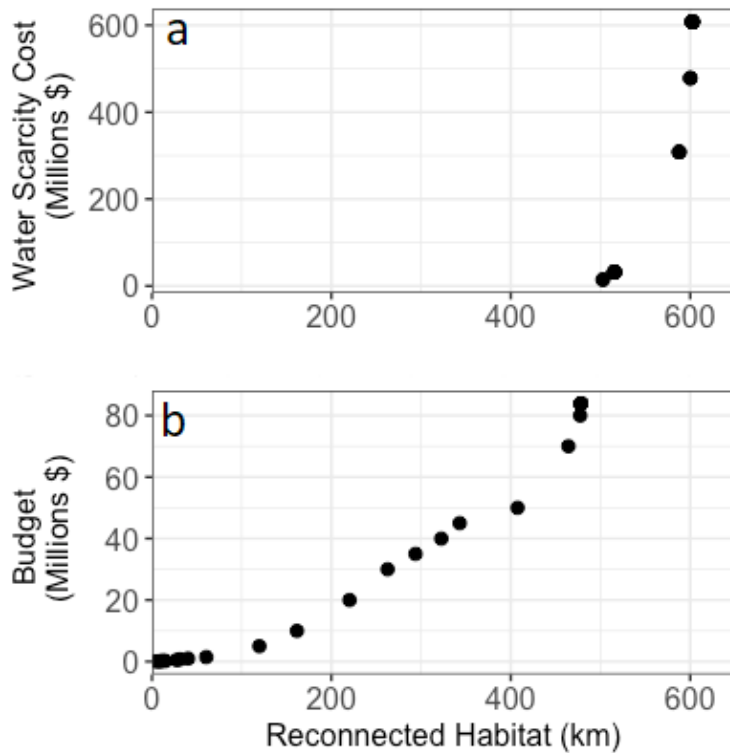
18

19

1 RESULTS

2 *Habitat Benefits Versus Costs*

3 Results show Pareto optimal solutions from varying objective weights (Figure 4a)
4 and the increase in reconnected habitat when varying the barrier removal budget (Figure
5 4b). When evaluating objective tradeoffs, more than 500 km of quality-weighted,
6 connected habitat can be added in August by removing small instream barriers without
7 affecting water supply or incurring water scarcity costs (Figure 4a). This entails removing
8 337 barriers, with total barrier removal costs of just over \$83 M. When seven large water
9 supply dams and 3 diversions are removed, 124 km of habitat is added but water scarcity
10 costs exceed \$660 M/month (Figure 4a).



12
13 FIGURE 4. (a) Pareto optimal solutions for August habitat versus water scarcity costs
14 and (b) tradeoff curve for August reconnected habitat versus barrier removal budget with

1 equal weights on both objectives. Initially, reconnected habitat costs \$11,200 per
2 kilometer, but at higher budgets increases to \$1M per kilometer of reconnected habitat.

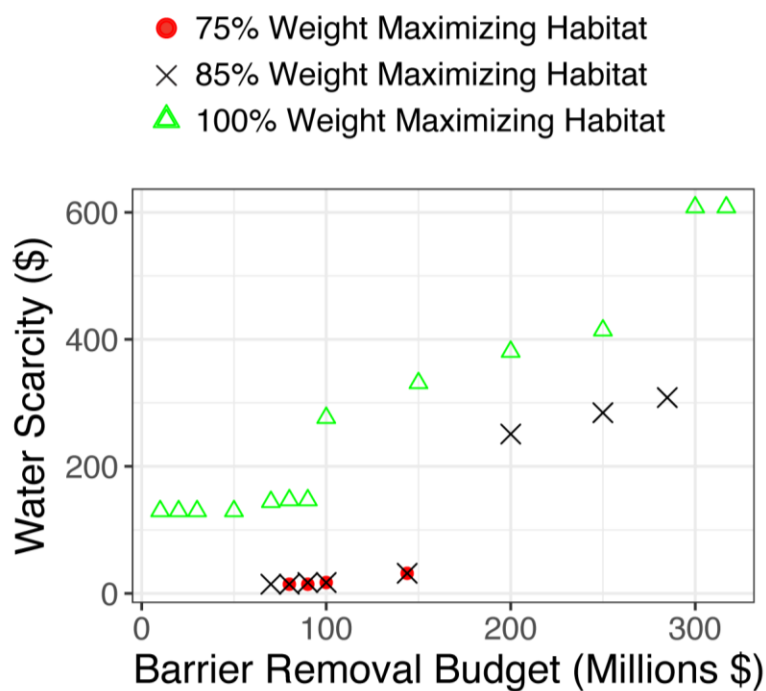
3
4 When the first two barriers are removed at a budget of \$89,600, 8 km of habitat is
5 reconnected at a cost of \$11,200 per kilometer. With a budget of \$10 M, 66 additional
6 barriers are removed that connect 160 km (26%) of habitat at an average cost of \$61,940
7 per kilometer (Figure 4b). Near a budget of \$40 M, barrier removal costs increase to
8 about \$1 M per kilometer of reconnected habitat. In other words, there is decreasing
9 marginal benefit of removing barriers, so that after the first 54 barriers are removed, costs
10 rise to gain habitat.

11 *Tradeoffs with Varying Objective Weights*

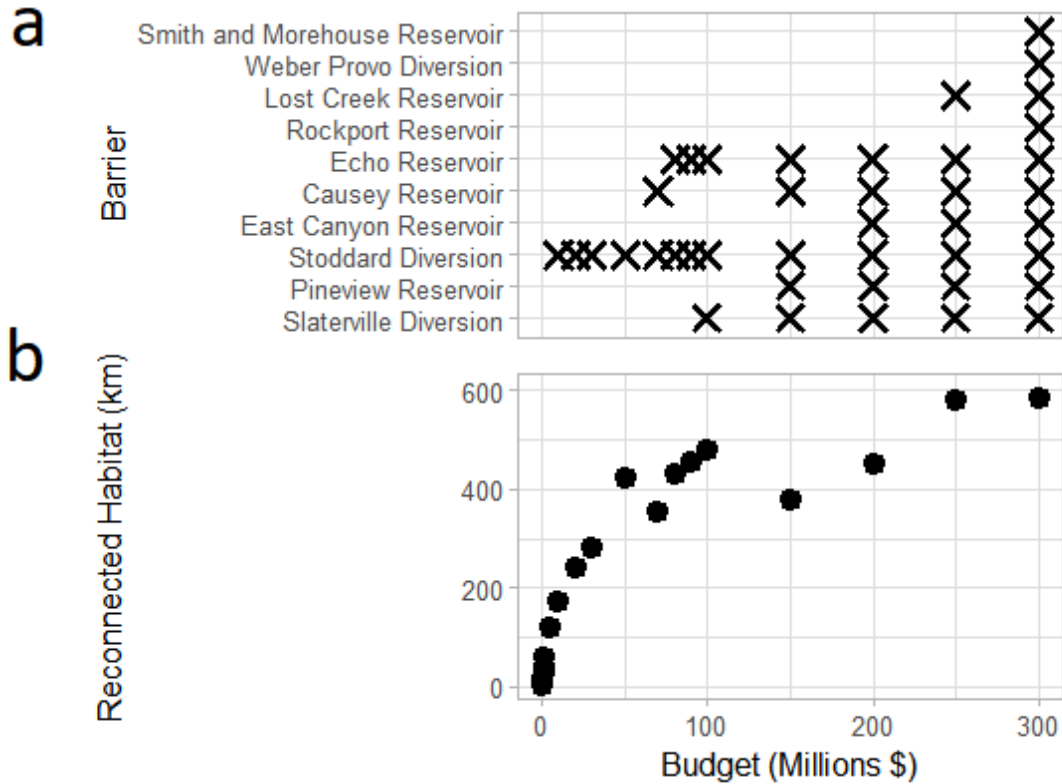
13 At equal objective weights, economically important barriers are never removed in
14 August, despite a sufficient budget. Water scarcity costs are incurred in June, July and
15 September. At a 55% weight maximizing habitat, August water scarcity costs are \$14.5
16 M and 502 km of habitat is reconnected (\$28,900 water scarcity losses per km
17 reconnected habitat) (Figure 4a). An additional 72 km of reconnected habitat and \$276.7
18 M in water scarcity losses occur as the weight for maximizing habitat increases from 70%
19 to 80% (Figure 4a).

20 When maximizing habitat receives 100% weight between the two model
21 objectives and removal budget is gradually increased, water scarcity costs begin when the
22 barrier removal budget is \$10 M (Figure 5). If quality-weighted habitat is weighted by
23 98%, one diversion (Stoddard Diversion) is removed with a budget of \$10 M (Figure 5)
24 and is the only large barrier removed until the barrier removal budget reaches \$70 M. As

1 maximizing habitat is given smaller relative weights, water scarcity costs are incurred at
 2 higher budget levels. If 85% weight is given to maximizing quality-weighted connected
 3 habitat, again only the Stoddard Diversion is removed with a barrier removal budget of
 4 \$70 M (Figure 6). At 75% weight maximizing habitat connectivity (25% minimizing
 5 water scarcity), barriers resulting in water scarcity are not removed until the budget
 6 reaches \$80 M.



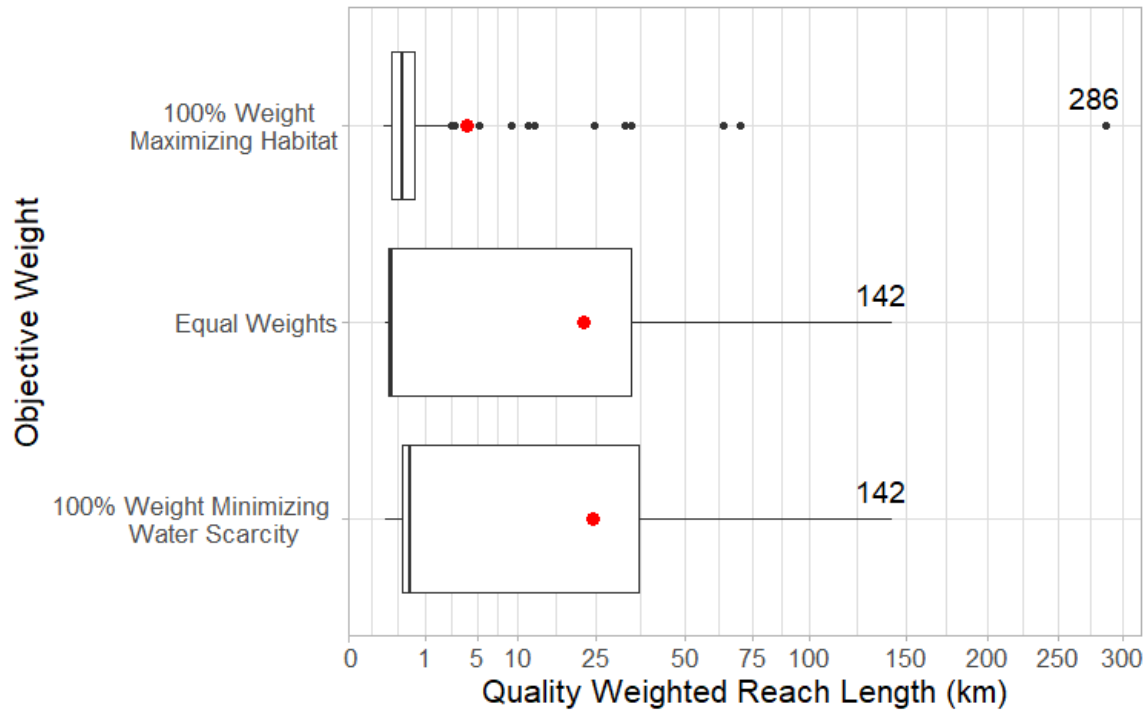
7
 8 FIGURE 5. Water scarcity and barrier removal costs with varying weights between
 9 model objectives. At equal objective weights, no barriers resulting in water scarcity are
 10 removed.
 11



1
 2 FIGURE 6. Barrier removal budgets and reconnected habitat tradeoffs when large
 3 barriers are removed. Tradeoff curve (a) and barriers removed (b) are for August habitat
 4 suitability and 85% weight on quality-weighted connected habitat.

5
 6 With an \$80 M budget, the longest connected reach length is 286 km when the
 7 quality-weighted objective is prioritized compared to equal weights on both objectives
 8 (Figure 7). Interestingly, with 100% weight on the maximizing habitat objective, average
 9 reach length is shortest (4 km) and the average reach length is longest (24 km) when
 10 minimizing water scarcity costs are prioritized (24 km). Average reach length is 22 km
 11 with equal weights (Figure 7).

12



1

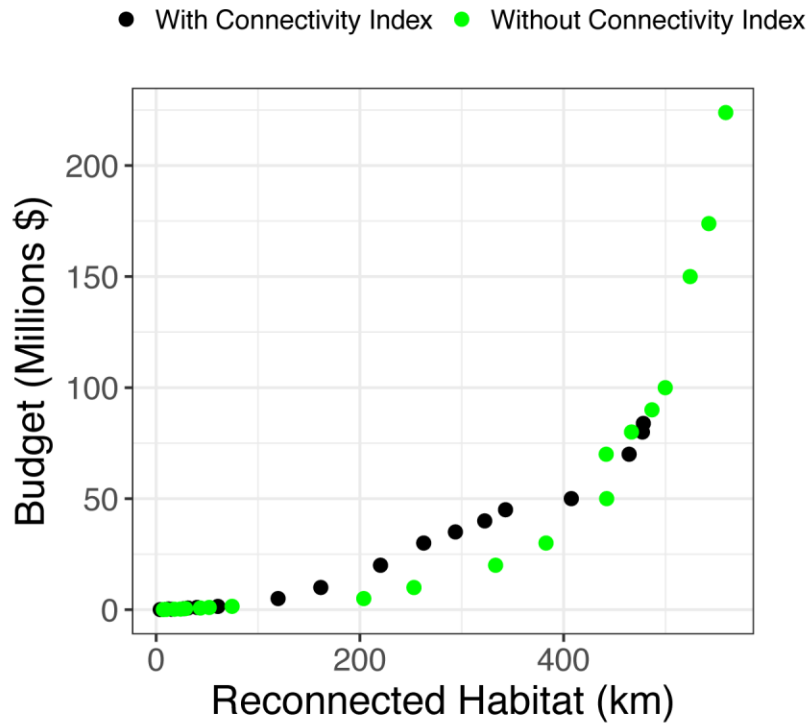
2 FIGURE 7. Connected reach length with different objective weights and a \$80 M budget.

3 The red dot represents the average reach length and maximum reach lengths are labeled.

4 *Sensitivity Analyses*

5 Including a connectivity index in model formulation allows control over the ideal
 6 length of habitat. When maximizing quality-weighted habitat without a connectivity
 7 index, the model reconnects more habitat for given barrier removal budgets until about
 8 400 km of habitat has been reconnected (Figure 8). In August, the biggest difference
 9 occurs at 333 km reconnected habitat, where removing barriers without adding the
 10 connectivity index costs \$21 M less than when the connectivity index is included. Also,
 11 without the connectivity index more habitat can be connected, but at a higher cost (Figure
 12 8).

13



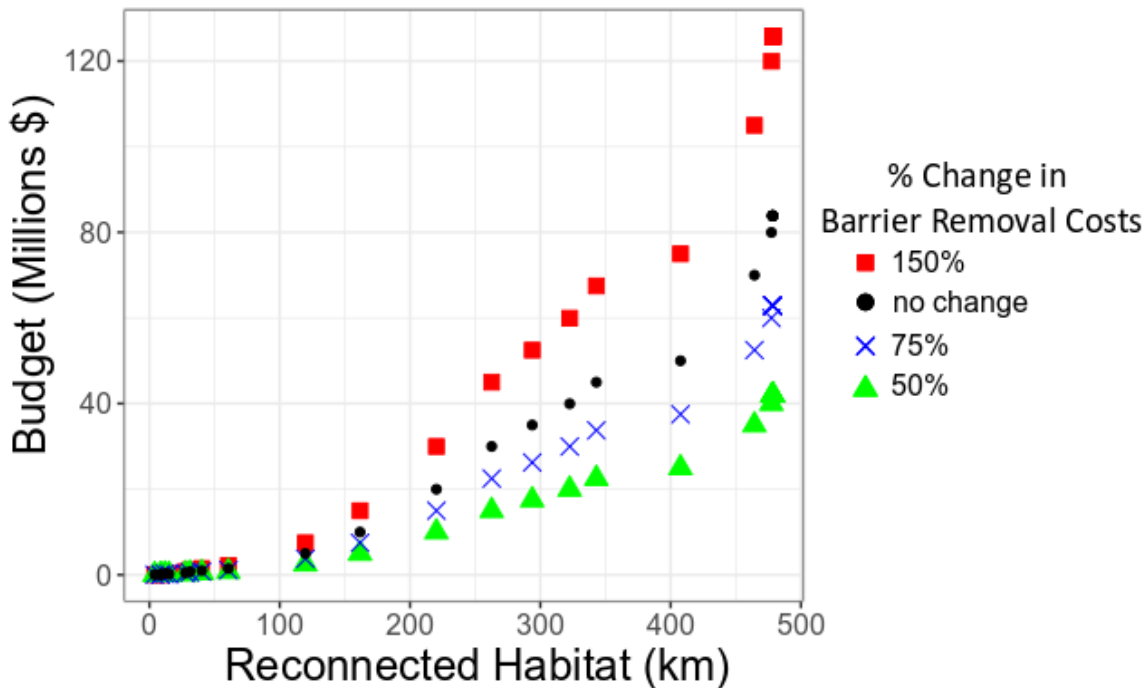
1
2 FIGURE 8. August tradeoff curve of barrier removal budget versus total habitat gain with
3 and without a connectivity index for quality-weighted habitat.

4
5 Incorporating the probability that fish can pass barriers as a penalty in the model
6 highlighted barriers that inhibit fish movement. When fish passage probability was not
7 included in the model, 42% (5/12) of removed barriers were mostly or fully passable at a
8 \$1.5 M budget. When barrier passage probability was included as a penalty, 30% (3/10)
9 of removed barriers were mostly passable and the model did not remove any fully
10 passable barriers. While removing fully passable barriers may help restore a stream to its
11 natural state, it may not improve fish habitat connectivity.

12 Barrier removal costs are uncertain, so we explored how cost changes affect
13 results. At budgets below \$10 M with 100 km of reconnected habitat, barrier removal
14 costs do not greatly affect results (Figure 9). Between 100 km and 450 km of reconnected

1 habitat, the marginal cost of connecting habitat increases as barrier removal costs
 2 increase, ranging between \$5 M (50% cost reduction) to about \$15 M (150% increase in
 3 barrier removal costs). Between 450 km and 500 km of reconnected habitat, the budget
 4 required to add additional habitat rises sharply in all cases.

5



6

7 FIGURE 9. Sensitivity analysis of barrier removal costs on reconnected habitat. Barrier
 8 removal costs were increased and decreased between 150% and 50% from the original
 9 estimates.

10

11 Finally, we briefly tested results using alternative monthly input data. Differing
 12 monthly habitat suitability conditions changed water scarcity losses and barriers
 13 removed. For example, using a budget of \$100 M in May, 85% weight maximizing
 14 habitat resulted in \$17 M less water scarcity losses and 1 km less reconnected quality-
 15 weighted habitat than August, although both months removed 273 barriers.

16

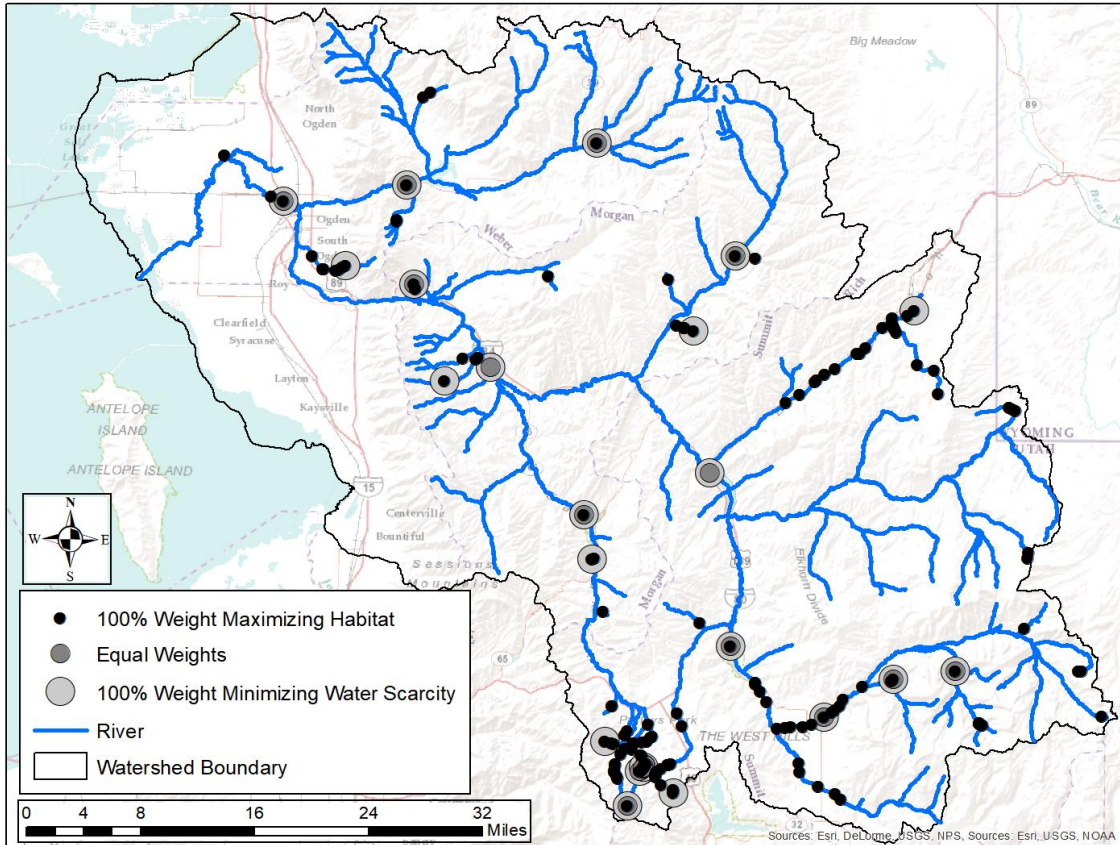
DISCUSSION

Initially the marginal cost of reconnecting habitat is \$11,200 per kilometer, but as the least expensive barriers are removed, marginal costs rise to \$1 M per kilometer of reconnected habitat. Identifying the best river restoration investments and economic thresholds to gain the most habitat at the least cost is important for barrier removal decisions. Barrier removal cost estimates per kilometer of habitat gained are in the same range as past research on small barrier removal (Wait *et al.*, 2004; Bernhardt *et al.*, 2005; O’Hanley and Tomberlin, 2005; Reagan, 2015). For example, Wait *et al.*, (2004) reported costs ranged from \$17,402 to \$405,755 per kilometer of habitat in Washington streams, adjusted to 2018 dollars using an average annual inflation rate of 2.04% (Bureau of Labor Statistics, 2018).

More than 500 km, or about 80% of the quality-weighted habitat, could be reconnected by removing small instream barriers without water scarcity. The model only removes economically costly barriers after nearly all other barriers have been removed because water scarcity and removal costs are greater for large economically important barriers. Thus, focusing on small barrier removal is potentially effective to improve habitat connectivity while minimizing water scarcity costs.

A single reach was longest (286 km at \$80 M budget) and average reach length shortest (4 km) with 100% weight given to maximizing the habitat objective. The average reach length was longest (24 km) with 100% weight minimizing water scarcity costs. As weights favored minimizing water scarcity costs, large, economically important barriers were not removed, creating patches of habitat (Figure 10). Rather than one single large connected reach, the model grouped barrier removals, creating numerous smaller

1 connected reaches. If restoration goals include removing all barriers from an area, there
2 may be a limit to maximum reach length if human water uses are also prioritized.
3 However, focusing barrier removal in one area, rather than spreading efforts throughout
4 the entire watershed, could improve habitat connectivity to maintain critical populations
5 of fish (Budy *et al.*, 2014). Maximizing quality-weighted habitat without including a
6 connectivity index reconnected more habitat at a cheaper price, although habitat is spread
7 throughout the watershed instead of centered together. Increasing quality-weighted
8 connected habitat came as a tradeoff with cheaper, but disconnected habitats.
9 Disconnected habitats can be important for non-migratory species and our results suggest
10 that adjusting ideal reach lengths is promising to represent numerous or disparate species.
11 However, if reaches remain fragmented or inaccessible, habitat gains may not benefit
12 migratory species with large ranges, like Bonneville cutthroat trout.
13



1

2 FIGURE 10. Remaining barriers with a total barrier removal budget of \$80 M and 100%
 3 weight on quality-weighted connected habitat, equal weights, and 100% weight on
 4 minimizing water scarcity costs.

5

6 Regardless of objective weight, some barriers are consistently removed,
 7 indicating potential barriers that block access to quality-weighted connected habitat
 8 without water scarcity losses (Figure 10). Where circles overlap in Figure 10, barriers are
 9 consistently removed for multiple optimal solutions along the Pareto front. This
 10 highlights commonalities for managing water between competing water objectives.

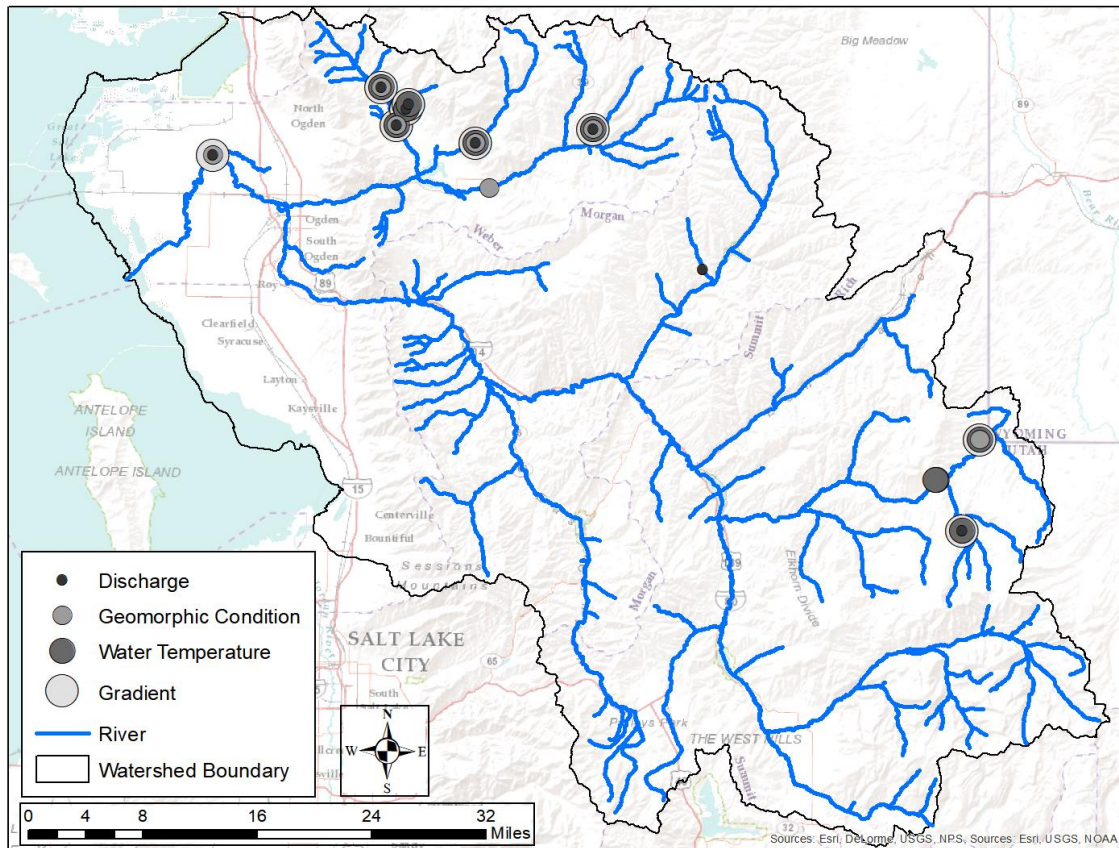
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12

1 *Identifying Seasonally-Variable Limiting Aquatic Conditions*

2 Although we focused mostly on August results, during different times of the year
3 changing environmental conditions limit habitat suitability. In our model formulation,
4 this changes which barriers are prioritized for removal, which is helpful to analyze barrier
5 removals and make informed decisions. In reality, barriers would be removed for all
6 months. In summer months, the primary limitation to suitable habitat is discharge and
7 temperature, while in spring months the main limitations are gradient and geomorphic
8 condition (Kraft, 2017). Several barriers are identified as potential candidates to be
9 removed, depending on limiting environmental conditions at each barrier, where August
10 habitat suitability primarily limited by water temperature, September is discharge,
11 November is gradient and April is geomorphic condition (Figure 11).

12



1

2 FIGURE 11. Promising barriers to remove with the inhibiting aquatic habitat condition at
 3 each barrier.

4

5 Assessing which physical and water quality attributes limit habitat is important
 6 for restoring and access to habitat for desired fish populations. To restore Bonneville
 7 cutthroat trout habitat in the Weber Basin, increasing discharge and decreasing water
 8 temperatures during summer months, and simultaneously improving access to suitable
 9 habitats could potentially restore viable populations.

10

11

1 accounted for as barriers were removed, which had a minor effect as most barriers
2 prioritized for removal were small structures (Bednarek, 2001).

3 The model was implemented for a particular month, August, where habitat was
4 limited, urban water demands were large, and tradeoffs between quality-weighted
5 connected habitat and water scarcity cost objectives were most pronounced. This
6 assumed habitat and water scarcity conditions persisted for the entire year. In reality,
7 those conditions change and the model objective function could be extended to instead
8 aggregate changing conditions.

9 The Weber Basin barrier removal model included only natural, perennial rivers.
10 Canals, ditches and small intermittent streams were assumed not to provide suitable
11 habitat for fish and were not included. We assumed increasing suitable habitat for
12 Bonneville cutthroat trout would increase fish productivity. However, additional fish
13 species and life stages could be included in future work or for other watersheds.
14 Interannual variability of stream flows and habitat was also not considered, although
15 monthly variability was considered. Finally, our model maximized total length of suitable
16 habitat. Mainstem and tributary reaches were treated equally; however, reaches with
17 tributary confluences provide diverse habitat and may be preferred ecologically over a
18 single mainstem reach. In future work, it would be beneficial to better incorporate river
19 topology when considering barrier removal.

20

21 SUMMARY AND CONCLUSIONS

22 This paper prioritized barrier removal using dual-objective optimization to
23 maximize quality-weighted, connected habitat and minimize water scarcity costs of

1 reduced water deliveries to cities. Our model incorporated habitat suitability from
2 discharge, water temperature, gradient and geomorphic condition. A habitat connectivity
3 index estimated each barrier's contribution to habitat connectivity. Ability of Bonneville
4 cutthroat trout to move beyond a barrier was represented by barrier passability penalties,
5 where impassable barriers received a greater penalty and thus were more likely to be
6 selected for removal. Economic losses due to lost water deliveries were considered for
7 seven reservoirs and three diversions. A budget for barrier removal constrained the
8 model. Results were visualized as a Pareto-optimal tradeoff curve, where each point on
9 the curve represented a different set of barriers to be removed. Tradeoff curves of habitat
10 gain versus water scarcity costs and barrier removal costs visualized results for decisions
11 makers to evaluate.

12 Five main conclusions illustrate the advantages of barrier removal optimization
13 modeling, using our results from the Weber Basin. First, there are diminishing returns to
14 river restoration investments for connected habitat as more barriers are removed. The
15 initial \$10 M spent on removing barriers connected more suitable habitat per dollar than
16 the last \$10 M. Understanding habitat gains over a range of barrier removal restoration
17 budgets is beneficial for watershed managers to make restoration decisions.

18 Second, removing numerous small barriers connected more habitat with lower
19 water scarcity costs from lost water deliveries, compared to removing large, water supply
20 barriers. Removing large barriers was expensive and resulted in less cumulative habitat
21 gained. Road crossings were the most frequent barriers chosen for removal, indicating
22 they currently fragment suitable habitat in the Weber Basin and removing or retrofitting
23 them is promising for restoration.

1 Third, water scarcity costs are important to consider as a model objective. When
2 only aquatic habitat was maximized, water scarcity losses began at a barrier removal
3 budget of \$10 M and were greater than the dual-objective model at all budget levels.

4 Fourth, model results change depending on management preferences and
5 questions. When habitat suitability was optimized without a connectivity index,
6 connected habitat was patchy, and was often inaccessible for migratory species. The
7 ability to adjust the model inputs, habitat coefficients and analyses allows flexibility to
8 apply barrier optimization to different watershed networks and fish species. For example,
9 changing input habitat suitability criteria for another fish species produces a different set
10 of results. Instead of focusing on August habitat conditions, it may be more suitable to
11 identify barrier removal projects benefiting habitat conditions during a different season.
12 Similarly, keeping some barriers in place (excluding the barrier as a removal option)
13 could be a tool for decision-makers to block the spread of invasive species.

14 Fifth, optimization modeling is a promising approach to consider both human
15 (economic) and environmental objectives in river restoration and water resources
16 management. Our optimization model successfully incorporated numerous objectives and
17 habitat criteria to determine promising restoration solutions given human water needs.

18 Overall, tradeoffs exist between quality-weighted aquatic habitat connectivity and
19 water scarcity costs. However, removing numerous small barriers did not affect water
20 supply or incur water scarcity costs at budget levels below \$10 M, connecting quality-
21 weighted habitat at the least cost, compared to removing large dams and diversions. If an
22 economically important barrier is detrimental to aquatic habitat, understanding the
23 barrier's economic importance and potential improvement to aquatic habitat is needed

1 prior to decision-making. It was never optimal to remove water supply dams or
2 diversions even when aquatic habitat was prioritized over water supply.

3 Water supply has historically been prioritized in arid, semi-arid, and
4 Mediterranean climates. However, large-scale reductions in habitat, species, ecosystem
5 services, and water quality have led to recent notable instances where water supply
6 infrastructure was removed or re-operated to enable habitat restoration, such as dam
7 removals on the Snake and Elwha Rivers (Kruse *et al.*, 2006; US Dept. of Interior, US
8 Dept. of Commerce and National Marine Fisheries Service, 2012). Our model results and
9 utility were communicated with local watershed managers and decision-makers. Our
10 model quickly prioritized barrier removals that are currently being considered by water
11 managers in the Weber Basin, such as the Pacificorp Weber Dam and the Stoddard
12 Diversion, where fish passageways are being added (Trout Unlimited and UDWR,
13 pers.comm.). Our research lends scientific credibility to restoration decision-making, and
14 the overlap of barriers identified for removal or retrofitting by watershed decision-makers
15 corroborates our model results.

16 This modeling approach was demonstrated with a case study in the Weber River
17 watershed, although the optimization model is generalizable to other systems by changing
18 input data. Removal decisions are complex when considering multiple objectives with
19 constraints for hundreds of barriers. Optimization offers a feasible method to consider
20 multiple objectives of connecting habitat and maintaining water deliveries at the
21 watershed-scale. The dual-objective optimization model developed may improve
22 decision-making for complex multi-objective problems for which decisions are not easily
23 reversed. This work underscores the utility of barrier removal optimization for decision-

1 making and quantifies habitat and economic effects of barrier removal, while visualizing
2 results for watershed managers.

3 4 SUPPORTING INFORMATION

5 Additional supporting information may be found online under the Supporting Information
6 tab for this article: Figure S1: Habitat suitability versus known populations of Bonneville
7 cutthroat trout; Figure S2: Seasonal economic loss functions for the Ogden metropolitan
8 area

9 10 DATA AVAILABILITY

11 Data are openly shared at hydroshare.com (Kraft and Null, 2017), and our model is
12 publicly available on GitHub ([https://github.com/MaggiK/Optimizing-Stream-Barrier-
13 Removal](https://github.com/MaggiK/Optimizing-Stream-Barrier-Removal)).

14 15 ACKNOWLEDGEMENTS

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21 and Graduate Studies.

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