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Variability in the Efficacy of a Standardized Antenatal Steroid Treatment is Not Due to Maternal or Fetal Plasma Drug Levels. Evidence from a Sheep Model of Pregnancy.

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1 [TITLE]

2 Variability in the Efficacy of a Standardized Antenatal Steroid Treatment is Not Due to  
3 Maternal or Fetal Plasma Drug Levels. Evidence from a Sheep Model of Pregnancy.

4

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40

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45

46

47 [CONDENSATION]

48 In sheep and humans there is variability in the maturation of the preterm fetal lung in  
49 response to antenatal steroid treatment. In the present study this variation was not associated  
50 with differences in maternal or fetal steroid plasma concentrations.

51

52 [SHORT TITLE]

53 Variability in fetal sheep lung responses to antenatal steroids.

54

55 [AJOG at a Glance]

56 *A. Why was this study conducted?*

57 Antenatal steroids (ANS) are a widely used treatment to improve outcomes for preterm  
58 infants, principally by maturing the fetal lung and stabilizing the cardiovascular system.  
59 Treatment efficacy is highly variable, even when potentially confounding factors (i.e.  
60 treatment to delivery interval, agent, dose) are standardized. Hypothesizing that differences in  
61 materno-fetal steroid exposure contribute to observed variability in ANS treatment efficacy,  
62 we used a chronically catheterized sheep model of pregnancy and post-natal ventilation to  
63 test if the relationship between materno-fetal steroid exposure and functional lung maturation  
64 explained variation in lung maturation effects.

65

66 *B. What are the key findings?*

67 Following a main-group analysis to confirm overall effect, preterm lambs which had been  
68 exposed to a single maternal antenatal injection of 0.25 mg/kg betamethasone (as 1:1  
69 betamethasone phosphate and betamethasone acetate) were stratified to either a responder

70 group or a non-responder group, based upon arterial PaCO<sub>2</sub> levels at 30 minutes ventilation  
71 being within two standard deviations of the mean value for Control Group (Saline only)  
72 fetuses. No differences in betamethasone distribution, clearance, or protein binding ratio were  
73 observed between responder and non-responder ANS treatment groups.

74

75 *C. What does this study add to what is already known?*

76 This study correlated individual maternal and fetal ANS drug exposures with treatment  
77 efficacy as determined by functional lung maturation. Our data showed that observed  
78 differences in ANS treatment efficacy between identically-dosed animals were not due to  
79 differences in materno-fetal drug exposure. Differences may be due to fetus or  
80 pregnancy-specific factors that modify individual steroid responses.

81

82 [SELECTED FIGURE]

83 Figure 5.

84 [ABSTRACT]

85 **Background:** Antenatal steroids (ANS) are standard of care for women judged to be at  
86 imminent risk of preterm delivery. Worldwide, there is significant variation in ANS dosing  
87 strategy, selection for treatment criteria, and agent choice. This, combined with very limited  
88 optimization of ANS use *per se* means that treatment efficacy is highly variable and the rate  
89 of respiratory distress syndrome is decreased perhaps as little as 40%. In some cases, ANS  
90 use is associated with limited benefit and potential harm.

91 **Objective:** We hypothesized that individual differences in maternal and fetal steroid exposure  
92 would contribute to observed variability in ANS treatment efficacy. Using a chronically  
93 catheterized sheep model of pregnancy, we aimed to explore the relationship between  
94 materno-fetal steroid exposure and ANS treatment efficacy as determined by functional lung  
95 maturation in preterm lambs undergoing ventilation.

96 **Methods:** Ewes carrying a single fetus had surgery to catheterize a fetal and maternal jugular  
97 vein at 119 days' gestation. Animals recovered for 24h before being randomized to  
98 either: **i)** a single maternal intramuscular injection (IM) of 2ml saline (Negative Control  
99 Group, n=10); or **ii)** a single maternal IM of 0.25mg/kg betamethasone phosphate +  
100 acetate (ANS Group, n=20). Serial maternal and fetal plasma samples were collected from  
101 each animal over 48h before fetuses were delivered and ventilated for 30 minutes. Total  
102 and free plasma betamethasone concentration was measured by mass spectrometry. Fetal lung  
103 tissue was collected for analysis using quantitative polymerase chain reaction.

104 **Results:** One animal of the Control Group and one animal from the ANS Group had did not  
105 complete their treatment protocol and were removed from analyses. Animals in the ANS  
106 Group were divided into a Responder (n=12/19) Sub-Group and a Non-Responder

107 Sub-Group (n=7/19) using a cut-off of a PaCO<sub>2</sub> at 30 minutes ventilation within 2SD of the  
108 mean value from saline-treated Negative Control Group animals. While ANS improved fetal  
109 lung maturation in the undivided ANS group, and in the Responder Sub-Group both  
110 physiologically (blood gas and ventilation related data) and biochemically (mRNA  
111 expression related to fetal lung maturation), these values were not improved relative to  
112 saline-treated Control Group animals in the ANS Non-Responder Sub-Group. Interestingly,  
113 no differences in betamethasone distribution, clearance, or protein binding were identified  
114 between the ANS Responder and Non-Responder Sub-Groups.

115 **Conclusion:** This study correlated individual materno-fetal steroid exposures with preterm  
116 lung maturation as determined by pulmonary ventilation. Herein, approximately 40% of  
117 preterm lambs exposed to antenatal steroids had lung maturation not significantly different to  
118 saline-treated Control Group animals. These non-responsive animals received maternal and  
119 fetal betamethasone exposures identical to animals that had a significant improvement in  
120 functional lung maturation. These data suggest that the efficacy of ANS therapy is not solely  
121 determined by materno-fetal drug levels, and that individual fetal or maternal factors may  
122 play a role in determining treatment outcomes in response to glucocorticoid-driven signaling.

123

124 [KEYWORDS]

125 Betamethasone, fetus, glucocorticoid, lamb, lung maturation, pharmacokinetics, preterm birth,  
126 protein binding, sheep.

127

128



129 [Text]

130 [BACKGROUND]

131 Preterm birth is a leading cause of neonatal morbidity and mortality. Antenatal steroids  
132 (ANS) are a standard of care for women at risk of delivering preterm. The American College  
133 of Obstetricians and Gynecologists, for example, recommends the use of '*a single course of*  
134 *corticosteroids for pregnant women between 24 0/7 weeks and 33 6/7 weeks of gestation who*  
135 *are at risk of preterm delivery within 7 days*'.<sup>1</sup> However despite extensive use, ANS dosing,  
136 choice of agent, and patient selection remains relatively unchanged and poorly unoptimized  
137 after almost 50 years of clinical use.<sup>2</sup> ANS treatment is considered among the most important  
138 therapies to improve outcomes for preterm babies and has been used widely since Liggins  
139 and Howie reported the beneficial effect of ANS in a cohort of babies born between 28 and  
140 32 weeks' gestation in their landmark 1972 paper.<sup>3</sup> There is significant geographical  
141 variation in the usage of ANS; highlighting the different doses and agents employed (and  
142 derivative differences in materno-fetal pharmacokinetics and pharmacodynamics), the United  
143 Kingdom and Japan commonly use 12 mg maternal intramuscular injections of  
144 betamethasone phosphate given 24 hours apart.<sup>4</sup> The WHO-recommended dosing regimen is  
145 four 6 mg maternal intramuscular injections of dexamethasone phosphate given 12 hours  
146 apart for pregnant women who are at risk of preterm labor between 24 and 34 weeks of  
147 gestation.<sup>5</sup> In the United States, Australia, and much of Europe, a course of two maternal  
148 intramuscular injections of 12mg 1:1 betamethasone acetate and betamethasone phosphate  
149 spaced at 24 hours is commonly used.<sup>1, 6</sup>

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151

152 A recent Cochrane systemic review reported that a single course of ANS treatment for  
153 singleton pregnancies between 26 and 34 weeks of gestation contributed to a significant  
154 reduction in adverse outcomes including perinatal and neonatal death, respiratory distress  
155 syndrome (RDS) and intraventricular hemorrhage.<sup>6</sup> When given to the right women and the  
156 right time, the benefit derived from ANS use are well-documented. However, efficacy is  
157 variable and there is evidence from both clinical and animal studies to suggest that unwanted  
158 side effects such as growth restriction and programming changes are associated with repeated  
159 ANS exposure.<sup>7,8</sup> Current clinical dosing (12mg 1:1 betamethasone acetate and phosphate)  
160 achieves betamethasone concentrations in the fetal plasma peak at around 20 ng/ml in 2 hours  
161 after the first administration.<sup>9</sup> Using a sheep model of pregnancy, we have previously  
162 demonstrated that high dose ANS treatment is not necessary for lung maturation, and that  
163 maintaining low fetal plasma betamethasone concentration in the range of 1-4 ng/mL for a  
164 period of 26 or 36 hours resulted in fetal lung maturation equivalent to effects seen with the  
165 current clinical dose when delivery occurred 48h after treatment initiation.<sup>10</sup> Additionally, a  
166 24 hour fetal ANS exposure (i.e. single clinical dose of betamethasone) was sufficient for  
167 lung maturation of 24-48 hour post-treatment deliveries.<sup>11</sup>

168

169 The highly variable efficacy of ANS treatment is well documented clinically.<sup>5, 6</sup> We have  
170 also observed significant variation in our experimental animals, despite them being  
171 gestational age matched, receiving an identical weight-calibrated ANS dose, and being  
172 delivered for ventilation at an identical treatment to delivery interval. We hypothesized that  
173 these observed differences were due to individual differences in the distribution or clearance  
174 of steroids from the materno-fetal compartments. To test this hypothesis we used a

175 chronically catheterized sheep model of pregnancy to explore the relationship between  
176 materno-fetal steroid exposure and ANS treatment efficacy as determined by functional lung  
177 maturation in preterm lambs undergoing ventilation. We hypothesized that the levels of total  
178 and free (unbound) betamethasone in fetal plasma would correlate with the extent of the lung  
179 maturational effects of the ANS therapy seen in each individual materno-fetal pair.

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198 [METHODS]

199 *Animal work*

200 All protocols were reviewed and approved by the animal ethics committee of The University  
201 of Western Australia (RA/3/100/1636). This was a basic science study. Animals were  
202 randomized to treatments, and post-natal ventilations / analyses were performed by  
203 investigators blinded to treatments (i.e. Saline or ANS Group allocation) to defend against  
204 bias or confounding.

205

206 All pregnant ewes were provided from a single supplier and experiments were performed  
207 during the normal breeding season. In order to prevent preterm labor, thirty date-mated ewes  
208 carrying singleton fetus received an intramuscular injection of 150mg medroxyprogesterone  
209 acetate (Depo-Ralovera®; Pfizer, West Ryde, NSW, Australia) at  $114 \pm 1$  days of gestational  
210 age (term = 150 days). This treatment has been previously shown to have no influence on  
211 lung maturation in sheep models.<sup>12</sup> Five days later all pregnant ewes underwent recovery  
212 surgery to catheterize the maternal and fetal jugular veins as previously described, with  
213 catheter lines exteriorized through a flank port and secured in a sterile container adherent to  
214 the ewe's back as previously described.<sup>13</sup> Animals recovered for 24 hours before being  
215 randomized to one of two groups: either **i**) a single maternal intramuscular injection of 2 ml  
216 saline (Control Group, n=10); or **ii**) a single maternal intramuscular injection of 0.25 mg/kg  
217 betamethasone acetate + betamethasone phosphate (Celestone Chronodose, Merck Sharp &  
218 Dohme, Australia) (ANS Group, n=20) at  $120 \pm 1$  days of gestational age. We have previously  
219 demonstrated an efficacious response from this dose in the sheep model of pregnancy. 2-3ml  
220 of maternal and fetal blood was collected at 13 time points from jugular catheters over 48

221 hours following saline or betamethasone administrations (Collection time points: -10 minutes,  
222 1, 2, 4, 6, 8, 10, 12, 16, 24, 30, 36, 48 hours). Samples were centrifuged at 3000 x g to  
223 separate plasma, which was then frozen at -80°C for subsequent analysis. Half of the plasma  
224 at the 1, 6, 12, 24, 36, 48 hour time points was collected into Centrifree® Ultrafiltration  
225 Devices (EMD Millipore Corporation, Billerica, MA, USA) and centrifuged at 1000 x g for  
226 ten minutes at room temperature to separate free from protein-bound betamethasone. A pilot  
227 experiment was performed initially to show that there was no difference in free:bound  
228 betamethasone yield when extractions were performed at room temperature (23°C) or sheep  
229 core temperature (38.9°C) (data not shown). Lambs were delivered under terminal anesthesia  
230 for a 30 minute ventilation procedure at the conclusion of the 48h sampling period to test for  
231 functional lung maturation.<sup>13</sup>

232

### 233 *Ventilation*

234 At 122±1 days gestational age, pregnant ewes received an intravenous injection of  
235 midazolam (0.5 mg/kg) and ketamine (10 mg/kg) followed by a 3mL spinal injection of  
236 lidocaine (20 mg/ml) for surgical delivery. After surgical delivery, the lamb received an  
237 intramuscular injection of ketamine (10 mg/kg) and a 4.5Fr endotracheal tube was placed by  
238 tracheostomy. Lambs were then weighed, dried, and placed on a temperature controlled  
239 radiant warmer (Cosy Cot, Fisher & Paykel Healthcare, New Zealand). Mechanical  
240 ventilation using Acutronic Fabian infant ventilators (Acutronic Medical System, Hirzel,  
241 Switzerland) was started immediately and maintained for 30 minutes on the following  
242 setting: peak inspiratory pressure (PIP) of 35 cmH<sub>2</sub>O, positive end expiratory pressure  
243 (PEEP) of 5 cmH<sub>2</sub>O, respiratory rate of 50 breaths per minutes, inspiratory time of 0.5

244 seconds, and 100% heated and humidified oxygen. An umbilical artery catheter was placed to  
245 measure arterial blood pH, pO<sub>2</sub>, pCO<sub>2</sub>, heart rate and blood pressure during ventilation.  
246 Ventilation efficacy index (VEI) was calculated as follows:  $VEI = 3800 / [ \text{respiratory rate}$   
247  $(PIP - PEEP) \times pCO_2 \text{ (mmHg)}]$ .<sup>14</sup> The investigators that performed ventilations were blind to  
248 treatment allocation.

249

### 250 *Necropsy*

251 Ewes and lambs were euthanized with an intravenous lethal dose of pentobarbital. At  
252 necropsy, the lamb's chest was opened surgically to measure lung compliance with a static  
253 pressure volume curve. The lungs were then removed and weighed. The right lower lobe was  
254 dissected and frozen for molecular studies.

255

### 256 *Measurement of transcript expression changes in the fetal lung*

257 RNA was extracted from lung tissue (right lower lobe) using RNeasy® Plus Mini Kit  
258 (QIAGEN, Hilden, Germany) in accordance with the manufacturer's instructions. The  
259 concentration of extracted ribonucleic acid was determined using a broad-range acid  
260 quantitation kit and a Qubit 2.0 fluorometer (both Life Technologies, Carlsbad, CA). All  
261 ribonucleic acid (RNA) extracts were diluted in nuclease-free water (Life Technologies) to  
262 achieve a final RNA concentration of 25 ng/μL.

263

264 Quantitative polymerase chain reaction (qPCR) cycling was performed with ovine-specific  
265 TAQMAN probe and primer sets (Applied Biosystems, Foster City, CA) with a Step One  
266 Real-Time PCR system in accordance with manufacturer's instructions. Messenger RNA

267 transcripts for surfactant protein A (SP-A), surfactant protein B (SP-B), surfactant protein C  
268 (SP-C), aquaporins 1 (AQP-1), aquaporins 5 (AQP-5) and epithelial sodium channel subunits  
269 B (ENaC-B) were measured. Ribosomal protein 18s was used as internal reference to  
270 normalize the amplification data for each gene. Delta quantification cycle values were used to  
271 determine relative expression of transcripts.

272

273 *Measurement of plasma free and total (free + bound) betamethasone concentration by mass*  
274 *spectrometry*

275 Unfiltered plasma collected from maternal and fetal blood samples was used to analyze total  
276 (free and bound) betamethasone concentration. Filtered plasma separated by Centrifree®  
277 Ultrafiltration Devices was analyzed to measure free (unbound) betamethasone  
278 concentration.

279

280 Plasma samples and betamethasone standards (200, 100, 40, 20, 10, 2, 1, 0 ng/ml) in control  
281 fetal plasma were extracted as previously described and analyzed by mass spectrometry.<sup>13</sup>

282 Quality control samples were run in duplicated across the experiment to determine assay  
283 precision. Intraassay coefficient of variation was 0.8-7.2 %. The interassay coefficient of  
284 variation was 4.8 %. Data were fitted to a 2-compartment model with PKSOLVER.<sup>15</sup> All  $R^2$   
285 values for calibration curves were  $>0.99$ .

286

287 *Definition of ANS Responder and Non-Responder Sub-Groups*

288 ANS Group animals (1 x 0.25mg/kg 1:1 betamethasone phosphate + betamethasone acetate)  
289 were initially analysed as a single group to confirm overall treatment benefit before being

290 divided into Responder or Non-Responder Sub-Groups on the basis of arterial PaCO<sub>2</sub> levels  
291 at 30 minutes ventilation. A value of 2 standard divisions (SD) from the average of arterial  
292 PaCO<sub>2</sub> at 30minutes ventilation from Control Group animals was used as an arbitrary, *a*  
293 *priori* cut-off for sub-group distribution, based on our earlier work with this model system.  
294 The Responder Sub-Group was defined as arterial PaCO<sub>2</sub> level more extreme than 2SD from  
295 the Control Group mean, and the Non-Responder Sub-Group was defined as an arterial  
296 PaCO<sub>2</sub> level within 2SD of the Negative Control Group mean.

297

#### 298 *Statistical analysis*

299 Statistical analysis was performed using IBM SPSS for Windows, version 25.0 (IBM Corp,  
300 Armonk, NY). Mean differences between parametric data were tested for significance with  
301 *t*-test or Mann-Whiney test. The Control Group was first compared with the ANS Group as a  
302 primary analysis. Thereafter, comparisons between the Responder Sub-Group and the  
303 Non-Responder Sub-Group were performed. In the Sub-Group analysis, only parameters that  
304 were identified as significantly different in the primary analysis were assessed. Comparisons  
305 of betamethasone concentration were performed by *t*-test or Mann-Whiney test. Significant  
306 was attributed to 95% confidence interval (95% CI) or P values <.05.

307

308



309 [RESULTS]

310 One animal in each of the Control and ANS groups failed to complete their treatment  
311 protocol and were removed from further analyses. Nine lambs in the Control Group and 19  
312 lambs in the ANS Group completed their assigned protocols. The ANS Group was divided  
313 into Responder (n=12) and Non-Responder (n=7) Sub-Groups as described above. The  
314 betamethasone treatment efficacy rate was 63% based on the cut-off. There were no  
315 significant differences in gestational age, birth weight, sex, cord blood pH, cord PaCO<sub>2</sub>, or  
316 lung weight at delivery between primary groups and sub-groups (Table 1).

317

318 *30 minute ventilation results*

319 Figure 1 and Supplementary Table 1 present key physiological variables and arterial blood  
320 gas measurements after 30 minutes of ventilation. Given a 30 minute PaCO<sub>2</sub> for Control  
321 Group of 129.8±19.4 mmHg, the PaCO<sub>2</sub> cut-off for determining ANS response was 91.0  
322 mmHg. Only one animal in the Control Group had a 30 minute PaCO<sub>2</sub> lower than the  
323 arbitrary cut-off (89.6 mmHg) used for sub-group analyses. As this animal was not  
324 statistically an outlier it was retained for subsequent analyses.

325

326 At primary analysis, there were significant increases in pH and PaO<sub>2</sub> in the ANS Group  
327 relative to the Control Group. PaCO<sub>2</sub> and heart rate decreased significantly in the ANS Group  
328 compared to the Control Group. There was no significant difference in mean blood pressure  
329 between the Control Group and the ANS Group. For Sub-Group analyses, pH, PaO<sub>2</sub>, PaCO<sub>2</sub>  
330 and heart rate were analysed. There were significant increases in pH and PaO<sub>2</sub> and significant  
331 decreases in PaCO<sub>2</sub> and HR after 30 minutes ventilation in the Responder Sub-Group

332 compared to the Non-Responder Sub-Group.

333

334 Tidal volume and VEI after 30 minutes ventilation was significantly improved in the ANS  
335 Group relative to the Control Group while there was no difference in dynamic compliance  
336 (Figure 2). Sub-Group analysis showed that both tidal volume and VEI were increased  
337 significantly in the Responder Sub-Group compared with the Non-Responder Sub-Group.  
338 Dynamic compliance was not analyzed in Sub-Group analysis. Animals in the ANS Group  
339 had significantly improved static lung compliance compared with the Control Group at  
340 primary analysis. The Responder Sub-Group showed a significant improvement in static lung  
341 compliance compared with the Non-Responder Sub-Group, as demonstrated by the  
342 pressure-volume curve and lung gas volume at 40 mmH<sub>2</sub>O (Figure 3). There was no evidence  
343 of gross lung injury in any of the groups.

344

345 *Quantitative polymerase chain reaction analysis of transcript expression changes in the fetal*  
346 *lung*

347 At primary analysis, relative to the Control Group, significant increases were detected in the  
348 relative expression of transcripts for SP-A, SP-C, AQP-1, AQP-5 and ENaC-B in the ANS  
349 Group while there was no significant differences in SP-B between the Control Group and the  
350 ANS Group. At Sub-Group analysis, there was no significant difference in the relative  
351 expression of transcripts between the Responder Sub-Group and Non-Responder Sub-Group.  
352 (Figure 4).

353

354

355 *Total and free betamethasone measurements*

356 Total betamethasone concentration in maternal and fetal plasma was analyzed at 13 serial  
357 time points. Free betamethasone concentration was analyzed at 6 serial points. Maternal and  
358 fetal exposure to betamethasone was calculated by determining area under the curve. There  
359 were no significant differences in maternal and fetal total or free betamethasone, the  
360 betamethasone half-life, maximum concentration time, maximum concentration and area  
361 under the curve between the Responder Sub-Group and the Non-Responder Sub-Groups  
362 (Figure 5, Supplementary table 2).

363

364 [COMMENT]

365 *Principal Findings*

366 This study showed that animals in the ANS group (receiving one clinical dose of ANS  
367 treatment - 0.25mg/kg betamethasone phosphate and acetate) had improved lung maturation  
368 physiologically at 48 hours after the treatment relative to animals in Control Group which  
369 were treated with saline. Observed treatment efficacy was variable, with ~40% of preterm  
370 lambs failing to respond to treatment. ANS Group Responder and Non-Responder  
371 Sub-Groups could established based on an PaCO<sub>2</sub> levels after 30 minutes of ventilation.

372

373 Among animals in the ANS Group, there was no difference in betamethasone  
374 pharmacokinetics, including protein binding (i.e. free:bound betamethasone in plasma)  
375 between the Responder Sub-Group and the Non-Responder Sub-Group. These data suggest  
376 that, at least in the sheep model of pregnancy, observed variation in standardized ANS  
377 treatments are likely due to pregnancy or fetus-specific factors impacting how the fetus  
378 responds to an otherwise comparable glucocorticoid exposure. We hypothesized that  
379 variability in ANS treatment efficacy in previously observed in experiments involving the  
380 sheep model of pregnancy could be explained, at least in part, by differences in individual  
381 clearance and distribution of betamethasone in the maternal and fetal compartments. This  
382 hypothesis was not supported by our study findings.

383

384 The initial primary analysis showed that ANS treatment improved lung maturation as  
385 demonstrated by blood gas analysis and ventilation analysis. Moreover, ANS promoted  
386 alterations in mRNA expression which were consistent with lung maturation. These changes

387 were similar to those seen in our previous experiments with this model system.<sup>16</sup>

388

389 Sub-Group analysis showed ANS efficacy in just 60% of treated animals. An arbitrary  
390 cut-off value 2 SD lower than average of PaCO<sub>2</sub> at 30minutes ventilation in the control group  
391 was an appropriate means of interrogative ANS responsiveness based on the experimental  
392 design. Other markers, such as PaO<sub>2</sub> may not have been such a robust maker of lung  
393 maturation, because of variation in ductus arteriosus in size and flow direction may have  
394 impacted values.<sup>17</sup>

395

396 After administration, drugs have the potential to bind to serum in the blood. In particular,  
397 betamethasone predominantly binds to serum albumin or alpha-1-acid glycoprotein (AGP). It  
398 is believed that free drugs, not bound to protein, are better able to traverse biological  
399 membranes of cells and exert their pharmacological effects.<sup>18,19</sup>

400

401 We used Centrifree Ultrafiltration Devices with a nominal molecular weight limit of 30 kDa.  
402 Considering albumin and AGP have a molecular weight greater than 30 kDa, we suggest that  
403 this approach provides a robust means of easily separating free betamethasone from bound  
404 betamethasone.<sup>20</sup> While physiological and molecular results in the ANS Responder  
405 Sub-Group were significantly different from those of the ANS Non Responder Sub-Group, no  
406 differences (particularly in drug half-life and AUC), were identified between these groups.  
407 Therefore, we concluded that our hypothesis was incorrect, and that the observed variation in  
408 ANS treatment efficacy was not due to betamethasone clearance, plasma protein binding, or  
409 distribution.

410 *Clinical Implications*

411 Although ANS therapy has been widely adopted, the dosing strategy employed has remained  
412 largely unoptimized for some 50 years. Additionally, it is important to recognize that a  
413 significant percentage of human fetuses appear to be similarly non-responsive to ANS  
414 treatment. From a clinical standpoint it is important to consider that, despite effectively  
415 controlling for gestational age, plurality, dose, agent and time to delivery interval, only ~60%  
416 of animals in the ANS Group in this study responded positively to treatment. It is unclear,  
417 given the range of factors involved, if such control can be achieved in a clinical setting, and  
418 this may be reflected in the apparently lower rate of benefit; based on Cochrane Review, ANS  
419 reduced RDS by 40 %.<sup>6</sup> A significant percentage of this variation will almost certainly be due  
420 to factors such as agent used and treatment to delivery interval; however it seems reasonable  
421 to suggest, based on evidence of variability in adult responsiveness to steroid treatments, and  
422 data presented herein, that a meaningful percentage variation of human preterm response to  
423 ANS therapy likely derives from individual differences in steroid responsiveness - rather than  
424 drug exposure *per se*.<sup>21</sup>

425

426 This is an important avenue of future investigation given both the potential benefits deriving  
427 from appropriate ANS application, and the risks conveyed by off-target use, with repeated  
428 courses of ANS having received particular attention.<sup>8, 22</sup> In some centers, more than half of  
429 the preterm babies treated with ANS are born outside the proposed ANS treatment efficacy  
430 window (24 hours to 7 days post administration), because predicting the timing of preterm  
431 labor precisely and judging the timing of ANS therapies adequately is often difficult.<sup>23, 24</sup>

432

433 *Strengths and Limitations*

434 In assessing these data, it is important to reiterate that they were generated in a sheep model  
435 of pregnancy using a small number (relative to a clinical efficacy study) of surgically  
436 manipulated animals. The direct translatability of these data to the human clinical scenario  
437 remains to be established; however, the sheep has to date proven a high-fidelity model for the  
438 development of ANS therapy, and a similar degree of variability in treatment efficacy is seen  
439 in humans. Our study design incorporates a sub-group analysis, which may increase the risk  
440 of a type II error; to defend against this we have performed a main analysis (Control vs ANS  
441 Groups) to confirm overall effect. We then performed sub-group analyses only on relevant  
442 physiological changes that were statistically significant in the main analysis. Testing these  
443 findings in a clinical setting would require significantly larger numbers of subjects than used  
444 herein before a conclusive result could be obtained.

445

446 It is unknown whether lambs in the Non-Responder Sub-Group could have developed a  
447 degree of functional lung maturation if they had been subjected to a subsequent course of  
448 ANS therapy - although it was not possible to assess this point given the study design used.  
449 However, considering that the variability of ANS efficacy between the Responder Sub-Group  
450 and the Non-Responder Sub-Group was not due to betamethasone distribution, clearance or  
451 protein binding ratio it is tempting to speculate that individual sensitivity, perhaps at the  
452 receptor level, to corticosteroid stimulation plays a key role in determining ANS treatment  
453 efficacy.

454

455

456 *Research Implications*

457 These data suggest that in addition to delivering a necessary dose of steroids, ANS treatment  
458 efficacy additionally depends on pregnancy or fetus-specific factors. Further studies focusing  
459 on genetics and fetal responsiveness to ANS at various gestational ages are necessary. At the  
460 same time, optimized ANS therapies to deliver a more efficient outcome with an absolute  
461 minimum risk of adverse side effects need to be studied.

462

463 *Conclusion*

464 Our data show that adequate materno-fetal steroid exposure is not the sole determinant of  
465 ANS treatment efficacy. Although these data are from a comparatively small animal study,  
466 they do highlight that the optimization of dosing regimens, with an additional focus on  
467 individual patient responsiveness, may serve as an important avenue by which the efficacy  
468 and safety of ANS therapy may be improved.

469

470



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550 [Tables]

551 **Table 1. Summary of delivery data.**

552

	Control	ANS	Responder	Non-responder
n	9	19	12	7
Gestational Age (d)	122.2±0.8	122.0±0.8	122.0±0.8	122.0±0.8
Birth Weight (kg)	2.9±0.4	2.6±0.4	2.7±0.4	2.6±0.4
Sex (M/F)	4/5	11/7†	8/3†	3/4
Cord pH	7.30±0.10	7.27±0.04	7.26±0.05	7.27±0.03
Cord blood pCO <sub>2</sub> (mmHg)	59.3±9.6	58.1±5.7	57.5±6.7	59.2±4.5
Lung Wt(g/kg)	35.3±2.4	33.9±5.1	32.9±3.9	35.9±7.2

†=Sex not recorded for one animal

553

554 [FIGURE LEGENDS]

555 **Figure 1. Blood gas measurements and physiological parameters**

556 Arterial blood gas measurements and physiological parameters at 30 minutes ventilation of  
557 preterm lamb. **A**, pH (Control vs ANS, mean difference 0.15 [95% CI: 0.03 to 0.26],  
558 Responder vs Non-Responder, mean difference -0.23 [95% CI: -0.33 to 0.12]) **B**, PaCO<sub>2</sub>  
559 (Control vs ANS, mean difference -38.0 [95% CI: -60.1 to -15.9], Responder vs  
560 Non-Responder, mean difference 50.9 [95% CI: 35.8 to 66.1]) **C**, PaO<sub>2</sub> (Control vs ANS,  
561 mean difference 55.6 [95% CI: 14.2 to 97.0], Responder vs Non-Responder, mean difference  
562 -68.5 [95% CI: -131.5 to -5.5]) \*significant difference between groups. Error bars represent  
563  $\pm 1$  standard deviation. *CI: Confidential Interval*

564

565 **Figure 2. Ventilation data**

566 Ventilation data at 30 minutes of ventilation. **A**. There was no difference in dynamic  
567 compliance between the Control Group and the ANS Group (Control vs ANS, mean  
568 difference 0.08 [95% CI: -0.02 to 0.19]). Sub-Group analysis was not done. **B**. Tidal volume  
569 showed significant differences in both primary and Sub-Group analysis. (Control vs ANS,  
570 mean difference 1.32 [95% CI: 0.48 to 2.17], Responder vs Non-Responder, mean difference  
571 -1.51 [95% CI: -2.36 to -0.67]) **C**. Ventilation efficacy index showed significant differences  
572 in both primary and Sub-Group analysis. (Control vs ANS, mean difference 0.011 [95% CI:  
573 0.005 to 0.016], Responder vs Non-Responder, mean difference -0.015 [95% CI: -0.021 to  
574 -0.010]) \*significant difference between groups. Error bars represent  $\pm 1$  standard deviation.

575 *V<sub>t</sub>, tidal volume; VEI, Ventilation Efficiency index*

576

577 **Figure 3. Lung maturation analysis.**

578 **A**, Statistic lung gas volume measured at a maximal pressure of 40 cmH<sub>2</sub>O. It showed  
579 significant differences in both primary and Sub-Group analysis. (Control vs ANS, mean  
580 difference 3.32 [95% CI: 1.23 to 5.40], Responder vs Non-Responder, mean difference -3.60  
581 [95% CI: -6.00 to -1.21]) \*significant difference between groups. Error bars represent  $\pm 1$   
582 standard deviation. **B**, Pressure-volume relationship for air inflation and deflation of the lung  
583 at necropsy. The lower line from 0 cmH<sub>2</sub>O to 40 cmH<sub>2</sub>O of pressure in each loop is air  
584 inflation and the higher lines from 40 cmH<sub>2</sub>O to 0 cmH<sub>2</sub>O of pressure are air deflation. The  
585 volume in each pressure was higher or almost same in Responder compared to  
586 Non-Responder, which means static lung compliance was higher in Responder than  
587 Non-Responder. The ANS group was not shown.

588 *V40, volume at 40 cmH<sub>2</sub>O; PV, pressure volume*

589

590 **Figure 4. Messenger RNA quantification in fold change relative to control animals.**

591 Relative expression of mRNA transcript for surfactant protein A, B and C (SP-A, SP-B and  
592 SP-C), aquaporin 1 and 5 (AQP-1 and AQP-5) and epithelial sodium channel subunits B  
593 (ENaC-B). While all mRNA transcripts apart from SP-B were significantly increased in ANS  
594 Group compared to Control Group, there was no difference in Sub-Group analysis. *Box*  
595 *margins* represent 25<sup>th</sup> and 75<sup>th</sup> percentiles; *horizontal line* represents median value.  
596 \*significant difference between groups. *Circles* represent outlier values >1.5 times the  
597 interquartile range.

598

599

600 **Figure 5. Plasma betamethasone concentrations plotted against time.**

601 Total (unbound + bound) betamethasone concentrations and free (unbound) betamethasone  
602 concentrations in both maternal and fetal plasma from drug administration to delivery were  
603 measured. **A**, total betamethasone concentrations in maternal plasma; **B**, free betamethasone  
604 concentrations in maternal plasma; **C**, total betamethasone concentrations in fetal plasma; **D**,  
605 free betamethasone concentrations in fetal plasma. There are no significant differences in  
606 each measurement between responder and non-responder. *Data points* represent mean group  
607 values. *Error bars* represent 1 standard deviation.











