# AMANDA ÁVILA CARDOSO

# HYDRAULIC AND CHEMICAL MECHANISMS CONTROLLING STOMATAL AND XYLEM RESPONSES TO CHANGES IN VAPOR PRESSURE DEFICIT

Thesis submitted to the Plant Physiology Graduate Program of the Universidade Federal de Viçosa in partial fulfillment of the requirements for the degree of Doctor Scientiae.

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## **RESUMO**

CARDOSO, Amanda Ávila, D.Sc., Universidade Federal de Viçosa, novembro de 2017. Hydraulic and chemical mechanisms controlling stomatal and xylem responses to changes in vapor pressure deficit. Orientador: Fábio Murilo da Matta. Coorientador: Samuel Cordeiro Vitor Martins.

Estômatos são pequenos poros, localizados na epiderme foliar de quase todas as plantas vasculares, responsáveis pelas trocas gasosas entre a atmosfera e o interior foliar. O poro estomático é delimitado por células-guarda, que aumentam e diminuem em volume em resposta a estímulos endógenos e externos. Em particular, as flutuações no déficit de pressão de vapor entre a folha e a atmosfera (DPV) ditam a abertura estomática ao longo do dia, com influências nas trocas gasosas e na hidratação foliar. Neste estudo, foi testado em girassol (Helianthus annuus) e em soja (Glycine max) se o ácido abscísico (ABA) é importante na regulação das respostas estomáticas ao DPV em plantas ajustadas osmoticamente, ou se a influência do potencial hídrico foliar ( $\Psi_1$ ) sobre a resposta estomática supera a influência desse hormônio. Também foi examinada a capacidade de folhas de girassol de se aclimatarem a uma reduzida disponibilidade hídrica, modificando a sensibilidade do estômato e do xilema ao déficit de água no solo. A condutância estomática durante as transições de DPV não foram associadas ao  $\Psi_1$ , mas tanto o fechamento estomático em alto DPV quanto a abertura estomática no retorno ao baixo DPV foram fortemente influenciadas pela concentração de ABA na folha. Demonstrou-se que a produção de ABA foliar em alto DPV é desencadeada por variações na turgescência celular e não por alterações no  $\Psi_1$  per se. Plantas de girassol ajustadas osmoticamente mantiveram maior abertura estomática a  $\Psi_1$  mais negativos e uma reduzida sensibilidade de dano fotossintético ao estresse hídrico. Ao mesmo tempo, a vulnerabilidade hidráulica do xilema variou em resposta à condição de crescimento, com plantas sob seca produzindo condutos xilemáticos com paredes celulares mais grossas e mais resistentes à cavitação. A plasticidade coordenada entre o potencial osmótico e a vulnerabilidade do xilema permite que girassóis crescidos em seca extraiam água do solo com mais segurança, protegendo o xilema das folhas do embolismo. A alta plasticidade da vulnerabilidade do xilema encontrada em girassol pode sugerir uma estratégia alternativa em espécies herbáceas durante o déficit hídrico.

## ABSTRACT

CARDOSO, Amanda Ávila, D.Sc., Universidade Federal de Viçosa, November, 2017. **Hydraulic and chemical mechanisms controlling stomatal and xylem responses to changes in vapor pressure deficit.** Adviser: Fábio Murilo da Matta. Co-Adviser: Samuel Cordeiro Vitor Martins.

Stomata are tiny pores located in the leaf epidermis of almost all vascular land plants, responsible for the majority of gaseous diffusion between the bulk atmosphere and the leaf internal environment. The stomatal pore is surrounded by guard cells, which increase and decrease in volume in response to endogenous and external stimuli. In particular, fluctuations in leaf-to-air vapor pressure deficit (VPD) dictate daytime stomatal aperture and hence leaf gas exchange and hydration. Here we test whether abscisic acid (ABA) is primal in regulating stomatal response to VPD in osmotically adjusted herbs (Helianthus annuus and Glycine max); or whether the influence of the steady-state leaf water potential ( $\Psi_1$ ) overcomes the hormonal control. We further examined the capacity of sunflower (H. annuus) leaves to acclimate to reduced water availability by modifying the sensitivity of xylem and stomata to soil water deficit. Stomatal aperture during VPD transitions was not associated with steadystate  $\Psi_1$  per se, rather stomatal closure under high VPD and stomatal hysteresis on returning to low VPD were closely linked with foliar ABA levels. We further indicate that ABA production under high VPD is triggered by changes in the leaf turgor pressure, and not by changes in  $\Psi_1$  per se. The osmotically adjusted sunflower plants also demonstrated a prolongation of stomatal opening as soil dried and a reduced sensitivity of photosynthesis to drought-induced damage. At the same time, the vulnerability of midrib xylem to cavitation was observed to be highly responsive to growth conditions, with water-limited plants producing conduits with thicker cell walls which were much more resistant to cavitation. Coordinated plasticity in osmotic potential and xylem vulnerability enabled water-limited sunflowers to safely extract water from the soil, while protecting leaf xylem against embolism. High plasticity in sunflower xylem vulnerability contrasts with data from woody plants, and may suggest an alternative strategy in herbs to cope with drought.

#### **GENERAL INTRODUCTION**

Plants frequently face challenging situations within their life span, particularly adverse water scarcity conditions that have been aggravated by the global climate changes (Sheffield et al., 2012). The hydraulic perturbation, driven by either soil water shortage or enhanced vapor pressure deficit between the leaf and the atmosphere (VPD), is a well-recognized factor that strongly negative impacts crop growth and production trough changing stomatal aperture, resulting in a major threat to food security (Foley et al., 2011). Stomata are tiny pores located in the leaf epidermis of almost all vascular land plants (Edwards and Axe, 1992), which present the conspicuous feature of opening and closing (Darwin, 1898) in response to a number of environmental stimuli. Light, which drives photosynthesis either in the mesophyll or in guard cell itself, is the strongest signal for stomatal opening (Brodribb and McAdam, 2017). In addition, reduced humidity conditions rapidly trigger stomatal closure yet in the presence of light. This leads to the reasonable conclusion that one of the primary stomatal functions is actively close the pore during water deficit as a means of protecting tissues from desiccation (Brodribb and McAdam, 2017).

Two main mechanisms are responsible for stomatal closure under high VPD; the passive hydraulic in ferns, lycophytes and conifers (Brodribb and McAdam, 2011), and the abscisic acid (ABA)-mediated closure in angiosperms (McAdam and Brodribb, 2015). Regarding the first mechanism, declining leaf water content under drought has a direct effect on guard cell turgor, which in turn reduces stomatal aperture (Brodribb and McAdam, 2011). Such passive mechanism is feasible in the above-cited basal groups since they lack subsidiary cells. The mechanical advantage of epidermal cells over guard cells in angiosperms, however, renders stomata to open transiently, instead of closing, in response to declining leaf water content, namely 'wrong way' response (Buckley, 2005). With regard to the ABA-mediated mechanism in angiosperms, it has been recently reported that foliar ABA levels considerably increase in angiosperms under high VPD conditions (McAdam and Brodribb, 2015), resulting in fast stomatal closure and protecting plants from desiccation. Nonetheless, this mechanism has been challenged by observations of functional VPD responses in mutants with impaired ABA synthesis or signaling (Merilo et al., 2017). Whichever the mechanism,

stomatal closure under high VPD is likely to protect important hydraulic anatomical elements (i.e. xylem conduits) from cavitation and consequent embolism.

Plant water transport through xylem cells is free of metabolic costs, however, the instability of water at high tensions results in an inevitable consequence: a vulnerability of the xylem to cavitation (Sperry & Tyree 1988). Cavitation occurs when tensions generated in the xylem vasculature can exceed a limit (i.e. 'air-seeding' threshold) where an air bubble is pulled into the conduit lumen. Such tiny bubbles, when inside the xylem conduits, rapidly expand to block the conduit to water flow (i.e. embolism; Tyree & Sperry 1989). Drought-induced embolism reduces the plant hydraulic conductances, negatively affecting important physiological process, such as photosynthetic gas exchanges (Sack & Holbrook 2006; Brodribb et al. 2007). The xylem vulnerability to cavitation emerges therefore as a primary constraint on vascular plant-function (Tyree & Sperry 1989); however, the plasticity of xylem vulnerability in herbaceous species remains unclear. It is also yet to be tested whether major changes in VPD are indeed sufficient to induce xylem embolism in angiosperm species.

Given the facts described above, we propose to examine (i) whether passive hydraulic or ABA-mediated mechanism control stomatal aperture under high VPD in two herbaceous species; (ii) the signal for triggering foliar ABA production during VPD transitions in angiosperms; and (iii) the effect of pronounced VPD transitions on xylem embolism and coordinated acclimation of xylem and stomatal sensitivity to dehydration in soft herbs. The study was planned to be carried out in two independent experiments, which comprises the two chapters presented here. In the first chapter, we find support for the proposed role of foliar ABA in rapid stomatal aperture regulation when hydrated plants experience an increase in VPD. Importantly, we demonstrate that although osmotic adjustment completely breaks the association between steady-state leaf water potential and stomatal conductance, a strong association between foliar ABA with stomatal conductance remains during VPD transitions. In the second chapter, we support fast stomatal closure under high VPD as an important mechanism for preventing xylem embolism and consequent loss of hydraulic conductance in angiosperms in a similar way to what occurs in basal land plants (Martins et al., 2016). We further demonstrate a clear coordinated acclimation of xylem and stomatal sensitivity to dehydration in osmotically adjusted sunflowers.

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# **CHAPTER 1**

Osmotic adjustment reinforces the key role played by ABA in stomatal responses to vapor pressure deficit in two herbs

**Short Title:** ABA in stomatal closure under high VPD

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# Osmotic adjustment reinforces the role played by ABA in stomatal responses to vapor pressure deficit

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Author contributions: T.J.B. designed the research; A.A.C., T.J.B. and S.A.M.M. designed the methods; A.A.C. performed experiments; A.A.C., T.J.B. and S.A.M.M. analysed data; A.A.C., T.J.B. and S.A.M.M. wrote the manuscript with contributions from F.M.D.

One-sentence summary: ABA mediates stomatal responses to VPD rather than a passive response of stomata to changes in leaf water potential during a shift in transpiration in osmotically adjusted herbs.

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## Abstract

Dynamic variation of the stomatal pore in response to leaf-air vapor pressure deficit (VPD) constitutes a critical regulation of daytime gas exchange. Such stomatal responses to VPD have been associated with both foliar abscisic acid (ABA) and leaf water potential ( $\Psi_1$ ), however causation remains a matter of debate. Here we test whether ABA is similarly primal in regulating stomatal response to VPD by inducing osmotic adjustment of leaves in two herbaceous species as a means of modifying the relationship between ABA production and  $\Psi_1$ . Stomatal aperture during VPD transitions was not associated with steady-state  $\Psi_1$  per se, rather stomatal closure under high VPD and stomatal hysteresis on returning to low VPD were closely linked with foliar ABA levels. We further provide evidence for similar stomatal sensitivity to ABA, and hence to VPD, between osmotically adjusted and well-watered herbs, despite differences in steady-state  $\Psi_1$  under high VPD. Our results are consistent with ABA-mediated stomatal responses to VPD rather than a passive response of stomata to changes in  $\Psi_1$  during a shift in transpiration.

## Introduction

Stomata on the leaves of terrestrial plants regulate the flow of CO<sub>2</sub> and water between the leaf and the atmosphere, thereby controlling plant hydration and photosynthetic rate (Farquhar & Sharkey, 1982). Dynamic regulation of the stomatal pore therefore forms one of the primary controllers of atmospheric water and CO<sub>2</sub> fluxes, as well as dictating the efficiency with which plants use water (Lawson & Blatt, 2014), and the operational safety of plants with regard to avoiding damaging desiccation (Brodribb & McAdam, 2017). The most pervasive stomatal dynamics are responses to light and leaf-air vapor pressure deficit (VPD). Light responses are tied to the direct action of membrane-bound phototropins in guard cells and an integrated photosynthetic signal (Shimazaki et al., 2007), while responses to air humidity appear to be produced by changes in leaf hydration caused by changes in VPD (Mott & Parkhurst, 1991). Stomatal response to VPD is a critical determinant of the efficiency of water use by leaves and is the focus of this study.

Stomata close as VPD increases, thereby substantially moderating the impact of increased evaporative demand. The mechanism responsible for this response remains under debate, with a diversity of research supporting the involvement of passive (Mott et al., 1997; Brodribb & McAdam, 2011) and active processes (Bunce, 1996; Bauerle et al., 2004; Bauer et al., 2013; McAdam & Brodribb, 2015; Merilo et al., 2017). Understanding the mechanism regulating stomatal closure in response to increasing VPD is of considerable importance because attempts to increase the productivity of irrigated crops have identified VPD responses as a primary target for improvement (Sinclair et al., 2016).

Probably the best-supported mechanism proposed for stomatal response to VPD in angiosperms is via the action of the phytohormone abscisic acid (ABA) (McAdam & Brodribb, 2015). Following early work showing large increases in foliar ABA as leaf turgor in desiccating leaves fell close to zero (Pierce & Raschke, 1980), suggestions of ABA as the driver of stomatal responses to VPD (Bunce, 1996) have received support from studies quantifying hormone levels in leaves (Bauerle et al., 2004; Giday et al., 2013; McAdam & Brodribb, 2015). More recent work shows that the upregulation of ABA biosynthetic genes driven by changes in leaf turgor occurs in a timeframe of minutes, providing sufficiently fast activity to explain the relatively rapid closing responses of stomata to step changes in VPD (McAdam et al., 2016; Sussmilch et al., 2017). However, a recent report monitoring gas exchange in ABA biosynthetic and signaling mutants suggests that passive processes dominate stomatal responses to VPD in angiosperms (Merilo et al., 2017).

If the suggestion that foliar ABA synthesis is critical for controlling the angiosperm stomatal response to VPD is correct, a sequence of causation should be demonstrable from (i) transpiration rate (E) leading to transient changes in leaf water potential ( $\Psi_1$ ) and hence in cell volume, and (ii) leaf water potential close to the turgor loss point ( $\Psi_{tlp}$ ) triggering ABA production and stomatal response. Assuming stomatal responses to VPD was ABA-mediated rather than being passively related to  $\Psi_1$  (Merilo et al., 2017), one would expect stomatal responses to VPD in osmotically adjusted plants should reflect changes in turgor (and ABA levels) rather than  $\Psi_1$ . Thus we could expect a conserved relationship between foliar ABA and stomatal conductance (g<sub>s</sub>) during dynamic responses to VPD, rather than a conserved relationship between  $\Psi_1$  and  $g_s$  per se (Buckley et al., 2003). Support for the concept of ABAmediated stomatal VPD response is evident in data from several species, demonstrating that stomata remain similarly sensitive to variations in VPD under drought (Pou et al., 2008; Rodriguez-Dominguez et al., 2016). However, an interaction between the stomatal sensitivity to ABA and  $\Psi_1$  has been speculated, and according to such assumptions the stomatal sensitivity to VPD should be more pronounced under drought due to lower  $\Psi_1$  (Tardieu & Davies, 1992). Here, we examine the pathway from increasing in E to the production of ABA during VPD transitions in leaves of two herbaceous species, manipulating  $\Psi_{tlp}$  to test the robustness of the theory that foliar ABA, rather than  $\Psi_1$  per se, close stomata under high VPD, and to test whether stomatal sensitivity to ABA relies on the leaf water status.

Individuals of the two common herbs Helianthus annuus and Glycine max were grown under either well-watered or water-limited conditions as a means of modifying the leaf osmotic potential at full turgor ( $\Psi_s$ ) and, hence, the  $\Psi_{tlp}$  of leaves. Stomatal responses to VPD transitions in whole plants were recorded to determine how adaptation to a drier growth environment affected associations between leaf gas exchange, water potential, and foliar ABA levels. Three main questions were targeted in this study: (i) does steady-state  $\Psi_1$  or foliar ABA levels regulate the stomatal response to VPD?; (ii) does steady-state  $\Psi_1$  modulate stomatal sensitivity to ABA, and hence to VPD?; and (iii) what is the possible physiological mechanism responsible for triggering foliar ABA production under high VPD?

#### Results

Growing H. annuus and G. max plants under water-limited conditions induced osmotic adjustment (lower  $\Psi_s$ ; Table 1) in both species, and the magnitude of the adjustment was dependent on the water availability during growth. As plants of H. annuus experienced a more severe stress when water-limited [minimum soil water potential ( $\Psi_{soil}$ ) c.  $-1.3 \pm 0.1$ MPa] compared with G. max (minimum  $\Psi_{soil}$  c.  $-0.4 \pm 0.05$  MPa), they displayed a greater osmotic adjustment (0.45 MPa in H. annuus against 0.13 MPa in G. max; Table 1).  $\Psi_{tlp}$  was also lower in H. annuus grown under water-limited conditions compared with plants grown under well-watered conditions (a difference of 0.33 MPa), but not statistically significant lower in G. max (yet a shift of 0.1 MPa; Table 1).

#### **VPD** transition in whole plants

The response of both well-watered and water-limited plants from both species to VPD was the same (Fig. 1, 2). Initially rapid increases in E due to the higher evaporative demand occurred, followed by a rapid decline due to pronounced stomatal closure. Transient declines in  $\Psi_1$  were observed 5 min after plants were exposed to high VPD; and foliar ABA levels increased. Despite osmotic adjustment, stomatal sensitivity to VPD for both species remained similar (Table 2).

Measured  $\Psi_1$  5 min after the transitions at high VPD exceeded the threshold for ABA production in both well-watered and water-limited plants of H. annuus, but not in G. max (Fig. 1, 2). Through modelling the instantaneous decline in  $\Psi_1$  over the first few minutes following the VPD transition (Supplemental Fig. S1), we found that the threshold  $\Psi_1$  to trigger ABA synthesis would have been reached before the fourth minute in G. max. This modelling approach demonstrated that in both species  $\Psi_1$  dropped below the trigger for ABA synthesis, and such transient  $\Psi_1$  is consistent with the measured accumulation of foliar ABA level under high VPD, yet by 5 min after the VPD transition the  $\Psi_1$  in G. max had already recovered above the ABA synthesis trigger threshold due to stomatal closure. On returning to low VPD, a relatively fast, yet hysteretic, recovery in g<sub>s</sub> was observed for both well-watered and water-limited plants of H. annuus, following rapid declines in foliar ABA levels to the original contents (no significant differences at 20 min after returning to low VPD) (Fig. 1, 2). On the other hand, incomplete reductions in foliar ABA levels were observed following a return to low VPD in both well-watered and water-limited plants of G. max, stabilizing c., three times higher than the original levels. The high foliar ABA levels after returning to low VPD resulted in a strongly hysteretic stomatal response in this species, which scarcely recovered over the time of measurement (Fig. 1, 2).

#### **Regulating stomata during VPD transitions**

No significant relationship between steady-state  $\Psi_1$  and  $g_s$  was observed during the VPD transitions for any tested species (Fig. 3A). By contrast,  $g_s$  during the VPD transitions was clearly regulated by changes in foliar ABA levels for both species. This includes stomatal closure under high VPD, and the reopening of stomata on returning to low VPD (Fig. 3B). Furthermore, the stomatal sensitivity to foliar ABA, during the VPD transitions, was similar between well-watered and water-limited plants for both species, despite considerable differences in the steady-state  $\Psi_1$  under high VPD (Table 2).

No consistent variation in plant hydraulic conductance ( $K_{plant}$ ) was observed during or after VPD transitions in H. annuus plants (Supplemental Fig. S2). In G. max, however, there was a consistent decline in  $K_{plant}$  both during the increase and subsequent decrease in VPD, despite the full recovery of  $\Psi_1$  after returning to low VPD (Supplemental Fig. S2). This steady reduction in  $K_{plant}$  in G. max was found in both well-watered and water-limited plants.

#### **VPD** transition in single leaves

When single leaves from plants grown under well-watered conditions were exposed to a step increase in VPD, the stomata rapidly opened (by between 50% and 100%), due to a pronounced hydropassive, wrong-way response in both H. annuus and G. max (Fig. 4). As soon as 5 min after the VPD transition, a relatively slow stomatal closure took place, until  $g_s$  had either returned to very close to the initial values in a mild VPD transition from 1.0 to 2.0 kPa, or dropped to values c. 25% lower than the original ones in a severe VPD transition from 1.0 to 3.5 kPa (Fig. 4). When single leaves of each species were exposed to a mild step

increase in VPD, the maximum E were c. two times higher than the initial values, and when leaves were exposed to a severe step increase in VPD, the maximum E was c. five or four times higher than the initial steady-state in H. annuus and G. max, respectively. As soon as stomata started to close, E declined, and in all cases, stabilized at a higher level than initial (Fig. 4). Under a VPD of 2.0 kPa, foliar ABA levels in both species were similar to that measured under a VPD of 1.0 kPa. On the other hand, under a VPD of 3.5 kPa, foliar ABA levels were c. two times higher than at 1.0 kPa in both species (Fig. 4). Increases in ABA levels were only observed in leaves exposed to a VPD of 3.5 kPa, in which E transiently breached the threshold E (as calculated from the relationship between foliar ABA levels vs.  $\Psi_1$  in Fig. 5 and Equation 1, where F was considered equal to E), yet stomatal closure resulted in a steady-state E below this threshold line (Fig. 4). The increase in foliar ABA level in both species was consistent with the observed stomatal closure under high VPD (Fig. 4).

#### The relationship between $\Psi_{tlp}$ and foliar ABA level

In well-watered plants, lowering the  $\Psi_1$  of excised leaves close to  $\Psi_{tlp}$  by bench dehydration was found to stimulate major foliar ABA production in both H. annuus and G. max (Fig. 5). Likewise, the threshold  $\Psi_1$  for major foliar ABA biosynthesis in osmotically adjusted plants for both species was observed to shift close to the new  $\Psi_{tlp}$  (Fig. 5).

## Discussion

The mechanism driving stomatal closure in response to elevated VPD remains controversial (McAdam & Brodribb 2016; Merilo et al., 2017). Here we find support for the proposed role of foliar ABA in rapid stomatal aperture regulation when hydrated plants experience an increase in VPD. Importantly, we demonstrate that although osmotic adjustment completely breaks the association between steady-state  $\Psi_1$  and  $g_s$  (Fig. 5A), a strong association between foliar ABA and  $g_s$  remains during VPD transitions (Fig. 5B).

# Foliar ABA levels define stomatal movement in response to VPD regardless of leaf water status

Our investigations present increases in foliar ABA levels as a functional short-term response, which play a crucial role in stomatal closure when both leaves (Fig. 4) and whole plants (Fig. 1, 2) are exposed to high VPD. Most importantly, we identified subtle augmented

levels of ABA as functionally relevant to induce stomatal closure in response to reduced air humidity (Fig. 4). This observation is consistent with recent quantitative assessments of increased foliar ABA levels across a diversity of angiosperms exposed to high VPD (McAdam & Brodribb, 2015; McAdam et al., 2015; McAdam & Brodribb, 2016) as well as the sensitivity of stomata from isolated epidermis to exogenous ABA (Raschke, 1987). Such low levels of ABA only require a short time frame to be synthesized in leaves, leading to a fast and efficient response mechanism, which restricts water loss and prevents pronounced declines in water status under reduced air humidity. Furthermore, by inducing osmotic adjustment and thus differences in the leaf water potential, we demonstrate that the stomatal sensitivity to ABA, and hence VPD, was not affected by the leaf water status. Instead, ABA appeared to be similarly primal regulating stomatal response to VPD in well-watered and osmotically adjusted herbs, despite considerable differences in steady-state  $\Psi_1$  (Table 2; Fig. 3B). This result challenges the view that epidermal water relations act as a modulator of the stomatal responses to ABA in vivo (Tardieu & Davies, 1992), and is difficult to explain by a purely passive regulation of stomata in response to VPD as observed in basal land plant lineages as hypothesised to regulate stomatal responses in angiosperms (Merilo et al., 2017).

Unlike other vascular land plants, angiosperm responses to VPD are typically hysteretic in terms of a relatively slow dynamic recovery of g<sub>s</sub> when moving from high to low VPD (O'Grady et al., 1999; McAdam & Brodribb, 2015). Within this group of land plants, dynamic hysteresis is thought to result from slow catabolism of ABA accumulated under low humidity (McAdam & Brodribb, 2015), and our ABA recovery data support the idea that g<sub>s</sub> recovery after returning to low VPD is strongly influenced by the levels of ABA (Fig. 1, 2, 3B). Regarding why foliar ABA levels remain high after returning to low VPD, previous studies suggest that the slow reduction in ABA levels is likely to be due to remaining high levels of transcript levels of NCED genes, as well as slow ABA catabolism (McAdam & Brodribb, 2015). However, we show that despite similar rates of ABA degradation (calculated during 60 min after returning to high humidity; Fig. 1, 2), the magnitude of hysteresis between H. annuus and G. max are remarkably different. The main difference between both species remains in the levels of ABA accumulated under high VPD. These results suggest that not only the rates of ABA catabolism influence the hysteretic recovery

of  $g_s$  of angiosperms after returning to low VPD as previously thought (McAdam & Brodribb, 2015; McAdam et al., 2016), but also the level of ABA accumulated under high VPD influences hysteresis within this group. In this respect we also suggest that the slightly increased level of ABA sufficient to induce stomatal closure provides an additional benefit in terms of requiring a shorter time frame to complete ABA catabolism, leading to a more rapid recovery of stomata aperture after returning to optimal humidity conditions.

Interestingly, hysteresis in G. max plants may also be associated with a systematic decline in  $K_{plant}$  after VPD was increased, which continued to decline following return to low VPD (Supplemental Fig. S2). This behavior contrasts with previous data suggesting stasis in hydraulic conductance when G. max was exposed to a step rise in VPD (Bunce, 2006), and is also at odds with the variable response of  $K_{plant}$  found here in H. annuus. However, an association between high ABA levels and depressed hydraulic conductance has been suggested (Pantin et al., 2013), and this cannot be ruled out as contributing to the sustained depression of  $g_s$  following a return to low VPD in G. max.

#### Cell volume as a trigger for foliar ABA production during VPD transitions

By bench-drying single leaves and using a high-precision method for ABA quantification, we indicate a distinct  $\Psi_1$  at or near  $\Psi_{tlp}$  that strongly induces foliar ABA biosynthesis in both herbaceous species (Fig. 5) in good agreement with prevailing literature (Zabadal, 1974; Beardsell & Cohen, 1975; Pierce & Raschke, 1980; Davies et al., 1981; Creelman & Zeevaart, 1985). Recent work has shown that changes in cell volume, most pronounced as the leaf nears  $\Psi_{tlp}$ , triggers foliar ABA biosynthesis (McAdam & Brodribb, 2016; Sussmilch et al., 2017; Sack et al., 2017). This seems a likely pathway connecting changes in transpiration, caused by VPD, with ABA production. Although, we clearly indicate that the steady-state  $\Psi_1$  per se is not primarily associated with stomatal aperture during the VPD transitions (Fig. 3A), we strongly suggest that the transient declines in  $\Psi_1$ within the first minutes of the step increase in VPD play an important role in mediating ABA production. Indeed cell volume does seem to be the main trigger for ABA biosynthesis as we observed a subtle increase in the levels of foliar ABA under high VPD when leaves experienced  $\Psi_1$  above a complete loss of leaf turgor (compare threshold  $\Psi_1$  in Fig. 1, 2 with  $\Psi_{tlp}$  in Table 1).

#### **Materials and Methods**

#### Plant material and growth conditions

Individuals of H. annuus cv. Yellow Empress (Asteraceae) and G. max cv. Bunya (Fabaceae), were grown for c. 60 days under two contrasting conditions, i.e. well-watered or water-limited conditions. Well-watered plants were grown inside a controlled glasshouse regulated at 16-h day at 25°C/15°C day/night temperatures, VPD at c. 1.0 kPa during the day and natural light [maximum photosynthetic photon flux density (PPFD) of 1500 µmol m<sup>-2</sup> s<sup>-1</sup> at the pot surface]. Plants were grown in c. 3 L plastic pots filled with potting mix and watered daily to full capacity ( $\Psi_{soil} > -0.3$  MPa). Water-limited plants were grown outside the glasshouse during summer (from December 2016 to January 2017) under a natural c. 16-h day at c. 23°C/13°C day/night temperatures, VPD at 1.45 ± 0.7 kPa during the day, and natural light (maximum PPFD of 1800 µmol m<sup>-2</sup> s<sup>-1</sup> at the pot surface). Plants were grown in c. 3 L plastic pots filled with potting mix and watered three times per week to full capacity leading to oscillations in  $\Psi_{soil}$  ranging from  $-0.5 \pm 0.1$  MPa to  $-1.3 \pm 0.1$  MPa for plants of H. annuus, and from  $-0.3 \pm 0.01$  MPa to  $-0.4 \pm 0.05$  MPa for G. max (Table 1). The  $\Psi_{soil}$  was assessed measuring the  $\Psi_1$  of plants before sunrise (0600 h) using a Scholander pressure chamber (615D, PMS Instrument Company, Albany, USA).

#### Physiological traits responses to growth conditions

Three c. 60-day-old individuals for each species grown under either glasshouse or field conditions were used to assess  $\Psi_{tlp}$ ,  $\Psi_s$ , leaf hydraulic conductance (K<sub>leaf</sub>) and leaf capacitance (C<sub>leaf</sub>). At the end of the 60 days, both well-watered and watered-limited plants of H. annuus were c. 100–120 cm tall, and of G. max were c. 60-80 cm tall; and each plant had c. 20 leaves. All measurements were carried out using fully expanded leaves developed entirely during the treatment period.

 $\Psi_{tlp}$  and  $C_{leaf}$  were determined by the relationship between  $\Psi_1$  and water volume in the leaf (pressure-volume analysis; Tyree & Hammel, 1972). Leaves were cut under water and rehydrated overnight until  $\Psi_1$  was > -0.1 MPa. Leaf weight and  $\Psi_1$  were measured over time during slow desiccation on the laboratory bench until  $\Psi_1$  began to rise due to cell damage.  $\Psi_{tlp}$  was determined as the point of inflection between the linear (pre-turgor loss) and nonlinear (post-turgor loss) portions of the relative water content and  $\Psi_1$  relationship, and the C<sub>leaf</sub> was calculated in terms of relative water content from the linear slope of the plot and normalized by leaf area according to Blackman & Brodribb (2011).

Measurements of  $\Psi_s$  were carried out using a stem psychrometer (PSY1, ICT International, Armidale, Australia) in a similar way to Bartlett et al. (2012). Leaf discs of c. 5 mm diameter were sampled from hydrated leaves, wrapped in tin-foil, and immediately frozen in liquid nitrogen to disrupt cell walls and eliminate turgor pressure. Midribs and large veins were avoided in selecting the leaf discs. The tissues were then sealed in a stem psychrometer, and the  $\Psi_s$  ( $\Psi_1$  is here considered  $\Psi_s$  due to absence of turgor pressure) logged every 10 min until stable (c. 30 min).

The K<sub>leaf</sub> was assessed by the evaporative flux method (Sack et al., 2002; Brodribb & Holbrook, 2006) using a custom-built flowmeter. Well-watered individuals were transferred to the laboratory and bagged overnight (initial  $\Psi_1$  c. –0.3 MPa). During the morning, three leaves were acclimated to high humidity and light and low CO<sub>2</sub> (bagged with nitrogen and wet paper) for at least 30 min to ensure high g<sub>s</sub>. They were then cut under water, and immediately connected to the flowmeter. A light source (providing PPFD of c. 600 µmol m<sup>-2</sup> s<sup>-1</sup>) and a constant stream of warm air were applied to the leaves producing rates of flow c. 5 mmol m<sup>-2</sup> s<sup>-1</sup>. After flow reached a steady-state, the  $\Psi_1$  was measured using a Scholander pressure chamber. Calculation of K<sub>leaf</sub> was made using the equation:

$$\mathbf{K}_{\text{leaf}} = \mathbf{F} \times \Psi_{1}^{-1} (1)$$

where F is the water flow into the leaf (normalized to leaf area, determined by a flatbed scanner), and  $\Psi_1$  was measured at steady-state. Values were normalized to the viscosity of water at 20°C (Korson et al., 1969).

#### **VPD** transitions in whole plants

Experiments were conducted by exposing whole individuals grown either under wellwatered or water-limited conditions to rapid VPD transitions in growth cabinets. All plants were watered and acclimated overnight in a custom-built chamber under dark and low VPD conditions [ $0.5 \pm 0.2$  kPa ( $30^{\circ}$ C and 88% relative humidity) for plants grown under wellwatered conditions or  $1.0 \pm 0.1$  kPa ( $30^{\circ}$ C and 76% relative humidity) for plants grown under water-limited conditions]. During the next morning the light was turned on at room ambient PPFD of c. 300  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, and after c. 90 min leaf gas exchange,  $\Psi_1$  and foliar ABA levels were measured. Next, plants were immediately transferred to a growth chamber under high VPD [3.0 ± 0.1 kPa (30°C and 29% relative humidity) for plants grown in the well-watered condition or 3.5 ± 0.1 kPa (30°C and 18% relative humidity) for plants grown in water-limited condition] with the low humidity sustained by a condensing dehumidifier (SeccoUltra 00563, Olimpia-Splendid, Gualtieri, Italy). The  $\Psi_1$  was assessed at 5 and 60 min after the increase in VPD; leaf gas exchange at 10, 20, 40 and 60 min after; and tissue was harvested for foliar ABA analysis 60 min after. The plants then returned to the low VPD condition, and  $\Psi_1$  was assessed at 60 min after the decrease in VPD; leaf gas exchanges and tissue for foliar ABA analysis was taken at 20, 40 and 60 min after this transition from high to low VPD. Air relative humidity were monitored every 30 s during the experimental period using a humidity probe (HMP45AC, Vaisala, Helsinki, Finland). Air and leaf temperature were measured using a thermocouple shielded from radiation and connected to a data logger (CR800, Campbell Scientific, Logan, USA).

For each species and growth condition, three individuals were used for each VPD transition. One fully expanded leaf per plant was selected for the gas exchange measurements which were performed using a portable photosynthesis equipment (GFS-3000, Heinz Walz, Effeltrich, Germany). Conditions in the cuvette were controlled at temperature of 30°C, 390  $\mu$ mol CO<sub>2</sub> mol<sup>-1</sup> air, PPFD of 1000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> at the leaf surface and the VPD was maintained as close as possible to the ambient VPD. Instantaneous E was calculated using g<sub>s</sub> (obtained from the gas exchange measurements) and VPD (obtained from the relative humidity of the air chamber and leaf temperature measured with a thermocouple). One leaf per plant was randomly sampled for  $\Psi_1$  at each measurement time. After harvesting, leaves were wrapped in wet paper towel, bagged and placed in an ice box for  $\Psi_1$  assessment using a Scholander pressure chamber.

The adjacent leaf to the one used for gas exchange measurements was harvested for foliar ABA quantification. The same leaf was harvested (c.  $5 \text{ cm}^2$ ) at different measurement times to avoid age differences in ABA levels. For the initial foliar ABA levels, three other random leaves were sampled to determine variation in the ABA levels in the plant (i.e. n = 6

leaves). For foliar ABA assessment, leaf samples were weighted ( $\pm$  0.0001 g; MS204S, Mettler-Toledo, Greifensee, Switzerland), immediately covered with cold ( $-20^{\circ}$ C) 80% (v/v) methanol in water with 250 g L<sup>-1</sup> (m/v) of added butylated hydroxytoluene, and stored at – 20°C. Samples were purified and foliar ABA levels were then quantified by physicochemical methods with an added internal standard by ultra-performance liquid chromatography tandem mass spectrometry (UPLC-MS) according to McAdam (2015). Reference lines regarding the minimum  $\Psi_1$  to trigger foliar ABA production consistent with the level measured under high VPD were determined using the relationship between ABA level and  $\Psi_1$  (as described in the "Foliar ABA accumulation by bench dehydration" section).

Using  $g_s$  and ABA levels, and  $g_s$  and steady-state  $\Psi_1$  data from VPD transitions of whole plants from each species, we fitted a relationship between these parameters using the curve-fitting function of the Sigma Plot software.

Calculation of K<sub>plant</sub> was made under steady-state VPDs using the equation:

$$K_{\text{plant}} = E \times \Psi_{1}^{-1} (2)$$

To use this approach, the  $\Psi_{soil}$  was assumed to be close to zero, since all plants, including the water-limited ones, were watered in the night before the experiment.

#### Modelling $\Psi_1$ of whole plants exposed to VPD transitions

The dynamic drop in  $\Psi_1$  in the first 240 s after the whole plant transition from low to high VPD was modelled assuming that leaf dehydration is equivalent to the discharging of a capacitor through a resistor (Brodribb & Holbrook, 2003) using the following equation:

$$\Psi_{l,i+1} = \Psi_{l,i} - \left[\Psi_{\min} - (\Psi_{\min} \times e^{\frac{-t \times K_{plant}}{C_{leaf}}})\right] (3)$$

where  $\Psi_{l,i}$  (MPa) is the steady-state  $\Psi_l$  under low VPD;  $\Psi_{min}$  (MPa) is the minimum  $\Psi_l$  that would be reached at steady-state conditions under high VPD, considering the maximum E ( $E_{max}$ ; mmol m<sup>-2</sup> s<sup>-1</sup>; driven by the initial g<sub>s</sub> and high VPD) and unit for K<sub>plant</sub> is mmol m<sup>-2</sup> s<sup>-1</sup> <sup>1</sup> MPa<sup>-1</sup>, calculated as  $\Psi_{min} = E_{max} \times K_{plant}$ <sup>-1</sup>; t is the time interval (s); and unit for C<sub>leaf</sub> is mmol m<sup>-2</sup> MPa<sup>-1</sup>.

#### **VPD** transitions in single leaves

Experiments were conducted by exposing single leaves to two different rapid VPD transitions (a mild and a severe transition in VPD) in a cuvette. For each species and VPD transition, three leaves from three individuals were examined. Plants grown under wellwatered condition were fully watered and bagged overnight to avoid ABA production before beginning experiments. During the next day, two fully expanded leaves for each individual (one for each VPD transition) were enclosed in an 8-cm<sup>2</sup> cuvette connected to the portable photosynthesis system GFS-3000 while the rest of the leaves were still covered with wet papers. The initial conditions in the leaf cuvette were regulated at constant 20°C (for the mild VPD transition) or 30°C (for the severe VPD transition), 390 µmol CO<sub>2</sub> mol<sup>-1</sup> air, PPFD of 1000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> at the leaf surface and VPD of 1.0  $\pm$  0.1 kPa. Leaves remained at the initial condition until leaf gas exchange had reached a maximum. The VPD was then rapidly increased (over c. 2 min) either to  $2.0 \pm 0.2$  kPa or  $3.5 \pm 0.1$  kPa and it was maintained until leaf gas exchange had stabilized under the high VPD condition. Leaf gas exchange was logged every 60 s to build the kinetics of the stomatal and transpiration response. Because of differences in equilibration time between the reference and sample infrared gas analysers, data from the first 3 min immediately after the VPD transition were discarded.

Harvesting of leaf tissue for ABA levels were undertaken just before the increase in VPD on the portion of the leaf covered with wet paper and maintained in dark outside the cuvette, and at the end of the VPD transition on the portion of leaf that was inside the cuvette. Foliar ABA was assessed as described above. The ABA levels measured under 3.5 kPa were used to calculate threshold  $\Psi_1$  for foliar ABA production (for further information, see "Foliar ABA Accumulation by Bench Dehydration" section), and dynamics in  $\Psi_1$  associated with changes in E were calculated based on measured K<sub>leaf</sub> (Equation 1).

## Foliar ABA accumulation by bench dehydration

In order to determine the relationship between  $\Psi_1$  and ABA levels we monitored foliar ABA in excised leaves during desiccation. For each species and growth condition, three plants were bagged with wet paper towels and put in the dark overnight. Early in the morning, one leaf from each individual was excised and left to dry slowly (initially under two sheets of wet paper towel to slow the dehydration, and after  $\Psi_{tlp}$  without wet paper) on a bench at 22°C and low light (PPFD of c. 30  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>). During the course of the next 12 h,  $\Psi_1$  and ABA levels were assessed c. 15 times for the same leaf. Leaf discs of c. 5 mm diameter were sampled, enclosed in a stem psychrometer and the  $\Psi_1$  was logged every 10 min until stable (c. 90 min). Immediately after sampling the leaf for  $\Psi_1$ , harvesting of leaf tissue for ABA levels were undertaken as described above. Approximately 20% of the each leaf area was removed for this experiment. The relationship between foliar ABA level and  $\Psi_1$  for each species and growth conditions were fitted, and the equation obtained using the curve-fitting function of the Sigma Plot software. The threshold  $\Psi_1$  for foliar ABA production consistent with values measured under high VPD were calculated using these equation.

#### **Statistical analysis**

To test changes in foliar ABA level over the VPD transition, Student's t test were performed between each foliar ABA level (n = 3) and the initial value (n = 6), as well as to test whether the growth conditions affected the measured physiological parameters, Student's t test (n = 3) was performed within each species.

## Acknowledgements

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# **Competing financial interests**

The authors declare no competing financial interests.

# Tables

**Table 1.** Range of soil water potential ( $\Psi_{soil}$ ; –MPa) and mean values (n = 3, ± SE) for leaf water potential at turgor loss point ( $\Psi_{tlp}$ ; –MPa), leaf osmotic potential at full turgor ( $\Psi_s$ ; – MPa), leaf hydraulic conductance ( $K_{leaf}$ ; mmol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>) and leaf capacitance ( $C_{leaf}$ ; mmol m<sup>-2</sup> MPa<sup>-1</sup>) in Helianthus annuus and Glycine max plants grown under either well-watered or water-limited conditions.

Parameters	Helianthus annuus		Glycin	Glycine max	
	Well-watered	Water-limited	Well-watered	Water-limited	
$\Psi_{soil}$	0.0 - 0.3	0.5 – 1.3	0.0 - 0.3	0.3 - 0.4	
$\Psi_{tlp}$	$0.71\pm0.03$	$1.04 \pm 0.02^{**}$	$0.99\pm0.05$	$1.09\pm0.01^{ns}$	
$\Psi_{\rm s}$	$0.50\pm0.01$	$0.95\pm0.05^*$	$0.77\pm0.03$	$0.90\pm0.01^{\ast}$	
Kleaf	$11.88 \pm 1.14$	$11.05\pm0.16^{\text{ns}}$	$9.90\pm0.31$	$8.72\pm0.88^{ns}$	
Cleaf	$3064 \pm 102$	$3417\pm211^{ns}$	$1444 \pm 176$	$1849\pm236^{ns}$	

Stars denote significant changes (Student's t test; \*\* P < 0.01, \*P < 0.05, ns not significant) between growth conditions within species.

**Table 2.** Mean (n = 3, ± SE) sensitivity of stomatal conductance (g<sub>s</sub>) to leaf-air vapor pressure deficit (VPD) and to foliar abscisic acid (ABA) levels [as represented by the difference in the percentage of g<sub>s</sub> related to the difference in the VPD ( $\Delta g_s / \Delta VPD$ ) or in the ABA levels ( $\Delta g_s / \Delta ABA$ )], and the mean (n = 3, ± SE) steady-state leaf water potential under high VPD ( $\Psi_{1\_high VPD}$ ) in Helianthus annuus and Glycine max plants grown under either well-watered or water-limited conditions.

Parameters	Helianthus annuus		Glycine max	
	Well-watered	Water-limited	Well-watered	Water-limited
$\Delta g_s / \Delta VPD$	$32.8\pm0.8$	$33.6\pm1.4^{ns}$	$32.0 \pm 1.3$	$34.75 \pm 1.7^{ns}$
$\Delta g_s / \Delta ABA$	$3.6\pm1.0$	$3.5\pm1.0^{ns}$	$1.3\pm0.3$	$1.7\pm0.2^{ns}$
$\Psi_{l\_high \ VPD}$	$-0.36\pm0.05$	$-0.68\pm0.04$	$-0.28\pm0.02$	$-0.37\pm0.01$

<sup>ns</sup> denotes not significant changes (Student's t test) between growth conditions within species.

## **Figure legends**

**Figure 1.** Mean response of stomatal conductance, transpiration, leaf water potential and foliar ABA level in Helianthus annuus and Glycine max after whole plants ( $n = 3, \pm SE$ , and  $n = 6, \pm SE$  for initial ABA level) grown under well-watered condition were exposed to a step change in VPD from 0.5 kPa (white region) to 3.0 kPa (grey region) and then returning to 0.5 kPa (white region). Solid red lines indicate the minimum leaf water potential necessary to trigger the foliar ABA level accumulated under VPD of 3.0 kPa, calculated using the relationship between foliar ABA level and leaf water potential (see Fig. 5). Stars denote a significant change in foliar ABA level compared with the initial one (Student's t test; \*\* P < 0.01, \* P < 0.05, ns not significant). ABA, abscisic acid; FW, fresh weight; VPD, leaf-air vapor pressure deficit.

**Figure 2.** Mean response of stomatal conductance, transpiration, leaf water potential and foliar ABA level in Helianthus annuus and Glycine max after whole plants ( $n = 3, \pm SE$ , and  $n = 6, \pm SE$  for initial ABA level) grown under water-limited condition were exposed to a step change in VPD from 1.0 kPa (white region) to 3.5 kPa (grey region) and then returning to 1.0 kPa (white region). Solid red lines indicate the minimum leaf water potential necessary to trigger the foliar ABA level accumulated under VPD of 3.5 kPa, calculated using the relationship between foliar ABA level and leaf water potential (see Fig. 5). Stars denote a significant change in foliar ABA level compared with the initial one (Student's t test; \*\* P < 0.01, \* P < 0.05, ns not significant). ABA, abscisic acid; FW, fresh weight; VPD, leaf-air vapor pressure deficit.

**Figure 3.** The relationship between the mean ( $n = 3, \pm SE$ ) leaf water potential and stomatal conductance (A), and between foliar ABA level and stomatal conductance (B) in plants of Helianthus annuus (blue circles) and Glycine max (red circles) grown under either well-watered (filled circles) or water-limited (open circles) conditions during VPD transitions. The relationships in A are not significant. ABA, abscisic acid; FW, fresh weight; VPD, leaf-air vapor pressure deficit.

**Figure 4.** Mean response of stomatal conductance, transpiration rate and foliar ABA level in Helianthus annuus and Glycine max after single leaves ( $n = 3, \pm SE$ ) from plants grown under well-watered condition were exposed to a step change in VPD (grey region) from 1.0 kPa to

either 2.0 kPa (white symbols) or 3.5 kPa (black symbols). Solid red lines indicate the transpiration rate necessary to drop leaf water potential to a value consistent to the foliar ABA level found under VPD of 3.5 kPa, considering constant leaf hydraulic conductance (see Table 1) and the relationship between foliar ABA level and leaf water potential (see Fig. 5). ABA, abscisic acid; FW, fresh weight; VPD, leaf-air vapor pressure deficit.

**Figure 5.** Relationship between foliar ABA level and leaf water potential (black circles; n = 3) in plants of Helianthus annuus and Glycine max grown under either well-watered or waterlimited conditions. Lowering the  $\Psi_1$  (-MPa) stimulates increase in foliar ABA level (ng g<sup>-1</sup> FW) following the equations: ABA = exp (7.2583× $\Psi_1$ ) in H. annuus grown under wellwatered condition, ABA = exp (5.5523× $\Psi_1$ ) in G. max grown under well-watered condition, ABA = exp (5.0750× $\Psi_1$ ) in H. annuus grown under water-limited condition and ABA = exp (5.0218× $\Psi_1$ ) in G. max grown under water-limited condition. Dashed grey lines indicate water potential at turgor loss point (mean; n = 3; see Table 1). ABA, abscisic acid; FW, fresh weight;  $\Psi_1$ , leaf water potential.

# **Supplemental Materials**

**Supplemental Figure S1.** Modelled dynamic drop in leaf water potential during a step transition in leaf-air vapor pressure deficit.

**Supplemental Figure S2.** Plant hydraulic conductance during reversible sequence of leafair vapor pressure deficit transitions.

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Figure 1



Figure 2



Water-Limited Plants









Figure 5



# **Supplemental Materials**

Osmotic adjustment reinforces the key role played by ABA in stomatal responses to vapor pressure deficit in two herbs. Amanda A. Cardoso<sup>1,2</sup>, Timothy J. Brodribb<sup>1,\*</sup>, Fábio M. DaMatta<sup>2</sup>, Scott A. M. McAdam<sup>1</sup>



**Fig. S1.** Modelled dynamic drops in leaf water potential during 120 s or 240 s after VPD transition from 0.5 kPa to 3.0 kPa in well-watered plants and from 1.0 kPa to 3.5 kPa in water-limited plants (see Fig. 3, 4). Model assumed leaf dehydration equivalent to the discharging of a capacitor through a resistor and no stomatal closure during this time. Solid red lines indicate the minimum leaf water potential necessary to trigger the foliar ABA level

accumulated under high VPD, calculated using the relationship between foliar ABA level and leaf water potential (see Fig. 2). VPD, vapor pressure deficit.



**Well-Watered Plants** 

**Fig. S2.** Mean response of apparent plant hydraulic conductance  $(n = 3, \pm SE)$  in plants of Helianthus annuus and Glycine max grown under either well-watered or water-limited conditions during reversible sequence of VPD transitions (see Fig. 3, 4). VPD, vapor pressure deficit.

# **CHAPTER 2**

Coordinated plasticity maintains safety in sunflower leaves

# **Coordinated plasticity maintains safety in sunflower leaves**

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# ABSTRACT

The air-seeding threshold water potential establishes a hydraulic limit on the ability of woody species to survive in water-limiting environments, but herbs may be more plastic in terms of their ability to adapt to drying conditions. Here we examined the capacity of sunflower (Helianthus annuus L.) leaves to adapt to reduced water availability by modifying the sensitivity of xylem and stomata to soil water deficit. We found that sunflower plants grown under water-limited conditions significantly adjusted leaf osmotic potential, which was linked to a prolongation of stomatal opening as soil dried and a reduced sensitivity of photosynthesis to water-stress induced damage. At the same time, the vulnerability of midrib xylem to cavitation was observed to be highly responsive to growth conditions, with water-limited plants producing conduits with thicker cell walls which were much more resistant to cavitation. Coordinated plasticity in osmotic potential and xylem vulnerability enabled water-limited sunflowers to safely extract water from the soil, while protecting leaf xylem against embolism. High plasticity in sunflower xylem contrasts with data from woody plants, and may suggest an alternative strategy in herbs.

Key-words: cavitation; herbaceous species; osmotic adjustment; stomatal movement; xylem refilling; xylem vulnerability.

## **INTRODUCTION**

Plant water transport through xylem cells is mostly driven by tension gradients generated at air-water interfaces within leaves (Dixon & Joly 1895). Transporting water under tension is free of metabolic costs, however, the instability of water at high tension results in an inevitable consequence: a vulnerability of the xylem to cavitation (Sperry & Tyree 1988). When plants are exposed to drying soils or high evaporative demands, tensions generated in the xylem vasculature can exceed a limit (i.e. 'air-seeding' threshold) where an air bubble is pulled into the conduit lumen, where it rapidly expands to block the conduit to water flow (i.e. embolism; Tyree & Sperry 1989). Drought-induced embolism reduces the plant hydraulic conductance, including leaf hydraulic conductance (K<sub>leaf</sub>; Brodribb et al. 2016), negatively impacting photosynthetic gas exchange (Sack & Holbrook 2006; Brodribb et al. 2007). The xylem vulnerability to cavitation emerges therefore as a primary constraint on vascular plant-function (Tyree & Sperry 1989).

Xylem vulnerability in herbs has been traditionally difficult to measure due to technical limitations (Lens et al. 2016), but the available data suggest that herbs are highly sensitive to cavitation (Stiller & Sperry 2002; Li et al. 2009; Saha et al. 2009). Recent studies showed that the entire xylem system of tomato plants, including roots, stems and leaves, experienced c. 40% of embolism at the very mild water potential of c. -1.5 MPa (Skelton et al. 2017). Given the tendency for high xylem vulnerability to be associated with low construction cost and high transport efficiency (Hacke et al. 2006; Larter et al. 2015), the expression of vulnerable xylem in herbs certainly accords with the general impression of herbaceousness as occupying the "fast" end of the plant economics spectrum (Reich 2014). Yet at the same time, high vulnerability to cavitation poses questions about the functionality of herbs during water stress. Two scenarios threaten to cause cavitation and loss of productivity in vulnerable herbaceous plants; the first is the possibility of cavitation caused by strong evaporation in well-watered plants, and the second is cavitation produced by soil drying. Many herbs have very high maximum stomatal conductances (g<sub>s</sub>), potentially exposing them to massive rates of transpiration, which could drive leaf water potentials ( $\Psi_{\text{leaf}}$ ) sufficiently low to induce cavitation (Oren et al. 1999; Sperry 2000). Stomatal closure in response to declining  $\Psi_{\text{leaf}}$  has been observed to arrest leaf dehydration before cavitation (Cochard et al. 2002; Brodribb & McAdam 2017; Martin-StPau et al. 2017) but the possibility of wrong-way stomatal responses (Buckley 2005) caused by very rapid changes in evaporation could allow transient water potential excursions into the danger zone for cavitation. However, the danger of cavitation induced by excessive evaporation in wet soil, may not be especially problematic for herbaceous plants because xylem should refill either by capillarity or by root pressure if plants are allowed to equilibrate with wet soil overnight (Gleason et al. 2017).

Xylem cavitation caused by drying soil poses a potentially more significant threat to xylem-vulnerable herbs because embolisms are unlikely to be repairable until soils return to full hydration and atmospheric humidity approaches 100%. Thus, herbs with highly vulnerable xylem appear to be precariously exposed to changes in soil water content that could cause damage or death. Even if stomatal closure delays the dehydration of the plant body, species with highly vulnerable xylem are incapable of extracting water from drying soil, without risking xylem failure (Choat et al. 2012). This means stomata in water-limited herbs are forced to remain closed, and plants are unable to take up  $CO_2$  for photosynthesis. These potential costs must be balanced by the likely benefits of producing vulnerable xylem such as reduced construction costs or improved efficiency. Quantifying these risks and benefits is essential in order to understand the ecology of herbaceousness.

Here we focus on the risk component associated with constructing highly vulnerable xylem. Of particular interest for herbaceous species living very close to the cavitation limits of their xylem, is whether the potential exists for plastic modification of xylem vulnerability under conditions of water limitation. It is known that herbaceous species are often highly plastic in terms of leaf osmotic adjustment under water limitation, which extends the water potential range of stomatal opening (Turner & Jones 1980). However, such adjustment would appear to expose the xylem to heightened risk of cavitation unless xylem vulnerability could also be shifted to accommodate lower water potentials. Such plasticity could greatly extend the tolerance of otherwise sensitive plants to more negative soil water potentials. The possibility of xylem acclimation during exposure to reduced water availability has been identified as a potentially important issue in woody plants (Anderegg 2014), yet there is little information about plasticity in herbs, where the threat of cavitation is likely to be most

profound. Sunflower (Helianthus annuus L.) makes an ideal subject for examining the impact of water stress on hydraulic vulnerability because this species is known to exhibit plasticity in stomatal response to water potential and leaf turgor in response to changes in growth conditions (Tardieu et al. 1996).

In order to understand whether sunflower plants are able to modify their hydraulic system to accommodate drier growth conditions we measured the xylem vulnerability and stomatal responsiveness to leaf-air vapor pressure deficit (VPD) of plants grown under both well-watered and water-limited soil. We hypothesised that sunflower plants grown under water-limited soils would exhibit leaf xylem that was less vulnerable to embolism, and leaves less vulnerable to photosynthetic damage. We further hypothesised that a coordinated shift of osmotic potential and xylem vulnerability in water-limited plants would play a critical role in prolonging leaf gas exchange while preventing leaf xylem cavitation and declines in whole plant hydraulic conductance (K<sub>plant</sub>) under high VPD.

# MATERIALS AND METHODS

#### Plant material and growth conditions

Seeds of sunflower cv. Yellow Empress (Asteraceae) were germinated in c. 3 L plastic pots containing potting mix, and watered daily to full capacity until seedlings were c. three weeks old. The plants were next grown under either well-watered or water-limited conditions for another five weeks. Well-watered plants were watered daily to full capacity [predawn water potential ( $\Psi_{predawn}$ ) > -0.30 MPa] (Table 1), and kept in glasshouse regulated at 16-h day at 25°C/15°C day/night temperatures, VPD at c. 1.0 kPa during the day, and natural light [maximum photosynthetic photon flux density (PPFD) of approximately 1500 µmol m<sup>-2</sup> s<sup>-1</sup>]. Water-limited plants were watered three times per week to full capacity (-0.50 MPa >  $\Psi_{predawn}$  > -1.36 MPa; Table 1), resulting in a clear wilting-recovery cycle (Fig. 1) They were kept outside the glasshouse during summer (from December 2016 to January 2017) under a natural c. 16-h day at c. 23°C/13°C day/night temperatures, VPD at 1.45 ± 0.7 kPa during the day, and natural light (maximum PPFD of approximately 1800 µmol m<sup>-2</sup> s<sup>-1</sup>). At the end of the total eight weeks, both well-watered and watered-limited plants were c. 100–120 cm tall, and each plant had c. 20 leaves.

## Physiological and anatomical traits

All measurements were carried out using fully expanded leaves developed entirely during the watering treatment period. Leaves from three individuals per treatment were sampled for each measurement.

Predawn and midday  $\Psi_{\text{leaf}}$  were determined for water-limited plants over the course of the week during the watering treatment period. The midday  $\Psi_{\text{leaf}}$  was determined as a proxy of minimum  $\Psi_{\text{leaf}}$  ( $\Psi_{\text{min}}$ ). Leaves were sampled before sunrise (0600 h) and at c. 1200 h., wrapped in damp paper towel, bagged, and immediately measured using a Scholander pressure chamber (615D, PMS Instrument Company, Albany, USA). The  $\Psi_{\text{predawn}}$  for wellwatered plants was measured using a similar approach.

Measurements of the osmotic potential at full turgor ( $\Psi_s$ ) were carried out using a stem psychrometer (PSY1, ICT International, Armidale, Australia) in a similar way to Bartlett et al. (2012). Leaf discs c. 5 mm in diameter were collected from fully expanded leaves in the early morning after watering (when leaves were close to full hydration). Midribs and large veins were avoided. Tissues were wrapped in aluminium foil, immediately frozen in liquid nitrogen for at least c. 30 min to disrupt cell walls, and sealed stem psychrometers. The  $\Psi_s$  was logged every 10 min until stable (c. 30 min).

A second sample of leaves was used to determine the leaf turgor loss point ( $\Psi_{tlp}$ ) and leaf capacitance ( $C_{leaf}$ ) using pressure-volume analysis (PV curve; Tyree & Hammel 1972). Fully expanded leaves for each growth condition were cut under water and rehydrated with petioles submerged overnight until  $\Psi_{leaf}$  was > -0.1 MPa. Leaf weight and  $\Psi_{leaf}$  were measured over time during slow desiccation on the bench until  $\Psi_{leaf}$  became difficult to measure due to cell damage. Relative water content was plotted against  $\Psi_{leaf}^{-1}$  as per Tyree & Hammel (1972) using the "Pressure volume curve analysis" spreadsheet from Sack et al. (2011). The  $\Psi_{tlp}$  was determined by the inflection point between the pre-turgor loss and postturgor loss portions of the curve, and the C<sub>leaf</sub> was calculated in terms of relative water content from the linear slope of the plot, and normalized by leaf area.

Fully expanded and visibly undamaged leaves were collected from the third to fifth node from the distal end of the stem. For paradermal analysis, fresh leaves were divided vertically into two equal parts, and three sections of c. 100 mm<sup>2</sup> (i.e. near the leaf base, in the central region and near the tip) were taken along one of the sides. The sections were cleared using commercial bleach, rinsed, stained with 1% toluidine blue and mounted on microscope slides in phenol glycerine jelly. Three field of view (FOV) per section were photographed using a camera (Digital Sight DS-L1, Nikon, Melville, USA) mounted on a microscope (DM 1000, Leica, Nussloch, Germany), and the images were used to quantify vein density  $(D_v)$ and stomatal density (D<sub>s</sub>) using the ImageJ software (National Institute of Health, New York, USA). The  $D_v$  was measured in one FOV per section at  $\times 4$  magnification (FOV area 3.47) mm<sup>2</sup>), and  $D_s$  was measured in one FOV per section at  $\times 20$  magnification (FOV area 0.14) mm<sup>2</sup>) on both sides of the leaves. For cross sections, fresh leaves were cut at approximately one-third position from the top to the bottom using a freeze-microtome (BFS-3MP, Physitemp Instruments, Clifton, USA). The sections were stained with 1% toluidine blue, and mounted in phenol glycerine jelly. Three FOVs per section were photographed, and the images were used to quantify leaf thickness (T<sub>leaf</sub>), hydraulically weighted vessel diameter  $(D_h)$  and the xylem cell wall thickness (t) and lumen breadth (b) ratio  $[(t/b)^3;$  a theoretical predictor of vulnerability to cell collapse; Brodribb & Holbrook 2005]. The Tleaf was measured in two FOVs per section at  $\times 10$  magnification, and D<sub>h</sub>, t and b were measured in one FOV per section at  $\times 40$  magnification. Both t and b were measured for all xylem conduits in the midrib; for each conduit b was calculated as the average of the maximum and minimum diameters of each lumen and t was calculated as the average of three random measurements of cell wall thickness. The D<sub>h</sub> was calculated for each leaf using the equation:

$$D_h = \sum b^5 / \sum b^4$$
 (Kolb & Sperry 1999) (Eqn 1)

The cell wall thickness values used for  $(t/b)^3$  calculation was obtained as the value consistent with the D<sub>h</sub> using the linear relationship between t and b for each leaf (Blackman et al. 2010).

# **Optical vulnerability (OV) technique and leaf injury monitoring**

Prior to measurement, plants were fully hydrated overnight. In the morning, plants were carefully removed from pots to enhance the rate of soil drying, and one leaf was placed under a stereomicroscope (M205A, Leica Microsystems, Heerbrugg, Switzerland) to record the development of cavitation in the leaf midrib. Water potential was measured at 20 min intervals using a psychrometer attached to the stem and confirmed with twice-daily water

potential measurements using a Scholander pressure chamber. Images of the midrib were taken every 3 min using a camera mounted on the microscope. Images were analysed by quantifying differences in light transmission through the midrib between captured images using an image subtraction method in ImageJ [for details see Brodribb et al. (2016) and www.opensourceov.org]. To analyse the relationship between plant water status and embolism formation, a linear regression was fitted between the time and water potential measurements, and used to determine the water potential at the time of each image capture. These values were then plotted against total embolism area for each image to produce an OV curve. The water potentials at 12%, 50% and 88% of maximum cavitation in the leaf midrib  $(P_{12}, P_{50} \text{ and } P_{88})$  were calculated based on this vulnerability curve. Each vulnerability curve was measured during c. 72 h, and for all individuals the last midrib cavitation occurred at c. 48 h maximum. The final 24 h were used to ensure no more cavitation events, and to finish collecting fluorescence data. An additional experiment was performed measuring K<sub>leaf</sub> from well-watered and water-limited plants to confirm that water-limited plants were capable of refilling during the night, confirming that there was minimal embolism present in waterlimited leaves prior to the beginning of OV measurements (for further details see the "maximum leaf hydraulic conductance" section).

The maximum quantum efficiency of photosystem II ( $F_v/F_m$ ) is a well-known parameter typically used as an index of photosynthetic potential and injury in leaves (Guadagno et al. 2017). Here, we assessed  $F_v/F_m$  in the same plants used for the OV method over the desiccation course as a proxy for leaf damage. Leaf samples were taken randomly c. four times per day, dark-adapted for 30 min, and  $F_v/F_m$  was assessed using a portable chlorophyll fluorometer (PAM-2000, Heinz Walz, Effeltrich, Germany). Leaf tissues were illuminated with weak modulated measuring beams to obtain the initial fluorescence ( $F_0$ ) and then a saturating white light pulse was applied to ensure maximum fluorescence emissions ( $F_m$ ), from which  $F_v/F_m$  was calculated:

$$F_v/F_m = [(F_m - F_0)/F_m)]$$
 (Eqn 2)

The dynamic changes in  $F_v/F_m$  were then presented in response to  $\Psi_{leaf}$ .

## Vapor pressure deficit transitions

Individual plants were watered and acclimated overnight in a custom-built chamber under dark and low VPD conditions [c. 0.75 kPa (30°C and 82% relative humidity)]. The following day, lights were turned on (300  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> at the leaf surface), and temperature and VPD maintained at the conditions described above. After stable leaf gas exchange and  $\Psi_{\text{leaf}}$  were measured under this low VPD condition. Plants were then transferred to an adjacent chamber under a high VPD condition [c. 3.25 kPa (30°C and 23% relative humidity), and the same parameters were measured for a period of 60 min. Plants were ultimately transferred back to the low VPD condition, and the parameters were measured for another 60-min period. Relative humidity was controlled by a condensing dehumidifier (SeccoUltra 00563, Olimpia-Splendid, Gualtieri, Italy). Temperature and relative humidity were monitored every 30 s during the entire experimental period using a humidity probe (HMP45AC, Vaisala, Helsinki, Finland) and a temperature thermocouple; both connected to a data logger (CR800, Campbell Scientific, Logan, USA).

One fully expanded leaf per plant was selected for instantaneous  $g_s$  measurements, which were performed using a portable photosynthesis system (GFS-3000, Heinz Walz, Effeltrich, Germany). Conditions in the cuvette were controlled at temperature of 30°C, 390 µmol CO<sub>2</sub> mol<sup>-1</sup> air, PPFD of 1000 µmol m<sup>-2</sup> s<sup>-1</sup> at the leaf surface and the VPD was maintained as close as possible to the ambient chamber VPD. Maximum transient transpiration rate (E) was calculated using  $g_s$  (obtained from the gas exchange measurements) and VPD (obtained from the relative humidity of the chamber and leaf temperature measured with a thermocouple). One leaf per plant was sampled for  $\Psi_{leaf}$  at each measurement time. After harvesting, leaves were wrapped in wet paper towel, bagged and placed in a humid box for  $\Psi_{leaf}$  assessment using a Scholander pressure chamber. Steady-state K<sub>plant</sub> was further calculated under initial low VPD, high VPD (60 min after the VPD transitions), and on returning to low VPD (60 min after the VPD transitions). Calculation of K<sub>plant</sub> to the target leaf was made using the equation:

$$K_{plant} = E / \Psi_{leaf} (Eqn 3)$$

under steady-state at both low and high VPD, assuming soil water potential in watered pots were close to zero, as all plants, including the water-limited ones, were watered in the night before the experiment. So as to understand the dynamics of  $\Psi_{\text{leaf}}$  during a rapid VPD transition, E, g<sub>s</sub>, and  $\Psi_{\text{leaf}}$  were modelled during VPD transitions under the theoretical condition of no stomatal closure and constant g<sub>s</sub>. E was calculated using maximum g<sub>s</sub> and values of VPD, and a dynamic drop in  $\Psi_{\text{leaf}}$  was modeled assuming leaf dehydration equivalent to the discharging of a capacitor through a resistor (Brodribb & Holbrook 2003):

$$\Psi_{\text{leaf, i+1}} = \Psi_{\text{leaf,i}} [\Psi_{\text{m}} - (\Psi_{\text{m}} \times e^{\frac{-t \times K_{plant}}{C_{leaf}}})] \text{ (Eqn 4)}$$

where  $\Psi_{\text{leaf},i}$  (MPa) is the steady-state  $\Psi_{\text{leaf}}$  under low VPD;  $\Psi_m$  (MPa) is the minimum  $\Psi_{\text{leaf}}$  that would be reached at steady-state conditions under high VPD, considering the maximum E (E<sub>max</sub>; mmol m<sup>-2</sup> s<sup>-1</sup>; driven by the initial g<sub>s</sub> and high VPD) and K<sub>leaf</sub> (mmol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>), calculated as  $\Psi_m = E_{\text{max}}/K_{\text{leaf}}$ ; t is the time interval (s); and C<sub>leaf</sub> unit was mmol m<sup>-2</sup> MPa<sup>-1</sup>.

#### Maximum leaf hydraulic conductance

 $K_{leaf}$  was determined in plants grown under well-watered and water-limited conditions. Leaves were sampled from 800 h to 1000 h, after plants had been well watered early in the morning. In addition, three further individuals grown under water-limited conditions were sampled after being watered and bagged for two days in the dark.  $K_{leaf}$  was assessed by the evaporative flux method (Sack et al. 2002; Brodribb & Holbrook 2006) using a flowmeter. All individuals were watered and transferred to the laboratory during the morning. The leaves were acclimated to high humidity (bagged with wet paper) for approximately 30 min to ensure high g<sub>s</sub>. Leaves were then cut under water and immediately connected to the flowmeter. PPFD of c. 600 µmol m<sup>-2</sup> s<sup>-1</sup> and a constant stream of warm air were applied to the leaves (leaf temperature ranged from 27 to 32°C) allowing high rates of transpiration, and consequent high rates of flow. After flow reached a maximum steady-state for c. 5 min,  $\Psi_{leaf}$  was immediately measured using a Scholander pressure chamber. Calculation of  $K_{leaf}$  was made using the equation:

$$K_{\text{leaf}} = F / \Psi_{\text{leaf}} (\text{Eqn 5})$$

where F is the water flow into the leaf at steady-state condition. Values were normalized to leaf area and the viscosity of water at 20°C (Korson et al. 1969). The  $K_{\text{leaf}}$  were considered maximum in plants grown under well-watered conditions and in plants grown under water-

limited conditions after bagging (i.e. condition under which plants repair xylem embolism due positive root pressure).

### **Statistical Analysis**

Student's t tests (n = 3) were performed to test differences between well-watered and water-limited plants regarding the parameters  $\Psi_{tlp}$ ,  $\Psi_s$ , P<sub>50</sub>, K<sub>leaf</sub>, C<sub>leaf</sub>, D<sub>v</sub>, D<sub>s</sub>, T<sub>leaf</sub>, D<sub>h</sub>, and (t/b)<sup>3</sup>. Further Student's t tests (n = 3) were used to compare K<sub>plant</sub> under low and high VPD within plants from each growth treatment (well-watered or water-limited). In addition, Student's t tests (n = 3) were performed to test differences between water-limited plants either without bagging or after bagging and well-watered plants in terms of K<sub>leaf</sub>.

#### RESULTS

#### Leaf Vulnerability to Embolism and Injury

The  $\Psi_{predwan}$  of well-watered plants were higher than c. -0.30 MPa during the entire experimental period, while  $\Psi_{predwan}$  of water-limited plants ranged from -0.50 to -1.36 MPa between watering events (Table 1; Fig. 1). Such water shortage during growth induced osmotic adjustments, as evidenced by significant changes in  $\Psi_s$  and  $\Psi_{tlp}$  in water-limited plants to a lower  $\Psi_{leaf}$  compared with well-watered plants (Table 1).

Cavitation was clearly visualized in the sunflower midrib (Fig. 2) with large numbers of events accumulating in a sigmoidal fashion as plants dried. The resultant midrib vulnerability curves were very different for plants grown under the two watering treatments (Fig. 3). Plants grown under water-limited conditions displayed a significantly higher resistance to embolism ( $P_{50} = -1.74$  MPa) than their well-watered counterparts ( $P_{50} = -1.15$ MPa; Table 1; Fig. 3a). A similar pattern was observed regarding  $P_{12}$  and  $P_{88}$ , i.e. -1.42 and -2.05 MPa for water-limited plants, respectively; and -1.06 and -1.24 MPa for well-watered plants, respectively. The steep slope of the vulnerability curves meant that there was no overlap in the vulnerability curves produced by leaves from the different treatments

Strong coordination between the shift in osmotic potential and xylem vulnerability was evident in terms of a significant correlation between P<sub>50</sub> and  $\Psi_s$  (r = 0.96; P < 0.05; Fig. 4). In association with changes in P<sub>50</sub> and  $\Psi_s$  in water limited plants, the water potential

threshold triggering a decline in maximum quantum yield of photosystem II ( $F_v/F_m$ ) also shifted to a more negative water potential in water-limited plants ( $F_v/F_m < 0.75$  at  $-2.23 \pm 0.06$  MPa) than well-watered plants ( $F_v/F_m < 0.75$  at  $-1.63 \pm 0.17$  MPa; Fig. 3b).

Increases in resistance to embolism in plants grown under water-limited conditions were accompanied by a higher  $(t/b)^3$  ratio, driven by significantly differences in the cell wall thickness rather than decreases in D<sub>h</sub> (Table 1). Other morpho-physiological traits related to hydraulic efficiency [e.g. maximum K<sub>leaf</sub>, D<sub>v</sub>, D<sub>s</sub>, T<sub>leaf</sub> and D<sub>h</sub>] were not significantly different in plants grown under both conditions (Table 1).

## **VPD** Responses of Stomata

When sunflower plants grown under well-watered conditions were transferred from low to high VPD conditions,  $\Psi_{\text{leaf}}$  fell to values close to the  $\Psi_{\text{tlp}}$  (Fig. 5b; Table 1) within the first 5 min. This decline was driven by a dramatic initial increase in the transpiration rate (E; considering no stomatal closure immediately after the VPD transition; Fig. 5a). Afterwards,  $g_s$  was shown to gradually decrease (Fig. 5c), resulting in a final diminished E (Fig. 5a) and increased  $\Psi_{\text{leaf}}$  (Fig. 5b). Modeled data indicated that without stomatal closure under high VPD, pronounced declines in  $\Psi_{\text{leaf}}$  driven by exceedingly high rates of E would lead embolism in the leaf midrib over a very short timeframe (Fig. 5b). No significant difference in apparent K<sub>plant</sub> measured under steady-state at low and high VPD was observed (Fig. 5d).

When sunflower plants grown under water-limited conditions were exposed to high VPD, similar dynamic changes in E,  $\Psi_{\text{leaf}}$  and  $g_s$  were observed. However, a faster stomatal closure took place in plants grown under water-limited conditions (compare  $g_s$  10 min after the VPD transitions in Figures 5 and 6), likely due to a narrower difference between initial  $\Psi_{\text{leaf}}$  and  $\Psi_{tlp}$  (0.4 MPa in water-limited plants against 0.3 MPa in well-watered plants). This resulted in a considerably lower peak of E than in plants grown under water-limited conditions (compare Figures 5 and 6). A 40% loss of apparent K<sub>plant</sub> was observed under high VPD compared with low VPD (Fig. 6d), yet  $\Psi_{\text{leaf}}$  did not fall below the threshold causing incipient embolism (compare  $\Psi_{\text{leaf}}$  in Figures 6b and 3a). A complete recovery of K<sub>plant</sub> was observed 60 min after returning to low VPD (K<sub>plant</sub> = 6.0 ± 0.5 mmol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>).

#### **Repair of Leaf Xylem Embolism**

To test whether embolized vessels can be repaired in sunflower plants, we compared  $K_{leaf}$  measured in plants grown under water-limited conditions before and after plants had been bagged and darkened for 48 h to maximize the potential for refilling by root pressure with  $K_{leaf}$  measured in plants grown under well-watered conditions. We observed that  $K_{leaf}$  in plants grown under well-watered conditions were 50% lower compared with plants grown under well-watered conditions (Fig. 7) even after watering. Importantly, however, we found that this reduction in  $K_{leaf}$  in water-limited plants could be reversed if plants were re-watered and leaves were bagged (in situ) overnight to ensure 100% humidity (Fig. 7). Considering the  $\Psi_{min}$  water-limited plants were exposed to (c. –1.95 MPa; Fig. 1), it would be expected a c. 55% decline in maximum  $K_{leaf}$  based on the OV curve (Fig. 3a). This value is consistent with the  $K_{leaf}$  measured in water-limited plants non-bagged, as it represents a drop of 45% in  $K_{leaf}$  compared with maximum  $K_{leaf}$  measured after bagging.

# DISCUSSION

Coupling physiological and anatomical data with results from the recently developed OV method (Brodribb et al. 2016), we demonstrate tight coordination between osmotic adjustment in sunflower plants, induced by soil water-stress, and changes in xylem vulnerability to cavitation. The result of parallel adjustment in these key physiological traits is that water-limited sunflowers were able to extract more water from soils without risking xylem cavitation or leaf damage. Further, stomatal responsiveness to VPD was found to efficiently prevent xylem embolism in sunflowers exposed to dry atmospheres under either wet or dry soils. Adjustments in xylem vulnerability in response to dry soils, stomatal closure in response to dry atmospheres, and osmotic adjustment to protect photosynthetic systems are proposed as crucial mechanisms allowing survival of sunflower plants under waterlimited conditions.

# Coordinated plasticity in hydraulic and stomatal dynamics enables safer water extraction from drier soils

Sunflower leaves are known to substantially adjust osmotic potential ( $\Psi_s$ ) when exposed to dry soil (Turner et al. 1978). This was clearly confirmed here. Adjustment of cellular solute potential in water-limited plants provided leaves with the advantage of sustaining gas exchange and photosynthesis as  $\Psi_{predawn}$  dropped. Our principle question was to determine how a species that was vulnerable to xylem cavitation could freely adjust  $\Psi_s$ , reducing stomatal and photosynthetic sensitivity to water potential, without incurring costs in terms of xylem dysfunction caused by cavitation as  $\Psi_{\text{leaf}}$  dropped during the day. The answer to this question was revealed in terms of a remarkable degree of plasticity in xylem vulnerability of sunflower leaves. As a result, we found coordinated changes in solute potential, stomatal and photosystem sensitivity to water potential, and xylem vulnerability. This coordinated response enabled sunflower to respond to drier soil by enhancing water extraction capacity while maintaining xylem safety by stomatal closure.

The resistance to xylem cavitation is recognized as a key trait limiting species ability to survive during soil drought (Choat et al. 2012; Skelton et al. 2017), in addition to determining species distribution (Blackman et al. 2012; Larter et al. 2017). Furthermore, as the threshold water potential for air-seeding is thought to exhibit low plasticity in plant species (Choat et al. 2012; Lamy et al. 2014), it is tempting to expect that highly vulnerable species, such as sunflower, would be restricted to wet environments. Contrary to this hypothesis, sunflower plants are commonly found to survive under relatively dry conditions (e.g. Tardieu et al. 1996). Our results provide an explanation for these observations, by demonstrating high plasticity in xylem vulnerability in sunflower that enables plants grown under water-limited conditions to maintain the integrity of their water transport system under conditions that would be lethally damaging in unadjusted plants (Figs. 3 and 4). These results contrast with previous studies reporting very low levels of within-species variation in P<sub>50</sub> of stems (Corcuera et al. 2011; Plavcová et al. 2011) and leaves (Nolf et al. 2016; Blackman et al. 2017). Given that leaves have a shorter lifespan, and greater exposure to variation in water potential than stems, it seems likely that leaves may exhibit a higher degree of plasticity in vulnerability than stems. When considered in the context of low relatively low plasticity of stem vulnerability in sunflower (Stiller & Sperry 2002; Delzon in press), this seems to be the case for this species. Additionally, it is notable that sunflower appears to exhibit a higher degree of vulnerability segmentation than other herbs such as tomato (Skelton et al. 2017). The ecology of segmentation among herbs and woody plants seems to be quite diverse, and will be a rich field for future research.

Less vulnerable xylem is expected to attract costs in terms of xylem construction, as the development of more negative pressures within the conduits could result in cell collapse if leaf xylem were not sufficiently reinforced to withstand mechanical rupture (Hacke et al. 2001; Blackman et al. 2010). In this regard, our results demonstrate that reductions in xylem vulnerability in osmotically adjusted plants were also accompanied by thicker cell wall in the xylem conduits of the midrib, and hence higher  $(t/b)^3$  (Table 1). This more mechanically reinforced xylem could resist more negative xylem pressures before buckling and becoming non-conductive during water stress (Zhang et al. 2016).

As well as producing more robust xylem, we found that the photosynthetic apparatus was more robust to dehydration in water-limited plants. Based upon declines in  $F_v/F_m$ , we provide compelling evidence that complete hydraulic failure in the midrib precedes drought damage in terms of leaf injury in sunflower (Fig. 3), much like previous studies that associate leaf damage with extensive embolism, i.e. starting from  $P_{88}$  to  $P_{95}$  (Brodribb & Cochard 2009; Skelton et al. 2017).

As sunflower leaves dehydrate, a conservative sequence of physiological events occurs: loss of turgor, xylem embolism and ultimately tissue injury (Fig. 3). Similar patterns have been previously discussed (Brodribb et al. 2003; Nolf et al. 2016; Maréchaux et al. 2017) and here we provide further insights on (i) how such sequence is functionally important to prevent drought-induced xylem embolism and consequent major losses of hydraulic conductance, and on (ii) physiological mechanisms in angiosperms that enable plasticity in stomatal closure to avoid drought-induced damage, (iii) and most importantly, how changing the threshold for one of these physiological mechanisms in sunflower results in a parallel shift in all mechanisms.

Stomatal closure is usually associated with turgor loss, and in angiosperm species is driven by a non-hydraulic signal, i.e. ABA. Bulk  $\Psi_{tlp}$  has long been recognized to induce major augmentation of foliar ABA levels during acute drought (Pierce & Raschke 1980), as well as changes in turgor prior to complete turgor loss has been very recently proposed to stimulate subtle yet functional levels of foliar ABA during VPD transitions (McAdam & Brodribb 2016). In this regard, our VPD data (Figs. 5 and 6) demonstrate that as soon as VPD increases,  $\Psi_{leaf}$  transiently decreases breaching  $\Psi_{tlp}$  within minutes (compare  $\Psi_{tlp}$  and  $\Psi_{leaf}$  at 5 min in high VPD; Figs. 5 and 6), and consequently inducing stomatal closure very likely due to ABA signalling. Such fast and efficient stomatal closure prevents further decreases in  $\Psi_{\text{heaf}}$ , which could otherwise result in xylem embolism. Without stomatal closure under high VPD (dotted lines in Figures 5b and 6b), 88% xylem embolism in the leaf midrib would occur in less than 10 min after a switch from low to high VPD in sunflower plants (Figs. 5 and 6). This result per se strongly pinpoints stomatal closure as an exceedingly important mechanism by which herbs prevent hydraulic failure under high VPD, adding to the well-known importance of stomatal closure to prevent cavitation during soil water stress in woody plants (Brodribb et al. 2017; Martin-StPau et al. 2017). We further suggest that the higher water potential for leaf turgor loss compared with xylem embolism is an important prerequisite for stomatal closure preventing embolism during VPD transitions, and the greater the distance between  $\Psi_{tlp}$  and  $P_{50}$ , the safer for the plant.

By protecting xylem against embolism, plants avoid significant losses of xylem hydraulic conductance (Brodribb et al. 2016). However, variations in outside-xylem hydraulic conductances, e.g. leaf or cell shrinkage, as well as deactivation of membrane aquaporins outside the xylem, have been also reported to reduce hydraulic conductances (Scoffoni et al. 2017). While recovery in xylem hydraulic conductance depends on xylem refilling (McCully et al. 1998; Gleason et al. 2017), declines in outside-xylem hydraulic conductance (Kox) appear to be rapidly reversed after watering (Zhang et al. 2016; Scoffoni et al. 2017). In this regard, it is possible that declines in K<sub>ox</sub> either in leaves or roots may have contributed to reductions in K<sub>plant</sub> in sunflower plants grown under water-limited conditions when exposed to high VPD (Fig. 6d), given that depressed K<sub>plant</sub> recovered to initial levels 60 min after returning to low VPD. However, the depression of K<sub>plant</sub> at high VPD was not seen in well watered plants, undermining support for variable Kox as a general driver of VPD responses in sunflower. We reiterate turgor loss and consequent foliar ABA increases, as the primary cause of stomatal closure under high VPD, as inferred from the pronounced stomatal closure despite any changes in the steady-state K<sub>plant</sub> in well-watered plants (Fig. 5d). In any case, it is possible that reductions in K<sub>plant</sub> under high VPD in water-limited plants may have also contributed to further stomatal closure (Scoffoni et al. 2017).

# Embolism refilling emerges as an ultimate mechanism to maintain leaf hydraulic conductance in herbaceous plants

Our results suggest that declines in  $K_{leaf}$  in water-limited plants resulted from cavitation events, and this could be reversed when plants were well watered and bagged overnight, likely due to positive root pressure (Fig. 7). If declines in  $K_{leaf}$  were driven by reduced outside-xylem hydraulic conductance (Zhang et al. 2016), this decline would be reversed immediately upon watering. However, this was not the case, and  $K_{leaf}$  recovered completely only when plants were watered and bagged for two successive nights. In addition, the percentage drop in  $K_{leaf}$  measured in water-limited plants is consistent with the percentage of cumulative embolism as expected from the vulnerability curves (Fig. 3a).

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## **TABLES**

**Table 1.** Mean values (n = 3,  $\pm$  SE) for predawn water potential ( $\Psi_{predawn}$ ; MPa), water potential at turgor loss point ( $\Psi_{tlp}$ ; -MPa), osmotic potential at full turgor ( $\Psi_s$ ; -MPa), water potential at 50% cumulative embolism (P<sub>50</sub>; -MPa), maximum leaf hydraulic conductance ( $K_{leaf}$ ; mmol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>), leaf capacitance ( $C_{leaf}$ ; mmol m<sup>-2</sup> MPa<sup>-1</sup>), vein density ( $D_v$ ; mm mm<sup>-2</sup>), stomatal density ( $D_s$ ; mm<sup>-2</sup>) on the lower epidermis, leaf thickness ( $T_{leaf}$ ; mm), hydraulically weighted vessel diameter ( $D_{h}$ ; x 10<sup>2</sup>), and xylem cell wall thickness (t; mm) and lumen breadth (b; mm) ratio (t/b)<sup>3</sup> x 10<sup>3</sup>] in Helianthus annuus plants grown under either well-watered or water-limited conditions.

Traits	Well-watered	Water-limited
$\Psi_{predawn}$	>-0.30	-0.50 to -1.36
$\Psi_{tlp}$	$0.71\pm0.03$	$1.04 \pm 0.02^{**}$
$\Psi_{\rm s}$	$0.50\pm0.01$	$0.95\pm0.05^*$
P50	$1.15\pm0.07$	$1.74 \pm 0.04^{**}$
Kleaf	$11.88 \pm 1.14$	$11.05\pm0.16^{ns}$
C <sub>leaf</sub> ,	$3064 \pm 102$	$3417\pm211^{ns}$
$D_v$	$11.31\pm0.54$	$11.58\pm0.17^{\text{ns}}$
Ds	$286 \pm 15$	$320\pm30^{ns}$
T <sub>leaf</sub>	$0.233\pm0.01$	$0.254\pm0.01^{ns}$
$D_h$	$2.32\pm0.17$	$2.18\pm0.08^{ns}$
$(t/b)^{3}$	$1.10\pm0.29$	$4.03 \pm 0.33^{**}$

Asterisks indicate significant changes in each trait (Student's t test; \*, P < 0.05; \*\*, P < 0.01; ns not significant) between growth conditions.

## **FIGURE LEGENDS**

**Figure 1.** Mean predawn water potential ( $\Psi_{predawn}$ ; black circles) and minimum leaf water potential ( $\Psi_{min}$ ; white circles) over the course of the week observed in Helianthus annuus plants (n = 3, ± SD) grown under water-limited conditions. Short-dashed black lines indicate when plants were watered.

**Figure 2.** A spatio-temporal map showing the progression of embolisms events in the leaf midrib recorded in Helianthus annuus plants grown under well-watered conditions during the desiccation. The colour scale shows the leaf water potential ( $\Psi_{\text{leaf}}$ ; MPa) at which different cavitation events occurred. Time ranges after excision are shown in each panel.

**Figure 3.** (a) Comparison of the percentage cumulative total embolism in the leaf midrib recorded during drying between Helianthus annuus plants grown under both well-watered (grey symbols) and water-limited (black symbols) conditions. The different symbols indicate individual leaves. The dashed vertical lines show the mean turgor loss points for plants grown under either well-watered (grey lines) or water-limited (black lines) conditions. (b) Comparison of maximum quantum yield ( $F_v/F_m$ ) recorded during drying between H. annuus plants grown under both well-watered (grey symbols) and water-limited (black symbols) conditions. The different symbols indicate individual leaves. The different symbols indicate individual leaves. The dashed vertical lines show under both well-watered (grey symbols) and water-limited (black symbols) conditions. The different symbols indicate individual leaves. The dashed vertical lines show leaf water potentials at 100% loss of xylem function in the leaf midrib for plants grown under either well-watered (grey lines) or water-limited (black lines) conditions.

**Figure 4.** Correlation between water potential at 50% cumulative embolism in the leaf midrib (P<sub>50</sub>) and osmotic potential at full turgor ( $\Psi_s$ ) in Helianthus annuus plants grown under well-watered (open circles; n = 3) and water-limited condition (filled circles; n = 3). Dashed lines represent turgor loss point (MPa) of plants grown under well-watered (grey line) and water-limited condition (black line).

**Figure 5.** (a-c) Dynamic response of transpiration rate (E), leaf water potential ( $\Psi_{\text{leaf}}$ ) and stomatal conductance ( $g_s$ ) in Helianthus annuus plants grown under well-watered conditions exposed to a step change in vapor pressure deficit (VPD) from c. 0.75 kPa (white region) to c. 3.25 kPa (grey region). The different colours indicate individual plants. Dotted lines indicate how these parameters would behave considering no stomatal closure during the VPD

transition. Water potentials at 12%, 50% and 88% cumulative embolism in the leaf midrib ( $P_{12}$ ,  $P_{50}$  and  $P_{88}$ ) are depicted in (c). (d) Mean apparent plant hydraulic conductance ( $K_{plant}$ ) in H. annuus plants grown under well-watered conditions ( $n = 3, \pm SE$ ) under steady-state at both 0.75 kPa and 3.25 kPa conditions. Stars denote significant changes (Student's t test; \*, P < 0.05; \*\*, P < 0.01; ns not significant) between VPD conditions.

**Figure 6.** (a-c) Dynamic response of transpiration rate (E), leaf water potential ( $\Psi_{\text{leaf}}$ ) and stomatal conductance ( $g_s$ ) in Helianthus annuus plants grown under water-limited conditions exposed to a step change in vapor pressure deficit (VPD) from c. 0.75 kPa (white region) to c. 3.25 kPa (grey region). The different colours indicate individual plants. Dotted lines indicate how these parameters would behave considering no stomatal closure during the VPD transition. Water potentials at 12%, 50% and 88% cumulative embolism in the leaf midrib (P<sub>12</sub>, P<sub>50</sub> and P<sub>88</sub>) are depicted in (c) (d) Mean apparent plant hydraulic conductance (K<sub>plant</sub>) in H. annuus plants grown under water-limited conditions (n = 3, ± SE) under steady-state at both 0.75 kPa and 3.25 kPa conditions. Stars denote significant changes (Student's t test; \*, P < 0.05; \*\*, P < 0.01; ns not significant) between VPD conditions.

**Figure 7.** Mean leaf hydraulic conductance ( $K_{leaf}$ ) in Helianthus annuus plants (n = 3, ± SE) grown under either well-watered or water-limited conditions. Water-limited plants bagged for two days in the dark also had their  $K_{leaf}$  assessed. Stars denote significant changes (Student's t test; \*, P < 0.05; \*\*, P < 0.01; ns not significant) between well-watered plants and each other condition.

Figure 1










Figure 4



Figure 5



Figure 6



Figure 7



## **GENERAL CONCLUSION**

We demonstrate that during leaf-to-air vapor pressure deficit (VPD) transitions, subtle increases in foliar abscisic acid (ABA) levels in two herbs, i.e. sunflower and soybean, are responsible for stomatal closure under high VPD, and the remaining ABA levels for hysteresis in stomatal action on returning to low VPD. Foliar ABA production is likely to be triggered by changes in leaf turgor rather than leaf water potential per se. Whether reductions in leaf turgor or complete turgor loss of some cells within the leaf are responsible for triggering ABA production during VPD transitions remain to be further examined. These results were obtained by examining well-watered and water-limited herbs, which were osmotically adjusted. We observed that these plants were capable of maintaining stomata open under lower leaf water potentials, as it is expected for all osmotically adjusted plants, without showing declines in leaf hydraulic conductance. In further experiments, we observed that a coordinated plasticity in xylem vulnerability to cavitation and stomatal sensitivity to water deficit enabled water-limited sunflowers to safely extract water from the soil, while protecting leaf xylem against embolism. This high plasticity in sunflower xylem vulnerability to cavitation may suggest an alternative strategy in herbaceous species during drought.