1	Title: An age-dependent ovulatory strategy explains the evolution of dizygotic twinning in
2	humans
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16	Abstract
17	Dizygotic twinning, the simultaneous birth of siblings when multiple ova are released, is an
18	evolutionary paradox. Twin bearing mothers often have elevated fitness; but despite twinning
19	being heritable, twin births only occur at low frequencies in human populations. We resolve this
20	paradox by showing that twinning and non-twinning are not competing strategies, instead
21	dizygotic twinning is the outcome of an adaptive conditional ovulatory strategy of switching

from single to double ovulation with increasing age. This conditional strategy when coupled with the well-known decline in fertility as women age, maximizes reproductive success and explains the increase and subsequent decrease in twinning rate with maternal age that is observed across human populations. We show that the most successful ovulatory strategy would be to always double ovulate as an insurance against early fetal loss, but to never bear twins. This finding supports the hypothesis that twinning is a byproduct of selection for double ovulation rather than twinning.

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### 30 **Main**

The stable existence of alternative phenotypes in a single population is of interest because it 31 suggests a balance of evolutionary forces at play. Elucidating those forces, particularly when 32 33 they apply to humans, is an important focus of evolutionary biology 1. For example, the tendency to produce dizygotic twins, where the ovulation of two ova gives rise to the birth of siblings, is 34 35 heritable 2 and varies within and among populations, its rate rising and then falling with increasing maternal age 3. Here we show that a conditional strategy of switching from single to 36 37 double ovulation with increasing age explains why twinning rate rises and falls as females age, 38 and how switching from single to double ovulation with increasing age maximizes individual reproductive success, thus explaining why dizygotic twinning persists in humans. 39

The evolutionary forces that account for twinning and the age-dependent change in its rate are poorly understood. While the birthing of twins has fitness costs for both mothers and offspring, mothers that produce twins often have greater fitness than mothers who have never produced twins 4-8. We focus on how selection operates on the reproductive trait that makes dizygotic twins possible, double ovulation. The ova insurance hypothesis 9 posits that twinning is

a maladaptive consequence of the ovulation of multiple ova, which serves as an adaptive counter 45 to poor prenatal offspring survival. Because prenatal mortality is known to dramatically increase 46 47 with maternal age 10-12, we hypothesized that the age-dependent pattern of dizygotic twinning observed in humans might be due to an adaptive ovulatory strategy of switching from single 48 ovulation to double ovulation with increasing age. This hypothesis makes two predictions. First, 49 50 an increasing rate of double ovulation coupled with a decreasing live birth rate should explain the observed pattern of age-dependent twinning in humans. Second, the expected lifetime 51 reproductive success of women using this conditional strategy should exceed that of women who 52 always single or always double ovulate. We verified the first prediction by extending a simple 53 mathematical model that derives the twinning rate given the probabilities of double ovulation 54 and live birth. We asked whether a declining probability of live birth coupled with an increasing 55 probability of double ovulation with increasing maternal age could explain the pattern of age-56 dependent twinning observed in human populations. To verify the second prediction, we used 57 58 postnatal and maternal survival rates for twins and singletons from a natural fertility population and age-dependent prenatal survival rates at different stages of pregnancy to compare the 59 expected lifetime reproductive success of women who always single or double ovulate with that 60 61 of women who switch from single to double ovulating with increasing age.

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#### 63 **Results and Discussion**

64 With respect to the first prediction, only two parameters, the rate of double ovulation and 65 the live birth rate, are needed to predict the twinning rate. For a woman at age *t*, the twinning rate 66 (*T<sub>t</sub>*) at birth is given by the formula  $T_t = F_t p_t / (1 + F_t (1-p_t))$ , where  $F_t$  is the double ovulation 67 rate and  $p_t$  is the probability of survival from fertilization to birth (see SI for derivation) 13. If the

observed change in twinning rate with age  $(T_i)$  is due to a conditional ovulatory strategy that 68 compensates for declining fertility, then a double ovulation rate  $(F_t)$  that increases with age and a 69 70 live birth rate  $(p_t)$  that decreases with age should produce age dependent twinning rates  $(T_t)$  that closely match those observed in human populations. We used a cumulative normal function for 71  $F_t$  so that the probability of double ovulation increases with age depending on the mean and 72 standard deviation of a normal distribution in age of switching from single to double ovulation. 73 We chose this function because if forms the basis of the environmental threshold model, the most 74 commonly used quantitative genetic model for conditional strategies 14 (Fig. S1). Based on 75 studies of a natural fertility population 10, we used a declining exponential function for the 76 probability of live birth  $(p_t)$ , where  $p_t$  declines at a constant rate with female age from a value of 77 0.55 at age 18 (SI). We then derived age-dependent twinning rates  $(T_t)$  using combinations of 78 79 values for the mean and standard deviation of the cumulative normal distribution for age of switching from single to double ovulation  $(F_t)$  and the annual rate of decline in probability of 80 81 live birth  $(p_t)$ . We used *Microsoft Excel's* iterative model fitting function *Solver* to compare the expected twinning rates  $(T_i)$  with those observed in nine data sets from human populations 82 unbiased by fertility treatments 3,15-19. Solver identified the combinations of values for the three 83 84 parameters (mean and standard deviation of the cumulative normal distribution for age of 85 switching from single to double ovulation, and the annual rate of decline in probability of live 86 birth) that maximized R<sub>2</sub>, we were able to determine what values of these parameters could best 87 explain the pattern of age-dependent twinning observed in each population.

Our results show that 90% or more of the variation in twinning rates in these populations is explained by a shift from single ovulation to double ovulation with increasing age (Fig. 1; Table 1), supporting the hypothesis that age-dependent twinning results from a conditional

ovulatory strategy. An increase in double ovulation rates,  $F_t$ , from near zero at menarche to over 91 50% prior to menopause (Fig. 1e), coupled with a constant decline in live birth rate,  $p_t$ , to less 92 93 than 10% as women approach menopause (Fig. 1f), readily explains the pattern of age-dependent twinning (Fig. 1a-d). Because the decline in prenatal survival ensures that double ovulations 94 increasingly result in only singleton births or reproductive failure, the increased rate of double 95 96 ovulation does not translate into ever-increasing rates of twin births because as prenatal survival rates decline it become increasingly unlikely that both offspring survive to birth. Hence, patterns 97 of age-dependent twinning are consistent with a conditional strategy that combines an age-98 dependent switch to double ovulation with the well-established age-dependent decline in prenatal 99 viability 10-12. 100

Our results also suggest that differences in age-dependent twinning rates amongst 101 102 populations can be explained by differences in the mean and standard deviation in age of switching from single to double ovulation and the decline in probability of live birth per 103 104 conception with age. For example, the age-dependent twinning rates in a Nigerian population 105 (Fig. 1a), which has the steepest increase and subsequent decline in twinning rate with age, 106 requires an average age of switching to double ovulation of 33 years and an annual decline in 107 probability of live birth of 7% per year, compared with a switching age of 46 years for a 108 Japanese population, which has the lowest twinning rates (Fig. 1e; Table 1), and an annual 109 decline in probability of live birth of 11% (Fig. 1e, Table 1).

We used two complementary approaches, simulations and probabilistic modeling (see SI, Figs. S3-S5, Tables S1 and S3) to evaluate the prediction that women playing a conditional strategy of switching from single to double ovulation will have greater lifetime reproductive success than women who always single or always double ovulate. Both approaches allowed us to

estimate the lifetime reproductive success of women switching from single to double ovulation at 114 different ages. By setting the age of switching to less than what we functionally defined as 115 116 menarche (age 18, based on the average age of first reproductive attempt in a natural fertility population<sub>5</sub>) we could estimate the fitness of a strategy of always double ovulating. When the 117 switching age was set to greater than what we functionally defined as menopause (age 40, based 118 119 on the mean age of last birth in a natural fertility population5) we could estimate the fitness of always single ovulating. Setting the switching age to ages between 18 and 40, allowed us to 120 estimate the fitness of conditional ovulatory strategies that switched from single to double 121 ovulation at different ages. We compared the success of the different strategies using the average 122 number of offspring surviving to age 15. The modeling allowed us to calculate the expected 123 number of zygotes per ovulatory cycle surviving to age 15, and the time between successive 124 125 cycles for different-aged single and double ovulating women (SI). From these data we estimated age specific reproductive rates for women playing different ovulatory strategies. We treated the 126 127 survival of individual conceptions resulting from double ovulation as independent events, assumed that each ovum was fertilized, and that women resumed ovulation only after complete 128 brood loss or weaning (SI). 129

These approaches required data on offspring survival rates between conception and birth, maternal survival rates at the birthing of twins and singletons, singleton and twin survival rates between birth and weaning and to reproductive age (assumed to be 15 yrs), and the time intervals between successive ovulatory cycles. Such data are unavailable from any single population, so we used multiple sources. Natural fertility populations in Bangladesh 10 and Gambia 5 provided data for live birth rates per zygote at age 18 (0.55/conception), and maternal childbirth survival rates and postnatal offspring survival rates for twin and singleton births (Table S1). We assumed

a decline in live birth rate of 11% which was the average decline rate estimated from our
analyses of observed twinning rates (Table 1). Data for determining prenatal survival rates at
different times between conception and birth for different aged women were based on an analysis
of the fates of over 1.2 million pregnancies in Denmark 20 (Table S2, Fig.S2). Estimates of the
time intervals between successive ovulatory cycles were taken from the literature.21

142 Both simulations and modeling indicated that fitness was maximized when women switched from single to double ovulation at approximately age 25 (Fig 2a, Fig 3a). This result 143 supports the hypothesis that the age-dependent conditional ovulatory strategy we have identified 144 as best explaining the observed age-dependent twinning rates is adaptive 1. The modeling results 145 show why fitness is maximizes by switching from single to double ovulation with increasing age. 146 While at all ages the expected per capita number of offspring surviving to 15 per ovulatory cycle 147 148 was greater for a strategy of double ovulating than single ovulating (Fig 3b), this was especially so in older women (91% greater at age 40 versus 26% greater at age 18). However, the lower 149 150 probability of livebirth per ovulatory cycle shortens the time between successive ovulations in single ovulators, while in double ovulators the increased probability of at least one livebirth and 151 152 subsequent lactation, and lengthens the time between ovulations (Fig 3c). So, although the per 153 capita offspring per cycle was greater for double ovulations, this was more than compensated by 154 the increased number of ovulations of young single ovulators. Young double ovulators were also 155 more likely to birth twins whose poorer postnatal survival depressed fitness and whose birthing incurred greater maternal risks (Fig. S6). Hence, double ovulating when young has fitness costs 156 157 in the investment of time in low-fitness high-risk pregnancies that produce twins, while double ovulating when older has fitness benefits because twins are rarely produced. These differences in 158 159 the age-dependent reproductive effects of double and single ovulating results in reproductive

rates in young single ovulators that exceed those of young double ovulators, but in older women
the reverse is true (Fig. 3d). This age-dependent trade-off in reproductive rate fulfills an
important requirement for maintenance of a conditional strategy, and in the case of conditional
double ovulation, produces stabilizing selection on an optimal switching age between menarche
and menopause 22.

165 Our modeling indicates that the optimal switching age is sensitive to differences in prenatal survival probabilities of embryos resulting from single versus double ovulation. For 166 example, our results are based on the assumption that prenatal probabilities of survival of 167 embryos resulting from single versus double ovulation are the same and independent (SI). While 168 this assumption is more likely to be valid early in pregnancy, later in development the survival of 169 individual twin fetuses are likely to be less than singletons 23,24 and when one of the twin fetuses 170 171 is lost, the probability of the second fetus being lost increases 25, as do other adverse perinatal outcomes 26. As a result, the true optimum switching age is likely later than our analyses in 172 173 Figures 2 and 3 indicate, and more in line with the switching ages observed in human 174 populations (Fig. 1).

175 Finally, we asked whether twinning is a maladaptive byproduct of an otherwise adaptive 176 conditional ovulatory strategy 9. This hypothesis predicts that if women who double ovulate 177 throughout their lives could avoid birthing twins, for example via reabsorption of one fetus, then 178 their lifetime reproductive success should exceed that of women who switch from single to 179 double ovulation with increasing age, and therefore occasionally produce twins. We repeated the 180 simulations, but now women who double ovulated were only allowed to only give birth to singletons even if they were expected to carry twins to term. Hence, they received the fertility 181 182 benefits of double ovulation without incurring the costs of twin births. In these simulations,

lifetime reproductive success was maximized at switching ages less than or equal to the age of
menarche (Fig. 2b). Since these women double ovulated during each ovulatory cycle, this result
supports the byproduct hypothesis 9.

Our results potentially shed light on why mothers who have produced twins often have 186 greater fitness than mothers who never do so 4,5,7,8. Follicle development and ovulation are 187 188 controlled by the hypothalamic-pituitary axis which is able to integrate an adaptive response to cues associated with maternal condition and age 27. Mothers who produce twins often do so at 189 higher parities (independent of maternal age) 3, and often possess physical characteristics, such 190 as height and body mass indices, that potentially increase both the probability of double 191 ovulation, lifetime reproductive success and prenatal survival 5,28. In this study, we have only 192 attempted to explain the evolution of age-dependent double ovulation. However, if double 193 194 ovulation and prenatal survival are conditional on these factors, in addition to maternal age, then it would not be surprising if women who have produced twins often have greater fitness than 195 196 those who have not.

Our findings indicate that the ultimate cause (sensu Mayr 29) of the pattern of age-197 198 dependent twinning in human populations is natural selection favoring double ovulation with 199 increasing age in response to declining fertility. These results suggest that in human populations 200 in which increasing numbers of women delay first reproduction 30, a substantial fraction of 201 singleton births will result from double ovulation with the subsequent prenatal loss of one of the siblings. For example, if the probability of double ovulation and probability of live birth per ova 202 203 for women at age 27 are 0.05 and 0.2 (Figure 1e, f), respectively, approximately 8% of all singletons will have been produced following double ovulation. In contrast, at age 37, if the 204 probability of double ovulation and probability of live birth per ova are 0.4 and 0.05 (Fig. 1e, f), 205

respectively, the fraction of singletons produced by double ovulation rises to approximately 56%.
Alongside this, when reproduction is delayed, we also expect a population level increase in the
incidence of twin births, a phenomenon already seen in developed countries<sup>31</sup>.

### 211 Materials and Methods

# Estimating expected age-dependent twinning rates from the decline in of live birth rate and increase in double ovulation rate

Using the formula that calculates twinning rate as a function of the rates of live birth per 214 zygote and double ovulation, we used the *Solver* add-in in *Microsoft Excel* to determine the rates 215 of age-dependent decline in live birth per zygote and age-dependent increase in double ovulation 216 217 that provided the best fit to the observed age-dependent twinning rates (maximized the R<sub>2</sub> with observed twinning rates) in large samples from populations with African, European and Asian 218 219 ancestries (Table 1). The models were fitted subject to several constraints. We fixed the probability of live birth at age 18 (dra) at 0.55 based on the best estimate of which we are aware 220 221 and coming from a natural fertility population in Bangladesh 10. The probability of live birth per 222 zygote declines with maternal age 10,12 and we assumed an exponential decline, where the annual decline was constrained to be less than 0.99, and greater than 0.55 (no model approached either 223 224 boundary; see SI). Based on quantitative genetic models for conditional strategies22,32 (SI), we assumed that the increase in probability of double ovulation per ovulatory cycle with maternal 225 226 age was best described by an increasing cumulative normal function, with mean (spm) and standard deviation (spSD) constrained in our Solver searches to be greater than two years. 227

### 228 Simulations

The simulation model, which was written in the language R 33, estimates lifetime 229 reproduction in a cohort of (nominally 1000) women by simulating ovulatory cycles from first 230 231 reproductive attempt until reproduction stops due to death or menopause (Table S1, Figs. S3 & S4). Dependent on inputs governing the probability of prenatal loss, these cycles can result in 232 early loss, abortion, late fetal loss (miscarriage) or live birth (Table S2). The failure of a 233 234 pregnancy to proceed to term delays the commencement of cycling following the event by a variable amount depending on when the pregnancy ends (Table S1). The simulation allows 235 women to either single or double ovulate based on her age at ovulation relative to a double 236 ovulation switch point that determines the age at which they switch from single to double 237 ovulation (spm in Table S1). We were able to simulate different conditional ovulatory strategies 238 by setting the ovulation switch point to values between age of menarche (age 18) and age of 239 240 menopause (age 40). Women could also be constrained to double or single ovulate throughout their reproductive lives by setting the switch to ages less than 18 or greater than 40, respectively. 241 242 Depending on the number of ova released and the probabilities of surviving the prenatal period (considered to be independent where there are two zygotes), either none, one or twin offspring 243 are born. Subject to inputs governing the probabilities of still birth, background adult death rate, 244 245 and maternal death in childbirth, successful live births occur (Table S1). Contingent on inputs 246 controlling postnatal mortality, children survive for periods of one month, one year, two years 247 (weaning) or to 15 years (Table S1). The duration of offspring survival influences the duration of time that the female stops cycling (Table S1). Survival to 15 years is considered a successful 248 249 reproductive event for the mother and per capita number of offspring surviving to 15 years was our measure of maternal fitness. Women are continually subject to the probability of death 250 including when pregnant and weaning (Table S1). When women died during childbirth, the 251

children they were birthing also died. The simulation allows for up to 20 parities; however a
woman's reproductive longevity is curtailed by declining ova quality (Table S2 and Fig. S2d).
Women older than the user-defined age at menopause, at the time that they are due to begin
cycling again after a pregnancy attempt or child bearing (Table S1), do not continue cycling, but
women that are not pregnant and younger than this age can cycle beyond that age.

The simulation assumes that women are not sperm limited and make no socially driven decisions 257 258 to delay or reduce the number of children they attempt to have, so successful pregnancy was only a function of embryo survival to live birth. The simulation makes no provision for siblings, 259 grandparents or males assisting in the care of offspring. The estimates of the demographic 260 parameters used in the simulation come from multiple sources. The probability of live birth at 261 age 18 comes from a natural fertility population in Bangladesh<sub>10</sub>. The decline in probability of 262 live birth with increasing age was based on the decline in live birth probability needed to explain 263 the observed twinning rate averaged over all the populations summarised in Table 1. The 264 probabilities associated with different prenatal fates of zygotes were based on the ratio of 265 266 spontaneous abortions, stillbirths (late pregnancy losses) to live births in a study of over one million pregnancies in Danish women of different ages (Table S2) 20, conditional on our 267 estimates of probability of live birth per zygote in women of different ages (Table 1). Postnatal 268 269 survival rates were based on those taken from a Gambian natural fertility population 5. We assumed prenatal survival probabilities to be the same for twins and singletons and independent 270 for zygotes produced by double ovulation (i.e. if the probability of survival per zygote is p, then 271 in double ovulations, the probability of both zygotes surviving is  $p_2$ , one surviving is 2p(1-p) and 272 neither surviving is  $(1-p)_2$ ). Other than parameters such as age of switching from single to 273 double ovulation and whether double ovulation could result in twin births which defined the 274

strategy, all ovulatory strategies had the same set of parameters of the simulated biological
background and so represented a comparison only of the differences that arise from the changes
in strategy.

In replicate simulations (Figs. S3 & S4 for further details), we estimated fitness by tallying the 278 279 number of offspring surviving to 15 produced by cohorts of 1000 women playing different ovulatory strategies, each defined by the age at which women switched from single to double 280 281 ovulation. Simulations designated as 'Twins not produced' were identical to previously described, except that whenever two offspring survived to birth, twin births were replaced with a 282 singleton birth. In each simulation we followed women from their first ovulation, through each 283 ovulatory cycle to either death or menopause and tabulated the number of offspring surviving to 284 age 15. 285

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### 287 Probabilistic model comparing fitness of single vs double ovulation strategies

288 We have defined the fitness of a woman at age *x* as  $\sum_{k=x}^{M} C(k)$  where C(k) = number of

children conceived when the woman is age k that survive to age 15, and M is the age of menopause. Our model estimates the expected value of this expression as

291 
$$F(x) = \sum_{k=x}^{M} N(k)S(k \mid x)$$

where N(k) is the average number of offspring conceived to a woman age *k* that survive to age 15, and  $S(k \mid x)$  is the probability that a woman alive at age *x* has not died before age *k*. We determine N(k) by

295 
$$N(k) = \frac{12V(k)}{T(k)}$$

296	where $V(k)$ is the expected number of offspring per ovulation that survive to age 15 when a
297	woman is age k, and $T(k)$ is the expected time between ovulations (in months) when a woman is
298	age k. $S(k \mid x)$ is determined by the recurrence relation
299	$S(x \mid x) = 1$
300	$S(x+1 \mid x) = R(x) \cdot asr$
301	$S(x+2 \mid x) = S(x+1, x) \cdot R(x+1) \cdot asr$
302	
303	
304	
305	$S(k \mid x) = S(k-1, x) \cdot R(k-1) \cdot asr$
306	where $R(t)$ is the probability a woman age t does not die in childbirth and asr is the annual
307	survival rate of the adult population. (See SI for details regarding the constructions of the
308	functions $V$ , $T$ , and $R$ for single and double ovulators.)
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- no competing interests. Data availability: Accession codes will be available before
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- 322 author's permission.
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Fig. 1. Declining prenatal survival and increasing double ovulation rates explain age-dependent twinning rates. a-d, Observed twinning rates (points) as a function of maternal age and those expected (lines) given e, increasing probability of double ovulation, and f, decreasing probability of live birth per zygote, both as a function of maternal age. Curves in e and f were chosen for their ability to minimize the squared deviations between the observed and expected twinning rates in a-d (See Table 1).



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Fig. 2. Average per capita numbers of offspring surviving to 15 years (±95% CI, n=10) from simulations of the reproductive lives of 1000 women. When age of switch is 18 women always double ovulate throughout their reproductive lives; when it is 40 (age of menopause), they always single ovulate throughout their reproductive lives. When the switch age is between 18 and 40, women are playing different conditional strategies defined by the switch age. a, Women that double ovulated could give birth to twins. b, Women that double ovulated carried only one offspring to term.



Fig. 3. Results of mathematical modeling of the reproductive characteristics of different
ovulatory strategies. a, Expected lifetime reproductive success for women switching from single
to double ovulation at different ages. b, Per capita number of offspring surviving to age 15 per
ovulation for double (solid line) and single ovulators (dashed line) at different ages. c, Time
between successive ovulations for double (solid line) and single ovulators (dashed line) at
different ages. d, Expected annual reproductive rates for double (solid line) and single ovulators
(dashed line) at different ages.

Population	n	drb	dra	spm	spSD	<b>R</b> 2	study
African (Nigerian)	18,400	0.93	0.55	32.67	8.02	0.93	16
African (American)	22,100	0.92	0.55	49.62	13.76	0.96	15
African (American)	3,679	0.89	0.55	38.47	8.22	0.91	17
European (American)	21,809	0.89	0.55	44.80	10.27	0.97	17
European (Scottish)	49,000	0.87	0.55	39.56	7.98	0.90	16
European (American)	5,672,228	0.88	0.55	43.70	9.84	0.91	15
European (Italian)	1,817,736	0.88	0.55	39.05	7.53	0.99	3
European (Australian)	45,956	0.89	0.55	43.61	9.89	0.96	18
Asian (Japanese)	72,180	0.89	0.55	45.74	9.64	0.99	19
Mean ±	858,120.89	0.89	0.55	41.91	9.46	0.95	
SE	633136.269	0.007		1.664	0.636	0.012	

423Table 1. Estimates of the parameters that explain age-dependent twinning rates in nine

## 424 human populations

425 *drb* is the annual decline in prenatal survival rate per zygote with increasing maternal age; *dra* is

the live birth rate per zygote at maternal age 18 yrs.; *spm* and *spSD* are the mean and standard

427 deviation, respectively, of the cumulative normal function for the double ovulation rate with

increasing maternal age; R2 is fraction of variation in age-dependent twinning explained by these
 parameters (see Fig. 1).