

A new stepwise and piecewise optimization approach for CO₂ pipeline

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Abstract: The process of CO₂ capture, transportation, enhanced oil recovery (EOR) and storage is one of the best ways for CO₂ emission reduction, which is also named as Carbon Capture, Utilization and Storage (CCUS). It has been noted that CO₂ transportation cost is an important component of the total investment of CCUS. In this paper, a novel stepwise and piecewise optimization is proposed for CO₂ transportation design, which can compute the minimum transportation pipeline levelized cost under the effect of temperature variation. To develop the proposed approach, several models are referred to lay a foundation for the optimization design. The proposed optimal algorithm is validated by using numerical studies, which show the approach can reduce the levelized cost and improve the **optimization performance** in comparison with the existing methods.

Keywords: CO₂ emission reduction; transportation pipeline; stepwise and piecewise optimization; levelized cost

1 Introduction

CCUS has been widely considered as an effective mean to prevent the increase of CO₂ concentration in the atmosphere (Faltinson et al. 2009; Middleton et al. 2012; Rubin et al. 2013; Scott et al. 2013). In general, the location of CO₂ capture is far away from EOR and storage site. There are two main manners to transport CO₂, that is, vehicles and pipelines. Pipeline is more efficient for the long distance transportation (Svensson et al. 2004). Figure 1 shows the process of CCUS. It is obvious that CO₂ transportation is the important link from capture location to the EOR and storage site, whose cost should not be overlooked in the whole investment of CCUS (Fimbres Weihs et al. 2012; Knoope et al. 2013; Middleton 2013).

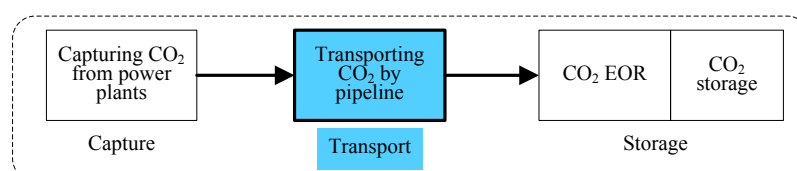


Figure 1. The flowsheet of CCUS

In general, there are two types of construction of CO₂ pipeline: with and without boosting pump stations. Most of the transport models have not considered boosting pump stations (McCoy et al. 2008; Vandeginste

36 et al. 2008; Middleton et al. 2009; Morbee et al. 2012). For long pipelines, the inlet pressure without
37 boosting pumps will be much higher than those with boosting pumps. Furthermore, there will not be
38 sufficient pressure to ensure flow in the pipeline without adding booster stations. As a result, the wall
39 thickness will be thicker, and the cost of the pipeline will increase seriously. Obviously, the lack of boosting
40 pump stations is not economical in many case of the industrial practice.

41 The recent developments of the CO₂ pipeline design approaches are summarized in the following context.
42 Based on the research of (McCoy et al. 2008), the method for calculating the max length of pipeline is
43 developed by (Gao et al. 2011) without considering booster pump. The conditions of the requirement of the
44 boosting pump stations are given by (Zhang et al. 2006; Gao et al. 2011). The conditions of intermediate
45 recompression is presented by means of ASPEN PLUS (Zhang et al. 2006). It should be mentioned that
46 these methods just give the rules of the requirements of the inter-stage booster pumps. However, most of
47 them have not presented the computational algorithms. A simplified approach is used by fixing the distance
48 between pumping stations (Wildenborg et al. 2004; Van den Broek et al. 2010), which leads to a special
49 solution. However, the cost-effectiveness is not analyzed in these studies. There are some results not only
50 considering the boosting pump stations but also optimizing the number of them (Chandel et al. 2010; Zhang
51 et al. 2012; Knoope et al. 2014). Hydrodynamic models are presented to evaluate engineering and
52 economic performance (Zhang et al. 2012). However, the result does not use the concept of nominal
53 diameter and cannot be used in industrial applications directly. Literature (Chandel et al. 2010) studies the
54 potential economies of scale by using the engineering-economic model of CO₂ pipeline transportation.
55 However, the temperature and density are assumed constants, which does not conform the actual situation
56 well. Cost models are presented without insulation or heating of the pipeline in optimizing CO₂ pipeline
57 configuration, which can optimize the number of pumping station, the inlet pressure, the diameter, and the
58 wall thickness (Knoope et al. 2014). However, the temperature is assumed to be a constant value during all
59 seasons, which does not conform to the practice. Because the temperature is ever-changing in some area
60 among the different seasons. It should be noted that the pipeline diameter and wall thickness are computed
61 by using the given design conditions, but in practice the diameter is [selected from the available nominal](#)
62 [pipe size](#) which is larger than the computed one in general. Most of existing studies use the NPS in design
63 which may degrade the design [performance](#) indeed because the design conditions are not changed.

64 Seasonal temperature can affect the soil temperature directly (Zhang et al. 2012). Further, the soil
65 temperature is assumed to be the average temperature for CO₂ pipeline (McCoy et al. 2008). The pipeline
66 system is designed based on summer soil temperature which can operate well in winter (Zhang et al. 2012).
67 The subcooled liquid (low temperature) transport will maximize the energy efficiency and minimize the
68 cost of CO₂ transport (Zhang et al. 2006). But how to deal with the effect of seasonal temperature for
69 pipeline optimization design is not mentioned in the existing literatures. The soil temperature has
70 significant influence on the pressure drop behavior of CO₂ in the pipeline (Zhang et al. 2012). For example,
71 annual lowest and highest soil temperature at a 1.5 m depth in the Ningxia-North Shanxi district is 2 °C

72 and 17 °C, respectively. Note that the seasonal temperature still can affect the design of buried pipeline
73 with thermal insulating layer, CO₂ temperature approaches the soil temperature exponentially along the
74 pipeline length (Zhang et al. 2012). How to deal with the influence of temperature is very important to
75 minimize the levelized cost of the CO₂ transportation. Therefore, it's necessary to optimize the operational
76 pressure to minimize the levelized cost of CO₂ transportation in a range of temperature and then to decide
77 the related pipeline parameters.

78 A new approach named stepwise and piecewise optimization is initially developed in this study to
79 minimize the levelized cost of CO₂ transportation pipeline. Based on the optimization model constructed by
80 least square method, a novel stepwise optimization approach is formulated to solve pipeline nominal
81 diameter, wall thickness, operation pressure and the number of boosting pump stations. A piecewise
82 optimization presents a criterion to deal with the effect of temperature The proposed approach is illustrated
83 by using numerical studies to validated the effectiveness of the proposed approach.

84 In conventional optimal design, the pipeline diameter and wall thickness are computed by using the
85 given design conditions, but in practice the diameter is selected from the available nominal pipe size (NPS)
86 which is larger than the computed one in general. Therefore, the stepwise optimization is proposed to
87 improve the performance of the conventional optimization. The seasonal temperature has significant
88 influence on the pressure drop behavior of CO₂ in the pipeline, but how to deal with the effect of seasonal
89 temperature for pipeline optimization design is not mentioned in the existing literatures. The piecewise
90 optimization presents a criterion to deal with the effect of temperature and find the better levelized cost.

91 The rest of this paper is given as: The problem description is given in Section 2. The optimization
92 algorithms are developed in Section 3. The proposed approach is demonstrated by numerical studies and
93 compared with existing methods in Section 4. Finally, in Section 5, some concluding remarks are given.

94 **2 Problem description**

95 Before transportation, the captured CO₂ should be compressed and cooled from flue gas of the power
96 plant. Thereby the compression system (including compressor and cooler) should be used. In addition, the
97 pressure will decrease along the pipeline. Hence, the boosting pump stations should be added in the
98 pipeline design. The composition of CO₂ pipeline transportation is shown in Figure 2.

99 The pipeline segment length, inlet pressure, and minimum outlet pressure are all specified for each
100 pipeline segment in the design. Once the CO₂ pressure drops below the pre-specified pressure, an
101 inter-stage boosting pump station should be installed to re-increase the pressure. The outlet pressure of each
102 inter-stage pipeline segment equals to the injection pressure (shown in Figure 2).

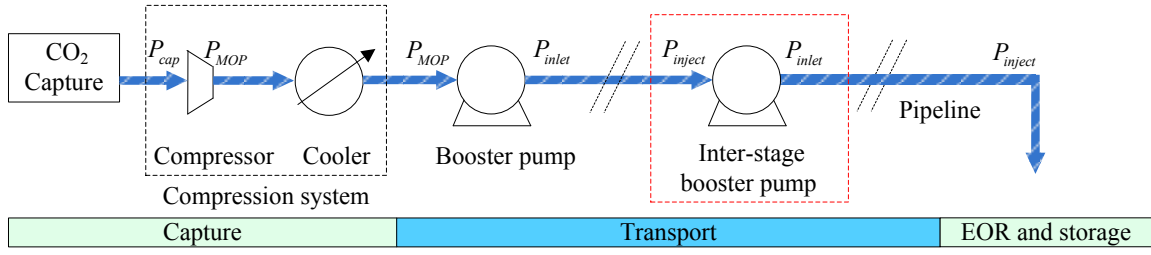


Figure 2. The process of CO₂ transportation

3 Stepwise and piecewise optimization approach

3.1 The optimization model

Based on the mathematical models, the optimization model is detailed as follows:

$$\begin{aligned}
 & \min LC(P_{inlet}, T_{ave}) \\
 & \text{s.t. } P_{cap} < P_{inlet} \\
 & P_{min} \leq P_{inlet} \leq P_{max} \\
 & t_{cal} \leq t_{design} \leq t_{NPS} \\
 & P_{out} < P_{inlet} \\
 & T_{minop} \leq T_{ave} \leq T_{maxop} \\
 & V < V_{max} \\
 & 0 \leq N_{pump} \\
 & P_{out} = P_{inlet} - \Delta P_{act} L / (N_{pump} + 1)
 \end{aligned} \tag{1}$$

where P_{inlet} and T_{ave} are inlet pressure and average temperature along the pipeline respectively, which are selected as decision variables; P_{out} is the outlet pressure of the pipeline (MPa); ΔP_{act} is the actual pressure drop (MPa/m); L is the length of the pipeline (m); N_{pump} is the number of boosting pump stations; $LC(P_{inlet}, T_{ave})$ is the function of leveled cost, which is the optimization goal (Knoope et al. 2014):

$$LC(P_{inlet}, T_{ave}) = \frac{CRF_1 \times C_{P_cap} + CRF_2 \times C_{C_cap} + CRF_3 \times C_{B_cap} + C_{T_OM} + C_{T_energy}}{Q_m \times 10^{-3} \times H_{ope} \times 3600} \tag{2}$$

$$CRF_x = \frac{r}{1 - (1+r)^{-z_x}} \tag{3}$$

CRF_1 , CRF_2 , CRF_3 are the capital recovery factors of pipeline, compressors and booster pumps, respectively; r is the discount rate (%); z_1 , z_2 , z_3 are the lifetime of pipeline, compressors and booster pumps, respectively (years); H_{ope} is the operation time of the transportation (hour/year). P_{min} is the minimum operational pressure. P_{max} is the maximum operational pressure. t_{cal} is the calculated thickness, t_{design} is the designing thickness, t_{NPS} is the final selected thickness of NPS. T_{minop} , T_{maxop} are minimum and maximum operational temperature for liquid CO₂ transport, respectively. V_{max} is a certain velocity. The detail models can be found in the related literatures (Table 1).

126 Table 1 Detail models and the related literatures

Literature	Model
(Zhang et al. 2006)	Pipeline diameter/ D_{inner}
(Mohitpour et al. 2003)	Average pressure along the pipeline/ P_{ave}
(McCoy et al. 2008)	Pipe wall thickness/ t
(Damen et al. 2007; Kuramochi et al. 2012; Knoope et al. 2014)	The capacity of the compressor/ W_{comp}
(IEA 2002)	Capacity of the boosting pump station/ $W_{cap(s)}$
(McCollum et al. 2006)	The maximum length of pipeline without booster pump/ l_{max}
(Vandeginste et al. 2008)	Pipeline capital cost/ C_{p_cap}
(Knoope et al. 2014)	Inlet compressor capital cost / C_{C_cap}
(Rubin et al. 2008)	Boosting pump stations capital cost / C_{B_cap}
(Knoope et al. 2013)	Total annual O&M cost/ C_{T_OM}
(Knoope et al. 2014)	Total energy cost/ C_{T_energy}

127

128 3.2 The stepwise optimization

129 A stepwise optimization approach is proposed to minimize the levelized cost for pipeline transportation,
 130 which can be divided into two steps: (1) the parameters optimization of diameter and wall thickness. (2) the
 131 parameters optimization of inlet pressure and the number of boosting pump stations. Then, the piecewise
 132 optimization is developed to give a criterion for dealing with the effects of temperature. The steps nested in
 133 the chosen order is used to deal with the influence of seasonal temperature variance. The advantages of the
 134 proposed approach is that it can improve the optimal performance. The disadvantages of the proposed
 135 approach is that it cannot deal the model uncertainty, which is under our study and will be reported as soon
 136 as we get the results.

137 For The first step optimization, the decision variable of P_{inlet} satisfies the ideal condition for designing
 138 inner diameter and wall thickness. Figure 3 shows algorithm flow diagram of the first step optimization
 139 process. ΔP_{inlet} and ΔT_{ave} are the increment of temperature and inlet pressure respectively, the smaller
 140 ΔP_{inlet} and ΔT_{ave} , the more accurate optimized results. The readers can find the required parameters, such
 141 as OD_{NPS} , t_{max} , t_{NPS} , ID_{NPS} , range of D_{inner} in Appendix B.

142 **Algorithm 1: The first step optimization (FSP)**

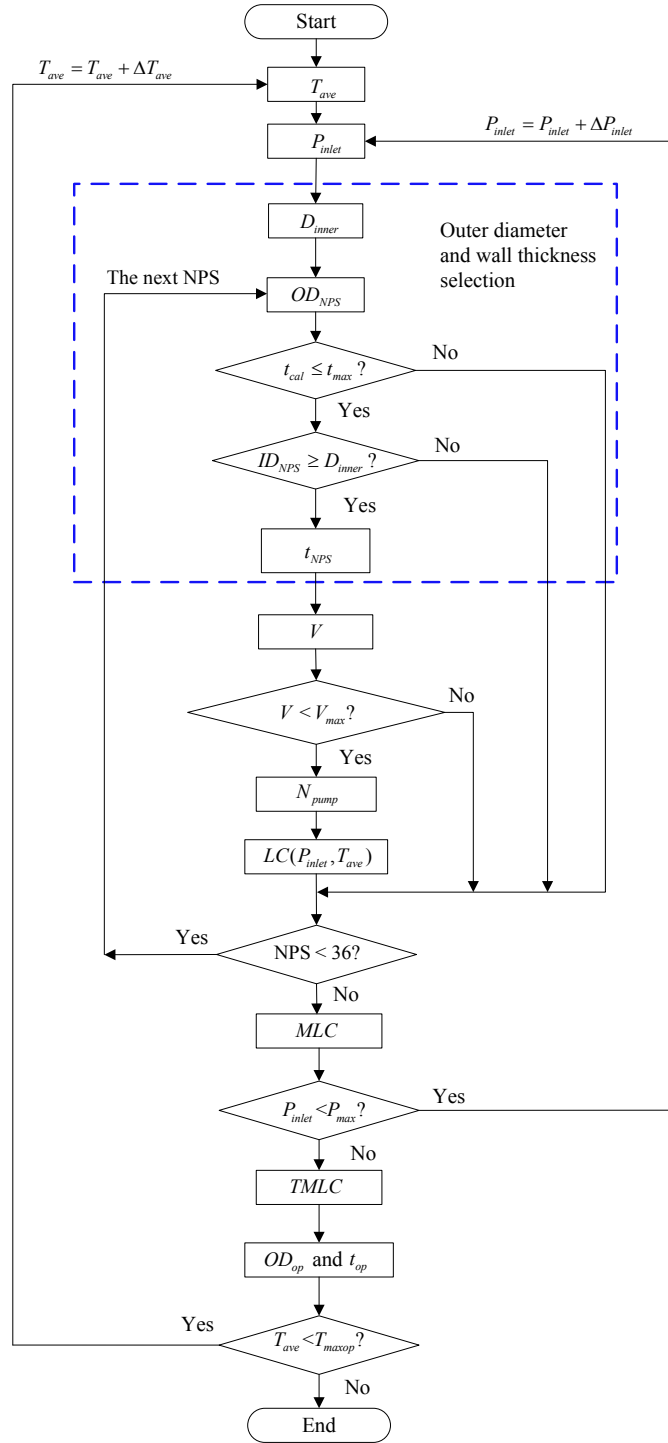


Figure 3. Flow diagram of the first step optimization

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144

145 **Remark 1:** Because the CO₂ pipeline diameters are smaller than 1 m in most of existing engineering
 146 projects, the proposed approach does not consider the cases $OD_{NPS} > 1m$. But it still can be used in the
 147 $OD_{NPS} > 1m$ by using the appropriate NPS standard.

148 **Remark 2:** In the first step, enumeration method is used to solve the optimal issue. Hence, Algorithm
 149 compute all the NPS until it equals to 36.

150 By using the results of Algorithm 1, (1) can be transformed into:

151

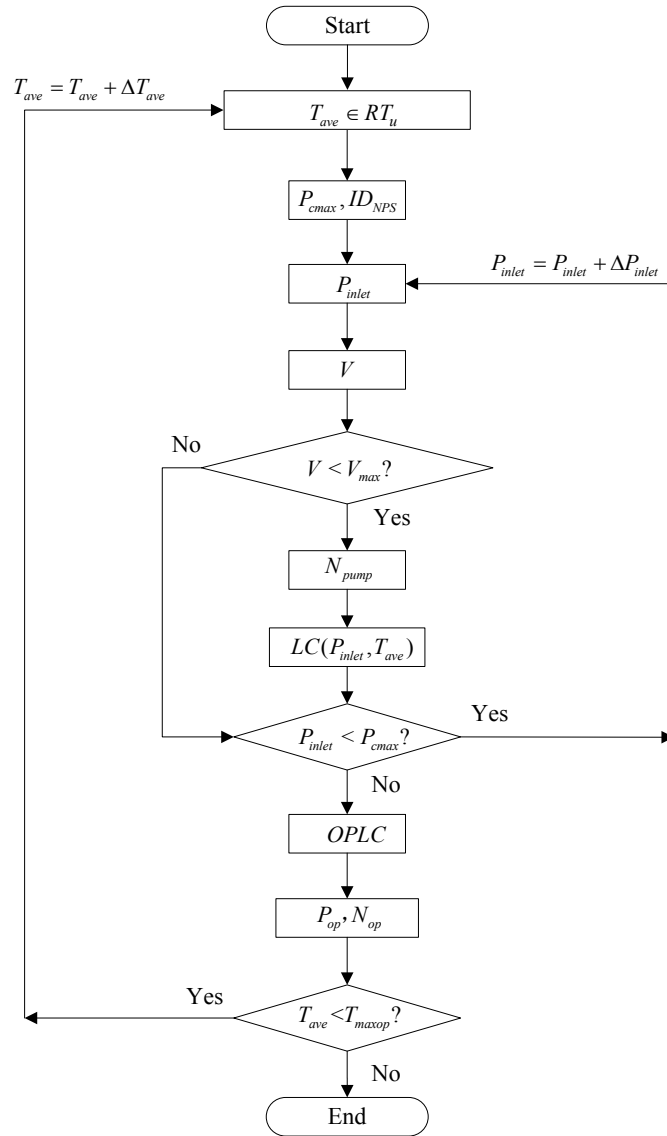
$$\begin{aligned}
& \min LC(P_{inlet}, T_{ave}) \\
& \text{s.t. } P_{min} \leq P_{inlet} \leq P_{cmax} \\
& \quad T_{minop} \leq T_{ave} \leq T_{maxop} \\
& \quad V < V_{max} \\
& \quad 0 \leq N_{pump} \\
& \quad P_{out} = P_{inlet} - \Delta P_{act} L / (N_{pump} + 1)
\end{aligned} \tag{4}$$

152

153 where the decision variable of P_{inlet} satisfies the first optimization result of diameter and wall thickness.
154 P_{cmax} is the maximum pressure, which is calculated by $t = P_{max} \times D_{out} / 2 \times S \times F \times E$ based on the
155 optimized diameter and wall thickness.

156 In the second step optimization, Algorithm 2 will solve the new optimal issue (4) and compute the final
157 inlet pressure P_{inlet} and the numbers of boosting pump stations N_{pump} . Figure 4 shows flow diagram of the
158 second step optimization.

159 **Algorithm 2: The second step optimization (SSP)**



160

161

Figure 4. Flow diagram of the second optimization

162 **Remark 3:** The RT_u range division can be found in Sub-section 3.3.

163 **Remark 4:** All the pipeline diameter and wall thickness are computed by using
 164 $t = P_{max} \times D_{out} / 2 \times S \times F \times E$, which is in line with international standards. Hence, the proposed optimization
 165 approach will not lead to the safety problems.

166 3.3 The piecewise optimization

167 The optimized diameter, wall thickness, inlet pressure and the number of boosting pump stations may not
 168 be the same at different temperature range. Once the design of transportation is finished, the designing
 169 parameters cannot be changed. According to (Zhang et al. 2012), the parameters of final optimization
 170 should select the ones in the highest soil temperature case. However, this method may not find an
 171 appropriate results. To address the mentioned problems, this paper presents a novel piecewise optimization
 172 approach. The minimum levelized cost is computed at each temperature range and the solution can be
 173 found for the optimal problem.

174 The piecewise optimization is embedded in Algorithm 2. For the same diameter and wall thickness, the
 175 operational temperature will be divided into several ranges. (4) can be re-written as:

$$\begin{aligned}
 & \min LC(P_{inlet}, T_{ave}) \\
 & \text{s.t. } P_{min} \leq P_{inlet} \leq P_{cmax} \\
 & \quad V < V_{max} \\
 & \quad 0 \leq N_{pump} \\
 & \quad T_{ave} \in RT_u (u = 1, 2, 3 \dots U) \\
 & \quad P_{out} = P_{inlet} - \Delta P_{act} L / (N_{pump} + 1)
 \end{aligned} \tag{5}$$

177 where RT_u is the divided temperature range, U is the number of the ranges. It is obvious that the
 178 levelized cost is varying among different temperature ranges. Hence, the levelized cost can be reduced by
 179 using the proposed approach.

180 The rules of piecewise optimization approach are illustrated in Table 2 and the flow diagram is shown in
 181 Figure 5.

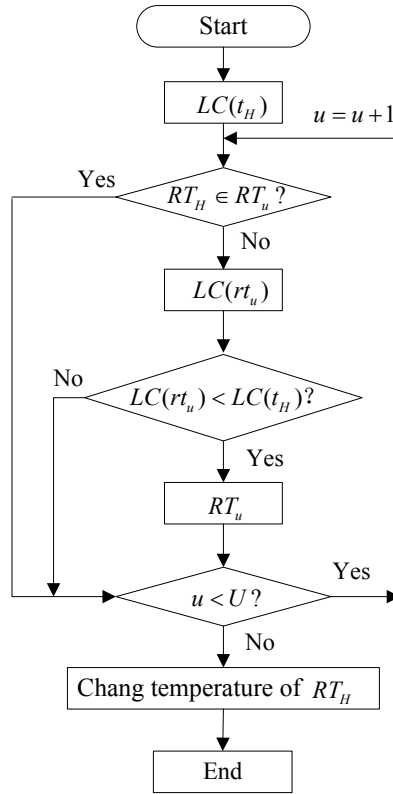
182 **Table 2.** A criterion for optimization design

	RT_1	RT_2	RT_{\dots}	RT_U
t_H	rt_1	rt_2	rt_{\dots}	rt_U
$LC(t_H)$	$LC(rt_1)$	$LC(rt_2)$	$LC(rt_{\dots})$	$LC(rt_U)$
Condition	$LC(t_H) < LC(rt_1)$	$LC(t_H) < LC(rt_2)$	$LC(t_H) < LC(rt_{\dots})$	$LC(t_H) < LC(rt_U)$
Changing temperature of RT_H in	RT_1	RT_2	\dots	RT_U

183 where: t_H is the maximum T_{ave} in the area; RT_H is the interval which includes t_H , $H \in u$; $rt_u \in RT_u$
 184 and $rt_u \notin RT_H$.

185 **Algorithm 3: piecewise optimization**

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187

188

Figure 5. The flow diagram of piecewise optimization

189

The piecewise optimization presents a criterion to deal with the effect of temperature, which is one of the main works of this paper. If the designer considers the inter-stage cooler and heat transfer theory in modelling pipeline transportation, it may obtain the global optimum solution.

191

192 4 Numerical studies and analysis

193

The basic parameters of the transportation are given in Table 3. The other detailed parameters are given in Table 4-5.

194

195 Table 3. Basic parameters of the transportation (Chandel et al. 2010; Zhang et al. 2012)

Parameter	Symbol	Value
Typical operational temperature (°C)	T_{ope}	-20~35
District temperature (°C)	T_{soil}	2~17
CO ₂ inlet pressure (MPa)	P_{inlet}	8.6~15.3
Altitude difference (m)	$H_1 - H_2$	0
Pipeline length (km)	L	150
CO ₂ mass flow rate (kg/s)	Q_m	252
Injection pressure (MPa)	P_{inject}	10
Operation time (hour)	H_{ope}	8760

196

197 Table 4. Detail parameter values of pipeline (McCoy et al. 2008; Vandeginste et al. 2008)

Parameter	Symbol	Value
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Specified minimum yield stress for X70 steel (MPa)	S	483
Longitudinal joint factor	E	1.0
Design factor	F	0.72
Price of steel pipeline ($\text{€}/kg$)	C_{ps}	0.9342
Material cost factor	f_M	22.4%
Percentage of capital cost for pipeline	$f_{PO\&M}$	0.04

198

199 **Table 5.** Detail parameter values of compressor and boosting pump stations (Zhang et al. 2006; Kuramochi

200 et al. 2012; Knoope et al. 2014)

Parameter	Symbol	Value
CO ₂ compressibility factor (1.013 bar , 15 °C)	Z	0.9942
Universal gas constant($J/mol K$)	R	8.3145
Suction temperature (K)	T_1	313.15
Specific heat ratio (c_p/c_v)	γ	1.294
Molar mass (g/mol)	M	44.01
Number of stages for compression system	N	4
Isentropic efficiency	η_{iso}	80%
Mechanical efficiency	η_{mech}	99%
Suction pressure (MPa)	$P_1(P_{cap})$	0.101
Discharge pressure (MPa)	$P_2(P_{MOP})$	8.6
Base costs for calculating the compressor capital cost ($M\text{€}$)	I_0	21.9
Base scale of the compressor (MWe)	$W_{comp,0}$	13
Scaling factor	y	0.67
Multiplication exponent	n	0.9
Percentage of the capital cost for boosting pump stations	$f_{BO\&M}$	0.04
Efficiency booster pump	$\eta_{booster}$	0.5
Dollar- Euro exchange rate	r_D	0.7230
Operation time of compressor (hour)	T_C	8760
Operation time of boosting pump stations (hour)	T_B	8760
Price of electricity ($\text{€}/per kilowatt hour$)	C_{PE}	0.0584

201

202 **Table 6.** Parameter values of the levelized cost model (Knoope et al. 2013; Knoope et al. 2014)

Parameter	Symbol	Value
Interest rate (%)	r	15
Design lifetime of the pipeline (years)	z_1	50
Design lifetime of compressors (years)	z_2	25
Design lifetime of the boosting pump stations (years)	z_3	25

203 **Table 7** gives the comparisons of the first and second step optimization in a series of different mass flow
204 rate. It is obvious the SSP can improve the optimization results. Though the improved percentage of the
205 levelized cost is not large, the saved total cost is large enough. This can show the advantages of the
206 proposed stepwise optimization. The reasons are given as: In FSP, the pipeline diameter and wall thickness
207 are computed by using the given design conditions, but in engineering practice the diameter and wall
208 thickness are selected by using nominal pipe size (NPS) which is larger than the computed one in general.
209 (Knoope et al. 2014). Based on FSP results of diameter and wall thickness, SSP can re-optimize the inlet
210 pressure and the numbers of boosting pump stations, which can improve the optimal results. For example,
211 Q_m is assigned to be 150 kg/s, T_{ave} is 15 °C. The optimized inlet pressures are 11.8550 and 10.1855
212 MPa of FSP and SSP, respectively. The levelized cost is just saved 0.85 %. However, it should be pointed
213 that the SSP saves 7580466 € over the design lifetime of 25 years.

214 **Table 7.** Comparison results of the first and second step optimization

Q_m (kg/s)		150	200	250	300	350
T_{ave} (°C)		15	-10	17	30	-10
P_{inlet} (MPa)	FSP	11.8550	11.7384	10.6042	10.8215	10.63070
	SSP	10.1855	10.1908	10.1325	10.1060	10.11660
D_{out} (m)	FSP	0.32385	0.3556	0.4064	0.45720	0.45720
	SSP	0.32385	0.3556	0.4064	0.45720	0.45720
t (m)	FSP	0.00635	0.00635	0.00635	0.007925	0.007925
	SSP	0.00635	0.00635	0.00635	0.007925	0.007925
LC (€/t CO ₂)	FSP	7.5560	7.0981	6.8231	6.8814	6.6009
	SSP	7.4919	7.0446	6.8062	6.8508	6.5846
Total cost (€) (25 years)	FSP	893572560	1119228408	1344833010	1627588728	1821452346
	SSP	885992094	1110792528	1341502020	1620351216	1816954524
Total saving	%	0.85	0.75	0.25	0.45	0.25
	cost (€)	7580466	8435880	3330990	7237512	4497822

215

216 **Table 8.** Results of the first step optimization

Range of operational temperature (°C)	D_{out} (m)	t (m)
RT_1 (-20 ~ 15.255)	0.4064	0.00635

RT_2 (15.31 ~ 35)

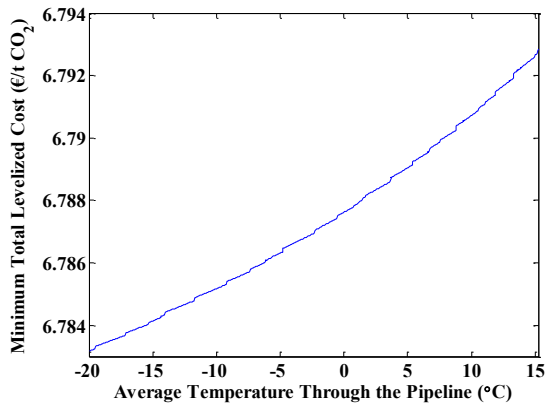
0.4572

0.007925

217 Table 8 shows The first step optimization results under the range of operational temperature. Based on
218 the same diameter and wall thickness, the operational temperature can be divided into two portions. Figure
219 6 shows the second step optimization results over RT_1 and RT_2 respectively. It shows that the levelized
220 cost increases as the temperature rises. Table 9 further compares these results. From Table 9, one can see
221 that the levelized costs in RT_2 are obviously larger than in RT_1 . RT_H is one part of RT_2 . By using the
222 proposed piecewise optimization, if changing the temperature of RT_H into RT_1 , the levelized cost will
223 decrease obviously. For example, if we use the highest temperature of RT_1 as the T_{ave} of RT_H , the
224 levelized cost can be saved 5.19%~5.20%. The pipeline system designed based on higher temperature can
225 be operate well in lower temperature (Zhang et al. 2012). Therefore, the proposed approach can guarantee
226 the operation conditions satisfy the seasonal conditions without the inlet pressure to be lowered necessary
227 to ensure pipeline flow.

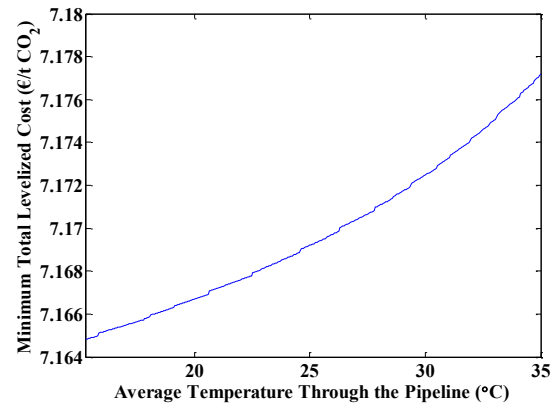
228 From table 9, it also can be seen that if the highest soil temperature is used, the levelized cost is 7.1655
229 $\text{€}/t\text{ CO}_2$. Keeping the temperature in 15.255 $^\circ\text{C}$, the levelized cost is 6.7928 $\text{€}/t\text{ CO}_2$. That is, reducing
230 the temperature not more than 1.745 $^\circ\text{C}$, the levelized cost can be saved 5.20%. Therefore, using the
231 highest soil temperature is not the best way to optimize the pipeline. It is convenient to reduce the
232 temperature at lower temperature, therefore, selecting lower temperature is practical and reasonable.

233



234

235 Figure 6(a). Minimum levelized cost over RT_1



236 Figure 6(b). Minimum levelized cost over RT_2

237 Table 9. Piecewise optimization rules

	RT_1	RT_2	RT_H
Temperature ($^\circ\text{C}$)	-20~15.255	15.31~35	15.31~17
LC ($\text{€}/t\text{ CO}_2$)	6.7832~6.7928	7.1648~7.1772	7.1648~7.1655

238

239 To further illustrate the proposed approach, it will be compared with the existing methods (shown in
239 Table 10). The distance is assigned to be 350 km, and the soil temperature is 17 $^\circ\text{C}$.

240 Compared with the method of (Zhang et al. 2012), it can be seen that the levelized cost saves 13.14 %.
 241 The main reasons are as follows: For the optimal design of pipeline, the inlet pressure and the numbers of
 242 boosting pump stations should be used as decision variable to find the optimal tradeoff between the
 243 pipeline and boosting pump station parameters. The diameter and wall thickness have to be enlarged in
 244 practice for the discrete NPS. However, the method of (Zhang et al. 2012) has not considered these tradeoff
 245 and the effects of discrete NPS.

246 Compared with the method of (Knoope et al. 2014), it can be seen that the levelized cost is just saved
 247 0.156 %. However, it should be pointed that the proposed method saves 2483460 € over the design
 248 lifetime of 25 years.

249 **Table 10.** Comparison results of the existing and proposed methods

Method	Q_m (kg/s)	P_{inlet} (MPa)	D_{out} (m)	t (m)	LC (€/t CO ₂)
(Zhang et al. 2012)	100	13.8	0.27305	0.00635	10.4371
(Knoope et al. 2014)	250	10.6201	0.4064	0.00635	8.1002
The proposed approach	100	10.3710	0.27305	0.004191	9.0660
	250	10.1908	0.4064	0.00635	8.0876

250

251 Though the annual saving is small but the whole saving in the pipeline life is very considerable. If the
 252 unexpected costs are existed in both traditional and the proposed methods, the optimal results will still be
 253 better by using the proposed one. For example, if the unexpected cost increase 2% of the inlet compressor
 254 capital cost (IC), boosting pump stations capital cost (BC), annual O&M cost (AC), energy cost (EC) for
 255 different cases, respectively. The proposed approach is compared with (Knoope et al. 2014). It can be seen
 256 that the total saving is very considerable over the design lifetime of 25 years (Table 11).

257 **Table 11** Unexpected cost for different cases (Compared with Knoope et al. 2014)

Cost	IC	BC	AC	EC
Total saving /(€)	9903882	9837436	9881446	10204212
25 years				

258 The optimized levelized cost is lower by selecting the minimum temperature for the pipeline design, but
 259 the design cannot satisfy the following constraint (Knoope et al. 2014).

260
$$P_{out} = P_{inlet} - \Delta P_{act} L / (N_{pump} + 1)$$

261 Table 12 gives the comparison of optimization results based on the minimum temperature and the proposed
 262 methods. Assuming $L = 140km$, $P_{out} = 10MPa$, the minimum and maximum CO₂ temperatures along the

263 pipeline are 2 and 15 °C, respectively. It is important to note that $P_{out}=10MPa$ is the minimum injection
 264 pressure (Zhang, D et al. 2012). For example, if $Q_m=120kg/s$, based on 2 °C, the optimized nominal
 265 outer diameter and wall thickness are 0.32385 m and 0.00635 m respectively; the optimized inlet
 266 pressure is 13.0276 MPa. P_{out} decreases from 10 to 9.7702MPa as the temperature increases.
 267 Therefore, if the optimization design is applied based on the minimum temperature, P_{out} is smaller than
 268 10MPa at higher temperatures, this lead to the design unsuitable.

269 Based on the proposed approach, P_{out} decreases from 10.2283 to 10 MPa as the temperature increases.
 270 The proposed method meet the constraint. From above analysis, it can be seen that the proposed approach
 271 is applicable in pipeline engineering.

272 Table 12 Comparison optimization results based on the minimum temperature and proposed methods

Method		Q_m (kg/s)			
		120	130	140	145
Optimization design based on the minimum temperature	D_{out} (m)	0.32385	0.32385	0.32385	0.32385
	t (m)	0.00635	0.00635	0.008382	0.008382
	P_{inlet} (MPa)	13.0276	13.5480	14.3985	14.7137
	P_{out} (MPa)	10~9.7702	10~9.7352	10~9.6781	10~9.6578
The proposed method	D_{out} (m)	0.32385	0.32385	0.32385	0.32385
	t (m)	0.00635	0.00635	0.008382	0.008382
	P_{inlet} (MPa)	13.2537	13.8080	14.7134	15.0479
	P_{out} (MPa)	10.2283~10	10.2636~10	10.31920~10	10.3388~10

273

274 5 Conclusion

275 Based on the least square method, the pipeline diameter model are contrasted over different operational
 276 temperature ranges. A new stepwise and piecewise optimization approach is initially proposed for CO₂
 277 pipeline transportation. The enumeration method is employed to develop the optimal algorithms. In the
 278 numerical studies, the proposed approach can save the levelized cost obviously by comparing with the
 279 existing optimization methods. Because several realistic engineering problems are considered explicitly,
 280 this paper presents an optimization method for CO₂ pipeline design indeed.

281

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 289

290 Appendix A

291 Pipe diameter

292 Based on the data from National Institute of Standards and Technology (NIST), Pipeline diameter can be
 293 calculated as (Zhang et al. 2006):

$$294 \quad D_{inner} = 0.363Q_m^{0.45} [f_\rho(P_{ave}, T_{ave})]^{-0.32} [f_\mu(P_{ave}, T_{ave})]^{0.025} \quad (6)$$

295 where P_{ave} is the average pressure along the pipeline (MPa); T_{ave} is the soil temperature around the
 296 pipeline ($^{\circ}C$). $f_\rho(P_{ave}, T_{ave})$ is the function of density that depends on the P_{ave} and T_{ave} (kg/m^3);
 297 $f_\mu(P_{ave}, T_{ave})$ is the function of viscosity that depends on the P_{ave} and T_{ave} ($Pa \cdot s$).

298 The density is given as a function of average pressure and temperature along the pipeline:

$$299 \quad f_\rho(P_{ave}, T_{ave}) = (BT)^T P \quad (7)$$

300 The viscosity is given as a function of average pressure and temperature along the pipeline:

$$301 \quad f_\mu(P_{ave}, T_{ave}) = (DT)^T P \quad (8)$$

302 where B and D are known constant matrixes which can be found in Appendix A; P is the matrix of
 303 P_{ave} ; T is the matrix of T_{ave} .

$$304 \quad B = \begin{bmatrix} b_{55} & b_{54} & b_{53} & b_{52} & b_{51} & b_{50} \\ b_{45} & b_{44} & b_{43} & b_{42} & b_{41} & b_{40} \\ b_{35} & b_{34} & b_{33} & b_{32} & b_{31} & b_{30} \\ b_{25} & b_{24} & b_{23} & b_{22} & b_{21} & b_{20} \\ b_{15} & b_{14} & b_{13} & b_{12} & b_{11} & b_{10} \\ b_{05} & b_{04} & b_{03} & b_{02} & b_{01} & b_{00} \end{bmatrix}, T = \begin{bmatrix} T_{ave}^5 \\ T_{ave}^4 \\ T_{ave}^3 \\ T_{ave}^2 \\ T_{ave} \\ 1 \end{bmatrix}, P = \begin{bmatrix} P_{ave}^5 \\ P_{ave}^4 \\ P_{ave}^3 \\ P_{ave}^2 \\ P_{ave} \\ 1 \end{bmatrix}, D = \begin{bmatrix} d_{55} & d_{54} & d_{53} & d_{52} & d_{51} & d_{50} \\ d_{45} & d_{44} & d_{43} & d_{42} & d_{41} & d_{40} \\ d_{35} & d_{34} & d_{33} & d_{32} & d_{31} & d_{30} \\ d_{25} & d_{24} & d_{23} & d_{22} & d_{21} & d_{20} \\ d_{15} & d_{14} & d_{13} & d_{12} & d_{11} & d_{10} \\ d_{05} & d_{04} & d_{03} & d_{02} & d_{01} & d_{00} \end{bmatrix}$$

305 By using (7-8), (6) can be re-written as:

$$306 \quad D_{inner} = 0.363Q_m^{0.45} ((BT)^T P)^{-0.32} ((DT)^T P)^{0.025} \quad (9)$$

307 **Remark 5:** Based on the data from (NIST), the computational expressions are obtained by using least
 308 square approach for density and viscosity.

309 The matrixes of B and D have been programmed as two stand-alone spreadsheet models using
 310 Visual Basic in Microsoft Excel (Table 12, Table 13).

311 The values for the correlation coefficients— b_{ij} ($i = 0, 1, 2, 3, 4, 5; j = 0, 1, 2, 3, 4, 5$)— are listed in Table 12
 312 for pressure (8.6 MPa ~ 15.3 MPa) and temperature ($-20^{\circ}C \sim 35^{\circ}C$). The ranges of pressure and
 313 temperature are detailed in the text.

314

315 Table 12. Value of b_{ij} coefficients in (7)

	b_{i5}	b_{i4}	b_{i3}
$i = 5$	3.41303419112014E-09	-6.27606343131403E-08	-1.83750350897551E-06
$i = 4$	-2.1479352541565E-07	3.93076652279199E-06	0.000115547578911259
$i = 3$	5.38395520369261E-06	-0.0000979614237758237	-0.00289271196485396
$i = 2$	-0.0000672108836203396	0.00121424647915507	0.0360416517323296
$i = 1$	0.000418099646923243	-0.00748487070134038	-0.223492778776728
$i = 0$	-0.0010377856097512	0.0183499072848713	0.551534176694391

316

	b_{i2}	b_{i1}	b_{i0}
$i = 5$	0.0000230230930909667	0.000224944614768009	-0.000852920610610217
$i = 4$	-0.00144458113956358	-0.0141687690130181	0.0532381024649439
$i = 3$	0.0360950459842883	0.355697287851562	-1.31850338708515
$i = 2$	-0.449221125789696	-4.45600334239692	16.081925864937
$i = 1$	2.78940873199454	28.0357205366994	-90.7523009464699
$i = 0$	-6.95671353509922	-76.2734885162019	1144.8428039407

317

318 The values for the correlation coefficients— d_{ij} ($i = 0, 1, 2, 3, 4; j = 0, 1, 2, 3, 4$)— are listed in Table 13 for
 319 pressure (8.6 MPa ~ 15.3 MPa) and temperature ($-20^{\circ}\text{C} \sim 35^{\circ}\text{C}$).

320 Table 13. Value of d_{ij} coefficients in (8)

	d_{i5}	d_{i4}	d_{i3}
$i = 5$	2.96979983755421E-16	-5.11790363405514E-15	-1.61341423050057E-13
$i = 4$	-1.87118491886111E-14	3.2115785053841E-13	1.01460065638067E-11
$i = 3$	4.69554059206441E-13	-8.0183431311157E-12	-2.53958254359596E-10
$i = 2$	-5.86762907841313E-12	9.95470125149012E-11	3.16249472186625E-09
$i = 1$	3.65292223605669E-11	-6.14360261245057E-10	-1.95888466031802E-08
$i = 0$	-9.07040455852916E-11	1.50745813211555E-09	4.81654629878995E-08

321

	d_{i2}	d_{i1}	d_{i0}
$i = 5$	2.96979983755421E-17	-5.11790363405514E-16	-1.61341423050057E-14
$i = 4$	-1.87118491886111E-15	3.2115785053841E-14	1.01460065638067E-12
$i = 3$	4.69554059206441E-14	-8.0183431311157E-13	-2.53958254359596E-11
$i = 2$	-5.86762907841313E-13	9.95470125149012E-12	3.16249472186625E-10
$i = 1$	3.65292223605669E-12	-6.14360261245057E-11	-1.95888466031802E-09
$i = 0$	-9.07040455852916E-12	1.50745813211555E-10	4.81654629878995E-09

322 **Appendix B. The modified nominal pipe size**

323 Table 14. The modified NPS

NPS	OD_{NPS} (mm)	t_{maxNPS} (mm)	t_{maxOP} (mm)	t_{max} (mm)	t_{NPS} (mm)	ID_{NPS} (mm)	Classified range (mm)
1/8	10.26	2.413	0.2257	0.889	0.889	8.4812	$0 < D_{inner} \leq 8.4812$
1/4	13.72	3.023	0.3018	1.245	1.245	11.23	$8.4812 < D_{inner} \leq 11.23$

3/8	17.15	3.200	0.3773	1.245	1.245	14.66	$11.23 < D_{inner} \leq 14.66$
...
34	863.6	17.475	18.9974	17.475	7.925 9.525 12.7 15.875 17.475	847.75 844.55 838.2 831.85 828.65	$796.95 < D_{inner} \leq 847.75$
36	914.4	12.7	20.1149	12.7	7.925 9.525 12.7	898.55 895.35 889	$847.75 < D_{inner} \leq 898.55$

324 Based on the exit data of CO₂ pipeline transportation, NPS should not be larger than 36, (Zhang et al.
325 2012). As the maximum operational pressure of 15.3 MPa (McCoy et al. 2008), the range of wall
326 thickness of NPS can be modified.

327 Substituting the maximum operational pressure into $t = P_{max} \times D_{out} / 2 \times S \times F \times E$, the maximum
328 operational wall thickness (t_{maxOP}) is calculated for each original NPS (shown in Table 14). t_{maxNPS} is the
329 maximum wall thicknesses of corresponding original NPS. If $t_{maxOP} \leq t_{maxNPS}$, the suitable thickness of
330 original NPS is selected as the maximum thickness of the modified NPS (t_{max}). If $t_{maxOP} > t_{maxNPS}$, t_{maxNPS} is
331 selected as t_{max} . Compared t_{max} with the original thicknesses of each original NPS, the modified thickness
332 (t_{NPS}) is established. Plunging t_{NPS} and corresponding OD_{NPS} into $D_{out} = D_{inner} + 2t$, the modified inner
333 diameter (ID_{NPS}) is obtained. Based on ID_{NPS} , the classified range of D_{inner} is established. It can be seen
334 that D_{inner} should be in the range of $0 < D_{inner} \leq 898.55$.

335

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