1	QUANTITATIVE ASSESSMENT OF CONTESTED WATER USES AND
2	MANAGEMENT IN THE CONFLICT-TORN YARMOUK BASIN
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13 ABSTRACT

The Yarmouk River basin is shared between Syria, Jordan, and Israel. Since the 1960s, Yarmouk 14 River flows have declined more than 85% despite the signature of bilateral agreements. Syria and 15 Jordan blame each other for the decline and have both developed their own explanatory narratives: 16 Jordan considers that Syria violated their 1987 agreement by building more dams than what was 17 agreed on, while Syria blames climate change. In fact, as the two countries do not share information, 18 neither on hydrological flows nor on water management, it is increasingly difficult to distinguish 19 between natural and anthropogenic factors affecting the flow regime. Remote sensing and multi-20 agent simulation are combined to carry out an independent, quantitative, analysis of Jordanian 21 and Syrian competing narratives and show that a third cause for which there is no provision in 22 the bilateral agreements actually explains much of the changes in the flow regime: groundwater 23 over-abstraction by Syrian highland farmers. 24

25 INTRODUCTION

The Yarmouk River basin (YRB) is shared by three countries: Syria, Jordan, and Israel (Fig. 1). Since the 1960s, development in the basin has increased and the historical annual flow of 450-500 hm³/year (million cubic meter per year; Burdon 1954; Salameh and Bannayan 1993; Hof 1998; UN-ESCWA and BGR 2013) has dropped by more than 85% to reach 60 hm³/year in 2010 indicating river basin closure. In 2013, river discharges rose to 120 hm³/year during the Syrian civil war (Fig. 2).

The collapse of the Yarmouk flow occurred despite the signature of two bilateral agreements. 32 The first one was signed in 1953 between Syria and Jordan (1953) and updated in 1987 (Syria 33 and Jordan 1987) essentially to recognize water uses and dams already built in Syria (Rosenberg 34 2006; Hussein 2017). The 1987 version gives the right to Syria to retain water in 28 dams on the 35 Yarmouk basin for a cumulative capacity of 164.64 hm³, and allows Jordan to use water in the 36 Wahda reservoir (a major reservoir that had yet to be built on the Yarmouk River; see Fig. 1) to 37 irrigate crops in the Jordan Valley along the King Abdullah Canal (KAC) and to supply Amman 38 with freshwater. No explicit limitation regarding groundwater withdrawals is mentioned in the 39 document. The second agreement is the Treaty of Peace signed between Israel and Jordan (1994), 40 which gives the two countries specific water rights on the Yarmouk waters: (i) Israel is entitled to 41 a 25 hm³ annual *allocation* while Jordan gets the rest of the flow; and (*ii*) Jordan has the possibility 42 to store up to 20 hm³ each year in Lake Tiberias during the Winter Period, and get it back at 43 the entrance of the KAC in the Summer Period (concession). Technically, the sharing of water is 44 operated at Adasiya (outlet of the YRB; see Fig. 1). 45

After considering surface water flow depletion caused by the Syrian reservoirs listed in the 1987 agreement, reduced groundwater triggered by irrigation from springs and projected wells in Syria, and irrigation return flows, the Jordanian Ministry of Water and Irrigation/Jordan Valley Authority (MWI/JVA 2002) expected inflows to the Wahda dam to attain 117.6 hm³/year. Yet, the flow monitored by MWI/JVA has never reached such a level before the Syrian civil war, and Jordan has been the first affected by the river decline due to (*i*) its downstream position as most springs and

wadis (intermittent rivers) feeding the Yarmouk are located in Syria and the Israel-controlled Golan 52 Heights, and (ii) the fact that it bears the brunt of the hydrological risk as per the Israel–Jordan 53 Treaty (no matter the flow reaching Wahda, Jordan has to send the 25 hm³/year *allocation* to Israel). 54 The in situ measurements of the Yarmouk River flow by MWI/JVA at the Wahda dam, or 55 Maqarin station before the dam's construction, and Adasiya are actually the only publicly available 56 ground data in the basin. Even before the civil war, the Syrian regime never published water 57 resources data or shared it with neighboring basin states. It is unknown what data the Syrian 58 government collected or its quality. The data available are aggregated country- or basin-wide 59 estimates from international donor organizations like the FAO or World Bank (Salman and Mualla 60 2008). For years following the 1960s, three stages can be observed in the WAJ/JVA data (Fig. 2): 61 (i) a stationary regime before 1999; (ii) a sharp decrease of both the base flow and the runoff during 62 the period 1999-2012; and (*iii*) the return of the runoff from 2013, when many Syrian refugees fled 63 the civil war (Müller et al. 2016). 64

Jordan and Syria have both developed their own, competing, narratives to explain the decrease 65 in Yarmouk flows: downstream Jordan considers that Syria violated their 1987 bilateral agreement 66 by building more dams than what was agreed on, while upstream Syria blames climate change and 67 particularly precipitation decrease (Hussein 2017). Each perspective is fostered by a few studies. 68 Regarding the Syrian narrative, Salameh and Bannayan (1993) estimate that rainfall dropped by 69 30% in the second half of the 20th century. Moreover, after comparing two periods, 1927-1954 70 versus 1968-1987, Beaumont (1997) comes to the conclusion that natural runoffs were, on average, 71 25% lower in the second period. The fact that three of the four most severe multi-year droughts 72 in the region since 1901 occurred after 1990 is also attributed to climate change according to 73 Kelley et al. (2015). Other analyses overlook such natural aspects and rather adopt the Jordanian 74 narrative that Yarmouk flows declined because of excessive water abstractions and uncoordinated 75 construction of dams in the Syrian part of the YRB (FAO 2009; Yorke 2016). 76

Actually, Syria's role in the closure of the Yarmouk River basin is controversial, but not the significant extension of irrigated agriculture in that part of the basin (Shentsis et al. 2019).

Before the 1960s, the Yarmouk and upstream wadis waters were primarily exploited for subsistence 79 agriculture (Courcier et al. 2005), but it changed with the first agrarian reform in 1958 and the 80 following agricultural policies (Ababsa 2013; Ibrahim et al. 2014), which were implemented at the 81 expense of water resources sustainability (Barnes 2009). In 1997, irrigation accounted for more 82 than 80% of water use in the Syrian part of the YRB (World Bank 2001). Aw-Hassan et al. (2014) 83 distinguish three phases in the development of irrigation in Syria. In the first one, between 1966 84 and 1984, irrigation systems expanded. The country started building numerous dams and canals 85 on the Yarmouk tributaries in the upper part of the YRB to increase surface water availability. 86 However, these investments were not sufficient to enable the agricultural production to meet the 87 ever-growing population needs. In the middle of the 1980s, Syria still had to import a large share 88 of basic food supplies (Ababsa 2013). In the second phase (1985-2000), irrigated crops area kept 89 expanding with the Government's objective to increase food security and ensure self-sufficiency 90 (Salman and Mualla 2008). Groundwater-irrigated area particularly grew – nationwide, its share 91 rose from 49% in 1985 to 58% in 2000 (Kaisi and Yasser 2004) – as farmers could get low interest 92 loans, well licenses were more easily delivered and fuel was strongly subsidized (Gül et al. 2005). 93 But some of these incentives also fostered the growth of illegal groundwater pumping: 50% of wells 94 were unlicensed at the end of the century (World Bank 2001; Salman and Mualla 2008). The third 95 and last phase defined by Aw-Hassan et al. (2014), from 2001 to 2010, can then be described as a 96 challenging management period for Syria. The Government tried to address groundwater depletion 97 while liberalizing the economy to stimulate investments in the agricultural sector (Ababsa 2010; 98 Kelley et al. 2015) and ensure food security. As a result, the decrease in the water table level could 99 only be slowed down. To these development stages followed the civil war in March 2011. This 100 conflict and the 2013 Syrian refugees migration led to destruction of reservoirs and reduction in 101 reservoir storage in the Syrian part of the YRB (Müller et al. 2016). The impact on irrigation land 102 area and operational wells remains uncertain (Etana Syria 2015). 103

Work to clarify the causes of the flow decrease has become nearly impossible since the start of the civil war in Syria. To the best of our knowledge, the study conducted by Al-Bakri et al. (2016) on the Jordanian part of the YRB is the only analysis that provides local information on land use
 and water withdrawals. However, detailed information on reservoir operation, canal diversions,
 irrigation requirements, and groundwater withdrawals – all within Syria – is lacking and crucial
 to identify with precision the causes to flow regime changes, and to distinguish consistent study
 results from politically biased narratives.

Associating remote sensing with river basin modeling has been largely used to deal with 111 remote, ungauged or conflict-torn areas. For example, Pereira-Cardenal et al. (2011) process 112 remote sensing data in real-time and use them as input to a simulation-based hydro-economic 113 model of the Syr Darya River basin. Rougé et al. (2018) present a modeling framework that 114 relies on both land data assimilation and river basin modeling to identify key water resources 115 vulnerabilities in transboundary river basins where data on both hydrological fluxes and on the 116 management of reservoirs are either absent or incomplete. In that work, however, the authors 117 ignore the institutional complexity by assuming that water allocation decisions are taken by a 118 single organization (or agent) overlooking the entire river basin. In developed river basins, the 119 impacts of hydrological and anthropogenic changes are often intertwined. Assessing their relative 120 contribution is often a prerequisite towards the development of effective policies. For instance, Lei 121 et al. (2019) use a coupled agent-hydrologic model to compare various water management policies 122 based on environmental and economic criteria in the Heihe River basin in China. Biglarbeigi 123 et al. (2018) analyze climate change uncertainty in the Dez and Karoun River basins in Iran to 124 identify the dominant natural factors to focus on in the future when designing new infrastructure 125 and monitoring systems. 126

¹²⁷ We combine remote sensing and multi-agent simulation (MAS) to validate and apply the ¹²⁸ modeling approach in a river basin (the Yarmouk) where one country (Syria) is experiencing a ¹²⁹ civil war and limited ground data is available for use. We further use the validated model to test ¹³⁰ competing hypotheses and country narratives about the causes of a 60-year decline in stream flows, ¹³¹ as well as possible future trajectories for flows after the civil war winds down and the roles riparian ¹³² countries can play in post-war recovery efforts. Our working hypothesis is that the outflows of this

highly-developed river basin are the synthesis of policies developed more or less independently by
 several institutions in the riparian countries.

This paper is organized as follows: the next section presents the river basin MAS modeling framework based on remote sensing and its application to the Yarmouk River basin. The remaining sections discuss the simulation results, present a sensitivity analysis, and provide concluding remarks.

139 MATERIALS AND METHODS

To analyze the two contested claims regarding the collapse of YRB outflows, we need a modeling framework that can (i) retrieve both hydrological and anthropogenic data and (ii) handle multi-scale interactions among diverse institutions, both with limited on-the-ground data. This is achieved by combining remote sensing with multi-agent simulation.

Remote Sensing

Remote sensing is used to retrieve hydrological and anthropogenic data for the river basin MAS
 model without any detailed on-the-ground measurement, observation, survey or interaction with
 water resources managers.

148 *Physical network*

We use the method developed by Avisse et al. (2017) to locate reservoirs, assess their maximal 149 storage capacities, and monitor their storage levels from Landsat satellite images and digital eleva-150 tion models (DEMs) only. The basic idea behind the method is to statistically correct the vertical 151 errors of the DEM using the information on water surface areas derived from Landsat images: 152 pixels more frequently immersed are likely to be lower than their neighbors which are less often 153 covered with water. After this correction, the storage-area relationship can be determined and 154 combined with Landsat images available at regular time intervals to obtain the storage trajectory of 155 the reservoir without any direct measurements (storage variations are used in the section Validation 156 for confirming our hypothesis on reservoirs operation policy). We then detect 37 reservoirs in the 157 YRB (Fig. 1): 25 are Syrian and listed in the agreement between Syria and Jordan (1987), 1 is 158

Avisse, April 6, 2020

listed in the agreement but under Israeli control in the Golan Heights, 1 is the Wahda dam, and the
remaining 10 have been unilaterally built by the three countries in the basin. These last 10 dams
have a cumulative storage capacity of 34.5 hm³ in Syria, less than 0.1 hm³ in Jordan, and 2.9 hm³
in the Israel-occupied Golan Heights (Fig. 3). Many detected reservoirs are very small as they are
found to have not stored more than 1 hm³ in 30 years. 2 dams among the 28 listed in the agreement
are not detected because they are too small or rarely filled with water.

We choose to model 20 reservoirs with capacity greater than 1 hm^3 and naturalized incremental runoffs greater than 0.3 hm^3 /year that we expect will most affect Yarmouk River flow (Table 1).

At the YRB outlet, the exchange system at Adasiya (see Fig. 3) separates the flow between *alpha* (diversion to the KAC) and *beta* (natural route), and the Israeli system at the Yarmoukeem Pool (YP; 3.5 km downstream from Adasiya along *beta*) sends up to 4.5 m³/s to Lake Tiberias, essentially to supply the *allocation* and *concession*. This *concession* is eventually sent back to the KAC from Lake Tiberias as per the treaty between Israel and Jordan (1994). Flows above 4.5 m³/s go to the Jordan River.

Rivers, pipes and canals connecting reservoirs and irrigated crop areas are obtained using DigitalGlobe and CNES/Airbus high resolution (~1 m) imagery available via Google Earth and elevation from a DEM (Protocol S3). Extrapolations from ground measurements in Jordan are also made to estimate evaporation – which is a major water loss according to MWI/JVA (2002) – and sedimentation (Protocols S4 and S5).

Irrigation water demands are derived from remotely sensed land use maps and precipitation,
 crop water requirements (Allen et al. 1998), and standard irrigation efficiencies (Protocol S6).

180 *Hydrological modeling*

In this study, the lump model GR2M developed by Mouelhi et al. (2006) is chosen, because of its simple formulation, to derive river basin outflows that will supply our distributed river basin model. This rainfall–runoff hydrological model relies on two parameters (the capacity of a soil moisture reservoir and an underground water exchange parameter; see the calibration in Protocol S1). The model also requires two input variables only – precipitation and evapotranspiration (ETP) – to produce a discharge on a monthly time step. The resulting outflows from GR2M are separated between base flow (moving minimum over a 12 months period) and runoff (remaining flow). The latter is then spatially disaggregated at the location of each reservoir using precipitation and drainage area ratios to produce the incremental inflows (Protocol S1). Average values of these incremental inflows over the historical period are given in Table 1 for information. The base flow corresponds to the groundwater flow reaching the outlet of the basin, and depends on groundwater withdrawals, irrigation return flows, and infiltration inside rivers (Protocol S2).

The monthly PERSIANN-CDR (Precipitation Estimation from Remotely Sensed Information 193 using Artificial Neural Networks-Climate Data Record) product is used for our modeling. This 194 dataset covers the latitude band 60°S-60°N with a 0.25° spatial resolution from 1983 onwards. It is 195 generated from the PERSIANN algorithm that predicts rainfall using geostationary satellite GridSat-196 B1 infrared data, and relies on 2.5°-resolution gridded precipitation from Global Precipitation 197 Climatology Project (GPCP) gauges for monthly bias correction (Ashouri et al. 2015). We measure 198 an average PERSIANN-CDR precipitation for 1983-2015 over the YRB of 239 mm/year (Fig. 2) -199 i.e. 64% of the 372 mm/year estimated by Salameh and Bannayan (1993) for the pre-development 200 stage. The decline is consistent with the 30% rainfall drop for the second half of the 20th century 201 compared to the pre-development period considered by the same authors. Locally, to address the 202 coarse spatial resolution of PERSIANN-CDR data compared to the size of the YRB, its reservoirs 203 watersheds or crop areas, the precipitation data are corrected based on isohyets found in general 204 hydrological studies of the YRB (Burdon 1954; Barnes 2009; Salameh and Bannayan 1993) for 205 further use as input data for the hydrological modeling and for the assessment of crop water 206 requirements (Protocol S1). 207

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The seasonal distribution of PERSIANN-CDR rainfall in the YRB is verified afterward in the section Validation.

210 Multi-Agent Simulation

²¹¹ Because the whole system depicted in Fig. 3 is managed by multiple riparian countries, gov-²¹² ernment agencies, water users and infrastructure operators, we need a modeling framework that

enables multi-scale interactions between all those agents. Shoham and Leyton-Brown (2009) de-213 fine multi-agent systems as "systems including agents that have diverging information, or different 214 information or both, and performing in the same environment". Unlike optimization problems, 215 there is no global supervising structure. Agents are autonomous entities that interact with others 216 and take their own decisions. Levels of interactions between agents thus characterize levels of 217 cooperation. In water resources system applications, agents correspond to decision-makers hav-218 ing access to some information from different parts of the system (i.e. MAS environment), and 219 pursuing different and often competing objectives. Decision making processes are implemented 220 from hypotheses based on the kind of political regime and organization inside the countries, and 221 on international relations for transboundary study cases. Such hypotheses are made following the 222 analyst's interpretation of all contracts or agreements available, either implicit statu quo processes 223 or explicit policy documents. 224

A MAS model is then developed using the Pynsim architecture (Knox et al. 2018). It relies on 225 a network made of nodes and arcs, which is particularly useful to represent spatially distributed 226 agents inside the same river basin system (Harou et al. 2009). Nodes symbolize reservoirs, aquifers, 227 consumption sites, and diversion systems; and arcs symbolize rivers, pipes, canals, and groundwater 228 transfers. The main asset of Pynsim, though, lies in the capacity to define different institutional 229 levels of managing agents, from individual actors who manage one site to institutions who supervise 230 interactions within the water resources system (Knox et al. 2018). These agents are integrated in 231 a single computing framework where human and institutional decisions complement the physical 232 processes from a traditional arcs and nodes representation. 233

In the MAS model of the YRB, the agents represent their real-world counterparts ranging from government agencies to water users. The hierarchical organization of the agents is depicted on Fig. 4. At the highest level, we find the riparian countries who typically interact within the framework of bilateral treaties (if any). At the intermediate level, the operators of the main reservoirs and diversions allocate water in space and time based on the intersectoral allocation policies dictated by their government. In Jordan, this top-down approach reflects the institutional regime and decision-

making in the water sector where the Ministry of Water and Irrigation oversees water resources 240 management and planning. In Syria, such a top-down policy making approach is consistent with an 241 authoritarian regime. Regarding Israel, we made the assumption that the development of land and 242 water resources in the occupied Golan Heights would need the approval of the government. At the 243 lowest level, the extent of water use by farmers and municipalities is influenced mostly by policies 244 regarding land use and groundwater extraction. Further downstream, at Adasiya-YP (Fig. 3), water 245 exchanges with Lake Tiberias are taking place. These water transfers follow the terms of the Peace 246 Treaty between Jordan and Israel. 247

The political and physical interactions between Israel and Jordan are also represented in Fig. 4 where we can see the Treaty of Peace and the corresponding water exchanges between Adasiya and Lake Tiberias. There is no connection between Syrian and Jordanian institutions because there is no effective cooperation between the two countries, despite the signature of the 1987 agreement (Hussein 2017).

At the level of reservoir operators, we assume that those operators follow the standard operating 253 policy (SOP; Protocol S7): local water demands are met first and excess water is stored and 254 eventually spilled when the reservoir reaches its maximum storage capacity (Etana Syria 2015). 255 Note that this assumption is further discussed in the section Validation. As for the Wahda dam 256 operator, this agent releases water from the reservoir only when the inflows make the simulated 257 storage larger than the storage that has been measured on the ground by JVA (Validation step), or 258 more water in case the outflow is not sufficient to satisfy the *allocation* (scenario analysis step; see 259 the section Consequences on the water transfers as per the 1994 Treaty of Peace). Other agents are 260 defined to characterize Jordanian and Israeli controllers of the diversion systems at Adasiya and the 261 Yarmoukeem Pool. 262

Water users are linked to water sources based on the land use maps and detailed imagery available in Google Earth. For irrigated crop areas close to dams listed in Table 1 and built for irrigation purpose, farmers are assumed to withdraw water from reservoirs first to try to meet the demand and then from aquifers if there is not enough water in the reservoirs (Etana Syria 2015).

For the other irrigated crop areas, water is directly withdrawn from aquifers. Households from 267 large cities near dams are also considered as they are assumed to use the reservoirs as their primary 268 source of water and to contribute to the decrease of their storage. Other water usages have been 269 ignored (see Protocol S6). 270

The validation of agent-based models can be challenging due to limited social data and the large 271 number of interactions between the agents and their environment (Heath et al. 2009; Ligtenberg 272 et al. 2010; Filatova et al. 2013; Bert et al. 2014). However, in our MAS, the agents' behavior is 273 essentially reactive (not proactive), meaning that the number of interactions is much more limited. 274 The validation approach adopted in this study is the same as traditional modeling efforts where 275 we compare the simulated river discharges at Wahda dam and Adasiya to historical observations. 276 Individual decision-making processes have been calibrated with on-the-ground observations, using 277 remote sensing analyses or based on signed agreements (see the equations in Protocols S6 and S7). 278

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Scenarios over the Historical Period

Different scenarios representing alternative theories (either narratives from the riparian coun-280 tries or complementary ideas that have yet to be fully explored) regarding the hydrological changes 281 in the YRB are simulated with the Pynsim MAS model. Such scenarios are implemented by 282 modifying input data (precipitation, infrastructure or land use) for the modeling. 283

The five scenarios are:

- 1. No precipitation decline. A higher precipitation is considered to produce the 422 hm³/year 285 natural flow at Adasiya that was expected by Jordan in the feasibility study of the Wahda 286 dam (MWI/JVA 2002). This scenario models the Syrian narrative. 287
- 2. Listed dams only. Only dams listed in the Syria–Jordan agreement (i.e. all dams except 288 Qunaitera and Avnei Eitan al-Golan; Table 1) are modeled. This scenario simulates the 289 Jordanian narrative. 290
- 3. No groundwater pumping development. Crop water requirements in areas located far 291 from reservoirs remain unchanged after the signature of the agreement between Syria and 292

Jordan in 1987. This scenario shows the effects of assumptions in the 1953 and 1987 agreements that ignore groundwater pumping.

- 4. All dams active 2013-present. All dams continue to operate in 2011 as in prior years. This
 scenario assumes conditions continue as though the Syrian civil war did not occur.
- Aggregate effects. Combination of the four prior scenarios with increased precipitation,
 only dams listed in the Syrian-Jordanian agreement, no groundwater pumping development,
 and continued operation of the dams after 2011.

It must be stressed that, due to the uncertainty on all the remote sensed data used in this study, the sensitivity of the model is tested in the section Sensitivity analysis further below with regard to three independent hydrological parameters: (*i*) the estimated natural flow, (*ii*) infiltration and irrigation return flows to the aquifer, and (*iii*) crop water requirements.

304 **RESULTS AND DISCUSSION**

305 Remote Sensing Observations

The evolution of cumulative storage capacity and cumulative water stored in reservoirs of the 306 YRB (except Wahda; see Protocol S3) is presented in Fig. 5. These results enable us to do a first 307 qualitative analysis of the impact of the construction of dams on the discharge observed downstream 308 (Fig. 2). We note that the pre-1995 growth of the cumulative storage capacity does not seem to have 309 affected the hydrological regime of the river during the same period of time. However, without 310 precipitation data for years between the pre-development phase (pre-1960s) and 1983, it is difficult 311 to consistently conclude on the impact of the new dams. Indeed, as mentioned in the Introduction, 312 rainfall seems to have strongly varied during this period of time. On the contrary, while the 313 cumulative storage capacity remained the same between 1999 and 2006, the runoff declined and 314 the filling of the reservoirs was affected. The reasons behind these changes should then be found 315 in the late 1990s multi-year drought (Kelley et al. 2015) and/or in increasing water withdrawals 316 for irrigation purpose (Aw-Hassan et al. 2014). The consecutive low Yarmouk River flow and low 317 reservoir water storage coincide with the 2007-2008 drought. Higher precipitation in the subsequent 318

years (period 2009-2012), though, did not materialize in higher discharges downstream, as more water has been stored in the reservoirs. Finally, it seems clear that the disuse of many reservoirs in 2013, after the Syrian civil war started, led to less water stored in the YRB and to larger runoff discharges during the following years.

Next, the model is validated with historical measurements and afterwards the scenarios defined in section Scenarios over the Historical Period are tested to quantitatively complement the qualitative results.

326 Validation

The Pynsim MAS simulation model is run to recreate the observed flow at the Wahda dam and Adasiya over the historical period (Fig. 6).

Qualitatively, the model reproduces well the seasonality of the Yarmouk River flow. The fact 329 that we can capture well the intensity of peak flow events over a 30-year period is an indication 330 that the contribution of PERSIANN-CDR precipitation to runoff (and thus to baseflow) is properly 331 captured. The model also replicates well the three periods initially identified at the Wahda dam 332 station (Fig. 2): (i) the stationary period before 1999, (ii) the subsequent collapse of both the base 333 flow and the runoff, and (iii) the return of runoff in 2013. The fact that the simulated base flow 334 collapses in 1999, at the exact same time as in the observations, also validates the reasoning behind 335 the definition of a threshold on groundwater abstractions (see Protocol S2). The slight difference 336 in the rate of the base flow reduction may be explained either by errors on irrigation requirements 337 (or a change in irrigation efficiency) or by the simplistic representation of the aquifer's dynamics 338 in the modeling. The contrasted quality of the results for certain years (e.g., 1990, 2004, 2014 at 339 Wahda; or 1993 at Adasiya) may be caused by errors in PERSIANN-CDR data, by the difficulty to 340 locally calibrate this precipitation dataset (or the GR2M model; see the section Sensitivity analysis 341 on that matter below) or by a few temporary changes in the operation of the Syrian reservoirs. 342

As indicated in the section Multi-Agent Simulation, we made the assumption that the reservoirs were operated using the standard operating policy (SOP). To test the validity of this assumption, we compare simulated storages in Syria and in the occupied Golan Heights to remote sensing

³⁴⁶ observations (see Protocol S3). With a correlation coefficient of 0.66, we conclude that SOP ³⁴⁷ captures relatively well the operation of the main reservoirs over the 1998-2015 period. Differences ³⁴⁸ between model estimates and remote-sensed values are potentially influenced by errors on the ³⁴⁹ assessment of natural inflows, land use, irrigation requirements, crop–water source association, ³⁵⁰ reservoir operation or just remote-sensed storage estimates.

As for the results at the outlet of the YRB, we calculate the *Bias* (Eq. 1 and the modified Kling-Gupta efficiency-statistic (*KGE*' in Eq. 2; Gupta et al. 2009; Kling et al. 2012) to measure the quality of the simulated flows:

$$Bias = \mu_s - \mu_o \tag{1}$$

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$$KGE' = 1 - \sqrt{(r-1)^2 + (\beta - 1)^2 + (\gamma - 1)^2}$$
⁽²⁾

where *r* is the correlation coefficient between simulated and observed flows, $\beta = \mu_s/\mu_o$ is the bias ratio with μ the mean discharge, $\gamma = CV_s/CV_o = (\sigma_s/\mu_s)/(\sigma_o/\mu_o)$ is the variability ratio with *CV* the coefficient of variation and σ the standard deviation, and *s* and *o* indices stand for *simulated* and *observed* data respectively. The *KGE'* is chosen over the Nash-Sutcliffe efficiency because it better captures the variability of flows in the Yarmouk River.

We then obtain *Bias* values of -2.46 hm³/month and -0.02 hm³/month, and *KGE*' values of 360 0.64 and 0.90 for discharges at Adasiya and the Wahda dam respectively. The contrasted results for 361 the Bias come from the large differences between simulated and observed flows during particular 362 years as mentioned above (e.g., Bias of -92.51 hm³/month and -86.26 hm³/month at Adasiya for 363 February and March 2003). However, the KGE' values reveal that the MAS model is able to 364 reproduce fairly accurately the historical flows at Wahda (upstream) and to a less extent at Adasiya. 365 The lower performance at Adasiya is mainly due to the fact that the river discharges at that location 366 are strongly influenced by the releases from the Wahda dam. 367

368 Scenario Analysis

369 Causes of the Yarmouk River flow changes

In this section, we analyze the results of the scenarios presented in the section Scenarios over the Historical Period. The analysis focuses on the inflow into the Wahda reservoir because (i) most dams and irrigated crops in the YRB are located upstream from that reservoir (Fig. 3), and (ii) the flow at Adasiya is strongly influenced by the operation of that reservoir.

We observe that the base flow still sharply decreases in 1999 with the *no precipitation decline* and *listed dams only* scenarios (Fig. 7, top). It means that neither the reduced precipitation nor the unlisted dams caused that major hydrological change. On the contrary, the stationary base flow after 1999 under the *no groundwater development* scenario confirms that increased groundwater abstractions strongly impacted the base flow (as explained in the Introduction). If groundwater pumping had not developed since 1987, the groundwater table would have remained at the same level and the base flow would not have been affected.

The difference between the annual flow for each scenario and the simulated *historical* flow 381 is presented in Fig. 7 (bottom). This figure shows the impact of each scenario on the Yarmouk 382 discharge. Until 1999, our simulations show that anthropogenic activity had little or no effect 383 on the Yarmouk River flows. The main difference between the historical and aggregate effects 384 flows lies in the precipitation decline that mostly has effects during the runoff (winter) season. 385 From 2000 onwards, however, the impact of large groundwater withdrawals is particularly clear 386 as the gap between the simulated *historical* and *no groundwater development* scenarios keeps 387 increasing until the base flow completely disappears in 2006. In 2013, our modeling shows that the 388 destruction/disuse of Syrian dams led to an increase of the runoff by 25.7 hm³/year (i.e. +87%) on 389 average over the period 2013-2015. This value is consistent with the \sim 25 hm³/year estimate from 390 Müller et al. (2016). It must be stressed that this sudden increase did not alleviate water scarcity in 391 Jordan though, as more than 500,000 Syrian refugees entered the country during the same period 392 of time (UNHCR 2017). The simulation of the listed dams only scenario finally reveals that the 393 impact of the unilateral construction and operation of dams by Syria and Israel is marginal over the 394

³⁹⁵ whole 1983-2015 historical period.

³⁹⁶ Moreover, provided that groundwater abstractions had remained at the 1987 level, Jordan ³⁹⁷ would likely have received a discharge close to the 117.6 hm³/year that it expected to fill the Wahda ³⁹⁸ reservoir. Indeed, with the simulation of the *no groundwater pumping development* scenario, ³⁹⁹ the modeled flow reaching Wahda during the period 2006-2012 remains close to 100 hm³/year ⁴⁰⁰ higher than the ~15 hm³/year measured by MWI/JVA during this period (Fig. 2). In other words, ⁴⁰¹ groundwater extraction – rather than precipitation decline or dam construction – is the cause of the ⁴⁰² decline in Yarmouk flow at Wahda dam.

403 *Sensitivity analysis*

To assess the robustness of the conclusions regarding the collapse of Yarmouk River flows, a sensitivity analysis is carried out for three independent hydrological parameters:

- The natural inflows to each reservoir. Because the estimate of the Yarmouk River historical discharge varies significantly from one reference to another, scenarios are simulated with the most extreme values found in the literature: 400 and 500 hm³/year (Libiszewski 1995).
- 2. Wadi and irrigation return flows to the aquifer. Infiltration is one of the main factors affecting base flow. This parameter is usually estimated using rules of thumb based on the case study's soil properties, and can vary in the ratio of one to two (Mohan and Vijayalakshmi 2009). Here, we assess the impact of a change by $\pm 10\%$ (average error considered by Dewandel et al. 2007).
- 4153. Crop water requirements (CWR) estimated with the FAO Penman-Monteith method.416After conducting ground measurements, Al-Bakri et al. (2016) and Bastiaanssen (2015)417decreased some of FAO's crop coefficients by ~15% to estimate irrigation water use in418Jordan (Protocol S6). The sensitivity of the model to CWR estimates is then assessed by419running the scenarios with CWR modified by $\pm 15\%$ in all countries.
- 420 We simulate the four prior scenarios (historical, no precipitation decline, listed dams only, no

groundwater pumping development) using each of the three values (lower, standard, larger) for each parameter (natural flow, infiltration percentage, crop water requirements estimate). The results of the $4 \times 3 \times 3 \times 3 = 108$ simulations are shown on Fig. 8 in terms of (*i*) average yearly flows and (*ii*) 25th percentile of monthly flows between the start of the collapse of the Yarmouk River flow and the beginning of the civil war (period 2000-2010). We consider in the following that the average yearly flow serves as an indicator for both base flow and runoff, and that the 25th percentile of monthly flows indicates base flow differences between the various simulations.

The examination of Fig. 8 reveals that the model is more sensitive to a change in both infiltration and crop water requirements than to the historical annual flow: natural flow simulations can thus be visually aggregated to analyze the nine combinations of CWR and infiltration. Three main patterns can be observed:

- 4321. Reduced groundwater pumping has the largest effect on average yearly streamflows and
25th percentile of monthly flows (base flow) in seven of the nine combinations of CWR
and infiltration: $\{-15\%, -10\%\}$, $\{-15\%, -\}$, $\{-, -10\%\}$, $\{-, -\}$, $\{-, +10\%\}$, $\{+15\%, -\}$,
 $\{+15\%, +10\%\}$. For the 10% higher infiltration rate and 15% CWR reduction rate, *no*
groundwater pumping development still has a strong influence on 25th percentile flow and
the *no precipitation decline* has an equal or slightly larger effect. These results reinforce
the base case results.
- 2. In three combinations $(\{-15\%, -\}, \{-15\%, +10\%\}, \{-, +10\%\})$, the base flow remains at a 439 certain level above 1 hm³/month and total yearly flows above 75 hm³/year with any scenario, 440 including the *historical* one. These situations are then not realistic because base flow and 441 total Yarmouk flows are supposed to decline in the *historical* scenario representing the 442 historical Yarmouk River flow monitored by MWI/JVA. For the other combinations, the 443 existence of a base flow each time requires the reduction of groundwater pumping, although 444 the effect is quite limited for the 10% infiltration reduction and 15% CWR increase. This 445 last finding also corroborates the fact that the increase in groundwater abstraction is the 446 main cause to the decline of base flows. 447

3. In one combination $\{+15\%, -10\%\}$, the recharge of the aquifer is extremely limited and the 448 base flow collapses no matter the scenario. In this case, it seems that the surface water would 449 not have been sufficient to meet the agricultural demand. Farmers close to the reservoirs 450 would then have pumped more water from the aquifer, while, at the same time, the aquifer 451 would have less recharged due to the decreased infiltration. In this situation, the Yarmouk 452 River flow would have decreased with any of our scenarios, and the main cause of the flow 453 decline would probably have been the general growth of agricultural demand close to the 454 Syrian reservoirs. 455

It must be stressed that this sensitivity analysis is largely specific to our case study. As the water sources, usages and management policies may be different in other basins, we suggest that a similar sensitivity analysis be conducted for other applications of the method to corroborate any findings when no on-the-ground information is available.

460 Consequences on the water transfers as per the 1994 Treaty of Peace

The analysis of this section is conducted over the post-treaty period (1994-2015). All scenarios 461 defined in the previous section are considered but the *all dams active* one since it only affects the 462 Yarmouk flows after 2013. Israel and Jordan both receive the largest percentage increases in water 463 under the *no groundwater pumping* scenario (Table 2). The scenario in which Syria would have 464 solely built the dams listed in the 1987 Syria–Jordan agreement is the only one that leads to very 465 small increases in flow. For all scenarios, Israel's relative percentage increase is larger than for 466 Jordan and this result confirms that Jordan bears larger hydrological risk under the Jordan–Israel 467 Treaty of Peace. 468

469 **Future Scenarios**

We examine three future scenarios for the years 2016-2025 with the aim to identify (*i*) potential water flows of the Yarmouk as the Syrian civil war winds down, and (*ii*) how Jordan can support the post-war recovery to simultaneously assist Syrians and promote Jordan's own hydrological interests. Each scenario assumes precipitation is the same as for 2006-2015 (236 mm/year on average, similar

to the historical 239 mm/year average). We recognize that future conditions (social, hydrological,
and other) are highly uncertain in conflict areas such as the Yarmouk basin in Syria, and the
precision of results critically depends on scenario assumptions. The principal value of these future
scenarios is to compare results across conditions that may manifest in the post-war period and help
basin states see what role, if any, they could play in recovery efforts:

479 480 1. **Status quo**. The water resources system configuration remains the same as in 2015 (7 dams in disuse because of the Syrian civil war; Table 1).

481

 Re-operate dams. Starting in 2018, Syrians independently rebuild and re-operate dams that fell into disuse to their prior capacities.

Higher irrigation efficiency. Donor organizations promote and support Syrian farmers to
 rebuild and redevelop their irrigation systems to increase efficiency by 10%, reaching 60%
 and 80% from surface water and groundwater sources respectively from 2018 onwards.

In the *status quo* scenario, inflow to the Wahda dam would slightly increase with a *higher* 486 irrigation efficiency in Syria (Fig. 9). According to our simulations, Jordan and Syria would 487 respectively receive 2.4 and 5.6 hm³/year more water than with the *status quo* of damaged Syrian 488 dams remaining in disuse. This increase may indicate a potential benefit for Jordan to help Syrian 489 farmers upgrade their irrigation networks so long as saved water flows to the Wahda dam. As for 490 the scenario that considers the rehabilitation of the Syrian dams destroyed or damaged during the 491 civil war, Jordan can expect the Yarmouk River flow to significantly decrease and return to the 492 2010 low flow state. 493

494 CONCLUSIONS

A multi-agent simulation model of the entire Yarmouk River basin water system (infrastructure, water supply and demand, reservoir capacities and operating rules, irrigation policies, institutional interactions) has been built from remote sensing products and two time-series of monthly flows near the outlet of the basin only. This modeling effort was undertaken while most of the basin is in the midst of a civil war since 2011, and for which no detailed ground data has ever been available. The model has been validated over the historical period 1983-2015 (KGE' = 0.64 and 0.90 for its two gauging stations).

⁵⁰² We have used the model to assess the contributions of natural and anthropogenic factors in the ⁵⁰³ collapse of the Yarmouk flows. Our results indicate (*i*) the unilateral construction of dams that are ⁵⁰⁴ not listed in the 1987 agreement between Syria and Jordan (Jordanian narrative) seems to have had ⁵⁰⁵ a limited impact on the flow regime changes; (*ii*) a 36% precipitation decrease since the first half ⁵⁰⁶ of the 20th century (Syrian narrative) has partly led to the river flow decline; and (*iii*) groundwater ⁵⁰⁷ over-abstraction by Syrian highland farmers (theory hardly mentioned) can explain most of the ⁵⁰⁸ decrease in Yarmouk flows.

⁵⁰⁹ Our sensitivity analysis on three hydrological parameters (crop water requirements, infiltration ⁵¹⁰ and natural flow estimates) reveals that if we had considered higher irrigation water withdrawals ⁵¹¹ and lower infiltration, the Yarmouk River flow would have collapsed no matter which scenario is ⁵¹² considered. In that case, the main cause of the flow decline would probably be the general growth ⁵¹³ of agricultural demand close to the Syrian reservoirs.

There are two limitations to our work that stem from difficulty to access reliable data in a complex and ever-changing region. First, we interpolated and extrapolated land uses over a 30year period from three land use maps generated for 1984, 1998, and 2014. Second, there is little information on aquifer dynamics. In the case of the reduced groundwater pumping scenario we assumed that the base flow would increase if groundwater average recharges exceeded its average losses over a 24-month period that characterizes a certain transit time inside the aquifer.

Two reasons may explain why groundwater overextraction has not been publicly discussed by the riparians: groundwater extraction is not mentioned in the Jordanian–Syrian agreement (groundwater regulation is unfortunately largely ignored in international water law; Eckstein and Eckstein 2005); and until now, there has not been a tractable method to quantify the effects of groundwater extraction on stream flow, particularly a method that works using extremely limited ground data and that could be applied in a war-torn region.

526

By modeling institutional interactions as per the 1994 Treaty of Peace between Jordan and

Avisse, April 6, 2020

Israel, we have assessed the relative contributions of these natural and anthropogenic factors on the
 sharing of the Yarmouk waters between the two countries. This has also been useful when testing
 future scenarios to estimate how Jordan and Israel can support the post-war recovery of Syria while
 promoting their own hydrological interests.

The approach developed in this paper is based on freely available remote sensing data and modeling tools (for land use, dams characterization method, precipitation, hydrological modeling and systems modeling). The tools and results can be used in basins where riparian countries and stakeholders share information or they do not. Outside parties can also use the tools and results with less reliance on basin parties for critical information. The methodology has the potential to target issues hampering an effective cooperation between parties, and to provide decision-support information in cases requiring further negotiations.

538 DATA AVAILABILITY

All model and code are available in a repository online (Avisse 2020). PERSIANN-CDR, Landsat satellite imagery, SRTM data, and streamflow data were provided by a third party. Direct requests for these materials may be made to the provider as indicated in the "Acknowledgements".

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556 SUPPLEMENTAL DATA

⁵⁵⁷ Protocols S1-S7, Fig. S1 and Table S1 are available online in the ASCE Library (ascelibrary.org).

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692	List of Tables					
693	1	Dams considered in the modeling.	29			
694	2	Consequences of each scenario on the transfers as per the 1994 Treaty of Peace				
695		between Israel and Jordan 1994.	30			

Name	Operator's country	Listed?	Coordinates	Completion	Disuse	Capacity	\bar{q}_{nat}
Ivaille			(East, North)a	year	year	(hm ³)	(hm ³ /year)
Al-Manzarah	Israel	Yes	223485, 282845	1982	-	2.3	0.3
Avnei Eitan al-Golan	181201	-	223991, 246480	1982	-	2.3	0.5
Abidin		Yes	228895, 242487	1989	-	5.5	0.4
Qunaitera		No	231404, 280519	2006	2013	33.9	9.3
Jisr al-Raqqad		Yes	234093, 253358	1991	-	11.0	1.4
Kudnah		Yes	236056, 270196	1992	-	30.0	5.4
Al-Ghar		Yes	235663, 249285	1990	2013	5.5	0.5
Saham al-Jawlan		Yes	236335, 245880	1995	-	20.0	0.6
Ghadir al-Bustan		Yes	237999, 260863	1987	-	12.0	1.9
Tasil		Yes	240680, 253980	1984	-	6.6	7.7
Adwan	Syria	Yes	245080, 243840	1986	2013	5.7	3.0
Ebtaa kabeer		Yes	254499, 247077	1972	2013	3.5	8.9
Sheick Miskin		Yes	255463, 252644	1982	2013	15.0	30.1
Roum		Yes	305526, 237106	1977	-	6.4	0.3
Sahwat al-Khadr		Yes	277060, 218989	1986	-	8.8	0.6
Dar'a al-Sharqi		Yes	254714, 223397	1970	2013	15.0	31.1
Tafas		Yes	247434, 240864	1982	-	2.1	6.9
Al-Ghariyah al-Sharqiyah		Yes	271627, 231346	1982	2013	5.0	11.7
Harran		Yes	304324, 223335	1980	-	2.0	0.3
El Wahda	Jordan	Yes	232104, 237922	2007	-	110.0	64.4

TABLE 1. Dams considered in the modeling.

^aCoordinates are expressed in WGS 84/UTM zone 36N (EPSG:32636).

TABLE 2. Consequences of each scenario on the transfers as per the 1994 Treaty of Peace between Israel and Jordan 1994.

Benefici	ary's share	Historical	No precip. decline	List. dams only	No GW pump. dev.	Aggregate effects
Iondon	Avg. flow (hm ³ /year)	116.7	133.5	117.9	145.1	150.2
Jordan	Diff. ^a (%)	-	+14.5	+1.0	+24.4	+28.7
Israel	Avg. flow (hm ³ /year)	39.1	53.9	39.7	55.7	67.5
Israel	Diff. (%)	-	+37.9	+1.5	+42.7	+72.9
Jordan	Avg. flow (hm ³ /year)	16.9	48.5	17.2	25.8	64.0
River	Diff. (%)	-	+187.0	+1.9	+52.6	+278.7

^{*a*}Difference with the simulated historical flow.

696 List of Figures

697	1	The Yarmouk River basin as part of the Jordan River basin, with reservoirs other
698		than Wahda detected using remote sensing - colors refer to the inclusion in the
699		bilateral agreement between Syria and Jordan (1987); see Fig. 3. All coordi-
700		nates are expressed in the Coordinate Reference System WGS 84/UTM zone 36N
701		(EPSG:32636), in which 1 unit equals 1 m
702	2	Yarmouk River flow - expressed in terms of base flow (moving minimum over
703		a 12 months period) and runoff (remaining flow) – measured at the station of the
704		Wahda dam by the Jordanian Ministry of Water and Irrigation and the Jordan Valley
705		Authority; and PERSIANN-CDR precipitation upstream from that station 34
706	3	Reservoirs, usages, wadis and canals detected in the Yarmouk River basin using
707		remote sensing, and Yarmoukeem Pool (YP)-Lake Tiberias-KAC water exchange
708		systems
709	4	Multi-agent representation of the Yarmouk River basin water resources system 36
710	5	Cumulative storage capacity and variations in Syria and the Israel-occupied Golan
711		Heights. Capacities are sorted in terms of their inclusion in the agreement between
712		Syria and Jordan 1987. Color codes for capacity categories are the same as in Fig. 1
713		and Fig. 3
714	6	Measured flows and simulation results of the historical flow at Wahda (top) and
715		Adasiya (bottom) stations
716	7	Simulated flow at the Wahda dam location for the proposed scenarios (top), and
717		water year flow difference with the historical run (bottom)

718	8	Sensitivity analysis of the remote sensing-based MAS model to three hydrological
719		parameters (crop water requirements CWR, infiltration, natural flow estimate). "-"
720		refers to the standard value considered in the section Scenarios over the Historical
721		Period. The threshold of 7 hm^3 /month for the 25 th percentile of monthly flows
722		indicates the base flow measured at the Wahda dam station by MWI/JVA before the
723		collapse of the Yarmouk River flow in 1999
724	9	Simulated flows at the Wahda dam location for future scenarios averaged over the
725		period 2018-2025

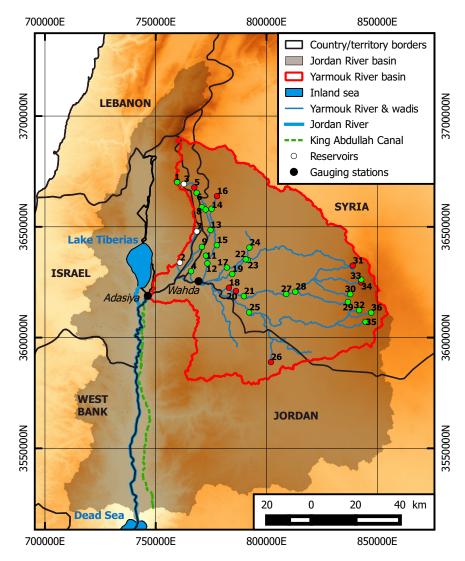


Fig. 1. The Yarmouk River basin as part of the Jordan River basin, with reservoirs other than Wahda detected using remote sensing – colors refer to the inclusion in the bilateral agreement between Syria and Jordan (1987); see Fig. 3. All coordinates are expressed in the Coordinate Reference System WGS 84/UTM zone 36N (EPSG:32636), in which 1 unit equals 1 m.

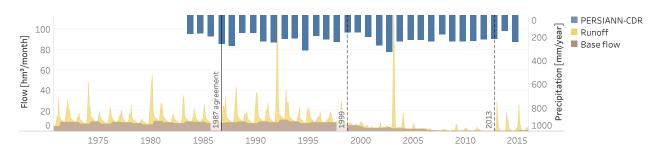


Fig. 2. Yarmouk River flow – expressed in terms of base flow (moving minimum over a 12 months period) and runoff (remaining flow) – measured at the station of the Wahda dam by the Jordanian Ministry of Water and Irrigation and the Jordan Valley Authority; and PERSIANN-CDR precipitation upstream from that station.

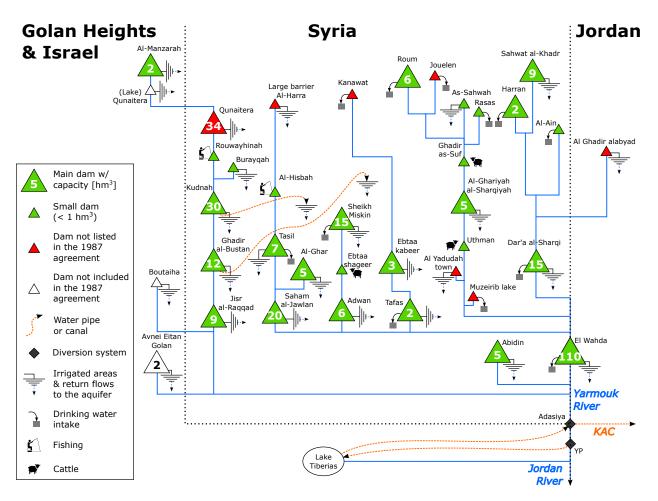


Fig. 3. Reservoirs, usages, wadis and canals detected in the Yarmouk River basin using remote sensing, and Yarmoukeem Pool (YP)–Lake Tiberias–KAC water exchange systems.

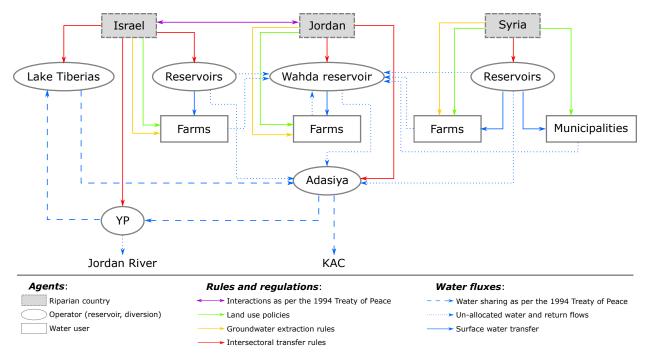


Fig. 4. Multi-agent representation of the Yarmouk River basin water resources system.

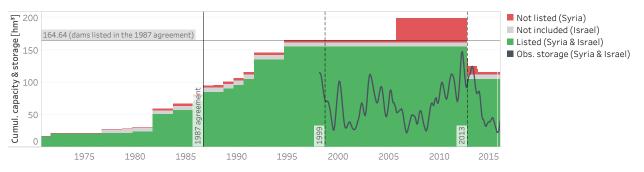


Fig. 5. Cumulative storage capacity and variations in Syria and the Israel-occupied Golan Heights. Capacities are sorted in terms of their inclusion in the agreement between Syria and Jordan 1987. Color codes for capacity categories are the same as in Fig. 1 and Fig. 3.

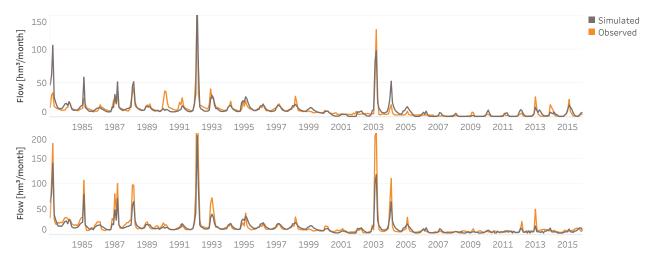


Fig. 6. Measured flows and simulation results of the historical flow at Wahda (top) and Adasiya (bottom) stations.

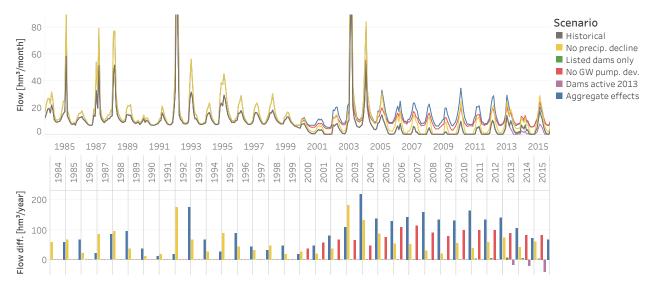


Fig. 7. Simulated flow at the Wahda dam location for the proposed scenarios (top), and water year flow difference with the historical run (bottom).

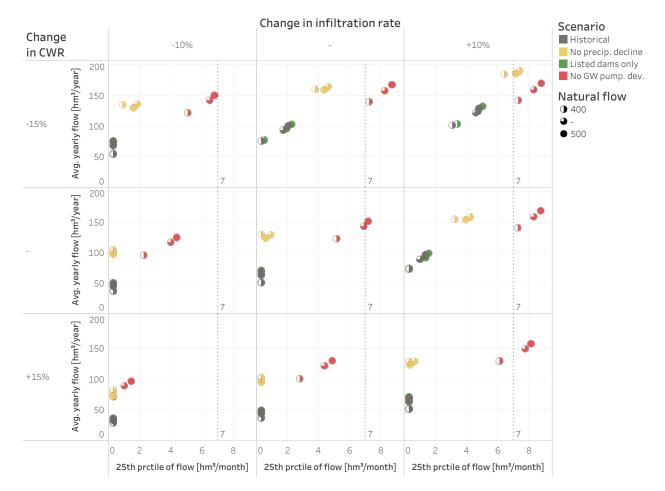


Fig. 8. Sensitivity analysis of the remote sensing-based MAS model to three hydrological parameters (crop water requirements CWR, infiltration, natural flow estimate). "-" refers to the standard value considered in the section Scenarios over the Historical Period. The threshold of 7 hm^3 /month for the 25th percentile of monthly flows indicates the base flow measured at the Wahda dam station by MWI/JVA before the collapse of the Yarmouk River flow in 1999.

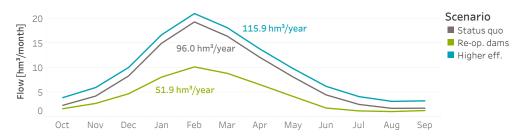


Fig. 9. Simulated flows at the Wahda dam location for future scenarios averaged over the period 2018-2025.