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1 The use of natural antioxidants to combat lipid oxidation in O/W  
2 emulsions

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## 18 Abstract

19 This research investigated the efficacy of four natural antioxidants, quercetin, curcumin, rutin  
20 hydrate and ascorbic acid in their ability to combat Lipid Oxidation (LO) within different oil-in-  
21 water (O/W) emulsion environments. The free radical scavenging and metal chelating ability  
22 of the four antioxidants was first assessed through DPPH and Ferrozine assays respectively  
23 and used to help explain each antioxidants efficacy in particular environments. Generally, in  
24 emulsions with no added iron, compounds that exhibited the greatest levels of DPPH and  
25 Ferrozine inhibition provided the best oxidative stability. In the presence of added iron,  
26 antioxidant effectiveness reduced dramatically and in some cases resulted in prooxidant  
27 activity. It was concluded that the antioxidant metal chelating mechanism of antioxidants in  
28 emulsions with added iron was largely insignificant compared to the prooxidant effect gained  
29 by these compounds through their interaction with iron. The most non-polar compounds,  
30 curcumin and quercetin provided peroxide value (PV) reductions of 65% and 74%  
31 respectively in 5% oil phase volume emulsions compared to just 28% and 43% PV  
32 reductions in 40% oil phase volume emulsions; thus providing more evidence of the widely  
33 reported 'polar paradox'. Combinations of ascorbic acid with quercetin or curcumin resulted  
34 in antioxidant synergism, whereas other antioxidant combinations led only to additive or  
35 antagonistic effects. This research builds on the understanding of the fundamental behaviour  
36 of natural antioxidants within different emulsion formulations.

37

## 38 1 Introduction

39 Lipid oxidation (LO) can result in changes to the taste, texture and appearance of fat (lipid)  
40 containing food products in addition to loss of nutritional value and reduced shelf-life  
41 (McClements and Decker, 2000). The process of LO occurs as a free radical chain reaction  
42 and remains a major current challenge within the food industry, particularly within O/W  
43 emulsions, for a variety of reasons. Firstly, the relentless drive for the replacement of  
44 saturated fats with healthier, unsaturated ones in food products greatly increases their  
45 susceptibility to LO due to the reduced bond dissociation energies harboured within  
46 unsaturated lipid molecules (Domínguez et al., 2019). Secondly, the food industry is focused  
47 on the replacement of synthetic, and often highly effective antioxidants with natural  
48 alternatives (Caleja et al., 2017) due to safety concerns and consumer acceptance (Waraho  
49 et al., 2011). Thirdly, O/W emulsions are commonplace within food products, with everyday  
50 examples including milk, cream, soups, sauces and a variety of processed foods. In O/W  
51 emulsions, a tremendous interfacial area between the oil and water phases is generated  
52 through emulsification, and it is here where LO in O/W emulsions is believed to  
53 predominantly occur through reactions between lipid hydroperoxides located at the surface  
54 of oil droplets, and transition metals such as ferrous iron located in the aqueous phase  
55 (Waraho et al., 2011). This large interfacial area provides numerous sites for LO to occur  
56 and greatly accelerates LO within O/W emulsions.

57 The addition of highly potent, natural antioxidants provides a potential solution to the  
58 aforementioned challenges and consequently there has been increased recent research into  
59 their assessment for combatting LO (Zahid et al., 2018, Glodde et al., 2018, Ghorbani Gorji  
60 et al., 2019). However, there has yet been limited research into fundamental understanding  
61 of the behaviour of natural antioxidants in many different O/W emulsion environments (e.g.  
62 differing antioxidant/prooxidant concentrations, oil phase volumes, choice of emulsifiers,  
63 antioxidant combinations etc.). This research paper was produced to build knowledge in this

64 area though assessment of four antioxidants found widespread in nature, namely quercetin,  
65 curcumin, rutin hydrate and ascorbic acid.

## 66 2 Materials and methods

### 67 2.1 Materials

68 Distilled water was used as the continuous phase within emulsions, this was obtained by  
69 pumping water through a reverse osmosis unit followed by milli-Q water system prior to  
70 usage. Consumer grade sunflower oil was used as the dispersed phase in emulsions; a  
71 large batch of this oil was purchased from a local Aldi supermarket to avoid batch-to-batch  
72 composition variations. Polysorbate 20 (P20) was obtained from Acros organics. Quercetin,  
73 curcumin, rutin hydrate, L-ascorbic acid, Ferrozine and para-anisidine were all obtained from  
74 Sigma Aldrich. sodium dodecyl sulphate (SDS), iron (II) sulphate heptahydrate, ammonium  
75 thiocyanate, 2,4-decadienal aldehyde, anhydrous barium chloride, hydrochloric acid, and  
76 glacial acetic acid were all provided by Fisher Scientific. Mirenat-D (CAT) cationic surfactant  
77 was provided by Vedeqsa. Cumene hydroperoxide was provided by Scientific Laboratory  
78 Supplies Ltd.

### 79 2.2 Antioxidant activities

#### 80 2.2.1 DPPH assay

81 The 1,1-diphenyl-2-picryl hydrazyl (DPPH) assay was taken from a study by Olugbami et al,  
82 2015. This assay was used to determine the free radical scavenging activity of antioxidant  
83 compounds. Briefly, 1ml of prepared 0.1mg/ml DPPH solution was added to 1.5ml of  
84 antioxidant solutions dissolved in ethanol at concentrations ranging from 0-80µg/ml. This  
85 mixture was shaken and then stored in the dark at room temperature for 20 minutes before  
86 having its absorbance measured at 517nm using a UV spectrophotometer.

$$DPPH \text{ inhibition } (\%) = 100 \times \frac{A_{control} - A_{sample}}{A_{control}}$$

87 Where  $A_{sample}$  and  $A_{control}$  are the absorbance with and without antioxidants respectively.

### 88 2.2.2 Ferrozine assay

89 The Ferrozine assay was a modified version taken from a study by Dinis et al, 1994. This  
90 assay was used to determine the ferrous iron chelation activity of antioxidant compounds.  
91 10µl of 4mM ferrous sulphate solution was added to 2ml of antioxidant solutions in ethanol at  
92 different concentrations. This mixture was shaken and left for 3 minutes. Then 10µl of 20mM  
93 Ferrozine solution was added, the mixture was shaken and left for 10 minutes in the dark at  
94 room temperature prior to having its absorbance measured at 562nm using a UV  
95 spectrophotometer.

$$\text{Ferrozine inhibition (\%)} = 100 \times \frac{A_{\text{control}} - A_{\text{sample}}}{A_{\text{control}}}$$

96 Where  $A_{\text{sample}}$  and  $A_{\text{control}}$  are the absorbance of DPPH solution with and without antioxidants  
97 respectively.

### 98 2.3 Emulsion formulation

99 1% (w/w) emulsifier (either polysorbate 20, sodium dodecyl sulphate or Mirenat-D) was  
100 added to distilled water to form the aqueous phase of the emulsion. The desired amount of  
101 sunflower oil (either 5, 10, 20 or 40% (w/w)) was then added to this to make up a total  
102 emulsion weight of 100g. This mixture was emulsified through use of a Silverson L5M high  
103 shear mixer fitted using a fine emulsion screen, 57mm diameter head, and homogenisation  
104 speed of 7000rpm for 5 minutes. 25g of homogenously dispersed emulsion was then poured  
105 into individual sample pots to which the desired mass of antioxidants or iron sulphate  
106 heptahydrate were added if required. These 25g samples were used throughout the duration  
107 of the LO study (7 days). All emulsions were formulated at native pH.

### 108 2.4 Size Measurement

109 The Sauter mean diameter ( $D_{[3,2]}$ ) of emulsified oil droplets was calculated using a  
110 Mastersizer 2000. It was ensured that the Mastersizer was measuring >77% laser light  
111 transmittance prior to its operation. The stirring speed for all size measurements was  
112 selected at 1300rpm and sample was added via pipetting until laser obscuration reached a

113 value of 5%. A refractive index value of sunflower oil (1.47) was selected for all emulsions.

114 All measurements were performed in triplicate.

## 115 2.5 Lipid Oxidation Study

116 The levels of primary and secondary oxidation products generated throughout a 7 day period  
117 were measured. Hydroperoxides served as the primary oxidation product, the quantity of  
118 which was measured via the peroxide value method. Secondary oxidation products were  
119 measured by the P-anisidine method which predominantly measured the quantity of  
120 unsaturated aldehydes. Emulsions were stored at 40°C throughout the experiment to  
121 accelerate the lipid oxidation process. Control emulsions were formulated in all experiments  
122 except in the antioxidant combination study to compare to emulsions without added  
123 antioxidants.

### 124 2.5.1 Peroxide value (PV) method

125 The PV method was a modified version taken from a study by Shantha and Decker, 1994.  
126 Briefly, emulsions were gently shaken to form a homogenous mixture. Then 100µl of  
127 emulsion sample was taken and added to 1.5ml of isooctane/propan-2-ol mixture (3:1 v/v).  
128 This mixture was vortexed for 10s intervals, 3 times in order to extract the hydroperoxides  
129 into the solvent phase and then the mixture was centrifuged at 4000rpm for 20 minutes. Post  
130 centrifugation, 200µl of the upper solvent layer was taken and added to 2.8ml of  
131 methanol/butan-1-ol (2:1 v/v) mixture along with 15µl of 0.072M ferrous iron solution and  
132 15µl of 3.94M ammonium thiocyanate solution. Ferrous iron solution was obtained from the  
133 supernatant of a mixture of 25ml BaCl<sub>2</sub> solution (0.132M BaCl<sub>2</sub> in 0.4M HCL) and 25ml of  
134 0.144M FeSO<sub>4</sub> solution. These samples were then placed in the dark for 20 minutes prior to  
135 having their UV-absorbance measured using a spectrophotometer at a wavelength of  
136 510nm.

137 To quantify the amount of hydroperoxides within emulsion samples, a standard curve was  
138 produced using cumene hydroperoxide (CH). For this, a 21Mm CH solution in  
139 isooctane/propan-2-ol (3:1 v/v) was centrifuged at 4000rpm for 20 minutes. The supernatant



140 was taken and diluted into several known concentrations. These different concentrations of  
141 CH then underwent the same method as emulsion samples for measurement of their UV-  
142 absorbance. All measurements were performed in triplicate. The relationship was found to  
143 be linear among all PV's encountered in this study.

#### 144 2.5.2 P-anisidine value (AV) method

145 The AV method was a modified version taken from a study by O'Dwyer et al, 2013. Briefly,  
146 emulsions were gently shaken to form a homogenous mixture. Then 2ml emulsion samples  
147 were taken and added to 2ml of ethanol. Ethanol was used to help break-up the interfacial  
148 layer and allow for more effective extraction of unsaturated aldehydes to the solvent phase  
149 upon vortex mixing. 8ml of isooctane was then added to this mixture and the sample was  
150 vortexed for 10s intervals, 3 times in order to extract the unsaturated aldehydes into the  
151 solvent phase and then the mixture was centrifuged at 4000rpm for 20 minutes. The  
152 absorbance of the supernatant was measured at a wavelength of 350nm using the  
153 spectrophotometer to give the  $A_1$  value. Then, 5ml of the supernatant was mixed with 1ml of  
154 P-anisidine solution (2.5mg/ml p-anisidine concentration in glacial acetic acid). After keeping  
155 the samples for in the dark for 10 minutes, the absorbance was measured at 350nm using  
156 the spectrophotometer to give the  $A_2$  value. Anisidine value (AV) was then calculated using  
157 the equation below:

$$AV = 25(1.2A_1 - A_2)$$

158 A standard curve using 2, 4-decadienal aldehyde (DA) was used to verify the accuracy of  
159 this method. To obtain the standard curve, a 1mM solution of DA in isooctane was prepared  
160 and suitable dilutions made up. These dilutions then underwent the same method as  
161 emulsion samples to measure their UV-absorbance. All measurements were performed in  
162 triplicate. The relationship was found to be linear among all AV's encountered in this study.

### 163 2.5.3 Antioxidant combinations

164 Four different antioxidant combinations were investigated to assess potential synergistic or  
165 antagonistic effects, these were, quercetin-rutin hydrate (Q +RH), quercetin-ascorbic acid  
166 (Q+AA), curcumin-rutin hydrate (C+RH) and curcumin-ascorbic acid (C+AA). Antioxidants  
167 were always added in equal measure to a total of 1g antioxidant mass (500mg of each  
168 antioxidant) which was then added to emulsions formulated as described previously.  
169 Experimental values (Exp) obtained were compared with additive values (Add) predicted if  
170 antioxidants did not hinder or complement each other. For this study only, PV's and AV's are  
171 given as cumulative values over a 7-day period (measurements taken on the same days as  
172 previous experiments and added together). This was done to enable greater clarity of any  
173 synergy/antagonism which occurred between antioxidant compounds. Single factor ANOVA  
174 was used to assess statistical difference between measurements, a probability value of  
175  $<0.05$  was deemed as significant.

### 176 2.6 Statistical analysis

177 All experiments were carried out in triplicate, with error reported as plus/minus a single  
178 standard deviation. Any additional statistical analysis is detailed within individual  
179 methodology descriptions.

180 3 Results and discussion

181 3.1 Antioxidant activities

182 The antioxidant activity of ascorbic acid as well as polyphenols including quercetin, curcumin  
183 and rutin hydrate is believed to stem predominantly from their ability to free radical scavenge  
184 and chelate prooxidant metals (van Acker et al., 1998). Therefore, initial experiments were  
185 performed to quantify the free radical scavenging ability and metal (ferrous iron) chelation  
186 ability of investigated compounds using DPPH and Ferrozine assays respectively. The  
187 Ferrozine assay assesses a compounds ability to chelate ferrous metal ions which are one  
188 of the most prevalent and prooxidant metals found in food products (Waraho et al., 2011).  
189 To assess why these antioxidants performed like they did, it is useful to consider their  
190 chemical structures, shown in Figure 1.

191 As shown in Figure 2, in terms of DPPH inhibition, curcumin was found to be the least  
192 effective as it took the longest time to reach an end value of inhibition which was the joint  
193 lowest with rutin hydrate. This makes sense considering that radical scavenging ability is  
194 dependent on the ability of these compounds to donate hydrogen atoms (Ammar et al.,  
195 2009) which is especially related to the number of hydroxyl (OH) and to a lesser extent other  
196 moieties such as methyl (CH<sub>3</sub>) groups (Pekkarinen et al., 1999). As shown in Figure 1,  
197 curcumin has the lowest number of hydroxyl groups, hence it possesses poorer DPPH  
198 scavenging ability. Rutin hydrate is a glycosylated version of quercetin, and it is believed that the  
199 added rutinose group serves to block hydroxyl groups present on the flavonoid backbone  
200 structure which reduces hydrogen donating potential (de Araújo et al., 2013). This explains  
201 why rutin hydrate displayed poorer DPPH inhibition compared to quercetin. Quercetin and  
202 ascorbic acid were found to be the best DPPH inhibitors overall with ascorbic acid showing  
203 the greater maximum inhibition than quercetin which was also found in another recent study  
204 by Rahim et al, 2017 .The smaller size of ascorbic acid molecules compared to quercetin  
205 could allow for easier hydrogen atom donation and be the reason for its superior DPPH  
206 inhibition.

207 All four antioxidants studied, possessed multiple hydroxyl (OH) groups along with carbonyl  
208 moieties, which meant they each possessed multiple sites for metal chelation (Leopoldini et  
209 al., 2006). Rutin hydrate exhibited the lowest ferrous iron chelation activity, which was found  
210 to be significantly lower than the closely structurally related quercetin; a finding which was  
211 echoed in another recent study (Yi et al., 2017). Rutin hydrate possesses one less chelating  
212 site than quercetin due to the replacement of an OH group with a rutinose disaccharide  
213 group (C. Hider et al., 2001). In addition to blocking free radical scavenging activity, the  
214 presence of the rutinose group is responsible for lowering metal chelation activity through  
215 blocking access to hydroxyl groups where chelation could occur (Jo et al., 2009). Curcumin  
216 is understood to possess three possible chelation sites, two of them being OH groups  
217 situated on either end of the molecule, and one being the di-ketone moiety in the centre of

218 the molecule (Daniel et al., 2004). Again however, the greater number of OH groups of  
219 quercetin and ascorbic acid are likely why these molecules showed the greatest level of  
220 Ferrozine inhibition.

### 221 3.2 Antioxidant concentration

222 The concentration of 'antioxidant' compounds is known to be an important factor in  
223 determining whether they possess antioxidant or prooxidant activity (Zhou and Elias, 2013).  
224 However, all four compounds in this study enhanced oxidative stability and their ability to do  
225 so was only aided by increasing concentration up to the maximum value examined in this  
226 study. This can be seen in Figure 3 which shows samples exhibited consistently lower PV's  
227 and/or AV's when antioxidants were added compared to P20 control samples in which they  
228 were not.

229 The results in Figure 3 correlate well with earlier results in Figure 2. Ascorbic acid was found  
230 to be the most potent antioxidant as this was able to provide greatest oxidative stability when  
231 used at lower concentrations (added antioxidant masses of 0.4mg and 0.1mg) compared to  
232 other compounds which makes sense as this compound was found to be the most potent of  
233 the four investigated in terms of DPPH and Ferrozine inhibition. Interestingly, although  
234 curcumin was found to cause significantly lower DPPH and Ferrozine inhibition than  
235 quercetin it still exhibited near-identical levels of PV's and AV's to quercetin at all antioxidant  
236 concentrations. This is perhaps due to curcumin's highly non-polar nature, allowing it to  
237 partition more towards the oil phase and oil-water interface where hydroperoxides are  
238 located, which is the predominant location for LO to occur in O/W emulsions (McClements  
239 and Decker, 2000). Therefore, although harbouring lower antioxidant activities than  
240 quercetin, it could be that the more effective positioning of curcumin molecules within these  
241 emulsions due to its reduced polarity is able to make up for this deficit; this is in agreement  
242 with the widely reported 'polar paradox' which states that non-polar molecules are more  
243 effective in aqueous (polar) systems due to their positioning at the O/W interface and vice-  
244 versa (Shahidi and Zhong, 2011). Therefore, increased hydrophobicity is perhaps also why

245 quercetin combatted LO more effectively than rutin hydrate, in addition to superior  
246 antioxidant potency displayed in Figure 2. Furthermore, the smaller molecular size of  
247 quercetin compared to rutin hydrate would allow it to locate more efficiently at the O/W  
248 interface leading to greater antioxidant concentrations (Yi et al., 2017).

### 249 3.3 Iron concentration

250 Metal contaminants are common in water (Yang et al., 2015), and all food-grade oils are  
251 known to contain a degree of iron and other prooxidant metals (Villière et al., 2005). Even  
252 trace quantities of these prooxidant metals within emulsions is thought to be sufficient to  
253 have a significant impact on oxidative stability (Mozuraityte et al., 2016), particularly in the  
254 presence of antioxidants. Ferrous iron (in the form of ferrous sulphate heptahydrate (FSH))  
255 was chosen as the metal contaminant in these experiments as one of the most common,  
256 prooxidant and important transition metals in determining LO within oil-in-water emulsions  
257 (Waraho et al., 2011). Results are displayed in Figure 4.

258  
259 Interestingly, control emulsions which varied only in FSH concentrations showed the lowest  
260 oxidative stability when no iron was added and no significant difference between samples  
261 containing 10 or 50 $\mu$ M FSH. For example, with P20 control emulsions on day 7, as FSH  
262 concentration was increased from 0 to 10 $\mu$ M the PV decreases from 9.6 to 8.0mM and AV's  
263 decrease from 6.9 to 5.1. This is in contrast to a number of studies which found the addition  
264 of iron to P20 emulsions resulted in a prooxidant effect with increasing concentration (Cengiz  
265 et al., 2019, Yi et al., 2016). This is possibly because P20 is known to harbour significant  
266 quantities of peroxides, which can build up over prolonged periods of storage or, in the case  
267 of this study, through emulsion storage at 40°C for 7 days. A study investigating the  
268 oxidation of alpha-tocopherol in surfactant micelles by Mancuso et al, 1999 found that  
269 addition of higher iron concentrations (50-250 $\mu$ M) caused decomposition of peroxides in P20  
270 samples. This would explain the reduced PV's and AV's in P20 control emulsions with  
271 increased FSH concentration from 0 to 10 or 50 $\mu$ M.

272 Figure 4 results also show that P20 control emulsions exhibited reduced AV's with increased  
273 FSH concentration from 0 to 10 or 50 $\mu$ M; a finding which was also observed in a study by  
274 Nuchi et al, 2001 which assessed the oxidative stability of methyl linoleate dispersions in  
275 response to iron. When higher FSH concentrations of 100 $\mu$ M were used in this study, P20  
276 emulsions were observed to physically destabilise via phase separation after one day; hence  
277 addition of FSH appears to result in P20 being removed from the O/W interface, physically  
278 destabilising the emulsions and also resulting in the removal of prooxidant species  
279 (peroxides) with it making FSH appear to possess a form of antioxidant activity within P20  
280 emulsions.

281 When no FSH was added to 1% P20 emulsions, all added compounds caused significant  
282 reductions in PV's and AV's, enhancing oxidative stability. With the addition of 10 $\mu$ M FSH,  
283 all compounds show reduced difference in oxidative stability in terms of PV's and AV's  
284 compared to the control; ascorbic acid and quercetin even showed increased AV's. At  
285 maximum FSH addition of 50 $\mu$ M there is little to no significant difference in PV's, however at  
286 this concentration quercetin and rutin hydrate caused large increases in AV's, illustrating  
287 their prooxidant nature under higher ferrous iron concentrations. The prooxidant nature of  
288 flavonoids such as quercetin and rutin hydrate in the presence of iron stems primarily from  
289 their possession of a catechol moiety, which becomes oxidised by ferric iron to a quinone.  
290 This results in the formation of electrophiles which act as potent prooxidants, in addition to  
291 the generation of quinone groups which are themselves ineffective at scavenging free-  
292 radicals (Keceli and Gordon, 2002). This finding was also described in a study by Osborn  
293 and Akoh, 2003 which investigated the behaviour of quercetin in combination with iron. This  
294 also explains why curcumin (which was shown to be a less effective chelator of ferrous ions  
295 than quercetin or rutin hydrate through a Ferrozine assay) is able to provide greater  
296 oxidative stability in the presence of higher FSH concentrations as it does not possess a  
297 catechol group that can become oxidised. A study assessing the impact of quercetin on O/W  
298 emulsions with added ferrous ions found that the quercetin concentration needs to be high

299 enough, relative to ferrous iron, in order to exhibit an antioxidant effect (Yi et al., 2017).  
300 Another study found that rutin acted as a potent antioxidant with the addition of no iron, but  
301 its efficacy was greatly reduced and it exhibited prooxidant behaviour with the addition of  
302 50µM ferric chloride (Yang et al., 2015). All four antioxidants investigated would have also  
303 undergone a degree of metal-catalysed oxidation in the presence of ferrous iron causing the  
304 generation of hydrogen peroxide which may then reduce to the highly prooxidant hydroxyl  
305 radical (Zhou and Elias, 2012) which further explains their increased prooxidant nature under  
306 the addition of FSH. From these results it is clear that the efficacy of all antioxidants to  
307 combat LO is only hindered by addition of ferrous iron.

308 It was initially hypothesised that compounds which were found more capable of chelating  
309 ferrous iron via the Ferrozine assay would therefore provide greater oxidative stability in the  
310 presence of added FSH. However, these results indicate that the prooxidant behaviour of  
311 these compounds in the presence of iron has far greater influence on LO than their  
312 antioxidant ability to chelate ferrous iron.

### 313 3.4 Effect of emulsifier type

314 The choice of emulsifier is widely regarded as one of the most important factors in  
315 determining the oxidative stability of O/W emulsions as it effects surface charge, interfacial  
316 thickness and droplet size. These experiments specifically investigated the efficacy of four  
317 different antioxidants within emulsions formulated with different emulsifiers and assessed the  
318 reasons for this. Three emulsifiers were used in this study which were SDS (anionic), P20  
319 (non-ionic) and CAT (cationic) surfactants and these were chosen to provide different  
320 surface charges to emulsion droplets. Droplet sizes of these formulated emulsions together  
321 with their native pH and zeta potential (ZP) values along with ZP values of the four  
322 antioxidants at the corresponding emulsion pH's are given in Table 1.

323 From Table 1 it can be seen that CAT caused the formation of acidic emulsions whereas  
324 SDS and P20 formed fairly neutral ones. As expected SDS and CAT exhibited strongly  
325 negative and positive zeta potential values respectively indicating their droplet charge status.



326 P20 however also exhibited a significantly negative zeta potential in spite of its non-ionic  
327 character; this phenomenon can primarily be attributed to the presence of surface-active free  
328 fatty acids within the dispersed phase of sunflower oil which migrate to the O/W interface  
329 and impart a negative charge (Waraho et al., 2011). In addition, OH<sup>-</sup> ions present within  
330 emulsions are known to preferentially adsorb onto the polar head groups of P20  
331 (McClements, 2004) which locate at the oil-water interface and impart further negative  
332 charge. All antioxidants possessed negative zeta potentials as particles which decreased  
333 under lower pH values encountered with CAT however constantly remained negative; this  
334 gives indication of the predominantly negative charge associated with these antioxidants  
335 within each emulsion formulation.

336 Oxidative stabilities of emulsions formulated with the three different emulsifiers in the  
337 presence and absence of each antioxidant are shown in Figure 5. In SDS emulsions,  
338 ascorbic acid acted as a prooxidant which was illustrated through its high AV's compared to  
339 the control. This is due to the ability of ascorbic acid to reduce ferric iron to the far more  
340 potent prooxidant ferrous iron (Choe and Min, 2009) which is then strongly attracted to the  
341 oil-water interface due to the negative charge which SDS imparts. Quercetin, curcumin and  
342 rutin hydrate in SDS emulsions were all however able to exert substantial antioxidant effect  
343 in spite of a negative emulsion surface charge; this is perhaps because these compounds  
344 are still able to be solubilised and incorporated into SDS micelles via hydrophobic interaction  
345 which was detailed with quercetin in one study (Liu and Guo, 2006). Furthermore, even  
346 when these antioxidants are kept away from the interface they are still able to exert  
347 antioxidant activity through other mechanisms such as through chelation of prooxidant  
348 metals in the aqueous phase.

349 Antioxidants had either no significant effect or a prooxidant effect on CAT emulsions. This  
350 initially seemed counter-intuitive as these antioxidants possessed a negative charge so were  
351 expected to be attracted to positively-charged emulsion droplets and locate at the oil-water  
352 interface; however this was not the case. The lower pH of CAT emulsions meant that the

353 solubility of ferric ions was higher, and all antioxidants used were capable of reducing ferric  
354 ions to more potent, prooxidant ferrous ions. However, again it seemed that ascorbic acid  
355 yielded the greatest potential to convert ferric iron to ferrous iron as this 'antioxidant' was  
356 found to be the strongest prooxidant in CAT emulsions.

357 As was seen earlier in the section on antioxidant concentration in P20 emulsions, all  
358 antioxidants were able to exhibit a significant antioxidant effect in contrast to the emulsions  
359 which used ionic emulsifiers. This is likely due to a more neutral pH than CAT, a lower  
360 negative surface charge than SDS and the larger polar head group of P20 molecules which  
361 will situate at the oil-water interface and be able to accommodate more antioxidants within  
362 their micellar structure (Huang et al., 1997) bringing antioxidants to the interface where they  
363 can exert greater antioxidant effect.

### 364 3.5 Oil phase volume

365 The four antioxidants used in this study were known to differ in their polarity, which is  
366 understood to affect partitioning behaviour within emulsions. As it was widely reported that  
367 the partitioning of antioxidant molecules within emulsions has substantial impact on their  
368 efficacy (Berton-Carabin et al., 2014, López-Martínez and Rocha-Urbe, 2018) it was of  
369 interest to see how each antioxidant performed at combatting LO within emulsions of  
370 different phase volumes. Experiments were first performed to assess the partitioning  
371 behaviour of the four antioxidants in a water-octanol mixture to obtain an indication of their  
372 preference for the polar or non-polar phase, results are displayed in Table 2.

373 As can be seen from Table 2, quercetin and curcumin exhibited highly hydrophobic nature  
374 with Log P values  $\gg 0$ , whereas ascorbic acid exhibited a hydrophilic nature. Curcumin was  
375 found to be by far the most hydrophobic molecule, and rutin hydrate showed only slight  
376 hydrophobicity with a Log P value close to 0.

377 Firstly, considering emulsions created with no added antioxidants in Figures 6 and 7 it can  
378 be seen that increasing oil phase volume leads to an increase in both PV's and AV's.

379 Evidently however, looking at AV's, there is a certain quantity of secondary oxidation  
380 products (mainly unsaturated aldehydes) contained within the sunflower oil prior to  
381 experiments being performed as on day 0 the AV roughly doubles when the oil phase  
382 volume is doubled. Therefore, it is pertinent to instead consider the increase in AV from day  
383 0 to day 7 with each oil phase volume used which were  $3.2 \pm 0.4$ ,  $4.3 \pm 0.2$ ,  $2.7 \pm 0.3$ , and  
384  $3.1 \pm 0.8$  for 5%, 10%, 20% and 40% oil phase volumes respectively which shows no  
385 correlation for AV's with increasing oil phase volumes in real terms. However, this is not the  
386 same for PV's which always began at around 0mM and only ended up at higher values with  
387 increased oil phase volumes which means that the overall impact of oil phase volume on LO  
388 is that it increases with oil phase volume. This is because, increasing oil concentration will  
389 increase the amount of lipids available for oxidation, in addition to decreasing the separation  
390 distance between oil droplets which increases potential for labile species (such as free  
391 radicals and hydroperoxides) on nearby oil droplets to react and propagate LO (Berton-  
392 Carabin et al., 2014). Interestingly however, other studies have reported the opposite effect,  
393 saying that increasing oil phase volume will (1) lead to the generation of larger oil droplets  
394 (as shown in this study in Table 3) which has been detailed to retard LO through generation  
395 of larger oil droplet surface areas (Gohtani et al., 1999), (2) suppress creaming which has  
396 been held responsible in a previous study for enhanced oxidative stability as it lowered the  
397 amount of oil droplets in contact with the air (Sun and Gunasekaran, 2009) and (3) will  
398 decrease aqueous phase volume, thereby decreasing the amount of water soluble  
399 prooxidants such as transition metals and enhancing oxidative stability, as was noted in a  
400 study by Kargar et al, 2011. The earlier finding in this study that AV's roughly doubled with  
401 doubling oil phase volume confirmed that the sunflower oil used in these experiments  
402 contained significant amounts of secondary oxidation products; meaning that a great amount  
403 of LO had taken place prior to its measurement. Due to the known freshness of sunflower oil  
404 used in these experiments, it is likely that this particular type of oil contains large amounts of  
405 prooxidants such as hydroperoxides which have resulted in significant generation of  
406 secondary oxidation products over a limited storage time. It is therefore believed that the

407 high amount of prooxidants contained within the sunflower oil was the main reason for the  
408 trend exhibited in this study with respect to control emulsions.

409 The well referenced 'polar paradox' states that more polar antioxidants (such as ascorbic  
410 acid) are more effective in non-polar media (such as bulk or high oil phase volume oils),  
411 whereas non-polar ones are more effective in polar media (such as aqueous or high  
412 aqueous phase volume emulsions) (Shahidi and Zhong, 2011) as this helps their orientation  
413 at the oil-air/oil-water interface where LO is thought to predominantly occur. In terms of AV's,  
414 the addition of antioxidants was able to prevent any significant increases compared to  
415 control emulsions over a 7 day period, and hence no differences in efficacy with oil phase  
416 volume could be established from this data. However, in terms of PV's, substantial  
417 differences could be found when antioxidants were added to emulsions with different  
418 amounts of oil. As PV's only ever increased, the percentage reduction of PV's compared to  
419 control emulsions are given in Table 4 on day 7 only, as this day gives clearer indication of  
420 each antioxidants efficacy.

421 From Table 4, it can be seen that the two antioxidants with the highest Log P values (most  
422 hydrophobic), curcumin and quercetin, were able to prevent significantly more PV formation  
423 in 5% and 10% oil phase volumes than at 20% and 40% and thus behave in accordance  
424 with the 'polar paradox'. It is likely that when these highly hydrophobic molecules partition,  
425 they 'bury' themselves inside the core of oil droplets and away from the interface where LO  
426 is most prevalent; this phenomenon is further exacerbated by the larger droplet sizes with  
427 increasing oil phase volume meaning hydrophobic antioxidants are able to position even  
428 further away from the interface (deeper in the oil droplet core). This explains why the  
429 decrease in PV reduction with oil phase volume exhibits the most extreme change in the  
430 case of curcumin, the most hydrophobic molecule. This also explains why rutin hydrate, a  
431 molecule with both hydrophilic and hydrophobic nature, exhibits an initial enhancement in PV  
432 reduction from 5-10% oil phase volume, followed by a decrease thereafter; as there is an  
433 optimal oil phase volume of 10% to allow for its most effective partitioning for combatting LO.

434 As a hydrophilic molecule, ascorbic acid did not follow the opposite trend, and following the  
435 same logic it is probable that this is because water serves as the continuous phase, so even  
436 when there is less water at high oil phase volumes, there is less possibility of ascorbic acid  
437 being able to distance itself from the oil-water interface.

### 438 3.6 Antioxidant combinations

439 Quercetin-rutin hydrate emulsions were found to generally exhibit antagonistic behaviour  
440 however the effect only became statistically significant with the addition of 10 $\mu$ M FSH, as  
441 this caused a significant increase in AV's and no change to PV's. One study reported that  
442 the use of quercetin and rutin in combination caused a synergy in their ability to reduce ferric  
443 ( $\text{Fe}^{3+}$ ) to the more prooxidant ferrous ( $\text{Fe}^{2+}$ ) iron ions (Hajimehdipoor et al., 2014) which  
444 could be the reason for this antagonistic effect. This antagonism is likely to be due to  
445 quercetin harbouring higher reduction potential than rutin hydrate (Bors et al., 1995),  
446 meaning quercetin acts as a primary antioxidant, and rutin hydrate acts as a secondary  
447 antioxidant by regenerating quercetin from its radical form; as quercetin is known to reduce  
448 ferric ions to the more potent prooxidant ferrous ions more effectively than rutin hydrate, this  
449 causes an antagonistic effect on oxidative stability. Curcumin-rutin hydrate emulsions  
450 showed only additive behaviour in combination which likely means these compounds do not  
451 interact with each other and perhaps share similar dominant antioxidant mechanisms.

452 Quercetin-ascorbic acid mixtures acted synergistically in combatting LO at all FSH  
453 concentrations, significantly increasing either PV's or AV's; and curcumin-ascorbic acid  
454 emulsions showed synergism in the presence of 10 $\mu$ M FSH with a significant increase in  
455 experimentally obtained AV's compared to PV's. Ascorbic acid has been reported to  
456 regenerate quercetin from its oxidised quinone structure through reducing it, and is widely  
457 reported to possess a protective/enhancing effect on polyphenolic compounds (Inoue et al.,  
458 2006, Skaper et al., 1997) such as quercetin and curcumin. However, as earlier results  
459 showed ascorbic acid was able to combat LO either at least as effectively if not more  
460 effectively than quercetin and curcumin this cannot be the reason for the synergy.

461 Furthermore, as quercetin and ascorbic acid were shown to perform similarly in terms of  
462 their abilities to chelate ferrous via Ferrozine inhibition, it is likely that their synergism is  
463 owed to the fact that their different antioxidant strengths lie with mechanisms other than free  
464 radical scavenging or metal chelation. One study showed that ascorbic acid was capable of  
465 quenching singlet oxygen more effectively than quercetin (Fatima et al., 2016), whereas  
466 another concluded that quercetin was more effective at inhibiting lipoxygenase than ascorbic  
467 acid (Silva et al., 2000). Therefore, through combining antioxidants with different antioxidant  
468 mechanistic strengths, it is likely that a synergistic effect will occur as one antioxidant can  
469 account for the mechanistic shortfall of the other and enhance their overall effectiveness.

470

## 471 4 Conclusions

472 This study has shown the efficacy of four natural antioxidants to enhance oxidative stability  
473 of O/W emulsions under a range of different emulsion environments. In P20 emulsions with  
474 no added ferrous ions, ascorbic acid and quercetin were found to serve as the most potent  
475 antioxidants which was in line with what initial DPPH and Ferrozine assays predicted.  
476 Curcumin however was found to reduce the formation of primary and secondary oxidation  
477 products much more effectively than rutin hydrate, despite rutin hydrate being more effective  
478 at inhibiting DPPH and this was attributed to the highly hydrophobic nature of curcumin  
479 enabling more effective partitioning behaviour. The prooxidant effect of ferrous iron on these  
480 antioxidants was concluded to be of far greater importance to these compounds than their  
481 ability to chelate ferrous iron as they all lost their antioxidant activity at higher ferrous iron  
482 concentrations. Antioxidants performed less effectively in ionic emulsions compared to non-  
483 ionic emulsions which was believed to be due to a variety of reasons including changes in  
484 emulsion pH and reduced antioxidant presence at the oil-water interface. Higher emulsion oil  
485 phase volumes were found to promote LO and reduced the efficacy of antioxidants,  
486 particularly highly hydrophobic ones, which was believed to be due to these compounds  
487 partitioning deep within oil droplets where they could not function as effectively at combatting  
488 LO. Synergy between compounds in combatting LO was exhibited when ascorbic acid was  
489 combined with either quercetin or curcumin; a finding attributed to different compounds  
490 performing particular antioxidant mechanisms more effectively than the other and hence  
491 making up for the antioxidant deficiencies of the other compound. Ultimately, this work has  
492 shown how key formulation parameters impact upon the efficacy of common, naturally  
493 occurring antioxidant compounds and thus will be highly useful in assessing their suitability  
494 for specific food applications.

495



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632

**Figure captions**

**Figure 1-** Chemical structures of investigated compounds

**Figure 2-** Antioxidant activities of investigated compounds

**Figure 3-** Oxidative stabilities of 1% (w/w) P20 emulsions under varying antioxidant concentrations. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

**Figure 4-** Oxidative stabilities of emulsions under varying FSH concentrations. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

**Table 1-** Droplet sizes and native emulsion pH values with different emulsifiers along with zeta potentials of these emulsions and antioxidants at the corresponding emulsion pH

**Figure 5-** Oxidative stability of emulsions formed with different emulsifiers. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

**Table 2-** Partition coefficients of the four antioxidant compounds studied

**Table 3-** Droplet size (D [3,2]) of emulsions formulated with different oil phase volumes

**Figure 6-** Oxidative stability of emulsions with oil phase volumes of 5% and 10%. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

**Figure 7-** Oxidative stability of emulsions with oil phase volumes of 20% and 40%. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

**Table 4-** Reduction in PV's of emulsions containing different oil phase volumes and antioxidants on day 7 of LO measurement

**Figure 8-** Effect of antioxidant combinations on oxidative stability. Experimental values given to the right of predicted values as solid black bars. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

Emulsion	Emulsion droplet size D [3,2] $\mu\text{m}$	Emulsion pH	Emulsion zeta potential (mV)	Antioxidant zeta potential at emulsion pH (mV)			
				Quercetin	Curcumin	Rutin hydrate	Ascorbic acid
<b>Anionic (SDS)</b>	5.74 $\pm$ 0.20	6.94 $\pm$ 0.12	-110.0 $\pm$ 2.1	-44.1 $\pm$ 1.1	-59.7 $\pm$ 1.1	-32.8 $\pm$ 1.2	-29.4 $\pm$ 2.0
<b>Non-ionic (P20)</b>	5.86 $\pm$ 0.07	5.99 $\pm$ 0.07	-31.4 $\pm$ 0.2	-44.1 $\pm$ 1.3	-43.8 $\pm$ 2.8	-32.5 $\pm$ 0.4	-11.5 $\pm$ 1.5
<b>Cationic (CAT)</b>	6.82 $\pm$ 0.14	3.29 $\pm$ 0.10	86.0 $\pm$ 2.4	-8.6 $\pm$ 0.4	-9.1 $\pm$ 1.5	-10.4 $\pm$ 0.8	-19.9 $\pm$ 0.5

Table 1- Droplet sizes and native emulsion pH values with different emulsifiers along with zeta potentials of these emulsions and antioxidants at the corresponding emulsion pH

<b>Sample</b>	<b>Log P</b>
Quercetin	1.95 ± 0.07
Curcumin	3.55 ± 0.07
Rutin Hydrate	0.40 ± 0.01
Ascorbic Acid	-1.86 ± 0.09

Table 2- Partition coefficients of the four antioxidant compounds studied

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<b>Oil phase volume (%)</b>	<b>Droplet size D[3,2] <math>\mu\text{m}</math></b>
5	$3.9 \pm 0.2$
10	$5.9 \pm 0.1$
20	$8.5 \pm 0.5$
40	$9.2 \pm 0.6$

Table 3- Droplet size (D [3,2]) of emulsions formulated with different oil phase volumes

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Antioxidant	PV reduction on day 7 (%) in:			
	5% Oil	10% Oil	20% Oil	40% Oil
Quercetin	74.4 ± 5.5	69.7 ± 2.2	54.8 ± 5.2	43.3 ± 2.3
Curcumin	64.6 ± 5.5	64.7 ± 1.8	38.8 ± 5.4	28.2 ± 3.9
Rutin hydrate	24.3 ± 5.7	36.5 ± 2.6	13.0 ± 6.6	18.5 ± 7.1
Ascorbic acid	60.6 ± 1.0	62.8 ± 6.0	52.9 ± 2.6	42.8 ± 3.9

Table 4- Reduction in PV's of emulsions containing different oil phase volumes and antioxidants on day 7 of LO measurement

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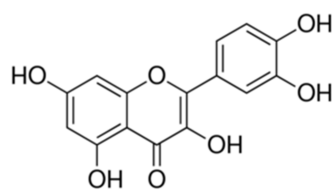
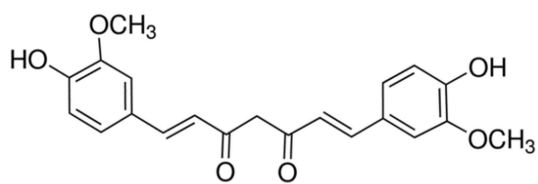
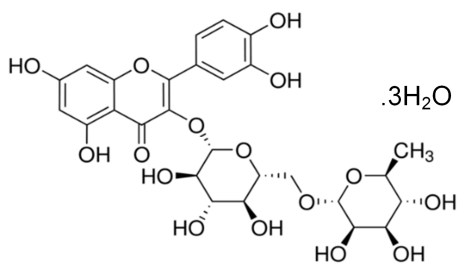
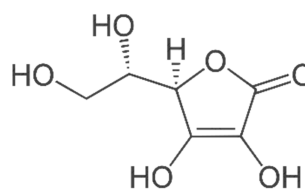
**Quercetin****Curcumin****Rutin hydrate****Ascorbic acid**

Figure 1- Chemical structures of investigated compounds



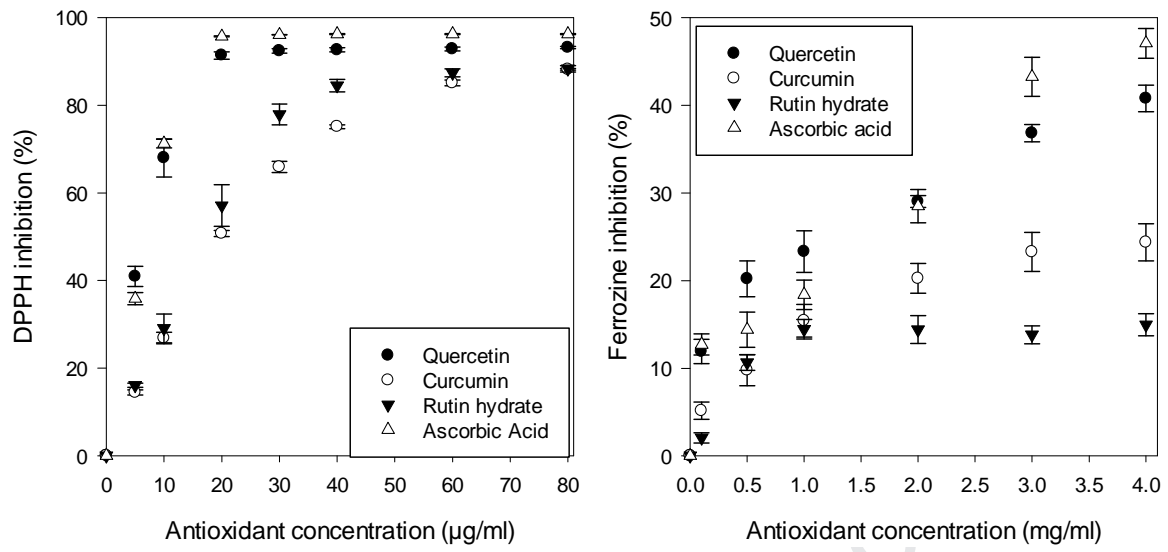


Figure 2- Antioxidant activities of investigated compounds

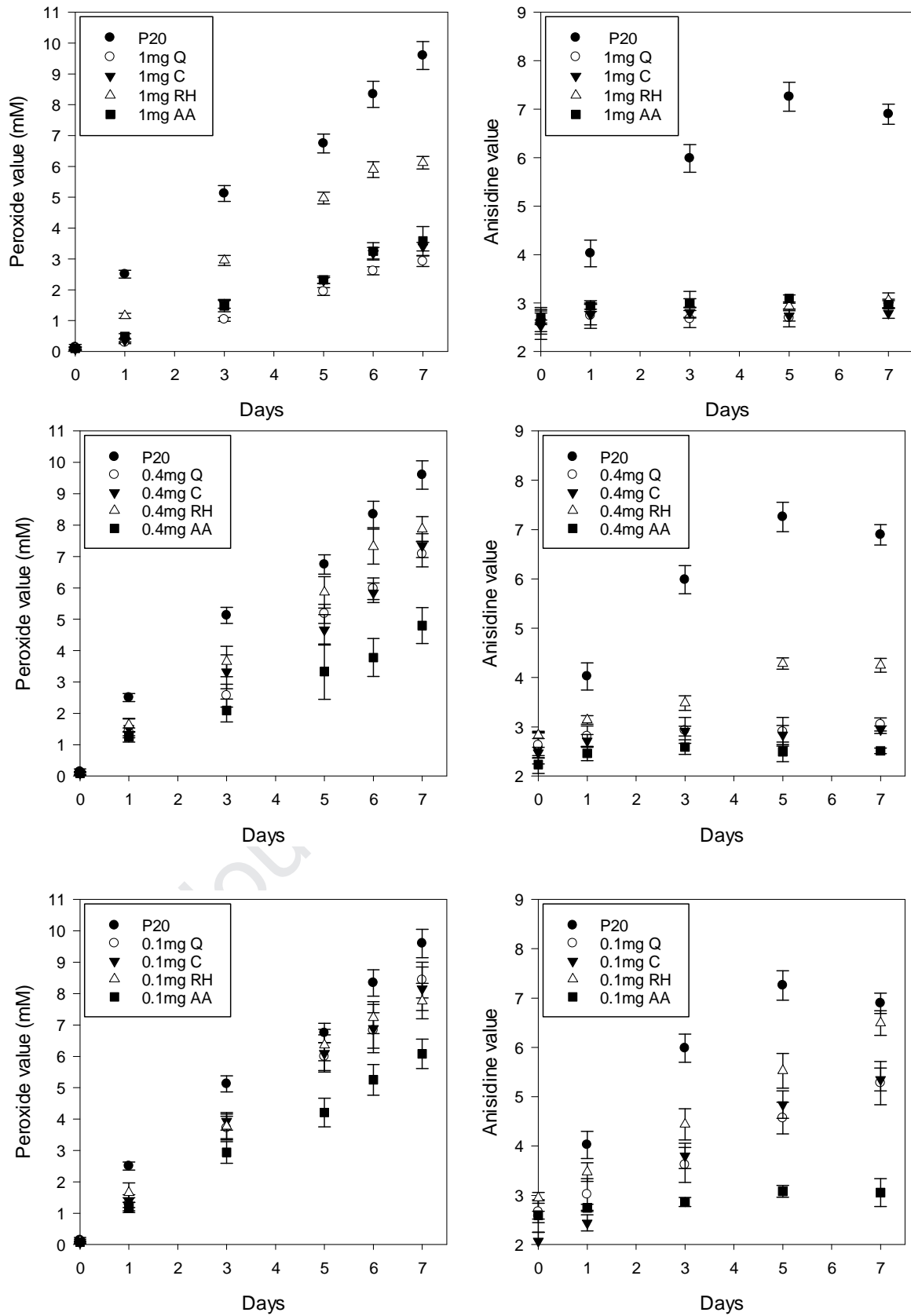


Figure 3- Oxidative stabilities of 1% (w/w) P20 emulsions under varying antioxidant concentrations. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

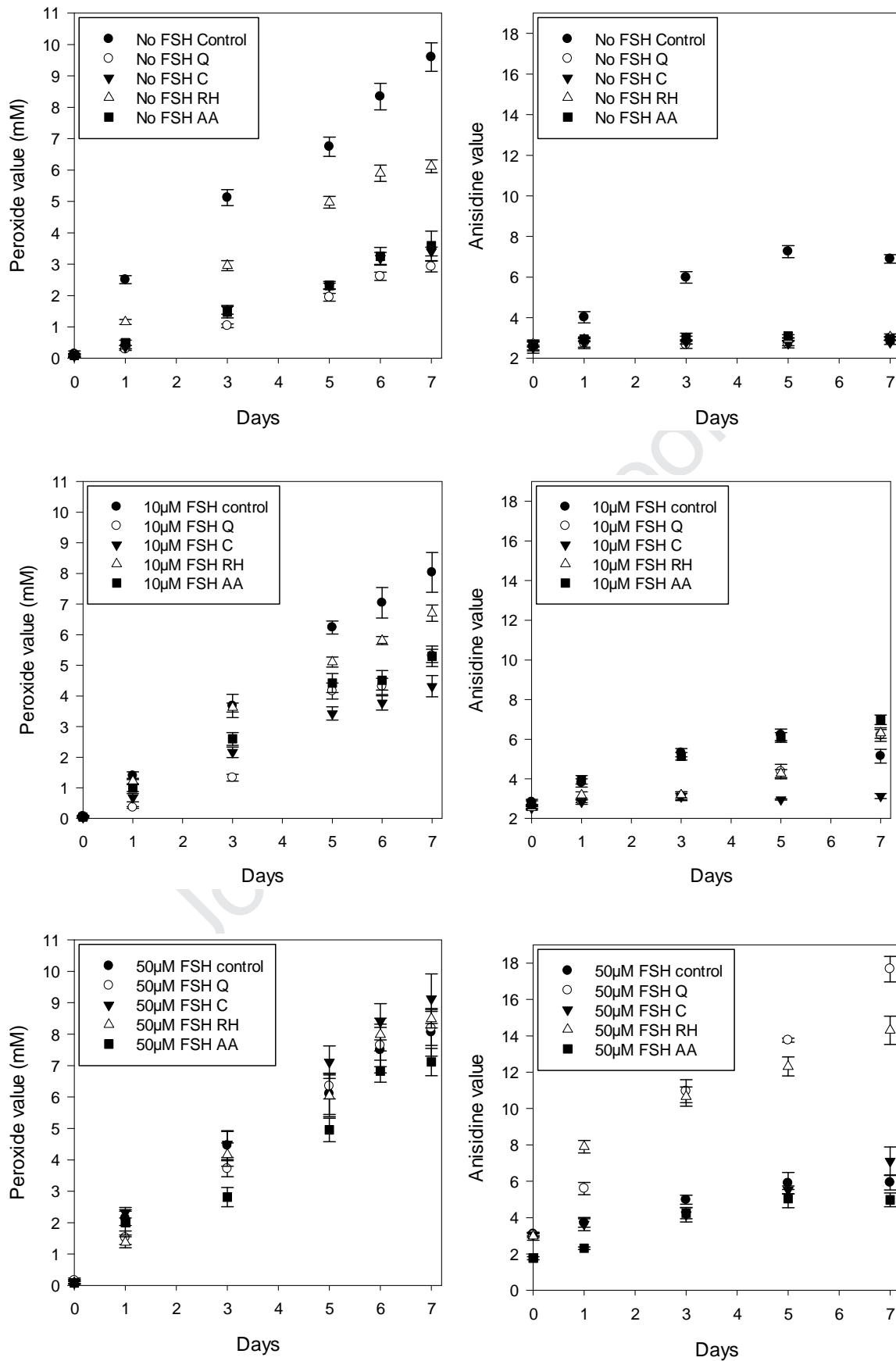


Figure 4- Oxidative stabilities of emulsions under varying FSH concentrations. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

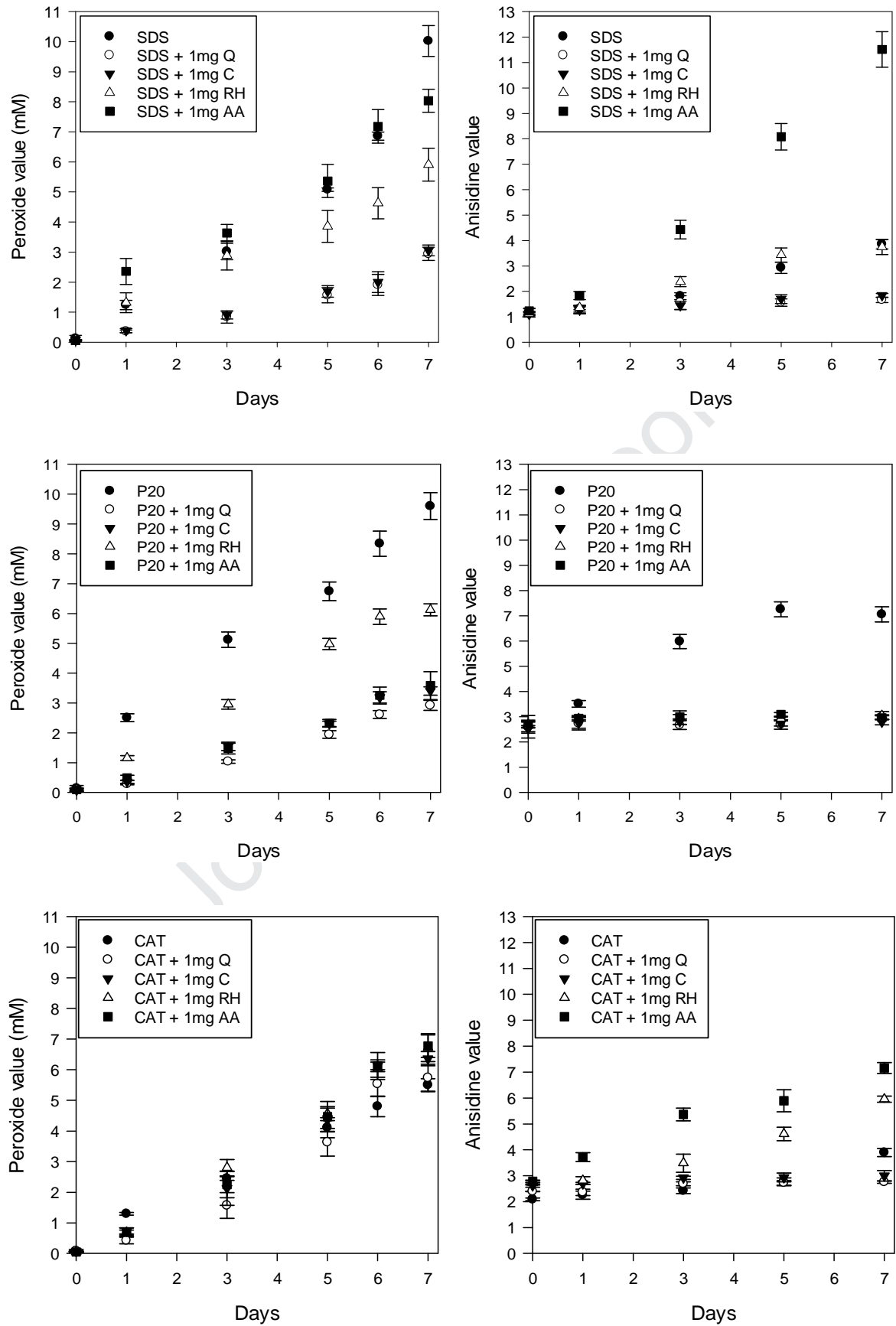


Figure 5-Oxidative stability of emulsions formed with different emulsifiers. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

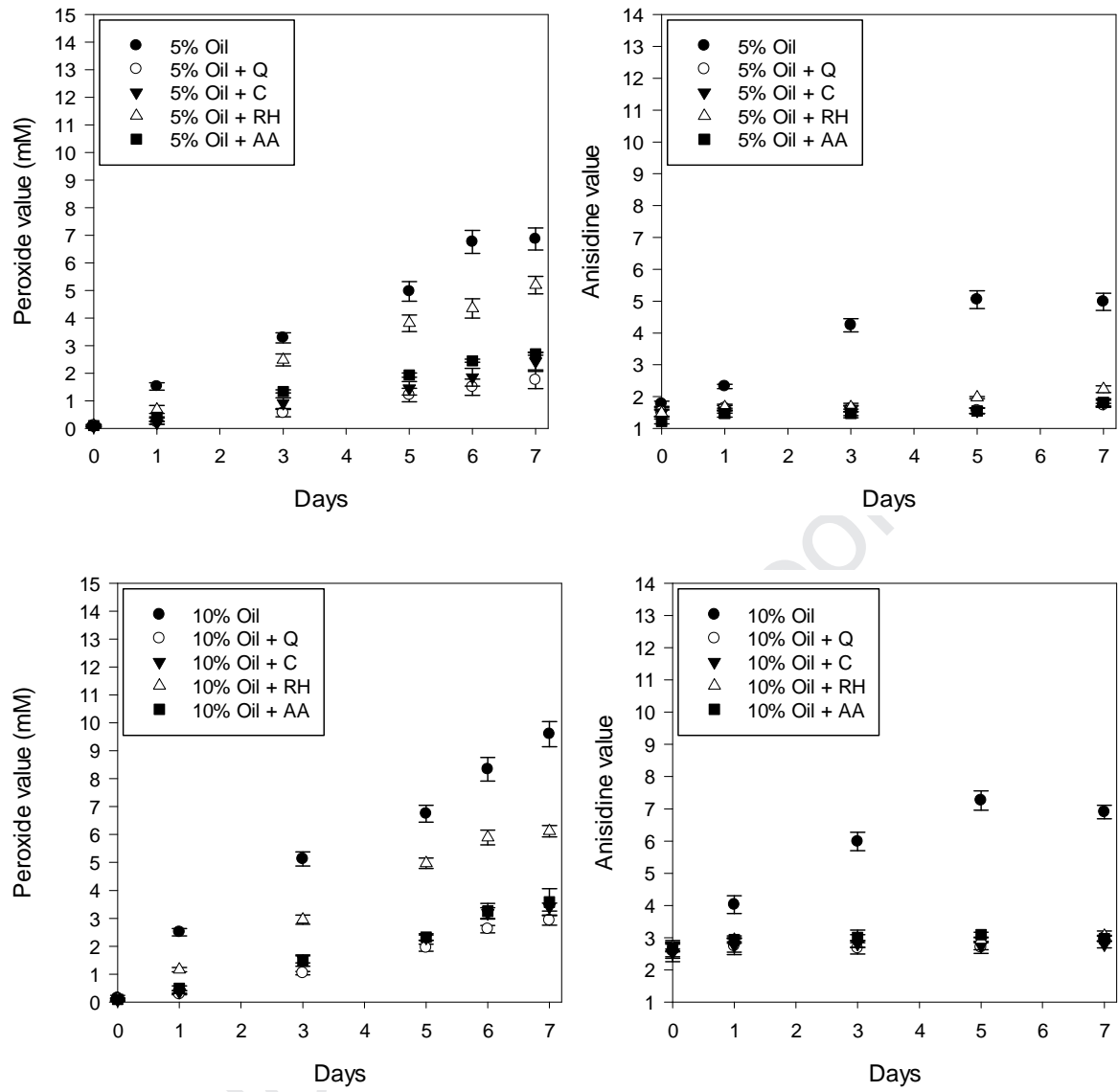


Figure 6- Oxidative stability of emulsions with oil phase volumes of 5% and 10%. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

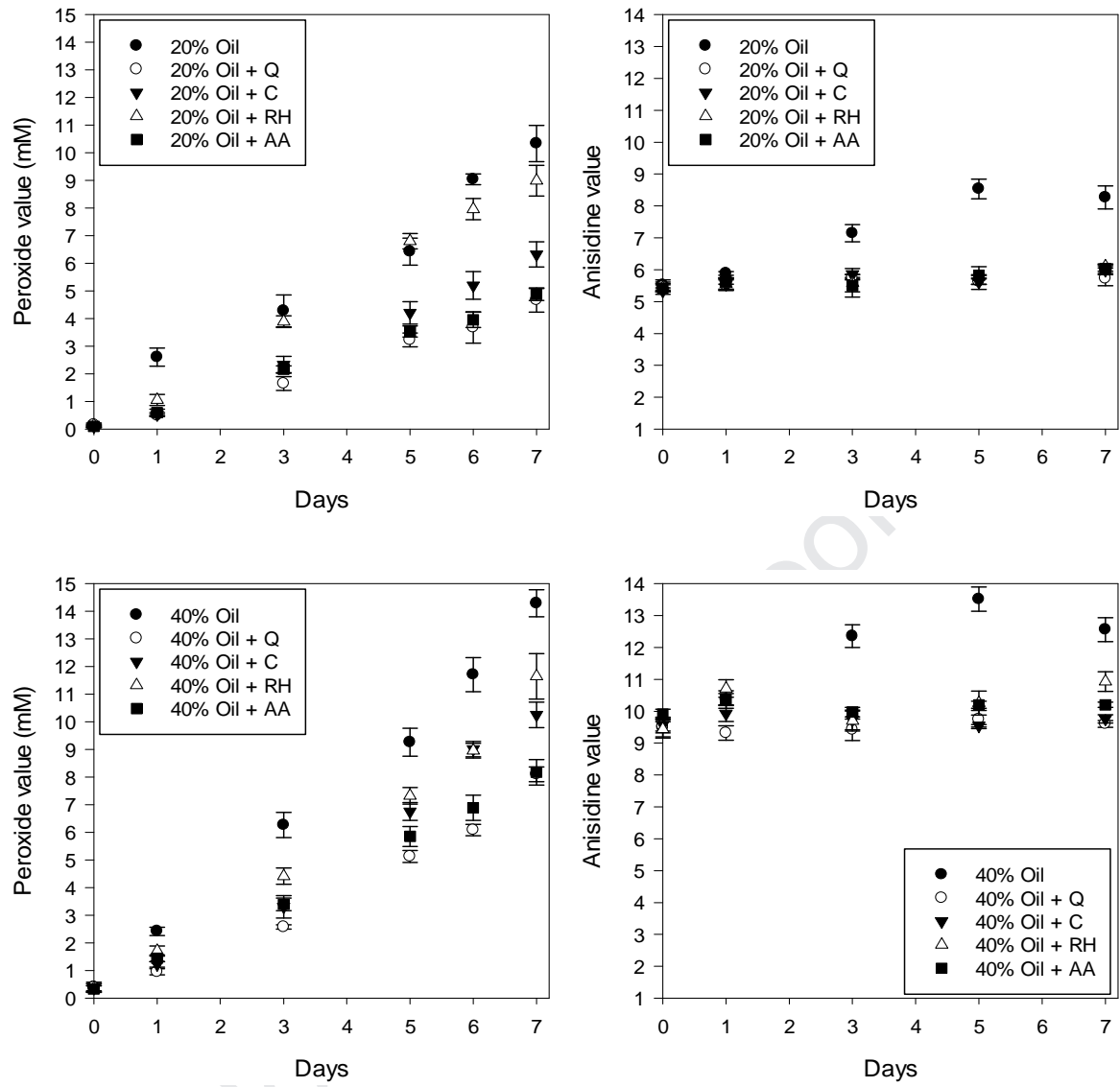


Figure 7- Oxidative stability of emulsions with oil phase volumes of 20% and 40%. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

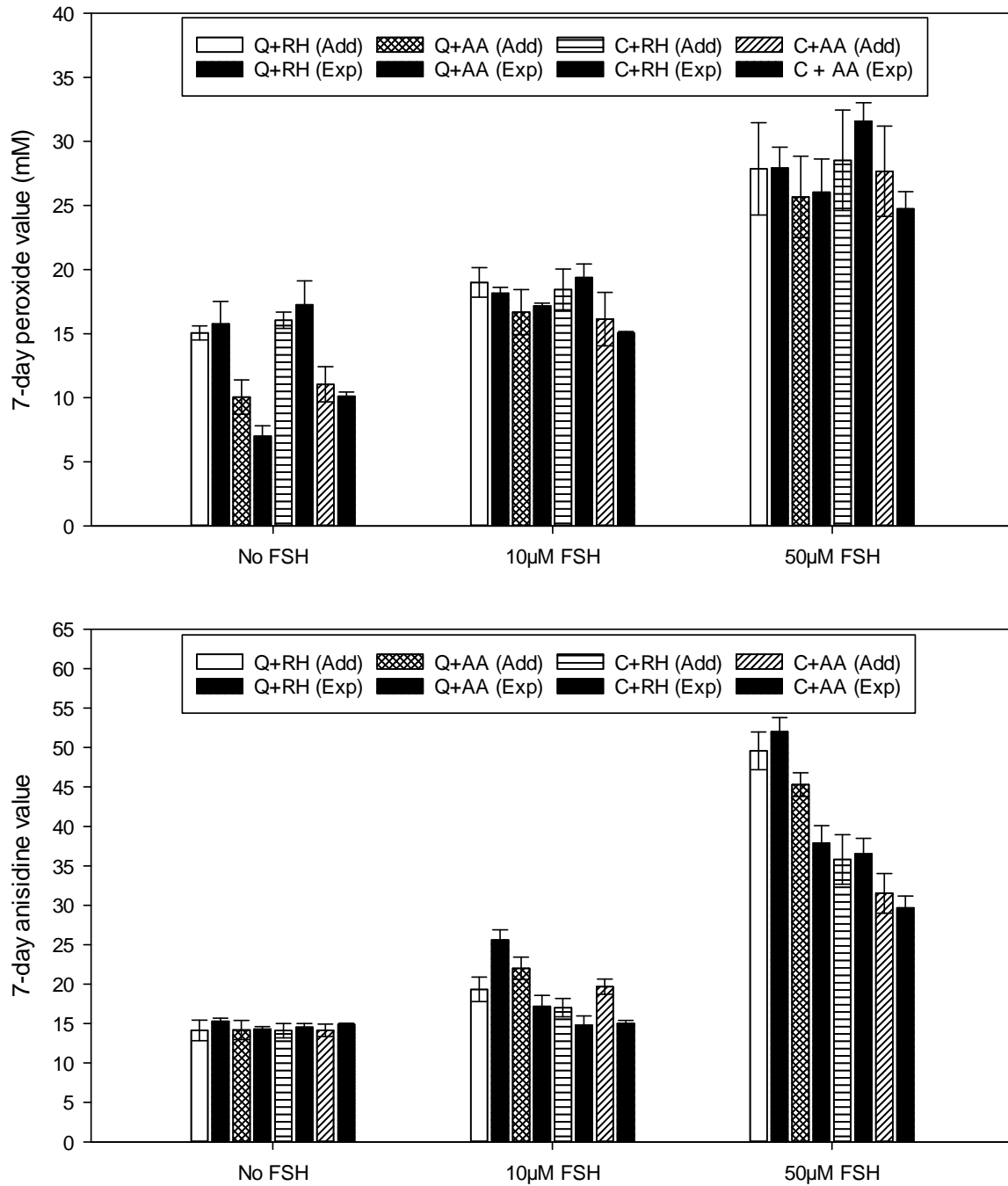


Figure 8- Effect of antioxidant combinations on oxidative stability. Experimental values given to the right of predicted values as solid black bars. Where Q= quercetin, C=curcumin, RH=rutin hydrate, and AA= ascorbic acid.

- Antioxidant behaviour of quercetin, curcumin, rutin hydrate and ascorbic acid explained within different O/W emulsion environments.
- Generally, antioxidants with greatest DPPH and Ferrozine inhibition combatted lipid oxidation most effectively.
- Pro-oxidant activity of antioxidants in presence of iron far more important to oxidative stability than their antioxidant iron chelating activity.
- Non-polar compounds more effective than polar compounds in low oil phase volume emulsions; providing more evidence of the 'polar paradox'.

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**Author contributions**

1. **John Noon**- Formulated initial hypothesis, designed and carried out all experimental work and analysis. Wrote first draft of the manuscript. Corresponding author.
2. **Tom Mills**- Provided input on experimental design, analysis of data and edited first draft of manuscript. Secondary PhD supervisor to John Noon.
3. **Ian Norton**- Provided input on experimental designs, analysis of data and edited second draft of manuscript. Primary PhD supervisor to John Noon.

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**Conflicts of Interest**

**The authors have no conflicts of interest to disclose.**

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