Sensitive periods for the effect of childhood adversity on DNA methylation: Results from a prospective, longitudinal study

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Abstract

Background: Exposure to "early life" adversity is known to predict DNA methylation (DNAm) patterns that may be related to psychiatric risk. However, few studies have investigated whether adversity has time-dependent effects based on the age at exposure.

Methods: Using a two-stage structured life course modeling approach (SLCMA), we tested the hypothesis that there are sensitive periods when adversity induced greater DNAm changes. We tested this hypothesis in relation to two alternatives: an accumulation hypothesis, in which the effect of adversity increases with the number of occasions exposed, regardless of timing, and a recency model, in which the effect of adversity is stronger for more proximal events. Data came from the Accessible Resource for Integrated Epigenomics Studies (ARIES), a subsample of mother-child pairs from the Avon Longitudinal Study of Parents and Children (ALSPAC; n=691-774).

Results: After covariate adjustment and multiple testing correction, we identified 38 CpG sites that were differentially methylated at age 7 following exposure to adversity. Most loci (n=35) were predicted by the timing of adversity, namely exposures before age 3. Neither the accumulation nor recency of the adversity explained considerable variability in DNAm. A standard EWAS of lifetime exposure (vs. no exposure) failed to detect these associations.

Conclusions: The developmental timing of adversity explains more variability in DNAm than the accumulation or recency of exposure. Very early childhood appears to be a sensitive period when exposure to adversity predicts differential DNAm patterns. Classification of individuals as exposed vs. unexposed to "early life" adversity may dilute observed effects.

Introduction

Exposure to childhood adversity, including poverty (1), abuse (2, 3), family dysfunction (4, 5), and other stressors (6, 7), is a common and potent determinant of mental health across the lifespan, increasing risk of childhood- and adult-onset psychiatric disorders by at least two-fold (8-10). Although the biological mechanisms explaining this relationship are poorly understood, accumulating evidence suggests adversity may become programmed molecularly, leaving behind biological memories that persistently alter genome function and increase susceptibility to mental disorders. Indeed, dozens of candidate gene and epigenome-wide association studies (EWAS) in both animals and humans have shown that early life adversity is associated with persistent alterations in the epigenome (11-15), including changes in DNA methylation (DNAm), which is the most studied epigenetic mechanism involving the addition of methyl groups to cytosines in the DNA sequence (16, 17). These differential DNAm sites can alter gene expression, providing a mechanism by which gene by environment interactions affect biological responses (18).

Recent evidence, particularly from animal studies, suggests that epigenetic programming may be developmentally time-sensitive and that there may be sensitive periods (19, 20) when adversity exposure is more likely to induce DNAm changes. For instance, rodent experiments have demonstrated the existence of sensitive periods for different aspects of epigenetic regulation – from embryonic reprogramming to postnatal exposure leading to differences in epigenetic outcomes and gene expression (21-25). Recent work in nonhuman primates also suggests that there are differential effects on DNAm based on whether adversity exposure, including maternal separation, occurred at birth versus later in development (26). Yet, few human studies, whether candidate gene (16, 27-29) or EWAS (30-32), have examined the time-dependent effects of psychosocial adversity on DNAm; nearly all human epigenetic studies have instead focused on

the presence versus absence of exposure to "early life" adversity. Thus, it is unknown whether there are age stages when adversity differentially affects DNAm, children are therefore more vulnerable, and prevention efforts could be most efficacious.

This study aimed to address this limitation by using data from a prospective, birth cohort of children to test the hypothesis that there are sensitive periods associated with DNAm alterations following adversity exposure. To test this hypothesis, we used a two-stage Structured Life Course Modeling Approach (SLCMA) (33, 34) to examine the effect of repeated exposure to seven types of childhood adversities across three developmental periods (in very early childhood, before age 3; early childhood, ages 3-5; and middle childhood, ages 6-7) on DNAm profiles at age 7. Recognizing that alternative conceptual models have been proposed to explain the effects of adversity, we also used the SLCMA to determine whether the sensitive period model explained more variability in DNAm relative to two other theoretical models described in the life course epidemiology literature (35-37): (1) an accumulation model (38-40), in which the effect of adversity on DNAm increases with the number of occasions exposed, regardless of timing; and (2) a recency model (41), in which the effect of adversity on DNAm is stronger for more proximal events. Finally, to evaluate the potential advantage of the SLCMA relative to the standard EWAS approach, which would ignore the timing or frequency of adversity, we examined the number of epigenome-wide significant loci identified by each approach and evaluated their degree of overlap.

Methods and Materials

Sample and Procedures

Data came from the Avon Longitudinal Study of Parents and Children (ALSPAC), a population-based birth cohort (42-44). ALSPAC generated blood-based DNAm profiles at birth

and age 7 as part of the Accessible Resource for Integrated Epigenomics Studies (ARIES), a subsample of 1,018 mother-child pairs from the ALSPAC (45). The ARIES mother-child pairs were randomly selected out of those with complete data across at least five waves of data collection (**Supplemental Materials**).

<u>Measures</u>

Exposure to Adversity

We examined the effect of seven adversities shown previously to associate with epigenetic marks (46-48): (a) caregiver physical or emotional abuse (49-52); (b) sexual or physical abuse (by anyone) (49-52); (c) maternal psychopathology (53, 54); (d) one adult in the household (55); (e) family instability (56, 57); (f) financial stress/poverty (58, 59); and (g) neighborhood disadvantage/poverty (60). These adversities were chosen because they capture experiences that deviate from a child's expected social and physical environment (61). Each adversity was measured via maternal report on at least four occasions at or before age 7 from a single item or psychometrically validated standardized measures. Specific time periods of assessment varied across adversity type (Supplemental Materials). For each adversity type, we generated three sets of encoded variables (Supplemental Materials): (a) a set of variables indicating presence of the adversity at a specific developmental stage versus absence of the adversity at that stage, to test the *sensitive period hypothesis*; (b) a single variable denoting the total number of time periods of exposure to a given adversity, to test the *accumulation* hypothesis; and (c) a single variable denoting the total number of developmental periods of exposure, with each exposure weighted by the age of the child during the measurement time

period, to test the *recency hypothesis*; this variable upweighted more recent exposures, allowing us to determine whether more recent exposures were more impactful.

DNA Methylation

DNAm was measured at 485,000 CpG dinucleotide sites across the genome using the Illumina Infinium Human Methylation 450k BeadChip microarray. DNA for this assay was extracted from cord blood and peripheral blood leukocytes at age 7. DNA methylation wet laboratory procedures, preprocessing analyses, and quality control were performed at the University of Bristol (**Supplemental Materials** and (45)). DNAm levels are expressed as a 'beta' value (β -value), representing the proportion of cells methylated at each interrogated CpG site.

Prior to analysis, raw methylation β -values, which are preferred over M-values due to their interpretability (62), were normalized (63) to remove or minimize the effects of variation due to technical artifacts. To adjust for DNAm variation due to cell type heterogeneity in peripheral and cord blood samples, we estimated cell counts from DNAm profiles (64) and regressed out these estimates from the normalized β -values. Additionally, to remove possible outliers, we winsorized the β -values at each CpG site, setting the bottom 5% and top 95% of values to the 5th and 95th quantile, respectively (65).

Covariates

To adjust for baseline socio-demographic differences in the cohort, all analyses additionally controlled for the following variables, measured at child birth (**Supplemental Materials**): child race/ethnicity; child birth weight; maternal age; number of previous pregnancies; sustained maternal smoking during pregnancy; and parent social class (66). Justification for the inclusion of parent social class as a covariate along with alternative results from analyses that exclude social class as a covariate are presented in the **Supplemental Materials**.

Data Analysis

Our primary analyses involved comparing the three theoretical models using the SLCMA, which was originally developed by Mishra (68) and later extended by Smith (33, 34) to analyze repeated, binary exposure data across the life course (**Supplemental Materials**). The major advantage of the SLCMA is that it provides an unbiased way to compare multiple competing theoretical models simultaneously and identify the most parsimonious explanation for the observed outcome variation. The SLCMA uses Least Angle Regression (LARS) (69) and an associated covariance test (70) to identify the single theoretical model (or potentially more than one model working in combination) that explains the most outcome variation (R²). Compared to other methods for structured life course analysis, LARS has greater statistical power (33) and does not over-inflate effect size estimates (69) or bias hypothesis tests (70). The SLCMA has been used in several life course epidemiology studies (71, 72), including studies of other birth cohorts (73, 74). The LARS procedure functions under the same assumptions as multiple linear regression.

In the first stage, we entered the set of encoded variables described previously into the LARS variable selection procedure (69). LARS identified the variable with the strongest association with the outcome, thus identifying whether the sensitive period, accumulation, or recency model was most supported by the data. Therefore, *for each CpG site*, seven unique

LARS models were selected, corresponding to each type of adversity. For each selected model, we performed a covariance test of the null hypothesis that the variable selected is unassociated with the outcome. With respect to multiple testing, the covariance test p-values are adjusted for the number of variables included in the LARS procedure, controlling the type I error rate for each adversity type and CpG site. To adjust for confounding during the first stage, we regressed each encoded variable on the covariates and implemented LARS on the regression residuals (34).

In the second stage, the theoretical model shown in the first stage to best fit the observed data for a specific type of adversity was then carried forward to a multivariate regression framework, where measures of effect were estimated. Only models with a covariance test p-value $<1x10^{-7}$, the standard Bonferroni correction threshold for epigenome-wide statistical significance, were included in the second stage. Positive effect estimates thus indicate elevated (hyper) methylation and negative effect estimates indicate decreased (hypo) methylation. The same covariates were also included in the second stage. We compared the distribution of theoretical models across the Bonferroni-significant CpG sites with an omnibus chi-squared test, which tested the null hypothesis that the theoretical models were likely to be represented among the significant results in proportion to the frequency in which they were tested.

To evaluate the loss or gain of information when using a simpler versus more complex analytic approach, we also performed seven EWASs (one for each type of adversity) to evaluate the association between lifetime exposure to adversity (coded as ever versus never exposed) and DNAm across all CpG sites. The EWAS results were then compared to the SLCMA to determine if the two approaches yielded similar or distinct conclusions regarding the number of significant loci detected. We also performed sensitivity analyses to evaluate the fit of the LARS selection procedure, determine the degree of differential methylation present at birth, and control for genetic variation. We examined the biological significance of the findings by: (a) examining the correlation in methylation between blood and brain tissue for the top CpG sites using an online database (75); (b) investigating enrichment of regulatory elements annotated to false discovery rate (FDR)-significant CpG sites; (c) performing a functional clustering analysis of all Gene Ontology (GO) terms for genes annotated to FDR-significant sites in DAVID 6.8 (76); and (d) assessing the selective constraint of these genes using the Exome Aggregation Consortium (ExAC) (77).

Results

Sample Characteristics and Distribution of Exposure to Adversity

Demographic characteristics of the ARIES analytic sample are shown in **Table S1** for the total sample and among children exposed to any adversity (n=650, 67%, experienced at least one adversity at some point in their lifetime). Details on the prevalence and correlations of exposure across time are also reported in **Figures 1 and S1 and Table S2**. Of note, differences in the prevalence of exposure across time are unlikely to affect model selection as all variables are automatically standardized by the LARS procedure.

Model Comparison and Effect Estimation

We identified 38 CpG sites ("top sites") that were differentially methylated at age 7 following exposure to adversity ($p<1x10^{-7}$, **Figure 2**). Methylation at most sites (n=35) was related to the developmental timing of exposure to adversity, especially adversity during very

early childhood, meaning between birth and age 2 (**Figure 3a**). In fact, exposure to adversity during very early childhood explained variability at more CpG sites (22 in total) than expected, while the accumulation and recency models were associated with fewer CpG sites than expected (1 and 2 CpG sites, respectively; χ^2 =11.43, p=0.02).

As shown in **Table 1** and **Figure 3a**, neighborhood disadvantage was the type of adversity predicting the greatest number of genome-wide methylation differences (10 CpG sites), followed by financial stress (9 CpG sites), sexual or physical abuse (by anyone) and one adult in the household (5 CpG sites). Maternal psychopathology, caregiver physical or emotional abuse, and family instability were associated with differences at four, three, and two CpG sites, respectively.

Across all 38 top sites, exposure to adversity was typically associated with hypermethylation (73.7% positive beta coefficients; χ^2 =8.53, p=0.004; **Table 1**). On average, exposure to adversity during a sensitive period was associated with a 2.5% difference in methylation level (beta) after controlling for all covariates (range 0.1–14.2%). For the two CpG sites associated with recency of exposure to financial stress, one additional adverse event was associated with a 0.3–0.4% increase in methylation per year of age at the event. For the single site associated with accumulation of exposure, one additional adverse event was associated with a 0.5% decrease in methylation. Of these 38 CpG sites, 14 remained statistically significant after imposing a more stringent p-value threshold that accounted for the testing of seven types of adversity (p=1x10⁻⁷/7=1.43x10⁻⁸; **Table 1**).

After relaxing the multiple testing correction threshold to a FDR q<0.05, there were 380 CpG sites affected by exposure to adversity (**Figure 3b; Table S3**). As with the top 38 Bonferroni-significant sites, methylation at 352 of the 380 FDR-significant sites was best

explained by sensitive period models (**Figures 3b, Table S3**). Exposure in very early childhood explained methylation variation at more CpG sites than expected from the background for neighborhood disadvantage (**Figures S2**). The effects of adversity type and timing on methylation were distributed throughout the genome (**Figure S3**).

Exposed vs. Unexposed Analysis

Across the seven EWASs, which separately evaluated the effect of ever versus never exposed to each type of adversity on CpG site DNAm, only one statistically significant result emerged (**Figure S4**); this was for cg02431672, a locus located on chromosome 1 79kb away from the gene *FAM183A* and was associated with exposure to abuse (β =-0.005; p=1.77x10⁻⁸).

Overall, there was very little overlap in identified CpG sites across the top SLCMA and EWAS results. Most of the top 38 sites had effect estimates that were larger in the SLCMA compared to the EWAS (**Figure 4**). There was also little overlap in findings across specific CpG sites. For example, the cg02431672 locus, which was the top hit in the EWAS of abuse, did not emerge as a top hit in the SLCMA of abuse, failing to appear in the list of FDR significant loci (p=0.0138). Similarly, the top CpG site in the SLCMA (cg19157140), which suggested a sensitive period at age 1.75 associated with the effects of neighborhood disadvantage, was non-significant in the corresponding EWAS ($\beta=0.001$; p=0.0002; **Figure 5**). These results suggest that the SLCMA allowed us to more effectively identify methylation differences among children with and without a history of exposure to adversity.

Sensitivity Analyses

Evaluation of the LARS Selection Procedure

There was no evidence in support of compound theoretical models, whereby more than one theoretical model explained the most outcome variability. For each of the top 38 CpG sites, the marginal increase in variance of methylation explained by additional steps of the LARS procedure was not significant (each p>0.05, **Figure S5**), suggesting that methylation was best explained by a single theoretical model.

Evaluation of Methylation at Birth for Top CpG Sites

Adversity-associated methylation differences occurred during early childhood for most top CpG sites. After examining the effect of the selected exposure on DNAm in cord blood for the top 38 sites, we found that DNAm differences at birth were only significant for one out of the 38 sites (p>0.05/38=0.00132), suggesting that the differences in DNAm at age 7 mainly occurred after birth, as a result of exposure to postnatal stressors (**Table S4**). Similar results were obtained when examining the 380 FDR significant loci, where significant differences at birth were detected at only six out of the 380 probes (**Table S4-Extension**). An example of a site differentially methylated at birth and an example of a site non-differentially methylated at birth are shown in **Figure S6**.

Correction for Genetic Variation

Genetic variation did not appear to influence observed DNAm differences at the top CpG sites. Using a database of methylation quantitative trait loci (mQTLs) of the ARIES cohort (78), there were 658 SNPs associated with DNAm at 17 of the top 38 sites. After controlling for genetic variation at mQTLs linked to these 17 sites, the effect of exposure to adversity remained

significant (each FDR q<0.05; **Table S5**), suggesting that adversity could have caused these methylation differences distinct from genetic sequence variation.

Exploring the Biological Significance of Findings

Correlation Between Blood and Brain Tissue

On average, methylation in blood at the top 38 sites was slightly positively correlated with methylation in four brain regions (prefrontal cortex: $r_{avg}=0.10$, entorhinal cortex: $r_{avg}=0.11$, superior temporal gyrus: $r_{avg}=0.11$, cerebellum: $r_{avg}=0.06$; **Table S6**). CpG sites with methylation that is highly correlated between blood and brain tissue may be indicative of important interindividual covariation (i.e., because of adversity) or a strong genetic influence on methylation, while those that are uncorrelated may still be biomarkers of a response to adversity.

Enrichment of Regulatory Elements

As compared to all autosomal loci tested, FDR-significant loci were more likely to be located in gene promoters (χ^2 =9.92, p=0.002) and less likely to be in gene enhancers (χ^2 =3.86, p=0.049; **Figure S7A**). Furthermore, the location of FDR-significant loci differed from all other loci tested relative to CpG Islands (χ^2 =42.92, p<0.0001; **Figure S7B**). With eFORGE 1.2 (79), we also tested whether FDR-significant loci colocalize with markers of transcriptional activity. FDR-significant loci were not enriched for DNase I hypersensitivity sites or histone marks in any tissue or cell-type after correction for multiple comparisons (each q>0.05). The strongest trend for enrichment was detected in the analysis of all histone marks in fetal thymus cells (uncorrected p=0.0007). Annotations at each FDR-significant site are presented in **Table S3**.

Biological Processes Potentially Affected by Adversity

Genes near the FDR-significant sites (n=365 genes) corresponded to 158 clusters of GO biological process terms (76). The top 11 GO term clusters, including positive regulation of developmental growth, axon development, and neuron apoptotic process, were more likely to be represented than chance (average enrichment p<0.05; **Figure S8**).

Additionally, we uncovered evidence of functional constraint for these genes. Genes annotated to FDR-significant sites were more highly constrained, as measured by the probability of intolerance to Loss-of-Function variation (pLI) from ExAC (77), than the rest of the autosomal genes tested (permutation p=0.0001; **Figure S9**). This indicates a greater importance of these genes, on average, to survival and reproduction over human evolution.

Discussion

This prospective study used data from a large population-based sample of children to test three competing life course theoretical models describing the association between exposure to childhood adversity, measured repeatedly across the first 7 years of life, and DNAm at age 7. By comparing these theoretical models to each other, we could evaluate which one explained the most variation in DNAm. To our knowledge, this is the first use of the SLCMA in an epigenome-wide context.

The main finding of this study is that the effect of adversity on DNAm depends primarily on the developmental timing of exposure. In our Bonferroni-corrected analysis, we identified 38 CpG sites that were differently methylated following exposure to adversity, with more than half of these loci showing associations based on adversity occurring during very early childhood, meaning before age 3. Exposure in very early childhood was associated with DNAm differences for nearly all adversity types. In contrast, the effects of exposure in middle childhood were largely only detected for arguably most severe forms of adversity exposure (e.g., sexual or physical abuse). These results are consistent with at least one human longitudinal study (16) and multiple animal studies (21, 22, 24, 25) in emphasizing the existence of sensitive periods (19, 20) - particularly occurring shortly after birth - when epigenetic programming is maximally dynamic in response to parental care disruptions and other environmental inputs. The lack of detectable sensitive periods in one recent study (32) may be due to focusing only on adversities occurring at or after age 5. Interestingly, neither the accumulation nor recency of the adversity explained considerable variability in DNAm. The observed DNAm differences were absent at birth, identified for a range of adversities, and unrelated to genetic variation. The absence of

support for an accumulation model is surprising, given previous research linking cumulative time spent in institutional care to DNAm status in stress-related genes (29).

Perhaps more importantly, our results suggest that broad classifications of individuals as exposed versus unexposed to "early life" adversity – although commonly used – may dilute observed effects and fail to detect DNAm differences among those exposed to adversity during specific life stages. These findings support the value of more detailed phenotyping, which is meaningful given the trend in psychiatric genetics towards minimizing phenotypic precision in the service of maximizing sample size. The lack of overlap in identified loci across the SLCMA and EWAS suggest that refinement of the environmental phenotype – by treating each time point of exposure as unique – may better capture underlying signal. Indeed, results of a post-hoc power calculation suggest that the EWAS of exposed versus unexposed will be underpowered when the true underlying relationship between exposure and outcome depends on the timing or amount of exposure (**Supplemental Materials**). Thus, more precise phenotyping could preserve study power and provide more mechanistic insights to guide targeted interventions.

These findings also raise important questions regarding why exposure to adversity in the first three years of life may be particularly salient in influencing DNA methylation patterns. When adversity occurs early in life, it coincides with when the foundation of brain architecture is initially sculpted. Experiences of childhood adversity, which represent deviations from expected cognitive, social, and sensory inputs (61), may be more likely to be wired into neural circuitry during this especially vulnerable stage in brain development. Relatedly, DNAm patterns are known to be dynamic across the lifecourse. It may be that very early exposure to adversity produces more stable DNAm changes that persist across the lifecourse, in contrast to later exposure to adversity. With more longitudinal studies of DNAm, the field of psychiatric

epigenetics will be better positioned to determine not only when are the most vulnerable life stages for DNAm changes to occur, but also the extent to which these adversity-induced DNAm patterns persist over time.

Although these findings emphasize the importance of exposure timing, greater insights are needed regarding the age stages when adversity may be most harmful, as mixed results have emerged among the small number of studies comparing the effects of "early" to "later" adversity. Some retrospective studies have shown that adolescent DNAm patterns are more strongly associated with life stress during adolescence than earlier periods (27). However, other studies have found potentially persistent effects of childhood adversity into adolescence (80) and adulthood (81), even after accounting for subsequent stress exposure. A recent study also found that the effects of adversity timing may be gene-specific (29). As epigenetic patterns appear to vary over the life course (26, 82), longitudinal studies are needed to study the developmental trajectories of DNAm and evaluate the extent to which these adversity-induced DNAm differences persist or attenuate over time, and operate independently of or in interaction with subsequent experience to ultimately predict mental health outcomes. Ideally, these longitudinal studies would include repeated measures of prenatal and postnatal adversity exposure and investigate whether any adversity-associated DNAm signatures predict psychopathology. If our findings about the importance of sensitive periods do replicate, these results would emphasize the need to prioritize policies and interventions towards children exposed to adversity within the first three years of life, when the biological effects of adversity may be most profound.

Several limitations are noted. First, some adversity measures were drawn from single items. Parents may have also under-reported exposure to stigmatizing experiences (83, 84), especially if they were implicated in the exposure (85). However, the prevalence of several

adversities, including those capturing possible experiences of abuse, were similar to and even greater than those reported from some nationally-representative samples (9, 86). Second, as with any longitudinal study, there was attrition over time, which could result in bias due to loss of follow-up. However, ARIES children were sampled from among those with the most complete longitudinal data. Within the field of epigenetics, efforts are now underway to understand the consequences of attrition and how potential biases arising from attrition could be mitigated through multiple imputation or other strategies. Third, we were unable to examine the impact of experiencing multiple adversities simultaneously because each adversity was measured at slightly different time points. Fourth, the DNAm samples were obtained from peripheral tissue and not the brain; multiple datasets, however, are starting to identify limited though important shared DNAm patterns across central nervous system and peripheral tissue (87). Fifth, we were unable to directly examine whether DNAm at the identified loci influenced gene expression of the nearest genes. Future work using a sample with both methylation and expression data is needed to clarify the functional consequences of significant CpG sites. Finally, the p-values derived from the covariance tests could be potentially inflated, as the test relies on asymptotic theories and therefore does not theoretically guarantee the control of Type I error rate in a finite sample (70). However, the covariance test might be a more sensitive method to detect signals compared to other post-selection significance tests that make fewer assumptions (88). As the relative statistical power of the available tests remains unclear, simulation studies are underway to identify the best inference tools in different settings and the statistical power of the SLCMA with varying effect sizes.

In summary, this study lends further support to the evidence-base showing that DNAm patterns are responsive to experience. However, these results reveal that DNAm patterns may be

most influenced by exposures during sensitive periods in development. Efforts may therefore be needed to move beyond crude comparisons of those exposed versus unexposed to "early life" adversity. Acknowledgments: We are extremely grateful to all the families who took part in this study, the midwives for their help in recruiting them, and the whole ALSPAC team, which includes interviewers, computer and laboratory technicians, clerical workers, research scientists, volunteers, managers, receptionists and nurses. The UK Medical Research Council and the Wellcome Trust (Grant ref: 102215/2/13/2) and the University of Bristol provide core support for ALSPAC. ARIES was funded by the BBSRC (BBI025751/1 and BB/I025263/1). Supplementary funding to generate DNA methylation data which is included in ARIES has been obtained from the MRC, ESRC, NIH and other sources. ARIES is maintained under the auspices of the MRC Integrative Epidemiology Unit at the University of Bristol (MC UU 12013/2 and MC UU 12013/8). A comprehensive list of grants funding is available on the ALSPAC website (http://www.bristol.ac.uk/alspac/external/documents/grant-acknowledgements.pdf). This publication is the work of the authors, each of whom serve as guarantors for the contents of this paper. This work was conducted with support from Harvard Catalyst | The Harvard Clinical and Translational Science Center (National Center for Research Resources and the National Center for Advancing Translational Sciences, National Institutes of Health Award UL1 TR001102 and K01MH102403) and financial contributions from Harvard University and its affiliated academic healthcare centers. Some data presented in this paper were published previously in abstract form at the 2017 Society of Biological Psychiatry annual meeting and materials from this study were also available on the preprint server bioRxiv. The content is solely the responsibility of the authors and does not necessarily represent the official views of Harvard Catalyst, Harvard University and its affiliated academic healthcare centers, or the National Institutes of Health. The authors thank Kathryn Davis, Samantha Ernst, and Janine Cerutti for their assistance in preparing this manuscript for publication.

Conflicts of Interest: None

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Figure 1. Exposure to adversity in the ARIES dataset

The figure displays the lifetime prevalence by age 7 of exposure to each adversity (labeled as total exposed), the average correlation between exposure to one type of adversity at one time point with exposure to that same adversity at a second time point (labeled as correlation over *time*), and the average correlation between exposure to one type of adversity and a second type of adversity (labeled as correlation with other adversities). Panel A: The lifetime prevalence of each adversity varied by type. The most commonly reported adversities were financial stress (31%) and maternal psychopathology (29%). The remaining adversities were less reported adversities, but still common: caregiver physical or emotional abuse (15%), neighborhood disadvantage (15%), sexual or physical abuse (by anyone; 13%), one adult in the household (13%), and family instability (11%). Panel B: Among specific types of adversity, exposures tended to correlate over time, with neighboring time points being more related than distant time points. For instance, exposure to one adult in the household and neighborhood disadvantage were most strongly correlated over time (r=0.54–0.93 and r=0.67–0.89, respectively), whereas exposure to family instability (r=0.11-0.74) and sexual or physical abuse (r=0.02-0.69) were more weakly correlated across time. Panel C: The average correlation of having ever been exposed to the other adversities was modest across adversities, suggesting that we were capturing unique subtypes of adversity.

Figure 2. Manhattan plot displaying top CpG sites associated with exposure to adversity

In this Manhattan plot, the x-axis is the chromosomal position for each CpG site and the y-axis is the -log10 p-value for the association between exposure to adversity and DNAm values at each CpG site. The dashed line shows the epigenome-wide significance level, with each CpG site above the line representing a statistically significant association ($p<1x10^{-7}$). The color of each CpG site refers to the type of adversity. The shape of each CpG site indicates the lifecourse model tested. The sensitive period hypotheses were encoded as *circle*: very early childhood, *triangle*: early childhood, *square*: middle childhood. The recency hypothesis was encoded as a *diamond*. As shown, CpG sites significantly affected by exposure adversity were distributed throughout the genome. There was no obvious genomic spatial pattern by adversity type or timing of exposure.

Figure 3. Frequency each lifecourse theoretical model was chosen for each type of adversity

Each plot displays the number of CpG sites for which adversity significantly predicted methylation, after controlling for covariates and correcting for multiple comparisons using (**a**) a Bonferroni threshold ($p<1x10^{-7}$, n=38 sites) and (**b**) a False Discovery Rate (FDR) correction q < 0.05 (n=380 sites). The distribution of theoretical models chosen first by the LARS procedure for top CpG sites was significantly different than expected by chance, with exposure to adversity during sensitive periods, especially during very early childhood, more frequently predicting methylation.

Figure 4. Scatterplot displaying increased power in the SLCMA shown by the comparison of beta estimates from the EWAS vs. SLCMA approaches

In this scatterplot, the y-axis represents the beta estimates associated with the 38 top CpG sites derived for the SLCMA; the x-axis represents the beta estimates associated with the same 38 CpG sites obtained from EWAS. Different types of adversity are indicated by colors. The black straight line denotes the 1:1 correspondence between the two sets of beta values. Substantial positive deviation from the line suggests increased power in the SLCMA. For most CpG sites, the magnitudes of effect were larger for the SLCMA compared to the EWAS results.

Figure 5. Comparison of EWAS vs. SLCMA estimates for the top CpG site identified in SLCMA, cg19157140

The effect estimates and the confidence intervals obtained from the EWAS approach comparing ever exposed to never exposed to financial stress for cg19157140 are presented on the left. The stage 2 effect estimates and confidence intervals obtained from the SLCMA comparing being exposed to neighborhood disadvantage at age 1.75 to being unexposed at age 1.75 for the same CpG site are displayed on the right. The top CpG site in the SLCMA, which suggested a sensitive period at age 1.75 associated with the effects of neighborhood disadvantage, was non-significant after correction for multiple testing (p=0.0002) in the EWAS of neighborhood disadvantage.

Table 1. Results of the Structured	d Lifecourse Modeling Approach	(SLCMA) in ARIES	, with annotation to the closest gen	ne, for the Bonferroni-sig	mificant CpG sites (p<1x10-7).

CpG site	Adversity	First hypothesis chosen by LARS procedure	DNAm in unexposed group (beta)	DNAm in exposed group (beta)	Increases in R^2	Р	Beta (effect estimate)	SE	Lower 95% CI	Upper 95% CI	Chr	Coordinate (bp)	Nearest gene	Distance to nearest gene (bp)
cg10713431	23170 ^a physical or	middle childhood (age 6)	0.132	0.139	0.025	4.59E-08	0.008	0.0019	0.004	0.012	20	43933204	MATN4	0
cg12023170a		middle childhood (age 6)	0.074	0.086	0.038	3.17E-10*	0.013	0.0022	0.008	0.017	1	23751761	TCEA3	499
cg19825600a,b		middle childhood (age 6)	0.458	0.384	0.027	3.23E-08	-0.072	0.0158	-0.103	-0.041	2	3704501	ALLC	1283
cg01370449	Sexual or	very early childhood (age 2.5)	0.244	0.334	0.030	8.87E-08	0.083	0.0168	0.050	0.116	7	27183369	HOXA-AS3	0
cg06430102	physical abuse (by anyone) (N=703)	very early childhood (age 2.5)	0.926	0.862	0.037	1.69E-09*	-0.058	0.0103	-0.078	-0.038	19	1151960	SBNO2	0
cg19170021		early childhood (age 4.75)	0.734	0.827	0.028	6.41E-08	0.092	0.0209	0.051	0.134	17	79077169	BAIAP2	0
cg05072819a		early childhood (age 5.75)	0.040	0.053	0.030	3.49E-08	0.014	0.0027	0.009	0.019	3	20081367	KAT2B	155
cg05936516		middle childhood (age 6.75)	0.128	0.153	0.031	7.47E-08	0.025	0.0048	0.016	0.035	5	114507066	TRIM36	0
cg04583813	Maternal	very early childhood (age 8 mo.)	0.900	0.878	0.031	6.57E-08	-0.023	0.0046	-0.032	-0.014	10	560323	DIP2C	0
cg08171937	psychopathology	very early childhood (age 2.75)	0.016	0.017	0.034	2.33E-10*	0.001	0.0003	0.001	0.002	12	49454761	RHEBL1	3705
cg10666628	(N=691)	very early childhood (age 2.75)	0.020	0.021	0.029	9.29E-08	0.002	0.0004	0.001	0.003	5	179050666	HNRNPH1	0
cg17806989		early childhood (age 5)	0.981	0.975	0.032	8.16E-09*	-0.006	0.0012	-0.009	-0.004	13	25338287	RNF17	12
cg08337366ª	One adult in the	very early childhood (age 8 mo.)	0.934	0.906	0.029	6.07E-08	-0.032	0.0066	-0.045	-0.019	19	6371622	ALKBH7	820
cg10192047	household	very early childhood (age 8 mo.)	0.016	0.019	0.029	1.31E-08*	0.003	0.0007	0.002	0.005	19	18722754	TMEM59L	926
cg26990406	(N=710)	very early childhood (age 8 mo.)	0.868	0.728	0.027	7.22E-08	-0.142	0.0308	-0.203	-0.082	7	178829	FAM20C	14138
cg24468070		very early childhood (age 1.75)	0.038	0.058	0.034	3.63E-10*	0.023	0.0044	0.014	0.031	19	54976501	CDC42EP5	0
cg03397307		very early childhood (age 2.75)	0.025	0.030	0.030	8.46E-09*	0.005	0.0010	0.003	0.007	12	3862423	CRACR2A	56
cg18311384	Family	very early childhood (age 2.5)	0.019	0.022	0.027	7.97E-08	0.002	0.0005	0.001	0.003	17	34842312	ZNHIT3	159
cg27637303	instability (N=703)	very early childhood (age 2.5)	0.345	0.420	0.028	5.32E-08	0.078	0.0168	0.045	0.111	2	118942893	INSIG2	75295
cg11631610	Financial stress	very early childhood (age 8 mo.)	0.949	0.923	0.027	1.20E-08*	-0.027	0.0057	-0.038	-0.016	19	11322739	DOCK6	0
cg06783003	(N=774)	very early childhood (age 1.75)	0.860	0.893	0.024	6.25E-08	0.037	0.0083	0.021	0.053	1	45116008	RNF220	0
cg01050704 ^a		early childhood (age 5)	0.017	0.019	0.025	4.68E-08	0.002	0.0005	0.001	0.003	19	59084995	MZF1-AS1	0
cg02006977		early childhood (age 5)	0.015	0.017	0.024	6.87E-08	0.002	0.0005	0.001	0.003	12	69139955	SLC35E3	0
cg21299458		early childhood (age 5)	0.110	0.147	0.035	3.19E-11*	0.038	0.0070	0.024	0.052	22	20779896	SCARF2	0
cg19219503		middle childhood (age 7)	0.922	0.889	0.031	2.28E-10*	-0.035	0.0071	-0.049	-0.021	10	37414802	ANKRD30A	0
cg11714846		accumulation	0.923	0.915	0.023	6.64E-08	-0.005	0.0011	-0.007	-0.003	1	230419534	GALNT2	1658
cg21924472		recency	0.756	0.770	0.027	1.87E-08	0.003	0.0006	0.002	0.004	4	139600734	LINC00499	255235
cg24996440		recency	0.566	0.585	0.026	2.28E-08	0.004	0.0009	0.003	0.006	2	3583570	RNASEH1	9119
cg00928478	Neighborhood disadvantage (N=702)	very early childhood (age 1.75)	0.020	0.018	0.027	2.19E-08	-0.002	0.0005	-0.003	-0.001	10	99078824	FRAT1	196
cg01954337		very early childhood (age 1.75)	0.050	0.059	0.028	5.32E-08	0.008	0.0018	0.005	0.012	11	3819010	NUP98	0
cg04996689		very early childhood (age 1.75)	0.029	0.035	0.028	2.63E-08	0.006	0.0011	0.003	0.008	5	52285560	ITGA2	0
cg12069925		very early childhood (age 1.75)	0.042	0.048	0.030	4.72E-09*	0.007	0.0014	0.004	0.009	17	11900858	ZNF18	72
cg14522055		very early childhood (age 1.75)	0.030	0.035	0.028	6.77E-08	0.005	0.0011	0.003	0.007	15	64338757	DAPK2	235
cg19157140		very early childhood (age 1.75)	0.014	0.016	0.037	2.87E-11*	0.002	0.0005	0.001	0.003	7	766323	PRKAR1B	0
cg21740964		very early childhood (age 1.75)	0.160	0.173	0.025	7.13E-08	0.014	0.0028	0.008	0.019	6	3849331	FAM50B	299
cg24826892ª		very early childhood (age 1.75)	0.016	0.018	0.030	5.50E-09*	0.003	0.0006	0.002	0.004	11	71159390	DHCR7	0
cg08546016		early childhood (age 5)	0.050	0.056	0.029	3.63E-09*	0.006	0.0012	0.004	0.009	17	72776238	TMEM104	0
cg12412390		middle childhood (age 7)	0.038	0.046	0.030	9.59E-08	0.008	0.0016	0.005	0.011	4	96469286	UNC5C	0

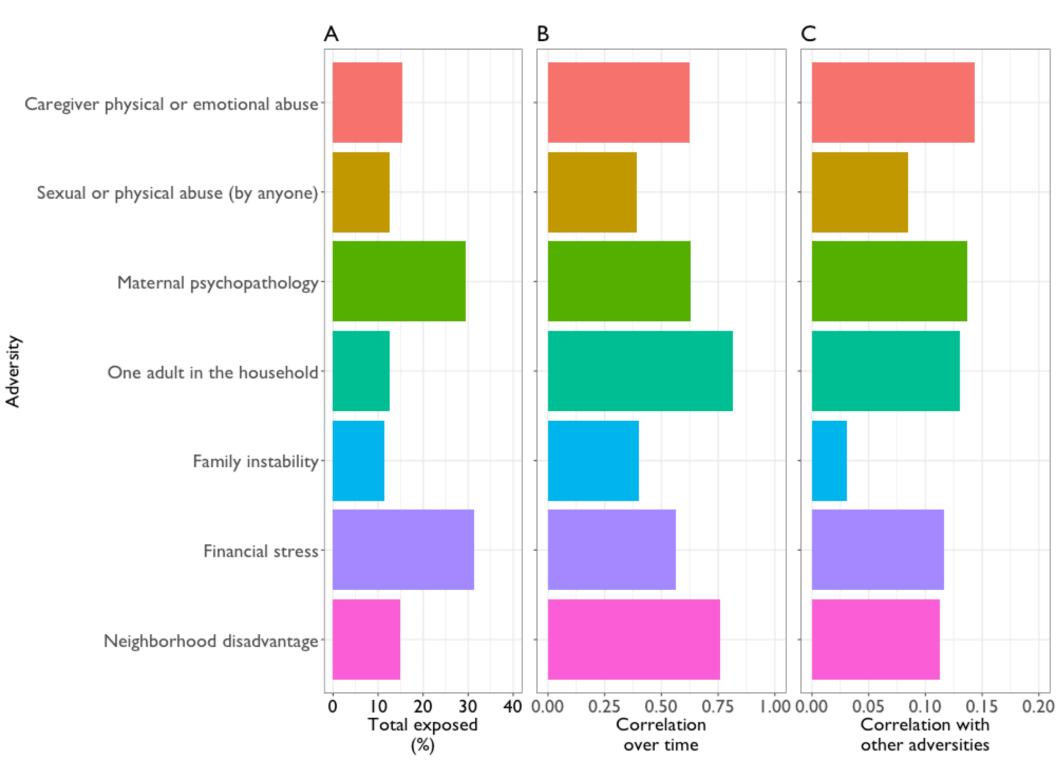
DNAm = unadjusted DNA methylation (beta values) averaged within group; Increase in R2 = increase in R2 explained by first hypothesis chosen after accounting for covariates; P = p-value of covariance test assessing significance of increase in R2 explained; Beta, SE, Lower 95% CI, Upper 95% CI = parameter estimate, standard error, and lower and upper limits of 95% confidence interval of regression coefficient of first hypothesis chosen; Chr, Coordinate = chromosome and

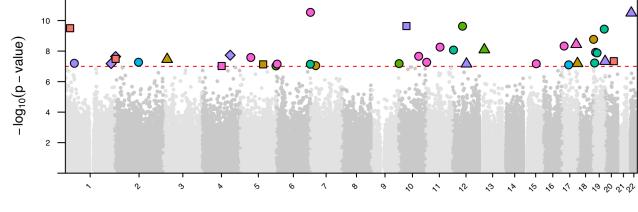
position of CpG site; Nearest gene, Distance to nearest gene = Gene symbol of and distance in bases to nearest gene from CpG site (as measured from transcription start site) a In potentially noisy probe list of Naeem et al. 2014 (i.e., cross-reactive probes, probes with SNPs/INDELs/repeat regions, probes affected by unknown factors)

bIn potentially noisy probe list of Chen et al. 2013 (i.e., cross-reactive probes, probes with SNPs)

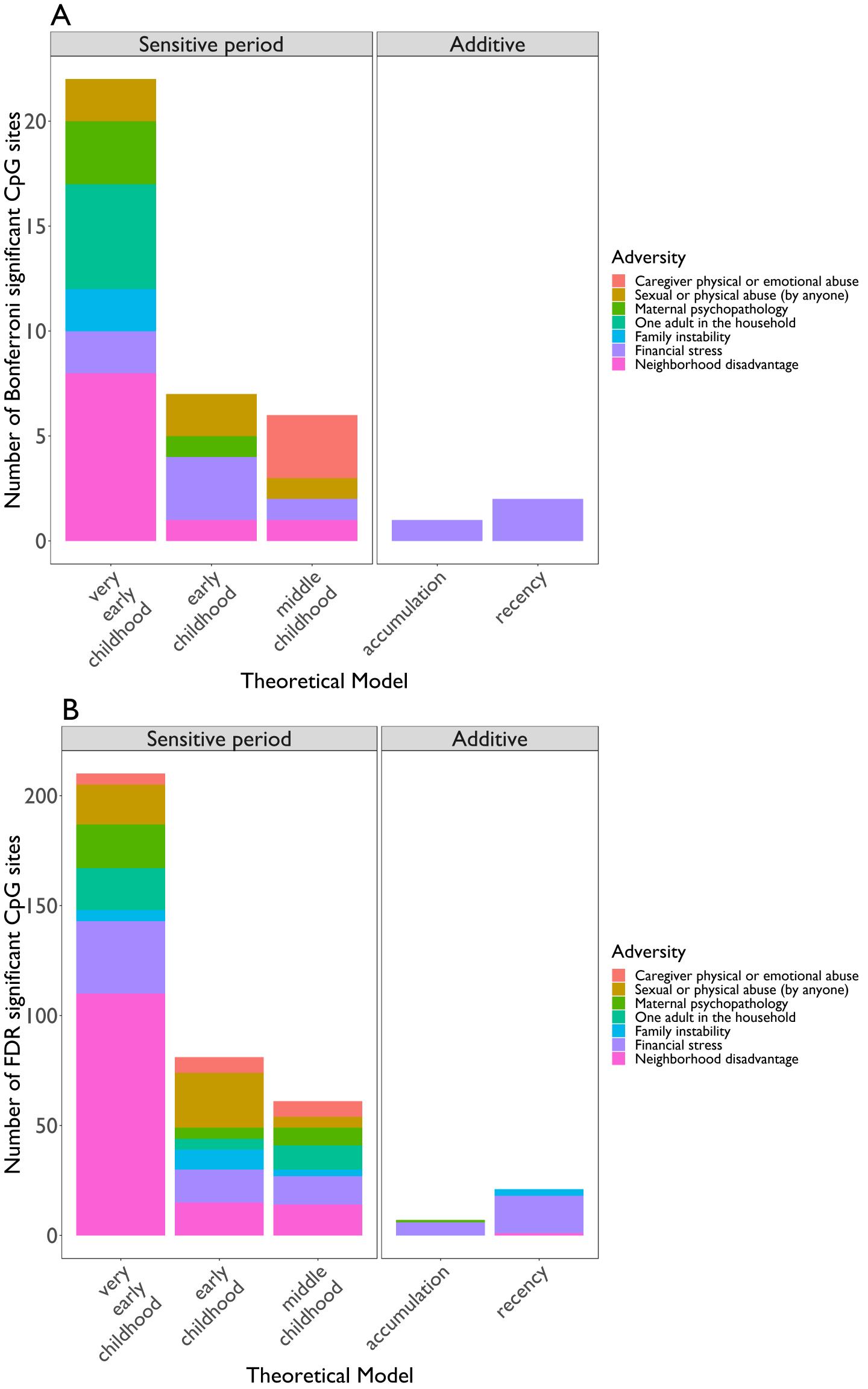
*significant at p < 1.43x10-8, a more stringent p-value threshold that accounted for the testing of seven types of adversity (1x10-7 / 7=1.43x10-8)

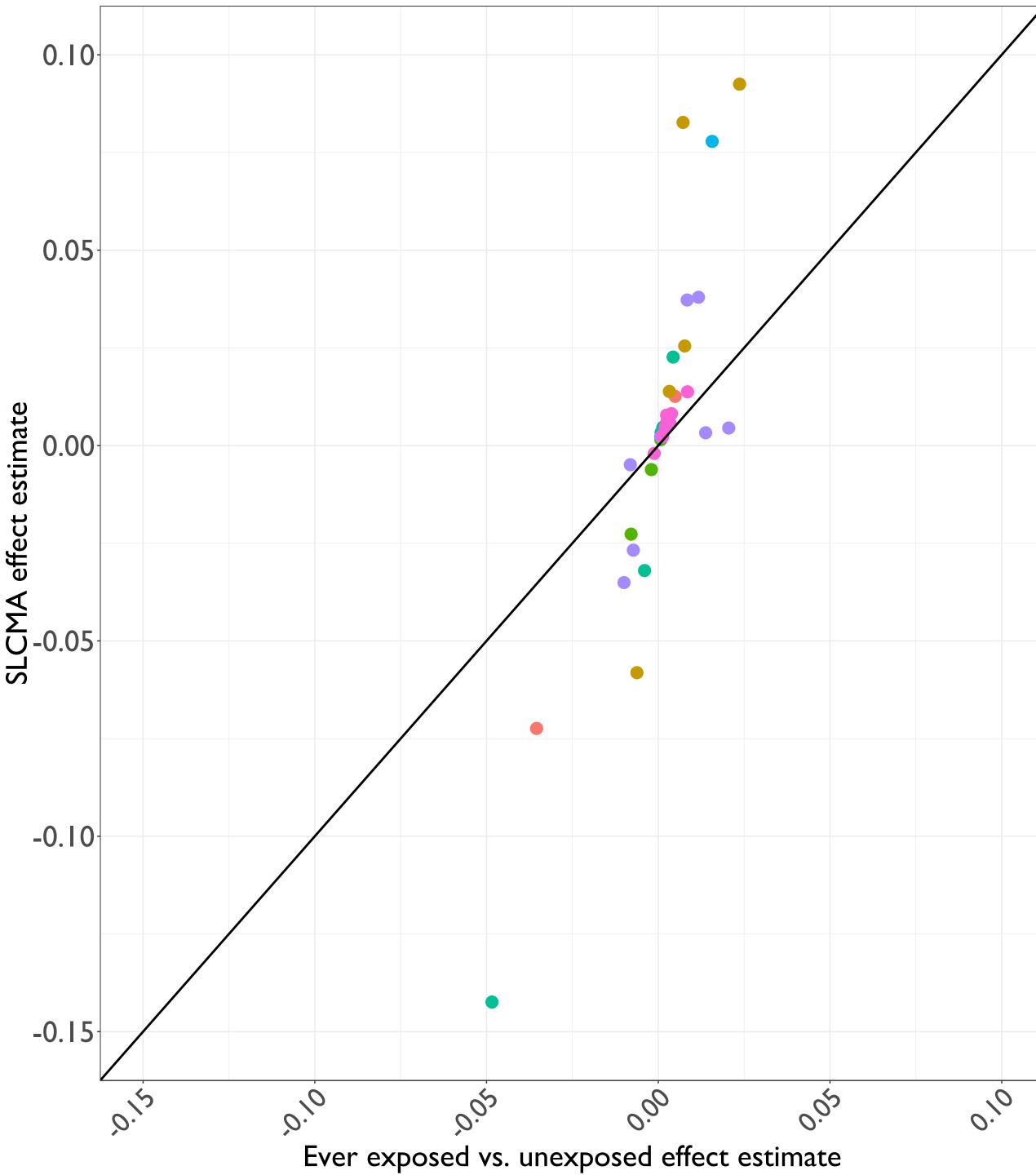
Figure 1











Adversity

- Caregiver physical or emotional abuse Sexual or physical abuse (by anyone) Maternal psychopathology One adult in the household Family instability Financial stress Neighborhood disadvantage

