

1 Asymmetric effects of a modelled tidal turbine on the
2 flow and seabed

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13 **Abstract**

14 The extraction of power from the flow of water has become an important
15 potential source of clean energy. In spite of significant interest in the interac-
16 tion between energy extraction devices and water currents, comparatively little
17 work has focused on flow asymmetry. Indeed, unusual wake behaviour and lim-
18 its of turbine array efficiency have typically been attributed to boundary effects
19 rather than the particular turbine geometry. The aim of the present study was
20 to reveal the asymmetries in the hydrodynamic wake and the interactions with
21 the sediment bed due to the presence of a hydrokinetic turbine. We combined:
22 (i) computational fluid dynamics simulations; (ii) optical flow measurements
23 from a series of flume experiments above a fixed rough bed; and (iii) acoustic
24 measurements from a further series of flume experiments above a mobile sand
25 bed. Results showed flow asymmetry due to the presence of the rotor which ap-
26 peared to be related to the development of the wake and potentially to the gyre
27 of the blades. Suspended sediments in the flume also exhibited asymmetrical
28 characteristics due to the flow asymmetry. This imbalance in the flow field and
29 sediment transport may decrease energy extraction efficiency in turbine arrays
30 and also could have important environmental consequences.

31 *Keywords:* Energy, turbines, sediments, tide, CFD, PIV

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32 1. Introduction

33 Interest in clean sources of energy as alternatives to fossil fuels has grown
34 significantly in recent years. Solar, wind, hydrological, geothermal, biological
35 and marine resources are all available to generate renewable energy. Amongst
36 these, marine resources are expected to grow globally with installed capacity
37 increasing from less than 25 MW/yr in 2013 to reach at least 2000 MW/yr by
38 2030 (Ellabban et al., 2014). Marine energy can be extracted in a number of
39 ways: i) thermal differences (e.g. Finney, 2008), ii) salinity gradients (Brauns,
40 2009), iii) waves (Falnes, 2007), and iv) tides (Bahaj, 2013). The preferred
41 methods of extracting energy from tides are tidal barrages or lagoons, relying on
42 converting potential energy linked to tidal range; or tidal stream devices, relying
43 on converting kinetic energy from tidal currents. Tidal stream devices thus aim
44 to generate energy using similar systems as those used for wind turbines (Bahaj,
45 2013). The fluid dynamic efficiency of a single tidal stream turbine (TST), or
46 arrays of turbines, has been the focus of a number of studies (e.g. Myers and
47 Bahaj, 2005; Maganga et al., 2010; Stallard et al., 2013). One of the main
48 concerns is the dynamics of the wake behind the TST because determines the
49 recovery of the flow and thus the optimal spacing between turbines for maximum
50 energy efficiency.

51 However, the wake of hydrokinetic turbines is not free of the effect of the bot-
52 tom boundary layer. This is particularly the case in regions where the boundary
53 layer occupies most of the water column due to fast-moving tidal currents and
54 relatively shallow water depth (Prandle, 1982). Wakes may also interact with
55 the sediment bed, resulting in modifications of the boundary layer (Möller et al.,
56 2016), the wake itself (Chamorro et al., 2013), and the response of sediments
57 (Hill et al., 2014, 2016; Ramírez-Mendoza et al., 2018). These changes can then
58 lead to altered erosion and deposition patterns downstream of turbines or arrays,
59 which may in turn affect the wake structure, impacting the efficiency of TSTs
60 downstream and the stability of their foundations. The environmental conse-
61 quences of an entire array of TSTs will therefore depend on the interactions

62 between downstream wakes and the sediment bed.

63 Characterising turbine wakes has relied on laboratory experiments (e.g., My-
64 ers and Bahaj, 2010; Stallard et al., 2015; Tedds et al., 2014; Stallard et al.,
65 2015) and numerical modelling using computational fluid dynamics (e.g., Har-
66 rison et al., 2010; Daly et al., 2013; Batten et al., 2013). These studies have
67 evolved from experiments with actuator disks (e.g., Myers and Bahaj, 2010)
68 to experiments with multiple three-bladed turbines (e.g., Stallard et al., 2013),
69 experiments with turbines interacting with a bottom boundary layer (e.g., Stal-
70 lard et al., 2015; Möller et al., 2016; Simmons et al., 2018), and experiments
71 including mobile sediment beds (e.g., Hill et al., 2014, 2016; Ramírez-Mendoza
72 et al., 2018). Computational fluid dynamics models have been applied to the
73 study of TSTs from the use of homogeneous flows (e.g., Mason-Jones et al.,
74 2013) to the inclusion of vertical profiles and waves (e.g., Tatum et al., 2016).

75 Wake asymmetry has been reported in some cases (e.g., Myers and Bahaj,
76 2012; Stallard et al., 2013; Tedds et al., 2014; Stallard et al., 2015). This is an
77 important feature of hydrokinetic turbine wakes as it can influence the spatial
78 distribution and location of wakes thus potentially impacting on spacing be-
79 tween turbines and turbine array design. Wake asymmetry is typically absent
80 from the TST parameterisations used in the ocean models applied to large-scale
81 environmental assessment (e.g., Roc et al., 2013; Thiébot et al., 2015; Li et al.,
82 2017), thus potentially impacting upon the veracity of modelled large-scale envi-
83 ronmental impact predictions. This wake asymmetry has often been attributed
84 to the interaction between turbine wake and flow boundaries (Stallard et al.,
85 2013; Tedds et al., 2014; Stallard et al., 2015). However, wake asymmetry has
86 rarely, if ever, been the main focus in these studies. Studies focusing on scour
87 and interactions with bed forms (e.g., Hill et al., 2014, 2016) have had little, if
88 any, focus on the asymmetry of bed changes. Overall, there is a gap in studies
89 with the specific objective of characterising the wake asymmetry and how it
90 impacts and interacts with the sediment bed, its boundary layer, and sediment
91 resuspension.

92 The present investigation addresses this gap and focuses on a holistic dynam-

93 ical description of the wake of a model hydrokinetic turbine and its interaction
94 with a mobile sediment bed. To this end, the present study combines for the first
95 time (i) numerical simulations in a current boundary layer using the velocity-
96 vorticity formulation of the Navier-Stokes equations, (ii) high-resolution mea-
97 surements of velocity and turbulence in the wake of a turbine above a fixed rough
98 bed using particle image velocimetry (PIV), and (iii) acoustic measurements of
99 the evolution of a mobile sediment bed and suspended sediment downstream of
100 a model turbine. We present the observational and modelling methods in the
101 following section. Numerical results and flume observations are then reported
102 in section 3, focusing on demonstrating a consistent wake behaviour.

103 **2. Methods**

104 In this study we will show that the TST creates asymmetry effects on the
105 flow field and sediment bed and that these effects can be found with three differ-
106 ent study methods: numerical modelling; high resolution flow field laboratory
107 experiments; and the sediment dynamics characterisation resulting from the
108 experiments. The following sections introduce the numerical modelling and ex-
109 perimental methods used. Figure 1 presents the overall coordinate system, the
110 numerical domain, and the experimental setups. All distances are normalised
111 to turbine diameters, where $x/D=0$ is the longitudinal TST channel position,
112 $y/D=0$ the traverse channel position, and $z/D=0$ the channel bottom and
113 flume fixed bed position for the numerical domain and experiments. The only
114 exceptions are the bed morphology measurements in which, for clarity, nega-
115 tive/positive values represent sediment erosion/deposition relative to the initial
116 bed sediment layer. Cross-stream positive and negative positions are also re-
117 ferred to in the text as $y/D>0$ and $y/D<0$, respectively.

118 *2.1. Numerical Modelling*

119 A series of high-resolution computer simulations have been conducted using
120 a modified Vorticity Transport Model (VTM) and a horizontal axis turbine with

121 three blades with aerofoil NACA 0012 (Jacobs et al., 1935), having aspect ratio
 122 4.65 and a polynomial twist of 18.58° from root to tip. The position of the
 123 model turbine (rotor) in the computational domain is illustrated in figure 1a.
 124 The modified VTM provides a detailed representation of the fluid dynamics that
 125 takes place within the wake of a rotor or turbine. This is achieved by considering
 126 the temporal evolution of the vorticity and velocity of an incompressible fluid,
 127 via solving the *Vorticity Transport Equation*:

$$\frac{\partial \boldsymbol{\omega}}{\partial t} + (\mathbf{v} \cdot \nabla) \boldsymbol{\omega} - (\boldsymbol{\omega} \cdot \nabla) \mathbf{v} = S_\omega \quad (1)$$

128 where $\boldsymbol{\omega}$ is the flow vorticity field defined as $\boldsymbol{\omega} = \nabla \times \mathbf{v}$, \mathbf{v} is the flow velocity,
 129 S_ω is the vorticity source, and the operators ∇ , $\nabla \cdot$, $\nabla \times$ and ∇^2 are the gradient,
 130 divergence, curl and Laplacian, respectively. The vorticity transport equation
 131 results from taking the curl of the unsteady, incompressible Navier-Stokes equa-
 132 tions:

$$\frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} = -\frac{\nabla p}{\rho} + \nu \nabla^2 \mathbf{v} \quad (2)$$

$$\nabla \cdot \mathbf{v} = 0 \quad (3)$$

133 where p is pressure, ρ is the fluid density and ν is kinematic viscosity. The
 134 velocity field is then obtained from the vorticity field as a solution of the Poisson
 135 equation:

$$\nabla^2 \mathbf{v} = -\nabla \times \boldsymbol{\omega} \quad (4)$$

136 In the limit of zero viscosity, the viscous term becomes non-zero only on
 137 surfaces that are immersed in the flow. The vorticity source term S_ω in equation
 138 1 represents the shed and trailed vorticity arising from the blades of the turbine
 139 and can be written as in Brown and Line (2005):

$$S_\omega = -\frac{d\omega_b}{dt} + \mathbf{v}_b \nabla \cdot \boldsymbol{\omega}_b \quad (5)$$

140 where ω_b is the bound vorticity associated with each blade of the turbine
141 and \mathbf{v}_b is the velocity of the lifting surface relative to the local fluid.

142 The vorticity transport model we use has been validated against observa-
143 tional data in Brown and Houston (2000) and Phillips (2010). Phillips com-
144 pared VTM results with aircraft rotor data and empirical formulations. It has
145 been used for rotor aircraft studies (e.g. Fletcher and Brown, 2008; Houston
146 and Brown, 2003; Green et al., 2005), wind turbines (e.g. Fletcher and Brown,
147 2010; Scheurich et al., 2011; Scheurich and Brown, 2011), and tidal turbines
148 (Vybulkova et al., 2016).

149 In tidal flow, the velocity of the current varies with depth. The current forms
150 the hydrodynamic boundary layer near to the sediment bed in which the flow
151 adjusts to the distance from the sediment bed. This is captured in the model by
152 including a free stream vorticity field. The boundary conditions on all outflow
153 boundaries are set to zero normal gradient for the vorticity field to represent a
154 fully developed flow. At the inlet boundary the freestream vorticity distribution
155 is specified, and the interaction of the flow with the sea bed is modelled by the
156 method of images (see below). The turbine is assumed to be deployed in a
157 water column deep enough so that water surface effects can be omitted from
158 consideration except at the surfaces of the rotor plane and the sediment bed.

159 *2.1.1. Ground Effect*

160 The sediment bed poses a physical constraint on the evolution of the flow
161 surrounding the hydrokinetic turbine. The velocity component normal to the
162 sediment bed has to be zero at the sediment bed in order to represent the as-
163 sumed impermeability of the surface. The wake near the ground plane behaves
164 like there was another wake, its mirror image in the ground plane (Whitehouse
165 and Brown, 2004). The effect of the presence of the sediment bed on the dy-
166 namics of the flow has therefore been modelled in the modified VTM using the
167 method of images (Whitehouse and Brown, 2004), in which the dynamics of the
168 wake is calculated by superposition of the actual wake and the mirror image.
169 The simulation thus fulfils the condition of zero flow through the sediment bed.

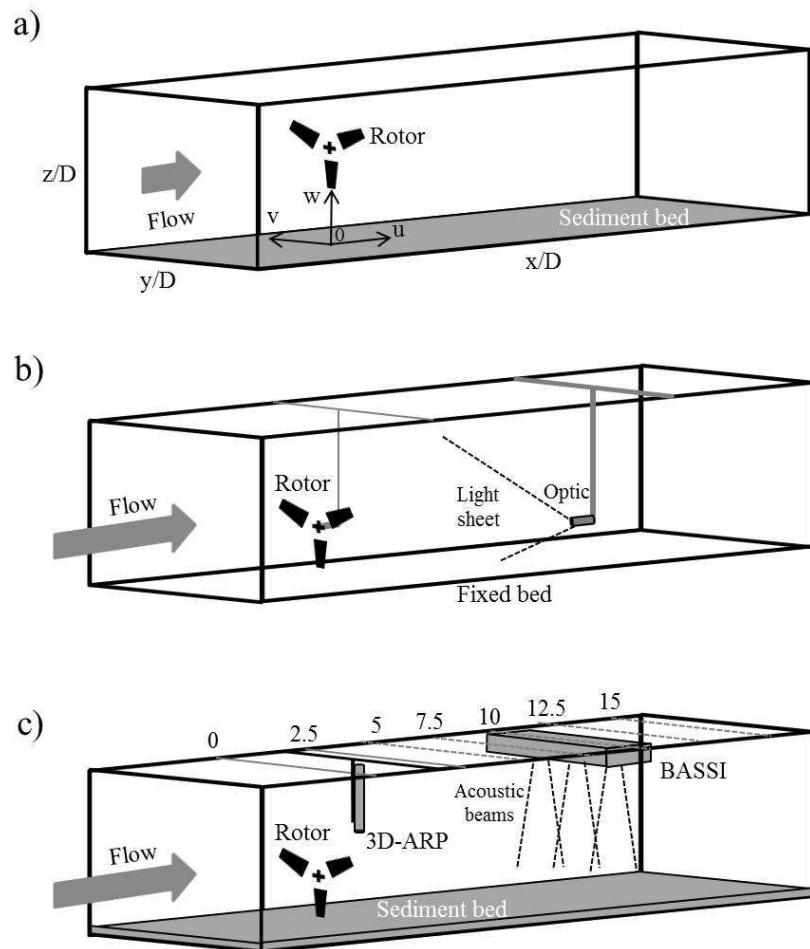


Figure 1: a) Computational domain for numerical modelling. Also is shown the coordinates system used for both numerical modelling and experiments. b) Channel schematic showing the position of the rotor relative to the optic, light sheet. c) Position of the 3D-ARP and locations where BASSI measurements were taken (dashed grey lines). An example of the BASSI module is shown at $x/D = 10$ location. The drawings are not to scale.

170 *2.1.2. Sediment motion*

171 When a blade tip vortex approaches the sediment bed, the velocity that is
 172 induced parallel to the bed rises substantially. Individual vortices can create
 173 substantial unsteady shear stresses on the sediment bed, in some cases these
 174 unsteady stresses can exceed twice their time-averaged values (Lee et al., 2001).
 175 Initiation of sediment motion from the sediment bed is modelled within the
 176 modified VTM by postulating the existence of a threshold value of the shear
 177 stress at the sediment bed that has to be exceeded in order to initiate motion
 178 of sediment particles. This threshold for motion is given via a dimensionless
 179 critical bed shear stress, θ_c , following van Rijn (2007) which gives this critical
 180 bed shear stress as an empirical function of particle size, and which is valid for
 181 $4 \leq d^* < 10$, corresponding to a median sediment diameter, d_{50} , approximately
 182 between 0.22 mm and 0.54 mm, i.e. medium to coarse sand:

$$\theta_c = 0.14(d^*)^{0.64}, \quad (6)$$

183 where

$$d^* = d_{50} \left[\left(\frac{\rho_s}{\rho_w} - 1 \right) \frac{g}{\nu^2} \right]^{1/3} \quad (7)$$

184 is the dimensionless sediment size (Miller et al., 1977), ρ_s is sediment density
 185 ($2650 \text{ kg}\cdot\text{m}^{-3}$), ρ_w is fluid density ($1020 \text{ kg}\cdot\text{m}^{-3}$), g is the gravity acceleration
 186 ($9.8 \text{ m}\cdot\text{s}^{-2}$) and, as mentioned above, ν is kinematic viscosity ($1 \times 10^{-6} \text{ m}^2\cdot\text{s}^{-1}$).
 187 Sediment particles are assumed to leave the sediment bed when the bed shear
 188 stress exceeds the critical value, i.e. $\theta \geq \theta_c$, with θ the dimensionless bed shear
 189 stress:

$$\theta = \frac{\tau_b}{(\rho_s - \rho_w)gd_{50}} \quad (8)$$

190 where τ_b is the magnitude of the bed shear stress. The amount of sediment
 191 either as suspended load or bed load is commonly related to the excess bed
 192 shear stress (e.g. Amoudry and Souza, 2011), which we define as:

$$\xi = \left(\frac{\theta}{\theta_c} - 1 \right), \text{ when } \theta \geq \theta_c. \quad (9)$$

193 We take this excess bed shear stress as a proxy to indicate the impact of
 194 the turbine on the sediment bed. Higher values correspond to more sediment in
 195 motion.

196 2.2. Experimental Setup

197 All flume experiments were performed in the Total Environment Simulator
 198 (TES) at the University of Hull, UK. The TES is a recirculating flume that is 6
 199 m wide and 16 m long. Within this space, a test channel with a width of 1.6 m
 200 and a length of 11 m was constructed (Fig. 1b). This channel size was chosen
 201 as a compromise between minimising sidewall effects and maintaining a small
 202 enough water volume to drive large enough currents. A water depth of 0.6 m
 203 was used during the experiments to ensure a rotor, with a diameter of 0.2 m,
 204 was sufficiently far from the water surface to prevent the wake expansion being
 205 restricted vertically by the free surface (Olczak et al., 2015). The experimental
 206 programme was designed to investigate the wake downstream from the TST.
 207 Flow conditioning was used at the inlet to ensure a steady flow of about 0.4
 208 m·s⁻¹. The scaled turbine is further described in section 2.2.1 and the overall
 209 experimental setup results in the following: a blockage ratio of 0.039, a Reynolds
 210 number (Re) of the flow of about 10⁶, rotor diameter Re of 10⁵, a blade chord
 211 based Re 15×10³, and a Froude number of 0.22.

212 The results reported hereafter comprise those obtained from two separate
 213 series of experiments. The first series focuses on high-resolution measurements
 214 of the streamwise velocity and of the turbulent kinetic energy in the wake of the
 215 model turbine (described in the following section) above a fixed bed. This was
 216 achieved using Particle Image Velocimetry (described in section 2.2.2) and the
 217 fixed channel bed was constructed from marine plywood boards covered with a
 218 layer of sand ($d_{50} = 425 \mu\text{m}$) fixed to a thin coat of varnish to maintain a surface
 219 roughness. The second series focuses on measurements of bed morphology and

220 suspended sediment concentration for the case of the model turbine positioned
221 above a mobile sediment bed. This was achieved using acoustic instrumentation
222 described in sections 2.2.3 and 2.2.4.

223 *2.2.1. Scaled hydrokinetic turbine*

224 The scaled rotor consisted of a three-bladed, horizontal-axis model hydroki-
225 netic turbine designed at the University of Strathclyde. The rotor of the turbine
226 is unshrouded, with a diameter $D = 0.2$ m, and three blades with a NACA 0012
227 aerofoil shape (Jacobs et al., 1935) and a polynomial twist of 13° from root
228 to tip. The turbine is assumed to be a constant speed device with fixed blade
229 pitch of 4° . Additional details on the turbine are reported in the study and
230 supplemental data by Simmons et al., 2018. The turbine was mounted on an
231 8 mm diameter shaft which was attached to a 32 mm diameter housing for a
232 25 W DC motor. The motor housing was attached to a 68 mm \times 6 mm solid
233 fin which was supported from above (Fig. 2). The motor housing and vertical
234 support were designed to minimise flow disturbance. An important parameter
235 to measure the efficiency of a turbine is the tip speed ratio (TSR), which is the
236 ratio between the circumferential velocity of the blade tips and the fluid flow
237 speed. The motor was computer-controlled to ensure the turbine rotated with a
238 TSR of 5.5. This value corresponds to the maximum of the power curve for the
239 flow velocity used in the experiments and rotor data were collected to ensure
240 the TSR of 5.5 was maintained. The rotor was positioned in the centre of the
241 channel at ~ 6.6 m downstream from the inlet.

242 *2.2.2. Particle Image Velocimetry*

243 A stereoscopic two camera, three-component, submersible, double-pulse laser
244 Particle Image Velocimetry (PIV) system, manufactured by Dantec Dynamics,
245 was used. The laser was mounted on a frame above the channel and the laser op-
246 tic was positioned approximately 2 m downstream of the TST (i.e. 10 diameters
247 downstream). Two 4 Mpixel digital cameras mounted on a three-dimensional
248 traverse system and encased in a submersible housing were used. The sub-



Figure 2: Hydrokinetic turbine with The three blades measuring 0.2 m diameter used during the experiments of Particle Image Velocimetry and mobile bed.

mersible housing consisted of mirrors on either end to enable the cameras to view upstream and downstream positions within the channel looking through a clear Perspex channel wall. The system enabled imaging of an area of 0.444 m by 0.312 m, horizontal and vertical orientation respectively, and the cameras could be moved 0.58 m in the streamwise, 0.4 m in the cross-stream and 0.35 m in the vertical directions. A three-dimensional calibration, acquired using a multi-level target, was obtained so that the two camera images could be combined to derive the three instantaneous flow velocity components (u streamwise, v cross-stream and w vertical). The calibration was valid for all camera positions since the optics remained submerged and there were no changes in image refraction. The flow was seeded using Plascoat Talisman 20, a neutrally buoyant copolymer coating powder, which was wet-sieved to refine the size of the seeding to between 106 μm and 212 μm .

For the PIV experiments described herein, the rotor hub was positioned at 0.12 m above the bed ($z/D = 0.6$). In order to capture the full extent of the near wake, three different streamwise positions for the cameras were used for PIV measurements. These had a longitudinal distance of ~ 0.29 m. The positions overlapped which ensured the flow field was captured without any gaps in the data. Data in the cross-wise orientation of the channel were collected on planes located at $y/D = -0.25$ and $y/D = 0.25$, allowing the full wake flow field to be captured. When data were collected either side of the centreline, the laser was moved off the centreline to a specific location to ensure that the area where data were being collected was fully illuminated by the laser light. The stereoscopic cameras were also moved the same distance to ensure the images remained in focus and data were collected for the correct area.

Data were collected for 107 seconds (limited by data storage capacity) at each position, at a sample rate of 50 Hz. The collected PIV image data were processed using Dantec Dynamics Dynamic Studio v4.15. The data were analysed using an adaptive PIV algorithm which used a grid spacing of 32×32 pixels, and a minimum interrogation area size of 16×16 pixels and a maximum interrogation area size of 32×32 pixels. This equated to a grid spacing of approximately

280 6.15×5.8 mm. Adaptive PIV was used as the processing algorithm as it adjusts
281 the size of the interrogation area in relation to the seeding densities and flow
282 magnitude and gradients within that area, therefore increasing the quality and
283 resolution of the data produced compared to standard correlation techniques
284 (Theunissen et al., 2007).

285 2.2.3. *Bed morphology*

286 For the experiments above a mobile sediment bed, a 0.1 m thin layer of sand
287 of $d_{50}=425 \mu m$ was placed at the bottom of the flume prior to it being filled with
288 water up to 0.6 m depth. The pumps were then run to first generate a steady
289 current until stabilisation of the sediment bed: i.e. ripples reached a morpholog-
290 ically stationary state. A Marine Electronics three dimensional sand acoustic
291 ripple profiler (3D-ARP) with an accuracy of approximately two millimeters
292 was then used to monitor changes in the sediment bed position (Thorne et al.,
293 2018). The 3D-ARP takes three dimensional measurements of the bed using a
294 1.1 MHz pencil beam transducer. The transducer is mounted looking downward
295 at a certain distance from the bottom and records the position of the bed from
296 its acoustic echo in a circular area around the 3D-ARP. The two-dimensional
297 circular map of the bed is obtained following a cycle: an acoustic beam is first
298 emitted from the transducer to point on the perimeter of the measurement disk;
299 a two axis stepping motor then modifies the angle of the acoustic beam in the
300 vertical until a complete diameter or transect of the measurement circular area is
301 completed in an arc of 150° ; the motor then rotates 0.9° in the horizontal plane
302 and collects another transect; the cycle ends when the full measurement circular
303 area has been covered in 200 individual swaths, equivalent to 180° (Fig. 3). The
304 measured backscatter profiles were processed using an algorithm to recognise
305 the bottom echo relative to the transducer location.

306 During the experiments reported here, the 3D-ARP was mounted at 0.43 m
307 downstream from the rotor (Fig. 1c) and the complete measuring cycle took
308 about 12 minutes. Since the 3D-ARP measurement circular area was larger than
309 the flume width, the analysis only focused on a smaller area of about 1.4 m^2

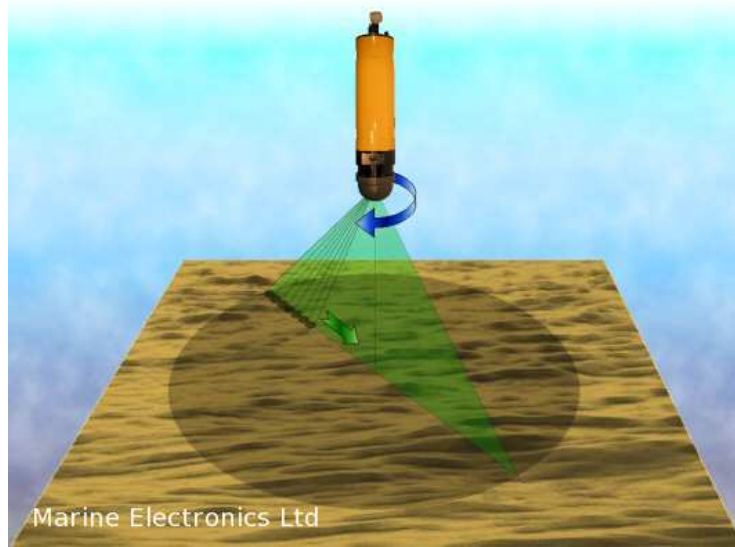


Figure 3: Schematic illustration of the acoustic ripple profiler sampling technique (www.marine-electronics.co.uk). Acoustic beams are emitted from the perimeter (black dots) and along a transect until a complete diameter is covered (green arrow and vertical shaded plane). Then the angle is modified to take another transect (blue arrow) and continues until the entire circular area of the bed is completed (dark shadow in the bottom).

310 in order to avoid contamination by wall reflections of the acoustic signal. The
 311 bed evolution was recorded with the scaled rotor hub at two different heights
 312 from the initial surface sediment bed layer, 0.15 m and 0.2 m, to observe the
 313 changes over periods of a few hours. At the end of the experiments a last
 314 3D-ARP measurement was taken to record the final state of the bed. The 3D-
 315 ARP algorithm results are distances from the transducer to the bed which were
 316 converted to show the loss or gain of sediment relative to the initial bed sediment
 317 layer.

318 *2.2.4. Suspended sediment concentration*

319 Measurements of the sediments in suspension were obtained using an acousti-
 320 cal backscatter instrument called BASSI (Bedform and Suspended Sediment Im-
 321 ager). The BASSI consists of arrays of Acoustic Backscatter Systems, and each
 322 BASSI unit has five sets of three transducers at different frequencies, aligned to
 323 give a vertical profile of the backscattered signal from suspended sediment over

324 a 0.5 m transect (Moate et al., 2016; Thorne et al., 2018). An approximation
325 to suspended sediment concentration, SSC, can be obtained after calibration
326 of the transducers using either field samples or backscatter acoustic proper-
327 ties of the particles in suspension. In this investigation, the latter method was
328 used following Thorne and Meral (2008), Moate et al. (2016) and Thorne et al.
329 (2018). Three arrays were aligned to cover 1.5 m, almost the width of the chan-
330 nel. The transducers worked at alternate frequencies of 0.75, 1.25 and 2.5 MHz
331 and recorded measurements at about 5 Hz sample rate for approximately 10
332 minutes. The profiles obtained by each transducer were time-averaged and af-
333 ter applying calibration three SSC profiles were calculated, from which a single
334 averaged profile was obtained. The result is a vertical plane view of the SSC
335 covering almost the flume width. The transducers were moved to five different
336 locations in the streamwise direction to have the SSC in the far wake zone from
337 the rotor (Fig. 1c).

338 **3. Results**

339 *3.1. Numerical Modelling*

340 The vorticity field induced by the presence of the device on the sediment bed
341 has been modelled by the modified VTM. Overall, the wake achieved a quasi
342 steady state after 13.4 rotor revolutions with one rotor revolution lasting 6.28
343 seconds of simulation time (28.5 hours run time). The results showed small
344 changes after 20.2 rotor revolutions of a total of 36.8, and the average bed shear
345 stress converged to a nearly constant value after about 16 rotor revolutions (data
346 not shown).

347 Figure 4 illustrates the wake induced vorticity field downstream of the tur-
348 bine described in section 2.1. Although purposely not directly the equivalent of
349 any available commercial system, the turbine design places it in the mid-range
350 of current design configurations of tidal stream turbines. Strong vortices bound
351 to the rotor blades form a sequence of concentrated vortex filaments, yielding
352 a helical structure to the wake as it convects into the flow downstream of the

353 turbine. The inflow velocity profile causes the helical structure to incline due
 354 to the vertical gradient of the inflow velocity. The inclination of the induced
 355 vorticity field contributed to its eventual disintegration into a cloud of vorticity
 356 fragments.

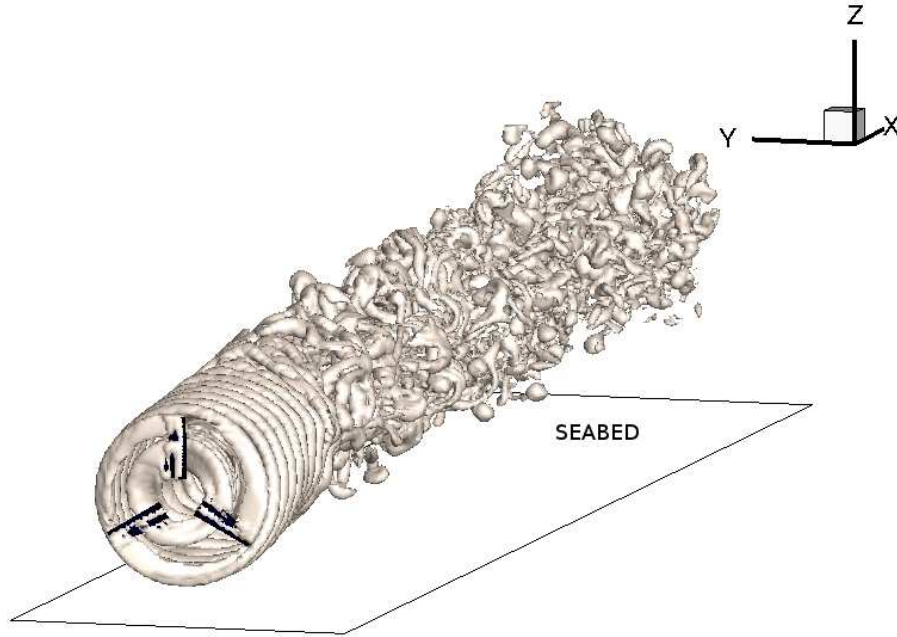


Figure 4: Illustration of the wake vorticity field in the computational domain.

357 Simulations were repeated for several positions of the rotor above the bed,
 358 all with the same inflow condition. The inclination angle of the vorticity loops in
 359 the helical structure downstream of the rotor is greater when the turbine is closer
 360 to the sediment bed. For all studied rotor positions the vorticity is elevated from
 361 the sediment bed by the flow further than one diameter downstream of the rotor.
 362 The vorticity induced by the flow affects the velocity near the sediment bed,
 363 which is crucial for the uplift of sediment into suspension. The near bed flow
 364 velocity magnitude normalised to the rotor diameter, $V = \sqrt{u^2 + v^2 + w^2}/D$,
 365 at $z/D=0.01$ above the sediment bed for different rotor positions, is shown in
 366 figure 5. The excess shear stress on the sediment bed is driven by the velocity
 367 just above the bed. Figure 6 shows the spatial distribution of the excess bed

368 shear stress also for the studied positions of the rotor above the sediment bed.

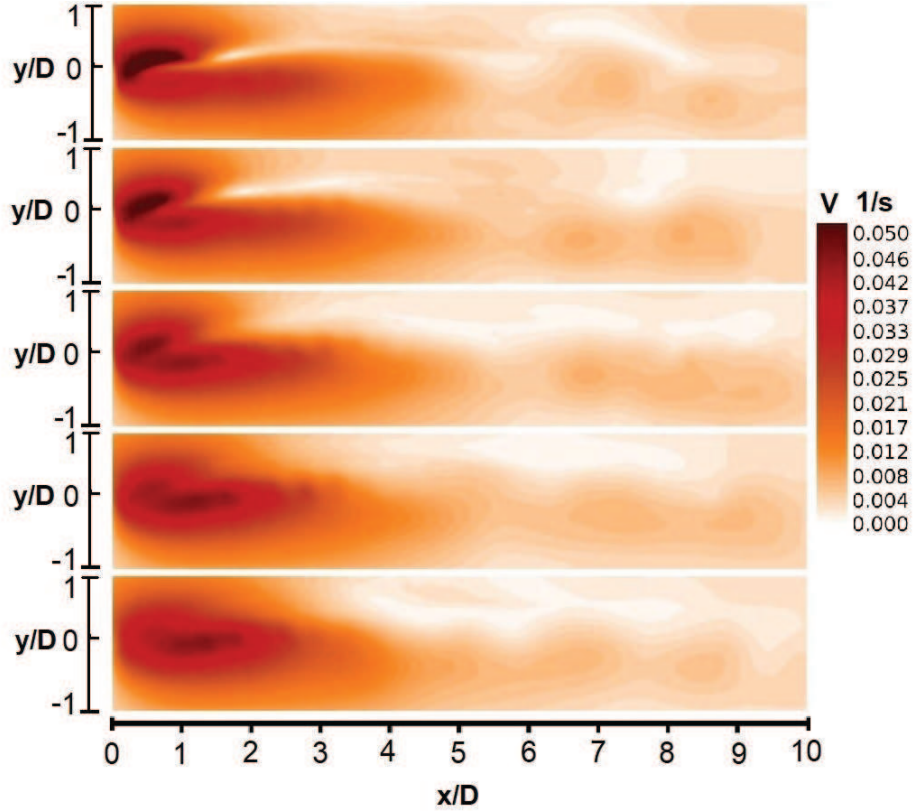


Figure 5: Normalised near bed velocity at $z/D=0.01$ downstream of the hydrokinetic turbine induced by a fully developed wake. The position of the rotor (and the centreline) is at $x/D=0$, $y/D=0$ and, from top to bottom $z/D=0.525$, 0.55 , 0.575 , 0.6 and 0.625 above the bed.

369 The excess bed shear stress contains high values in the region immediately
370 downstream of the rotor for all investigated positions of the turbine. The maxi-
371 mal value of the excess bed shear stress depends on the proximity of the turbine
372 to the sediment bed. In particular, when the rotor was closer to the sediment
373 bed the excess bed shear stress reached the highest values (white colour in first
374 panel on figure 6). However, the excess bed shear stress effect covered larger
375 areas with increasing distance between rotor and bed. There is also an asymme-

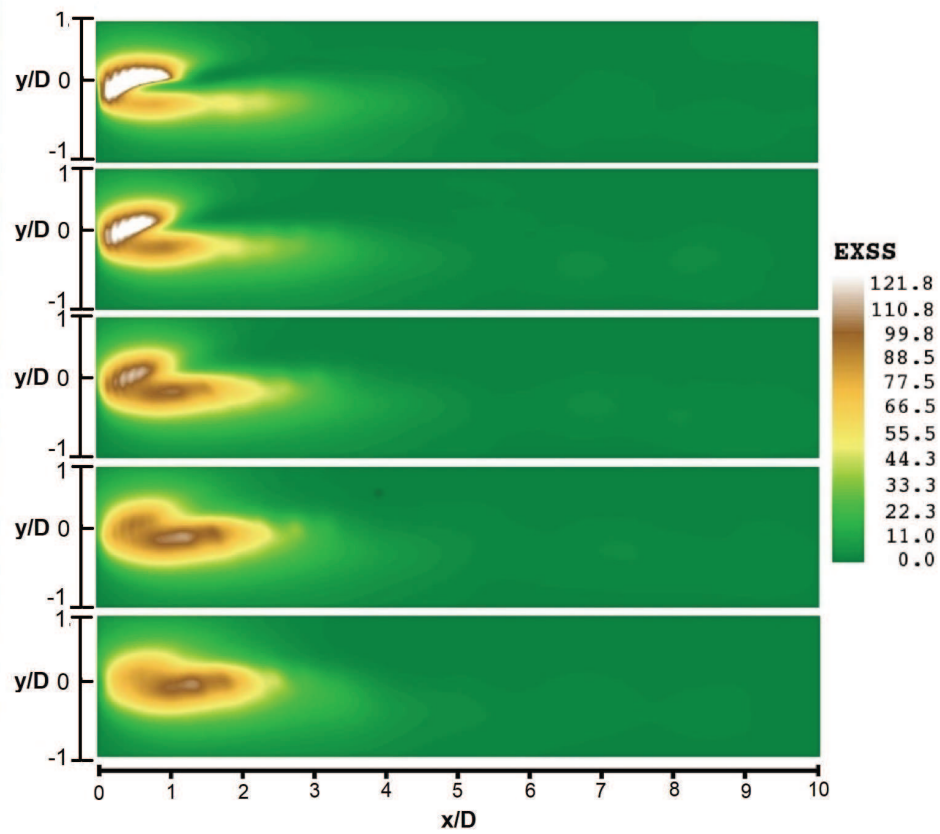


Figure 6: The excess bed shear stress downstream at $z/D=0.01$ of the hydrokinetic turbine induced by a fully developed wake, illustrating the asymmetry of the exposed area of the sediment bed. The position of the rotor (and the centreline) is at $x/D=0$, $y/D=0$ and, from top to bottom $z/D=0.525$, 0.55 , 0.575 , 0.6 and 0.625 above the bed.

376 try of the excess bed shear stress along the y axis with the highest magnitudes
 377 to the positive side, $y/D > 0$. This asymmetry reduces with increasing distance
 378 between rotor and bed, but it still remains evident at $z/D=0.625$.

379 3.2. Hydrodynamics from PIV experiments

380 The measurements for this section were collected above a fixed rough bed.
 381 The profile of the free-stream flow speed (U_p) when the turbine was not present
 382 in the channel is shown in figure 7. The profile is time averaged over the full cap-
 383 ture period (107 seconds), and spatially averaged between $x/D=0$ and $x/D=4$
 384 downstream of the rotor, on the centreline. Figure 8, with the turbine in the
 385 flume, shows flow structure maps for the streamwise u velocity component at
 386 $y/D = -0.25$ (Fig. 8a), $y/D=0.25$ (Fig. 8b) and the difference between both
 387 sides (Fig. 8c). There is a less well-defined wake on the $y/D > 0$ side because
 388 the wake structure dominates the interaction with the near-bed flow due to
 389 the slower near-bed flow below the wake from $x/D=2.5$ downstream. In addi-
 390 tion, there is increasing transverse asymmetry in the lower wake as the distance
 391 downstream increases which is clearly seen in figure 8c.

392 The velocity deficit profiles at $x/D=2, 3$ and 4 (downstream) for both sides
 393 $y/D \pm 0.25$ and their corresponding differences are shown in figure 9. Differences
 394 were calculated as the positive side ($y/D = 0.25$) minus the negative side ($y/D =$
 395 -0.25). The streamwise velocity deficit, U_d is a non-dimensional number that
 396 is relative to the free-stream flow speed through the channel U_p , and the wake
 397 velocity U_x :

$$U_d = 1 - \frac{U_x}{U_p} \quad (10)$$

398 The results showed significant differences in the wake velocity deficit on
 399 either side of the channel centreline, $\pm y/D$. There was a slightly greater accel-
 400 eration of flow beneath the wake on the $y/D < 0$ side of the channel (Fig. 9a).
 401 This acceleration resulted in negative velocity deficit values near the bed. At
 402 $x/D=3$ there was a significant velocity recovery although differences between

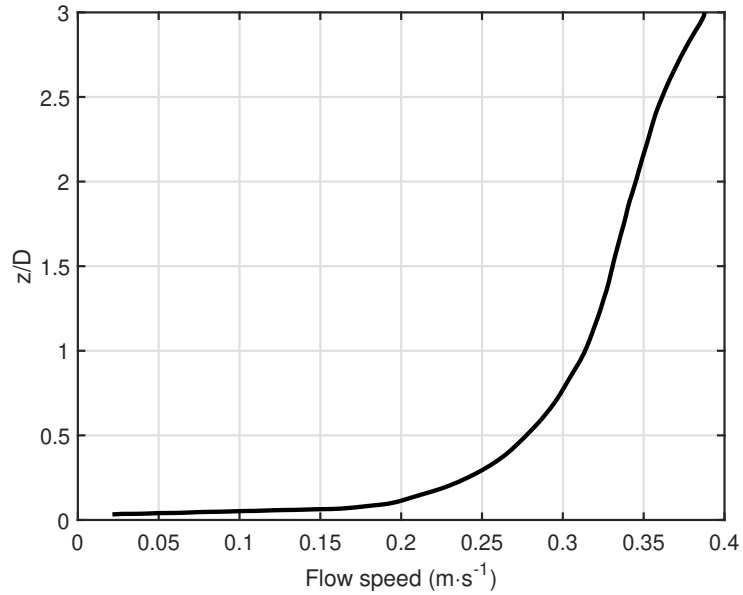


Figure 7: Profile plot of the mean streamwise flow, U_p , in the channel without the tidal stream turbine present.

403 cross-stream sides increased below the rotor elevation (Figs. 9d-9f). Neverthe-
 404 less, there is a wake recovery close to the bed at $x/D=4$ with higher velocity
 405 deficit on the $y/D>0$ side of the centreline (Fig. 9c).

406
 407

408 Turbulent kinetic energy k was also obtained from PIV measurements as:

$$k = \frac{1}{2} \left(\overline{u'^2} + \overline{v'^2} + \overline{w'^2} \right) \quad (11)$$

409 where $u', v',$ and w' denote fluctuations of the streamwise u , cross-stream v
 410 and vertical w velocity components, respectively. The turbulent kinetic energy
 411 shows an increase in magnitude in two thin regions of flow extending down-
 412 stream from the location of the TST rotor tips (Figure 10). These then expand
 413 vertically and increase in magnitude with distance downstream. The expansion
 414 in the $y/D>0$ side appeared from the near wake region in a circular area at a
 415 $x/D=1$ location. This area does not exist in the $y/D<0$ side. However, the

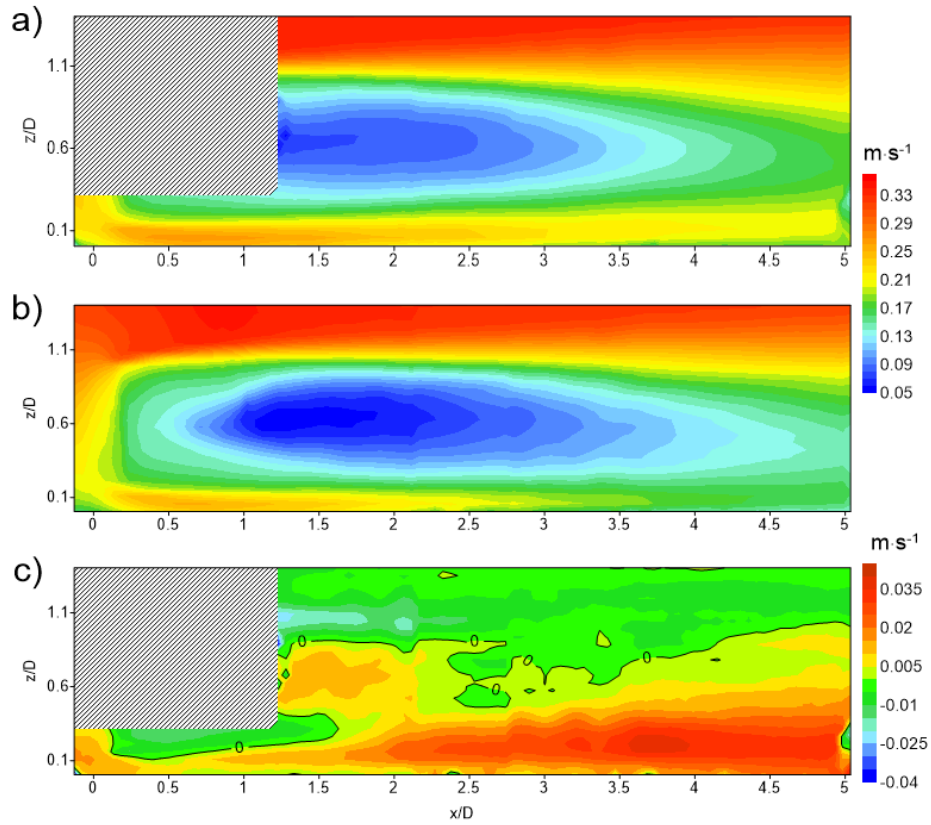


Figure 8: Flow structure maps for streamwise velocity component u with the turbine in the flume: a) $y/D = -0.25$ and, b) $y/D = 0.25$, and c) difference of (a) minus (b). Scales on the axes are normalised to rotor diameters (0.2 m). Areas covered by upward diagonal line shading indicate areas where data could not be collected as the camera image was obstructed by the rotor and housing or the flume support structure.

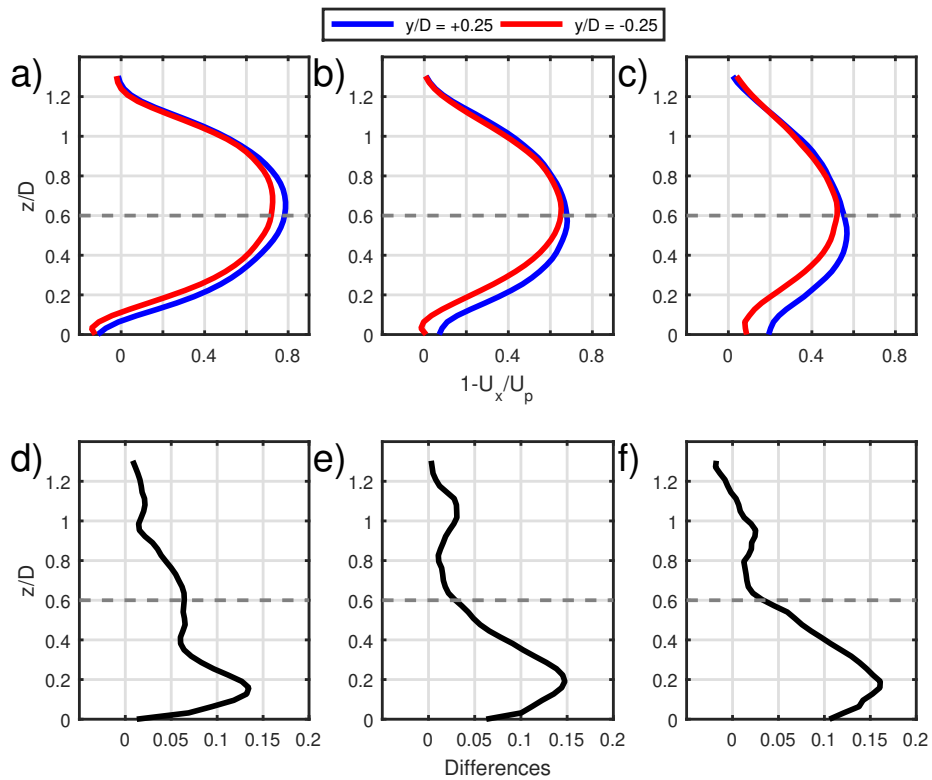


Figure 9: Velocity deficit ($1 - U_x/U_p$) profiles at different downstream positions from the turbine: a) $x/D = 2$, b) $x/D = 3$, and, c) $x/D = 4$. Lower panels show differences between profiles at the corresponding positions as in upper panels. Scales on the vertical axes are normalised to rotor diameters (0.2 m). Rotor is positioned at $z/D = 0.6$ (dashed line).

416 areas of high turbulent kinetic energy at the far wake from about $x/D=2$ can
 417 be vertically distinguished. High magnitudes prevailed in the upper half at both
 418 sides but slightly highest values were present in the $y/D>0$ side.

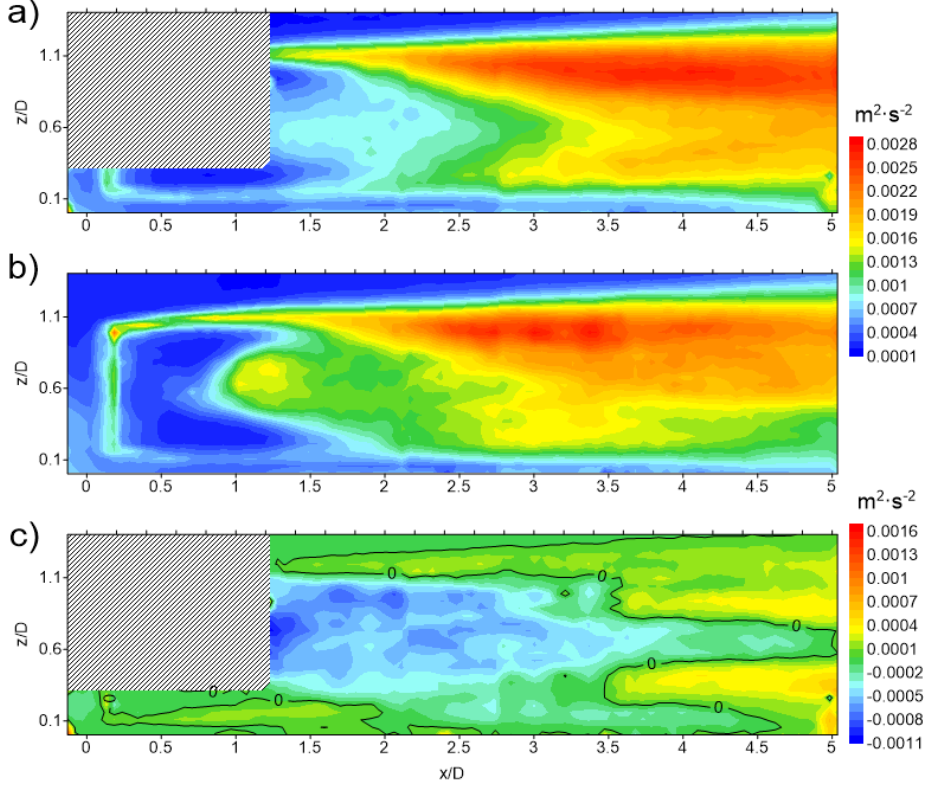


Figure 10: Flow structure map for turbulent kinetic energy k at: a) $y/D = -0.25$, b) $y/D = 0.25$, and c) difference between (a) minus (b). The axes are normalised to rotor diameters (0.2 m). Areas covered by upward diagonal line shading indicate areas where data could not be collected as the camera image was obstructed by the rotor and housing or the channel support structure.

419 The corollary of these observations is that the asymmetric acceleration of
 420 flow below the rotor tip causes lateral differences in the shear between the wake
 421 and the flow beneath the turbine. This causes greater turbulence production
 422 in the lower wake on the negative side of the centreline, which increases mixing
 423 within the flow, leading to faster wake recovery and therefore a reduced recovery
 424 length. This is supported by turbulence intensity (streamwise component
 425 of the turbulent kinetic energy), I , exhibiting a significant decay from the pos-

426 itive, $y/D=0.25$, to the negative side, $y/D = -0.25$, of the centre line (Figure
 427 11). Note that upstream values of I are in the expected value of $\sim 10\%$. The
 428 turbulence intensity was calculated as:

$$I = \frac{\sqrt{u'^2}}{U_x} \quad (12)$$

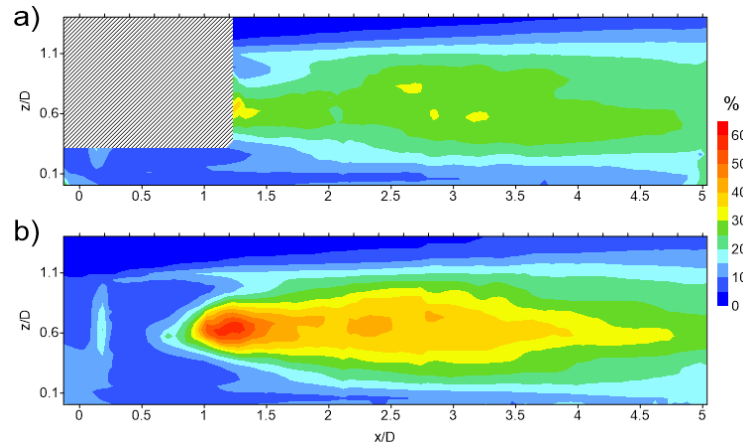


Figure 11: Turbulence intensity, I , based on equation 12 at: a) $y/D = -0.25$, b) $y/D = 0.25$. The axes are normalised to rotor diameters (0.2 m).

429 3.3. Sediment dynamics

430 The bed morphologies before and after installation of the TST and their
 431 differences are shown in figure 12. At the end of the stabilisation period (five
 432 days) shown in figure 12a, small linguoid ripples were formed which correspond
 433 to high energy environments (Reineck and Singh, 1975). Even though there is
 434 a transverse asymmetry in the sediment bed prior to introducing the TST, it is
 435 smaller than differences due to the presence of the TST. This effect is likely due
 436 to some imperfections of the initial sediment bed since there was not important
 437 cross-stream differences in the flow (see figure 13 in Ramírez-Mendoza et al.,
 438 2018). A significant rearrangement of the sediment bed is clearly seen after the
 439 installation of the modelled TST (Fig. 12b). In particular, an important scour
 440 (shown in light blue in figure 12b) behind the rotor is present at the centreline

441 from $x/D=0$ to $x/D=1$ in the streamwise direction. The erosion expands into a
442 “V” shape to $y/D=-2$ and up to $y/D=3$ in the cross-stream direction. At the
443 centreline and beyond $x/D=1$ in the streamwise direction, an area of important
444 deposition (green to red in figure 12b) is present and represents one of the regions
445 with maximum height within the measured area. A particularly interesting
446 feature of this structure, further discussed in section 4, is the asymmetric form
447 which shows a skew to the $y/D > 0$ side of the flow. Scour on this side of the
448 channel, $y/D > 0$, is generally more important than that on the negative side,
449 $y/D < 0$. There are other areas with important sediment deposition at the far
450 wake (at $x/D=5$, $y/D=-2.8$) and upstream (at $x/D=-0.5$, $y/D=-2$)
451 with the latter the most important of the measured area. Figure 12c shows that
452 the most important changes between both conditions occurs in the $y/D > 0$ side
453 where areas of significant deposition and erosion can be distinguished.

454 Suspended sediment concentration, SSC, measured by the BASSI instru-
455 ment showed transverse differences in the far wake region. Figure 13 shows
456 SSC vertical cross-stream ratio C/C_o , where C and C_o denote conditions with
457 and without the rotor, respectively, at along channel locations from $x/D=5$ to
458 $x/D=15$. Results are plotted in a base 10 logarithmic scale for a better ob-
459 servation of the differences. This means that a value of 1.5 indicates that SSC
460 with the rotor in the flume was 30 times higher than SSC without the rotor.
461 A zero value results if no change occur between both conditions while a -0.5
462 value means that SSC diminished (0.31) with the rotor in the flume. The figure
463 shows a non-uniform cross-stream suspended sediment distribution in all cases.
464 Two maxima can be identified at each side in all panels but at non-symmetrical
465 locations. In three cases, $x/D=7.5$ to 12.5 , these maxima were located con-
466 sistently at nominally $y/D=-0.5$ and $y/D=1.5$. For the other cases the
467 maxima for $y/D > 0$ side varied its location. The closest, $x/D=5$, and farthest,
468 $x/D=15$, presented maxima at $y/D=0.5$ and $y/D=1$, respectively. On these
469 same x/D locations but on the other side, $y/D < 0$, maxima were found at the
470 same $y/D=-1.5$ location.

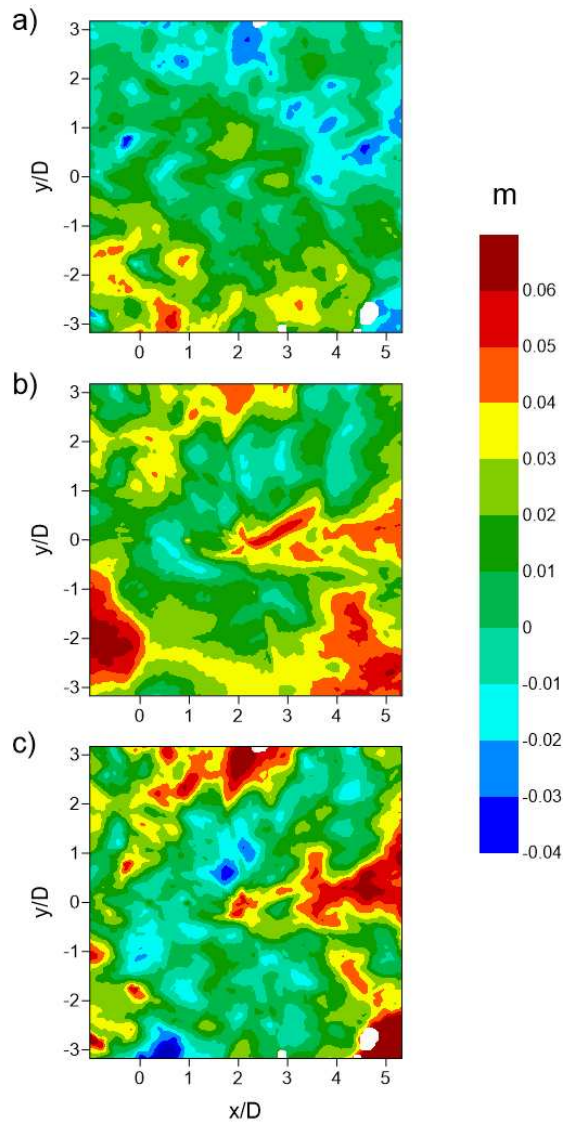


Figure 12: Sediment height relative to the initial surface sediment bed (0.1 m above the flume floor). a) Morphology after bed stabilisation without the rotor and before the experiments. b) Morphology at the end of the experiments with the rotor installed at $x/D = 0$, $y/D = 0$ location. c) Difference between both conditions.

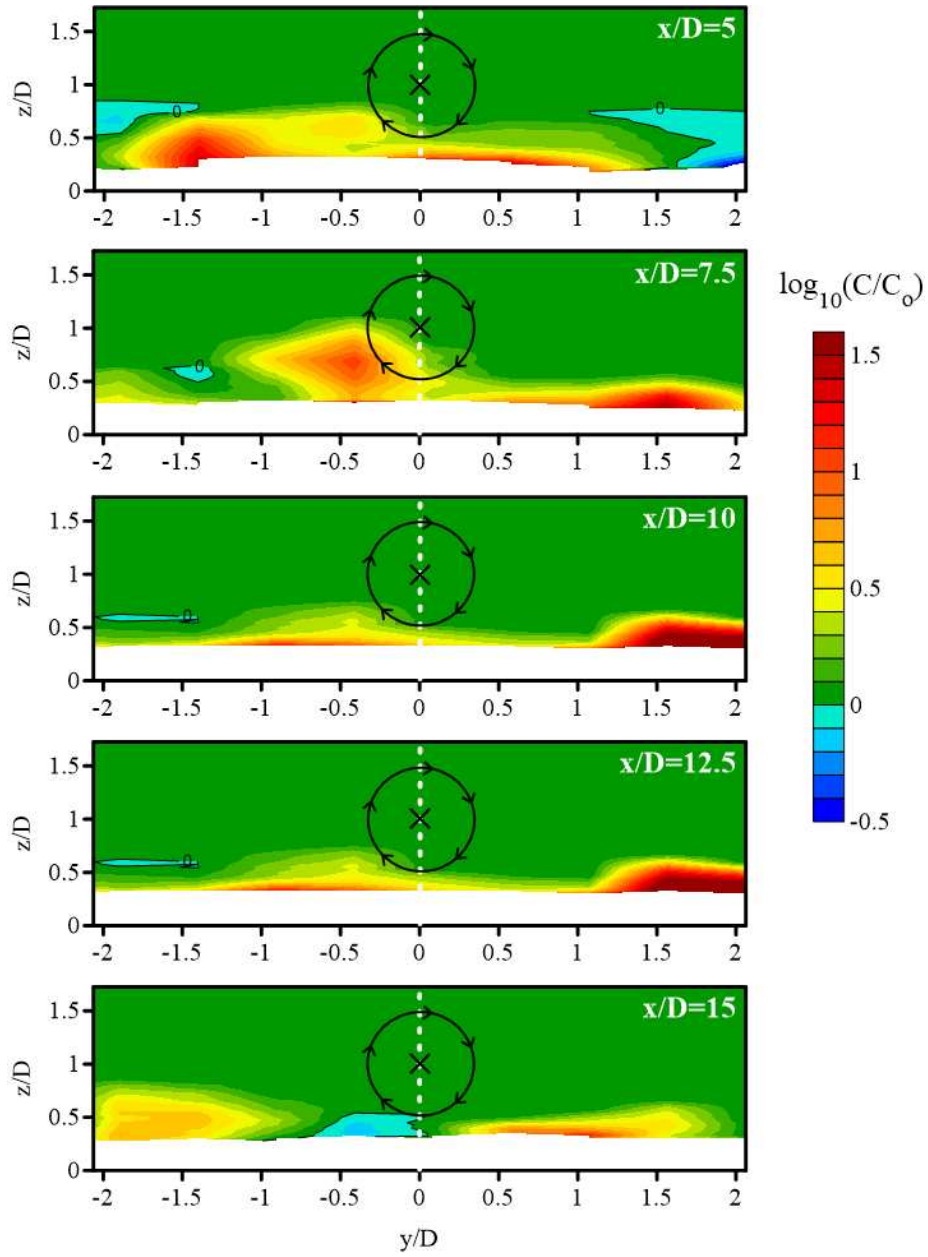


Figure 13: Vertical cross-stream of the base 10 logarithm of the ratio, C/C_o , where C is SSC conditions with rotor and C_o without rotor in the flume. The centreline is marked by the white dashed line at $y/D = 0$, the position of the rotor by the black cross while the black circle with arrows indicates the direction of the rotor gyre.

471 **4. Discussion**

472 Different methods were used in this investigation to examine the asymmetry
473 in the flow and the bed responses, which could be relevant to the deployment of
474 single or arrays of hydrokinetic turbines. Measured and modelled hydrodynamic
475 features showed important differences which increased significantly close to the
476 bed and also impacted asymmetrically on the sediment bed and suspended sed-
477 iments.

478 The results show cross-stream asymmetries due to a modification of the flow
479 field because of the presence of the rotor. The flow was greater for $y/D < 0$
480 than for $y/D > 0$ after $x/D = 1$ according to both numerical modelling and PIV
481 measurements, figures 5 and 8, respectively. This led to a higher turbulent
482 kinetic energy and mixing for $y/D < 0$ than for $y/D > 0$. There was a faster
483 recovery on the $y/D < 0$ which can also be seen in figure 12b where the “V”
484 shape is longer for $y/D > 0$. The effect of the bed shear stress reached a greater
485 area in the streamwise direction (Fig. 6). Far from the rotor, from $x/D = 5$,
486 sediment resuspension is also more effective for $y/D < 0$ which is consistent with
487 modelling results within the domain, $-1 \leq y/D \leq 1$. It is important to note
488 that beyond the model domain there were areas with important SSC mainly at
489 $y/D > 1$ and $5 < x/D < 15$.

490 The horizontal distribution of the asymmetry in the near-bed flow velocity
491 increases with decreasing the distance between the rotor and the sediment bed
492 (Fig. 5). This result suggests that the relationship between the distance of
493 the turbine from the sediment bed and the excess bed shear stress is strongly
494 influenced by the asymmetry of the turbine wake. Indeed, the close proxim-
495 ity of the sediment bed caused the otherwise ordered helical structure of the
496 wake immediately downstream of the rotor to become skewed resulting in an
497 asymmetric wake near the turbine (i.e. a distortion from a cylindrical struc-
498 ture). An important result from the present study is that the excess bed shear
499 stress when the turbine is placed closer than $z/D = 0.625$ to the sediment bed
500 is influenced by the wake asymmetry as shown in figure 6. Indeed, the ordered

501 helical vortical structure that induced strong currents when the turbine was
502 placed further above the sediment bed is disrupted by the close vicinity of the
503 sediment bed. The disrupted vortical structure induces an asymmetric veloc-
504 ity field near the sediment bed, effectively reducing the impact of the device
505 on the sediment deposits. In the far wake area there are cross-stream down-
506 stream velocity asymmetries, although overall of a smaller magnitude than for
507 the near wake according to numerical modelling (Fig. 5). To a large extent,
508 modelling and experimental results are in qualitative agreement. Nevertheless,
509 some characteristics still need further study, for example the effect of the bed
510 forms (ripples) on the flow field.

511 Some results of the present investigation are consistent with previous studies.
512 The vortex three-dimensional flow features are similar to those described by
513 Leishman (2006), Pinon et al. (2012), and Mason-Jones et al. (2013). The
514 inclination of the helical structures has been attributed to the effect of the
515 sediment bed (Vybulkova, 2013; Vybulkova et al., 2016), and also to an upward
516 flow behind the turbine (Mason-Jones et al., 2013). Regarding asymmetries,
517 several studies have found clear differences although not much attention has
518 been given to them. Important asymmetries in the downstream shear stress
519 and streamwise velocity were found by Myers and Bahaj (2012) and Tedds
520 et al. (2014), respectively. Slight asymmetries in velocity deficit have also been
521 recorded by Stallard et al. (2013, 2015).

522 To the present authors knowledge, there is no study focused on asymmetric
523 impacts of TSTs on suspended sediments and sediment bed morphology. Even
524 though some asymmetry can be observed in the results by Hill et al. (2014), their
525 study focused on the scour and in a more recent work on bed form changes at the
526 centreline (Hill et al., 2016). The present study, which we believe to be the first
527 experiments analysing the three dimensional bed changes and three-dimensional
528 sediment resuspension changes due to a TST, complements and extends these
529 earlier reported studies. The results indicate that in the near wake area the bed
530 forms are almost replaced with well-defined scour and deposition areas similar
531 to those found by Hill et al. (2014). Also, the bed form characteristics are

532 slightly smaller, though comparable, with those by Hill et al. (2016), despite
533 the different experimental setups. Although the experiments were not designed
534 to be an exact scale model, significant features can be found in nature. In terms
535 of the flow, a $Re=10^6$ is expected in real dimensions. Taking into account a
536 median grain size of about $355 \mu m$, corresponding to the used sand, and the
537 friction velocity reported in Ramírez-Mendoza et al. (2018), the resulting Rouse
538 number of ~ 2.6 indicates bed load transport which is also expected in a real TST
539 deployment. Therefore, it is considered that the resulted scour and deposition in
540 the near wake area found in the present investigation may develop at full scale.
541 However, as yet there is not the data available to make such a comparison.
542 These findings confirm the need to include sediment transport processes in the
543 entire surrounding area of a TST.

544 **5. Conclusion**

545 The present work combined output from computational fluid dynamics, PIV
546 hydrodynamics, suspended sediments and bed forms observations in order to
547 study the asymmetry due to the presence of a hydrokinetic turbine upon the
548 flow and sediment processes. Taken individually the impact of asymmetry may
549 appear subtle, however, collectively the combination of numerical modelling,
550 PIV flow, suspended sediment and bed form studies provide strong evidence for
551 asymmetry and its impacts. Numerical simulations clearly show a cross-stream
552 difference in streamwise near bed velocity up to a distance of 10 diameters from
553 the turbine. A similar feature was also found using particle image velocimetry
554 measurements in the near wake region and is likely the cause of accretion on
555 one side of the channel and erosion in the other. Additionally, the excess bed
556 shear stress needed for the erosion of the sediment bed was also calculated
557 and showed a maximum for $y/D > 0$ domain in the near wake area, which was
558 also seen in the experiments in the scour behind the rotor. Initial bed forms
559 patterns were altered by the streamwise velocity with the TST present. The
560 smaller velocity magnitude for $y/D > 0$ allowed for the formation of ripples,

561 while for $y/D < 0$ presented a different distribution. PIV measurements also
562 showed non-symmetrical streamwise velocities mainly near the bed. However,
563 velocity deficit calculations revealed important asymmetries at the height of the
564 rotor and, downstream as far as four rotor diameters. In the far wake area, the
565 sediment resuspension was characterised by localised near bed maxima around
566 $y/D = 1.5$ for $y/D > 0$, while for $y/D < 0$ the location of the near bed maxima was
567 more variable. This pattern could be related to near bed streamwise velocity
568 found in the numerical simulations. Overall, this study shows asymmetries in
569 both flow and sediment dynamics that covered the entire area of the channel
570 bed.

571 The present study shows the wake asymmetric effects of a hydrokinetic tur-
572 bine alone. Contrary to the commonly used simplification of regular and uniform
573 characteristics of the flow field or the sediment bed, real deployment sites would
574 exhibit natural spatial heterogeneity, which could enhance asymmetries in both
575 hydrodynamics and sediment dynamics. Another intriguing effect would be the
576 overall result due to an array of TSTs, and how individual asymmetries aggre-
577 gate and interact. The non-uniform flow could affect TSTs performance located
578 downstream in a similar way to that described for flow asymmetries by Piano
579 et al. (2017). The asymmetric scour patterns have also been identified by Hill
580 et al. (2014) and Chen et al. (2017), but the possible effects on downstream
581 device foundations are still unknown. The impact on the environment due to
582 TSTs arrays has been studied with a numerical model by Ahmadian and Fal-
583 coner (2012). The authors simulate the TSTs as drag forces and therefore a dif-
584 ferent result could be expected if the blade rotation effect is taken into account.
585 Our study shows a range of asymmetry features that need further investigation
586 to assess their possible impacts on TSTs performance and the environment.

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