

1 Conversion of lignocellulose into biochar and furfural through boron complexation and 2 esterification reactions

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11 Abstract

12 The aim of this work was to study the conversion of lignocellulose into biochar and
13 furfural through boron complexation and esterification reaction. Boric acid was used to
14 modify lignocellulose to obtain a high biochar yield boron-lignocellulosic material through
15 complexation and esterification reactions. Furthermore, clean furfural was obtained as the gas
16 products of boron-lignocellulosic materials pyrolysis. The structures of the boron-
17 lignocellulosic materials were characterized, and their compound principle was revealed.
18 Boric acid treatments increased the initial thermal degradation temperature of lignocellulose
19 and promoted the formation of biochar and furfural. The biochar yield rate increased by 135.7%
20 from 18.6 to 42.9% at 600 °C after 5% boric acid solution treatment. Compared with pure
21 lignocellulose, cleaner and higher quantities of furfural were obtained from boron-
22 lignocellulose pyrolysis. Finally, the possible chemical decomposition pathways of boron-

23 lignocellulosic materials were identified. This study provides a new perspective on the
24 thermochemical conversion of lignocellulose to furfural and biochar.

25 **Keywords:** lignocellulose; boric acid; thermal conversion; biochar; furfural

26 **1. Introduction**

27 Lignocellulose, which is primarily composed of cellulose, hemicellulose and lignin, has
28 long been a favored material by researchers. Interestingly, new uses and functions for
29 lignocellulosic-based materials are proposed on a daily basis (Herou et al., 2019; Li et al.,
30 2018a; Li et al., 2019; Wang et al., 2019). Recently, studies on lignocellulose/polymer
31 composites, biochar, biomass-derived chemicals and bio-pyrolysis oil have received
32 considerable interest from both academia and industry (Ahmed et al., 2019; Dai et al., 2019;
33 Lu et al., 2019). **Thus, there is a need to enhance the understanding of the thermal properties
34 and decomposition of lignocellulose.** Chemical modification is a common method for
35 improving the performance of lignocellulosic materials. The effects of chemical modification
36 on the mechanical properties, optics, thermal conversion, fire retardancy and dimensional
37 stability of lignocellulose have been investigated extensively (Berglund & Burgert, 2018; He
38 et al., 2018; Li et al., 2018b). However, the effects of boric acid on the structure and thermal
39 properties of lignocellulosic materials and its chemical mechanisms remains unclear.

40 Boric acid has been used as a preservative and flame retardant for a long time in the
41 lignocellulosic materials industry. Boric acid can also increase the thermal degradation
42 temperature of lignocellulosic materials because that it is believed to change into a layer of

43 B₂O₃ film that isolates oxygen and heat (Uner et al., 2016), which is also widely believed to
44 be the mechanism by which boric acid induces flame retardancy. However, Wang *et al.* (Wang
45 et al., 2004) argue that boric acid complexes with lignocellulose to produce hydrogen ions,
46 which can catalyze the conversion of lignocellulose into biochar. Furthermore, Wicklein *et al.*
47 (Wicklein et al., 2016) found that nanocellulose can complex with boric acid under alkaline
48 conditions to achieve flame retardancy. The effect of boric acid on the thermal performance
49 of lignocellulosic materials and its retardancy mechanism remains uncertain. Boric acid has
50 also been used to improve the thermal stability of sugars and phenolic resins through
51 esterification or complexation reactions (Ricardo et al., 2004; Wang et al., 2014). It is worth
52 noting that boric acid can react with polyhydroxy compounds, such as polyols, carbohydrates,
53 proteins and vitamins (Peters, 2014). Various bonding mechanisms between boric acid and
54 polyhydroxy compounds exist, including monoesters and cyclic diester structures with
55 negatively charged tetrahedral boron anions (Miyazaki et al., 2013).

56 Most studies have primarily focused on the effect of boric acid as a fire retardant for
57 lignocellulose combustion. However, there is a comparative lack of information related to the
58 effect of boric acid modification on the thermal conversion of lignocellulose and their
59 pyrolysis pathway. **Here, effects of boric acid modification on lignocellulose thermal**
60 **conversion behaviors were explore.** Specifically, lignocellulose was treated with boric acid
61 solutions at different concentrations. The treated samples were then characterized to identify
62 the chemical reaction mechanism between boric acid and lignocellulose. **Furthermore, the**
63 **authors explored the effect of boric acid on the thermal conversion behavior of lignocellulosic**

64 materials and elucidated the mechanism of thermal decomposition of borated lignocellulosic
65 materials.

66 **2. Materials and methods**

67 **2.1 Materials**

68 Lignocellulose (poplar-wood fiber) (Harbin, China) was purchased from the market and
69 ground into wood flour (40~80 mesh). Holocellulose was prepared according to Jayme
70 (Jayme, 1942). Analytical reagent grade lignin, mannitol, glycerin, microcrystalline cellulose
71 and boric acid were obtained from Sigma-Aldrich.

72 **2.2 Preparation of boron-lignocellulose hybrid materials (borated lignocellulose)**

73 A 200 mL solution (5% by weight) of boric acid was prepared by dissolving the acid in
74 distilled water in a 500-mL glass container at 50 °C under vigorous magnetic stirring.
75 Lignocellulose was subsequently added to this solution at ambient temperature, allowed to
76 stand for 2 hours and then filtered and dried at 105 °C for 24 h. The same treatment was used
77 for holocellulose, MCC and lignin.

78 Glycerin (0.05 M) and boric acid (0.05 M) were dissolved in 100 mL of deionized water
79 in a 200-ml glass container at 50 °C under vigorous magnetic stirring. The solution was then
80 concentrated using an oven at 105 °C for 24 h. The mixture was further dehydrated using a
81 vacuum drying oven at 50 °C for 24 h. Mannitol and glucose were treated using the same
82 procedure.

83 **2.3 Characterization**

84 2.3.1 Chemical structure of borated lignocellulose

85 Fourier-transform infrared (FTIR) spectra were recorded in the range of 4000–600 cm⁻¹
86 at a resolution of 4 cm⁻¹ with an average of 32 scans, employing a Nicolet 6700 with ATR
87 module (Thermo Fisher Scientific Co., Ltd., USA). X-ray photoelectron spectroscopic (XPS)
88 measurements were obtained using a K-alpha X-ray photoelectron spectrometer (Thermo
89 Fisher Scientific Co., Ltd. USA). The spectrum energy scale was calibrated referencing the
90 C1s peak of adventitious aliphatic carbon (284.5 eV).

91 2.3.2 X-ray diffraction (XRD) analysis

92 XRD patterns of untreated lignocellulosic materials and samples modified with different
93 concentrations of boric acid were measured using an X-ray diffractometer (D/max 2200,
94 Rigaku, Japan) equipped with Ni-filtered Cu K α radiation ($\lambda=1.5406$ Å) at 40 kV and 30 mA.
95 The scan range of 2θ was set to 5–40° with a speed of 1° min⁻¹. The crystallinity index (*CrI*)
96 of samples was calculated according to the Segal method (Segal et al., 1959):

$$97 \quad CrI = \frac{I_{002} - I_{am}}{I_{002}} \quad (1)$$

98 where *CrI* refers to relative crystallinity, I_{002} is the maximum intensity of the 002 lattice
99 ($2\theta=22.8^\circ$) and I_{am} is the intensity of the valley between the 002 and 101 lattice peaks
100 ($2\theta=18.0^\circ$), indicating the scattering intensity of the amorphous background diffraction.

101 2.3.3 Thermogravimetric analysis

102 The thermogravimetric analysis (TGA) was conducted using a TGA-400 (Perkin-Elmer,
103 USA). The samples were heated from room temperature to 600 °C at a heating rate of 10 °C·
104 min⁻¹ under a nitrogen atmosphere, with a purge gas flow of 40 ml·min⁻¹.

105 2.3.4 Color analysis

106 The color of the lignocellulose was characterized using a CR-400 chroma meter (Konica
107 Minolta, Japan) with a CIE Lab system. The color values L^* , a^* and b^* in the color space of
108 CIE $L^*a^*b^*$, were recorded for each specimen. Color values were recorded for five parallel
109 samples, then averaged. The ΔL^* , Δa^* and Δb^* were calculated using lignocellulose dried at
110 105 °C as a reference (e.g. $\Delta L^* = L^*_1 - L^*_{control}$). The color difference ΔE^* , which expresses
111 the total color change, was calculated according to Eq. (2):

$$112 \quad \Delta E^* = \sqrt{(\Delta L^{*2} + \Delta a^{*2} + \Delta b^{*2})} \quad (2)$$

113 2.3.5 Energy dispersive spectrometer (EDS)

114 The surface elements of lignocellulose were analyzed using an energy dispersive
115 spectrometer (X-MAX 20 mm², Oxford Instrument, UK). Before the test, a poplar woodblock
116 (2 × 2 mm) was immersed in a 5% boric acid solution for 24 h and then sliced by a
117 microtome (HM325, Thermo Fisher Scientific, USA). These slices were then dried and
118 coated with gold powder.

119 2.3.6 Pyrolysis coupled Gas Chromatography-Mass Spectrometry (Py-GC/MS)

120 Fast pyrolysis experiments were performed using a CDS5200HP-R pyrolyzer directly
121 connected to an Agilent 6890N gas chromatograph (GC) which was coupled to an Agilent
122 5973i mass spectrometer (MS) (Agilent Technologies, Palo Alto, USA). The MS was

123 operated in electron impact mode at 70 eV over the m/z range from 40 to 500 amu. Samples
124 of 0.5 mg were held with loose quartz wool packing in a quartz sample tube. All pyrolysis
125 tests were carried out at 300 °C for 15 s with a heating rate of 20 °C·ms⁻¹ using a platinum
126 coil probe. The pyrolysis chamber was purged with helium carrier gas at a flow rate of 20 mL
127 ·min⁻¹. Gas chromatography-mass spectrometry was applied to separate and identify the
128 pyrolysis products. The chromatographic signals were identified by comparing the
129 experimental mass spectrum to the mass spectrum library provided by the program.

130 **3. Results and Discussion**

131 **3.1 Chemical structure of borated lignocellulosic materials**

132 It is well known that boric acid can react with glycerin and mannitol, forming complexes
133 and borated esters (Miyazaki et al., 2013). FTIR and XPS spectra of the borated glycerin,
134 borated mannitol and borated lignocellulosic materials were obtained and compared to
135 explore the reaction between boric acid and lignocellulosic materials. Compared to pure
136 lignocellulose, new intense signals at 3200, 1380, 1340, 1280, 1200, 940 and 810 cm⁻¹
137 appeared in the FTIR spectrum of the borated lignocellulose. The characteristic peak at 3200
138 cm⁻¹ can be attributed to the stretching vibrations of hydroxyl groups in boric acid (Xia et al.,
139 1995). The bands at 1380 and 1340 cm⁻¹ belong to the bridge stretching vibration of B-O-B
140 and B-O-C bonds, respectively (Wang et al., 2015). The band at 1280 cm⁻¹ corresponded to
141 the stretching vibrations of the B-O bonds of “boroxol rings” (Feng et al., 2011). **It revealed**
142 **that the self-association of boric acid, a special type of interaction, occurred in this system.**
143 This observation was consistent with the NMR result obtained by Coddington *et*

144 *al.*(Coddington & Taylor, 1989), which demonstrated the presence of ‘boroxol rings’ in boric
145 acid-polyol compounds. The band at 1200 cm⁻¹ can be attributed to the in-plane bending
146 vibration of the B-O bond (Xia et al., 1995). The bands at 940 cm⁻¹ and 850 cm⁻¹ were
147 ascribed to the valence vibrations of B-O in a BO₄⁻ tetrahedra (Xia et al., 1995). The
148 characteristic bands of tricoordinate and tetrahedra boron were also observed in the FTIR
149 spectra of borated glycerin and mannitol compounds. These observations indicated that boric
150 acid could react with lignocellulose through esterification and complexation reactions.

151 In addition, the characteristic bands of borate esters and borate complexes were present
152 in the FTIR spectra of borated holocellulose and borated lignin. Whereas there were few
153 differences between the FTIR spectra of micro-cellulose (MCC) and borated MCC. With its
154 robust crystalline structure, MCC was hardly soaked and reacted with boric acid. Compared
155 with MCC, nanocellulose in lignocellulose has more free hydroxyl groups, which is favorable
156 for the complexation reaction. Indeed, a previous study has shown that a cross-linking of
157 boric acid and nanocellulose forms under alkaline conditions (Wicklein et al., 2016). Overall,
158 boric acid reacted with the amorphous region of cellulose in lignocellulosic materials.

159 The high-resolution B 1s XPS spectrum of borated lignocellulose can be deconvoluted
160 into three peaks of B1 at 194.3 eV, B2 at 193.5 eV and B3 at 192.8 eV. The peak at 194.3 is
161 attributed to the B–OH, while the other two components, B2 at 193.5 eV and B3 at 192.8 eV,
162 are related to the B–O–B and B–O–C bonds, respectively (Wang et al., 2015). This result was
163 in highly consistent with the FTIR results, indicating that boric acid reacted with
164 lignocellulose. These three peaks were also observed in the high-resolution B 1s XPS spectra

165 of glycerin-BA, mannitol-BA, holocellulose-BA and lignin-BA. However, the B-O-C bond
166 was not observed in the B 1s XPS spectrum of borated MCC, indicating that the
167 complexation or esterification reaction of boric acid and MCC did not occur. Although
168 evidence for the reaction of boric acid and MCC was absent, it is believed that boric acid
169 appeared capable of reacting with the amorphous cellulose in lignocellulose according to the
170 FTIR and XPS results for lignocellulose and holocellulose. The results from the XPS were in
171 good agreement with the FTIR results, which indicated that a chemical reaction between
172 boric acid and lignocellulosic materials occurred during boric acid treatment.

173 The effects of boric acid on the crystallization of lignocellulose were analyzed by X-ray
174 diffraction. The crystallinity (*CrI*) of lignocellulose treated with boric acid decreased
175 compared to untreated lignocellulose. *CrI* decreased with an increase in the concentration of
176 the boric acid solution, from 51.4% in untreated lignocellulose to 41.4% in lignocellulose + 5%
177 BA. The decrease in crystallinity resulting from lignocellulose swelling was caused by the
178 boric acid solution. Boric acid attacked amorphous regions of cellulose in a manner similar to
179 water, forcing the microfibrils apart and leading to damage of the crystalline cellulose.
180 However, the intermolecular and intramolecular hydrogen bonds within the cellulose
181 crystalline region disintegrated and were replaced by borate bonds during the treatment.
182 Boric acid treatment not only reduced the *CrI* of lignocellulose but also influenced its
183 crystalline form. A diffraction shoulder peak at $2\theta = 20.60^\circ$ appeared when the boric acid
184 solution concentration was greater than 3 wt %. This peak can be attributed to the 10i
185 diffraction crystal face of cellulose II (El Oudiani et al., 2011). This result indicates that the

186 boric acid treatment partially changed the crystal form of cellulose, from cellulose I to
187 cellulose II. Compared to cellulose I, cellulose II is more beneficial to the formation of
188 biochar by pyrolysis (Kolpak & Blackwell, 1976), leading to robust fire retardancy for boric
189 acid treated lignocellulosic materials. However, the strength of cellulose II is lower than that
190 of cellulose I which is caused by the smaller molecular weight of cellulose II compared to
191 cellulose I (El Oudiani et al., 2011). The decrease in molecular weight may also lead to a
192 decrease in *CrI*. Moreover, a diffraction peak of boric acid appeared in the XRD spectrum of
193 the borated lignocellulose when the concentration of boric acid was above 3 wt%. **This result**
194 **showed that there was unreacted boric acid remaining in lignocellulose-BA.** Furthermore,
195 boron oxide films on the lignocellulose surface were revealed by the microscopic image. The
196 EDS results showed that the distribution of the boron element was the same as that of carbon
197 and oxygen elements, indicating the boron was evenly distributed in the cell wall. Residual
198 boric acid increased with the concentration of the boric acid solution. **The weight gain rate**
199 **(WPG) of borated lignocellulose increased with the boric acid solution concentration**
200 **increasing. A maximum increase of WPG was obtained in lignocellulose + 5% BA, and it was**
201 **25.9%. However, the WPG decreased obviously after leaching using distilled water. This**
202 **observation revealed that boron-lignocellulose had poor resistance to mass loss during**
203 **leaching.**

204 Hence, boric acid not only reacted with lignocellulose through esterification and
205 complexation but also altered its crystalline behavior. The chemical structure of borated
206 lignocellulose was obtained after lignocellulose was treated by boric acid solutions.

207 Additionally, the cross-linking degree of lignocellulose increased, which resulted from the
208 link of B–O–B and B-O-C bonds (Wicklein et al., 2016).

209 **3.2 Thermal degradation of the borated lignocellulosic materials**

210 The thermal degradation of untreated lignocellulose took place in two steps, the
211 degradation of hemicellulose (220-320 °C) and cellulose (320-400 °C), while lignin
212 degradation accompanied the entire process (Fig. 1a). The boric acid treatment altered the
213 thermal degradation behavior of the lignocellulose, and the degradation process of borated
214 lignocellulose can be divided into three stages (Figs. 1a and 2a). The dehydration of self-
215 polymerization of boric acid and the dehydration resulting from the complexation of boric
216 acid and hydroxyl groups were the main contributors to the weight loss in the first stage. The
217 second stage involved the decomposition of hemicellulose and cellulose. The pyrolysis peaks
218 of cellulose and hemicellulose were combined into one peak because the boric acid treatment
219 increased the hemicellulose degradation temperature. Furthermore, it is worth noting that the
220 initial degradation temperature of hemicellulose increased. Lignocellulose degradation
221 mainly occurred during the second stage. **The last stage involved a further cracking of the**
222 **borated polysaccharide and the associated rupture of the cyclic B-O-C bonds**, which can be
223 attributed to esterification and complex formation between the boron and hydroxyl groups.
224 Compared to untreated lignocellulose, the temperature at 20% weight loss ($T_{20\%}$) increased,
225 whereas the temperature at 5% weight loss ($T_{5\%}$) decreased for borated lignocellulose. In
226 addition, the maximum degradation rate of lignocellulose decreased following boric acid
227 treatment when the concentration of the boric acid solution was greater than 3 wt% (Fig. 2a).

228 The residual biochar yield, which is one of the most important indicators of thermal stability,
229 was higher for borated lignocellulose than for untreated lignocellulose. These results revealed
230 that boric acid treatment improved the thermal stability of lignocellulose. However, the
231 temperature at the maximum degradation rate (T_{max}) of the borated lignocellulose decreased
232 compared to untreated lignocellulose. This finding can be explained by the fact that boric acid
233 decreased the crystallinity of lignocellulose and partially changes its crystalline form.

234 The effects of boric acid on the thermal degradation behavior of holocellulose and MCC
235 were similar to that of lignocellulose (Figs. 1b and c). However, the degradation temperature
236 of holocellulose was lower than that of lignocellulose because of the presence of lignin.
237 Lignin increases the cross-linking of lignocellulose, leading to a higher thermal stability for
238 lignocellulose than for holocellulose. The boric acid treatment also increased the $T_{20\%}$, and
239 the char yield of lignin and reduced its maximum degradation rate (Figs. 1 and 2). Contrary to
240 lignocellulose, the T_{max} of lignin increased following the boric acid treatment. Furthermore,
241 boric acid treatment also had a positive effect on the thermal stability of glycerin and
242 mannitol.

243 The boric acid treatment had no influence on the color of lignocellulose; however, it
244 reduced the rate of blackening caused by the heat treatment. Pure lignocellulose and
245 lignocellulose treated with a 5 wt% boric acid solution were heat-treated at 220 ° C for 2
246 hours using a drying furnace. For lignocellulose, the lightness (L^*), redness (a^*) and
247 yellowness (b^*) values decreased after the heat treatment. The maximum color change (ΔE^*)
248 was obtained after the pure heating treatment (Fig. 3). The results showed that heating made

249 lignocellulose become dark and reduced the red and yellow color of lignocellulose. The
250 decrease in lightness was smaller for boric acid and heat-treated lignocellulose than for the
251 pure heat-treated lignocellulose. The change in b^* for boric acid and heat-treated
252 lignocellulose was negligible. The a^* of borated lignocellulose increased while that of the
253 pure lignocellulose changed little after the heat treatment. The color analysis results revealed
254 that boric acid improved the stability of lignocellulose, which was consistent with the TGA
255 results.

256 From the TGA and color analysis results, one can ascertain that boric acid can improve
257 the thermal stability of lignocellulosic materials and polyols, especially for the initial thermal
258 degradation temperature and biochar yield indicator. **This finding was similar to the results**
259 **obtained by Uner *et al*** (Uner et al., 2016). It has also been found that boric acid slowed the
260 thermal decomposition of pentose when wood was treated with both boric acid and heating
261 (Kartal et al., 2008). According to the aforementioned observations and previous findings
262 (Uner et al., 2016; Wang et al., 2004; Wicklein et al., 2016), **the authors proposed that the**
263 **following mechanisms are involved in improving the thermal stability of lignocellulosic**
264 **materials using boric acid treatment:** First, boric acid reacts with the lignocellulosic materials
265 by esterification and complexation, leading to an increase in the degree of cross-linking,
266 which results in the improvement of the initial degradation temperature of lignocellulosic
267 materials. Second, B–O bonds, whose bond energy ($561 \text{ kJ}\cdot\text{mol}^{-1}$) is greater than that of C–O
268 bonds ($384 \text{ kJ}\cdot\text{mol}^{-1}$), are introduced into the lignocellulosic materials, improving the stability
269 of their chemical structure. Third, the boric acid self-polymerization and the dehydration

270 reactions of B-OH with hydroxyl groups produce water and easily absorb heat, which slows
271 the thermal degradation of the lignocellulosic materials. Fourth, boron oxide glass layers
272 form during the thermal degradation process and isolate a part of the oxygen, leading to a
273 high char yield. Finally, the pyrolysis chemical pathway of lignocellulosic materials changes
274 due to the addition of boron, leading to a high biochar yield.

275 **3.3 Change in the chemical structure of borated lignocellulosic materials during** 276 **pyrolysis**

277 3.3.1 XPS analysis of lignocellulose treated with both boric acid and heat

278 The change in the oxygen to carbon atomic ratio (O/C) has been used to characterize the
279 degradation of cellulosic materials and polymers (Inari et al., 2006). In this study, the O/C
280 ratios of the test samples were calculated using the total areas of the peaks of the oxygen and
281 carbon components to analyze the effect of the boric acid treatment (Table 1). The O/C ratio
282 of untreated lignocellulose decreased slightly after the heat treatment. **This finding was in**
283 **good agreement with those of previous studies** (Kocaeffe et al., 2013). This observation can be
284 explained by the fact that the dehydration of polymers (cellulose and hemicelluloses) leads to
285 the formation of volatile by-products and biochar during heating, resulting in decreases in
286 carbohydrate content and increases in lignin content. The O/C ratio of untreated
287 lignocellulose was smaller than that of borated lignocellulose due to the presence of boric
288 acid. Contrary to untreated lignocellulose, the O/C ratio of borated lignocellulose changed
289 little after heat treatment.

290 High-resolution XPS C1s spectra were also studied to evaluate the chemical structures
291 of lignocellulose before and after the heat treatment (Fig. 4). The high-resolution C1s spectra
292 were fitted with their decomposition into four components according to carbon-containing
293 functional groups.(Kocaefe et al., 2013) The C₁ - C₄, corresponds to C–C and/or C–H (C₁),
294 C–O (C₂), C=O and/or O–C–O (C₃) and O=C–O(C₄), respectively (Fig. 4). The content of the
295 four fitted peaks was shown in Table 1 after curve fitting using Gaussian function. C₂ is
296 considered to originate mainly from cellulose and hemicelluloses, while C₁ is primarily
297 attributed to lignin (Peng et al., 2015). The content of C₂ decreased, whereas the content of C₁
298 increased for both the lignocellulose and borated lignocellulose samples following the heat
299 treatment because of the dehydration of carbohydrates. However, changes in both C₁ and C₂
300 contents were less for borated lignocellulose compared with untreated lignocellulose. For
301 borated lignocellulose, the content of C₃ increased slightly due to the oxidation reaction after
302 heating. The XPS results indicated that boric acid slowed the alteration of the chemical
303 structure of lignocellulose resulting from heating.

304 3.3.2 The pyrolysis production analysis

305 Similar to previous studies, the thermal decomposition of lignocellulose primarily
306 resulted in the production of volatile organic compounds (VOC) such as carbon dioxide, 1-
307 hydroxy-2-acetone, furfural, anhydrides, 2,5-furan carboxaldehyde, levoglucosenone, vanillin,
308 phenol and its derivatives (E-supplement file and Table 2) (Usman et al., 2019). Boric acid
309 had an obvious influence on lignocellulose pyrolysis by reducing the chemical composition
310 and yield of VOCs. However, it should be noted that the relative yield of furfural obtained in

311 the presence of boron was greater than that obtained from the pyrolysis of pure
312 lignocelluloses. This finding indicates that boric acid altered the thermal decomposition
313 chemical pathway of lignocellulose. To further analyze the effect of boric acid on the thermal
314 degradation mechanism of lignocellulose, pyrolysis tests of small molecules, holocellulose,
315 glucose, MCC and lignin were also carried out. The results of Py-GC/MS for holocellulose
316 and MCC were nearly the same as that for lignocellulose.

317 Boric acid had a similar effect on the pyrolysis of lignocellulosic materials and mimic
318 compounds. Compared with pure glucose, VOC yield and composition decreased for borated
319 glucose pyrolysis. The weakening or disappearance of VOC compounds (such as
320 methylglyoxal and anhydroglucose) is usually generated by the second degradation of the
321 laevoglucose (Shen & Gu, 2009). However, the relative yield of furfural increased after boric
322 acid treatment. **This finding indicated that boric acid catalyzed the production of furfural and**
323 **biochar instead of levoglucosan during glucose pyrolysis.** In the case where boron was
324 present, the pathway in which the glucose ring ruptures and recombines to form a furan
325 structure was more common than the rearrangement of glucose to levoglucosan through
326 dehydration. Besides, boric acid may induce glucose to change to a furan structure, later
327 forming a furan borate ester that has high thermal stability (Peters, 2014; Ricardo et al., 2004).

328 **3.4 Thermal degradation mechanism for borated lignocellulosic materials**

329 The thermal decomposition mechanism of cellulose has been reported in several studies
330 (Huber et al., 2006; Patwardhan et al., 2010; Shen & Gu, 2009). Previous studies show that
331 there is competition between the primary pyrolysis reaction of depolymerization of the

332 glycosidic bond cleavage and glycan ring decomposition. In the presence of acids, the
333 pyrolysis reaction pathway tends to depolymerize (Huber et al., 2006). Cellulose pyrolysis
334 produces either levoglucosan or low molecular weight species as well as biochar.
335 Levoglucosan, which is believed to be the predominant product of cellulose pyrolysis, is
336 formed by the intramolecular rearrangement of the monomer units after the cleavage of the
337 1,4-glycosidic linkage in the cellulose polymer (Li et al., 2001). Levoglucosan then
338 undergoes a second decomposition, producing small molecular compounds (Shen & Gu,
339 2009). **However, boric acid changed the cellulose pyrolysis chemical pathway (E-supplement**
340 **file) in this study.** Specifically, the hydrogen ion released from the complexation reaction of
341 the boric acid and hydroxyl groups catalyzed the depolymerization of cellulose. In addition,
342 the boron complexation structure prevented the formation of levoglucosan. The B-O-C bonds
343 replaced the hydroxy at C₆ or the carbon at another position, limiting the acetal reaction to
344 form levoglucosan. Actually, the glucose ring opened and rearranges to form a new furan
345 structure in the case of borate presence. In such case, most of the glucose monosaccharide
346 became furanose, followed by dehydration to furfural and its derivatives. Furthermore, the C-
347 O bonds of borate ester cleaved and released carbon free radicals, which reacted and led to
348 chain propagation. Finally, two radicals collided with each other to form stable compounds,
349 leading to the termination of the chain. For boron-cellulose, the main pyrolysis mechanism
350 was a free-radical reaction pathway, which was conducive to biochar formation. More boron-
351 HMF went dehydration to form char instead of yielding a 1:1 mixture of levulinic and formic
352 acids, a pattern similar to that observed in a previous study (Shen & Gu, 2009).

353 Hemicellulose undergoes analogous reaction pathways to cellulose. However, for
354 hemicellulose pyrolysis, the predominant product is 1,4-anhydro-D-xylopyranose which is
355 formed by the cleavage of the glycosidic linkages of the xylan chain and the rearrangement of
356 the depolymerized molecules instead of levoglucosan (Shen et al., 2010). It then undergoes
357 several reactions to form biochar or decompose into low molecular weight compounds
358 (Patwardhan et al., 2011; Werner et al., 2014). The impact of boric acid on the hemicellulose
359 pyrolysis mechanism is similar to that for cellulose and the detailed pathways are presented in
360 the E-supplement file. **On the one hand, hemicellulose produced furfural under acid catalysis.**
361 On the other hand, monosaccharide rearranged and formed into a furan structure due to the
362 presence of B-O-C bonds. Meanwhile, carbon free radicals were generated by the breaking of
363 the B-O-C linkage. Two radicals collided with each other to terminate the reaction, producing
364 stable compounds. Several reactions, including aromatization and intramolecular
365 condensation, then took place to form biochar (E-supplement file).

366 Lignin has a complex decomposition mechanism due to its complex structure. Generally,
367 a free-radical reaction pathway is believed to be one of the dominant mechanisms for the
368 degradation of lignin (Kosa et al., 2011; Zhang et al., 2019b). Free radicals are generated by
369 the cleavage of the β -O-4 lignin linkage, which can further react and lead to chain
370 propagation. However, the boric acid treatment altered the decomposition pathway and
371 reduced the VOC yields from the lignin pyrolysis (E-supplement file). On the one hand, the
372 thermal degradation temperature was improved through the increase in the degree of
373 crosslinking caused by the complexation reaction. On the other hand, the hydroxyl groups at

374 the α and γ positions, as well as the phenolic hydroxyl groups, were inhibited by the borate
375 ester. The cleavage of the link bonds, such as β -o-4', 5'-5' β '-1, produced a boron-lignin
376 monomer. The C-O bonds of the borate esters subsequently cleaved and produced free
377 radicals and phenol derivatives (E-supplement file). Finally, the chain reactions were
378 terminated by collisions of radicals, forming stable biochar covered by boron films. However,
379 the exact mechanism of lignin pyrolysis remains challenging due to its complex structure
380 (Liu et al., 2015).

381 **Furthermore, it was worth noting that boric acid treatment promotes the thermal**
382 **conversion of polysaccharides and monosaccharides to furfural.** The chemical composition of
383 the pyrolysis products was relatively simple, which also simplified product separation.
384 Furfural can then be used to produce heavy-density aviation fuel following previous literature
385 (Liu et al., 2019). However, based on the above TGA analysis, boric acid appeared to have
386 catalyzed the formation of biochar from biomass materials. The boron oxidant carried out the
387 function of electron absorption and transfer. Hence, a boric acid treatment would be
388 conducive to the use of biochar in battery and solar cells. In addition, many applications
389 using biochar, such as carbon fixation, drug delivery, environmental restoration,
390 supercapacitor electrodes and batteries have been extensively studied (Haffner-Staton et al.,
391 2016; Yu et al., 2019; Zhang et al., 2019a).

392 **Conclusions**

393 Boron-lignocellulose was fabricated using boric acid treatment through complexation
394 and esterification reactions. Multiple effects of boric acid on the thermal conversion of

395 lignocellulose were observed and its detailed mechanisms were revealed. Boric acid changed
396 the crystalline form of the lignocellulose from cellulose I to cellulose II. The free radical
397 pathway was the main mechanism of boron-lignocellulose thermal degradation, which was
398 conducive to the formation biochar. Besides, the B-O-C bonds blocked the hydroxy group in
399 the sugar units, promoting the production of furfural during pyrolysis. These findings promise
400 to contribute to the production of furfural from glucose or lignocellulose.

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407 **Appendix A. Supplementary data**

408 E-supplementary data for this work can be found in e-version of this paper online.

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518

Fig. 1. TGA curves of the tested lignocellulosic materials before and after boric acid treatment for, a) lignocellulose, b) holocellulose, c) MCC and d) lignin.

Fig. 2. TGA curves of polyols before and after boric acid treatment for, (a) glycerin and (b) mannitol, and DTG curves for (c) glycerin and (d) mannitol.

Fig. 3. Color parameters of the tested lignocellulose. (a) lightness (L^*), (b) redness (a^*), (c) yellowness (b^*), and (d) color difference (ΔE^*).

Fig. 4. The high resolution C1s spectra of pure lignocellulose and borated lignocellulose before and after heating. a) untreated lignocellulose, b) borated lignocellulose, c) lignocellulose treated by heating, and d) borated lignocellulose treated by heating.

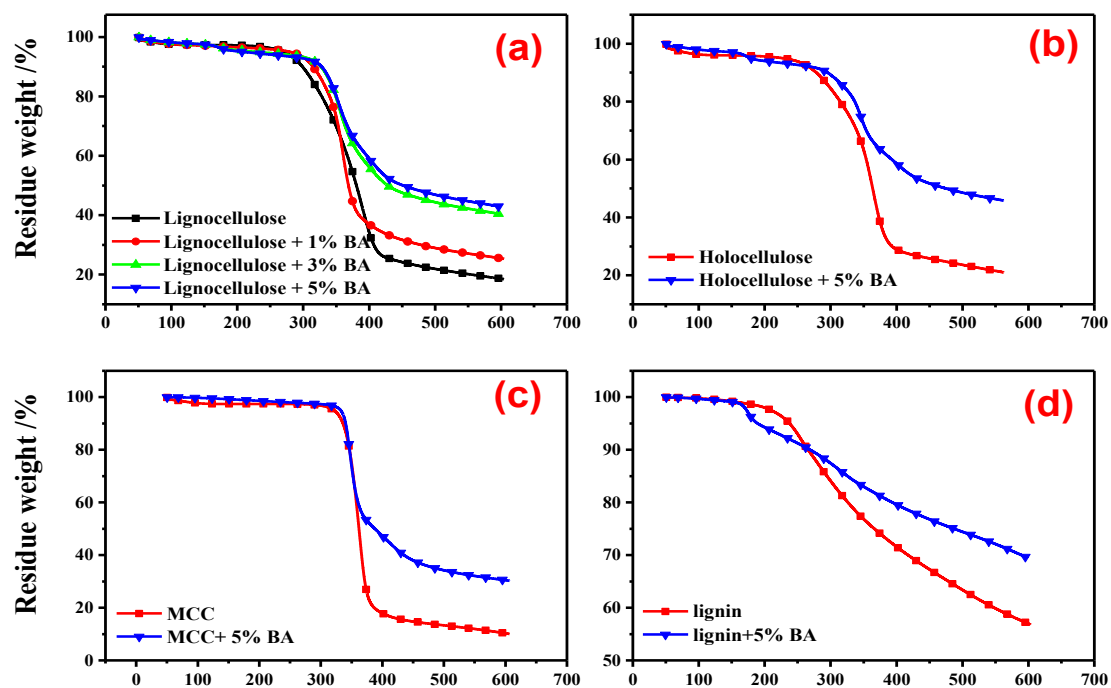


Fig. 1. TGA curves of the tested lignocellulosic materials before and after boric acid treatment for, (a) lignocellulose, (b) holocellulose, (c) MCC, d) lignin.

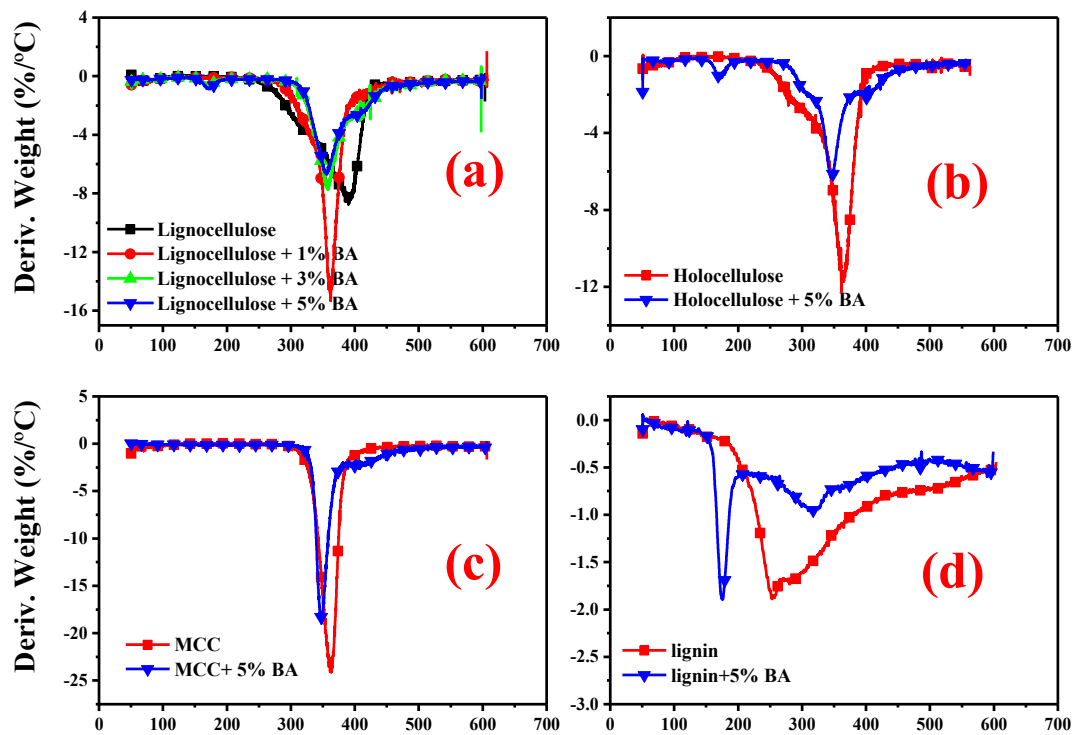


Fig. 2. DTG curves of the tested lignocellulosic materials before and after the boric acid treatment for, (a) lignocellulose, (b) holocellulose, (c) MCC, d) lignin.

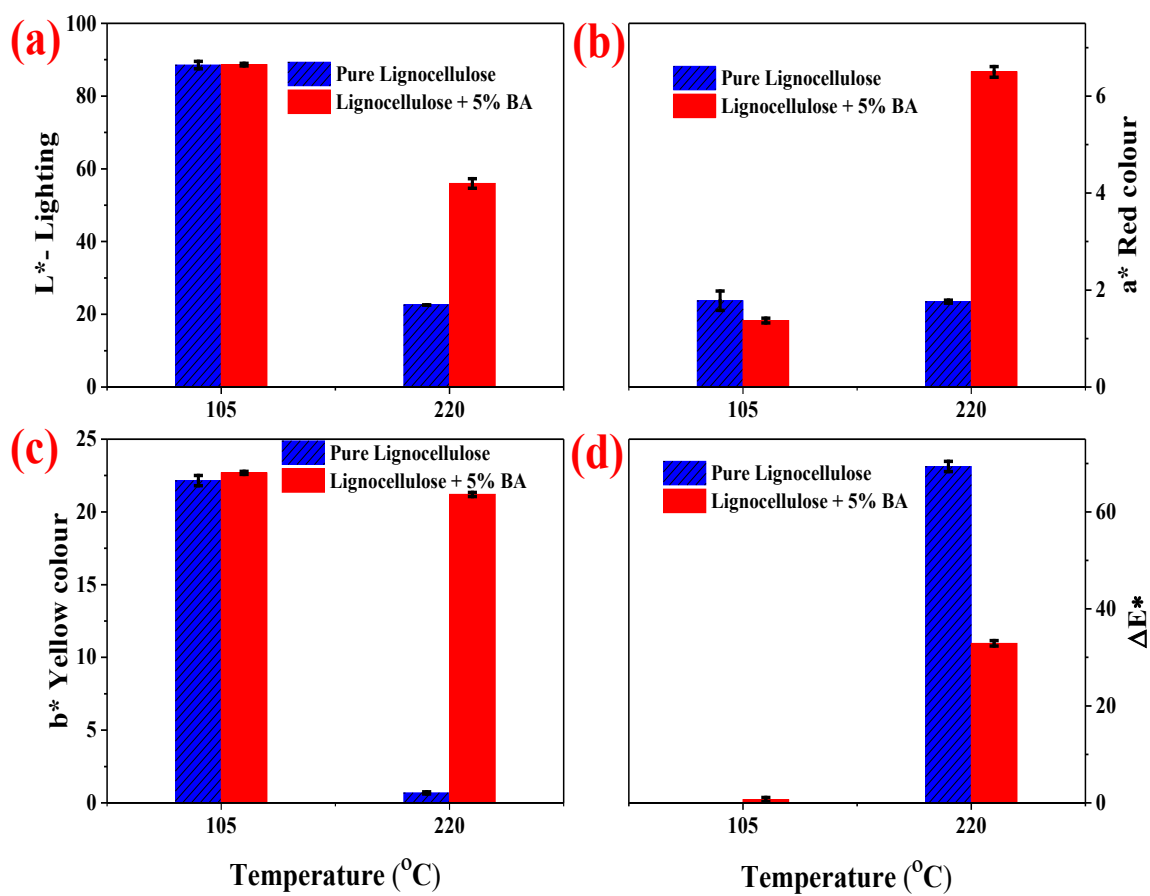


Fig. 3. Color parameters of the tested lignocellulose. (a) lightness (L^*), (b) redness (a^*), (c) yellowness (b^*), and (d) color difference (ΔE^*).

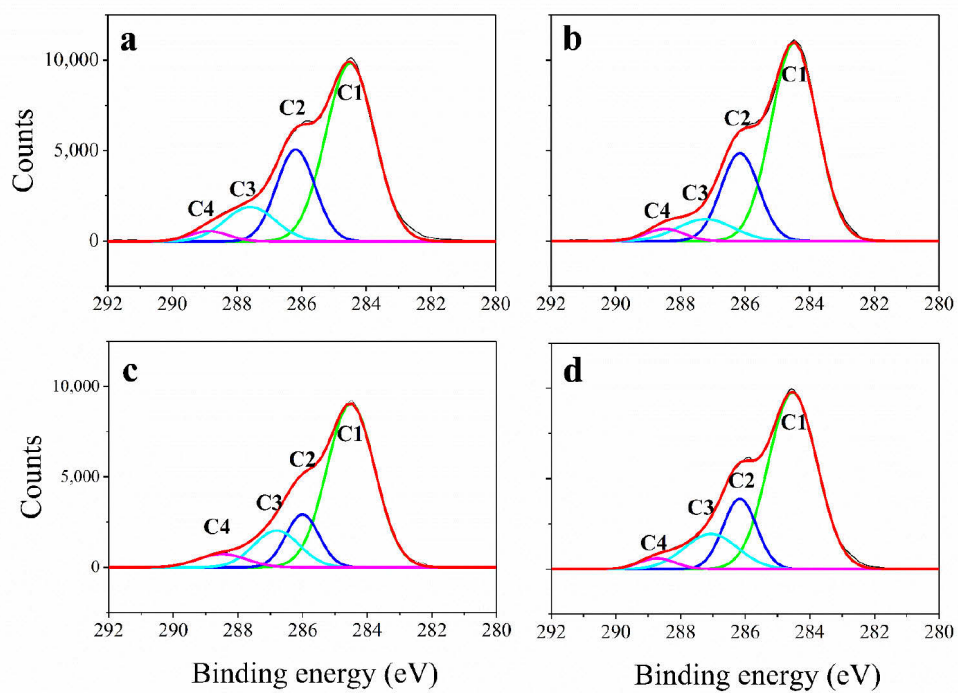


Fig. 4. The high resolution C1s spectra of pure lignocellulose and borated lignocellulose before and after heating. (a) untreated lignocellulose, (b) borated lignocellulose, (c) lignocellulose treated by heating, and (d) borated lignocellulose treated by heating.

Table 1. Summary of XPS spectral parameters of heat-treated lignocellulose

Samples	O/C	C ₁ %	C ₂ %	C ₃ %	C ₄ %
Lignocellulose	0.834	60.51	24.62	12.12	2.75
Lignocellulose + 5% BA	0.946	64.52	23.60	8.65	3.23
Heat treated lignocellulose	0.762	64.97	17.91	14.19	2.93
Heat treated lignocellulose+ 5% BA	0.948	65.76	14.73	14.12	5.39

Table 2. List of the main volatile compounds found among the products of lignocellulose and holocellulose identified by Py-GC/MS.

Number	Volatiles species	Molecular weight
1	Carbon dioxide	44
2	Methylglyoxal	72
3	Acetic acid	60
4	1-Hydroxy-2-acetone	74
5	Vinyl methyl ether	58
6	Methyl acetate	74
7	Furfural	96
8	1,2-Cyclopentanedione	98
9	Phenol	94
10	2-Methyl-phenol	108
11	2-methoxy-phenol	124
12	2-Methoxy-4-methyl-phenol	138
13	2,3-Dihydrofuran	70
14	2-Methoxy-4-vinylphenol	150
15	D-(-)-galactonic acid- γ -lactone	178
16	Phenol, 2,6-dimethoxy-	154
17	Phenol,2-methoxy-4-(1-propenyl)	164
18	Vanillin	152
19	Benzeneacetic acid, 4-hydroxy-3-methoxy-	182
20	Ethanone, 1-(4 hydroxy-3-methoxyphenyl)	166
21	4-methyl-3,5-dimethoxybenzaldehyde	180
22	4 (5) -benzofuranone,6,7-dihydro-3,6-dimethyl-	164
23	Phenol, 2,6-dimethoxy-2-(2-propenyl)	194
24	Benzaldehyde,4-hydroxy-3,5-dimethoxy	182
25	4-hydroxy-3 methoxycinnamaldehyde	178
26	Unknow	-
27	Dibutyl phthalate	287
28	3,5-dimethoxy-4-hydroxycinnamaldehyde	208