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Cumulative cultural evolution within evolving population structures

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14 solving; population size; population structure; social learning

15 **Abstract**

16 Our species possesses the peculiar ability to accumulate cultural innovations over
17 multiple generations, a phenomenon termed cumulative cultural evolution (CCE).
18 Recent years have seen a proliferation of empirical and theoretical work exploring
19 the interplay between demography and CCE. This has generated intense discussion
20 about whether demographic models can help explain historical patterns of cultural
21 changes. Here, we synthesise empirical and theoretical studies from multiple fields
22 to highlight how both population size and structure shape the pool of cultural
23 information that individuals can build upon to innovate, present the potential
24 pathways through which humans' unique social structure might promote CCE, and
25 discuss whether humans' social networks might partly result from selection
26 pressures linked to our extensive reliance on culturally accumulated knowledge.

27 **Problem-solving in populations over multiple generations**

28 A central feature of our species is our unprecedented capacity to develop
29 sophisticated cultural practices that have allowed us to colonize and permanently
30 occupy environments for which we are poorly suited genetically [1, 2]. This capacity
31 can be viewed as a form of problem-solving by which humans have successfully
32 solved complex ecological challenges. This form of problem solving, however, is
33 peculiar in that it operates at the population level, rather than solely within
34 individuals, and over multiple generations [2, 3]. Both traditional and more modern
35 technologies have not been produced by a single individual but have emerged over
36 centuries through incremental improvements resulting from the efforts of multiple
37 generations of individuals. This process - known as **cumulative cultural evolution**
38 (CCE) - is powered by our ability to selectively learn adaptive social information
39 which results in the gradual accumulation of **innovations**, and can give rise to
40 cultural traits (such as technologies) that are beyond individuals' inventive capacities
41 [2-7].

42 Drawing predominantly on ideas from evolutionary theory, anthropologists,
43 biologists and psychologists have developed a rigorous theoretical framework that
44 applies the notion of descent with modification to material culture, and have
45 investigated the role of population dynamics in the production, transmission and
46 maintenance of cultural traits [8-10]. An influential finding of early theoretical models
47 is that our social learning abilities interact with **demography** to affect CCE, and,
48 more specifically, that the size of the population within which cultural information is
49 shared strongly constrains CCE [11].

50 Recent years have seen a proliferation of empirical and theoretical work
51 exploring the interplay of demography and CCE, and demographic factors are
52 increasingly invoked to explain historical patterns of cultural changes [11-19]. While
53 this research has advanced our understanding of the link between demography and
54 CCE and opened up promising new avenues, it has also revealed a need to better
55 articulate empirical research and theoretical models. Here we present the theory,
56 discuss misconceptions, outline future challenges, and highlight new directions in
57 research on demography and CCE.

58

59 **Strength in numbers**

60 Demography has long been considered a potential explanation for cultural changes
61 documented in the archaeological record [20-22], but it is with the theoretical work
62 of Shennan [23] and Henrich [11] that the idea gained prominence among
63 evolutionary human scientists. The main idea behind demographic models of
64 cultural evolution is that, given that CCE only operates when at least some
65 information is transmitted socially between generations [24-26], the **effective**
66 **population size** (which depends on both population size and interconnectedness)
67 can buffer the risk of losing cultural information (see Box 1). In Henrich's seminal
68 model [11], for instance, individuals belong to a population of constant size and
69 possess a psychological propensity to learn from successful individuals. This
70 propensity creates a selective force that promotes the transmission of beneficial
71 cultural traits and outweighs the degrading effects of learning errors when
72 populations are large enough (Figure 1). These results suggest that decreases in

75 effective population size (due to phenomena such as plagues, war or volcanic
76 eruptions) might result in losses in individuals' level of skills (often proxied in the
77 archaeological literature as the number of tools, or toolkit complexity) by
78 constraining CCE. Several regional losses of cultural traits documented in the
79 archaeological record, such as prehistoric Tasmania, have consequently been
80 attributed to decreases in population size and connectedness [11, 19]. Conversely,
81 the emergence of more complex cultural traits have been hypothesized to result
82 from increases in population sizes and/or densities [13, 14].

83

84 **Experimental tests of the relationship between population size and** 85 **CCE**

86 One approach that has been used to evaluate the plausibility of demographic
87 models of CCE involves lab experiments. Typically, participants who are part of
88 groups of different sizes are tasked to improve a piece of technology. To date, 5
89 experiments from 4 different research groups provide support for a positive effect of
90 group size on cultural complexity [27-31] (but see [32, 33]). One study, for instance,
91 exposed naïve participants in groups of 2, 4, 8 and 16 to demonstrations showing
92 how to produce virtual arrowheads and fishing nets, and tracked the efficiency of
93 those tools across time [27]. The larger the group, the less likely tools were to
94 deteriorate, the more likely they were to improve, and the more likely a diversity of
95 tool types were to be maintained. Using chains of participants and alternative tasks
96 involving image-editing and knot-tying techniques, another study similarly showed
97 that the deterioration of a technique is less likely (and its improvement more likely) in

98 larger groups [29]. Additionally, these experiments show that individuals use cues
99 such as success to choose from whom they learn, lending plausibility to the
100 assumption of Henrich's model that individuals selectively learn from successful
101 **demonstrators.**

102 Importantly, some of these experiments relied on designs that only loosely
103 reflect Henrich's initial assumptions (Box 2). Most, for instance, provide individuals
104 with the opportunity to simultaneously learn and combine information from multiple
105 demonstrators (a several-among-many design) [28-31] while Henrich's model
106 assumes that individuals always select a *single* source of information from a larger
107 pool of demonstrators. Some experiments that have relied on the former design,
108 however, allowed participants to allocate their learning time strategically, which
109 means that individuals' learning strategies might still, in practice, be consistent with
110 Henrich's assumptions [29]. Yet mechanisms that are not part of Henrich's model,
111 such as combining information from multiple demonstrators to generate new
112 solutions, certainly did play a role in these experiments [29-31]. Due to this
113 disconnect between experimental tests and theoretical models, it is not always clear
114 whether experimental studies showing positive effects of demography offer genuine
115 support for specific theoretical claims, nor whether purported failures to detect any
116 effect of demography are valid challenges to theoretical models (see Box 2 for
117 further discussion).

118

119 **Real-world tests of the relationship between population size and**

120 **CCE**

121 A complementary and more direct approach to test the relationship between
122 population size and CCE is to look for a correlation between toolkit size and
123 population size using real-world ethnographic and archaeological data. Results with
124 this approach have been mixed. Some studies support the hypothesis [13, 14, 34,
125 35], but others do not [36-39] (although [40] point out that some of these studies rely
126 on the same datasets, and should not count as independent tests).

127 The difficulty with testing demographic models using real-world data is that
128 human populations are typically embedded within extended networks of cultural
129 exchange, making it difficult to gather meaningful estimates of population size. This
130 constitutes a major obstacle for anthropologists and archaeologists because
131 theoretical models explicitly link cultural complexity to the size of the population *that*
132 *shares information* (i.e. the effective cultural population size) [11]. This implies that
133 tests of demographic hypotheses should control for contact rates between inter-
134 connected populations, which is typically challenging (but see [34]). Proponents of
135 demographic hypotheses have therefore argued that studies which reported null
136 results are invalid because they do not take contact rates into account and typically
137 treat culturally connected groups as independent, culturally isolated populations [40]
138 (see Box 3 for other mismatches between models and empirical tests).

139 Other studies have tested demographic effects where they may not be
140 predicted to occur. One study, for instance, found no evidence that larger
141 populations support more complex folk tales, with complexity operationalised as
142 number of tale types, number of narrative motifs within tales, and number of
143 component details within tales [41]. Yet folk tales are very different to the technology

144 that is the focus of most demographic models. Tools that are more efficient and
145 have higher payoffs are typically associated with an increasing number of
146 component elements [42], which means that they tend to be more complex.
147 However, if complexity is not associated with higher payoffs, then theoretical models
148 do not predict that population size should necessarily affect it. The function of
149 folktales, for instance, is to convey meaning. If similar meaning can be conveyed by
150 simpler folktales, we should not necessarily expect to observe the most complex
151 folktales in larger populations. The same line of reasoning applies to the evolution of
152 language, which functionally adapts to the needs of efficient communication [43].
153 Studies that have investigated the relationship between speaker population sizes
154 and phoneme inventory sizes [44-46] or rates of language change [47-49] have
155 yielded mixed results. However, because language also evolves to become more
156 learnable [50], we should not necessarily expect larger populations to produce more
157 new words nor have larger phoneme inventory size. Furthermore, folk tales and
158 other forms of expressive culture may serve as markers of group membership and
159 some models have suggested that smaller groups will have more exaggerated
160 markers [51]. This suggests that a clearer picture about the relationship between
161 demography and the evolution of expressive cultural traits might emerge by moving
162 away from arbitrarily chosen measures of complexity and by taking into account that
163 functional and symbolic cultural traits exhibit different evolutionary dynamics [52].

164 It is also worth stressing that, contrary to recent claims [53], no theoretical
165 work ever predicted that population size should *solely* determine the number of tools
166 (or any other measure of cultural complexity) found in human populations. Many

167 factors are expected to affect toolkit complexity in natural populations, including
168 mobility, subsistence practices and ecological factors. The risk hypothesis, for
169 instance, holds that populations living in harsh environments create more numerous
170 and specialised tools to mitigate the risk of resource failure due to stochastic
171 variation [36-39, 54, 55]. Importantly, the risk hypothesis and the population size
172 hypothesis differ in what they aim to explain [56]. The risk hypothesis explains what
173 determines the size and complexity of toolkits (i.e. what creates the need for cultural
174 complexity). The population size hypothesis is about the constraints imposed on
175 CCE. Claims that the absence of correlation between population size and toolkit
176 complexity disprove demographic models are based on misconceptions about
177 those models (see Box 3).

178 Inconclusive studies about the relationship between population size and CCE
179 have had the merit of stimulating new work and led to important refinements to early
180 theoretical work. Models with different assumptions have shown that the effects of
181 effective population size hold when more conservative or alternative assumptions
182 are considered (e.g. restricting potential demonstrators to a limited number of
183 acquaintances [57]; conformist transmission [58, 59] but see [60]; adding costs to
184 acquiring knowledge [61]; and alternative pathways to innovation [62]). However,
185 recent studies also suggest that the relationship between effective population size
186 and CCE can be mediated by numerous factors ([58, 62-66]), and that there are
187 numerous challenges in detecting demographic effects on CCE in real-world data
188 (see Box 3).

189 Despite these challenges, there is little doubt that changing the effective size

190 of a population will alter the cultural information available to subsequent generations
191 of learners, which will most likely constrain what can be achieved by individuals. In
192 this context, promising new work has started to investigate more broadly how
193 constraints on information flow within populations can further promote or hinder the
194 gradual accumulation of cultural innovations.

195

196 **Beyond numbers: CCE in social networks**

197 Human populations do not consist of a collection of isolated groups of varying sizes.
198 Multiple groups are typically connected by migratory and trade activities, which
199 results in wide, heterogenous social networks. The role of connectedness on CCE
200 was already acknowledged in early theoretical models [11, 13]. A simulation model
201 that explicitly implemented migratory activity among subdivided populations, for
202 instance, showed that increasing the migration rate has a similar effect to increasing
203 the size of an isolated population [13]. This is because increases in both population
204 size and migratory activity increase the effective number of individuals available as
205 demonstrators, and so reduce the risk of losing cultural information.

206 More recent work, however, has started to investigate in greater detail how
207 the structure of the population impacts the accumulation of cultural information.
208 Unlike early models, recent studies decouple the maintenance of existing traits and
209 the production of new traits, more explicitly modelling the pathways that give rise to
210 innovation [62, 67-69]. Recent models, for instance, assume that existing traits can
211 not only be refined but also combined with other existing cultural traits. When
212 **recombination** between existing traits is incorporated as a pathway towards

213 innovation, increases in population size and connectedness can have different
214 effects on CCE [68, 69]. This is because, while increases in population size
215 systematically benefit CCE by reducing the risk of cultural loss, increases in
216 connectedness can *reduce* opportunities for innovation by homogenising cultural
217 behaviours. This effect is illustrated by a recent lab experiment in which individuals
218 could innovate by producing incremental changes within path-dependent
219 technological trajectories (**refinement**) and by combining traits that have evolved
220 along different trajectories (recombination) [67]. Results show that high levels of
221 connectedness make individuals more likely to converge on similar solutions, which
222 results in lower levels of cultural diversity and slower rates of innovation compared
223 with less connected groups.

224 These results suggest that understanding the effect of demography on CCE
225 requires us to consider not only how changes in connectedness affect the number of
226 individuals available as demonstrators, but also how it shapes the cultural diversity
227 to which individuals are exposed. When these two effects are considered
228 simultaneously, models show that optimal rates of accumulation are reached for
229 intermediate levels of connectedness [68, 69]. This is because low levels of
230 connectedness increase the risk of cultural loss by decreasing access to
231 demonstrators, while high levels of connectedness reduce opportunities to innovate
232 by homogenising cultural behaviours. At intermediate levels of connectedness,
233 groups can accumulate cultural information while remaining culturally distinct, which
234 keeps fueling innovation.

235 These results have implications for CCE both at the macroscale and the

236 microscale. At the macroscale, human population have been historically fragmented
237 due to geographic barriers, conflicts and other factors, resulting in long-standing
238 culturally differentiated sub-populations. In this context, increased levels of
239 between-group connectedness are unlikely to homogenise cultural behaviours.
240 Nevertheless, recent models suggest that, because of new opportunities for
241 recombination, contacts between culturally differentiated groups should result in
242 rapid cultural changes whose magnitude far exceed what is predicted by models
243 that incorporate cultural loss alone [68]. This also suggests that population
244 structures that allow for contacts between culturally differentiated groups might act
245 as endogenous drivers of cultural change [67, 68], even though it should not be
246 assumed that populations will develop and maintain more complex cultural
247 repertoires without appropriate incentives to do so (Box 3).

248 Patterns of connectedness might also affect CCE at the microscale by
249 influencing individuals' exploration of the design space. Network and organization
250 scientists, for instance, have jointly shown that behaviours are more likely to become
251 homogeneous in well-connected than in partially-connected groups when learners
252 preferentially acquire information from the same demonstrator [70-72] (but see [73,
253 74]). Sociologists have similarly argued that behaviors tend to be more
254 homogeneous within groups than between groups and that individuals with ties to
255 otherwise unconnected groups have greater opportunities to develop new ideas
256 because they are exposed to a broader diversity of information [75].

257 These studies illustrate how patterns of connectedness impact the quantity
258 and diversity of information that individuals are exposed to and can draw on to

259 make inferences, which in turn can impact populations' abilities to develop and
260 maintain cultural traits. The benefits of sparsely interconnected networks on CCE in
261 natural populations, however, remain to be properly evaluated. Complex cultural
262 traits are typically hard to learn and several experiments have stressed the
263 importance of multiple demonstrations and multiple learning attempts in the
264 acquisition of complex skills [27, 76]. This suggests that occasional contacts
265 between different individuals/groups might not allow complex skills to spread
266 properly. Additionally, network scientists have stressed the importance of the
267 number of sources of exposures for the adoption of unproven new solutions [77].
268 Experiments typically provide participants with accurate information about
269 alternative solutions, which allows them to confidently adopt the most rewarding
270 ones. In noisy environments, however, interactions with multiple carriers might be
271 critical for individuals to adopt alternative solutions [77] (see also [78] for an example
272 of how the mean number of connections within a network affects the spread of
273 cultural traits). Future research should test whether the optimal level of
274 connectedness differs depending upon the characteristics of the cultural traits one is
275 looking at. Dense networks, for instance, might be critical for the cultural evolution
276 of hard to learn traits (for which transmission is the key bottleneck), while the cultural
277 evolution of easy to learn traits whose efficiency can be readily assessed might be
278 faster in sparsely connected networks.

279

280 **Characterizing human social networks in the wild**

281 The effects of population interconnectedness on CCE suggests that cultural

282 changes might be better understood by paying greater attention to the structure and
283 evolution of human social networks. Mapping past, or even recent, social networks,
284 however, is challenging. Archaeologists and geneticists are still struggling to infer
285 past population sizes [15, 79, 80], let alone population structures [81]. In recent
286 years, approaches relying on social network analyses have seen a rise among
287 archaeologists, but many challenges have still to be solved before being able to
288 distinguish spatio-temporal patterns in social interactions from noise in
289 archaeological data [82-84].

290 Comparative and ethnographic studies, however, are already providing
291 valuable information about human population structure. Comparisons between
292 human hunter-gatherer societies and non-human primate societies, for instance,
293 have shed light on what has been called the deep social structure of human
294 societies [85]. Contrary to most non-human primate societies, which are composed
295 of independent, single-group structures, human societies are federations of
296 multifamily groups [85, 86]. This unique multigroup structure results in extensive
297 networks of unrelated individuals that might be conducive to CCE [87]. Data on
298 interactions between same-sex adults from two hunter-gatherer populations, for
299 instance, reveal that individuals typically interact with more than 300 same-sex
300 adults in a lifetime (although including opposite-sex adults and children results in
301 estimates as high as 1000). In comparison, male chimpanzees are estimated to
302 interact with only about 20 other males in a lifetime [87] (see also [88] for a
303 discussion on the large-scale social networks of hunter-gatherer groups).

304 Other studies among hunter-gatherer populations have started to more finely

305 characterize hunter-gatherer networks. One study, for instance, used trackers to
306 map in-camp networks in two hunter-gatherer populations and showed that
307 individuals invest early in their childhood in a few close friends who bridge densely
308 connected families [89]. These strong friendships increase the global efficiency of
309 hunter-gatherer in-camp networks, which might facilitate the flow of social
310 information (Figure 2). More recently, characterization of hunter-gatherer networks
311 has been extended to between-camp interactions and has been used to simulate
312 the accumulation of cultural innovations over real networks [90]. Results confirm that
313 hunter-gatherers' social structures are made of multiple levels of clustering, and
314 simulations suggest that this sparsely interconnected hierarchical network structure
315 might accelerate CCE by allowing the coexistence of multiple cultural lineages and
316 promoting the emergence of innovations (but see Box 4).

317 The few studies that have investigated networks in hunter-gatherers, however,
318 have been limited to interview data and proximity measures [87, 89, 90]. Actual
319 measurements of cultural transmission remain scarce, and the extent to which
320 proximity networks accurately reflect transmission networks is currently unknown.
321 Investigation of the co-occurrence of plant uses in dyads in one hunter-gatherer
322 population, for instance, showed that not all knowledge is equally shared [91]. More
323 specifically, results show that medicinal plants were mostly shared between spouses
324 and kin, while plants that serve other functions were shared much more widely. This
325 suggests that knowledge-sharing networks are content-specific and supports the
326 idea that hunter-gatherer multi-level social structure enables culturally differentiated
327 units to remain stable despite occasional co-residence [90]. This work also suggests

328 that both **structural barriers** (i.e. lack of contact between individuals) and
329 **behavioral barriers** (i.e. unwillingness to share cultural knowledge) have to be taken
330 into account to properly evaluate the effects of population structure on CCE. Indeed,
331 structural and behavioral barriers combine to result in an **effective population**
332 **structure** that ultimately determines opportunities for cultural transmission. Contact
333 between different ethnolinguistic groups, for instance, can potentially bring different
334 cultural traits together due to significant between-group cultural distance. However,
335 language barriers, endogamy, rivalry and other behavioural barriers such as in-group
336 conformity might limit opportunities for cultural exchange between those groups [92,
337 93].

338 These results suggest that our understanding of the relationship between
339 demography and CCE would benefit from a better understanding of how and why
340 individuals form social ties both within- and between-groups and the extent to which
341 different types of ties (such as kin-based, affine-based and friendship-based) are
342 conducive to cultural transmission. This will permit more realistic implementation of
343 cultural transmission into theoretical models. Indeed, while the combination of
344 vertical cultural transmission (i.e. learning from parents) and success-biased learning
345 is empirically supported and provides a useful first approximation of the dynamics of
346 social learning in groups [40], multiple factors are likely to affect opportunities for
347 social learning. Anthropological studies, for instance, have shown that social ties are
348 more likely to form between people who share similar traits (i.e. homophily [94, 95]).
349 Furthermore, understanding how individuals form social ties is an important avenue
350 for future research because the way individuals form ties ultimately feeds back into

351 the evolution of social networks (homophily, for instance, is known to introduce local
352 structure into networks [95, 96]).

353

354 **How did human social networks get their shape?**

355 Even if questions remain regarding the effects of specific network properties on
356 CCE, it seems clear that humans live within unusually large and uniquely structured
357 social networks. This raises questions about how and why humans have come to
358 form large networks of unrelated or weakly related individuals.

359 Recently, it has been argued that, because individuals from culturally
360 differentiated groups might have greatly benefited from increased between-group
361 interactions, selection might have acted at the individual level to affect individuals'
362 propensity to interact with out-group members [17]. This might have involved
363 changes in conscious behavioural choices (e.g. adjustments to out-group contacts
364 due to perceived immediate benefits) and/or unconscious influence on behaviour
365 (e.g. decreased fear of foreigners or tendency to disperse) [17]. Congruently, a
366 recent simulation model that investigated whether network structure itself can evolve
367 as a result of ecological pressures related to skill acquisition confirmed that
368 selection can impact individuals' propensity to form random ties (such as non kin
369 ties) [97]. Yet, it is not clear whether the acquisition of social information creates
370 sufficiently strong incentives for individuals to overcome rivalry and other
371 behavioural barriers that tend to reduce opportunities for cultural transmission
372 between unrelated individuals. Moreover, increasing contacts is only one part of the
373 problem, as many cultural traits are unlikely to be properly acquired without a

374 demonstrator's willingness to share information [98-100].

375 Another possible way by which selection might have promoted the
376 emergence of networks that are conducive to CCE is by acting on variation that
377 exists at the group level [17, 101]. Indeed, anthropologists have long stressed the
378 role of cultural institutions in promoting both information sharing and interactions
379 between non-kin [87, 101-103]. Among the Ache and Hadza, for instance, ritual
380 relationships, mediated by activities such as club fight rituals, have been shown to
381 promote inter-band interaction. Quantitative analyses have revealed that ritual
382 relationship is a more important predictor than kinship for different types of
383 interactions, including opportunities for cultural transmission (such as observing tool
384 making skills) [87]. Furthermore, anthropologists have stressed that certain groups
385 have cultural beliefs that connect envy and harm, which make successful individuals
386 more likely to hide information from other group members, thus inhibiting CCE
387 compared to other groups [101]. This suggests that groups that possess cultural
388 institutions that promote information sharing and/or mobility might have attained
389 higher cultural complexity and outcompeted groups with cultures less conducive to
390 CCE [17, 101]. It is also worth noting that the maintenance of large networks of
391 unrelated or weakly related individuals might have been further supported by the
392 emergence of cultural innovations such as kin naming systems and stylistic markers
393 of group identity that typically promote cooperative interactions between unrelated
394 individuals [103]. Kin naming systems, for instance, allow familial relationships to
395 extend to affine, distant kin and even non-kin [103] and might permit individuals to
396 maintain privileged relationships with large numbers of individuals without requiring

397 much cognitive effort nor physical cohabitation [104].

398 The question of whether humans' social structure might in part result from
399 selection pressures linked to our extensive reliance on culturally accumulated
400 knowledge will have to be carefully evaluated. Indeed, chimpanzees also live among
401 nonrelatives [105] and humans' propensity to form ties with non-kin might be due to
402 reasons unrelated to CCE and that just happened to be conducive to the
403 accumulation of cultural innovations. Archeologists, for instance, noted that an
404 incest avoidance rule would give rise to the same kind of sparsely connected
405 networks that might benefit CCE [56]. Alternative determinants of outgroup contacts
406 include resource distribution [56], reciprocal cooperative exchange [106] and
407 coalition formation [107], among others. Specific predictions should be formulated
408 and properly tested to disentangle the respective effects of these various
409 mechanisms on network structure. The hypothesis that CCE directly shapes network
410 structure by acting on conscious behavioural choices, for instance, would predict
411 that individuals should flexibly reinforce or weaken their investment in non-kin ties
412 depending on the usefulness of the information they provide.

413

414 **Concluding remarks and future directions**

415 The proliferation of work exploring the interplay of demography and CCE has
416 recently led to many misconceptions due to loose interpretations of early theoretical
417 models (Box 2 and 3). Empirical tests that operationalize models in ways that are
418 consistent with theoretical assumptions provide support for the hypothesis that
419 effective population size constrains CCE. However, testing these models using real-

420 world data remains difficult because multiple factors combine with demography to
421 determine CCE and human populations are typically embedded within extended
422 networks of cultural exchange. While these extended networks of contacts make it
423 difficult to gather meaningful estimates of population size, recent research suggests
424 that they might also affect CCE in ways that are not yet fully appreciated.
425 Understanding how population structure affects CCE will require us to understand
426 precisely how structural and behavioral barriers constrain information flow in natural
427 populations (Box 4).

428 The effects of connectedness on the accumulation of cultural information
429 raise many questions about the relationship between humans' unique social
430 structure and CCE (see Outstanding Questions). Through the study of the nature and
431 the emergence of non-kin ties, both within groups and between groups, as well as
432 knowledge-sharing networks in natural populations, it will be possible to illuminate
433 how humans have managed to accumulate cultural information in such an
434 unprecedented way and determine whether our unique social structure results in
435 part from selection pressures linked to our extensive reliance on culturally
436 accumulated knowledge.

437 **Box 1: Demographic models of cultural change**

438 **Cultural drift.** Some of the earliest cultural evolution models adapted early 20th
439 century models of genetic drift to the cultural case [8, 22, 23, 108]. Drift, whether
440 genetic or cultural, is essentially sampling error. Drift models typically assume
441 ‘unbiased transmission’ or ‘random copying’: each of N individuals within a finite
442 and fixed-sized population possesses one of a set of discrete cultural traits. Each
443 generation or timestep, individuals select another individual at random and acquire
444 their cultural trait. This process results in the inevitable loss of trait variation. The
445 speed with which traits are lost is dependent on N : smaller populations lose
446 variation quicker. This is a highly simplistic model, but provides a useful base for
447 exploring the effects of processes such as innovation and complex population
448 structures such as island chains or bottlenecks on CCE, and has been used to
449 explain archaeological assemblage diversity [22, 108].

450

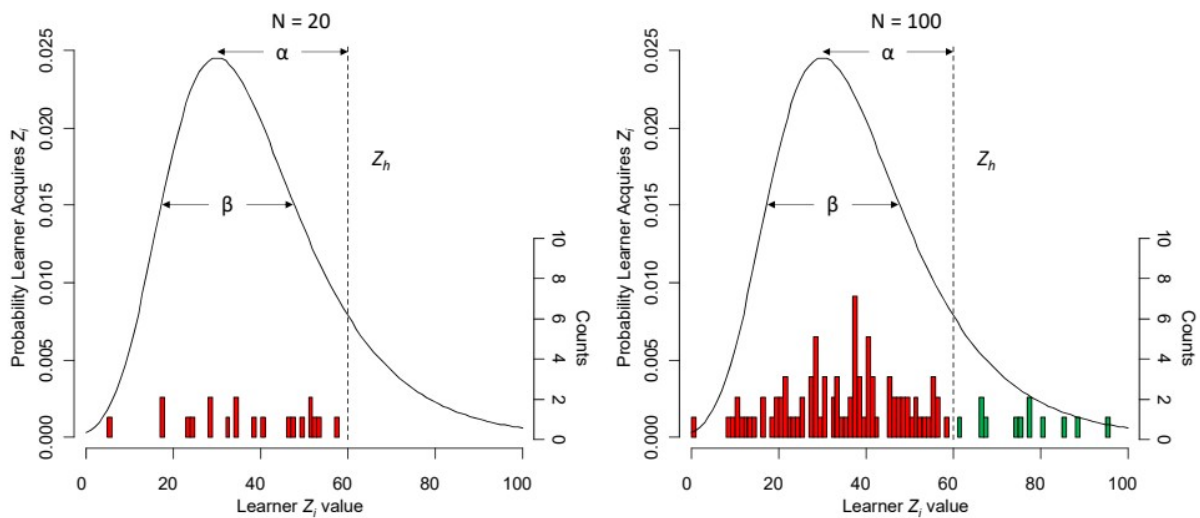
451 **The ‘Tasmanian’ model.** Perhaps the most influential demographic model of
452 cultural evolution was formulated by Henrich [11]. This model was inspired by the
453 empirical case of prehistoric Tasmania, which apparently lost complex technological
454 traits (e.g. bone tools, warm clothing) around 10-12kya when Tasmania was cut off
455 from the Australian mainland, thus decreasing the effective population size [20]. The
456 model incorporates more psychologically plausible processes than simple drift
457 models. Each of N individuals possesses a value of culturally transmitted ‘skill’ (e.g.
458 basket-making), represented by a continuous variable z . Each timestep, each
459 individual attempts to learn the skill value z_h of the highest-skilled member of the

460 previous timestep, h (i.e. success biased transmission). Learning is imperfect, and
461 affected by two kinds of processes. Learning error, determined by α , always results
462 in worse skill than z_h . Another parameter, β , determines the extent of inferences,
463 experiments, luck and other factors that on average make skill levels worse, but
464 sometimes better, than z_h . Combining these, Henrich assumed that the skill of a
465 naive individual is drawn from a Gumbel distribution (Figure 1). N interacts with the
466 latter β term: the more individuals there are, the more likely one of those individuals
467 is to exceed z_h , representing an increase in cumulative cultural knowledge/skill. If N
468 is too small, then all learners will acquire values around the mode of the distribution,
469 which is less than z_h , resulting in a decrease in cultural complexity. Subsequent
470 empirical work has shown that this Gumbel distribution is a reasonable
471 approximation of social learning dynamics [109] (but see [110] for a critique of this
472 model).

473

474 **Population structure and trait recombination.** Subsequent models have extended
475 the Tasmanian model to investigate in greater detail how the structure of the
476 population impacts both the maintenance and the production of cultural traits.
477 Stochastic simulations of the Tasmanian model with multiple sub-populations show
478 that increasing the migration rate has a similar effect to increasing the size of an
479 isolated population on CCE, because both increase variation within sub-populations
480 and so reduce the risk of losing cultural information [13]. Recent studies have more
481 explicitly modelled the pathways that give rise to innovation and revealed that the
482 effect of migration can even be more pronounced when cultural traits can combine

483 to form innovations that are “greater than the sum of their parts” [68]. However, too
 484 frequent contact might not be beneficial to CCE because it prevents populations
 485 from remaining culturally distinct, and reduces opportunities to innovate [68, 69].
 486



487 **Figure 1: Gumbel distribution from Henrich's Tasmanian model**

488 The distributions depict the probability of a learner i acquiring different values of
 489 skill, $z(z_i)$, for two different population sizes N . The vertical dotted line shows the z
 490 value of the highest-skilled demonstrator being copied (z_h). Learning error,
 491 determined by α , reduces the likelihood of z_h being reached. Inferences, experiments
 492 and luck, determined by β , increase the chances of the learner improving on z_h (the
 493 area under the curve to the right of the dotted line). Vertical bars show N random

494 draws from each distribution, representing N learners' z_i values. Red bars represent
495 inferior z_i relative to z_h , green bars represent superior z_i relative to z_h . On the left, a
496 small population ($N=20$) results in a population-level decline in skill, as no learner
497 matches or exceeds z_h . On the right, a large population ($N=100$) features some
498 learners who exceed z_h , resulting in an improvement in the next generation.

499 **Box 2: Linking models and data in the lab**

500 Experimental approaches are useful for investigating the relationship between
501 demography and CCE because essential elements of theoretical models can be
502 implemented under tightly controlled conditions, and tested against actual human
503 behaviour (rather than modellers' assumptions about human behaviour) [111, 112].

504 As noted in the main text, the majority of experimental studies have found
505 support for the general predictions of demographic models [27-31]. This is all the
506 more surprising given that these studies are remarkably diverse in experimental
507 tasks, group sizes and inter-individual interactions. Yet, it is worth highlighting that
508 most experimental designs significantly deviate from the models they claim to test.
509 In the main text we discuss one example, where experiments offer social learners
510 the opportunity to combine information from multiple cultural demonstrators [29-31],
511 rather than learn from a single successful demonstrator as in the most-cited
512 demographic models (see Box 1). The role of recombination across existing cultural
513 traits has been stressed by scholars from multiple fields [113-115], and increased
514 opportunities for recombination certainly is one pathway by which effective
515 population size might affect CCE [101]. Yet, most experiments are presented as
516 tests of models that do not feature recombination between existing traits and in
517 which effective population size mostly affects CCE by buffering the risk of losing
518 cultural information (see Box 1). Still other experiments have relied on tasks in which
519 cultural loss is unlikely to occur [31]. Thus, even though these experiments support
520 the population size hypothesis, it is not always clear whether they provide
521 appropriate tests of the theoretical models which they cite.

522 Maybe more problematic are experiments where results showing no
523 relationship between demography and CCE are used to question the validity of
524 theoretical models despite featuring different assumptions to those models. A recent
525 experiment, for instance, had chains of participants make and throw paper
526 airplanes, with each participant able to learn from 1, 2 or 4 previous participants
527 [33]. Apparently contrary to the demographic hypothesis, flight distance only
528 increased in the 1-demonstrator condition, not the 2- and 4-demonstrator
529 conditions. Yet this experimental design prevented participants from learning from
530 the demonstrator of their choice. Instead participants were forced to attend to
531 multiple, randomly ordered demonstrators for 1.5 minutes each. Yet, Henrich's
532 model explicitly holds that it is the combination of the amount of beneficial cultural
533 information (which increases in larger groups) and the selective choices of cultural
534 learners that promotes CCE. Fay et al.'s results are consistent with the former in
535 showing that larger groups produce greater variation in distance flight and give
536 participants access to more efficient planes. But the constraints imposed on social
537 learning strategies inhibited CCE in large groups by making learning more difficult in
538 those groups.

539 Discrepancies between experiments and models are not *inherently* a problem:
540 the assumptions of models can always be challenged and mechanisms other than
541 those considered in theoretical models are worth investigating. Yet, the experimental
542 literature would benefit from being more explicit about the theoretical basis
543 underpinning the specifics of experimental designs and how they relate to
544 theoretical models.

546 **Box 3: Linking models and data in the wild**

547 Several studies have investigated whether there exists a correlation between toolkit
548 size or composition and population size in natural populations [13, 14, 34-39], but
549 there remain serious challenges in testing demographic effects on CCE in real world
550 data.

551 One difficulty concerns limitations in what can be measured [58]. Henrich's
552 model (see Box 1) describes the level of skill of an individual within a population, a
553 variable that in an archaeological context can be interpreted as the number of tools
554 or tool components attributable to an individual. Yet, archaeological studies typically
555 only have access to population-level rather than individual-level data. This makes
556 purported tests that use population-level assemblage measures largely irrelevant to
557 Henrich's predictions [58]. Even though a recent model incorporating the appropriate
558 population-level variable *does* predict a positive relationship between population
559 size and toolkit size [58], these discrepancies illustrate the need to use appropriate
560 measures when attempting to test a model and/or to adapt models so they can
561 properly be tested using empirical data.

562 A second difficulty is that demography has multiple aspects that can be
563 difficult to fully take into account in ethnographic and archaeological studies. In the
564 main text we discuss one example of this, where empirical data regarding census
565 population sizes are used to test (and purportedly fail to support) the Tasmanian
566 model without taking contact rates into account. Furthermore, recent models
567 suggest that historical variations in population size and connectedness are as
568 important as immediate demographic contexts in determining cultural complexity in

569 a population [58, 64, 68]. Some models, for instance, show that the number of traits
570 in a population should depend not only on the current population size but also on
571 the history of population growth and decline [58, 64]. This can blur the relationship
572 between population size and CCE because growing populations can have fewer
573 cultural traits than smaller, declining populations. Similarly, two populations of the
574 same size might be associated with toolkits of different sizes due to different
575 demographic trajectories. Models also suggest that changes in interconnectedness
576 can result in different outcomes including transient increases in cultural complexity
577 [68]. The effects of population histories represent a challenge for archaeologists
578 whose data represent a record of aggregated events spanning long periods of time
579 during which both population size and interconnectedness might have varied.
580 Further models are needed to determine what testable signatures these dynamics
581 might have left in the past for archaeologists and historians to detect.

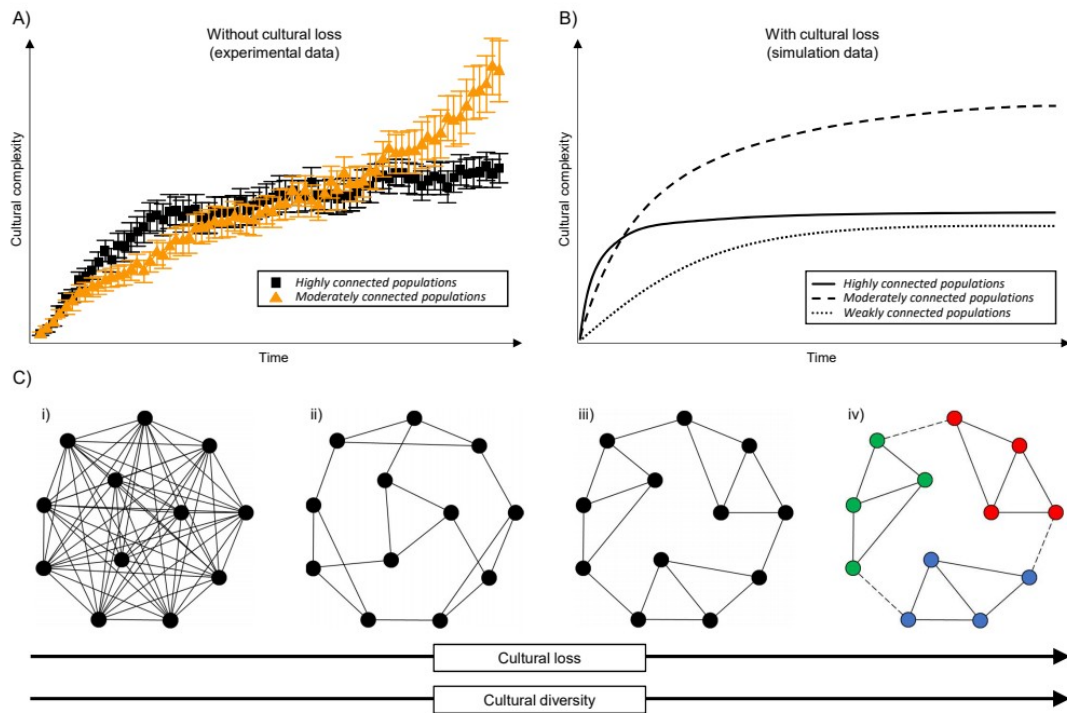
582 Finally, demographic factors determine an upper boundary to the level of
583 cultural complexity that can be reached by a population, but do not entirely
584 determine the actual level reached by a population. Assuming that increased cultural
585 complexity is beneficial, increases in population size should result in increases in
586 cultural complexity but only because this relaxes constraints on CCE. A full
587 understanding of CCE in natural populations requires both drivers of CCE and
588 constraints to be taken into account. To that end, more research is needed to
589 identify the factors that combine with demography to determine CCE in natural
590 populations, such as environmental harshness [54] and instability [116] or
591 accumulated cultural traits themselves [61, 117, 118].

592 **Box 4: Is human multilevel social structure beneficial to CCE?**

593 Recent theoretical and experimental studies have challenged the assumption that
594 anything that maximizes the flow of cultural information should positively impact
595 innovation rates (Figure 2A-B). These results have led scholars to wonder whether
596 CCE in human populations has benefited from our unique multilevel social structure
597 via the partial constraints it imposes on information flow [67]. A recent simulation
598 study provided support for this by showing that real hunter-gatherers' social
599 networks allow the coexistence of multiple cultural lineages, thus promoting the
600 emergence of innovations [90].

601 However, while characterizing actual networks is useful for understanding
602 how cultural information is expected to spread, many (still largely unknown)
603 parameters need to be taken into account before establishing whether, and if so
604 why, human multilevel social structure promotes CCE. Previous work has shown, for
605 instance, that the effect of network structure on CCE is mediated by factors such as
606 individuals' probabilities of innovating (because even strong constraints on
607 information flow prevent cultural diversification if innovation rates are low [69]) and
608 the extent to which innovation depends on cultural diversity (because constraints on
609 information flow both slow down and limit CCE when innovation does not depend
610 on recombination [69]). In the aforementioned simulation study [90], both individuals'
611 opportunity to innovate, and possibilities for recombination, were determined by the
612 properties of an artificial cultural fitness that was designed to permit innovation
613 through incremental improvement and recombination [67], but whose relevance to
614 rates of CCE in natural populations is uncertain.

615 Maybe more importantly, the effect of network structure on cultural *loss* was
616 not considered in those simulations [90]. When cultural loss is not taken into
617 account, constraints on information flow necessarily benefit CCE by promoting
618 cultural diversification. In more realistic situations, constraints on information flow
619 expose populations to higher rates of cultural loss, which can prevent cultural
620 diversification [119]. Moreover, even if they have diverse cultural repertoires,
621 sparsely connected populations can be unlikely to reach high levels of cultural
622 complexity because of their inability to maintain complex cultural traits [69]. Thus,
623 given our current limited knowledge about rates of loss and innovation, and
624 opportunities for recombination, in real-world populations, it is not clear whether the
625 network structure documented in [90] positively affects CCE or whether cultural
626 complexity in hunter-gatherer populations would benefit from more connectedness
627 by being less susceptible to cultural loss. Answering this question will require an
628 evaluation of how sparse networks made of strong ties (e.g. kin and friendship ties)
629 balance cultural loss and cultural diversity (Figure 2C).



631 **Figure 2: Trading cultural loss and diversity in structured populations.** (A)
 632 Experimental results show that moderately connected populations are slower at
 633 accumulating innovations but eventually reach higher levels of cultural complexity
 634 than highly connected populations when innovation depends on cultural diversity.
 635 Adapted from [67]. (B) Simulation models show that optimal rates of accumulation
 636 are reached for intermediate levels of connectedness when populations are exposed
 637 to cultural loss. Relative rates of accumulation between variously connected
 638 populations depend on parameters such as rates of innovation and cultural loss,
 639 and the extent to which innovation depends on cultural diversity (not shown).
 640 Adapted from [69]. (C) Patterns of connectedness affect both cultural loss and
 641 diversity. (i) In fully connected networks made of permanent links (solid lines), the

642 average number of steps required to connect any two individuals (i.e. path length) is
643 minimal and the efficiency with which information spreads is maximal. This reduces
644 the risks of cultural but decreases cultural diversity. (ii) Removing ties increases the
645 average path length between individuals and results in less efficient networks (e.g.
646 from i to ii). (iii) Networks composed of individuals tied to the same number of
647 neighbors can also vary in efficiency due to differences in average clustering
648 coefficients (a measure that reflects the “cliquishness” of a network [120]).
649 Increasing the average clustering coefficient results in less efficient networks (e.g.
650 from ii to iii). (iv) Intermittent links between different parts of a network (dotted lines)
651 further constrain information flow and result in substructures that are more likely to
652 culturally diverge by isolation (illustrated by different colors) but also more likely to
653 suffer from cultural loss.

654 **Glossary**

655 **Demography:** the size and structure of a population of individuals within which CCE
656 occurs

657

658 **Cumulative cultural evolution (CCE):** the repeated modification and social learning
659 of behavioural traits from individual to individual and over successive generations,
660 such that the cultural traits improve in some desired measure of efficiency (typically
661 a proxy for fitness)

662

663 **Innovation:** the generation of novel cultural variation, either via refinement or
664 recombination

665

666 **Refinement:** improving an existing cultural trait, typically through a small, gradual
667 change

668

669 **Recombination:** the bringing together of existing cultural traits to form a new
670 functional trait

671

672 **Tasmanian model:** an influential early model of how population size constrains CCE
673 (see Box 1)

674

675 **Cultural drift:** cultural change due to random sampling error, which is heavily
676 dependent on population size and structure (see Box 1)

677

678 **Structural barriers:** blocks on information flow due to the structure of the
679 population, e.g. individuals simply not coming into contact with one another

680

681 **Behavioural barriers:** blocks on information flow due to behavioural tendencies
682 such as an unwillingness to teach hard-to-learn skills, despite contact

683

684 **Effective population structure:** the structure, resulting from the combined effects
685 of structural and behavioral barriers, that constraints the flow of cultural information

686

687 **Demonstrator:** an individual who serves as a source of social information

688

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696

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