1	Tolerant and intolerant macaques show different levels of structural
2	complexity in their vocal communication
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30 Abstract

31 We tested the social complexity hypothesis which posits that animals living in complex social 32 environments should use complex communication systems. We focused on two components of 33 vocal complexity: diversity (number of categories of calls) and flexibility (degree of gradation 34 between categories of calls). We compared the acoustic structure of vocal signals in groups of 35 macaques belonging to four species with varying levels of uncertainty (i.e. complexity) in 36 social tolerance (the higher the degree of tolerance, the higher the degree of uncertainty): two 37 intolerant species, Japanese and rhesus macaques, and two tolerant species, Tonkean and 38 crested macaques. We recorded the vocalizations emitted by adult females in affiliative, 39 agonistic, and neutral contexts. We analysed several acoustic variables: call duration, entropy, 40 time and frequency energy quantiles. The results showed that tolerant macaques displayed 41 higher levels of vocal diversity and flexibility than intolerant macaques in situations with a 42 greater number of options and consequences, i.e. in agonistic and affiliative contexts. We 43 found no significant differences between tolerant and intolerant macaques in the neutral 44 context where individuals are not directly involved in social interaction. This shows that 45 species experiencing more uncertain social interactions displayed greater vocal diversity and 46 flexibility, which supports the social complexity hypothesis. 47

48 Keywords: acoustics, social system, social style, cluster analysis, comparison, primates

49 **1. Introduction**

50 When looking for the determinants of social evolution in animals, two main types of 51 factors can be distinguished: external pressures coming from the environment and internal 52 constraints arising from the structure of the phenotype. Understanding how adaptation to 53 environmental factors shapes social behaviour has attracted a great deal of research, and is in 54 fact a main objective of the field of behavioural ecology [1,2]. In comparison, the role of 55 structural constraints in biology has long been a controversial issue [3,4], and much less effort 56 has been devoted to studying how they channel social organizations [5]. Although the 57 definition of structural constraints itself has been problematic for some time, they can be 58 actually defined as processes that limit the response of phenotypic traits to the selective action 59 of ecological factors [6,7]. These constraints arise from the existence of functional 60 relationships that link phenotypic traits or from passive interconnections that have occurred 61 over the course of evolutionary history, and keep them in an entrenched state [5,8,9].

62 According to the social complexity hypothesis for communicative complexity, there is a 63 functional relationship between patterns of communication and patterns of social organisation: 64 animals living in complex social environments should use complex communication systems 65 because a complex social life increases the need to discriminate individuals, express a wide 66 range of emotional states, and convey a broad variety of messages related to different goals and 67 contexts [10–12]. Although the social complexity hypothesis applies to communicative signals 68 in general, most of the current evidence comes from the study of vocal communication [10]. 69 The correlations found between the amount of information or the size of vocal repertoire on 70 one side, and the size of social groups [13–15] or the number of categories of individuals on 71 the other side [11,16] are in line with this hypothesis. However, there are problems with the 72 definition and measurement of both social and vocal complexity.

There is no consensus on measures of the complexity of social systems [10,17–19]. The number of individuals in a social unit, as well as their number of categories or interactions, have long been used as indicators of complexity [10,11,16,17,20,21]. More recently, authors have focused on the number of social relationships or associations between group members [18,22]. Numbering the components of social systems may provide a good proxy for assessing their diversity, but diversity is only part of complexity, it does not encompass all aspects of 79 complexity [23], which limits the evaluation of the social complexity hypothesis.

80 A similar problem hinders the measurement of the complexity of vocal communication 81 [24]. Authors generally assume that the greater the number of call types, the higher the level of 82 vocal complexity [14,15,25]. In these studies, what is considered is the diversity of 83 communication signals rather than the complexity of the entire vocal system. Moreover, there 84 is no agreement on how to identify the types of calls, and therefore the size of a species' 85 communicative repertoire [24]. The task is especially tricky when repertoires are graded, that 86 is, when there is gradual transition from one acoustic structure into another [24], as reported in 87 species such as primates [26,27]. Some have proposed abandoning the idea of counting the 88 number of calls to quantify vocal complexity, and instead using the degree of gradation of 89 repertoires [24,28], i.e. flexibility in the acoustic structure of vocal signals. Since diversity and 90 flexibility represent two different components of complexity, however, it seems that the best 91 solution is to take both into account when characterising vocal complexity [23].

92 Uncertain outcomes appear to be the most important characteristic of complex systems [29,30]. Shannon's information theory [31] provides a way to quantify diversity and flexibility 93 94 in terms of uncertainty [23]. This theory refers to what can be treated as a quantity of 95 information which is here synonymous with a lack of a priori knowledge about the outcome of 96 events, and therefore their unpredictability. More types of calls or more graded calls offer a 97 greater number of options and, ultimately, the greater the number of options, the greater the 98 uncertainty. The social complexity hypothesis can therefore be tested by comparing the 99 diversity and flexibility of communication in species with varying levels of uncertainty in their 100 social relationships. These species must be close enough to allow for homologous comparison 101 from the point of view of both social relations and communication signals. In this respect, the 102 genus Macaca offers a model that meets these requirements. Macaque species exhibit wide 103 variations in their degree of social tolerance, which can be related to different levels of 104 uncertainty in the outcome of their agonistic interactions [32,33]. In the most intolerant 105 species, social conflicts generally have clear consequences: in Japanese macaques (Macaca 106 fuscata) and rhesus macaques (M. mulatta), for instance, the recipient of aggression flees or 107 submits in nine out of ten cases among unrelated females [34]. By contrast, in more tolerant 108 species the recipient of the aggression frequently protests or counter-attacks: in Tonkean

109 macaques (*M. tonkeana*) and crested macaques (*M. nigra*), 68.0 and 45.4% of conflicts among 110 unrelated females, respectively, remain undecided, with no clear winners and losers [34]. The need for complex communication signals is not necessarily the same in all social 111 112 contexts [10]. In the agonistic context, animals need information to cope with the many 113 potential outcomes of uncertain situations such as open contests between two or more 114 individuals, which affects competition for resources and expose individuals to risk of injury. In 115 the affiliative context, a wealth of communication signals can also help individuals to achieve 116 the best solution from a variety of behavioural options and maintain their social relationships 117 [25,35]. Significant interspecies differences in communication systems are to be expected in 118 situations of competition and cooperation. On the contrary, no significant interspecies 119 differences should occur in neutral circumstances – i.e. when individuals are not directly involved in a social interaction – that do not require the expression of a wide range of 120

121 intentions.

122 The interspecific variations reported in the agonistic patterns of macaques covary with 123 other components of their social style such as hierarchical steepness, degree of nepotism, 124 reconciliation rates, or range of facial displays; for example, dominance and kinship relations 125 have stronger influence on individual behaviours in intolerant macaques compared with 126 tolerant macaques, and the latter reconcile more often and have a greater number of facial 127 displays than the former [32,36,37]. Despite such variations, macaque species share the same 128 basic patterns of organization. All are semi-terrestrial primates living in multimale-multifemale 129 groups; males disperse, and females remain in their natal group where they constitute 130 matrilines, i.e. subgroups of relatives linked by maternal descent [36]. While no association has 131 been found so far between the contrasting social styles of macaque species and the ecological 132 conditions in which they have evolved, it appears that social styles consistently vary with 133 phylogeny: closely related species are more similar than those that are distant [5,37,38]. 134 In this study, we compared the vocal signals of two tolerant species (Tonkean & crested 135 macaques, Macaca tonkeana & M. nigra) and two intolerant species (Japanese & rhesus 136 macaques, M. fuscata & M. mulatta), based on three main variables (acoustic distance, 137 diversity, flexibility) in three different social contexts (agonistic, affiliative, neutral). Like the 138 other species of macaque, they use a graded repertoire of vocalizations [39–42]. They are

139 mainly frugivorous and their primary habitat is forest, with the exception of rhesus macaques 140 which occur in a variety of habitats, from forests to arid lands or regions of human settlement 141 [38]. Both Tonkean and crested macaques live on different parts of the island of Sulawesi, 142 Indonesia, they belong to the oldest macaque lineage [43]. Japanese and rhesus macaques live 143 in Japan and mainland southern Asia, respectively, and both belong to a more recent lineage 144 [43,44]. The two lineages separated about five million years ago [45,46]. In comparison, the 145 divergence between Tonkean and crested macaques on one side, and Japanese and rhesus 146 macaques on the other side, is much more recent. It is estimated to have occurred almost one 147 million years ago at the latest [46,47]. Because of these phylogenetic distances, it can be 148 expected that the vocal signals used by individuals will differ more between these two pairs of 149 species than within each pair. However, such differences should apply indiscriminately to the various vocal variables and social contexts, contrary to the social complexity hypothesis which 150 151 specifies that contrasts between species should depend on the variables and contexts. 152 We tested the predictions of three different hypotheses: (1) Null hypothesis: We should 153 find no significant difference in the calls of tolerant and intolerant species regardless of 154 variables and contexts; (2) Phylogenetic hypothesis: Greater similarity should occur in more 155 closely related species, for any variable, and regardless of the social context, so we should find 156 more differences between Tonkean and crested macaques on the one hand, and Japanese and 157 rhesus macaques on the other, than within each of these species pairs across variables and 158 contexts; (3) Social complexity hypothesis: Greater uncertainty in the social interactions of 159 tolerant species compared to intolerant species should be associated with greater vocal 160 diversity and flexibility in the former species than in the latter, while no significant differences 161 should be found regarding the acoustic distances of calls. In addition, differences in diversity and flexibility should vary across social contexts: they should be strong in the agonistic and 162 163 affiliative contexts, and weak in the neutral and context.

164

165 **2. Methods**

166 (a) Subjects and living conditions

We made behavioural observations and acoustic recordings in 29 adult females from twogroups of Japanese macaques, 16 adult females from two groups of rhesus macaques, 13 adult

169 females from four groups of Tonkean macaques, and 51 adult females from two groups of 170 crested macaques. We focused on adult females because they are the most represented age-and-171 sex category in macaque social groups, and also the most active contributors in vocal 172 communication [48]. Japanese, rhesus and Tonkean macaque females were captive born and at 173 least five years old. Crested macaques were studied in their natural habitat, and the age of the 174 subjects was assessed according to their reproductive history since 2006 (Macaca Nigra 175 Project, www.macaca-nigra.org), their body size, the shape of their nipples, and the presence of 176 old physical injuries. The composition of groups is given in Supplementary material S1, 177 Table 1.

178 The groups of Japanese macaques (Ft, Fw) were housed in two enclosures of 960 and 179 4,600 m², respectively, at the Primate Research Institute in Inuyama, Japan [49]. The groups of 180 rhesus macaques (Ma, Mb) were housed in two 210-m² enclosures at the Biomedical Primate 181 Research Center in Rijswijk, The Netherlands [50]. One group of Tonkean macaques (Tb) was 182 housed at the Orangerie Zoo in Strasbourg, France, in a 120-m² enclosure, and the other three 183 groups (Tc, Td, Te) were housed at the Parco Faunistico di Piano dell'Abatino Rescue Centre 184 in Rieti, Italy, in 500-m² enclosures [50]. Enclosures were wooded or furnished with perches, 185 ropes and shelters. Animals were fed commercial monkeys diet pellets, supplemented with 186 fresh fruits and vegetables, and water was available ad libitum. The groups of crested 187 macaques (Npb, Nr1) lived in the Tangkoko Nature Reserve, North Sulawesi, Indonesia [35]. 188 They were not provisioned and inhabit lowland tropical rainforest [51].

The study complied with the legal requirements and guidelines of the Italian, French Japanese, Dutch and Japanese governments, and followed the ASAB/ABS guidelines for the treatment of animals in behavioural research. In what follows we will refer for convenience to the Tonkean and crested macaque species as the Tonkean/crested pair, and the Japanese and rhesus macaque species as the Japanese/rhesus pair.

194

195 **(b) Data collection**

We carried out observations outdoor to ensure the quality of the recordings. Data were
collected by A.L. in Japanese macaques [49], N.R. in rhesus macaques, A.D.M., A.S. and N.R.
in Tonkean macaques [50], and J.M. in crested macaques [35] (S1, Table 1). We observed

199 subjects in a predefined random order using focal sampling. Sample duration was 10 min in

200 Japanese and Tonkean macaques from groups Tc, Td and Te, 15 min in rhesus macaques and

201 Tonkean macaques from group Tb, and 30 min in crested macaques. This resulted in

202 6.1±0.16 h of focal sampling per female in Japanese macaques, 12.7±0.7 h in rhesus macaques,

 13.6 ± 3.2 h in Tonkean macaques, and 7.8 ± 0.4 in crested macaques.

In Japanese macaques, we recorded vocalizations with a TCD-D100 Sony (Tokyo, Japan) DAT recorder (WAV format, sampling frequency: 44100 Hz, resolution: 16 bits), and an

206 ECM672 Sony directional microphone. In rhesus and Tonkean macaques, we used a Marantz

207 (Eindhoven, The Netherlands) PMD661 recorder (WAV format, sampling frequency:

44100 Hz, resolution: 16 bits), and a Sennheiser (Wedermark, Germany) K6 & ME66

209 directional microphone. In crested macaques, we used partly a high-resolution camera

210 Panasonic (Osaka, Japan) HDC-SD700 linked to a Sennheiser (Wedermark, Germany) K6 &

211 ME66 directional microphone, and partly a Marantz (Eindhoven, The Netherlands) PMD661

212 (WAV format, sampling frequency: 32000 Hz, resolution: 16 bits). We collected observational

213 data about the context of call emission with a lavalier microphone connected to the recorder in

214 Japanese, rhesus and Tonkean macaques (at805f, audio-technica, Leeds, UK vs TCM160,

215 Meditec, Singapore). In the crested macaques, the observer filmed the focal individual while a

216 field assistant recorded contextual data using a handheld computer; we extracted the audio

tracks from the video recordings using the software *FFmpeg* (v 3.4.1).

218 We distinguished three social contexts: agonistic, affiliative and neutral. Contexts were 219 defined according to the behaviours that could occur in the 3 s before and after the emission of 220 a call or a sequence of calls. A sequence was itself defined as a series of calls separated by a 221 maximum of 3 s. Note that behaviour patterns could fluctuate before and after the emission of 222 the calls, but the context did not change. Behavioural units were based on published repertoires 223 for macaques [52–54]. The agonistic context included aggression (supplantation, lunge, chase, 224 slap, grab, bite, facial threat display) and response to aggression (aggression, avoidance, flight, 225 crouch, submissive facial displays). The affiliative context included affiliative behaviours 226 (approach, sitting in contact, social grooming, social play, grasp, embrace, mount, affiliative 227 facial display). In the neutral context, the caller was not involved in a social interaction.

229 (c) Acoustic analysis

230 We had records for 1368 calls in Japanese macaques, 1026 calls in rhesus macaques, 1210 231 calls in Tonkean macaques, and 1234 calls in crested macaques. The first author (N.R.) drew 232 spectrograms using the software Raven Pro v1.4' (Cornell Lab of Ornithology, Center for 233 Conservation Acoustics, Ithaca, NY, USA) with a 256 fast Fourier transform length and a 234 Hanning window. With the same software, she measured the following variables: Duration: 235 duration from the beginning to the end of a call, in seconds; *Q2 ratio*: ratio between duration 236 that divides a call into two intervals of equal energy and duration in percentage; *O1 frequency*: 237 value of the frequency that divides a call into two intervals containing 25% and 75% of the 238 energy, in Herz; Q2 frequency: value of the frequency that divides a call into two intervals of 239 equal energy, in Herz; Q3 frequency: value of the frequency that divides a call into two 240 intervals containing 75% and 25% of the energy, in Herz; Wiener's aggregate entropy: degree 241 of disorder (i.e. noisiness) of the call, which uses the total energy in a frequency bin over the 242 entire call; Wiener's average entropy: mean of the mean entropies of the different time slices 243 of a call. Our objective was to compare the four species on tonal and atonal calls, so we did not 244 take into account the variables associated with fundamental frequencies since they are absent in 245 atonal calls.

246 We selected recordings according to their quality. We randomly selected no more than 247 three calls per sequence. A sequence was defined as a series of calls separated by a maximum 248 of 3 s. Based on the total number of calls, females with a sample size less than five calls were 249 excluded from the analysis. We also excluded some specific types of calls for which we could 250 collect only a few recordings or none in each species: alarm calls, œstrus calls, and twits and 251 cackles. Our samples resulted in 434 calls in 24 Japanese macaques (agonistic context: total 252 number of calls, 79 & mean number of calls per female \pm SD, 3.30 ± 377 ; affiliative context: 253 94 & 3.92 ± 4.16 ; neutral context: 255 & 10.6 ± 5.48), 639 calls in 16 rhesus macaques 254 (agonistic: $118 \& 7.38 \pm 6.75$; affiliative: 59 & 3.69 ± 3.22 ; neutral: 461 & 28.8 ± 16.0), 700 255 calls in 13 Tonkean macaques (270 & 20.8 \pm 26.3, 226 & 17.4 \pm 14.3, 202 & 15.5 \pm 8.42), and 256 696 calls in 19 crested macaques (201 & 10.6 ± 6.61 , 297 & 15.6 ± 11.8 , 191 & 10.1 ± 7.40).

257

258 (d) Statistical analysis

Statistical analyses were run in R [55]. In a first analysis, we tested the differences in acoustic variables between species. In a second analysis, we assessed vocal diversity and compared it across species; we first performed a Principal Component Analysis (PCA), then a cluster analysis using an algorithm adapted to the graded repertoire. In a third analysis, we quantified the degree of gradation of the repertoire based on assignment probabilities using a second cluster analysis.

265 Acoustic distances: To test the differences between species in their acoustic variables, we 266 performed discriminant function analyses using the function *lda* of the package MASS [56]. 267 Since a discriminant function analysis can be affected by the unit in which predictor variables 268 are measured, we scaled the acoustic variables prior to analysis. As collinearity can bias the 269 results of a linear discriminant analysis [57], we removed acoustic variables so that each 270 Pearson pairwise correlation between acoustic variables was less than 0.7; a simulation study 271 showed that this is the value above which collinearity begins to bias model estimates, and is 272 consequently the most commonly used threshold [58]. We therefore included the following 273 variables in the discriminant function analysis: duration, Q2 ratio, Q2 frequency, Average 274 entropy. We used the function *PermuteLDA* from the package *multiDimBio* [59] to assess 275 interspecific differences in acoustic variables that we name acoustic distances, which allowed 276 to statistically determine whether the species were at different locations in the multivariate 277 space [60]. The function PermuteLDA calculated the multivariate distances between the sets of 278 calls of each species in each context, and determined whether they differed significantly using 279 Monte Carlo randomization.

280 Principal Component Analysis: As individuals were described by multifactorial 281 characteristics, we used Principal Component Analyses (PCA) to reduce the dimensionality of 282 the data set and stabilize cluster results [61], which means that the clustering outputs are 283 smaller in number and less sensitive to noise and specific observations. In addition, the PCA 284 approach eliminates correlations between factors that can influence clustering. Prior to PCA, 285 and per context for all species, we scaled the seven acoustic variables to obtain a standard 286 deviation of one, and a mean of zero, using the *R* base function *scale* [55]. The PCAs per 287 context were then performed using the *PCA* function of *FactoMineR* package [62]. We 288 weighted each female according to her number of calls by applying the argument *row.w* of the PCA function to balance the contributions of the different females to the creation of the space.
Eventually, we selected the number of dimensions that explained near 95% of the variance of
the data.

292 Vocal diversity: It is possible to measure vocal diversity by the number of call types in the 293 repertoire of a species [12]. We ourselves measured it using the number of main categories of 294 calls (i.e. groups of calls with similar acoustic characteristics) as follows. There is more 295 uncertainty in communication when individuals can emit more calls, i.e. when the number of 296 groups of calls is large. We determined the diversity in groups of calls by quantifying the 297 number of clusters that structured the data set. The greater the number of clusters, the greater 298 the vocal diversity. To calculate the optimal number of clusters, we chose to apply Gaussian 299 Mixture models (GMM) based on a clustering approach [63–665]. GMMs assume that the 300 clusters come from a finite mixture of probability distributions, which allows each group to be 301 described with a different volume, shape, and orientation. The distribution parameters must be 302 computed, which has been done by an Expectation maximization (EM) algorithm. The best 303 model was then selected based on the Bayesian Information Criterion (BIC) score. The BIC 304 scoring of a GMM was performed using the function *Mclust* of the package *Mclust* [66]. We 305 have considered only the optimal number of clusters defined by the best model. As we wanted 306 to compare these optimums statistically between each of the species, we used a bootstrap 307 procedure. We ran 100 bootstraps where 80% of the data was sampled per bootstrap.

308 Vocal flexibility: We can measure signal uncertainty as the degree of gradation between 309 call types [23]. We named *vocal flexibility* the degree of gradation between calls: the higher 310 vocal flexibility is, the greater is the potential for information transmission [12]. We used the 311 probability for a single call to belong to the different clusters to measure the degree of 312 gradation between clusters. Accordingly, we used the soft assignment from a fuzzy clustering 313 algorithm over GMM because we aimed at avoiding shape, volume or orientations difference 314 between groups that can affect the likelihood of membership to each cluster. We applied the 315 function *fanny* from the package *cluster* [67]. We set the argument membership exponent at 1.2 316 because it was the highest value – giving a higher degree of fuzziness [68] – that did not lead to 317 convergence issue. Each call was assigned a probability of belonging to each cluster (N318 probabilities per call for N clusters). Therefore, if a call had a probability of one to belong to

319 cluster A, and of zero to belong to any other clusters, this call was considered as typical of 320 cluster A. On the contrary, if a call had more evenly distributed probabilities, it was considered 321 as an intermediate call between at least two different clusters. The higher the number of 322 intermediates, the higher the degree of gradation between clusters. Hence, to quantify this 323 degree, we could use the Shannon's entropy formula [31]: the higher the entropy, the more 324 even the distribution across clusters. We calculated the entropy of each call. Entropy value was 325 then transformed into a relative entropy value, i.e., the entropy divided by the logarithm of the 326 number of clusters [69,70]. We then calculated the mean of these relative entropy values. This 327 computation was performed for a number of clusters varying from 2 to 6 (optimal number of 328 clusters range).

329 Statistical comparisons: We compared the optimal number of clusters between species 330 with a generalised linear model using a Poisson family (GLM). We compared the entropy 331 value (i.e. degree of gradation between clusters) using linear models (LM). We compared the 332 full models (i.e. with species as predictor factor) to the null models (i.e. without species) by 333 applying likelihood ratio tests (LRT) using the function *lrtest* of the package *lmtest* [71]. This 334 allowed to assess whether the species factor had a significant effect. When species had a 335 significant effect, we performed post-hoc tests to make pairwise comparisons using the 336 function *emmeans* of the package *emmeans* [72].

337

338 3. Results

339 (a) Acoustic distance

340 In the agonistic context, pairwise comparisons in the multivariate acoustic distances 341 yielded significant differences between species, except between Japanese and Tonkean 342 macaques; the distances between rhesus and Tonkean macaques remained limited relative to 343 other distances between species (Fig. 1 & S1, Table 2). In the affiliative context, comparisons 344 also yielded significant differences, except between Japanese and rhesus macaques; the 345 distances between Tonkean macaques and either Japanese or rhesus macaques were limited 346 (Fig. 1 & S1, Table 2). In the neutral context, all pairwise comparisons produced significant 347 differences, but distances between Japanese, rhesus and Tonkean macaques were limited; 348 crested macaques were farther from the other species in the three contexts (Fig. 1 & S1,

Table 2). As an outcome, no grouping appeared between the Tonkean and crested macaques onone side, and Japanese and rhesus macaques on the other side.

351

352 (b) Vocal diversity

353 In the agonistic context, the mean optimal number of clusters differed significantly 354 between species (LRT $\chi^2 = 28.1$, p < 0.001), meaning that they differed in their number of 355 groups of calls. Post-hoc tests revealed that the Tonkean/crested pair had a significantly greater 356 number of clusters than the Japanese/rhesus pair; no significant differences were found 357 between the two members of each pair (Tonkean/crested macaques pair; Japanese/rhesus pair) 358 (Fig. 2 & S1, Table 3). In the affiliative context, the mean optimal number of clusters differed 359 significantly between species (LRT $\chi^2 = 90.4$, p < 0.001). Post-hoc tests showed that the 360 Japanese macaques had a significantly smaller number of clusters than the other species; rhesus 361 macaques had a lower number of clusters than the Tonkean/crested pair although the difference 362 was significant with the crested macaques and not with the Tonkean macaques; Tonkean and 363 crested macaques did not differ in their numbers of clusters (Fig. 2 & S1, Table 3). In the 364 neutral context, the mean optimal number of clusters differed significantly between species 365 (LRT $\chi^2 = 88.3$, p < 0.001). Post-hoc tests revealed that rhesus macaques had a significantly 366 greater number of clusters than the other species); Tonkean macaques had a similar number of 367 clusters compared to crested macaques; Japanese macaques had a significantly smaller number 368 of clusters than the other species (Fig. 2 & S1, Table 3).

We used the truncation of the mean optimal number (*N*) of clusters for each species and context to illustrate the optimal grouping of call types usually recognized in macaque species (see Supplementary materials S1, Table 4, and S2, 3D cluster graphs). Although call types such as screams, barks and coos were common to the four species, other types of calls were specific to species: girneys and growls in Japanese and rhesus macaques, and soft grunts, hard grunts and chuckles in Tonkean and crested macaques (S1, Table 4).

375

376 (c) Vocal flexibility

377 In the agonistic context, the mean entropy value was significantly different between 378 species (LRT $\chi^2 = 1092$, p < 0.001), meaning that they varied in the degree of gradation 379 between call types. Post-hoc tests showed that the strongest differences opposed the 380 Japanese/rhesus pair to the Tonkean/crested pair, with the latter displaying higher entropies 381 than the former. Additionally, Tonkean macaques had a higher entropy than crested macaques, 382 and Japanese macaques had a higher entropy than rhesus macaques (Fig. 2 & S1, Table 2). In 383 the affiliative context, the entropy value was significantly different between species (LRT χ^2 = 384 679, p < 0.001). Post-hoc tests revealed that the strongest differences opposed the 385 Japanese/rhesus pair to the Tonkean/crested pair, with the Tonkean/crested pair displaying a 386 higher entropy than the Japanese/rhesus pair; crested macaques had a higher entropy than 387 Tonkean macaques, and Japanese macaques had a higher entropy than rhesus macaques (Fig. 2 388 & S1, Table 2). In the neutral context, the entropy value was significantly different between species (LRT $\chi^2 = 737$, p < 0.001). Post-hoc tests revealed no clear pattern contrasting the 389 390 Japanese/rhesus to the Tonkean/crested pairs; rhesus macaques had a higher entropy compared 391 to the other species; Japanese macaques had a higher entropy compared to Tonkean and crested 392 macaques, and crested macaques had a higher entropy than Tonkean macaques (Fig. 2 & S1, 393 Table 2).

394

4. Discussion

396 Based on the comparison of the acoustic variables characterizing both tonal and atonal 397 calls, we found that the vocalisations of the four species of macaques studied differed by 398 several respects. Although call types such as screams, barks and coos were common to all of 399 them, other types of calls were specific to species, consistently with the results of previous 400 studies: girneys and growls in Japanese and rhesus macaques, and soft grunts, hard grunts and 401 chuckles in Tonkean and crested macaques [39,40,73–75]. The analysis of the acoustic 402 distances between the sets of calls recorded in each species for each context confirmed that 403 each macaque species has its own acoustic repertoire [42]. In particular, we did not find any 404 significant contrasts in acoustic distances that would allow to arrange the sets of calls of 405 Japanese macaques and rhesus on one side, and Tonkean and crested macaques on the other 406 side.

We addressed vocal diversity by identifying the optimal number of groups of calls in each
species. This showed that the Japanese/rhesus pair differed from the Tonkean/crested pair in

409 the agonistic context; the latter had one additional group of calls compared to the former. It 410 should be emphasized that a group of calls does not represent a single type of calls, but 411 generally includes several types. In other words, this means that the diversity of call types was 412 more extensive in Tonkean and crested macaques compared to Japanese and rhesus macaques 413 in the context of aggression. We found a similar pattern in the affiliative context, although the 414 difference between rhesus and Tonkean macaques was not statistically significant. On the other 415 hand, we did not find similar contrasts between the two pairs of species in the neutral context. 416 We also examined vocal flexibility by analysing the degree of gradation between groups of 417 calls. We found the same type of demarcation between the Japanese/rhesus and the 418 Tonkean/crested pairs in the agonistic and the affiliative contexts. As for vocal diversity, no 419 difference appeared in the neutral context between both pairs of species.

420 Based on the interspecies contrasts evidenced in the acoustic structure of calls, we can 421 reject the null hypothesis that there should be no difference between the Tonkean/crested and 422 Japanese/rhesus pairs. The phylogenetic hypothesis posits that closely related species should 423 show generalised similarity in calls for any acoustic variable and social context. However, this 424 fails to explain why the two pairs of species differed in the number of group of calls and the 425 degree of gradation between calls, but not in their acoustic distances, nor why the contrasts 426 were consistent in the agonistic context, but not in the other social contexts. By contrast, the 427 social complexity hypothesis is able to account for these various results. This hypothesis 428 predicts that only complexity variables - vocal diversity measured by the number of groups of 429 calls and vocal flexibility measured by the degree of gradation – should differ between the 430 Tonkean/crested and Japanese/rhesus pairs in the agonistic and affiliative contexts. It also 431 expects that the magnitude of contrasts between the two pairs of species should be absent in the 432 neutral context. We found that species differences in the neutral context did not follow any 433 pattern related to variations in the degree of social uncertainty between pairs of species. As 434 callers do not receive specific responses from their group mates in the neutral context, the 435 number of possible outcomes remain limited and it is understandable that vocal complexity 436 was not influenced by the species-specific style of social interactions. 437 The social interactions of tolerant macaque species are characterized by a higher degree of

438 freedom than those of more intolerant macaques, as they are less constrained by kinship and

439 dominance relations [76]. Functionally, a greater diversity of vocal signals and a marked 440 gradation between them can provide richer and more nuanced meanings, as moving gradually 441 from one display to another would allow the signals to express a broad motivational spectrum 442 [77]. In other words, such signals have the potential to contain a large amount of information 443 and convey a wide range of emotions and intentions. This would contribute to the developed 444 negotiation skills of tolerant macaques, enabling them to engage in highly sophisticated 445 affiliative interactions, manage undecided open contests, and achieve high rates of conflict 446 resolution [35,78-82].

447 It should be stressed that our results are by nature correlational. The causal direction of the 448 social complexity hypothesis for communicative complexity is still debated [12]. While 449 complex social situations may require complex communicative abilities, complex 450 communicative abilities may also foster the emergence of complex social interactions. Since 451 the two processes are not mutually exclusive, a positive feedback loop may occur between 452 them at the evolutionary level. In addition, it is generally assumed that the social complexity 453 hypothesis applies to entire social systems. Our results reveal that the hypothesis can hold for 454 some social situations and not for others. In particular, we did not find consistent differences 455 between tolerant and intolerant macaques in the neutral context, where most of the recorded 456 calls were coos and growls. As mentioned above, it seems logical that no link between social 457 and communicative complexity has emerged in a context where callers were not involved in 458 social interactions.

459 We have studied the calls of three species of macaque in captive settings, and in the wild 460 for the fourth, but we found no contrast between groups that could be attributed to the 461 recording conditions. Furthermore, while Japanese, Tonkean and crested macaques are mainly 462 forest-dwelling species, rhesus macaques can live in quite diverse habitats. Again, our analyses 463 did not reveal systematic contrasts between rhesus macaques and the other three species. It is 464 known that the physical structure of the habitat can affect the frequency or amplitude of 465 auditory signals for example [26,83], but we have relied on variables related to vocal diversity 466 and flexibility, for which no influence of ecological conditions is assumed to date [10]. Future 467 research should confirm the contrasts in vocal diversity and flexibility found between tolerant 468 and intolerant macaques by extending the analyses to a larger number of groups and species.

The additional study of the combinations of calls in vocal sequences and the responses of
receivers will also be necessary to test the social complexity hypothesis in a comprehensive
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472

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Figures captions

Fig. 1. Comparisons of acoustic distances between species for calls emitted in the agonistic, affiliative and neutral contexts: Linear Discriminant Analysis biplot with the four groups centroids of species on the first two linear discriminants (LD1 & LD2). The ellipses correspond to the 95% confidence interval.

Fig. 2. Comparisons of vocal diversity and flexibility between species for calls emitted in the agonistic, affiliative and neutral contexts: optimal numbers of clusters and entropy values (***p < 0.001, **p < 0.01, *p < 0.05).

Fig. 1



Fig. 2

Vocal diversity

Optimal number of clusters (mean ± SD)



Agonistic context

Japanese rhesus Tonkean crested macaque macaque macaque macaque



F

2

Affiliative context





6

Vocal flexibility

Agonistic context





Japanese rhesus Tonkean crested macaque macaque macaque macaque Neutral context



Japanese rhesus Tonkean crested macaque macaque macaque

Affiliative context