

Chalcogenide Glass-on-Graphene Photonics

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Abstract

Two-dimensional (2-D) materials are of tremendous interest to integrated photonics given their singular optical characteristics spanning light emission, modulation, saturable absorption, and nonlinear optics. To harness their optical properties, these atomically thin materials are usually attached onto prefabricated devices via a transfer process. In this paper, we present a new route for 2-D material integration with planar photonics. Central to this approach is the use of chalcogenide glass, a multifunctional material which can be directly deposited and patterned on a wide variety of 2-D materials and can simultaneously function as the light guiding medium, a gate dielectric, and a passivation layer for 2-D materials. Besides claiming improved fabrication yield and throughput compared to the traditional transfer process, our technique also enables unconventional multilayer device geometries optimally designed for enhancing light-matter interactions in the 2-D layers. Capitalizing on this facile integration method, we demonstrate a series of high-performance glass-on-graphene devices including ultra-broadband on-chip polarizers, energy-efficient thermo-optic switches, as well as graphene-based mid-infrared (mid-IR) waveguide-integrated photodetectors and modulators.

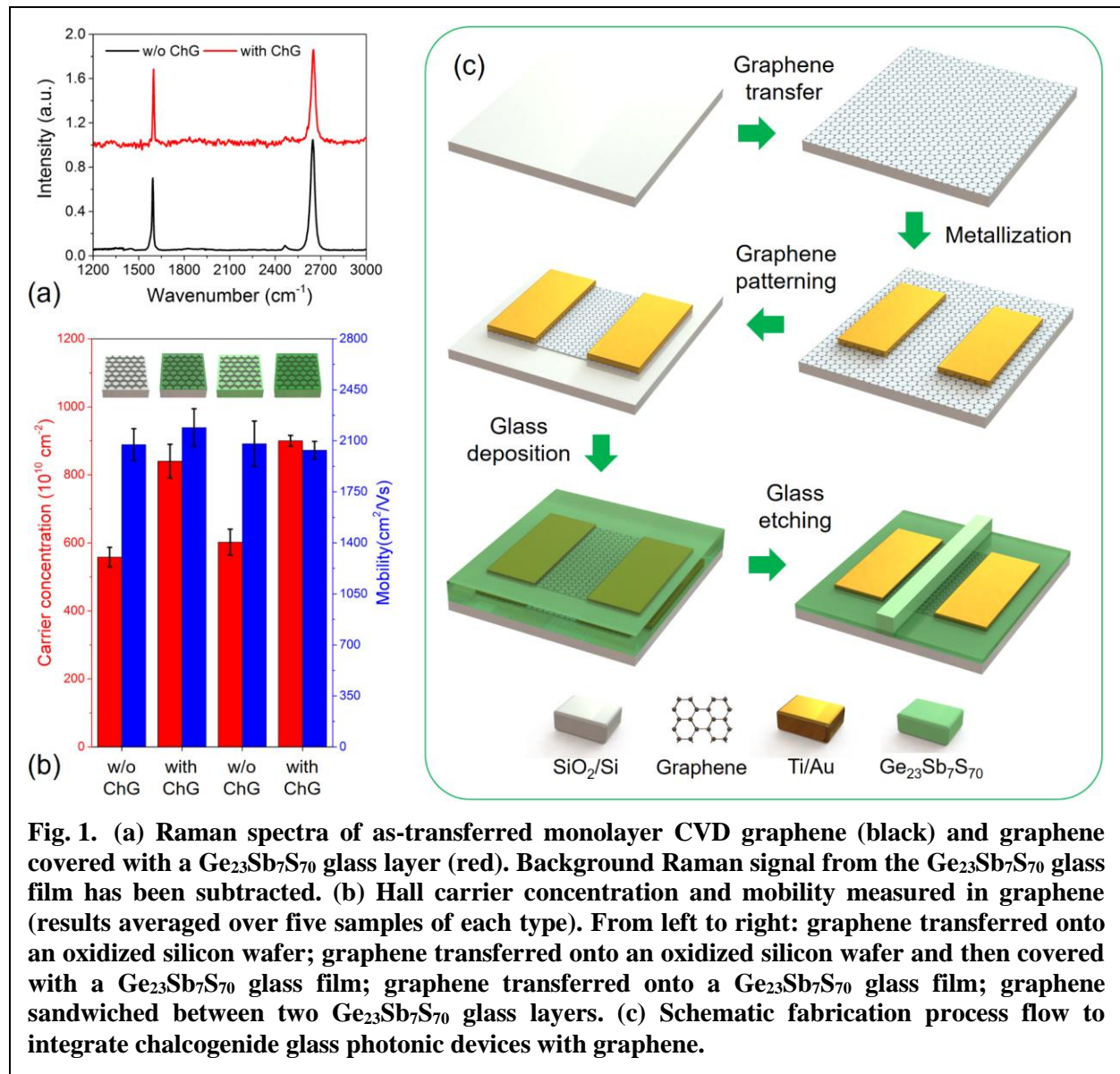
The isolation of single-layer graphene in 2004 has triggered intensive investigations into 2-D crystals consisting of one or a few monolayers of atoms. With their remarkable optical properties, these materials have garnered enormous interest for their photonic applications as light emitters¹, modulators^{2,3}, photodetectors^{4,5}, saturable absorbers⁶, and plasmonic sensors⁷. On-chip integration of 2-D materials with photonic devices generally relies on layer transfer, where exfoliated or delaminated 2-D membranes are attached onto prefabricated devices⁸. Despite its widespread implementation, the transfer approach has its limitations. When transferring these atomically thin crystals onto a substrate with uneven topology, the 2-D materials tend to rupture at the pattern step edges. To circumvent such damage, an additional planarization step is often mandated prior to 2-D material transfer, which complicates the process⁹⁻¹². Further, the transferred 2-D layer resides on top of the pre-patterned devices and thus only interacts with the optical mode through the relatively weak evanescent waves.

To resolve these issues, an alternative 2-D material integration route entails growing an optically thick (comparable to optical wavelength in the medium) film directly on 2-D materials and lithographically patterning it into functional photonic devices. Besides improved processing yield and throughput compared to the traditional transfer process, this “monolithic” approach also offers several critical advantages: it enables accurate alignment of photonic components with 2-D material structures (e.g., in-plane heterojunctions) with lithographic precision, which is difficult to attain using transfer; it allows flexible placement of 2-D material layers inside a photonic structure to maximally enhance light-matter interactions; and last but not least, it heralds a truly monolithic, wafer-scale integration process with 2-D material systems where catalyst-free, large-area continuous growth on semiconductor or dielectric substrates has been realized (e.g., graphene on SiC¹³, MoS₂ and MoTe₂ on SiO₂/Si^{14,15}).

Growth of optically thick dielectric films on 2-D materials, however, is not a trivial task. Integration on graphene, the archetypal 2-D material, epitomizes the challenge. Graphene has a chemically inert surface which makes nucleation and growth of a uniform dielectric film on its surface difficult¹⁶. Surface modification using ozone¹⁷, NO₂¹⁸, or perylene tetracarboxylic acid¹⁹ catalyzes nucleation, albeit at the expense of carrier mobility in graphene. Atomic Layer Deposition (ALD) has been widely adopted for gate dielectric deposition on graphene²⁰; however, growing an optically thick layer using ALD is impractical. Alternatively, plasma-enhanced chemical vapor deposition (PECVD) has been attempted for silicon nitride coating on graphene, although the process requires low-density, low-power plasma with reduced deposition rate to mitigate plasma damage to graphene surface²¹. Recently, a simple spin-coating process was devised for direct polymer waveguide modulator fabrication on graphene²². Nevertheless, the large modal area in low-index-contrast polymer waveguides limits the resulting device footprint and performance. For other 2-D materials, especially the less stable ones such as black phosphorous²³, protection of the material’s structural integrity from high temperatures, plasma, and reactive chemicals imposes additional constraints on the integration process.

In this paper, we present a generic route for photonic integration of 2-D materials using chalcogenide glass (ChG) as the backbone optical material. Chalcogenide glasses, namely the amorphous compounds containing S, Se, and/or Te, are emerging photonic materials known for their broadband transparency, high and continuously tunable refractive indices ($n \sim 2$ to 3.5), and large Kerr nonlinearity^{24,25}. In addition to their exceptional optical properties, ChG’s are also uniquely poised for 2-D material integration. These glasses can be deposited at high rates exceeding 100 nm/min via simple single-source thermal evaporation with the substrate held near room temperature²⁶. Combined with their amorphous nature and good van der Waals adhesion to

different substrates without surface modification, the extremely low thermal budget allows epitaxy-free ChG coating with minimal thermal and structural damage to the substrate. Capitalizing on this “substrate-agnostic” integration capacity, prior work from our groups as well as others have demonstrated ChG integration with polymers to enable mechanically flexible photonic circuits and fibers^{27,28}, with infrared crystals for on-chip mid-infrared sensing^{29,30}, and with stacked solar cells as a high-index adhesive to minimize Fresnel reflection and achieve a then-record cell efficiency of 43.9%³¹. Here we show that ChG’s can be deposited on a wide variety of 2-D materials without disrupting their structure and optoelectronic properties. Figure 1a displays the Raman spectra of monolayer graphene synthesized using chemical vapor deposition (CVD) before and after coating with a 450 nm thick thermally evaporated $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ ChG film. No defect-related peaks (D, D’ or D+G) were observed after ChG deposition, indicating that the low-temperature glass deposition does not introduce structural defects into graphene³². We further confirm that the structures of other 2-D materials (MoS_2 , black phosphorus, InSe, and hexagonal



BN) likewise remain intact after ChG deposition (Supplementary Section I). Such integration compatibility facilitates the fabrication of unconventional multi-layer structures incorporating 2-D materials to optimally engineer their interactions with the optical mode. As an example, we exploit the giant optical anisotropy of graphene and modal symmetry in graphene-sandwiched waveguides to demonstrate an ultra-broadband polarizer and a thermo-optic switch with energy efficiency an order of magnitude higher compared to previous reports.

In addition to being an optical guiding medium, the insulating $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ glass can function as a gate dielectric and as an effective passivation barrier to prevent 2-D materials from degradation inflicted by ambient air, moisture, or corrosive chemicals (Supplementary Section II). Figure 1b evaluates the impact of $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ glass deposition on transport properties of monolayer CVD graphene transferred onto an oxidized silicon wafer or a $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ film on silicon. Notably, despite the increased p-doping (which normally reduces mobility), carrier mobility in graphene remains unchanged after ChG encapsulation, in contrast to most other deposited dielectrics which tend to degrade carrier mobility due to surface damage during deposition and hence increased defect density³³. In this paper, we harness this feature to demonstrate the first mid-IR graphene waveguide modulator, where the multifunctional ChG material serves simultaneously as the waveguide and as a gate dielectric to electrostatically modulate the Fermi level in graphene.

Figure 1c illustrates the baseline fabrication protocols for the ChG-on-graphene photonic devices. Details of the fabrication process are furnished in Methods. The following sections present four classes of novel devices leveraging the new integration strategy to reap unique performance benefits. We note that while the devices described herein were fabricated using the specific combination of thermally evaporated $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ glass and graphene, we have validated the integration process based on other 2-D materials and ChG compositions formed using alternative methods including solution processing and nanoimprint³⁴ (Supplementary Section III). The ChG/2D material integration process is therefore generic and can be adapted to meet diverse device design and application needs.

Ultra-broadband on-chip waveguide polarizer

Unlike traditional graphene-integrated devices where the transferred graphene layer is located outside the waveguide core, here we introduce a new multilayer waveguide platform comprising a graphene monolayer situated at the center of a symmetrically cladded strip waveguide (Fig. 2a). Figure 2c shows a scanning electron microscopy (SEM) image of a fabricated waveguide where a graphene film is sandwiched between two $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ layers of equal thickness. The waveguide behaves as a polarizer as a result of the large optical anisotropy of graphene and the polarization-dependent symmetric properties of waveguide modes. To illustrate its working principle, Fig. 2b depicts the electric field components of the fundamental TM (transverse magnetic) and TE (transverse electric) modes supported in the waveguide at 1550 nm wavelength. For the TM polarization, its in-plane electric field components (E_x and E_z) are anti-symmetric with respect to the center plane and thus vanish at the graphene layer. Since graphene acts as an optically absorbing metal in-plane and as a lossless dielectric along the out-of-plane direction³⁵, the waveguide becomes transparent to the TM mode. In contrast, both in-plane electric field components of the TE mode reach maximum at the waveguide center, leading to strong optical attenuation. Using experimental Fermi level data from Hall measurements, we modeled the propagation losses for the TM and TE modes as $(0 - 1.5)$ dB/cm and (575 ± 1.5) dB/cm respectively at 1550 nm wavelength, where the error bars take into account glass thickness deviations based on realistic fabrication tolerances (Supplementary Section IV).

To precisely quantify the large polarization-dependent losses in the waveguide, we employed two device structures: ring resonators to characterize the low-loss TM mode, and unbalanced Mach Zehnder interferometers (MZI) to gauge the much higher TE-mode loss. Protocols of loss extraction are summarized in Supplementary Section V. Figures 2d and 2e plot exemplary transmission spectra of ring resonators without and with the embedded graphene layer. While TM-

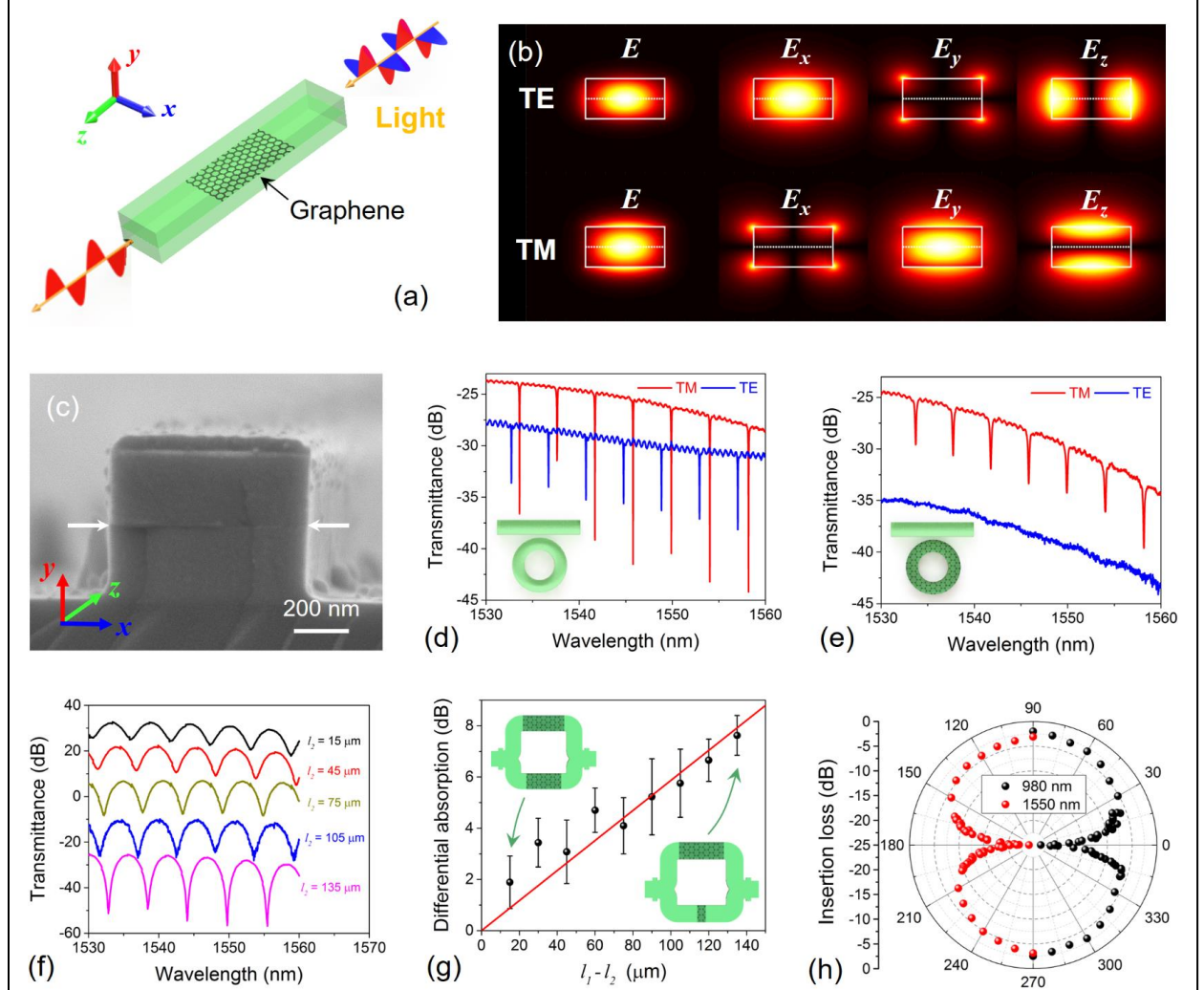


Fig. 2. (a) Schematic diagram showing the graphene-sandwiched waveguide polarizer. (b) Simulated modal intensity and electric field component profiles for fundamental TE and TM modes in the graphene-sandwiched waveguide. (c) SEM image of the fabricated polarizer cross-section: the white arrows mark graphene layer location. (d, e) Optical transmittance through micro-ring resonators (d) without embedded graphene layer; and (e) with embedded graphene layer in the waveguide center. (f) Transmittance spectra of unbalanced MZIs where graphene strips of different lengths (l_1 and l_2) are embedded inside their two arms. For the devices displayed here, $l_1 = 150 \mu\text{m}$ and l_2 is varied from $15 \mu\text{m}$ to $135 \mu\text{m}$. (g) Differential absorption induced by graphene as a function of embedded graphene strip length difference $l_1 - l_2$ in two MZI arms. The error bars correspond to standard deviations of measurements performed on at least 10 devices at each $l_1 - l_2$ value. (h) Polar diagram showing the polarizer performance at 980 nm and 1550 nm wavelengths. The polar angle represents the angle between the polarization plane of input wave and the substrate.

mode resonances are clearly visible for both types of devices, the TE-mode resonances disappear in the graphene-sandwiched waveguide, signaling significant TE polarization-selective absorption by graphene. Using the classical coupled-wave transfer matrix formalism, we calculated the excess TM-mode loss induced by graphene to be 20 dB/cm at 1550 nm, which we attribute to unevenness of graphene caused by polymer residues from the transfer process (Supplementary Section IV). The TE-mode loss was assessed based on the unbalanced MZI transmission spectra in Fig. 2f, where the extinction ratio (ER) of the transmittance undulation correlates with the differential optical attenuation induced by graphene embedded in the MZI arms. Figure 2g plots the calculated differential TE-mode absorption by graphene as a function of embedded graphene length difference in the two arms, from which we infer a TE-mode loss of 590 dB/cm near 1550 nm, which agrees well with our theoretical predictions. The results correspond to 23 dB ER and 0.8 dB insertion loss in a 400- μ m-long polarizer device operating at 1550 nm wavelength, and a large figure of merit (defined as the ratio of ER to insertion loss) of 29.

Importantly, since the polarizer design operates on material anisotropy and modal symmetry, both of which are wavelength-independent, the device is broadband in nature. To demonstrate broadband operation of the polarizer, a 400 μ m-long polarizer device was characterized at 980 nm and 1550 nm wavelengths and the results are summarized in the polar diagram in Fig. 2h. The measurement procedures are elaborated in Supplementary Section VI. Consistent with the experimental results, our theoretical model confirms that the same device can operate over the broad spectral range from 940 nm to 1600 nm with a polarization extinction ratio exceeding 20 dB, which represents the largest operation bandwidth for on-chip waveguide polarizers (Supplementary Section VI).

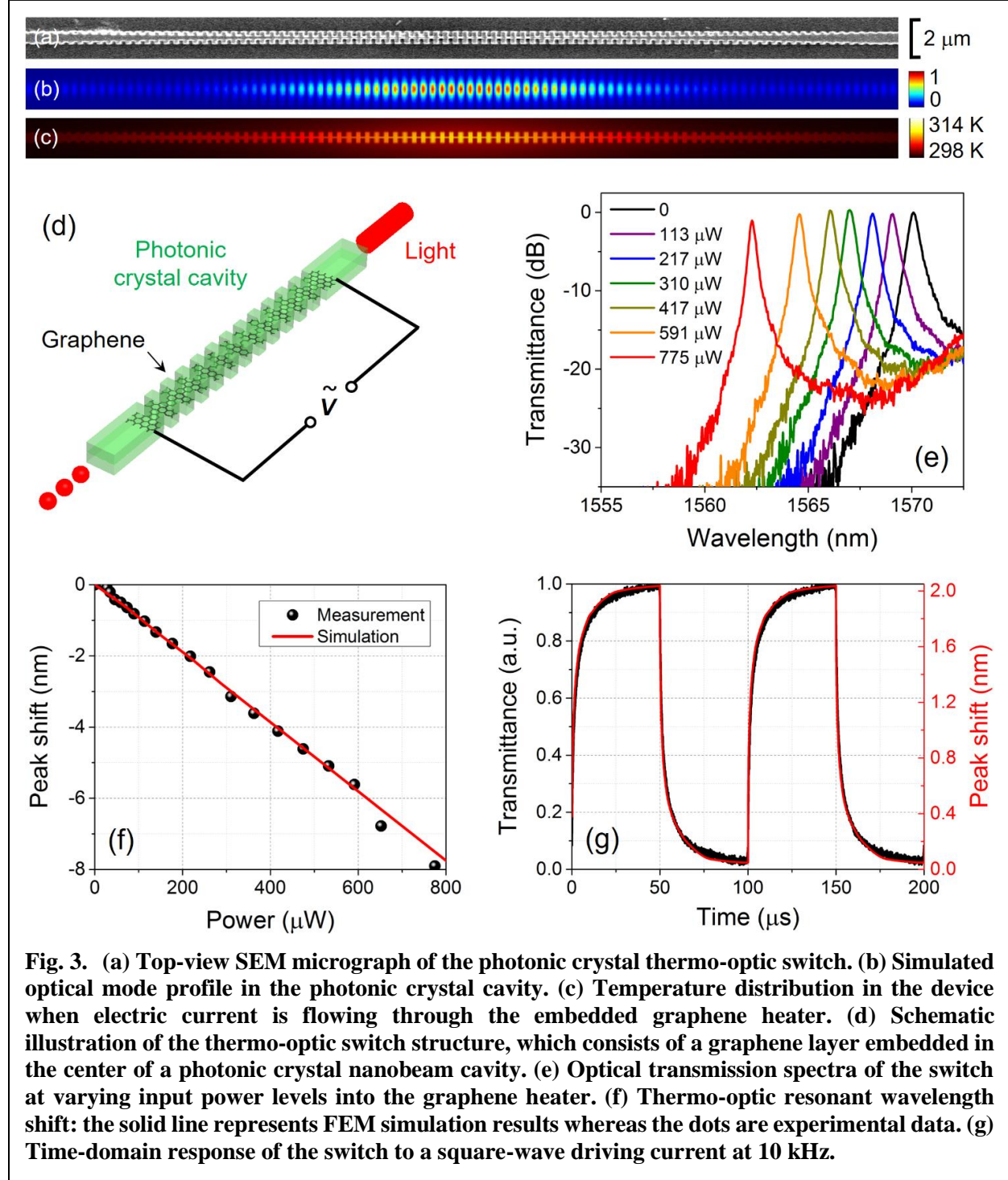
Energy-efficient photonic crystal thermo-optic switch

The TM-transparent sandwich waveguide provides an example where graphene is embedded inside a waveguide without incurring excess optical loss. This counterintuitive observation opens up the application of graphene as a broadband transparent conductor. In the following we apply the embedded graphene electrode as resistive heaters to realize a thermo-optic switch with unprecedented energy efficiency. Unlike traditional metal heaters which have to be placed several microns away from the waveguide to suppress parasitic optical absorption, the waveguide-integrated graphene heater offers superior energy efficiency because of the much smaller thermal mass and large spatial overlap of the optical mode with the heating zone.

Figure 3d schematically illustrates the device structure consisting of a waveguide-coupled photonic crystal nanobeam cavity formed through depth modulation of side Bragg gratings³⁶. A graphene monolayer is embedded in the center of the nanobeam cavity waveguide and connected to a pair of electrodes as described in Supplementary Section VII. Figure 3a shows a top-view SEM micrograph of the graphene-embedded nanobeam, which supports a single resonant mode near 1570 nm (Fig. 3b). When a bias voltage is applied across the electrodes, the graphene and the cavity are resistively heated, leading to a thermo-optic spectral drift of the cavity resonance. Figure 3c depicts the simulated temperature profile as a result of resistive heating in graphene. Since the graphene conductor is placed directly inside the waveguide core, this unique geometry leads to strong thermal confinement and large spatial overlap between the heating zone and the cavity mode, both of which contribute to improved energy efficiency. Figure 3e presents the transmission spectra of the cavity showing progressive resonance detuning with increasing input power. As is shown in Fig. 3f, the measured resonance shift agrees well with our finite element modeling (Supplementary Section VIII). The slope of the curve indicates a record energy efficiency of 10

nm/mW, which represents almost an order of magnitude improvement compared to the best values previously reported in on-chip thermo-optic switches and tuning devices³⁷.

To elucidate the device physics underlying the exceptional energy efficiency, we analyzed the switch's performance characteristics using a lumped element model (Supplementary Section IX). A figure of merit for thermo-optic switches, defined as the inverse of the product of rise time and power consumption, is often cited when drawing comparison between different technologies³⁸.



With a low switching energy of 0.11 mW and a 10%-to-90% rise time of 14 μs (Fig. 3g), our device features a FOM of $0.65 \text{ mW}^{-1} \cdot \mu\text{s}^{-1}$, which is among the highest values reported in an on-chip thermo-optic switch (Supplementary Section X).

Mid-IR waveguide-integrated photodetector

Our integration scheme equally applies to optoelectronic devices where graphene becomes the active medium. The broadband infrared transparency of ChG's makes them particularly appealing for integration with graphene, whose zero-gap nature potentially enables broadband optical detection. Our approach simplifies the graphene detector and waveguide integration process through direct deposition and patterning of ChG waveguides and metal contacts on monolayer CVD graphene (Fig. 4a inset). Figure 4a shows a tilted view of the fabricated detector. The detector operates in a photothermoelectric (PTE) mode and thus the device assumes an asymmetric configuration where the waveguide is intentionally offset from the center line between the metal electrodes. The device was characterized by launching TE-polarized light from a mid-IR laser into the waveguide. The PTE mechanism also explains the non-vanishing photoresponse at zero bias (Fig. 4b), which corroborates that bolometric effect is not a main contributor to photocurrent. As

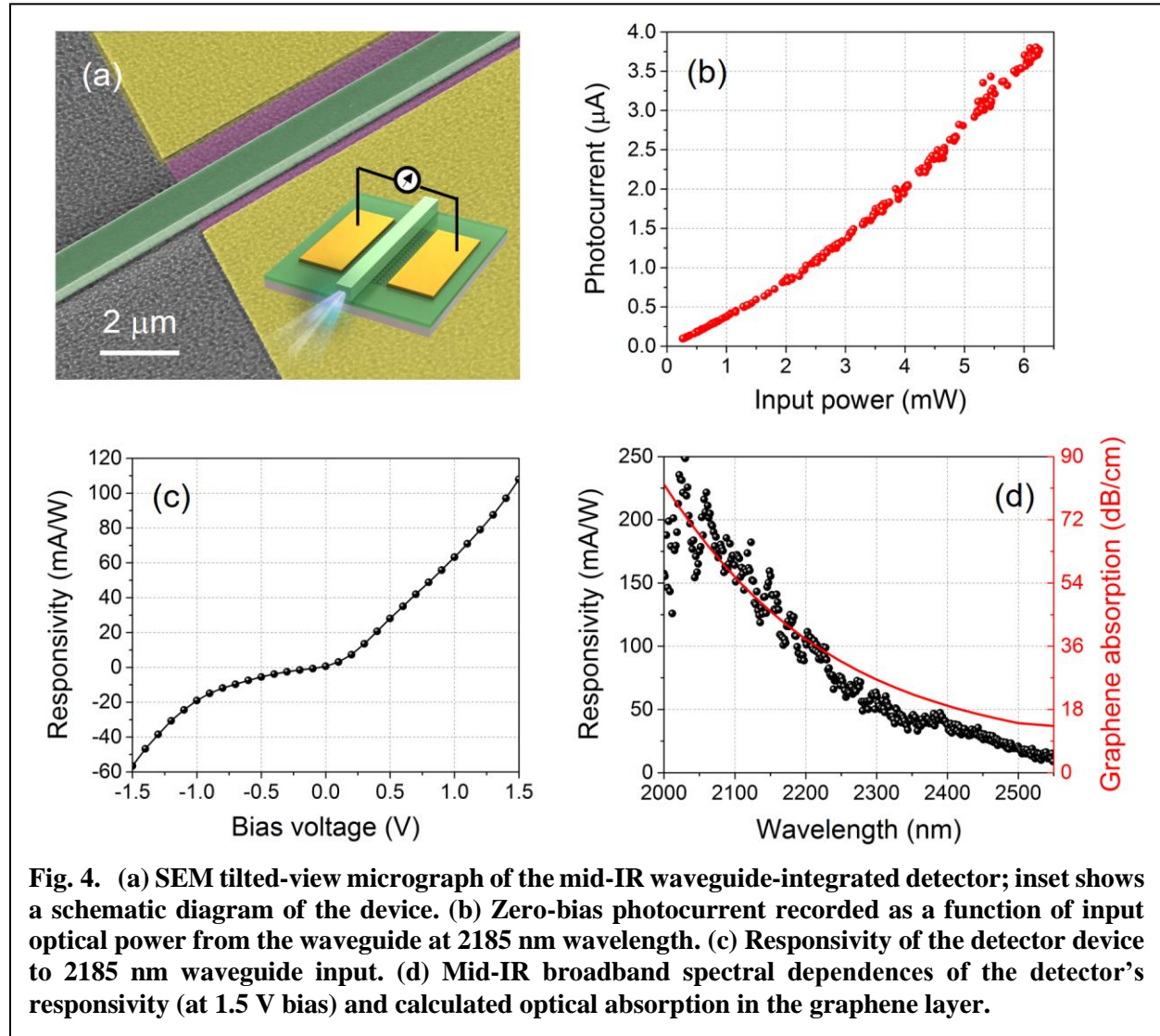


Fig. 4. (a) SEM tilted-view micrograph of the mid-IR waveguide-integrated detector; inset shows a schematic diagram of the device. (b) Zero-bias photocurrent recorded as a function of input optical power from the waveguide at 2185 nm wavelength. (c) Responsivity of the detector device to 2185 nm waveguide input. (d) Mid-IR broadband spectral dependences of the detector's responsivity (at 1.5 V bias) and calculated optical absorption in the graphene layer.

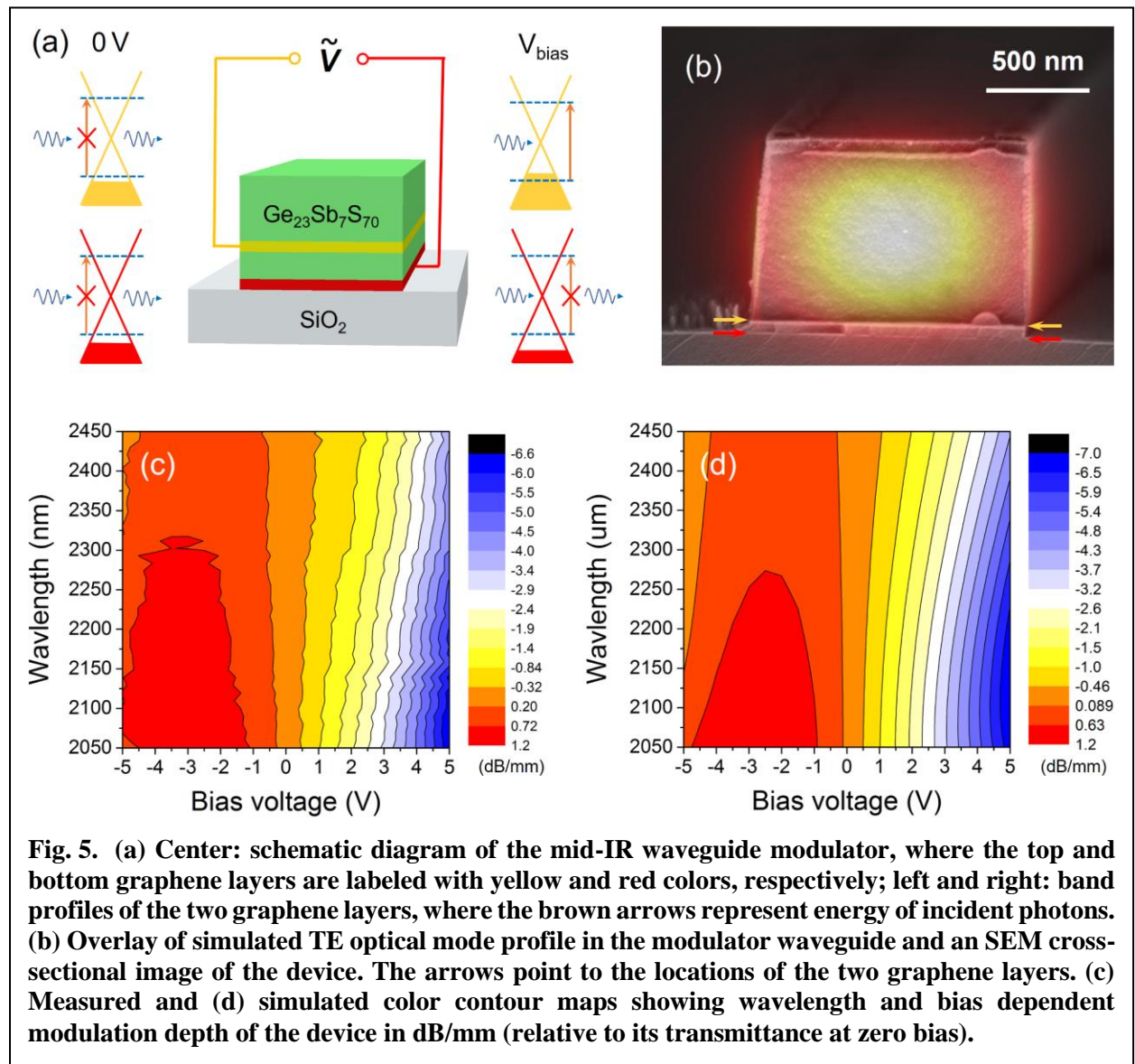
shown in Fig. 4c, responsivity of the detector increases with bias voltage due to bias-induced spatial re-distribution of carriers in graphene, consistent with the PTE mechanism³⁹. The device exhibits broadband photoresponse over the entire scanning range of our tunable laser (2.0 – 2.55 μm) with a peak responsivity of 250 mA/W at 2.03 μm wavelength (Fig. 4d). The responsivity figure is on par with, or in some cases superior to, state-of-the-art waveguide-integrated graphene detectors operating in the mid-IR⁴⁰ and near-IR^{9,11,39,41}. Hall measurements indicate that the Fermi level of graphene used in the device is located at 0.34 eV below the Dirac point owing to substrate doping⁴². Consequently, the reduced responsivity observed at longer wavelengths manifests the onset of Pauli blocking and decreased optical absorption in the p-type graphene. We have modeled the wavelength-dependent absorption in graphene (Supplementary Section XI) and the predicted wavelength scaling of graphene absorption is plotted in Fig. 4d. The agreement between the calculated graphene absorption spectrum and the measured responsivity trend validates the hypothesis.

Besides simplifying integration of graphene detectors with waveguides on silicon, the use of ChG's further opens up photonic integration on unconventional plastic substrates to enable mechanically flexible photonic systems. Leveraging our previously developed flexible substrate integration protocols²⁷, we have demonstrated the first waveguide-integrated graphene detector on flexible polymer membranes. Detailed fabrication and characterization outcomes are presented in Supplementary Section XII.

Broadband mid-IR waveguide modulator

As previously discussed, the $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ glass can function not only as the waveguiding medium, but also as a gate dielectric to control the Fermi level inside graphene. As its Fermi level changes across a threshold value corresponding to half the photon energy, optical absorption of graphene is drastically modified due to Pauli blocking, an effect that has been harnessed to realize near-IR waveguide modulators^{3,43-46} and electro-optic manipulation of free-space mid-IR light⁴⁷⁻⁴⁹. Here we utilize the versatile ChG material to demonstrate the first graphene-based waveguide modulator operating in the mid-IR. Figure 5a illustrates the device layout and Fig. 5b shows an overlay of the TE modal profile at 2 μm wavelength and an SEM cross-sectional micrograph of the waveguide. The device working principle is similar to that of double-layer graphene modulators developed by Liu *et al.*⁵⁰. In our case, the active region is formed by two graphene sheets separated by a $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ glass gate dielectric of 50 nm in thickness. When a gate bias is applied, charges of opposite signs are electrostatically deposited in the two graphene layers, resulting in shifts of their Fermi levels towards opposite directions. Optical transmission in the waveguide (also made of $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ glass) is consequently modulated via Pauli blocking. Using this mechanism, we demonstrate broadband optical modulation for the TE mode across the 2.05 μm to 2.45 μm band with modulation depth up to 8 dB/mm as shown in Fig. 5c. A thorough theoretical analysis taking into consideration the starting Fermi levels in the two graphene layers as well as Fermi-Dirac carrier distribution is presented in Supplementary Section XIII. The theoretically predicted waveguide transmittance as a function of gate bias (Fig. 5d) agrees well with experimental measurements. The current device geometry and our characterization setup are not optimized for high-speed tests and limit the modulation time constant to 7 μs , being mainly restricted by the large electrical probe capacitance and series resistance. Our calculations show that with improved device design and measurement schemes the attainable modulation bandwidth can be enhanced by five orders of magnitude to warrant GHz operation using the same device architecture (Supplementary Section XIV).

In summary, we have established a new paradigm for integrating 2-D materials with planar photonic circuits. Unlike traditional methods which rely on post-fabrication transfer, our approach capitalizes on low-temperature ChG deposition to process devices directly on 2-D materials without disrupting their extraordinary optoelectronic properties. In addition to streamlining the 2-D material integration process, our approach envisages novel multilayer structures with unprecedented control of light-matter interactions in the 2-D layers. As an example, we implemented a graphene-sandwiched waveguide architecture to experimentally achieve ultra-broadband on-chip polarization isolation and thermo-optic switching with record energy efficiency. We further leverage the zero-gap nature of graphene to realize ChG waveguide-integrated broadband mid-IR detectors and modulators, the latter of which also makes use of the multifunctional ChG as the gate dielectric for electrostatic tuning of the Fermi level in graphene. We foresee that the versatile glass-on-2D-material platform will significantly expedite and expand integration of 2-D materials to enable new photonic functionalities.



Methods

Device fabrication. Device fabrication was performed at the MIT Microsystems Technology Laboratories and the Harvard Center for Nanoscale Systems. For the mid-IR detector and modulator, the starting substrate is a silicon wafer coated with 3 μm thermal oxide (Silicon Quest International), whereas for the polarizer and the thermo-optic switch an additional $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ layer was deposited onto the wafer prior to graphene transfer. Monolayer graphene grown using CVD on Cu foils was then transferred onto the substrate following the standard poly(methyl-methacrylate) (PMMA) based wet transfer process⁵¹. In all cases, the substrate has a planar surface finish, ensuring a high transfer yield. The graphene layer is subsequently patterned using electron beam lithography on an Elionix ELS-F125 electron beam lithography system followed by oxygen plasma etching. Ti/Au (10/50 nm) contact metals were electron beam evaporated and patterned using PMMA as the lift-off resist. A $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ glass film is then deposited via thermal evaporation using a custom-designed system (PVD Products, Inc.)^{26,52}. Small flakes of $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ crushed from bulk glass rods prepared using the standard melt quenching technique were used as the evaporation source material⁵³. The deposition rate was monitored in real time using a quartz crystal microbalance and was stabilized at 20 $\text{\AA}/\text{s}$. The substrate was not actively cooled although the substrate temperature was maintained below 40 $^{\circ}\text{C}$ throughout the deposition as measured by a thermocouple. The $\text{Ge}_{23}\text{Sb}_7\text{S}_{70}$ devices were defined using fluorine-based plasma etching and the detailed etching protocols were discussed elsewhere⁵⁴. If needed, the graphene transfer and glass deposition process can be repeated multiple times to create complex multilayer geometries.

Device characterization. The on-chip polarizers were tested using a fiber end-fire coupling scheme and the characterization setup and protocols are described in detail in Supplementary Section VI. The thermo-optic switch devices were measured on a home-built grating coupling system used in conjunction with an external cavity tunable laser (Luna Technologies) with a built-in optical vector analyzer. Laser light was coupled into and out of the devices using single-fiber probes. The DC electrical power was supplied and monitored by a Keithley 2401 Source Measure Unit (SMU). For the dynamic test, the AC electrical power was provided by a Keysight 33521A function generator while the optical output was recorded on an oscilloscope. The mid-IR detector and modulator devices were interrogated using a tunable $\text{Cr}^{2+}:\text{ZnS}/\text{Se}$ mid-IR laser covering 2.0 – 2.55 μm wavelengths (IPG Photonics). The mid-IR laser waveguide coupling and real-time wavelength monitoring system is similar to that described in an earlier publication⁵⁵ and was illustrated in Supplementary Section XV.

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Author contributions

H.L. conceived the device designs and carried out device fabrication and testing. Y.S. prepared and characterized the 2-D materials. Y.H. characterized the polarizer and thermo-optic switch devices. D.K. constructed the mid-IR testing system and measured the detector and modulator devices. K.W. performed numerical modeling of the thermo-optic switch. J.L. and Z.H. deposited the ChG films. L.L. and Z.L. contributed to device characterization. S.D.J. performed Raman and passivation tests. S.N. and A.Y. synthesized the ChG materials. H.W. and C.-C. H. assisted with 2-D material preparation. J.H., T.G., J.K.,

K.R., and D.H. supervised and coordinated the research. All authors contributed to technical discussions and writing the paper.

Competing financial interests

The authors declare no competing financial interests.

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