

Timing and predictors of severe rotavirus gastroenteritis among unvaccinated infants in low- and middle-income countries

Cite this article: Gruber JF, Becker-Dreps S, Hudgens MG, Brookhart MA, Thomas JC, Jonsson Funk M (2018). Timing and predictors of severe rotavirus gastroenteritis among unvaccinated infants in low- and middle-income countries. *Epidemiology and Infection* **146**, 698–704. <https://doi.org/10.1017/S0950268818000626>

Received: 30 October 2017

Revised: 7 January 2018

Accepted: 20 February 2018

First published online: 22 March 2018

Key words:

Epidemiology; gastroenteritis; immunisation (vaccination); rotavirus

Author for correspondence:

J. F. Gruber, E-mail: joann.gruber@gmail.com

J. F. Gruber¹, S. Becker-Dreps², M. G. Hudgens³, M. A. Brookhart¹, J. C. Thomas^{1,4} and M. Jonsson Funk¹

¹Department of Epidemiology, Gillings School of Global Public Health, UNC-Chapel Hill, Chapel Hill, North Carolina, USA; ²Department of Family Medicine, UNC-Chapel Hill, Chapel Hill, North Carolina, USA; ³Department of Biostatistics, Gillings School of Global Public Health, UNC-Chapel Hill, Chapel Hill, North Carolina, USA and ⁴MEASURE Evaluation, Carolina Population Center, University of North Carolina, Chapel Hill, North Carolina, USA

Abstract

Delays in rotavirus vaccine schedule could improve performance in low- and middle-income countries (LMICs). However, delaying the first dose could be detrimental if infants experience severe rotavirus gastroenteritis (RVGE) early in life. Our objective was to describe the timing and predictors of severe RVGE in unvaccinated children in LMICs. We analysed the placebo arms from two clinical trials (cohort 1: NCT00241644; cohort 2: NCT00362648). We estimated the rate, cumulative incidence (per 1000 infants) and age distribution of severe RVGE episodes. Cox proportional hazards models were used to estimate hazard ratios and 95% confidence intervals (CI) for the association between baseline factors and severe RVGE. Cumulative incidence at 6 months of age was 23/1000 (95% CI 15–30) in cohort 1 and 6/1000 (95% CI 3–8) in cohort 2. Early antibiotic use (compared with no use) was associated with 2.03 (95% CI 1.18–3.48) and 1.41 (95% CI 0.80–2.51) times the rate of severe RVGE in cohorts 1 and 2, respectively. The cumulative incidence of severe RVGE was low at 6 months of age, suggesting that a 4-week delay in the vaccination schedule may not result in a large number of severe RVGE episodes prior to vaccine receipt.

Introduction

Prior to global roll-out of rotavirus vaccines, rotavirus was the leading cause of severe diarrhoea in infants and children [1, 2]. Global surveillance estimates from 2009, before widespread vaccination, indicated the median prevalence of rotavirus among children hospitalised for gastroenteritis was 36% (range among countries: 12–68%) [3]. In the pre-vaccine era, almost every child in the world was thought to experience rotavirus infection [4, 5] and about one in every 260 children would die as a result of the infection [2]. Although the incidence of rotavirus gastroenteritis (RVGE) is similar in high-, middle- and low-income countries, 80–90% of rotavirus-associated deaths occur in the world's poorest countries [1].

As of 2009, the World Health Organization recommended rotavirus vaccination for all infants [6]. There are two live, oral rotavirus vaccines used broadly across the globe: a monovalent (Rotarix™, GlaxoSmithKline Biologicals, Rixensart, Belgium) and pentavalent vaccine (RotaTeq™, Merck & Co., Inc.; Kenilworth, NJ, USA). While high protective 1-year efficacy (96–98%) against severe RVGE has been reported in high-income countries (HICs) [7–9], the efficacy has been much lower (51–64%) in trials conducted in low- and middle-income countries (LMICs) [10–12].

One potential intervention that may increase the effectiveness of rotavirus vaccines in LMICs is altering the vaccine schedule (number and/or timing) of rotavirus vaccine doses. Recent research suggests delaying the start of the rotavirus vaccine series may result in some gains in vaccine efficacy [10, 13–15], possibly due to less interference from transplacental antibodies [13, 16]. However, first RVGE episode is thought to occur early in children in LMICs (median 6–9 months) [17]. Consequently, delaying vaccination could result in severe RVGE occurring prior to administration of the vaccine.

Therefore, it is important to understand the timing of severe RVGE among unvaccinated children to be able to weigh the potential advantages and disadvantages of delaying vaccination schedules. Although there have been a number of studies investigating the natural history of rotavirus [18–23], these studies have not provided data on the cumulative incidence of severe RVGE over the first few years of life. In this study, we analysed the placebo arms of two large rotavirus vaccine trials conducted in LMICs to better understand the timing and predictors of first severe RVGE episodes among unvaccinated children in LMICs.

Methods

Parent study data

This was an analysis of Phase III, placebo-controlled, multicentre randomised trials of monovalent (RV1) and pentavalent (RV5) rotavirus vaccines in LMICs [Clinical Trial Numbers: NCT00241644 (RV1) and NCT00362648 (RV5)]. Each trial has been described previously [10, 11, 24]. A brief overview of each trial follows.

In the RV1 trial, healthy infants, 5–10 weeks of age, were randomly assigned to receive doses of vaccine or placebo at approximately 6, 10 and 14 weeks of age and were followed for 1–2 years of age. Beginning at enrolment, there was active surveillance of any gastroenteritis through weekly visits to parents or guardians to collect diary cards and through visits to health clinics that served the populations. Gastroenteritis was defined as three or more stools that were looser than normal within a 24 h period. Stool samples were collected during any episode of gastroenteritis and were analysed for the presence of rotavirus antigens using enzyme-linked immunosorbent assays (ELISA) (Rotaclone, Meridian Bioscience) with reverse transcription polymerase chain reaction (RT-PCR) confirmation. Severity was defined using the 20-point Vesikari clinical score for PCR-confirmed RVGE episodes [25].

In the RV5 trial, enrolled infants, 4–12 weeks of age, were randomly assigned to receive either three doses of pentavalent rotavirus vaccine or placebo at approximately 6, 10 and 14 weeks of age and were followed for approximately 2 years. There was active surveillance at local clinics and hospitals for any occurrence of gastroenteritis occurring after study entry. Gastroenteritis was defined as three or more stools that were watery or looser than normal within a 24-h period, or forceful vomiting. Stool samples and patient histories were collected from infants presenting with symptoms of gastroenteritis. Stool samples were analysed for the presence of rotavirus antigens using an enzyme immunoassay with RT-PCR confirmation. The severity of disease was determined using the 20-point modified Vesikari clinical score for infants with PCR confirmed RVGE [12, 25, 26].

Study data

In this analysis, the objective was to describe the timing and predictors of first severe RVGE episodes among those not receiving the rotavirus vaccine; therefore, only the placebo arms of each trial were analysed. There were 1641 and 3753 infants randomised to receive only the placebo treatment in the RV1 trial (cohort 1) and the RV5 trial (cohort 2), respectively. In cohort 1, 27 (1.6%) infants were excluded because they were not randomised, their randomisation code was broken at the investigator site, the study vaccine dose was not administered according to the protocol, or they did not have at least one day of follow-up. In cohort 2, one infant (0.02%) was excluded because he or she received at least one dose of placebo. Each cohort was analysed separately, but the results are presented in parallel. This research was approved by the University of North Carolina at Chapel Hill Institutional Review Board.

Statistical analysis

Prior to analysis, we categorised all available data for variables measured at enrolment including demographic information, breastfeeding and growth status, prior or current infection, prior or current antibiotic use, routine vaccinations and severe RVGE. Breastfeeding status was classified as exclusive *vs.* non-exclusive.

We classified relevant nutrition indicators by using underweight, stunted and wasting criteria specified by the World Health Organization (WHO) [27]. Infant body length was not recorded in Bangladesh; therefore, stunted and wasting status were not determined for Bangladeshi infants. We also classified prior or current antibiotic use using data collected in medical histories taken at baseline (e.g. enrolment). Topical antibiotics were not included in prior or current antibiotic use. Routine vaccines were also classified to determine the number of doses received prior to or at enrolment for all vaccines except *Bacillus Calmette–Guérin* vaccine (BCG), which was classified based on the receipt before enrolment. Severe RVGE was defined as a Vesikari or modified-Vesikari score of >11. We also determined the age at first severe RVGE episode. For cohort 1, the age of enrolment was provided in weeks; therefore, the age of severe RVGE may not be exact, but within 6 days of the actual age at which the episode occurred.

To describe the timing of first severe RVGE episodes among children in LMICs, we estimated the incidence rates and cumulative incidence of first episodes of severe RVGE for each country as well as the age distributions of first severe RVGE episodes by cohort. Specifically, rates and exact 95% confidence intervals [28] were estimated as the number of first severe RVGE episodes from enrolment through one to 2 years of follow-up divided by the person-time accumulated. To estimate the cumulative incidence and 95% confidence interval of first severe RVGE episodes, we obtained the complement of the extended Kaplan–Meier survival curve overall and stratified by country. Use of the extended Kaplan–Meier survival curve allowed for late entry on an age-specific time scale [29]. Follow-up began at 6 weeks of age and continued until the first episode of severe RVGE occurred or the infant was censored. Any infant who was recruited into either study before 6 weeks of age began accumulating person-time at 6 weeks of age. Follow-up time in cohort 1 is within 6 days of the exact number of days followed from 6 weeks of age because age at enrolment was provided in weeks and follow-up was provided as days from enrolment. Finally, among those experiencing a severe RVGE episode, we described the median and interquartile range (IQR) of the age of onset of first severe RVGE episodes by cohort.

We were interested in what baseline patient characteristics affected the rate of severe RVGE using the data that were available in each cohort. To be evaluated as a potential predictor of first severe RVGE episodes, we required at least ten severe RVGE episodes within each stratum of each factor. Baseline factors considered in both cohorts were sex (female/male), underweight status (yes/no), prior or current infection (yes/no) at enrolment, prior or current antibiotic use (yes/no) at enrolment, and receipt of other vaccines. Other vaccines included were BCG receipt prior to enrolment (0 *vs.* at least one); diphtheria–tetanus–pertussis–Hepatitis B and –*Haemophilus influenzae* B vaccine (DTP–HB/HIB) or diphtheria and tetanus toxoids and acellular pertussis vaccine (DTaP) and Hepatitis B vaccine (HB) receipt prior or at enrolment (0 *vs.* at least one); and oral poliovirus vaccine (OPV) receipt prior or at enrolment (one or fewer doses *vs.* two). Stunted (yes/no) and wasting (yes/no) were considered as potential predictors in only cohort 1 while exclusive breastfeeding (yes/no) was considered as a potential predictor in only cohort 2.

We estimated the association between the baseline factors described above and rates of first severe RVGE episodes using a Cox proportional hazards model with the exact method to analyse tied episodes. We assessed the proportional hazards assumption

by inspecting the plot of $\log(\text{time})$ and $\log[-\log(\text{Survival})]$ for each variable. Similar to the methods described above for estimating the incidence rates, follow-up began at 6 weeks of age for both cohorts with late entry adjustment for those enrolled later. If more than 10% of participants in either cohort discontinued follow-up, we examined the potential for differential dropout (right censoring) within each level of each predictor to determine if censoring could be informative.

Crude and adjusted hazard ratios (HR) and 95% confidence intervals were estimated and were considered statistically significant at a cut-off of $\alpha = 0.05$. Due to the low number of severe RVGE episodes, we analysed each cohort separately, adjusted for the country in the multivariable model, rather than fit individual models for each country. As a sensitivity analysis, we estimated the crude and adjusted HRs for cohort 2 excluding Mali and Kenya, because there were problems with gastroenteritis surveillance in those countries [30].

All analyses were performed using SAS Clinical Trial Data Transparency (Version 4.5.2; SAS Institute Inc., Cary, NC, USA).

Results

There were 1614 and 3752 children included in the analysis from cohort 1 and two, respectively (Table 1). The median lengths of follow-up to censoring or first severe RVGE episode were 327 and 518 days for cohorts 1 and 2, respectively (Table 2). The majority of infants in both cohorts were African and enrolled at 6–7 weeks of age. In cohort 1, about 20% of children were stunted and about 5% were underweight and 5% had wasting. In cohort 2, about 10% were stunted, 10% were underweight and about 20% had wasting. Prior or current infection at enrolment was reported for about 5% and 20% of infants in cohorts 1 and 2, respectively. Prior or current antibiotic use at enrolment was reported for about 10% and 5% of cohorts 1 and 2, respectively.

There were 101 and 205 first episodes of severe RVGE in cohorts 1 and 2, respectively (Table 2). The overall incidence rates of first severe RVGE episodes were 5.6 (95% CI 4.6–6.9) and 4.2 (95% CI 3.6–4.8) per 100 child-years in cohorts 1 and 2, respectively. The cumulative incidence and 95% confidence interval (CI) of first severe RVGE episodes at different ages are presented in Table 3. The median and IQR of the age of onset for first severe RVGE episodes was 33.3 weeks (IQR: 23.6, 47.3) in cohort 1 and 52.9 weeks (IQR: 34.1, 69.1) in cohort 2. There was variability in the cumulative incidence by country (Fig. 1).

Prior or current antibiotic use at enrolment was associated a twofold increase in the rate of first episodes of severe RVGE [adjusted HR: 2.03 (95% CI 1.18–3.48)] compared with those with no use in cohort 1 (Table 4). In cohort 2, the direction of the association was similar with the rate of first severe RVGE episodes estimated at about one and half times the rate in those with prior or current antibiotic use [adjusted HR: 1.41 (95% CI 0.80–2.51)] compared with those with no use. In addition, in cohort 1, females had a higher rate of severe RVGE compared with males [adjusted HR: 1.43 (95% CI 0.96–2.12)]. No variables in cohort 2 were strongly associated with first episodes of severe RVGE, but there was a lower rate of first severe RVGE episodes among those who did not receive BCG before enrolment compared with those who received one or more doses of BCG [adjusted HR: 0.65 (95% CI 0.35–1.21)]. Results including and excluding Kenya and Mali in the analysis were similar (Supplementary Table S1).

Table 1. Characteristics of the placebo arms (cohorts 1 and 2) at enrolment

Baseline characteristic	Cohort 1 N = 1614	Cohort 2 N = 3752
Demographic		
Age (weeks), median (IQR)	6 (6, 7)	7 (6, 9)
Female sex(%)	48.5	49.6
African race (%)	97.0	72.8
Asian race (%)	0.0	27.1
Exclusively breastfed (%)	–	81.3
Growth status		
Stunted (%)	22.1*	10.6 [†]
Underweight (%)	4.5	11.1
Wasting (%)	4.2*	20.7* [†]
Prior/current infection (%)	4.2	19.4
Prior/current antibiotic [‡] use (%)	9.2	5.6
Routine vaccines		
≥1 BCG [§] (%)	95.2	74.0
≥1 DTP–HB/HIB or DTaP & HB (%)	99.8	68.6
OPV		
0 Dose (%)	0.0	8.3
1 Dose (%)	11.8	47.9
2 Doses (%)	87.6	37.6
≥3 Doses (%)	0.6	6.2

IQR, interquartile range; BCG, Bacillus Calmette–Guérin vaccine; DTP–HB/HIB, diphtheria–tetanus–pertussis–Hepatitis B and –Haemophilus influenza B vaccines; DTaP, diphtheria and tetanus toxoids and acellular pertussis vaccine; HB, Hepatitis B vaccine; OPV, oral polio vaccine; GMC, geometric mean concentration.

*Missing one to 15 observations.

[†]Excluding Bangladesh.

[‡]Excluding topical antibiotics.

[§]Administered prior to enrolment.

In cohort 1, 17% of the study population dropped out or were lost to follow-up prior to the end of the study. In cohort 2, 3.9% of participants discontinued follow-up. Additional information on loss to follow-up in cohort 1 can be found in Supplementary Table S2.

Discussion

We analysed data from the placebo arms of two large trials conducted in LMICs to describe the timing and predictors of first severe RVGE episodes. The cumulative incidence of first severe RVGE episodes was low at 6 months of age. Early antibiotic use was associated with an increase in the rate of first severe RVGE episodes in both cohorts.

The cumulative incidence of first severe RVGE episode increased slowly but steadily over the first 1–2 years of life with a low risk of rotavirus at 6 months of age. In cohort 1, almost all severe RVGE cases occurred in the first year of life. This could be due to fewer cases occurring in the second year of life or because of decreased surveillance of gastroenteritis in that period. By contrast, severe RVGE events occurred steadily over about the first 24 months of age in cohort 2. The differences in the original clinical trials may account for these and any other

Table 2. Rates of first severe RVGE by cohort and country

Cohort/country	N	Median days of follow-up*	First severe RVGE		
			Episodes	Child-years	Rate† (95% CI)
Cohort 1	1614	327	101	1790	5.6 (4.6–6.9)
Malawi	581	553	62	705	8.8 (6.7–11.3)
South Africa	1033	323	39	1084	3.6 (2.6–4.9)
Cohort 2	3752	518	205	4876	4.2 (3.6–4.8)
Ghana	1102	527	57	1431	4.0 (3.0–5.2)
Kenya	651	483	15	700	2.1 (1.2–3.5)
Mali	981	539	61	1331	4.6 (3.5–5.9)
Bangladesh	568	540	56	831	6.7 (5.1–8.8)
Vietnam	450	480	16	583	2.7 (1.6–4.5)

RVGE, rotavirus gastroenteritis.

*From enrolment.

†Per 100 Child-years.

differences observed. For example, gastroenteritis and severity had slightly different definitions in each trial, and there were different mechanisms for capturing episodes of gastroenteritis (households vs. health facilities). In addition, the study sites and inclusion criteria were different for the two trials. Any of these factors may be a partial or complete cause of any differences observed between the two cohorts.

Although some studies have described age-specific rates of RVGE or the distribution of age of RVGE onset [18, 19, 21, 31], these studies have not differentiated episodes of severe and non-severe RVGE or first vs. subsequent episodes of RVGE. Therefore, due to different methods of presenting data, it is difficult to compare the cumulative incidence estimates to those from prior studies. However, in this study, we found did find the overall rates of severe RVGE in cohorts 1 and 2 were similar or slightly higher than previously reported estimates. A study in India reported the incidence of severe RVGE in the first year of life to be five events per 100 child-years [32], while another study in Pakistan reported approximately two events per 100 child-years [33].

Given the low risk of severe RVGE at 6 months of age, a delay of 4 weeks in the start of rotavirus vaccination could result in fewer episodes of severe RVGE if delaying vaccination improved

Table 3. Cumulative incidence of first severe RVGE episodes at different ages in cohorts 1 and 2

Age (months)	Cumulative incidence (95% CI) per 1000 infants	
	Cohort 1	Cohort 2
3	0.6 (0–2)	0
6	23 (15–30)	6 (3–8)
9	44 (34–55)	20 (16–25)
12	56 (44–68)	29 (24–35)
20	79 (63–95)	63 (54–72)

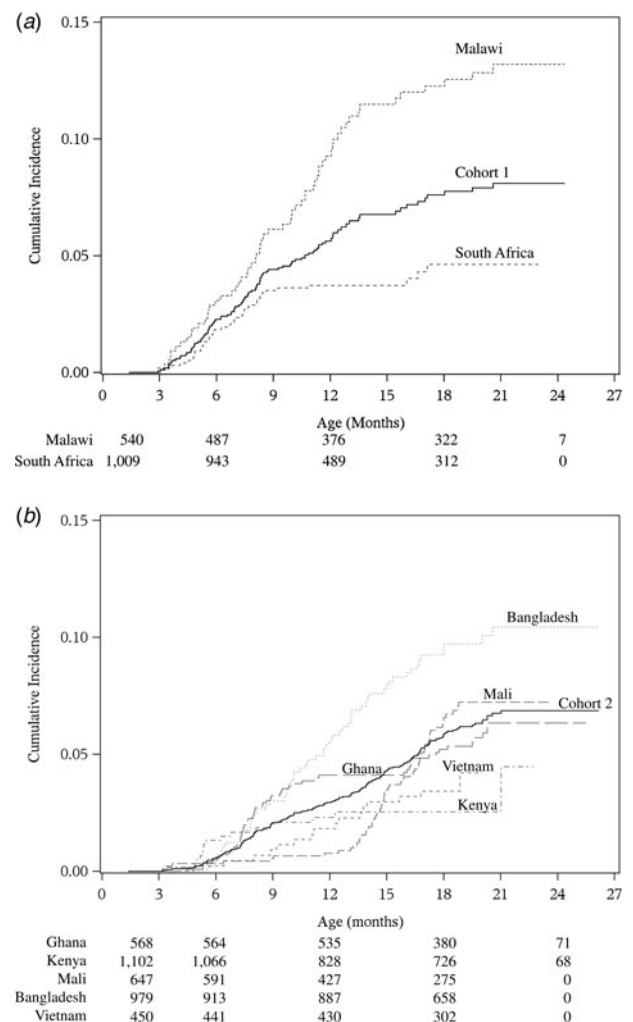
**Fig. 1.** Cumulative incidence of severe rotavirus gastroenteritis from 6 weeks of age in cohort 1 (a) and cohort 2 (b). Number at risk at the start of follow-up and at 6 months intervals is labelled at corresponding time points for each country below the x-axis.

Table 4. Predictors of first severe RVGE episodes in cohorts 1 and 2

Characteristic	Cohort 1* N = 1613, Episodes = 100		Cohort 2* N = 3746, Episodes = 205	
	Unadjusted [†] HR (95% CI)	Adjusted [†] HR (95% CI)	Unadjusted [†] HR (95% CI)	Adjusted [†] HR (95% CI)
Demographic				
Female sex vs. male (ref)	1.44 (0.97–2.15)	1.43 (0.96–2.12)	0.86 (0.65–1.13)	0.86 (0.65–1.13)
Exclusively breastfed vs. not (ref)	–	–	0.75 (0.48–1.15)	0.75 (0.48–1.16)
Growth status				
Stunted vs. not (ref)	0.78 (0.49–1.26)	0.75 (0.46–1.21)	–	–
Underweight vs. not (ref)	‡	‡	0.82 (0.52–1.30)	0.81 (0.51–1.29)
Wasting vs. not (ref)	‡	‡	--	--
Prior/current infection vs. none (ref)	‡	‡	0.99 (0.64–1.52)	0.89 (0.56–1.40)
Prior/current antibiotic [§] Use vs. none (ref)	1.97 (1.15–3.36)	2.03 (1.18–3.48)	1.40 (0.81–2.41)	1.41 (0.80–2.51)
Routine vaccines				
BCG ; no dose vs. ≥1 dose (ref)	‡	‡	0.63 (0.34–1.17)	0.65 (0.35–1.21)
DTP–HB/HIB [¶] ; no dose vs. ≥1 dose (ref)	‡	‡	1.00 (0.71–1.42)	1.08 (0.71–1.66)
OPV; ≤1 dose vs. ≥2 doses (ref)	0.79 (0.45–1.42)	0.80 (0.45–1.43)	0.94 (0.70–1.25)	0.95 (0.66–1.36)

BCG, Bacillus Calmette–Guérin vaccine; DTP–HB/HIB, diphtheria–tetanus–pertussis–Hepatitis B and –Haemophilus influenza B vaccines; DTaP, diphtheria and tetanus toxoids and acellular pertussis vaccine; HB, Hepatitis B vaccine; OPV, oral polio vaccine.

*One infant in cohort 1 experienced an episode prior to 6 weeks of age and 6 infants from cohort 2 entered and exited the study before 6 weeks of age.

[†]Adjusted for country.

[‡]Less than ten episodes in each strata.

[§]Administered prior to enrolment.

^{||}Excluding topical antibiotics.

[¶]Or DTaP & HB, which were the standard vaccines given in Asian countries.

the performance of the vaccine. Approximately 0.6–2.3% of unvaccinated infants experienced severe RVGE by 6 months of age. Therefore, the decision to delay the start of vaccination would depend on the level of improvement in vaccine effectiveness expected to occur with delayed vaccination, taking into account a potential small increase in risk before 6 months of age. Assessing timing of vaccination, the proportion of infants who would be successfully vaccinated under the proposed schedule and incidence of severe RVGE would be needed to understand the potential benefits and harms of delaying vaccinations.

In both cohorts, we found that antibiotic use early in life was associated with earlier first episodes of severe RVGE. To our knowledge, antibiotic use has not been reported to be associated with severe RVGE but has been linked to increased risk of diarrhoeal disease. Recent studies conducted in India found an increased risk of diarrhoeal disease in children receiving antibiotics at less than 6 months of age compared with those who did not [34], and a shorter time to the subsequent diarrhoeal episode when the first episode was treated with antibiotics [35]. Antibiotics reduce the diversity of the gut microbiota and can affect the early development of the infant microbiota [36]. It is likely that microbial colonisation and diversification play a critical role in susceptibility to diarrhoeal diseases and possibly severe RVGE. Conversely, it is also possible that antibiotic use is an indication of children who are sicker and exposed to more pathogens and are therefore more likely to develop severe RVGE. We were unable to assess antibiotic use after enrolment, nor the indication, duration and type of antibiotics received at enrolment. Further analyses addressing these aspects would be helpful to understand

these results. Although antibiotic use being associated with severe RVGE may have biological implications, this finding will not likely have broad public health significance in administration in the post-rotavirus vaccine era.

There are some limitations to this research. These data were collected as part of clinical trials. Therefore, the participants may not be generalisable to the broader study population of infants in each country, because trials typically have strict inclusion and exclusion criteria that do not always represent all the children who will be routinely vaccinated. However, it should be noted that there were few exclusion criteria for the RV5 trial, and it seems plausible that the study population would be relatively representative of all infants being vaccinated. In addition, the study inclusion and exclusion criteria for both cohorts 1 and 2 did not specifically prohibit children who received the placebo from being in the same household or neighbourhood as children who were vaccinated. If vaccinated children were nearby, there may have been potential for herd protection, which would decrease the number of unvaccinated (placebo) children with RVGE. As a result, the incidence of first episodes of severe RVGE in this study would not represent a completely unvaccinated cohort. Also, we were unable to estimate the rates and cumulative incidence of first severe RVGE episodes from birth, because the trial recruited children around 6 weeks of age. This means we have likely underestimated the incidence of first episodes of severe RVGE in early childhood by missing severe RVGE episodes occurring between birth and 6 weeks of age. Similarly, due to staggered enrolment by age, the earliest time period we had sufficient sample size to analyse the study populations was at 6 weeks of age.

This resulted in the exclusion of one severe RVGE episode from the cumulative incidence estimates and predictor analysis because the episode occurred prior to 6 weeks of age in cohort 1. Early episodes would be essential to include when weighing the option of beginning vaccination prior to 6 weeks of age. However, most routine schedules begin vaccination at 6 weeks or later, which means episodes occurring prior to 6 weeks could not be prevented through regular or delayed vaccination. Limited covariate data were also collected, and as a result, we were restricted in our ability to identify predictors of first severe RVGE episodes. There are likely other unmeasured factors that predict the occurrence of first episodes of severe RVGE. For example, recent results from the Etiology, Risk Factors, and Interactions of Enteric Infections and Malnutrition and the Consequences for Child Health and Development (MAL-ED) multisite study found that overcrowding (>two persons per room) and high pathogen load were associated with RVGE. These factors may have also been important in our study, but data were not available to assess these risk factors. Finally, there appeared to be differential dropout for some covariates in cohort 1. This could have resulted in some factors not being identified as predictors because these factors were associated with early dropout.

There are also several strengths of this analysis. The large sample size of infants prospectively followed allowed us to analyse severe RVGE episodes, which is often unfeasible in small studies. With these data, we could analyse the timing and predictors of first severe RVGE episodes to understand the potential impact of delaying the start of rotavirus vaccine schedules. In addition, the study participants came from a broad geographic area representing several different LMICs including both urban and rural areas. Also, severe RVGE outcomes were wild-type PCR-confirmed episodes of RVGE. Finally, we analysed data focusing on the age of severe RVGE and used methods that allowed for late-entry into the study such that the time scale could be age instead of time since enrolment, which is more relevant for understanding the timing of severe RVGE episodes and the potential impact of altering vaccine schedules.

In conclusion, the cumulative incidence of first episodes of severe RVGE in both cohorts was low at 6 months of age, indicating a delay up to 4 weeks in the start of vaccination may not result in a large number of severe RVGE episodes occurring prior to vaccination. In addition, we found early antibiotic use was associated with an increase in the rate of first severe RVGE episodes. These data provide important insights regarding the epidemiology of rotavirus in LMICs in the pre-vaccine era that may inform the use of rotavirus vaccines in LMICs.

Supplementary material. The supplementary material for this article can be found at <https://doi.org/10.1017/S0950268818000626>

Acknowledgements. Dr Gruber was supported by the University of North Carolina Graduate School Dissertation Completion Fellowship. Dr Becker-Dreps was supported by the National Institute of Allergy and Infectious Diseases at the National Institutes of Health (NIH) [grant number 1R56A1108515-01]. Dr Jonsson Funk receives investigator-initiated research funding and support as Principal Investigator from the National Heart Lung and Blood Institute at the NIH [grant no. R01 HL118255]; as a Co-Investigator from the NIH National Institute on Aging [grant no. R01 AG023178]; the NIH National Center for Advancing Translational Sciences [grant no. 1UL1TR001111]; and AstraZeneca. Dr Jonsson Funk receives salary support from the Center for Pharmacoepidemiology in the Department of Epidemiology, Gillings School of Global Public Health (current members: GlaxoSmithKline, UCB BioSciences, Merck). Dr Brookhart was supported by a grant from the National Institutes of Health [grant no. 1R21HD080214-01A1].

Declaration of Interest. Data for this manuscript were provided by GlaxoSmithKline (GSK), Rixensart, Belgium through a third party, ClinicalStudyDatarequest.com, and by Merck and Co., Inc., Kenilworth, NJ, USA. Neither company had involvement in this study design and analysis. Both Merck and Co., Inc., Kenilworth, NJ, USA and GSK, Rixensart, Belgium were given the opportunity to comment on this manuscript prior to publication. All decisions regarding the content of this manuscript were made by the authors. Dr Gruber was employed by Merck and Co., Inc., Kenilworth, NJ, USA as a graduate research assistant from January–December 2014 to work on research related to their rotavirus vaccine. Dr Jonsson Funk is a member of the Scientific Steering Committee (SSC) for a post-approval safety study of an unrelated drug class funded by GSK. All compensation for services provided on the SSC is invoiced by and paid to UNC Chapel Hill. Dr Jonsson Funk receives salary support from the Center for Pharmacoepidemiology in the Department of Epidemiology, Gillings School of Global Public Health (current members: GSK, UCB BioSciences, Merck and Co., Inc.). Dr Jonsson Funk does not accept personal compensation of any kind from any pharmaceutical company. Dr Brookhart has received investigator-initiated research funding from the NIH and through contracts with the AHRQ's DEcIDE program and the PCORI. Within the past three years, he has received research support from Amgen and AstraZeneca and has served as a scientific advisor for Amgen, Merck and Co., Inc., GSK, UCB BioSciences, and RxAnte. Within the past three years, Dr Brookhart has received research support from Amgen and AstraZeneca and has served as a scientific advisor for Amgen, Merck, GSK, Genentech, TargetPharma, and RxAnte. Dr Brookhart owns equity in NoviSci, LLC, a data sciences company.

Ethical Standards. The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national and institutional committees on human experimentation and with the Helsinki Declaration of 1975, as revised in 2008.

References

1. Parashar UD, et al. (2003) Global illness and deaths caused by rotavirus disease in children. *Emerging Infectious Diseases* **9**(5), 565–572.
2. Tate JE, et al. (2012) 2008 estimate of worldwide rotavirus-associated mortality in children younger than 5 years before the introduction of universal rotavirus vaccination programmes: a systematic review and meta-analysis. *The Lancet Infectious Diseases* **12**(2), 136–141.
3. Centers for Disease C, Prevention (2011) Rotavirus surveillance – worldwide, 2009. *Morbidity and Mortality Weekly Report* **60**(16), 514–516.
4. Glass RI, et al. (2006) Rotavirus and rotavirus vaccines. *Advances in Experimental Medicine and Biology* **582**, 45–54.
5. Shaw AR (2013) The rotavirus saga revisited. *Annual Review of Medicine* **64**, 165–174.
6. Anon (2009) Meeting of the immunization Strategic Advisory Group of Experts, April 2009--conclusions and recommendations. *Releve epidemiologique hebdomadaire/Section d'hygiene du Secretariat de la Societe des Nations = Weekly epidemiological record/Health Section of the Secretariat of the League of Nations* **84**(23), 220–236.
7. Ruiz-Palacios GM, et al. (2006) Safety and efficacy of an attenuated vaccine against severe rotavirus gastroenteritis. *The New England Journal of Medicine* **354**(1), 11–22.
8. Iwata S, et al. (2013) Efficacy and safety of pentavalent rotavirus vaccine in Japan: a randomized, double-blind, placebo-controlled, multicenter trial. *Human Vaccines & Immunotherapeutics* **9**(8), 1626–1633.
9. Vesikari T, et al. (2006) Safety and efficacy of a pentavalent human-bovine (WC3) reassortant rotavirus vaccine. *The New England Journal of Medicine* **354**(1), 23–33.
10. Madhi SA, et al. (2010) Effect of human rotavirus vaccine on severe diarrhea in African infants. *The New England Journal of Medicine* **362**(4), 289–298.
11. Armah GE, et al. (2010) Efficacy of pentavalent rotavirus vaccine against severe rotavirus gastroenteritis in infants in developing countries in sub-Saharan Africa: a randomised, double-blind, placebo-controlled trial. *Lancet* **376**(9741), 606–614.

12. Zaman K, *et al.* (2010) Efficacy of pentavalent rotavirus vaccine against severe rotavirus gastroenteritis in infants in developing countries in Asia: a randomised, double-blind, placebo-controlled trial. *Lancet* **376** (9741), 615–623.
13. Armah G, *et al.* (2016) A randomized, controlled trial of the impact of alternative dosing schedules on the immune response to human rotavirus vaccine in rural Ghanaian infants. *The Journal of Infectious Diseases* **213** (11), 1678–1685.
14. Colgate ER, *et al.* (2016) Delayed dosing of oral rotavirus vaccine demonstrates decreased risk of rotavirus gastroenteritis associated with serum zinc: a randomized controlled trial. *Clinical Infectious Diseases* **63**(5), 634–641.
15. Gruber JF, *et al.* (2017) Heterogeneity of rotavirus vaccine efficacy among infants in developing countries. *The Pediatric Infectious Disease Journal* **36** (1), 72–78.
16. Ali SA, *et al.* (2014) Impact of different dosing schedules on the immunogenicity of the human rotavirus vaccine in infants in Pakistan: a randomized trial. *The Journal of Infectious Diseases* **210**(11), 1772–1779.
17. Glass RI, *et al.* (2014) Rotavirus vaccines: successes and challenges. *Journal of Infection* **68**(Suppl 1), S9–S18.
18. Espinoza F, *et al.* (1997) Rotavirus infections in young Nicaraguan children. *The Pediatric Infectious Disease Journal* **16**(6), 564–571.
19. Fischer TK, *et al.* (2002) Protective immunity after natural rotavirus infection: a community cohort study of newborn children in Guinea-Bissau, West Africa. *The Journal of Infectious Diseases* **186**(5), 593–597.
20. Georges-Courbot MC, *et al.* (1988) Prospective longitudinal study of rotavirus infections in children from birth to two years of age in Central Africa. *Annales de l'Institut Pasteur Virology* **139**(4), 421–428.
21. Gladstone BP, *et al.* (2011) Protective effect of natural rotavirus infection in an Indian birth cohort. *The New England Journal of Medicine* **365**(4), 337–346.
22. Velazquez FR, *et al.* (1996) Rotavirus infections in infants as protection against subsequent infections. *The New England Journal of Medicine* **335**(14), 1022–1028.
23. Steele AD, *et al.* (2016) Incidence of rotavirus gastroenteritis by age in African, Asian and European children: relevance for timing of rotavirus vaccination. *Human Vaccines & Immunotherapeutics* **12**(9), 2406–2412.
24. Steele AD, *et al.* (2012) Human rotavirus vaccine Rotarix provides protection against diverse circulating rotavirus strains in African infants: a randomized controlled trial. *BMC Infectious Diseases* **12**, 213.
25. Ruuska T and Vesikari T (1990) Rotavirus disease in Finnish children: use of numerical scores for clinical severity of diarrhoeal episodes. *Scandinavian Journal of Infectious Diseases* **22**(3), 259–267.
26. Armah GE, *et al.* (2012) Immunogenicity of the pentavalent rotavirus vaccine in African infants. *Vaccine* **30**(Suppl 1), A86–A93.
27. The WHO Child Growth Standards (<http://www.who.int/childgrowth/standards/en/>). Accessed 5 July 2015.
28. Ulm K (1990) A simple method to calculate the confidence interval of a standardized mortality ratio (SMR). *American Journal of Epidemiology* **131**(2), 373–375.
29. Klein JP and Moeschberger ML (2005) *Survival Analysis: Techniques for Censored and Truncated Data*. New York, NY, USA: Springer Science & Business Media.
30. Sow SO, *et al.* Efficacy of the oral pentavalent rotavirus vaccine in Mali. *Vaccine* **2012**; **30**(Suppl 1): A71–A78.
31. Reves RR, *et al.* (1989) An observational study of naturally acquired immunity to rotaviral diarrhea in a cohort of 363 Egyptian children. Calculation of risk for second episodes using age-specific person-years of observation. *American Journal of Epidemiology* **130**(5), 981–988.
32. Chandola TR, *et al.* (2013) Descriptive epidemiology of rotavirus infection in a community in North India. *Epidemiology and Infection* **141** (10), 2094–2100.
33. Qazi R, *et al.* (2009) Population-based surveillance for severe rotavirus gastroenteritis in children in Karachi, Pakistan. *Vaccine* **27**(Suppl 5): F25–F30.
34. Rogawski ET, *et al.* (2015) The effect of early life antibiotic exposures on diarrheal rates among young children in Vellore, India. *The Pediatric Infectious Disease Journal* **34**(6), 583–588.
35. Rogawski ET, *et al.* (2015) Antibiotic treatment of diarrhoea is associated with decreased time to the next diarrhoea episode among young children in Vellore, India. *International Journal of Epidemiology* **44**(3), 978–987.
36. Johnson CL and Versalovic J (2012) The human microbiome and its potential importance to pediatrics. *Pediatrics* **129**(5), 950–960.