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# A 3D parallel Particle-In-Cell solver for extreme wave interaction with floating bodies

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## Abstract

Floating structures are widely used for vessels, offshore platforms, and recently considered for deep water floating offshore wind system and wave energy devices. However, modelling complex wave interactions with floating structures, particularly under extreme conditions, remains an important challenge. Following the three-dimensional (3D) parallel particle-in-cell (PIC) model developed for simulating wave interaction with fixed bodies, this paper further extends the methodology and develops a new 3D parallel PIC model for applications to floating bodies. The PIC model uses both Lagrangian particles and Eulerian grid to solve the incompressible Navier-Stokes equations, attempting to combine both the Lagrangian flexibility for handling large free-surface deformations and Eulerian efficiency in terms of CPU cost. The wave-structure interaction is resolved via inclusion of a Cartesian cut cell method based two-way strong fluid-solid coupling algorithm that is both stable and efficient. The numerical model is validated against 3D experiments of focused wave interaction with a floating moored buoy. Good agreement between the numerical and experimental results has been achieved for the motion of the buoy and the mooring force. Additionally, the PIC model achieves a CPU efficiency of the same magnitude as that of the state-of-the-art OpenFOAM<sup>®</sup> model for an extreme wave-structure interaction scenario.

*Keywords:* Wave-structure interaction, Extreme wave, Floating bodies, Particle-In-Cell method, OpenFOAM<sup>®</sup> model

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## 1. Introduction

In the past few decades, computational fluid dynamics (CFD) methods have become more and more popular within the ocean engineering field. Typical examples are the grid-based Eulerian model such as OpenFOAM<sup>®</sup> and the particle-based Lagrangian model such as the smoothed particle hydrodynamics (SPH) method based SPHysics. While the former models are relatively efficient due to the use of a fixed grid, the latter solvers are more suitable for handling large free-surface deformations via using particles. In an attempt to combine the advantages of Eulerian and Lagrangian methods, the Particle-In-Cell (PIC) method was invented through a combined use of particles and grid (Harlow, 1955, 1964). Typically, the particles are used to solve the transport terms and track the free-surface position, while the grid is employed for solving the non-advection terms. Thus, it is possible to achieve both Lagrangian flexibilities and Eulerian efficiency in the PIC framework. However, sophisticated schemes must be developed for the interaction between the fixed grid and the scattered particles in order to drive the computation and maintain numerical accuracy and stability. The early versions of the PIC method are successful, see e.g. Harlow (1964), but have a few drawbacks such as high numerical dissipation, low accuracy and demanding memory storage requirement. Later, many attempts have been made to improve this method (see Brackbill and Ruppel (1986); Brackbill et al. (1988); Nishiguchi and Yabe (1982, 1983)). More recently, high-order PIC variations have become possible (see Edwards and Bridson (2012), Maljaars et al. (2018) and Wang and Kelly (2018)). However, so far this hybrid method has not been very well exploited for use in the ocean engineering field, where modelling complex wave-structure interaction with computational efficiency still remains an important challenge.

Early attempts of developing a PIC method based numerical model for modelling wave-structure interaction processes in the coastal and offshore environment can be found in Kelly (2012); Kelly et al. (2015); Chen et al. (2016a,b, 2017, 2018). These studies nevertheless have shown great potential of the PIC method in becoming a high quality CFD tool. In particular, Chen et al. (2016b) developed a Cartesian cut cell method based two-way strong fluid-solid coupling algorithm for wave interaction with floating bodies in their two-dimensional (2D) PIC framework. The key point of this coupling methodology is that the velocity of the rigid floating body has been implicitly represented by the pressure in cells immediately surrounding the solid. Thus, any implicit calculations of the velocity fluxes along the solid surface required by the cut cell method can be integrated into the procedure for solving a suitably amended pressure Poisson equation (PPE). This makes the proposed scheme both stable and efficient, as no iterations are needed when dealing with wave interaction with

47 freely moving structures. Very recently, [Chen et al. \(2018\)](#) extended the PIC model of [Chen](#)  
48 [et al. \(2016b\)](#) to three spatial dimensions, and parallelised the model using the domain  
49 decomposition based Message Passing Interface (MPI) approach. Nevertheless, they only  
50 managed to apply the three-dimensional (3D) parallel PIC solver to wave interaction with  
51 fixed or motion prescribed structures.

52 Cut cell method has been widely employed in CFD modelling as an alternative to the  
53 traditional structured or unstructured body-fitted grid. Instead of having to regenerate the  
54 body-fitted grid as the boundary moves, the cut cell method uses the boundary segment  
55 to intersect with a stationary background grid, leading to simply different cut cells that  
56 are composed of the boundary segment and grid cell segments to represent the boundary  
57 surface. [Yang et al. \(1997\)](#) developed a Cartesian cut cell method applicable to compressible  
58 flows around static and moving bodies. [Causon et al. \(2000, 2001\)](#) proposed a Cartesian  
59 cut cell method for shallow water flows involving fixed and moving boundaries. [Qian et al.](#)  
60 [\(2006\)](#) later employed the cut cell method developed in the aforementioned papers to their  
61 two-fluid solver involving fluid interaction with moving solids. While in the aforementioned  
62 papers the cut cell method is developed in a collocated Cartesian grid environment, [Ng et al.](#)  
63 [\(2009\)](#) proposed a cut cell method within a staggered grid arrangement for fluid interaction  
64 with fixed and motion prescribed structures. Later, their cut cell approach was developed  
65 by [Chen et al. \(2016b\)](#) to simulate 2D freely moving structures as mentioned above. In this  
66 paper, the cut cell approach of [Chen et al. \(2016b\)](#) is further extended to model 3D floating  
67 bodies.

68 In the open literature, investigations on wave interaction with floating bodies have been  
69 carried out extensively using various numerical models and physical experiments. Physical  
70 experimental data is required to validate the numerical models, which in turn can help se-  
71 lect experimental conditions and reduce the cost of physical modelling studies. [Hann et al.](#)  
72 [\(2015\)](#) experimentally studied focused wave interaction with a simplified wave energy con-  
73 verter (WEC), consisting of a free-floating buoy and a mooring system that encourages the  
74 occurrence of extreme snatch load. [Ransley et al. \(2017b\)](#) simulated regular wave interaction  
75 with a freely-pitching, 1:10 scale model of the Wavestar using OpenFOAM<sup>®</sup> and successfully  
76 reproduced the fully coupled motion of the device. Using the same OpenFOAM<sup>®</sup> model,  
77 [Ransley et al. \(2017a\)](#) studied focused wave interaction with the simplified WEC presented  
78 in [Hann et al. \(2015\)](#), with an alternative mooring system that does not encounter snatch  
79 loads. Their OpenFOAM<sup>®</sup> model well reproduced the motion of the buoy and mooring load  
80 measured in physical experiments. [Omidvar et al. \(2013\)](#) applied the SPH method with vari-

81 able mass distribution to a single heaving-float WEC, known as the ‘Manchester Bobber’,  
 82 in extreme waves and compared the results with experiments in a wave tank. [Lind et al.](#)  
 83 (2016) simulated the experiment of [Hann et al. \(2015\)](#) using SPH with the Froude-Krylov  
 84 approximation. Their SPH model well reproduces the snatch and non-snatch mooring load  
 85 in non-breaking waves, but predicts the snatch mooring load less accurately in breaking  
 86 waves. [Gunn et al. \(2018\)](#) investigated regular wave interaction with a floating moored  
 87 spherical buoy also using the SPH method and provided experimental data for validation.  
 88 Their numerical results based on the SPH method are very promising and compare well with  
 89 the experimental measurements of the motion of the buoy. These studies provide useful data  
 90 for validating other computational methods.

91 In this paper we further extend the 3D parallel PIC model proposed in [Chen et al.](#)  
 92 (2018) to simulate wave interaction with floating bodies using the fluid-solid coupling algo-  
 93 rithm proposed in [Chen et al. \(2016b\)](#). In particular, as there is still a lack of confidence  
 94 in the capability of numerical models on handling extreme wave events and their interac-  
 95 tion with floating structures ([Ransley et al., 2017a](#)), physical experiments of focused wave  
 96 interaction with a moored floating buoy, encountering both snatch and non-snatch mooring  
 97 load, are used to validate the present numerical model. We show that the newly developed  
 98 3D parallel PIC solver is capable of modelling extreme wave interaction with floating bodies  
 99 both accurately and efficiently.

100 The paper is organised as follows: [Section 2](#) gives an overview of the current PIC model  
 101 including the governing equations and major numerical implementations. Next, in [Section 3](#)  
 102 the numerical model is validated against an existing experiment of focused wave interaction  
 103 with a moored floating buoy. Finally, in [Section 4](#) conclusions are drawn.

## 104 **2. Numerical Model**

### 105 *2.1. Governing equations*

106 The present PIC model solves the incompressible Newtonian Navier-Stokes equations for  
 107 single-phase flow:

$$\nabla \cdot \mathbf{u} = 0, \tag{1}$$

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} = \mathbf{f} - \frac{1}{\rho} \nabla p + \nu \nabla^2 \mathbf{u}, \tag{2}$$

109 where, in 3D,  $\mathbf{u} = [u, v, w]^T$  is the velocity field;  $\mathbf{f} = [0.0, 0.0, -9.81 \text{ m/s}^2]^T$  represents the  
 110 body force due to gravity;  $p$  is pressure;  $t$  is time, and  $\nu$  and  $\rho$  are the kinematic viscosity and

111 density of the fluid respectively. Both a set of particles and a underlying grid are employed to  
 112 discretise the computational domain. Following [Harlow and Welch \(1965\)](#), a staggered grid  
 113 is used where pressures are computed at cell centres, whose positions along the  $x$ -,  $y$ - and  
 114  $z$ -directions are labelled by the indices  $i$ ,  $j$  and  $k$  respectively, and velocities are calculated  
 115 at the centres of relevant cell faces, whose positions are numbered with half-integer values of  
 116 the indices. [Fig. 1](#) shows a schematic of the computational setup, where both the staggered  
 117 grid and the fluid particles are sketched. The particles carry the fluid properties such as  
 118 the mass and momentum, and are used to solve the nonlinear advection term (the second  
 119 term on the left hand side (LHS) of [Eq. 2](#)) in a Lagrangian manner and hence track the  
 120 configuration of the fluid including the free-surface position, while the underlying grid is  
 121 employed solely for computational convenience for solving the non-advection terms in an  
 122 Eulerian sense. Initially, eight particles are seeded in each cubic cell accommodating the  
 123 fluid area, and as the simulation progresses cells occupied by the particles are marked as  
 124 fluid cells.

125 Two main steps are used to solve the governing equations, and they are an Eulerian step  
 126 and a Lagrangian step. First, in the Eulerian step the governing equations are solved on  
 127 the grid with the nonlinear advection term being ignored. Then, in the Lagrangian step the  
 128 solution on the grid including a divergence-free velocity field and an acceleration field are  
 129 used to update the velocity field carried by the particles, and the remaining advection term  
 130 is solved by moving the particles in a Lagrangian manner. The fluid-structure interaction is  
 131 resolved during the Eulerian step and the velocity and position of the structure are advanced  
 132 during the Lagrangian step. It is noted that no turbulence models are incorporated in the  
 133 present numerical model, thus the test case used for validation study in [Section 3](#) is carefully  
 134 selected. For full details of the solution procedure, the interested reader is referred to [Chen  
 135 et al. \(2018\)](#). In what follows, the major components and equations used in the 3D PIC  
 136 model are briefly introduced, with the implementation of the fluid-structure interaction  
 137 algorithm for freely moving structures being highlighted.

## 138 *2.2. Eulerian step*

139 In the Eulerian step, the governing equations ignoring the nonlinear advection term in  
 140 the momentum equation are solved on the grid. Note that prior to the solutions, the velocity  
 141 field carried by the particles  $\mathbf{v}_p^n$  at the time step  $n$  is mapped onto the grid to form a velocity  
 142 field  $\mathbf{u}^n$ . This is done by using a kernel interpolation that conserves mass and momentum  
 143 (see more details in [Chen et al. \(2018\)](#)). The solution uses the pressure projection method  
 144 proposed in [Chorin \(1968\)](#). The governing equations are solved and the time is advanced in

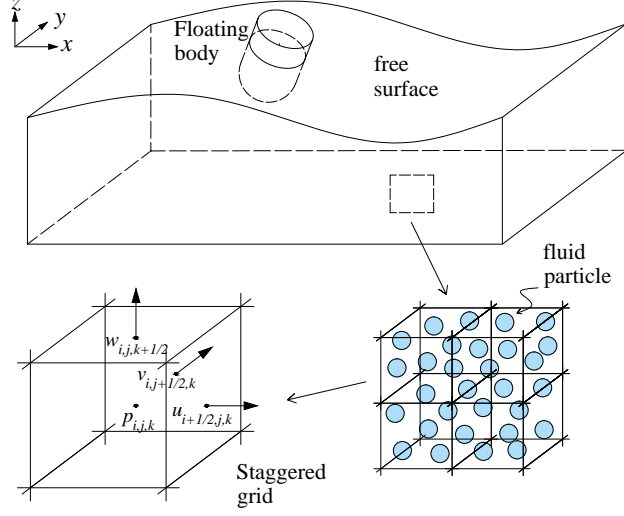


Fig. 1: Sketch of the computational domain, the staggered grid and fluid particles.

145 the following steps:

$$\frac{\tilde{\mathbf{u}} - \mathbf{u}^n}{\Delta t} = \nu \nabla^2 \mathbf{u}^n + \mathbf{f}, \quad (3)$$

$$\frac{(\mathbf{u}^{n+1} - \tilde{\mathbf{u}})}{\Delta t} = -\rho^{-1} \nabla p^{n+1}, \quad (4)$$

$$\Delta t \rho^{-1} \nabla^2 p^{n+1} = \nabla \cdot \tilde{\mathbf{u}}, \quad (5)$$

$$\mathbf{u}^{n+1} = \tilde{\mathbf{u}} - \Delta t \rho^{-1} \nabla p^{n+1}, \quad (6)$$

149 where  $\tilde{\mathbf{u}}$  is a tentative velocity between  $\mathbf{u}^n$  and  $\mathbf{u}^{n+1}$  and  $\Delta t$  is the time step. Eq. 5 is a  
 150 pressure Poisson equation (PPE), which is discretised and solved in a finite volume sense in  
 151 the current solver. In addition, during the solution of the PPE, the boundary conditions on  
 152 both the free surface and the structure surface are resolved.

153 Following Ng et al. (2009), the boundary conditions imposed on the structure surface  
 154 are:

$$\mathbf{n} \cdot \mathbf{u} = \mathbf{n} \cdot \mathbf{U}_b \quad \text{and} \quad \mathbf{n} \cdot (\Delta t \rho^{-1} \nabla p) = \mathbf{n} \cdot (\tilde{\mathbf{U}}_b - \mathbf{U}_b^{n+1}) \quad \text{on } \partial\Omega_S(\mathbf{x}, t), \quad (7)$$

where  $\tilde{\mathbf{U}}_b$  represents a tentative velocity on the structure surface;  $\mathbf{U}_b^{n+1}$  is the velocity on the structure surface at time step  $n + 1$ ;  $\mathbf{n}$  is the unit outward normal vector of the structure surface and  $\partial\Omega_S$  represents the structure surface. Integrating both sides of the PPE (Eq. 5) over a fluid cell,  $G_{ijk}$ , that is partially occupied by a solid structure and evoking

the divergence theorem and Eq. 7, a discretised PPE can be expressed by:

$$\begin{aligned}
& E_{i-\frac{1}{2},j,k} \cdot \frac{\Delta t(p_{i-1,j,k}^{n+1} - p_{i,j,k}^{n+1})}{\rho\Delta x} + E_{i+\frac{1}{2},j,k} \cdot \frac{\Delta t(p_{i+1,j,k}^{n+1} - p_{i,j,k}^{n+1})}{\rho\Delta x} + \\
& E_{i,j-\frac{1}{2},k} \cdot \frac{\Delta t(p_{i,j-1,k}^{n+1} - p_{i,j,k}^{n+1})}{\rho\Delta y} + E_{i,j+\frac{1}{2},k} \cdot \frac{\Delta t(p_{i,j+1,k}^{n+1} - p_{i,j,k}^{n+1})}{\rho\Delta y} + \\
& E_{i,j,k-\frac{1}{2}} \cdot \frac{\Delta t(p_{i,j,k-1}^{n+1} - p_{i,j,k}^{n+1})}{\rho\Delta z} + E_{i,j,k+\frac{1}{2}} \cdot \frac{\Delta t(p_{i,j,k+1}^{n+1} - p_{i,j,k}^{n+1})}{\rho\Delta z} \\
& = E_{i+\frac{1}{2},j,k} \cdot \tilde{u}_{i+\frac{1}{2},j,k} - E_{i-\frac{1}{2},j,k} \cdot \tilde{u}_{i-\frac{1}{2},j,k} + E_{i,j+\frac{1}{2},k} \cdot \tilde{v}_{i,j+\frac{1}{2},k} - E_{i,j-\frac{1}{2},k} \cdot \tilde{v}_{i,j-\frac{1}{2},k} + \\
& E_{i,j,k+\frac{1}{2}} \cdot \tilde{w}_{i,j,k+\frac{1}{2}} - E_{i,j,k-\frac{1}{2}} \cdot \tilde{w}_{i,j,k-\frac{1}{2}} - \int_{G_{i,j,k} \cap \partial\Omega_S} \mathbf{n} \cdot \mathbf{U}_b^{n+1} dA, \quad (8)
\end{aligned}$$

155 where the subscripts are the space indices described in Section 2.1;  $E$  represents the area  
156 of a cell face that is not occupied by structures;  $dA$  represents the area differential;  $\Delta x$ ,  
157  $\Delta y$  and  $\Delta z$  are the grid sizes in the  $x$ -,  $y$ - and  $z$ -directions respectively and note that a  
158 uniform grid is currently employed in the solver, i.e.  $\Delta x = \Delta y = \Delta z$ . The interested reader  
159 is referred to Ng et al. (2009) for the derivation of Eq. 8. It is noticed that the last term  
160 on the right hand side (RHS) of Eq. 8 is a velocity integral on the structure surface within  
161 the computational cell  $G_{i,j,k}$ , and the integral involves the velocity  $\mathbf{U}_b^{n+1}$  imposed on the  
162 structure surface at the time step  $n + 1$ . For fixed and motion prescribed structures (e.g.  
163 a wavemaker),  $\mathbf{U}_b^{n+1}$  is known. However, for freely moving structures,  $\mathbf{U}_b^{n+1}$  is unknown at  
164 the time step  $n$  when Eq. 8 needs to be solved. The Cartesian cut cell based two-way strong  
165 fluid-solid coupling algorithm presented in Chen et al. (2016b) is employed to resolve this  
166 issue. Here, the solution is to transfer the structure velocity to the fluid pressures in cells  
167 immediately surrounding the structure:

$$\mathbf{U}^{n+1} = \mathbf{U}^n + \Delta t \mathbf{M}_s^{-1} \mathbf{J} p^{n+1} + \Delta t \mathbf{M}_s^{-1} (\mathbf{F}_g + \mathbf{F}_{ext}), \quad (9)$$

168 where  $\mathbf{U}^{n+1}$  and  $\mathbf{U}^n$  are the structure velocities at time steps  $n + 1$  and  $n$ , respectively;  
169  $\mathbf{M}_s$  is the mass matrix of the structure;  $\mathbf{J}$  is an operator that maps the pressures to net  
170 forces and torques on the structure;  $\mathbf{F}_g$  denotes the force and torque on the structure due to  
171 gravity;  $\mathbf{F}_{ext}$  represents the external forces and torques due to, for example, moorings. Once  
172 the structure velocity  $\mathbf{U}^{n+1}$  is constructed using Eq. 9, the velocity integral in Eq. 8 can be  
173 expressed purely in terms of the pressures to be solved for, leading to a revised PPE. The  
174 construction of operator  $\mathbf{J}$  and the handling of the velocity integral on the structure surface  
175 are discussed in Section 2.4.1. The resulting linear system of equations are solved using the



176 bi-conjugate gradient (BCG) method (Press et al., 1992).

177 On the free surface, the boundary condition enforced is:

$$p = 0 \quad \text{on } \zeta(\mathbf{x}, t), \quad (10)$$

178 where  $\zeta(\mathbf{x}, t)$  represents the free-surface position reconstructed on the grid based on the  
179 particle position. The implementation of the free-surface boundary condition within the  
180 current PIC model is detailed in Chen et al. (2018) and is not repeated here.

### 181 2.3. Lagrangian step

182 In this step, the velocity field carried by the particles is updated and the particles are  
183 moved to solve the remaining nonlinear advection term in a Lagrangian manner. To update  
184 the particle velocity in the PIC framework, two approaches are commonly used. One is  
185 to directly interpolate the velocity field from the grid, and the other is to increment the  
186 particle velocity field through an acceleration field,  $\mathbf{a}^{n+1} = \mathbf{u}^{n+1} - \mathbf{u}^n$ , on the grid. While  
187 the former approach is commonly referred to as “classical” PIC (Harlow, 1964), the latter one  
188 is characterised as “full particle” PIC (Brackbill and Ruppel, 1986). While “classical” PIC is  
189 more dissipative and stable due to the velocity interpolation back and forth, “full particle”  
190 PIC leads to much less numerical dissipation because the velocity increment is relatively  
191 small at each time step. Nevertheless, by incrementing the particle velocity at each time  
192 step “full particle” PIC also allows the associated numerical errors to accumulate which can  
193 cause numerical instability (Jiang et al., 2015). As a trade-off between numerical stability  
194 and accuracy, Zhu and Bridson (2005) proposed using an empirical blending coefficient  
195 between “classical” PIC and “full particle” PIC, which calculates the final particle velocity  
196 by:

$$\mathbf{v}_p^{n+1} = c(\mathbf{v}_p^n + \sum_i \mathbf{a}^{n+1} S_i) + (1 - c) \sum_i \mathbf{u}^{n+1} S_i, \quad (11)$$

197 where  $\mathbf{v}_p$  is the particle velocity;  $S_i$  represents an interpolation function, and  $c$  is the blending  
198 coefficient. Eq. 11 is used in the current PIC framework, and  $c$  is set at 0.96 following Chen  
199 et al. (2016b) so as to stabilise the code while keeping the associated numerical dissipation  
200 as low as possible. After the velocity field carried by the particles are updated, the particles  
201 are then moved through the divergence-free velocity field on the grid using the third-order  
202 accurate Runge-Kutta scheme of Ralston (1962). Details of these implementations are in-  
203 troduced in Chen et al. (2018). Finally, after the particles are advected one computational  
204 cycle of solving the Navier-Stokes equations is completed.

205 As mentioned above, the velocity and position of the structure are also advanced in this  
 206 step. Following [Chen et al. \(2016b\)](#), the velocity of the structure is updated using [Eq. 9](#),  
 207 with  $\mathbf{J}p^{n+1}$  being replaced by an integral of the fluid pressure over the wetted area of the  
 208 structure surface. Once the structure velocity is updated, the translational displacement  
 209 and rotational angle of the structure,  $\mathbf{D}^n$ , is calculated by:

$$\mathbf{D}^n = \frac{(\mathbf{U}^n + \mathbf{U}^{n-1})}{2} \Delta t. \quad (12)$$

210 Assuming that all rotations are small at each time step, the sequence of rotation becomes  
 211 unimportant and the Euler angles are used in the current implementation. Take  $(x, y, z)$  to  
 212 be a point on the structure surface with reference to a coordinate system localised at the  
 213 moving structure. After the rotations involving three angles  $(\theta_x, \theta_y, \theta_z)$  with reference to the  
 214 axes of the local coordinate system, the new coordinate of that point  $(X, Y, Z)$  within the  
 215 local coordinate system is calculated by:

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix} = \begin{bmatrix} \cos\theta_y \cos\theta_z & -\cos\theta_x \sin\theta_z + \sin\theta_x \sin\theta_y \cos\theta_z & \sin\theta_x \sin\theta_z + \cos\theta_x \sin\theta_y \cos\theta_z \\ \cos\theta_y \sin\theta_z & \cos\theta_x \cos\theta_z + \sin\theta_x \sin\theta_y \sin\theta_z & -\sin\theta_x \cos\theta_z + \cos\theta_x \sin\theta_y \sin\theta_z \\ -\sin\theta_y & \cos\theta_y \sin\theta_x & \cos\theta_y \cos\theta_x \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} \quad (13)$$

## 216 2.4. Additional numerical implementations

### 217 2.4.1. Construction of operator $\mathbf{J}$

218 As discussed in [Section 2.2](#), the two-way strong fluid-solid coupling algorithm employed  
 219 for floating bodies requires an operator  $\mathbf{J}$  that maps the fluid pressure to net forces and  
 220 torques on the structure. The operator  $\mathbf{J}$  is formed following [Batty et al. \(2007\)](#). For  
 221 example, the  $x$ -component of the translational force on the structure can be written as:

$$F_x = - \iint_{\partial\Omega_S} p \mathbf{n} dA = - \iiint_{\Omega_S} \nabla p dV \simeq - \sum_{i,j,k} V_{i+1/2,j,k} \frac{p_{i+1,j,k} - p_{i,j,k}}{\Delta x}, \quad (14)$$

222 where  $dA$  and  $dV$  are the area and volume differential respectively;  $V_{i+1/2,j,k}$  is the volume  
 223 of velocity cell that is occupied by the structure; the velocity cell in this case is the cubic  
 224 cell whose centre is located at  $u_{i+1/2,j,k}$ . Here, in the 3D code the volume of velocity cell is  
 225 computed in the same manner as that proposed in [Chen et al. \(2016b\)](#). Rewriting [Eq. 14](#),

226 the  $x$ -component of the translational force part of the operator  $\mathbf{J}$  is obtained:

$$J_{1,(i,j,k)} = \frac{V_{i+1/2,j,k} - V_{i-1/2,j,k}}{\Delta x}. \quad (15)$$

227 The  $y$ - and  $z$ -components of the translational force part  $J_{2,(i,j,k)}$  and  $J_{3,(i,j,k)}$  are formed in  
228 the same manner.

229 Similarly, the torque on a structure can be expressed by:

$$\mathbf{T} = - \iint_{\partial\Omega_S} (\mathbf{r} - \mathbf{r}_c) \times p \mathbf{n} dA = \iiint_{\Omega_S} \nabla p \times (\mathbf{r} - \mathbf{r}_c) dV, \quad (16)$$

230 where  $\mathbf{r}_c$  is the structure rotation centre and  $\mathbf{r}$  is the point of action of a fluid force fraction.  
231 Discretising and rewriting Eq. 16 in the same manner as above, the torque part of the  
232 operator  $\mathbf{J}$  with reference to the  $x$ -axis, for example, is finally obtained:

$$J_{4,(i,j,k)} = -\frac{V_{i,j+1/2,k} - V_{i,j-1/2,k}}{\Delta y} (z_{i,j,k} - Z_c) + \frac{V_{i,j,k+1/2} - V_{i,j,k-1/2}}{\Delta z} (y_{i,j,k} - Y_c), \quad (17)$$

233 where  $Y_c, Z_c$  and  $y_{i,j,k}, z_{i,j,k}$  are the coordinates of the structure rotation centre and the point  
234 of action, respectively. Note that the torque parts with reference to the  $y$ - and  $z$ -axes  $J_{5,(i,j,k)}$   
235 and  $J_{6,(i,j,k)}$  are computed in the same manner.

236 With the operator  $\mathbf{J}$  being constructed, the structure velocity  $\mathbf{U}^{n+1}$  can be explicitly  
237 expressed in terms of the pressure via Eq. 9, Eq. 15 and Eq. 17. Therefore, the velocity  
238 integral at the RHS of Eq. 8 can also be expressed as a function of the pressure in cells  
239 immediately surrounding the structure. This is because the velocity at any point on the  
240 structure surface can be calculated by:

$$\mathbf{U}_b^{n+1} = \mathbf{U}_t^{n+1} + \mathbf{U}_w^{n+1} \times \mathbf{R}, \quad (18)$$

241 where  $\mathbf{U}_t^{n+1}$  and  $\mathbf{U}_w^{n+1}$  are the translational and the angular velocities of the structure at  
242 time step  $n + 1$  respectively, and  $\mathbf{R} = \mathbf{r} - \mathbf{r}_c$  denotes a vector pointing from the structure  
243 rotation centre to a point on the structure surface.

244 The structure boundary is discretised into a set of triangular elements in the pre-  
245 processing. Fig. 2 shows a schematic of a computational cell cut by a structure surface  
246 (the grey area), for which the triangular elements are also depicted. So, in the cell  $G_{i,j,k}$ , for

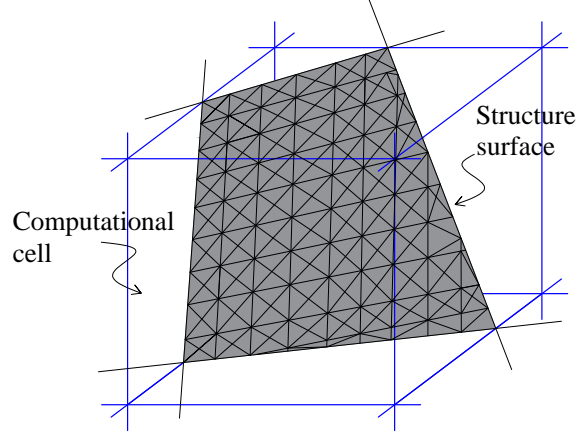


Fig. 2: A sketch showing a computational cell being occupied by a structure whose surface (the grey area) is discretised into a set of triangular elements.

247 example, the velocity integral of Eq. 8 can be approximated by:

$$\int_{G_{ijk} \cap \partial\Omega_S} \mathbf{n} \cdot \mathbf{U}_b^{n+1} dA \simeq \sum_{n_{ijk}} \mathbf{n}_k \cdot (\mathbf{U}_k^{temp} + \mathbf{Q}_k(\mathbf{M}_s, \mathbf{J}, \Delta t, p^{n+1})) \Delta A_k, \quad (19)$$

248 where the subscript  $k$  represents the  $k$ th triangular element;  $\mathbf{n}_k$  is the outward pointing unit  
 249 normal vector;  $\mathbf{U}_k^{temp}$  represents the updated velocity on the structure surface due to Eq. 18  
 250 and  $\mathbf{U}^n + \Delta t \mathbf{M}_s^{-1}(\mathbf{F}_g + \mathbf{F}_{ext})$  in Eq. 9, of which the variables are all knowns at the time step  
 251  $n$ ;  $\mathbf{Q}_k$  denotes the boundary velocity transferred from the pressure immediately surrounding  
 252 the structure;  $\Delta A_k$  is the area of the triangular element;  $n_{ijk}$  is the total number of triangular  
 253 elements located inside cell  $G_{i,j,k}$ .  $n_{ijk}$  is computed at each time step by detecting whether  
 254 the centroid of a triangular element is located inside the cell or not. This could lead to  
 255 some errors when the discretisation elements of the structure surface are relatively coarse.  
 256 Therefore, in the present work the triangular elements are generated with a characteristic  
 257 area of approximately  $(\Delta x)^2/55$ . Note that  $\mathbf{n}_k$ ,  $\mathbf{U}_k^{temp}$  and  $\mathbf{Q}_k$  are all defined at the centroid  
 258 of each triangular element, whose coordinates are denoted by  $(XCE, YCE, ZCE)$ .

In Eq. 19,  $\mathbf{U}_k^{temp}$  and  $\mathbf{Q}_k$  are calculated/constructed according to Eq. 18, with  $\mathbf{U}_t^{n+1}$   
 and  $\mathbf{U}_w^{n+1}$  (see Eq. 18) being the velocity components due to  $\mathbf{U}^n + \Delta t \mathbf{M}_s^{-1}(\mathbf{F}_g + \mathbf{F}_{ext})$  (for  
 calculation of  $\mathbf{U}_k^{temp}$ ) and  $\Delta t \mathbf{M}_s^{-1} \mathbf{J} p^{n+1}$  (for construction of  $\mathbf{Q}_k$ ), respectively. For example,  
 the  $x$ -direction component of  $\mathbf{Q}_k = (UX, VY, WZ)$  is expressed by (assuming that the

rotational centre coincides with the centre of mass):

$$\begin{aligned}
UX = \Delta t M^{-1} \sum_{q=1}^m J_{1,q} p_q^{n+1} + \\
\Delta t \left( I_{21} \sum_{q=1}^m J_{4,q} p_q^{n+1} + I_{22} \sum_{q=1}^m J_{5,q} p_q^{n+1} + I_{23} \sum_{q=1}^m J_{6,q} p_q^{n+1} \right) (ZCE - Z_c) - \\
\Delta t \left( I_{31} \sum_{q=1}^m J_{4,q} p_q^{n+1} + I_{32} \sum_{q=1}^m J_{5,q} p_q^{n+1} + I_{33} \sum_{q=1}^m J_{6,q} p_q^{n+1} \right) (YCE - Y_c) \\
= \sum_{q=1}^m B_{x,q} p_q^{n+1}, \quad (20)
\end{aligned}$$

259 where  $M$  is the mass of structure; the subscript  $q$  denotes the index of the cells immediately  
260 surrounding the structure (i.e. partially occupied by the structure) and  $m$  is the total  
261 number of such cells at each time step;  $I_{ab}$  ( $a = 1, 2, 3$  and  $b = 1, 2, 3$ ) is the element  
262 of the  $3 \times 3$  inverse matrix of the moment of inertia matrix of the structure;  $B_{x,q}$  is the  
263  $x$ -direction component of a coefficient vector  $\mathbf{B}_q = (B_{x,q}, B_{y,q}, B_{z,q})$  that is related to the  
264 calculations of  $UX$ ,  $VY$  and  $WZ$ . Note that  $VY$  and  $WZ$  are constructed in the same  
265 manner, as are the coefficients  $B_{y,q}$  and  $B_{z,q}$ , respectively. The  $\mathbf{Q}_k$  related term in Eq. 19  
266 (i.e.  $\sum_{n_{ijk}} \mathbf{n}_k \cdot \mathbf{Q}_k(\mathbf{M}_s, \mathbf{J}, \Delta t, p^{n+1}) \Delta A_k$ ) connects all pressures immediately surrounding the  
267 structure, and is added to the LHS of Eq. 8, modifying the coefficient matrix of the linear  
268 system of equations. The coefficient matrix is now not necessarily symmetric or positive  
269 definite due to the above manipulation, as the  $\mathbf{Q}_k$  related term changes between cells due  
270 to the different cell volumes occupied by the structure in each velocity cell. However, the  
271 linear system of equations under these conditions are still solvable using the BCG solver  
272 (Press et al., 1992).

#### 273 2.4.2. Numerical wave tank

274 In the present work, a numerical wave tank (NWT) is established following Chen et al.  
275 (2018). Uni-directional waves are generated in the  $x$ -direction by a piston-type wave paddle  
276 employed at one end of the NWT, and the waves are absorbed at the other end of the NWT  
277 by a relaxation zone. For full details of the NWT in the current PIC model, the reader is  
278 referred to Chen et al. (2018).

### 279 2.4.3. Numerical algorithm

280 The numerical algorithm used in the present model basically follows that presented in  
281 [Chen et al. \(2018\)](#). For presentation simplicity, only the major components with respect to  
282 the modelling of freely moving structures are given below.

- 283 (1) Calculate  $\mathbf{U}^n + \Delta t \mathbf{M}_s^{-1}(\mathbf{F}_g + \mathbf{F}_{ext})$  in [Eq. 9](#);
- 284 (2) Move the piston-type wave paddle according to the wave generation method;
- 285 (3) Map the mass and momentum carried by the particles to the grid and reconstruct  
286 the free-surface position on the grid based on the particle location;
- 287 (4) Construct [Eq. 19](#) and [Eq. 8](#) and solve the resulting linear system of equations;
- 288 (5) Project the tentative velocity field  $\tilde{\mathbf{u}}$  onto a divergence-free velocity field through  
289 [Eq. 6](#);
- 290 (6) Calculate the velocity acceleration field  $\mathbf{a}^{n+1} = \mathbf{u}^{n+1} - \mathbf{u}^n$  on the grid;
- 291 (7) Update the structure velocity and then update the structure position through [Eq. 12](#)  
292 and [Eq. 13](#);
- 293 (8) Update the velocity field carried by the particles through [Eq. 11](#) and then advect the  
294 particles;
- 295 (9) Conduct wave absorption in the relaxation zone;
- 296 (10) Update the time step (see details in [Chen \(2017\)](#)) and repeat steps (1)-(10).

## 297 3. Results and Discussions

298 In this section, the present numerical model is validated against the laboratory mea-  
299 surements of focused wave interaction with a floating, hemispherical-bottomed, cylindrical  
300 buoy with different mooring configurations: (1) a linearly-elastic mooring that encounters  
301 non-snatch loads ([Ransley et al., 2017a](#)); (2) more complex mooring system that encourages  
302 snatch loads ([Hann et al., 2015](#)). In both test cases the numerical model is validated first  
303 for the focused wave generation in the absence of the buoy, and then for the motion of the  
304 buoy and mooring force under focused wave action.

### 305 3.1. Test case 1: mooring configuration with non-snatch loads

#### 306 3.1.1. Experimental setup

307 The experiment of [Ransley et al. \(2017a\)](#) was performed in the Ocean Basin at Plymouth  
308 University's Coastal, Ocean And Sediment Transport (COAST) laboratory. The basin is 35  
309 m long and 15.5 m wide, with 24 flap-type wave paddles installed at one end and a parabolic  
310 beach at the other. The water depth at the wavemaker was 4 m and decreased to 2.8 m in

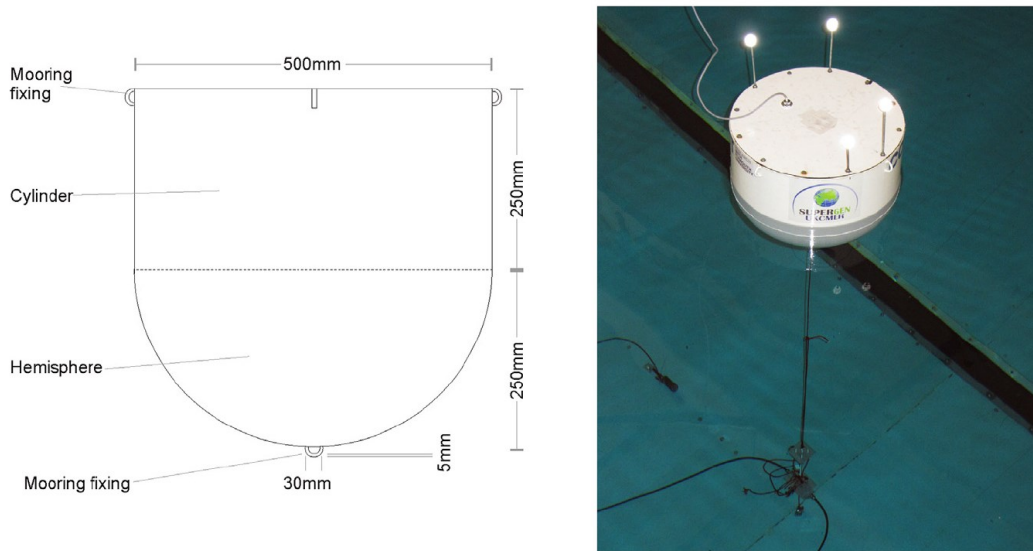


Fig. 3: A sketch of the buoy (left) and a photograph from the COAST laboratory (right) showing the experimental setup. This figure is reprinted from [Ransley et al. \(2017a\)](#), Copyright (2017), with permission from Elsevier.

311 the region where the buoy was placed. The buoy has a diameter  $D = 0.5$  m and consists of a  
 312 hemispheric at the bottom and a cylinder on the top (see [Fig. 3](#)). The buoy has a total mass  
 313 of 43.2 kg, and its centre of mass is located at 0.181 m from the bottom. The moment of  
 314 inertia of the buoy is  $(1.61 \ 1.61 \ 0.5)$   $\text{kgm}^2$ . The motion of the buoy was restrained by a single  
 315 point mooring, of which one end was attached to the bottom of the buoy and the other was  
 316 fixed at the basin floor. The mooring can be modelled as a linear spring, having a stiffness  
 317  $k = 67 \text{ Nm}^{-1}$  and a rest length of 2.18 m. Note that in this case the buoy can move in all  
 318 6 degrees of freedom. The focused wave was generated using the NewWave theory based  
 319 on the Pierson-Moskowitz (PM) spectrum ( $f_p = 0.356$  Hz) and wave gauges throughout the  
 320 basin were used to measure the generated wave. For more details of the experimental setup,  
 321 the reader is referred to [Ransley et al. \(2017a\)](#).

### 322 3.1.2. Numerical results: free decay test

323 Free decay tests of the buoy with and without the mooring were first used to validate  
 324 the present solver for simulating the motion of the buoy and mooring force. The buoy  
 325 was initially lifted up for a small distance away from its equilibrium position and then  
 326 released, leading to a decay of the heave motion. In the numerical simulation, the buoy  
 327 was placed at the centre of a  $6 \text{ m} \times 6 \text{ m}$  square domain, with the water depth being 2.8  
 328 m. A cylindrical relaxation zone centred at the buoy with an inner radius of 1 m and an  
 329 outer radius of approximately 3 m was used to absorb the radiated waves away from the

330 buoy. The grid size was set at  $\Delta x = \Delta y = \Delta z = D/20$  for the case without mooring.  
331 For the case with mooring, three grid sizes were used in order to conduct a grid refinement  
332 study (note that the buoy is moored when interacting with the focused wave). The grid  
333 sizes were  $\Delta x = \Delta y = \Delta z = D/15$ ,  $D/20$  and  $D/25$ . Note that the grid size  $D/25$  leads  
334 to approximately 15.3 million grid cells and 100.8 million particles; it took approximately  
335 8.3 hours for 5 seconds of simulated time with 64 cores at the University of Bath High  
336 Performance Computing System (HPCS).

337 [Fig. 4](#) shows the numerical results, in comparison with the experimental data, for the  
338 free decay test. All of the experimental data used for validation purposes in this test case  
339 are digitised from [Ransley et al. \(2017a\)](#). From [Fig. 4](#)(left), it is seen that the three grid  
340 sizes produce similar results, which indicates that the heave motion of the buoy in this case  
341 is not sensitive to the grid sizes used. The numerical results in general match well with  
342 the experimental data, although it is seen that the numerical results are less damped than  
343 that of the experiment especially towards the end of the time history. This is also seen  
344 in [Fig. 4](#)(right) which shows the comparison for the case without mooring. This may be  
345 because the grid size is not fine enough when the buoy motion is relatively small. However,  
346 the grid refinement study does not suggest great potential for a significant improvement  
347 if the grid size is further reduced, while maintaining feasible grid and particle resolution.  
348 Another concern is that in [Eq. 9](#) the friction-related force is not considered, which may  
349 result in an underestimation of the damping force. As shown in the recent work of [Gu et al.](#)  
350 [\(2018\)](#), in the case of forced heave motion of a similar hemispherical base structure, the  
351 contribution of shear force to the drag coefficient may be of the same magnitude as that of  
352 pressure. However, due to the limited grid resolution in 3D modelling, the calculation of  
353 friction-related force (even if it is included in the current solver) is likely to be inaccurate  
354 as the boundary layer would not be fully resolved ([Nematbakhsh et al., 2013](#)). One solution  
355 may be to include a coupled dynamic adaptive grid and particle merging/splitting approach  
356 in the solver, such that the grid resolution around the structure could be sufficiently fine  
357 while the overall resolution is still feasible. Overall, the agreement between numerical and  
358 experimental results is reasonably good. In particular, the result of the case with mooring  
359 is as good as that without mooring, which provides confidence in the numerical solver for  
360 predicting the motion of the moored buoy under wave action. Note that based on the results  
361 the grid size  $D/20$  was chosen for all the other simulations in this test case.



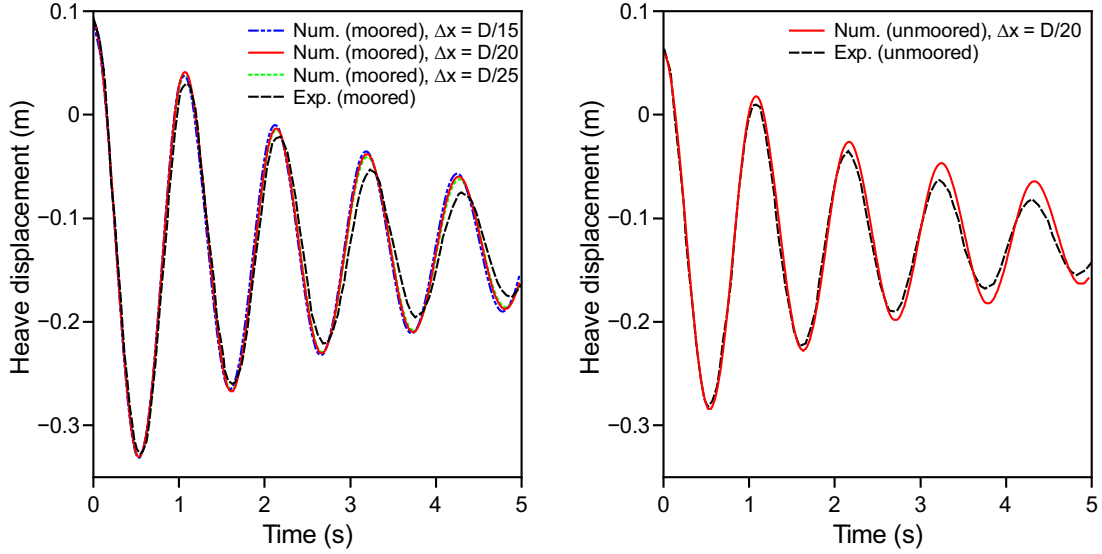


Fig. 4: Comparison between the numerical and experimental data for the heave displacement of the buoy during the free decay test. Left figure: with mooring; right figure: without mooring. The experimental data are digitised from [Ransley et al. \(2017a\)](#).

### 362 3.1.3. Numerical results: focused wave generation

363 In the numerical simulation, a piston-type wave paddle based on the first-order wave-  
 364 maker theory was employed for wave generation and a relaxation zone approach was used  
 365 for wave absorption (see [Section 2.4.2](#)). For the focused wave generation, the motion and  
 366 velocity of the paddle were determined via the NewWave theory, based on the PM spectrum.  
 367 In total, 100 wave components were used, with the frequency ranging from 0.2 Hz to 1.61 Hz.  
 368 [Fig. 5](#) shows a scheme of the setup of the NWT. The wave paddle in the current simulation  
 369 was placed at the same location as that of the inlet boundary of the OpenFOAM<sup>®</sup> model  
 370 by [Ransley et al. \(2017a\)](#). Note that this location is 8 m forward from the wave paddle  
 371 used in the experiment. [Ransley et al. \(2017a\)](#) employed the wave gauge measurement in  
 372 the experiment at this location to derive their expression based boundary conditions for  
 373 the inlet boundary. However, this experimental measurement was not reported in [Ransley](#)  
 374 [et al. \(2017a\)](#). Therefore, a trial and error process, adjusting the input theoretical focused  
 375 location and focused wave amplitude, was used in the current wave paddle based simulation  
 376 to generate the desired waves. The input focused location and wave amplitude were deter-  
 377 mined to be 5.2 m (from the numerical wave paddle) and 0.25 m respectively for this test  
 378 case. Note that the input focused location in the experiment is expected to be much larger  
 379 than 5.2 m. It is also worth noting that in the current simulations the generated focused  
 380 wave amplitude was usually slightly larger than the input value, which is consistent with

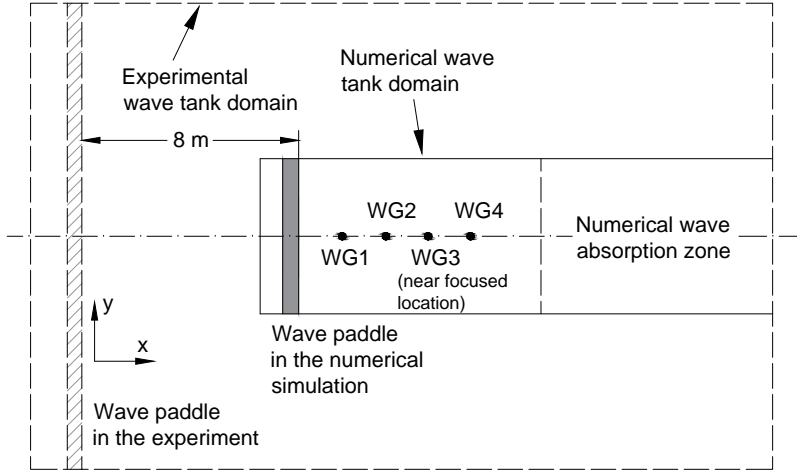


Fig. 5: Scheme (top view) showing the setup of the NWT. WG: wave gauge.

381 the experimental findings presented in Hann et al. (2015). This is, as also noted in Hann  
 382 et al. (2015), due most likely to the nonlinear effects related to wave-wave interaction, which  
 383 could also contribute to a shift of the actual focused location.

384 As the focused wave used in this test case was uni-directional (in the  $x$ -direction), to  
 385 reduce the CPU effort the numerical domain was set at 0.5 m wide (in the  $y$ -direction)  
 386 and 30 m long (in the  $x$ -direction), with 22.5 m dedicated to the relaxation zone. The  
 387 water depth was set at 2.8 m. Note that the relaxation zone is relatively long because  
 388 the peak frequency of the PM spectrum used is small:  $f_p = 0.356$  Hz, which leads to a  
 389 wavelength of approximately 11.27 m. The relaxation zone is thus set to nearly two times  
 390 this wavelength in order to achieve the most cost-effective performance within the present  
 391 PIC framework (Chen, 2017). The free-surface elevations at four locations, wave gauges 1  
 392 to 4 (see Fig. 5), along the  $x$ -direction centre line of the NWT were extracted to compare  
 393 with the experimental data, and their distances to the wave paddle were (in metres): 1.96,  
 394 3.87, 5.60 and 6.33. Note that wave gauge 3 is close to the focused location.

395 Fig. 6 shows the numerical results of the generated focused wave compared to the ex-  
 396 perimental data. In general, it is seen that the agreement between the numerical and ex-  
 397 perimental data is quite good. In particular, the main crest and troughs are predicted well  
 398 by the numerical model. This proves that in this test case by placing the numerical wave  
 399 paddle closer to the actual focused location in the experiment and using a smaller input  
 400 focused location, the present NWT can generate the desired focused wave, which provides  
 401 a foundation to meaningful comparisons in the wave-structure interaction shown in the fol-  
 402 lowing section. However, it is not believed that the numerical wave paddle can be placed

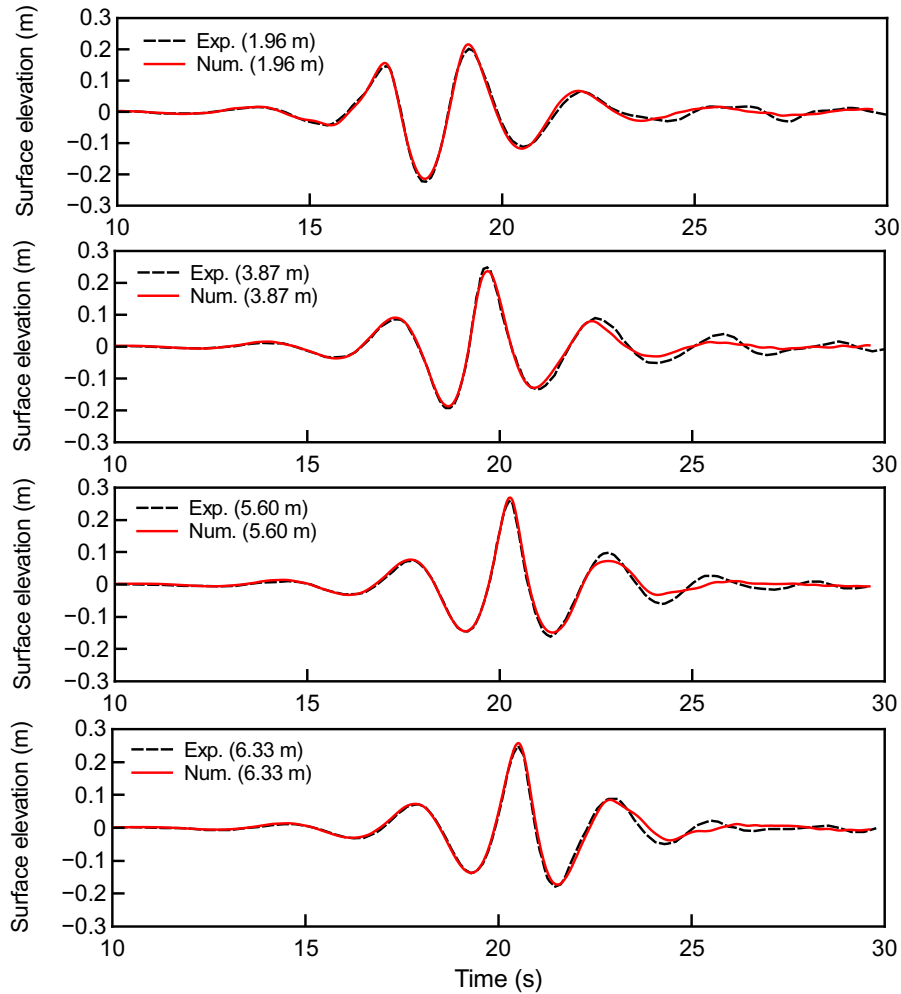


Fig. 6: Comparison between the numerical and experimental results for the free-surface elevations of the generated focused wave at four different locations differing in the distance to the wave paddle. The experimental data are digitised from [Ransley et al. \(2017a\)](#).

403 too close to the actual focused location in the experiment, as the development of wave-wave  
 404 interaction requires both space and time.

#### 405 3.1.4. Numerical results: wave-structure interaction test

406 In this section, the focused wave described in [Section 3.1.3](#) is used to interact with the  
 407 moored buoy. The numerical domain is 6 m wide and 30 m long, with 22.5 dedicated to the  
 408 relaxation zone. The water depth was set at 2.8 m. The buoy was placed at a distance of  
 409 5.49 m from the wave paddle on the centre line of the NWT.

410 [Fig. 7](#) shows the snapshots of the numerical results at various times close to the focused  
 411 time of the generated focused wave. In the snapshots, the width of the numerical domain is  
 412 reduced and the mooring line is not shown to aid visualisation.

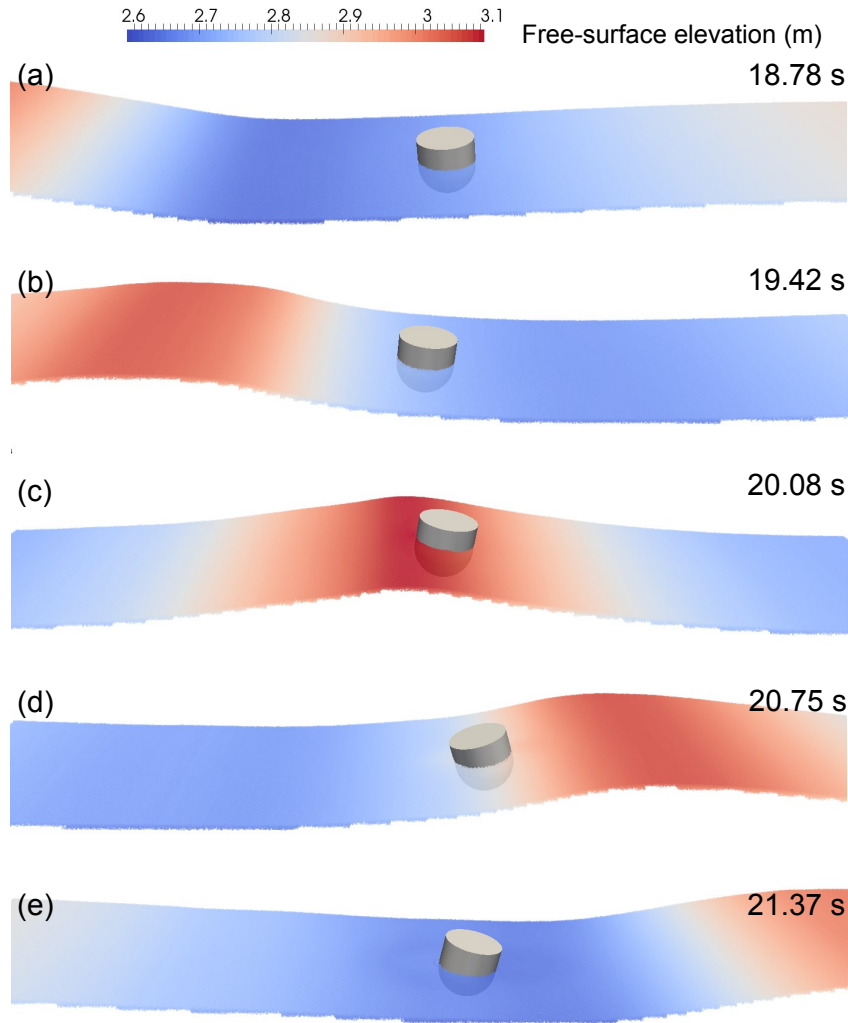


Fig. 7: Snapshots of the numerical results for the focused wave interaction with the buoy at different time instants. The mooring line is not shown but it is used in the simulation.

413 Fig. 8 presents the numerical results of the present PIC model for the surge displacement,  
 414 heave displacement and pitch angle of the moored buoy under focused wave action compared  
 415 to the numerical results of an OpenFOAM<sup>®</sup> model (digitised from Ransley et al. (2017a))  
 416 and the experimental measurements. In general, it is seen that very good agreement between  
 417 the numerical and experimental results has been achieved, particularly during the period  
 418 when the main crests and troughs of the focused wave move past the buoy. Also, it is  
 419 noticed that around the third peaks of the surge and heave displacements, the present  
 420 PIC model produces better results than the OpenFOAM<sup>®</sup> model of Ransley et al. (2017a).  
 421 This could be due to a slightly better reproduction of the incident wave around the third

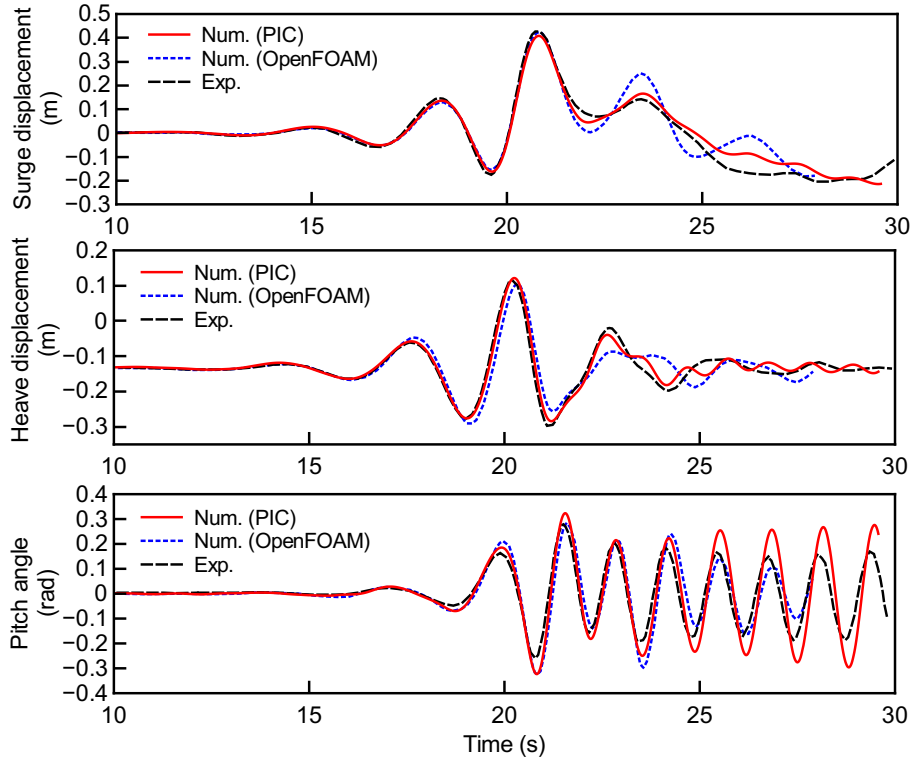


Fig. 8: Comparison between the numerical results of the present PIC model, the numerical results of the OpenFOAM<sup>®</sup> model of Ransley et al. (2017a) and the experimental data for the surge displacement (top), heave displacement (middle) and pitch angle (bottom) of the moored buoy under focused wave action. The experimental and OpenFOAM<sup>®</sup> data are digitised from Ransley et al. (2017a).

422 peak in terms of the wave shape (see Fig. 6 at 5.6 m and c.f. Fig.2(c) of Ransley et al.  
 423 (2017a)). Another reason could be that after the main wave has passed (resulting in large  
 424 buoy motion), the quality of the dynamic mesh used in the OpenFOAM<sup>®</sup> model is not as  
 425 good as the initial one, which however is not the case for the current cut cell method where  
 426 the underlying mesh is fixed and unchanged during the simulation. The comparison for the  
 427 pitch amplitude, however, shows a slightly less satisfying agreement during the period of free  
 428 oscillation of the buoy after the main wave has passed. This may be more evidence that the  
 429 present PIC model predicts less damping effects when the buoy motion is small as discussed  
 430 in Section 3.1.2. Nevertheless, the generally very good reproduction of the motion of the  
 431 buoy clearly demonstrates the capability of the present PIC model as well as the two-way  
 432 strong fluid-solid coupling algorithm for handling full 3D scenarios of wave interaction with  
 433 floating bodies.

434 Fig. 9 shows the comparison for the mooring force. Again, very good agreement be-  
 435 tween the numerical and experimental results has been achieved, as a result of the good

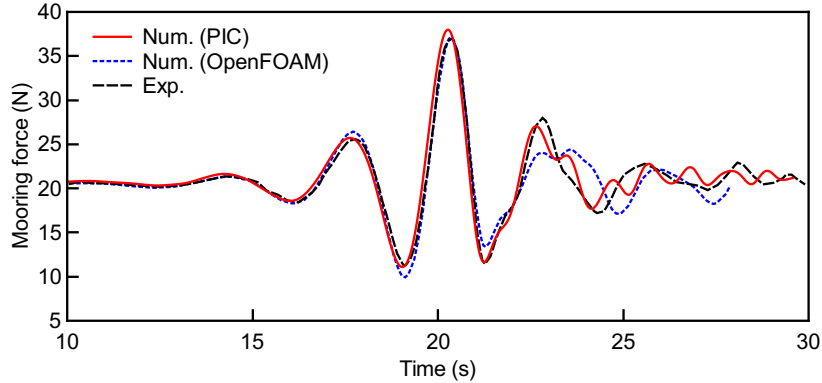


Fig. 9: Comparison between the numerical results of the present PIC model, the numerical results of the OpenFOAM<sup>®</sup> model of Ransley et al. (2017a) and the experimental data for the mooring force on the moored buoy under focused wave action. The experimental and OpenFOAM<sup>®</sup> data are digitised from Ransley et al. (2017a).

436 reproduction of the motion of the buoy.

437 Following the validation test, the motion of the buoy, without the mooring, under the  
 438 same focused wave action was also investigated using the PIC model. This case could be  
 439 considered as a situation when the mooring fails. Although there is no experimental data to  
 440 compare with, the PIC model should be capable of predicting useful results since it has been  
 441 validated using the above moored case. The results are plotted in Fig. 10, in comparison  
 442 with those of the moored buoy. It can be observed that the surge displacement of the buoy  
 443 is greatly affected by the mooring after the main focused wave has passed. Without the  
 444 mooring the buoy tends to be shifted in the wave direction, rather than being pulled back  
 445 as is the case when the mooring is attached. Similarly, the mooring appears to play an  
 446 important role on the pitch motion of the buoy. In the unmoored case, the amplitude and  
 447 the period of the pitch motion of the buoy are both larger. This is most likely because of the  
 448 missing restoring forces on the buoy due to the mooring. Finally, it is seen from the middle  
 449 panel that the heave displacement of the buoy is less affected by the mooring, compared to  
 450 the surge displacement and the pitch angle. To understand this, the wave forces on the buoy  
 451 with and without the mooring are examined. Fig. 11 shows the present numerical results for  
 452 the wave forces on the buoy with and without the mooring. As can be seen, the wave forces  
 453 in the surge direction ( $F_x$ ) and heave direction ( $F_z$ ) are less affected by the mooring than the  
 454 torque in the pitch direction ( $M_y$ ). However, the magnitude of the wave force in the heave  
 455 direction is one order greater than that of the wave force in the surge direction, and the  
 456 latter is in the same order as the magnitude of the mooring force (see Fig. 9). Therefore, the

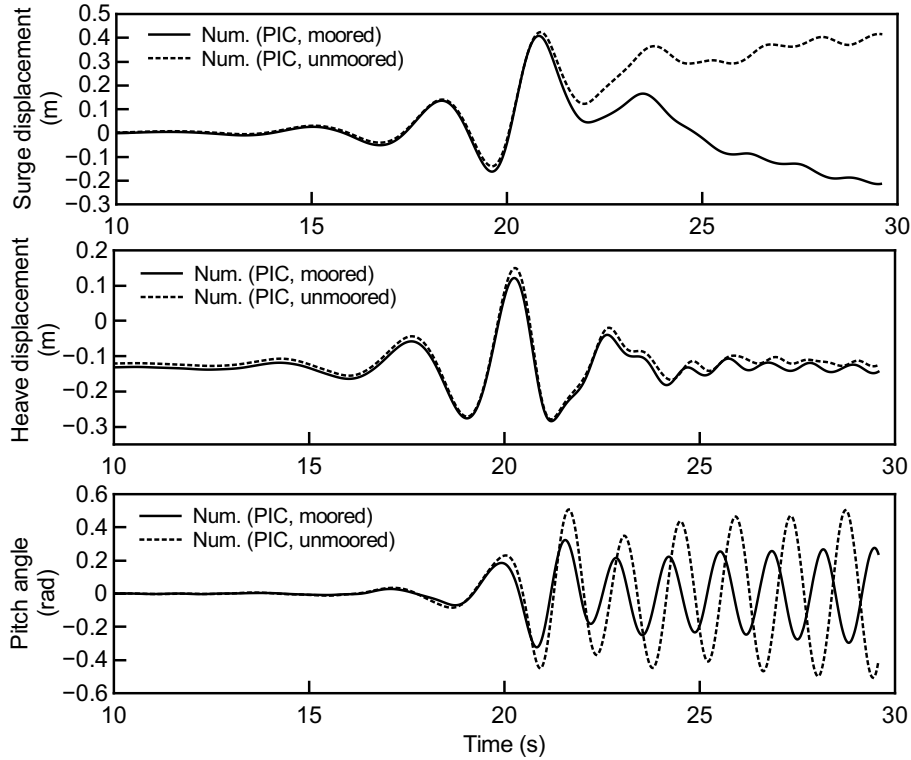


Fig. 10: Comparison between the numerical results of the motion of the buoy, with and without the mooring, under the focused wave action: surge displacement (top), heave displacement (middle) and pitch angle (bottom).

457 mooring has a smaller effect on the heave displacement of the buoy, as the mooring force is  
 458 relatively small compared to the wave force in the heave direction. It is noticed that before  
 459 the arrival of the main wave group, the wave force in the heave direction is greater in the  
 460 moored case than that in the unmoored case. This is because that in the moored case the  
 461 mooring is pretensioned, resulting in a larger draft of the buoy and hence larger hydrostatic  
 462 force than those in the unmoored case.

463 Finally, in terms of the CPU cost on simulating the moored buoy case, it took approx-  
 464 imately 32.9 hours for 30 s of simulated time using 160 cores at the University of Bath  
 465 HPCS to run the present PIC model, while it took almost 500 hours of CPU time for 28 s  
 466 simulation running on 6 processors for the OpenFOAM<sup>®</sup> model of Ransley et al. (2017a).  
 467 As a very rough comparison using a coefficient  $\epsilon = \frac{\text{Total CPU time}}{\text{simulated time}}$ , the values of  $\epsilon$  for the  
 468 PIC model and the OpenFOAM<sup>®</sup> model are 176 and 107, respectively. So, the hybrid  
 469 Eulerian-Lagrangian PIC model achieves a CPU efficiency of the same magnitude as the  
 470 state-of-the-art OpenFOAM<sup>®</sup> model. It may be worth mentioning that for the PIC sim-

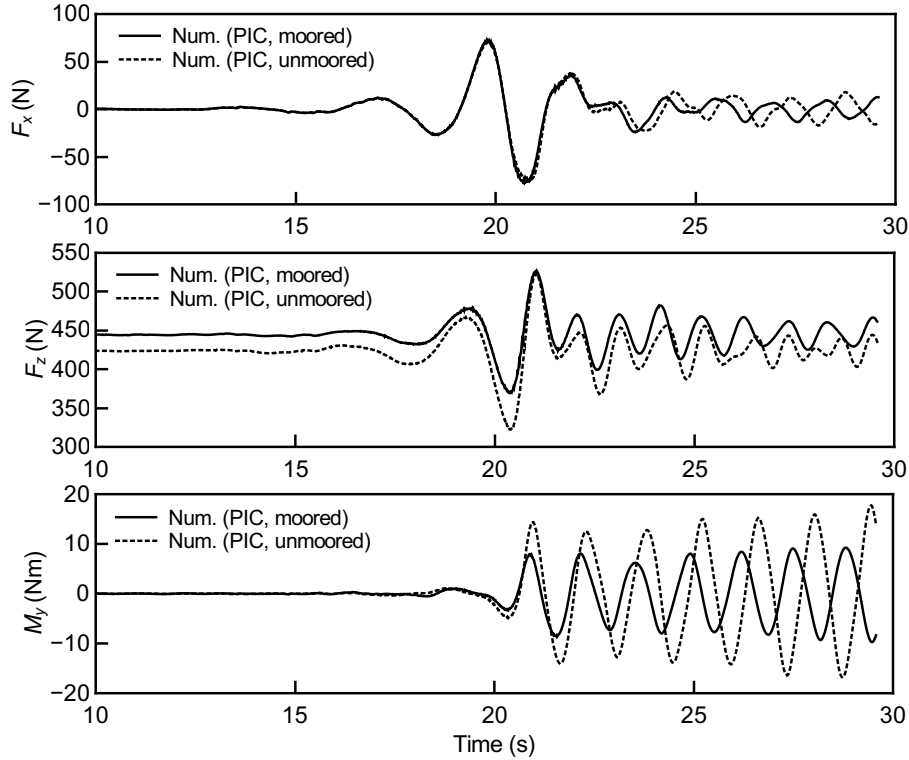


Fig. 11: Comparison between the numerical results of the wave forces on the buoy, with and without the mooring, under the focused wave action: wave force in the surge direction  $F_x$  (top), wave force in the heave direction  $F_z$  (middle) and pitch torque  $M_y$  (bottom).

471 ulation, approximately 31.72 million grid cells and 253.75 million particles were used to  
 472 accommodate the water area only.

### 473 3.2. Test case 2: mooring configuration with snatch loads

#### 474 3.2.1. Experimental setup

475 The experiment of [Hann et al. \(2015\)](#) is used for validation purpose in this test case.  
 476 This experiment was also conducted in the Ocean Basin at Plymouth University's COAST  
 477 laboratory. The same water depth (2.8 m) was used at the working section of the basin. Also,  
 478 the same buoy was used, but with a different mooring setup that encourages snatch loads,  
 479 which are transient but very large mooring forces experienced in extreme wave conditions  
 480 ([Lind et al., 2016](#)). Here, the mooring system was composed of a spring ( $k = 0.064$  N/mm) in  
 481 series with a very stiff long Dyneema<sup>®</sup> rope (spring constant,  $k \approx 35$  N/mm). In addition,  
 482 the maximum length of the spring was limited by another four short Dyneema<sup>®</sup> ropes in  
 483 a parallel arrangement. So, the mooring force could encounter two phases: at first the  
 484 mooring force is determined only by the spring extension, and then after the spring reaches



485 its maximum length the snatch load occurs due to further extensions in the ropes. The rest  
486 and the maximum lengths of the spring were 0.152 m and 0.406 m respectively, and in still  
487 water the spring was extended to 0.257 m. The focused wave used in this test case was  
488 generated in the manner as that in the previous test case. Both breaking and non-breaking  
489 waves were tested in the experiment, although only a non-breaking wave case is used for the  
490 current numerical validation, namely case ST1 in [Hann et al. \(2015\)](#) with peak frequency  
491  $f_p = 0.356$  Hz and measured crest amplitude  $A = 0.285$  m. To simulate the breaking wave  
492 cases, the numerical model would need further inclusions of an air phase (for effects like air  
493 cushioning) and a turbulence model (to handle the flow during and post wave breaking);  
494 the method presented in [Kamath et al. \(2016\)](#) for numerical modelling of breaking wave  
495 interaction with a vertical cylinder should be referred to. For full details of the experimental  
496 setup, the reader is referred to [Hann et al. \(2015\)](#).

### 497 3.2.2. Numerical results

498 In the experiment of [Hann et al. \(2015\)](#), the wave group of the chosen case (ST1) was  
499 focused at 18.51 m from the wave paddle, which was also located at the front face of the  
500 buoy in its initial rest location. In the current numerical simulation, to save on CPU cost  
501 the focused location was shifted to be 5.6 m from the wave paddle so that exactly the same  
502 NWT as that in the previous test case can be used, with only the buoy being placed at  
503 5.85 m ( $= 5.6 + D/2$ ) from the paddle. Also, the same grid size (0.025 m) was used in this  
504 test case. [Fig. 12](#) shows the comparison between the numerical result and the experimental  
505 measurement for the time history of the surface elevation at the focused locations. It can be  
506 seen that the focused wave is well reproduced in the numerical simulation, demonstrating  
507 that the setup of the NWT is acceptable for this test case. Note that the surface elevations  
508 are both normalised by the theoretical crest value (0.267 m) used in the experiment, and  
509 both data series have been shifted in time so that the main crest occurs at  $t = 0$  s. All of  
510 the experimental data used in this test case for validation purposes are digitised from [Hann  
511 et al. \(2015\)](#).

512 In the current simulation, once the spring reaches its maximum length the snatch mooring  
513 load is calculated following [Lind et al. \(2016\)](#):

$$\mathbf{F}_m = -k_{eq}\mathbf{x}_m - c\dot{\mathbf{x}}_m, \quad (21)$$

514 where  $k_{eq}$  is the equivalent spring constant for the mooring system and is set to  $k_{eq} =$   
515 28 N/mm following [Lind et al. \(2016\)](#),  $\mathbf{x}_m$  and  $\dot{\mathbf{x}}_m$  are the mooring extension and rate of

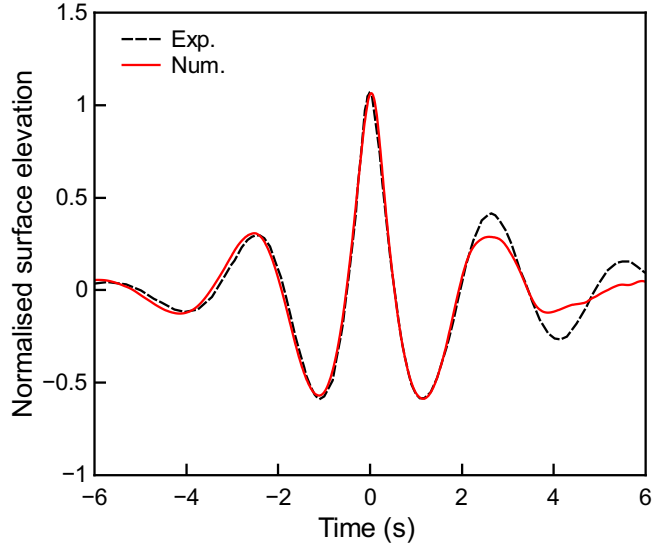


Fig. 12: Comparison between the numerical and experimental results for the free-surface elevation history at the focused locations (experiment: 18.51 m from the wave paddle; simulation: 5.6 m from the wave paddle). Experimental data are digitised from [Hann et al. \(2015\)](#).

516 extension respectively and  $c = 2\zeta\sqrt{k_{eq}m}$ , where  $\zeta$  is the damping ratio and  $m$  is the mass of  
 517 the buoy. The damping ratio has been determined numerically to be approximately  $\zeta = 0.25$ ,  
 518 although [Lind et al. \(2016\)](#) suggested using  $\zeta = 0.175$  according to their SPH modelling on  
 519 the same case. It will be seen in what follows that the simulated snatch loads are sensitive  
 520 to the damping ratio.

521 [Fig. 13](#) shows the comparison between experimental and numerical results for the mooring  
 522 load, surge, heave and pitch motion of the buoy. Note that the mooring loads are normalised  
 523 by the force required to reach the maximum length of the spring (9.4 N), and the surge and  
 524 heave displacements of the buoy are normalised by the diameter of the buoy. Moreover, all  
 525 of the numerical data series have been shifted in time so that the peak of the first snatch load  
 526 occurs at  $t = 0$  as is the case of the experimental data. It can be seen from [Fig. 13\(a\)](#) that  
 527 the duration and occurring time of the snatch loads are well predicted by the PIC model.  
 528 Furthermore, the PIC model well predicts the peak of the first snatch load but over-predicts  
 529 the peak of the second snatch load by approximately 55%. In fact, as seen from [Fig. 14](#),  
 530 the second snatch load is more sensitive to the damping ratio  $\zeta$  (see [Eq. 21](#)) than the first  
 531 snatch load. The peak value of the second snatch load decreases and occurs earlier as the  
 532 damping ratio increases. In addition, when the damp ratio is set to zero the second snatch  
 533 load is larger than the first one, and when the damping ratio goes very high (e.g. 0.45) an  
 534 unphysical third snatch load happens. These results are consistent with the findings of [Lind](#)

535 [et al. \(2016\)](#), and similar results from their SPH simulation can also be seen in [Fig. 13\(a\)](#).

536 From [Fig. 13\(b\)](#) and (c) it can be seen that the current PIC model well reproduces the  
537 surge and the heave responses of the buoy. In particular, the double peaks occurring in  
538 phase with the snatch loads in the heave motion are also predicted very well. However,  
539 as can be seen from [Fig. 13\(d\)](#) there is a large discrepancy between the experimental and  
540 numerical results for the pitch motion after the occurrence of the snatch loads. While the  
541 numerical result follows the same trend as seen in the previous elastic-spring mooring case  
542 (see [Fig. 8](#)), the experimental data exhibit a relatively small pitch motion. The reason for  
543 this large discrepancy remains unclear at the time of writing. In general, the performance  
544 of the current PIC model is reasonably good in such a complex wave-structure interaction  
545 scenario involving extreme snatch mooring loads.

#### 546 4. Conclusions

547 This paper extends the 3D parallel PIC model proposed in [Chen et al. \(2018\)](#) to simulate  
548 extreme wave interaction with floating bodies, using the Cartesian cut cell based two-way  
549 strong fluid-solid coupling algorithm proposed in [Chen et al. \(2016b\)](#). The PIC model solves  
550 the incompressible Navier-Stokes equations for free-surface flows. The novelty of this model  
551 lies in the fact that both Lagrangian particles and Eulerian grid are employed; the particles  
552 carry the fluid material information such as mass and momentum, and are used to solve  
553 the nonlinear advection term and track the free surface, while the grid is employed for  
554 computational convenience in solving all the non-advection terms. This makes the model  
555 both flexible on handling large free-surface deformations and efficient in terms of CPU  
556 cost. The two-way strong fluid-solid coupling algorithm features the fact that the velocity  
557 of the structure is represented by the fluid pressures in cells immediately surrounding the  
558 structure and any velocity integral along the structure surface due to the cut cell method  
559 can be integrated into the procedure of solving the PPE with a suitably amended coefficient  
560 matrix. This technique can resolve fluid interaction with floating bodies both stably and  
561 efficiently.

562 The present PIC model is validated against two existing physical experiments of focused  
563 wave interaction with a floating, hemispherical-bottomed, cylindrical buoy with either a  
564 linearly-elastic mooring or a more complex mooring configuration that encourages extreme  
565 snatch loads. Although both test cases involve extreme wave-structure interaction, the waves  
566 do not break and the structure has a smooth geometry that tends to cause less turbulences  
567 so that the lack of a turbulence model in the numerical simulations is acceptable. This is

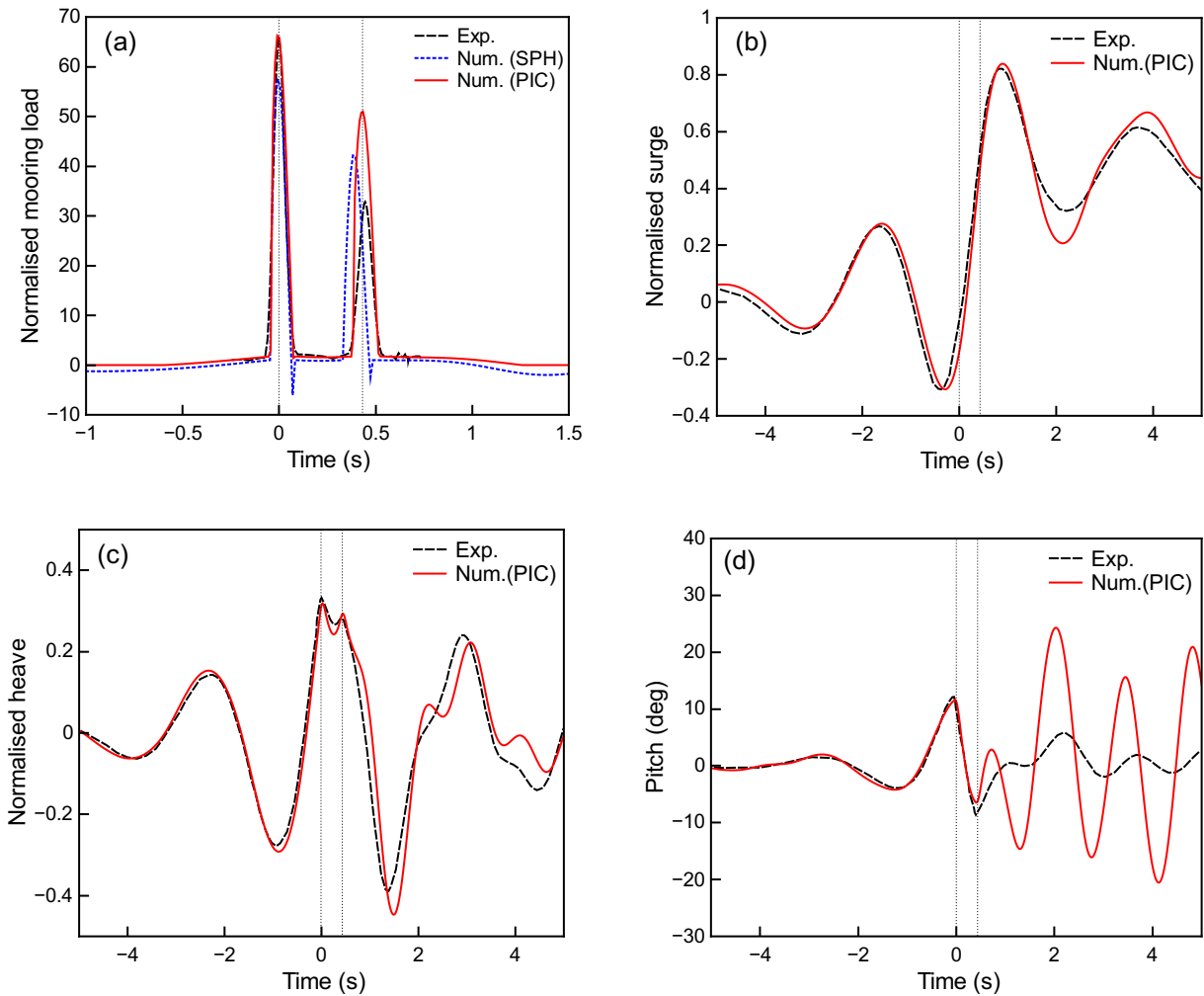


Fig. 13: Comparison between experimental and numerical results for (a) mooring load, (b) surge, (c) heave and (d) pitch motion of the buoy. The experimental results are digitised from Hann et al. (2015) and the SPH result is provided by Lind et al. (2016) (time shifted by -14.438 s).

568 confirmed at least in the first test case where both the laminar OpenFOAM<sup>®</sup> model and  
569 PIC model have achieved good results compared with the experiment. It is demonstrated  
570 through the comparisons with the experimental data that the PIC model can satisfactorily  
571 predict the motion of the moored buoy and the mooring force in such extreme wave-structure  
572 interaction scenarios. Also, as demonstrated in the first test case, the PIC model achieves a  
573 CPU efficiency of the same magnitude as that of the state-of-the-art OpenFOAM<sup>®</sup> model.

574 Nevertheless, it is seen that the memory storage requirement is demanding for the PIC  
575 model due to the double grid system. Also, the PIC model may predict inaccurate damp-  
576 ing effects when the buoy motion is small, due likely to the limited grid resolution in 3D  
577 modelling. This situation may be improved by including in the solver a dynamic adaptive

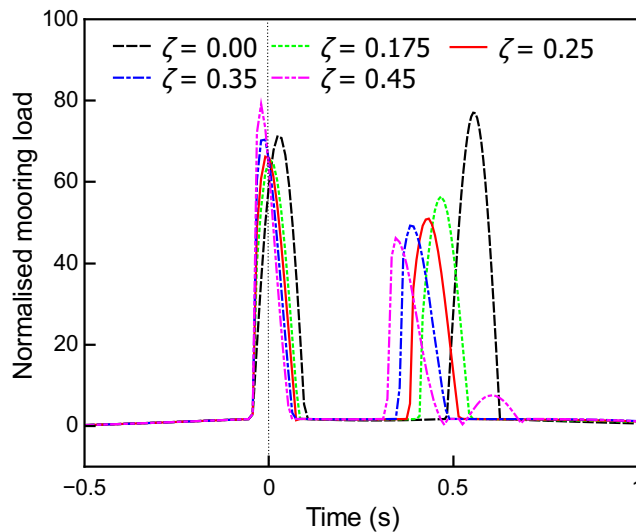


Fig. 14: Numerical results of the mooring load run with different damping ratios  $\zeta$ .

578 grid combined with particle merging/splitting, such that the grid could be sufficiently fine  
 579 around the structure while maintaining a feasible overall grid resolution.

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