UNIVERSIDADE DE LISBOA Faculdade de Ciências

Departamento de Informática



LOAD BALANCING IN REAL SOFTWARE DEFINED NETWORKS

Gonçalo Miguel Alves Semedo

DISSERTAÇÃO

MESTRADO EM ENGENHARIA INFORMÁTICA

Especialização em Arquitectura, Sistemas e Redes de Computadores

UNIVERSIDADE DE LISBOA Faculdade de Ciências

Departamento de Informática



LOAD BALANCING IN REAL SOFTWARE DEFINED NETWORKS

Gonçalo Miguel Alves Semedo

DISSERTAÇÃO

MESTRADO EM ENGENHARIA INFORMÁTICA

Especialização em Arquitectura, Sistemas e Redes de Computadores

Dissertação orientada pelo Prof. Doutor Fernando Manuel Valente Ramos e co-orientado pelo Prof. Doutor Nuno Fuentecilla Maia Ferreira Neves

Acknowledgments

First of all I want to thank my parents for all the support and encouragement that was fundamental for me to achieve my goals. Without them I would not got this far, not only because of all the investment they made in my education, but also because of all the love and firm hand that kept me in the right path. I like to thank my sister for putting up with me and help me in my journey, she was also very important for me to achieve my goals. I also want to thank the rest of my family, especially my cousins Ricardo Alves, André Torrinha and Pedro Paiva throughout the time we spend together to relieve stress by doing some exercise or just by hanging out.

I want to give a special thanks to my longtime friends Diogo Santos, Miguel Silva and Susana Vilhena for all their friendship, support and advice.

I want to give a great thanks to all my companions Carlos Barata, João Martins, Fábio Santos, Tiago Aparicio, Tiago Posse, João Nascimento, Rafael Oliveira, José Carilho and Rita Henriques for all these 6 amazing years that we spent together on this journey that was the college life. We had awesome moments and lots of night outs, some of them partying while others studying.

Of course, I must thank my advisors professor Fernando Ramos and professor Nuno Neves for the opportunity to join this project and for all their guidance.

Finally I want to thank Vinícius Congo for helping me with the bioinformatics application that made possible the third test and a more accurate result.



Resumo

Actualmente, configurar uma rede pode ser um processo demorado e penoso, principalmente quando estamos a falar de redes constituídas por centenas de routers e switches. É necessário configurar cada um destes equipamentos individualmente, normalmente usando a linha de comandos, num processo muito susceptível a erros. As redes definidas por Software ou Software Defined Networking (SDN) [19, 27] são um novo paradigma que surgiu recentemente e que visa resolver estes problemas de configuração e de gestão de redes. A ideia principal de uma SDN consiste na centralização da lógica do controlo da rede num controlador SDN (ou num grupo de controladores), que controla e monitoriza todo o comportamento da rede. Assim, há uma separação entre o plano de controlo que tem por função preencher as tabelas de encaminhamento dos switches com base nas decisões do operador da rede (ou das aplicações que tomam as decisões), e o plano de dados, isto é, o encaminhamento dos pacotes. Esta separação é possível através da definição de uma API entre os switches e o controlador, como por exemplo o OpenFlow [30]. Uma rede SDN possibilita que as redes sejam programáveis permitindo a definição do comportamento da rede a partir do controlador, facilitando a definição e implementação de aplicações complexas tais como balanceamento de carga, encaminhamento ou segurança.

O objectivo deste trabalho consiste na construção de um balanceador de carga usando este novo paradigma. As principais diferenças em relação aos distribuidores de carga convencionais, que são baseados em hardware especializado e caro, é que o que propomos é baseado em software sendo uma aplicação que corre em cima de um controlador. Além disso faz balanceamento de carga considerando não só o servidor para onde devemos enviar os dados, mas também o melhor caminho para chegar até ao servidor escolhido.

Para a construção do nosso projecto usámos o controlador Floodligth [8], feito em Java. Usando este controlador avaliámos várias combinações de algoritmos de escolha de servidor e de algoritmos de escolha de caminho.

Como acreditamos não existir um algoritmo que seja o mais indicado para todas as aplicações, desenvolvemos uma nova aplicação de balanceamento de carga para redes SDN: MALOB (Multi-Algorithm Load Balancer), uma aplicação que selecciona o algoritmo de acordo com o tipo de pedido.

Um dos pontos mais relevantes deste trabalho prende-se com a sua avaliação. Para a realização dos vários testes recorremos à GENI [13], uma rede experimental de larga escala. A GENI possibilita o desenvolvimento de uma grande variedade de experiências, tais como, desenho e avaliação de novos protocolos, serviços distribuídos, gestão de conteúdos ou serviços de gestão de redes, usando uma rede física real. Uma das principais razões pela qual optámos pela GENI foi o facto de esta nos permitir explorar o potencial de novas tecnologias como as SDN. Com uma avaliação experimental feita numa rede real como a GENI conseguimos resultados que nos permitem tirar conclusões mais precisas relativamente ao impacto do nosso trabalho.

Palavras-chave: Software-Defined Networking, Balanceamento de carga, OpenFlow, GENI, Floodlight.

Abstract

Nowadays, network management can be a painful and tedious process, especially when we consider large networks with hundreds of switches and routers. In traditional networks, it is necessary to configure each equipment, one by one, typically using a command line in an error-prone process. Software Defined Networking (SDN) [19, 27] is a new paradigm that aims to change this current undesirable state of affairs. The main idea of SDN consists in *logically centralizing* network control in a SDN controller (or a cluster of controllers), which controls and monitors the behavior of the network. The goal is to separate the control plane from the data plane. This separation is possible by means of an API between the switches and the controller such as OpenFlow [30]. Networks thus become programmable, allowing the definition of the behavior of the entire network from a vantage point, the controller, thus facilitating the creation of advanced network policies, such as load balancing, routing and security.

The main goal of this project is to develop and evaluate a load balancer using this new paradigm. Conventional load balancers are expensive specialized hardware equipment whereas our proposal is based on a software application running on top of the SDN controller. Additionally, our solution enables load balancing to be performed not only based on server choice, but also on the best path to the chosen server.

To achieve our goal we used the Floodlight controller [8] implemented in Java. Using this controller we evaluated several combinations of algorithms for server and path selection.

As particular algorithms are suitable for particular applications, we propose a Multi-Algorithm Load Balancer (MALOB), a load balancing application for SDNs that has the capability to adapt to the different types of requests, selecting the most appropriated algorithm accordingly with the type of request. One of the most relevant contributions of this dissertation is its evaluation. We used GENI [13], a large-scale testbed that enables the possibility of performing a variety of experiments, such as, protocol design and evaluation, distributed service offerings, content management and in-network service deployment. GENI allows us to explore the potential of underlying technologies such as SDN. An experimental evaluation made with a real network such as GENI, enabled us to take more faithful conclusions about the impact of our work.

Keywords: Software-Defined Networking, Load Balancing, OpenFlow, GENI, Floodlight.

Contents

Li	st of I	Figures	xiii
Li	st of]	Tables	XV
1	Intr	oduction	1
	1.1	Traditional Networks	1
	1.2	Software Defined Network, a new paradigm	2
	1.3	Motivation	3
	1.4	Contributions	4
	1.5	Work Plan	4
	1.6	Document Structure	6
2	Rela	nted Work	7
	2.1	Software-Defined Networking	7
		2.1.1 OpenFlow	8
	2.2	SDN controllers	9
		2.2.1 Nox	9
		2.2.2 Onix	9
		2.2.3 Floodlight	11
	2.3	Load Balancing	11
		2.3.1 Content-blind load balancing	12
		2.3.2 Content-aware load balancing	12
		2.3.3 Plug-n-Serve: An SDN Load Balancer	13
	2.4	Evaluation	13
		2.4.1 Mininet	14
		2.4.2 Mininet Hi-Fi	14
		2.4.3 GENI	15
3	Desi	ign and Implementation	19
	3.1	Application Design	20
	3.2	MALOB	22
	33	Implementation	23

		3.3.1	Maintaining Network State	23
		3.3.2	Maintaining Server State	26
		3.3.3	Load Balancing Algorithms	26
4	Eval	luation		29
	4.1	Topolo	gy and Testbed Setup	29
	4.2	Evalua	tion Results	30
		4.2.1	HTTP Requests	31
		4.2.2	FTP Requests	32
		4.2.3	Bio Application Requests	33
		4.2.4	Discussion	33
5	Con	clusion		37
Ac	rony	ms		39
Bi	bliogi	raphy		44

List of Figures

1.1	Traditional Networks Architecture	2
1.2	Traditional Network vs SDN	3
2.1	Network design using SDN	8
2.2	NOX-based network	10
2.3	Plug-n-Serve design	14
2.4	Map with all GENI sites	17
2.5	Map with all InstaGENI sites	18
3.1	SDN Load Balancer Design	19
3.2	Steps for a new request	21
3.3	MALOB usage	22
3.4	Total round-trip time of a LLDP packet	24
3.5	Use of scripts to retrieve state information	25
3.6	Paths bandwidth example	27
4.1	Network Topology	30
4.2	HTTP Results	32
4.3	FTP Results	33
4.4	CPU usage by request at Server-2	34
4.5	BioApp Results	34
4.6	Network topology used to evaluate the performance of HT-PS against SL-	
	PS	35
4.7	Results for the HTTP test	35
4.8	Results for the FTP test	35

List of Tables

2.1	Example of an OF-enabled switch flow table	9
3.1	REST Commands	20
3.2	MALOB Services Table	23
4.1	Algorithm combinations	29
4.2	Node Configuration	30
4.3	Links Throughput and Latency	31
4.4	Links characteristics	35

Chapter 1

Introduction

Computer networks are the spine of many businesses today. These networks are getting bigger and bigger with thousands of devices that have to be configured and managed. Nowadays configuring and managing a network is a complicated, tedious and error-prone process. To avoid this undesirable state of affairs, a new paradigm called Software-Defined Networking (SDN) [19, 27] has been proposed. This new paradigm consists in separating the data plane from the control plane. In a SDN a logically centralized controller handles the control plane.

The main goal of this project is to create a load balancer application for SDN architectures and evaluate it in a real testbed. Contrary to traditional load balancers, our application is capable of not only choosing the best server to handle the request, but also select the best path to that server.

1.1 Traditional Networks

Enterprise IT departments have very limited options to get the best performance from their network while the demands on the quality of service are always increasing. Normally, they have to use device-level management tools and manual processes to configure each device, which in many cases can be thousands. To meet the several business and technical needs, over the last few decades the network industry has evolved protocols to deliver higher performance and reliability, broader connectivity, and more stringent security. This variety of protocols and devices results in very complex setups that represent one of major problems of today networks. For example, when a new device must be added or moved, engineers must change configurations of switches, routers, firewalls, and update Access Control Lists (ACLs), Virtual Local Area Networks (VLANs), quality of service (QoS), and other protocol-based mechanisms using low-level device-level management tools. A long, complicated, tedious, and error-prone process.

As shown on Figure 1.1, the network can be divided into three different planes: the management plane, the control plane, and the data plane. In the first plane we have

management applications used by engineers for motorization and configuration of the network. The control plane represents the network protocols defined by the management plane. This plane controls the data plane defining how it should behave according with the rules imposed by the management plane. The data plane is responsible for forwarding the data. In current networks the control plane and the data plane are handled by the same network devices and the whole structure is highly decentralized, which means, operations such adding a new protocol must be deployed in every network component. Although this architecture has been quite effective in terms of network performance, the difficulty to add new features led to the addition a plethora of devices such as: dispatchers, management middleboxes, firewalls, etc, increasing the network cost and complexity, and as a consequence making its management more difficult.

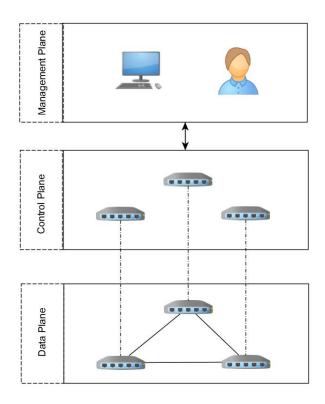


Figure 1.1: Traditional Networks Architecture

1.2 Software Defined Network, a new paradigm

Software-Defined Networking (SDN) is a new paradigm in networks. The main idea of SDN consists in the centralization of network control in a logically centralized program – the SDN controller – which controls and monitors the behavior of the network. With this program it is possible to control and manage the entire network by writing applications that run on top of it. By separating the control plane from the data plane, it becomes easier to configure the network. Networks thus become programmable, allowing the definition

of the behavior of the entire network from the controller, and the possibility to easily create advanced network policies, such as load balancing. Figure 1.2 presents the difference between traditional networks and Software-Defined Networks, where in the first the control plane is handle by the multiple network devices, while in SDNs the control plane in handle by software controller hosted in a server or a cluster of servers.

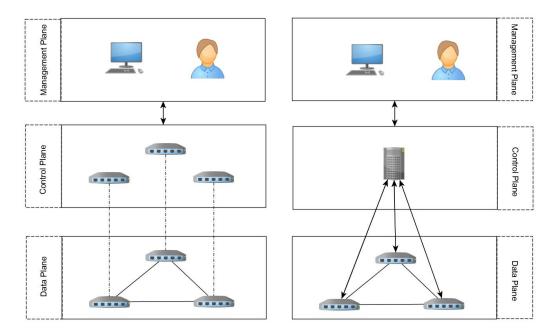


Figure 1.2: Traditional Network (left) vs SDN (right)

1.3 Motivation

To achieve better performance internet services are replicated, with load balancers distributing work by the replicas. The common architecture consists in putting a hardware-based load balancer - a dispatcher - at the entrance of the network. This dispatcher uses load balancing algorithms such as simple Round-Robin or more sophisticated content-based algorithms, to distribute the load by the several replicas of the server. Since all the requests must go through the dispatcher, this component may become a bottleneck, so usually it is necessary to replicate the dispatcher too. This method can be costly, ranging from around \$2,000 to close to hundreds of thousands of dollars[3]. Motivated by this fact, in this work we investigate the possibility of building a load balancer as a software application in an SDN infrastructure. This solution is cheaper because it is software based and the application runs in the server that hosts the controler. In addition, it may achieve better performance because it becomes possible to not only choose which server handles the request, but also select the best path to the chosen server.

1.4 Contributions

We will evaluate the combination of both server and path selection algorithms to understand which algorithms have a better performance. As we anticipate that no single algorithm has the best performance in every situation, we propose MALOB, a Multi-Algorithm Load Balancer that has the capability of adapting according to the type of request, and choosing the algorithm that fits better to the current request. Using the benefits of the SDN paradigm this load balancer takes into account different variables such as link latency, link bandwidth, and CPU usage. The source code was made available open-source on GitHub¹.

For evaluation, we used the Global Environment for Network Innovations (GENI) [13], a large-scale testbed that enables us to perform the evaluation in a realistic non-structured Wide Area Network (WAN) environment. Using GENI we are able to obtain more realistic results about the impact of our solution in real Software Defined Networks.

As a summary, the main contributions of our work are:

- Multi-Algorithm Load Balancer (MALOB), an adaptable load balancer application for the Floodlight [8] controller;
- An evaluation of different kinds of load balancing algorithms for server selection and path selection;
- Evaluation of the load balancing algorithms on an non-structured WAN network using GENI [13], a large scale testbed.

1.5 Work Plan

In this section we present our work plan, the challenges we faced and the required changes to the initial plan.

Study of the State of the Art

Between October and January, we focused on studying the state of the art. By reading some literature about SDN, we learned how the separation of the control plane from the data plane may bring benefit to the current state of affairs in networking. We have noticed that most of the evaluation of SDN applications is made by using the Mininet emulator (which will be explained later) and, due the lack of experiments using large scale test beds, we decided to use GENI, a large testbed that enabled us to create a real network with multiple machines, aiming to obtain a more accurate view of the performance of our application.

¹https://github.com/goncaloSemedo/MALOB/

Familiarization with the Floodlight controller

During the following two months, we experimented using the SDN controller on Mininet. After some research we were able to create a network on Mininet, which allowed us to start learning how the controller works. For that we focused on creating different load balancing algorithms.

Familiarization with the GENI Testbed

From April until the end of May, we studied the possibility of using this platform for evaluation. At the end of May we built our non-structured WAN topology and started running the first algorithms developed.

Selection and Implementation of the Algorithms

We started discussing the algorithms that were worth a deeper evaluation. After an analysis of possible alternatives, we decided to evaluate three algorithms for path selection, and three algorithms server selection. We finished the implementation of all algorithms by the end of July.

Test and evaluation using GENI

The tests ran on GENI until the end of August. We tested the algorithms considering three different types of requests, since we anticipated that some algorithms would perform better than others, depending on the type of request. First, for simple Hypertext Transfer Protocol (HTTP) requests, the latency on the path is the variable that has the most impact, since it is dealing with small files. Then, we moved to File Transfer Protocol (FTP) requests because the transfer of large files implies that the chosen path should have the highest throughput possible. Finally, we considered an application for genome data processing, motivated by the need of having an application that requires more processing time from the servers. In this case, unlike the other two, it is not the time to transfer that matters, but the response time of the server.

Differences from the initial plan

During the course of this project we realized that performing an evaluation using a real test bed is an arduous task. The main change to the initial plan occurred while creating the topology using the GENI platform. We tried both the ExoGENI and the InstaGENI designs, which took some time because the resources were not always accessible. Since the network crosses the entire United States, it was susceptible to a series of problems. Just to give an example of the realism of our evaluation setting, on one occasion one site was struck by a lightning.

1.6 Document Structure

This document is organized as follows:

- Chapter 2 Gives a review of related work. We present the state of the art on SDN and load balancing. We explain how SDN operates and some of its key functions. We also present various load balancing algorithms. Finally, we discuss several possibilities for evaluating network experiments.
- Chapter 3 Describes the design of our load balancing application explaining its architecture and how we implemented it.
- Chapter 4 Describes the setup used to test our algorithms, and the results of our experiments.
- Chapter 5 Provides the conclusions.

Chapter 2

Related Work

In this section we will explain the SDN paradigm and how future networks may benefit from this novel architecture. We give an overview on load balancing algorithms, and conclude with a summary of the evaluation platforms that are used in our work.

2.1 Software-Defined Networking

Software-Defined Networking (SDN) [19, 27] is a new paradigm in networks. The main idea of SDN consists in the centralization of network control in a logically centralized program – the SDN controller – which controls and monitors the behavior of the network. The goal is to separate the control plane from the data plane. This separation is possible by means of an Application Programming Interface (API) between the switches and the controller, such as OpenFlow [30]. Networks thus become programmable, allowing the definition of the behavior of the entire network from the controller and the possibility to create advanced network policies such as load balancing, routing and security.

Figure 2.1 illustrates the various layers that constitute an SDN. The first layer, called application layer, consists of the applications that define the behavior of the network, commonly using the Representational State Transfer API [18]. This API uses the Hypertext Transfer Protocol (HTTP) to allow remote applications to send instructions to the controller or retrieve information from the controller. In the control layer we have a logically centralized software-based SDN controller, responsible for handling the control plane and maintain a global view of the network. This controller has the job of translating the applications instructions to the data layer by means of the OpenFlow API. It is also responsible to give applications an up-to-date view of the network state. The data layer is composed of the network devices responsible for packet forwarding, such as switches and routers. The communication between the data layer and the control layer is made by OpenFlow.

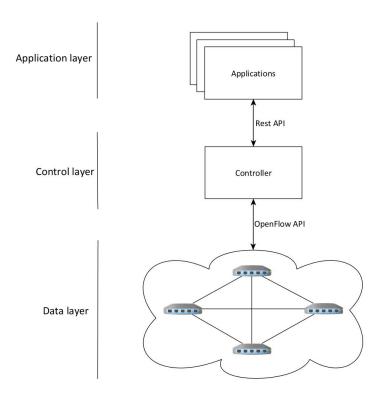


Figure 2.1: Network design using SDN

2.1.1 OpenFlow

OpenFlow [30] is a protocol that enables the communication between the switches and the SDN controller. OpenFlow started as a mechanism for researchers to realistically evaluate their experiments, as it enables the separation of experimental traffic from production traffic. This allows the use of a network switch for experiments without interfering with normal traffic. OpenFlow allows the modification of the flow tables of the switches, using a well-defined interface, by issuing commands from the controller. An OpenFlow-enabled switch (OF switch) can match packets against the different headers which enable more dynamic and flexible forwarding instructions than common network devices.

In Table 2.1 we illustrate a flow table that supports OpenFlow. The table shows the flow rules used to evaluate what action the switch should take when a packet for that particular flow arrives. The first 5 columns represent the packet headers that can be matched (this is what defines a flow). The column "Action" represents the action, defined by the controller, that the switch must perform when it matches on that row. Finally the last column represents the number of packets received by the switch that matched that flow. For example, we can see that all packages with Transmission Control Protocol (TCP) destination port 25 will be discarded and that the switch has already discarded 100 of those packets. The unknown packets (all the first 5 columns have only an *) are forwarded to

the controller, which is the default behavior, the controller can then decide what action to perform to those packets.

MAC src	MAC dest	IP src	IP dest	TCP dport	Action	Count
*	10:20:*	*	*	*	port 11	235
*	*	*	123.8.2.1	*	port 2	300
*	*	*	*	25	drop	100
*	*	*	*	*	Controller	455

Table 2.1: Example of an OF-enabled switch flow table

2.2 SDN controllers

Controllers are the core component of an SDN. They oversee the behavior of the entire network and implement the decisions to achieve the desired state for the network. They are a logically centralized program that offers services and applications for controlling the network. It is important to emphasize that a logically centralized program does not mean that we have a centralized system. Actually, the controller can be distributed and replicated for fault tolerance and/or better performance [26]. In any case, applications are written as if the network view was stored on a single machine [21].

2.2.1 Nox

NOX [21] was the first SDN controller and was written in C++ and Python. As shown in Figure 2.2, a NOX-based network consists of a set of switches and one server, running the NOX controller software and the management applications over it. The NOX programing model is event-driven, meaning that, the data plane triggers events, like a *Packet In* event, and applications are notified of the event. NOX has core applications to discover and observe the network components. These applications are responsible for creating and updating a single database containing all network observations and data (network view), providing observation granularity at the switch-level topology, showing the locations of users, hosts, middleboxes, and other network elements [21]. Like any other centralized controller, Nox has to handle all flows in the network making it a possible bottleneck. Anyway this controller is able to handle around 100000 flows per second [21], which is considered enough for a good range of networks [15].

2.2.2 **Onix**

Onix [26] is a distributed SDN control platform that runs on a cluster of one or more physical servers, each of which may run multiple Onix instances. Onix uses a database

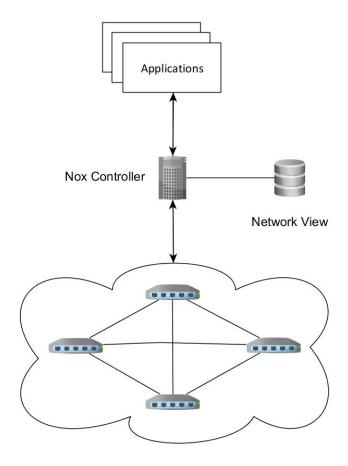


Figure 2.2: NOX-based network

called Network Information Base (NIB) that stores the current state of the network. The state in the NIB is distributed and replicated among all Onix instances using basic state distribution primitives. Onix also provides a general API which allows, depending on the desired implementation, to make trade-offs among consistency, durability, and scalability.

Contrary to other controller designs, the NIB sits between the management plane and the control plane, and it is through this database that the applications interact indirectly with the data plane. The management plane modifies the NIB and the controller reads those modifications and translates them in commands to the data plane. In the other way around, the controller updates the NIB according to the events triggered by the data plane, and notifies the applications about the updates made in the NIB. Every time a NIB is modified, the NIBs of the other Onix instances must be updated, for the sake of consistency. Onix provides the possibility of choosing between strong or eventual consistency for this purpose. For strong consistency it offers a transactional persistent database, and for eventual consistency it has a memory based Distributed Hash Table (DHT) available.

2.2.3 Floodlight

Floodlight [8] is an enterprise-class, Apache-licensed, Java-based OpenFlow Controller. This is the one we have chosen to use in our project because it is designed to offer high-performance and scales well with the number of network components [8]. The fact that it is implemented in Java also contributed to this decision.

The Floodlight controller is based on another controller called Beacon [17]. Java was the chosen programming language because it offers the best balance between performance and user friendliness. It is also portable, which means it can run on a variety of operative systems. In addition, Beacon (and Floodlight) has a good and simple API and comes with useful applications:

- Device manager: tracks devices seen in the network including information on their addresses, last seen date, and the switch and port last seen on;
- Topology: discovers links between connected OpenFlow switches;
- Routing: provides shortest path layer-2 routing between devices in the network;
- Web: provides a Web user interface.

One advantage of Beacon and Floodlight is the runtime modularity, the capability of not only starting and stoping applications while it is running, but to also add and remove them, without shutting down the controller process. Applications are fully multithreaded having blocking (Shared Queue) and non-blocking (Run-to-completion) algorithms for reading OpenFlow Messages. The evaluation presented in [17] concluded that Beacon was the controller with best performance when compared to NOX [21], Pox [5] and Maestro [32].

2.3 Load Balancing

Web applications scale by running on multiple servers to be able to service an increasing number of users that demand Web content. To achieve the desired performance, load balancers are used to distribute the request by the replicas. This results in important benefits such as scalability, availability, manageability, and security of Web sites [20]. The Load balancer job is to choose which server should handle the next request, using algorithms such as Round-Robin. After receiving a request from the client, it applies the load balancing algorithm and forwards the request to the chosen server.

Load Balancers today consist of expensive specialized hardware, the dispatcher, located at the entrance of the network [37]. This dispatcher is a special component used only for load balancing so it can handle many requests with good performance. The dispatcher may become a bottleneck and it is therefore necessary to replicate the load

balancer, which increases the cost of the solution further. A limitation of the traditional load balancers is that they only take into account server choice, not taking into consideration the traffic load. This limitation is something we explore in our work by creating algorithms that evaluate the state of the links, taking into consideration not only server choice itself, but also taking into consideration the best path to the chosen server.

2.3.1 Content-blind load balancing

The first category of load balancer is called Content-blind load balancing [20]. This type of load balancer is unaware of the application information contained in the incoming request. These load balancers can work at layer-2 or layer-3. In a layer-2 forwarding mode, both the load balancer and the servers are in the same Internet Protocol (IP) subnet. The load balancer uses the Media Access Control (MAC) address available in the data link layer information to determine the output interface port for that packet, after running the load balancer algorithm. In layer-3 forwarding, the load balancer and servers have different IP addresses and in this case the load balancer works as a router. Two forwarding techniques have been implemented in a dispatcher-based web cluster using layer-3 routing: Network Address Translation (NAT) and IP Tunneling (IPTun). The first one consists of rewriting the layer-3 destination address of the incoming packet to the IP address of the real server selected by the load balancer. IPTun consists of the encapsulation of IP datagrams within IP datagrams, with the source and destination IP address specifying the virtual IP address of the system and the target server IP address, respectively. The Virtual Internet Protocol (VIP) is an IP address assigned to multiple applications residing on multiple servers, rather than being assigned to a specific server or network interface card. Incoming data packets are sent to the VIP address and routed to actual network interfaces using the MAC address.

In this type of load balancers, the most common algorithms used for forwarding packets are:

- Round Robin: the load balancer chooses the next server in a circular way;
- Random: the load balancer chooses the next server randomly;
- Least Loaded: the Load balancer chooses the server with the lowest load;
- Least Connections: the Load balancer chooses the server with smallest number of TCP connections.

2.3.2 Content-aware load balancing

Content-aware load balancing [20] is a category of load balancers where the load balancer is aware of the packet's content data. In this architecture each server may provide a

different service but the client does not know the specific machine that provides it with the service. It is the load balancer's job to forward each package to the correct server. For this, the load balancer analyses the package content (HTTP request). This is thus an architecture that works at the application level. One big advantage of this approach is the possibility to use caching techniques on the servers. Applications can store frequent replies in cache, making more efficient the processing of the following requests. Example architectures include: TCP Splicing [29], Redirect Flows [16], Socket Cloning [38].

2.3.3 Plug-n-Serve: An SDN Load Balancer

Part of our interest in this problem has arisen from Plug-n-Serve [24]. The motivation of Plug-n-Serve is to transform load balancing into a network primitive. As an SDN load balancer, it benefits from the SDN architecture where a logically centralized controller handles the control plane. The load balancer application uses this controller to configure the data plane in accordance with the application decisions. The algorithm used in this load balancer is called LOBUS (Load-Balancing over UnStructured networks). The state LOBUS needs to track, includes the load on the servers, the response time of the servers and the congestion of the links. The algorithm determines the current state of the network and servers and, then, based on this information, chooses the appropriate server to handle the request and chooses the best path in order to minimize response times.

On Figure 2.3 (taken from [24]), we have a diagram that shows the Plug-n-Serve design.

The Controller has three modules: Flow manager, Net Manager, and Host Manager. The Net Manager is responsible to oversee network behavior, topology, and utilization levels. This is used to gather useful information for the application to be able to choose the best path. The Host Manager monitors the state and load of the network servers. This component gathers information like the host CPU usage or the number of TCP connections, which allows the application to choose the server that, should handle the request. The Flow Manager manages route flows according to the load balancing application. It is also responsible to inform the application when a new flow arrives on a switch.

Plug-n-Serve follows the design of Aster*x [22], a prototype that was created to initiate a meaningful debate on designing load-balancing systems for large-scale services. We believe the idea behind Aster*x and Plug-n-Serve is solid, but both short papers (a poster and a demo) do not detail the algorithms they introduced, and they do not compare with other alternatives. The present work aims to fulfill those gaps.

2.4 Evaluation

The next sections describe the most common platforms that are used to test and evaluate SDN applications.

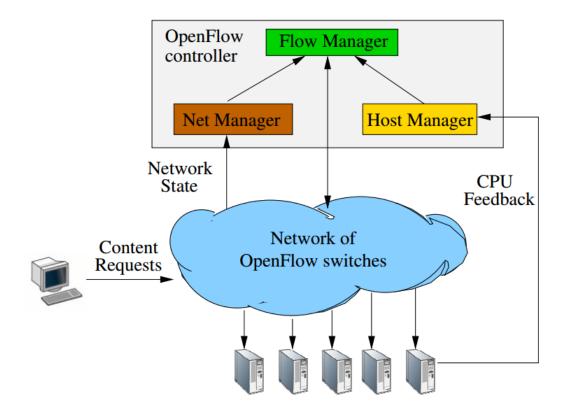


Figure 2.3: Plug-n-Serve design (Source: [24])

2.4.1 Mininet

Mininet [28] is an emulator written in python that allows the evaluation of a network with several components in a single computer. Mininet differs from other emulators because it employs lightweight virtualization (by using Linux containers) and supports the SDN paradigm. It can run real code and support several topologies. This emulator has some limitations, namely the precision of the results with high loads, which may not be accurate. The CPU resources are multiplexed in time, and for that reason the Linux scheduler does not guarantee that a component that is ready to send a packet, to do so.

2.4.2 Mininet Hi-Fi

Mininet Hi-Fi [23] extends the original Mininet architecture by adding mechanisms for performance isolation, resource provisioning, and monitoring for performance fidelity. This version overcomes the limitations of its predecessor, isolating the resources used by virtual hosts and switches. For that purpose Mininet Hi-Fi uses the following OS-level features from Linux:

• Control groups (cgroups), allow a group of processes (belonging to a container/virtual

host) to be treated as a single entity for scheduling and resource management;

- CPU Bandwidth, enforces a maximum time quota for a cgroup within a given period of time;
- Traffic Control, configures link properties such as bandwidth, delay, and packet loss

In this way, resources are carefully provisioned by splitting the CPU among containers and leaving some margin to handle packet forwarding, based on offline profiling, thus yielding a result that matches hardware.

Mininet-HiFi lets the experimenter allocate link speeds, topologies, and CPU fractions based on their estimated demand, and can also monitor performance fidelity to help verify that an experiment is operating realistically. As links run at a fixed-rate, packets should leave at predictable times whenever the queue is non-empty. To monitor host fidelity, the CPU idle time is observed. CPU bandwidth limiting ensures that no virtual host receives excessive CPU time, but it can happen that a virtual host does not receive sufficient time to execute its workload. Nevertheless, Mininet Hi-Fi is a very useful tool to evaluate networks with good fidelity.

2.4.3 GENI

Although Mininet-Hi-Fi provides mechanisms for performance isolation, it is still an evaluation platform that does not represent real hardware or real users. In this study our goal was to evaluate our ideas in a real SDN. For that reason, we decided to use the Global Environments for Network Innovations (GENI) [13]. GENI is a virtual laboratory test bed for large-scale network experimentation where researchers can create their network topologies using real equipment. In general, test beds like GENI are platforms that enable the possibility of deployment and evaluation of large, realistic experiments with real hardware and real users. This development environment provides customizable software, hardware, and networking components for researchers to create their experiments. GENI's original motivation was to overcome the limitations imposed by the current network infrastructures that severely limit the potential for innovation in the global, public Internet. GENI also explores the potential of emerging technologies such as SDN. Virtualization enables experimentation to run at reasonable cost, so GENI uses it to combine heterogeneous resource types, providing a platform for network science researchers to perform experiments that move beyond simulation. GENI also provides:

- Scalability: experiments can range from small laboratory to a national scale;
- Protocol diversity: The networks and their components support a large diversity of protocols, such as IPv4, IPv6, OpenFlow, TCP, etc.;

• Execution environment diversity, ranging from isolated laboratory style controlled environments to internet environments.

In order to support a large range of users, resources must be shared while giving the impression that those resources are exclusive. GENI borrows the concept of *sliceability* [33], the virtualization of a shared physical resource into multiple virtual machines providing some degree of isolation and the illusion of exclusive resources. Similarly, network resources can be also virtualized. The most common way to achieve this is by means of virtual local area networks (VLANs) that provide a well-understood degree of data isolation. However, VLANs do not offer meaningful performance isolation or programmatic control. For the latter, the SDN paradigm offers a more flexible means for network virtualization.

The GENI network sites are mainly composed of university campus in the United States, as shown in Figure 2.4. Each site has a chief information officer (CIO) that is responsible for the maintenance and accessibility of the site. In order to achieve that support from each site's CIO, GENI organized a program to educate universities on the potential benefits to their campus of emerging paradigms like SDN. Because this technology offers the possibility of supporting enhanced campus network management capabilities as well as enabling more effective and cost-effective approaches to network security, over fifty universities joined this program. A key goal of GENI's current expansion phase is to achieve initial deployments of this technology in parallel with production networks at national scale. This deployment will result in a revolutionary new programmable, virtualized, distributed collection of resources (networks, computers and storage), a global scale deeply programmable cloud that will support the GENI research mission, and as well as enabling research and education in a wide variety of areas such as big data, cloud-based applications, or security.

As a summary, using GENI brings the following benefits:

- Amount and diversity of resources: GENI provides more resources than other test beds or laboratories for network experimentation. It gives access to hundreds of nation-wide distributed resources including computation and network;
- Realistic networks: GENI permits a growing number of experiments to move beyond simulation and into emulation and realistic deployment environments;
- Non-IP connectivity across resources: GENI allows users to set up Layer 2 connections between compute resources and run Layer 3 and above protocols connecting these resources:
- Deep programmability: using the SDN paradigm, GENI gives the possibility to program not only the hosts but also the switches of the network;

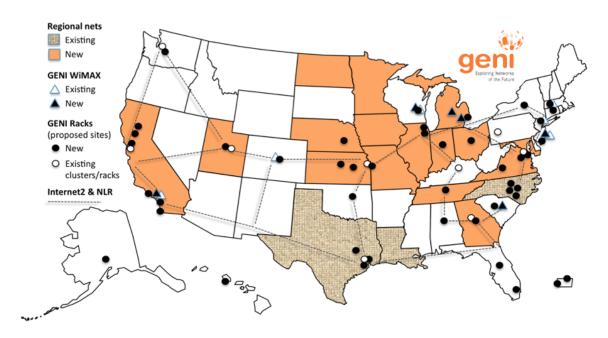


Figure 2.4: Map with all GENI sites. (Source: www.geni.net)

• Control the experiment's environment: we can get exclusive access to certain resources like CPU, RAM and network resources, and hence the ability to repeat experiments under similar conditions.

InstaGENI

In each campus, the basic deployment unit of computation and storage is called a GENI rack. Each rack has multiple compute nodes, disk-based persistent storage, and Open-Flow switches. At the moment two distinct GENI rack types exist, ExoGENI [10] and InstaGENI [12]. The first was built by the Renaissance Computing Institute, emphasizing performance using IBM hardware. The second was built by an HP team, emphasizing affordability using HP hardware. The InstaGENI design was the chosen for our project mainly because it provided us with more sites and a better geographical dispersion than ExoGENI. In figure 2.5 we show the location of the InstaGENI sites.

Each InstaGENI rack consists of five experiment nodes, one control node, an Open-Flow switch for internal routing, and data plane connectivity to the Internet. In our work the experimental nodes used Xen virtualization [11]. This virtualization platform allows multiple operating systems to share conventional hardware in a safe and resource managed fashion, but without sacrificing either performance or functionality. Also, one of the images hosted on Xen nodes supports Open vSwitch (OVS)) [34]. OVS is an open-source software switch designed to be used as a virtual switch in virtualized server environments that supports OpenFlow. With this software virtual machines can forward traffic between different Virtual Machines (VMs) on the same physical host and also forward traffic between VMs and the physical network. The network architecture provides layer-2 services



Figure 2.5: Map with all InstaGENI sites

across the wide area, while permitting deep programmability. Various techniques can be used for that purpose. In our projected we used Ethernet-over-GRE (EGRE) Tunnels, an IP tunneling technique where packets are multiplexed based on an Ethernet frame's destination MAC address instead of IP addresses, avoiding the IP-address collisions that can happen in this type of environment.

Chapter 3

Design and Implementation

The load balancing application developed in this thesis was built on top of the floodlight controller, which controls all OpenFlow switches on the network, as shown in Figure 3.1. Our application is an extension of floodlight's simple load balancer, that currently only uses the round-robin algorithm for choosing the server for the next request, and the shortest path (by number of hops) for selecting the path to the server. We use these algorithms as baseline.

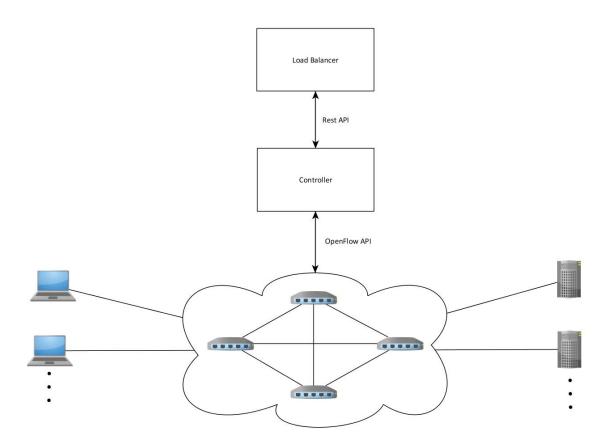


Figure 3.1: SDN Load Balancer Design

3.1 Application Design

Three key primitives must be defined for our application to work: *Virtual IPs (VIPs)*, *pools* and *members. VIP* is the virtual IP of the service that clients use to make requests. This is the IP clients use when making a request to the service. They are unaware that this is VIP or that the service is replicated. When a new request is sent, it arrives at the first edge switch and, as it is the first packet of this flow, it is forwarded to the controller. When it arrives at the controller, the application checks the destination IP. If it is a *VIP*, the application runs the load balancing algorithm and selects the target server and the path to reach it. The controller, using the information from the application, creates the necessary entries in the switch flow tables and pushes them into the data plane. In Figure 3.2 we have an example of this process. We will return to this figure below. *Pool* is the list of servers that correspond to a certain VIP. It is from this list that the algorithm selects the target server. Finally, a *member* represents a server in a pool's list. This primitive has important attributes that define the state of the represented server, such as the CPU usage, the number of TCP connections, or the response time. These are used by some of the algorithms to select the next server.

Using floodlight's Representational State Transfer (REST) API [6, 18], we can easily define these primitives, as in Table 3.1. For example in the REST command to define a *VIP*, five data fields are presented: the "id", identifier of the *VIP*; the "name", name of the *VIP*; the "protocol" and "port", optional fields used for example if the design requires different *VIPs* to handle different protocols; the "address", the *VIP* address; and finally the *url* with the address of the controller were the data is posted.

Primitive	REST Command
VIP	curl -X POST -d '{"id":"1","name":"vip1","protocol":"icmp","address": "10.0.0.100","port":"8"}' http://< ControllerIP >/quantum/v1.0/vips/
Pool	curl -X POST -d '{"id":"1","name":"pool1","protocol":"icmp","vip_id": "1"}' http://< ControllerIP >/quantum /v1.0/pools/
Member	curl -X POST -d '{"id":"2","address":"10.0.0.4","port":"8","pool_id": "1"}' http://< ControllerIP >/quantum/v1.0/members/

Table 3.1: REST Commands to define important application primitives

Considering Figure 3.2, in the first step the client makes a request to the service's VIP. Then (step two), the switch realizes that it does not have any flow in its table for this new packet, so it sends that packet to the controller using the OpenFlow protocol, triggering a *PacketIn* event at the controller (step 2). Upon receiving the new packet, the controller informs the load balancing application about the event (step 3). The application checks if the Destination IP of the packet matches any of its VIPs, if so, the application runs the

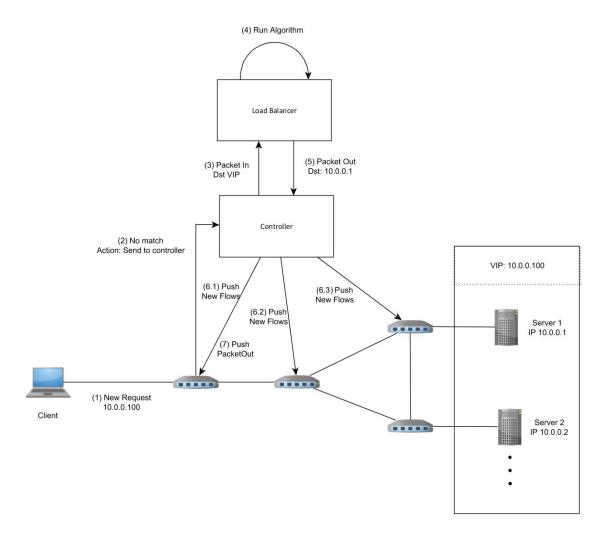


Figure 3.2: Steps for a new request

load balancing algorithm to choose the destination server and the path to reach it (step 4). From the results of the algorithm the application tells the controller to start a *PacketOut* event to the chosen server using the selected path (step 5). Note that the application chooses a path for the request and for the corresponding reply. So, on the sixth step, the controller pushes the new flows into the necessary switches to enforce the paths indicated by the application. Since we are using a *VIP* in step 6.1, special actions are needed. While in steps 6.2 and 6.3 the action is to tell the switch to which port the packets of this flow should go, in step 6.1 the switch will also have to convert the destination IP (VIP) into the chosen server IP, and then in the backward direction to convert again from the server IP into the VIP for the reply. The same is true for the MAC address. Finally, the controller pushes back the first packet with the new destination IP to the switch. Since all switches have the necessary flows on their tables, the request (and the reply) can travel throughout the network.

3.2 MALOB

Different applications have different requirements. A web browsing application requires short response times, whereas for a large file transfer, high throughput is preferable. For that reason, different service requests may benefit from distinct load balancing algorithms. In light of that, we created MALOB, a Multi-Algorithm Load Balancer that has the capability to adapt to the different types of requests. In the design of MALOB we considered three broad types of requests. First requests that prefer short response time. For these, the load balancer should choose the path with the lowest latency (Web browsing applications is the prime example). Second one that perfers the path with the highest throughput (file transfer applications, for instance). Finally, one that requires a significant amount of processing (say a, genome processing application). The first is used when clients request relatively small data with high intensity but requiring insignificant processing. HTTP requests are an example of such: the response to the HTTP GET request must be returned as quickly as possible, since pages are very small, making latency the prime factor to consider. The second one is a request for a larger amount of data. Downloading files using protocols such as FTP are an example of this type of request where the throughput a path can offer is the variable with highest impact on the download time. In the last type of application we consider, requests require a significant processing. For these applications, path latency or throughput do not have a great impact and choosing the server with highest available CPU is the most important factor.

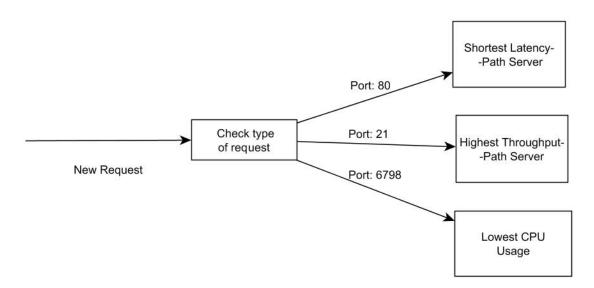


Figure 3.3: MALOB usage

Considering this, upon receiving a new request MALOB analyses the destination port of the request, checks its services table (Table 3.2) and decides which algorithm fits better that type of request. For example, as can be seen in Figure 3.3, when the application receives a request with destination port 80, the port used by HTTP requests, the load

balancer uses the shortest path-latency server algorithm. As the name hints, this algorithm selects the server whose path offers the lowest latency. If, on the other hand, the request is for port 21, used by FTP, the server chosen is the one whose path offers the highest throughput. The third example port we have is for a bioinformatics application, which requires a huge amount of processing. In this case, the load balancer uses the algorithm that chooses the server with lowest CPU usage. To set up the MALOB service table (Table 3.2) we used floodlight's Rest API to define new services that use predefined ports, and the algorithm that will be used for those requests. An example of one such command is:

• curl –x POST –d '{"service_name":"BioApp ","algorithm":"3","port":"6789"}' http://< ControllerIP >/quantum/v1.0/services/

One advantage of using the REST API is that we can modify the service table in runtime, without the need to stop and reset the controller.

Service Name	Port	Algorithm
НТТР	80	1
FTP	21	2
BioApp	6789	3
Default	-	1

Table 3.2: MALOB Services Table. In the last colum we present the algorithm identifiers: 1 - Shortest Latency-Path Server; 2 - Highest Throughput-Path Server; 3 - Lowest CPU Usage.

3.3 Implementation

This section presents the implementation details. We include a description of the modifications made to two of the controller's default applications: the original *Load Balancer* application and the *Link Discovery* application. We also describe all the load balancing algorithms evaluated in this thesis, and how they were implemented.

3.3.1 Maintaining Network State

The SDN paradigm allows us to have a global view of the entire network from a logically centralized location, giving us the possibility to take into account several variables about network state, such as the link's latency and bandwidth, thus allowing us to create more advanced routing algorithms. In this section we explain how the SDN controller keeps track of network state.

Network Latency

One load balancing algorithm takes path latency into account, so we need to keep track of this latency between every pair of links. For this purpose, we leverage on an application built on top of floodlight: *Link Discovery*. This application is used by the controller to discover the topology. Using this application the controller is able to discover the links between OF switches. This application, periodically commands OF switches to flood Link Layer Discover Protocol (LLDP) packets and Broadcast Domain Discovery Protocol (BDDP)¹ packets through all their ports in order to build a view of the whole topology. The LLDP packets are not forwarded by the switches. When a switch receives one of these packets from another switch it sends it to the controller. This way one LLDP packet only travels between two directly connected switches, making it possible to calculate the latency of every link.

To calculate the latency between two OF switches, we calculate the round trip of the LLDP packets. As the controller-switch link delay influences the overall round trip (particularly in the WAN setting we are considering), we need to perform a correction on the estimated value.

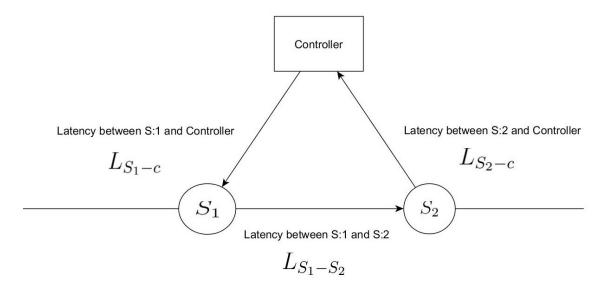


Figure 3.4: Total round-trip time of a LLDP packet

Consider Figure 3.4 to understand why. The total round trip time of a LLDP packet (RTT_{Total}) is influenced by three variables: latency between S_1 and the controller (L_{S_1-C}) , the latency between S_1 and S_2 $(L_{S_1-S_2})$ and the latency between S_2 and the controller (L_{S_2-C}) . Since we only need the latency between switches S_1 and S_2 , we have to eliminate the other two variables. To that end, when the controller starts the discovery process (floodlight has a 15 seconds interval between discoveries), it also sends an *ECHO_REQUEST* OF message to each switch. Every time a switch receives an *ECHO_REQUEST* it replies

¹BDDP packets are used to discover topologies that have both non-OF switches and OF switches.

with an *ECHO_REPLY*. By calculating the time it takes for these packets to travel between the switch and the controller, we are able to obtain an approximate measure for those two variables we want to eliminate. As a result, we obtain the latency between S_1 and S_2 :

$$L_{S_1 - S_2} = RTT_{Total} - (\frac{RTT_{L_{S_1 - C}} + RTT_{L_{S_2 - C}}}{2})$$

Network Throughput

One of the algorithms evaluated uses the throughput of the paths to determine the best path. To measure the throughput in each link of the network we use the tool *Iperf* [41]. Iperf measures maximum TCP throughput between two hosts. Iperf reports throughput, delay, jitter and datagram loss between two nodes. This tool was installed on every node of the network and, by means of a script, it was periodically executed between every pair of directly connected nodes. To send the throughput information collected by the nodes to the controller, we devised the following technique presented in Figure 3.5.

When the script running iperf on a node finishes collecting the throughput information, a UDP packet that contains the information collected is sent with a special IP that does not belong to any server or client. When the packet arrives at the first OF switch it realizes that it does not have any entry in its flow table that matches the packet, so it sends it to the controller. The controller, upon receiving the packet sent by the OF switch, analyzes the destination IP of the packet and realizes that the packet contains information about the throughput of a link between two directly connected nodes. In order for the controller to be able to update its information, it needs the IP of the nodes at each end of the link and the throughout value of the link. The IP of one of the nodes is the IP of the sender of the packet, the other two values are retrieved from the data of the packet. Finally, the controller discards the packet.

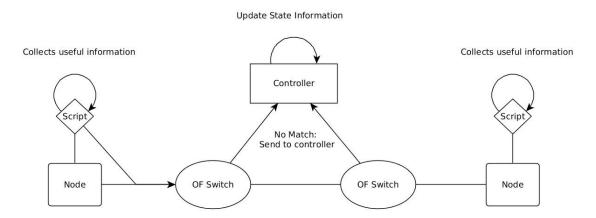


Figure 3.5: Use of scripts to retrieve state information

3.3.2 Maintaining Server State

In this thesis we use two variables to define the state of the servers: CPU usage and the number of active TCP connections. A script was developed to retrieve this data for every server. The technique used to send this information to the controller is the same as for the throughput above. Next we describe how the script is used to obtain those two variables.

CPU Usage

Using the impstat command from the *sysstat* package, the script is able to retrieve CPU reports that include this information. This command has two arguments; the first argument is the time interval between reports while the second is the number of reports, calculating in the end the average value between reports.

Number of TCP Connections

To retrieve the number of TCP connections, the load balancer application increments a counter that represents the number of active TCP connections of one server, every time that server is chosen. Since it would be time-consuming for the controller to control when a connection ends, we use an additional technique to refresh this counter. A script on the servers runs the command netstat - an|grep "server_IP" |grep - c "ESTABLISHED", to periodically retrieve the number of active TCP connections on that server. Hence the number of TCP connections is periodically updated in the application. The use of the counter and its periodic refresh is important when multiple requests are in a queue waiting for the load balancer to chose the server that will handle the next request. Without the refresh all the request in the queue would be sent to the same server until the update from the servers arrived which would not be effective.

3.3.3 Load Balancing Algorithms

In this section we make a detailed description of the load balancing algorithms that were evaluated.

Algorithms for Path Selection

In an SDN, we have the possibility of controlling the path's every flow follows in the network. Therefore, after selecting the server, it is possible to select the best path for that server. To choose the best path, we evaluated two versions of the shortest path algorithm, and a new algorithm that selects the path that provides the highest throughput:

• Shortest Path by number of Hops (SPH): the chosen path is the one with the least number of hops between the client and the server. This is the algorithm used in conventional networks.

- Shortest Path by Latency (SPL): the chosen path is the one with the lowest latency. This latency is the sum of the latency on each link of the route (set of links) between the server and the client.
- Highest Throughput Path (HTP): the selected path is the one that has the highest available throughput. The throughput is the amount of data that the link can transmit per second. Note that the best path is the one with highest throughput in the *least throughput link*. To make this point absolutely clear in Figure 3.6 we illustrate a toy example. In this figure we have two paths, each composed of two links: path 1 has an 80 Mb/s link and another of 10 Mb/s, while path 2 has two links with 20 Mb/s throughput each. Despite path 1 having the best link (80 Mb/s), the best path is the second one, as its least throughput link is the 20 Mb/s, which compares favorably to the 10 Mb/s for path 1.

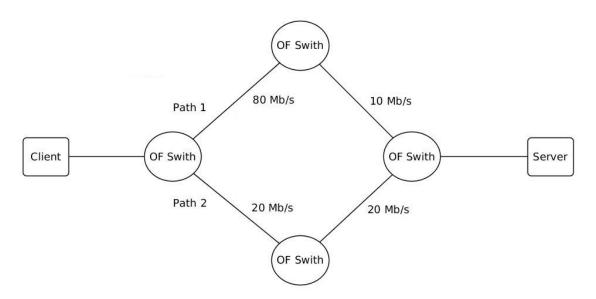


Figure 3.6: Paths bandwidth example

The first algorithm is the one used by the current floodlight's load balancer application. It uses the Dijkstra's algorithm [40] for selecting the path using the least number of hops. For the second algorithm, we changed the Dijkstra's algorithm in such a way to use the latency between nodes, as the variable, instead of the number of hops. The third algorithm is not a variation of the Dijkstra's algorithm. When a new request arrives, the algorithm calculates the maximum throughput in each possible path to the target server, and selects the one with the highest value.

Algorithms for Server Selection

To determine which is the most appropriate server to respond to a specific request from a client, the following algorithms were evaluated:

- Round-Robin (RR): this is the most common load balancing algorithm, it simply chooses the next server in a circular way. Being one of the most common and widely used, it will be employed as a baseline.
- Least Number of TCP Connections (LC): this algorithm chooses the server with the least number of active TCP connections.
- Least CPU Usage (CPU): in this algorithm, the server with lowest CPU usage is chosen.

SDN-Based Server Selection Algorithms

With SDN we are more aware of the state of the network, so we can use different variables to evaluate the best load balancing solution. Using the potential this paradigm has to offer, we developed two new algorithms. The main difference between these two SDN-based algorithms and the traditional ones is that the selection of the server depends on the path. In traditional algorithms the server is chosen based on server conditions (CPU usage, number od connections, etc., as seen before).

- Shortest Latency-Path Server (SL-PS): chooses the server whose path between itself and the client offers the lowest latency.
- Highest Throughput-Path Server (HT-PS): chooses the server whose path between itself and the client has the best throughput.

The first algorithm calculates the lowest latency routes between the client and all servers. It is similar to the SPL algorithm, but the calculation is made to all servers. After having the set of lowest latency routes to each server, the algorithm chooses the server with the lowest latency route of the set. In a WAN environment this will normally be the server closest to the client. The HT-PS algorithm is similar, but instead of finding the lowest latency path, it finds the best throughput path to all servers.

Chapter 4

Evaluation

The main objective of our evaluation is to assess the impact of a load balancer based on the SDN paradigm in real networks. To this end, we will run our experiment in the Global Environments for Network Innovations (GENI) [13]. As said before, GENI is a virtual laboratory for large-scale networks experimentation where researchers can create their network topologies with real equipment and evaluate them with real usage. The target of our evaluation is a WAN environment. We evaluated different combinations of algorithms for server and path selection and compared their performance considering three types of requests: HTTP requests, a large file transfer, and a CPU-heavy application related to genome data processing.

We considered the algorithms described in Section 3.3.3. A summary of all combinations that were tested appears in Table 4.1.

	Shortest Path Hops	Shortest Path Latency	Highest Throughput Path	
Round-Robin	RR+SPH	RR+SPL	RR+HTP	
#Connections	LC+SPH	LC+SPL	LC+HTP	
CPU Usage	CPU+SPH	CPU+SPL	CPU+HTP	
	Shortest Latency-Path Server (SL-PS)			
	Highest Throughput-Path Server (HT-PS)			

Table 4.1: Algorithm combinations formed by server choice algorithms (rows) and path choice algorithms (columns)

4.1 Topology and Testbed Setup

Using GENI resources we were able to create a non-structured WAN across the United States, as show on Figure 4.1. The nodes on the network are virtual machines with the

characteristics presented in Table 4.2. The virtual machines that work as a switch have Open vSwitch [34, 7] installed.

Table 4.3, displays a snapshot of the throughput and latency of the links on this setup. This table shows that exists heterogeneity in this network, with links with 90 Mb/s of throughput while others only have 12 Mb/s. The same goes for the latency that ranges from 2 ms to 36 ms. This heterogeneity is very important in order to achieve realistic and representative results in our evaluation.



Figure 4.1: Network Topology

Nodes	#CPU	GHz	RAM
Switches	1	2.10	512
Clients	1	2.10	512
Servers 2 and 3	1	2.10	512
Server-1	4	2.10	1024
Controller	2	2.10	1024

Table 4.2: Node Configuration

4.2 Evaluation Results

We considered 3 types of requests in our evaluation: HTTP requests, large FTP file transfer, and a compute-intensive bioinformatics application. Each experiment consists of approximately 3000 HTTP requests, 500 FTP requests and 300 requests for the bioinformatics application. The performance metric that was used is the response time, which is defined as the time since the client made the request until it received the reply. To compare the performance of each algorithm we present the median of the response time of all

Source	Destination	Throughput (Mb/s)	Reverse (Mb/s)	Latency (ms)
Client-1	Server-1	57.8	58.1	15
Client-1	Kansas	30.7	26.8	21
Client-1	Server-3	12.1	23.9	36
Client-2	Server-1	39.9	51.2	19
Client-2	Kentucky	52.2	53.0	11
Client-2	Server-2	89.7	83.0	10
Client-3	Server-2	90.3	90.4	7
Client-3	Kentucky	56.7	53.6	9
Client-3	Client-4	62.6	57.0	14
Client-4	Kentucky	76.9	71.8	8
Client-4	Server-3	91.2	91.1	2
Server-1	Kansas	20.3	17.4	28
Server-3	Kansas	11.7	15.3	16
Kansas	Kentucky	36.7	39.1	10

Table 4.3: Links Throughput and Latency

requests and, to have a notion of its variation, we present error bars with the 90th and 10th percentile.

4.2.1 HTTP Requests

In this experiment, each client requested around 15000 html pages (5 pages per request) for about 2 hours. Every page had 60 KB, which is the average size for an html page [2]. The motivation for this experiment is to assess the performance of an application that needs a short response time, guaranteeing that the response is received as fast as possible.

In Figure 4.2, we can see that the SDN-based algorithms, SL-PS and HT-PS, performed better. They achieved a median value of 0.2 seconds, while the other nine combinations had median response times ranging from 0.4 to 0.6 seconds. The reason why both had similar performances is because in this setup the server whose path's had better throughput is also the one with the lowest latency. We will look at this with more detail in section 4.2.4. Taking a look at the other algorithms, SPL is the best as latency is indeed the most important factor. The SPH algorithm achieves very similar results as the lowest latency path is usually the one with fewer hops. The HTP algorithm had the worst performance, because the size of the request is so small that having higher throughput is

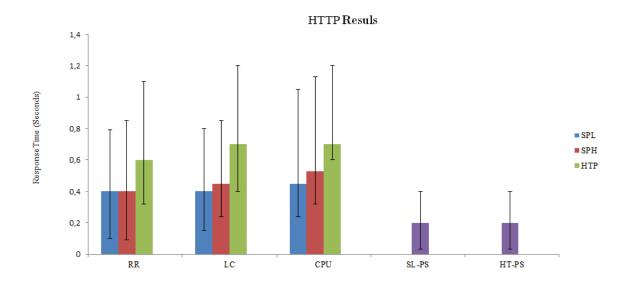


Figure 4.2: HTTP Results

irrelevant. This algorithm sometimes selects a longer path, which although having more throughput also has more latency. We can also observe that the variation in the first nine combinations is larger than on the SDN-based algorithms. In WAN environments, there are multiple paths to the same destination each one with distinct characteristics that have a major influence on the response time of some applications. Therefore, selecting the server based on the path is a good option for this type of requests

4.2.2 FTP Requests

In this test, clients requested 150 files with 100 MB each for about 2 hours using the file transfer protocol (FTP). In this experiment, we want to assess the performance of the algorithms considering applications that require high throughput.

Again, like in the HTTP experiment and for the same reason, the SL-PS algorithm had a similar performance as the HT-PS (see Figure 4.3). They were again the algorithms with best performance, achieving median response times of 18 seconds, with a small variation. The first three algorithms had a similar performance among them, and performed poorly when compared with the SDN algorithms. They achieved median response times around 35 seconds with a larger variation, with some requests tooking more than 50 seconds to be responded. Nevertheless, when using the HTP algorithm that takes throughput into account when choosing the path to the reach the chosen server, their performance was improved. This improvement is due to the fact that it does not matter if packets take a longer path if that path has the highest throughput, and therefore it can transfer more bytes per second than the others. As we suspected, these applications also benefit when the target server is selected based on the path in such WAN environment.

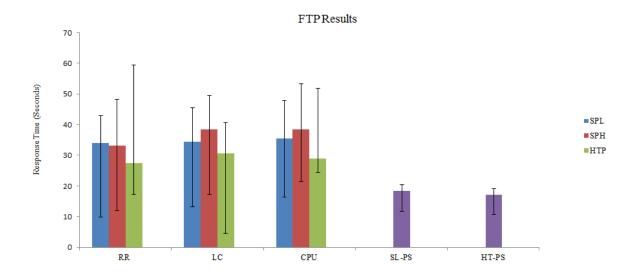


Figure 4.3: FTP Results

4.2.3 Bio Application Requests

Although in WAN environments the state of the links influences the response time for some applications, for others it may not be crucial, namely when the time to process a request is large. In the third set of experiments, we therefore used a compute-intensive application, related with genome treatment, where clients have a file with ADN sequences and the servers have a protein database that they use to determine how many proteins an ADN sequence contains.

In this experiment the clients sent around 100 sequences to the servers, and these responded with the number of proteins found in those sequences. The required CPU usage for the requests of this application is very high. We can see this in Figure 4.4, where we present the impact of one request of each application evaluated (in server-2).

As we predicted, the CPU algorithm performed better than in the previous experiments. As we can see in Figure 4.5, the CPU algorithm achieved a median response time of around 35 seconds, while the other algorithms achieved a median response time of around 65 seconds. Path delays or throughput are not fundamental for this type of application, which demonstrates that the best load balancing algorithm is dependent of the client application.

4.2.4 Discussion

In the first two experiments, we concluded that selecting the target server based on the path would be the best option. However we were not able to understand the difference between the two SDN-based algorithms. To understand that, we created a smaller topology, displayed on Figure 4.6, with the characteristics presented in Table 4.4. The objective

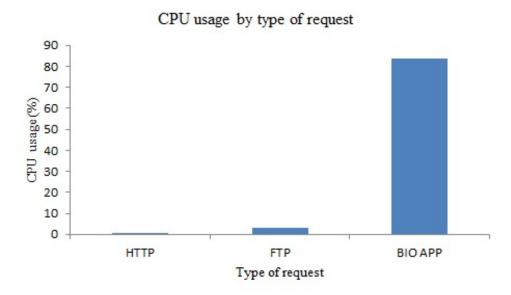


Figure 4.4: CPU usage by request at Server-2

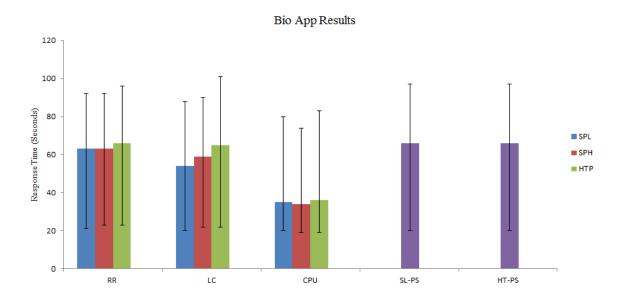


Figure 4.5: BioApp Results

was two have two servers available: one with a highest throughput link but highest latency, and the other with shortest latency but with a lower bandwidth connection. We run the HTTP and FTP tests again, but only for the two algorithms in question.

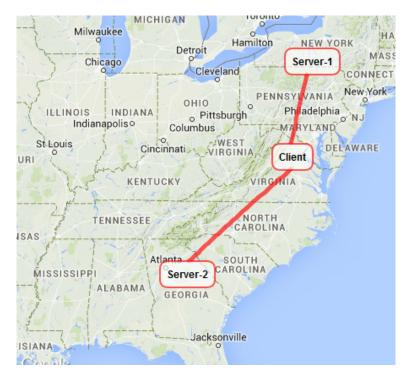


Figure 4.6: Network topology used to evaluate the performance of HT-PS against SL-PS.

Source	Destination	Throughput (Mb/s)	Reverse (Mb/s)	Latency (ms)
Client	Server-1	10.7	9.8	7
Client	Server-2	62.6	57.0	14

Table 4.4: Links characteristics.

As we can see in the Figure 4.7, the SL-PS algorithm outperformed HT-PS, with HT-PS outperforming SL-PS in Figure 4.8. Indeed, the best algorithm depends on the application proving that both algorithms are important depending on the application.

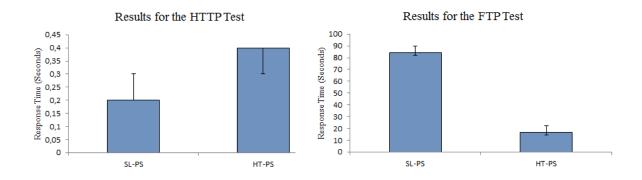


Figure 4.7: Results for the HTTP test.

Figure 4.8: Results for the FTP test

As summary, the main conclusions are:

- In WAN environments, network state has a direct influence on the response time of several applications, so selecting the server based on the path is a good solution for those cases.
- For applications such as Web browsing that request small data and require fast response times, link latency is the prime factor that influences the response time. So, the SL-PS algorithm is the one that fits better this type of applications.
- For file transfers, where high throughput is required in order to achieve fast download speeds, the HT-PS algorithm is the best solution.
- For CPU-intensive applications however, the algorithm that selects the target server based on the CPU usage has the best performance.
 - This made us conclude that, as predicted, even in WAN environments for some applications the path characteristics are irrelevant.

Chapter 5

Conclusion

In traditional networks thousands of devices have to be configured and managed in a manual, complicated, and error-prone process. To overcome this undesirable state of affairs a new paradigm called Software Defined Networking was proposed. This new paradigm decouples the control plane from the data plane, making networks *deeply programmable*, allowing the definition of the behavior of the entire network from a logically centralized program, and opening the possibility of creating advanced network policies such as load balancing, routing and security.

Currently load balancing consists of inserting expensive hardware-based load balancers in the entrance of the network, which is a costly, but necessary solution. Leveraging the benefits from the SDN paradigm, we proposed a load balancer application which is cheaper because it is software based and, in addition, may achieve better performance selecting the best path to the selected server, or even choosing the server based on the path.

As the performance of an load balancing algorithm depends on the type of request, we developed MALOB, a Multi-Algorithm Load Balancer that has the capability of adapting to the type of request.

We evaluated several combinations of both server and path selection algorithms in order to know which algorithm fits better each type of request. We used the GENI test bed to obtain realistic results by performing experiments in real non-structured WAN topology, with real and customizable hardware, and also with real usage. After our tests, we concluded that none of the algorithms had the best performance for all application, and that performance indeed depends on the application characteristics. We emphasize, however, that the SDN paradigm was fundamental to achieve good performance in this WAN environment.

Acronyms

ACLs Access Control Lists.

API Application Programming Interface.

BDDP Broadcast Domain Discovery Protocol.

CPU CPU Usage.

FTP File Transfer Protocol.

GENI Global Environment for Network Innovations.

HT-PS Highest Throughput-Path Server.

HTP Highest Throughput Path.

HTTP Hypertext Transfer Protocol.

IP Internet Protocol.

LC Least Number of TCP Connections.

LLDP Link Layer Discover Protocol.

MAC Media Access Control.

MALOB Multi-Algorithm Load Balancer.

OF switch OpenFlow-enabled switch.

OVS Open vSwitch.

REST Representational State Transfer.

RR Round-Robin.

40 Acronyms

SDN Software-Defined Networking.

SL-PS Shortest Latency-Path Server.

SPH Shortest Path by number of Hops.

SPL Shortest Path by Latency.

TCP Transmission Control Protocol.

VIP Virtual Internet Protocol.

VLANs Virtual Local Area Networks.

VMs Virtual Machines.

WAN Wide Area Network.

- [1] http://frenetic-lang.org/pyretic/.
- [2] http://httparchive.org/trends.php.
- [3] http://kemptechnologies.com/br/compare-kemp-f5-big-ip-citrix-netscaler-hardware-load-balancers/.
- [4] http://osrg.github.io/ryu/.
- [5] http://www.noxrepo.org/pox.
- [6] http://www.openflowhub.org/display/floodlightcontroller/floodlight+rest+api.
- [7] http://www.openvswitch.org/.
- [8] http://www.projectfloodlight.org/floodlight/.
- [9] Thomas Anderson, Larry Peterson, Scott Shenker, and Jonathan Turner. Overcoming the internet impasse through virtualization. *Computer*, 38(4):34–41, 2005.
- [10] Ilia Baldine, Yufeng Xin, Anirban Mandal, Paul Ruth, Chris Heerman, and Jeff Chase. Exogeni: A multi-domain infrastructure-as-a-service testbed. In *Testbeds and Research Infrastructure*. *Development of Networks and Communities*, pages 97–113. Springer, 2012.
- [11] Paul Barham, Boris Dragovic, Keir Fraser, Steven Hand, Tim Harris, Alex Ho, Rolf Neugebauer, Ian Pratt, and Andrew Warfield. Xen and the art of virtualization. *ACM SIGOPS Operating Systems Review*, 37(5):164–177, 2003.
- [12] Nicholas Bastin, Andy Bavier, Jessica Blaine, Jim Chen, Narayan Krishnan, Joe Mambretti, Rick McGeer, Rob Ricci, and Nicki Watts. The instageni initiative: An architecture for distributed systems and advanced programmable networks. *Computer Networks*, 61:24–38, 2014.
- [13] Mark Berman, Jeffrey S. Chase, Lawrence Landweber, Akihiro Nakao, Max Ott, Dipankar Raychaudhuri, Robert Ricci, and Ivan Seskar. Geni: A federated testbed for

- innovative network experiments. Computer Networks, 61(0):5-23, 2014. Special issue on Future Internet Testbeds.
- [14] Sapan Bhatia, Murtaza Motiwala, Wolfgang Muhlbauer, Yogesh Mundada, Vytautas Valancius, Andy Bavier, Nick Feamster, Larry Peterson, and Jennifer Rexford. Trellis: A platform for building flexible, fast virtual networks on commodity hardware. In *Proceedings of the 2008 ACM CoNEXT Conference*, page 72. ACM, 2008.
- [15] Martin Casado, Michael J Freedman, Justin Pettit, Jianying Luo, Nick McKeown, and Scott Shenker. Ethane: Taking control of the enterprise. *ACM SIGCOMM Computer Communication Review*, 37(4):1–12, 2007.
- [16] Steven Colby, John J Krawczyk, Raj Krishnan Nair, Katherine Royce, Kenneth P Siegel, Richard C Stevens, and Scott Wasson. Method and system for directing a flow between a client and a server, December 21 1999. US Patent 6,006,264.
- [17] David Erickson. The beacon openflow controller. In *Proceedings of the second ACM SIGCOMM workshop on Hot topics in software defined networking*, pages 13–18. ACM, 2013.
- [18] Roy T Fielding and Richard N Taylor. Principled design of the modern web architecture. *ACM Transactions on Internet Technology (TOIT)*, 2(2):115–150, 2002.
- [19] Open Networking Fundation. Software-defined networking: The new norm for networks. *ONF White Paper*, 2012.
- [20] Katja Gilly, Carlos Juiz, and Ramon Puigjaner. An up-to-date survey in web load balancing. *World Wide Web*, 14(2):105–131, 2011.
- [21] Natasha Gude, Teemu Koponen, Justin Pettit, Ben Pfaff, Martín Casado, Nick McKeown, and Scott Shenker. Nox: towards an operating system for networks. *ACM SIGCOMM Computer Communication Review*, 38(3):105–110, 2008.
- [22] Nikhil Handigol, Mario Flajslik, Srini Seetharaman, N McKeown, and R Johari. Aster* x: Load-balancing as a network primitive. In *9th GENI Engineering Conference (Plenary)*, pages 1–2, 2010.
- [23] Nikhil Handigol, Brandon Heller, Vimalkumar Jeyakumar, Bob Lantz, and Nick McKeown. Reproducible network experiments using container-based emulation. In *Proceedings of the 8th international conference on Emerging networking experiments and technologies*, pages 253–264. ACM, 2012.
- [24] Nikhil Handigol, Srinivasan Seetharaman, Mario Flajslik, Nick McKeown, and Ramesh Johari. Plug-n-serve: Load-balancing web traffic using openflow. *ACM SIGCOMM Demo*, 2009.

[25] Bert Hubert et al. Linux advanced routing & traffic control howto. *setembro de*, 2002.

- [26] Teemu Koponen, Martin Casado, Natasha Gude, Jeremy Stribling, Leon Poutievski, Min Zhu, Rajiv Ramanathan, Yuichiro Iwata, Hiroaki Inoue, Takayuki Hama, et al. Onix: A distributed control platform for large-scale production networks. In *OSDI*, volume 10, pages 1–6, 2010.
- [27] Diego Kreutz, Fernando Ramos, Paulo Verissimo, Christian Esteve Rothenberg, Siamak Azodolmolky, and Steve Uhlig. Software-defined networking: A comprehensive survey. *arXiv preprint arXiv:1406.0440*, 2014.
- [28] Bob Lantz, Brandon Heller, and Nick McKeown. A network in a laptop: rapid prototyping for software-defined networks. In *Proceedings of the 9th ACM SIGCOMM Workshop on Hot Topics in Networks*, page 19. ACM, 2010.
- [29] David A Maltz and Pravin Bhagwat. Tcp splice for application layer proxy performance. *Journal of High Speed Networks*, 8(3):225–240, 1999.
- [30] Nick McKeown, Tom Anderson, Hari Balakrishnan, Guru Parulkar, Larry Peterson, Jennifer Rexford, Scott Shenker, and Jonathan Turner. Openflow: enabling innovation in campus networks. *ACM SIGCOMM Computer Communication Review*, 38(2):69–74, 2008.
- [31] Aref Meddeb. Why ethernet wan transport? *Communications Magazine, IEEE*, 43(11):136–141, 2005.
- [32] Eugene Ng. Maestro: A system for scalable openflow control.
- [33] Larry Peterson, Tom Anderson, David Culler, and Timothy Roscoe. A blueprint for introducing disruptive technology into the internet. *ACM SIGCOMM Computer Communication Review*, 33(1):59–64, 2003.
- [34] Ben Pfaff, Justin Pettit, Keith Amidon, Martin Casado, Teemu Koponen, and Scott Shenker. Extending networking into the virtualization layer. In *Hotnets*, 2009.
- [35] Robert Ricci, Jonathon Duerig, Leigh Stoller, Gary Wong, Srikanth Chikkulapelly, and Woojin Seok. Designing a federated testbed as a distributed system. In *Testbeds and Research Infrastructure*. *Development of Networks and Communities*, pages 321–337. Springer, 2012.
- [36] Eric Rosen, Arun Viswanathan, Ross Callon, et al. Multiprotocol label switching architecture. 2001.

[37] Harikesh Singh and Shishir Kumar. Dispatcher based dynamic load balancing on web server system. *International Journal of System Dynamics Applications (IJSDA)*, 1(2):15–27, 2012.

- [38] Yiu-Fai Sit, Cho-Li Wang, and Francis Lau. Socket cloning for cluster-based web servers. In *Cluster Computing*, 2002. *Proceedings*. 2002 *IEEE International Conference on*, pages 333–340. IEEE, 2002.
- [39] Anand Sivasubramaniam, Umakishore Ramachandran, and H Venkateswaran. A comparative evaluation of techniques for studying parallel system performance. Technical report, Technical Report GIT-CC-94/38, College of Computing, Georgia Institute of Technology, 1994.
- [40] S Skiena. Dijkstra's algorithm. *Implementing Discrete Mathematics: Combinatorics and Graph Theory with Mathematica, Reading, MA: Addison-Wesley*, pages 225–227, 1990.
- [41] Ajay Tirumala, Feng Qin, Jon Dugan, Jim Ferguson, and Kevin Gibbs. Iperf: The tcp/udp bandwidth measurement tool. htt p://dast. nlanr. net/Projects, 2005.