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Aligning functional network constraint to evolutionary outcomes

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Abstract

Background. Functional constraint through genomic architecture is suggested to be an important dimension of genome evolution, but quantitative evidence for this idea is rare. In this contribution, existing evidence and discussions on genomic architecture as constraint for convergent evolution, rapid adaptation, and genic adaptation are summarized into alternative, testable hypotheses. Network architecture statistics from protein-protein interaction networks are then used to calculate differences in evolutionary outcomes on the example of genomic evolution among yeast, and the results are used to evaluate statistical support for these longstanding hypotheses.

Results. A discriminant function analysis lent statistical support to classifying the yeast interactome into hub, intermediate and peripheral nodes based on network neighborhood connectivity, betweenness centrality, and average shortest path length. Quantitative support for the existence of genomic architecture as mechanistic basis for evolutionary constraint is then revealed through utilizing these statistical parameters of the protein-protein interaction network in combination with estimators of protein evolution.

Conclusions. As functional genetic networks are becoming increasingly available, it will now be possible to evaluate functional genetic network constraint against variables describing complex phenotypes and environments, for better understanding of commonly observed deterministic patterns of evolution in non-model organisms. The hypothesis framework and methodological approach outlined herein may help to quantify the extrinsic versus intrinsic dimensions of evolutionary constraint, and result in a better understanding of how fast, effectively, or deterministically organisms adapt.

Keywords: evolution, constraint, adaptation, systems biology

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38 Background

39 40 Genetic constraint and evolutionary outcomes

41 Understanding the nature of the genetic dimension of evolutionary constraint is crucial to understanding
42 adaptation and other repeatedly observed outcomes of evolution such as convergent phenotypes, rapid
43 adaptation, or genic evolution (see Glossary in Table 1). For example, divergent genetic populations of the
44 well-studied Caribbean lizard *Anolis cybotes* [1] have nonetheless evolved convergent phenotypic,
45 ecological, reproductive, and physiological adaptations to high elevations on three separate mountain
46 chains, which is mirrored by genomic adaptations in a subset of genes [2–5]. These observations made in
47 natural populations suggest that the variants available to mutation and selection may be constrained at the
48 genomic level, enabling faster adaptations and higher rates of convergent evolution than it were possible
49 without constraint.

50 Many studies have shown the non-independence of genes from one another, be it through physical linkage,
51 phylogenetic relationship (e.g., in the case of whole genome duplications), or functional interaction (Figure
52 1). Futuyma [6] cited Schluter [7], noting that correlations between genes could reduce the degrees of
53 freedom on which selection can operate. Mayr [8] stated that “coadapted” genes are a result of natural
54 selection, being brought together to form a “balanced system”, but ruled out that such gene complexes
55 would be of any interest to evolutionary biology, as ultimately only the complete phenotype is selected ([8],
56 p.184ff). Nonetheless, evolutionary trajectories of complex phenotypes have been extensively studied
57 through the concept of the genetic variance-covariance or G-matrix [9]. Some mechanistic properties of the
58 genome leading to these constraints that can be expressed as a G-matrix are trait polygeny, trait pleiotropy,
59 and linkage (Figure 1, [10]), but the evolutionary constraints of correlated traits implied from a G-matrix
60 can be rapidly overcome in only a few generations [11], hinting at additional genomic properties influencing
61 evolutionary constraint. A more detailed look into the mechanistic basis of constrained phenotypic
62 evolution at the molecular level is therefore necessary, and now made possible through the rapid
63 accumulation of genomic and other molecular -omics data sets in the public domain.

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4 **65 Genetic constraint through gene functional importance**

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6 66 The functional importance of a gene has long been thought to cause such evolutionary constraint at the
7
8 67 molecular level: protein-coding genes that are indispensable for the organism should be highly conserved
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10 68 and thus, be constrained through evolution, as most nonsynonymous mutations would be detrimental to
11
12 69 protein function and thus would most likely result in non-adaptive phenotypes. Consequently, these genes
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14 70 should have a lower rate of molecular evolution. Such genes have formerly been identified through their
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16 71 “dispensability”. This term describes how essential genes are for organismal function within a certain
17
18 72 environmental context, which can be estimated through knockout experiments (Table 1).
19
20 73 Zhang and Yang [12] reviewed evidence from empirical studies, but found that essential genes are not
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22 74 evolving more slowly than nonessential genes. Instead, highly expressed genes seem to have lower rates of
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24 75 protein evolution (dubbed the “E-R anticorrelation” [13, 14], which some authors relate to translational
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26 76 selection on amino acids with different metabolic cost [13]. Many studies have ascribed an important role
27
28 77 to gene expression levels in constraining evolutionary rate of proteins [15–17]. But perhaps, functional
29
30 78 importance needs to be defined differently than via gene essentiality or dispensability, and expression level
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32 79 may be a correlative variable linked to another cause. In *Saccharomyces cerevisiae* (in following: yeast),
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34 80 which was used for many studies on protein evolutionary rate and functional importance, essential genes
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36 81 are required for organismal growth and performance under optimal environmental conditions. A gene that
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38 82 renders an organism nonfunctional may thus predominantly be active in genetic pathways related to
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40 83 development and growth. However, in a multicellular organism such as a vertebrate, also the genes that are
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42 84 essential for organismal viability and reproduction are of high functional importance, and potentially could
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44 85 be under evolutionary constraint [18], such as in the example of genes coding for eye color determining
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46 86 mating success in *Drosophila melanogaster* [19]. A high proportion of the human genome has also been
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48 87 found to be under selective constraint in other mammals, indicating that gene dispensability is not a binary
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50 88 variable [20]. As reviewed by Zhang and Yang [12], Wilson et al. [21] suggested that evolutionary rate
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52 89 might be determined by both functional importance and functional constraint [12, 21]. If functional
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54 90 importance measured as (negative) gene dispensability does not predict variations and constraints of
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91 evolutionary rate, perhaps functionally more constrained genes are the ones evolving slowly. Prior studies
92 have attempted to identify functional constraint in terms of which sites within a protein are essential for
93 performing its function, called protein functional site constraint in Figure 1. The Neutral Theory [22]
94 already identified codon constraint where nonsynonymous mutations are of larger consequence than
95 synonymous ones as being important for evolution (Figure 1).

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97 **Genetic constraint through gene pleiotropy and network architecture**

98 During the recent decades, network thinking has emerged as a powerful approach for better understanding
99 biological realities [23]. The network concept might also have deep implications in evolutionary biology.
100 Gene interaction networks were found to evolve either faster or slower than comparable genes functioning
101 without being connected to others [24–27], and gene regulatory circuits convergently evolve in the absence
102 of shared ancestry [28]. The overall network architecture or hierarchy of genes within the network is likely
103 to contribute to the speed and mode of evolution and the phenotype components associated with it, regulated
104 through functional constraint of nodes within the network [23]. For example, a study by Jeong and
105 colleagues [29] found that genes with many functional interaction partners are also likely to be essential,
106 which, however, does not provide enough evidence to extrapolate directly from functional constraint to
107 evolutionary outcome.

108 Functional genetic network structure has been shown to affect evolutionary outcomes through “gene
109 pleiotropy” in yeast: gene products that interact with many others are thought to be involved in many
110 cellular pathways and by that means, to have multiple (pleiotropic) effects on the cellular function [30, 31].
111 Fitness effects of mutations in pleiotropic genes could be partitioned across several phenotypic components,
112 increasing the likelihood of maladaptive effects, which means that they should be more conserved through
113 evolution and evolve more slowly [30]. However, it is important to note, that despite that a connection
114 between pleiotropic gene and pleiotropic phenotype was implied in these studies [30, 31], gene pleiotropy
115 or the number of functional connections a gene has with others should be regarded as a distinct concept
116 from phenotypic pleiotropy, unless such a relationship to pleiotropic phenotype has been demonstrated.

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4 117 Proteins with many interactants may be constrained in the evolution of their functional sites to instances of
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6 118 co-evolution with the interactant genes, in order to maintain their functionality [32]. A corresponding model
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8 119 of evolutionary constraint on evolution through gene pleiotropy that was explicitly based on functional
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10 120 network node hierarchy within an interactome was proposed by Pavlicev and Wagner [33]. They argued
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12 121 that for genetic adaptation in a target gene to happen, selection has to overcome the inertia generated
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14 122 through stabilizing selection of the genes functionally connected with the target [33]. The premise of this
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16 123 model is that any change in genotype-phenotype interaction represents a change in a developmental
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18 124 pathway and, due the position of a gene within a network, will have pleiotropic effects on the phenotype
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20 125 [33]. For example, most pleiotropic genes with many interaction partners only had a small pleiotropic effect
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22 126 on the phenotype, but some genes with large phenotypic effect were also more pleiotropic [18]. High gene
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24 127 pleiotropy is assumed to have a cost for adaptation, which was explained as nodes central to a network with
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26 128 many interaction partners evolving slower [18, 34]. This idea, dubbed the “cost of complexity” [35] would
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28 129 lead to faster evolution of organisms with less complex genomic architecture due to this constraint being
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30 130 relaxed [18], and to adaptive selection on standing genetic variation preferentially to occur in genes with
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32 131 low pleiotropic effects [36]. Concerning evolutionary outcomes, gene pleiotropy was suggested to limit
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34 132 events of genomic co-evolution [32], genomic adaptation [36], and convergent evolution [36] in nodes
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36 133 central to a network. Consequently, the properties of nodes within a functional genetic network may be
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38 134 informative to understand their evolutionary constraint. However, gene pleiotropy was defined by most of
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40 135 these authors [30–33, 35] as synonymy with number of interactants and with centrality in a network - but
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42 136 looking at more recently generated interactomes, nodes topologically central to the network are usually not
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44 137 the nodes with the highest number of connections. Instead, nodes with most connections are located in
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46 138 intermediate positions within a network [37]. The number of edges of a node consequently, may not be
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48 139 sufficient to disentangle the effects of network structure on evolutionary constraint since it only measures
49
50 140 one of a network’s many properties. The concept of variable genomic networks existing within populations
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52 141 was first explored by Wagner [38] and was represented through a hypothetical “genotype space” of similar
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54 142 phenotypes that might correspond to the concept of “phenotypic optima”. Selection can cause a population
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4 143 to modify their genotype networks in a way that renders them more robust to changes in the fitness
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6 144 landscape.
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9 145 While the concept of network architecture influencing evolutionary outcomes is known from the studies
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11 146 outlined above and from others, in many cases this concept has not been sufficiently transformed into
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13 147 testable hypotheses yet, and correspondingly, no straightforward methodology exists for biologists to test
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15 148 them empirically. **The first aim** of this paper is to deconstruct the abstract concept of gene pleiotropy by
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17 149 setting genomic network architecture in relation to the three evolutionary outcomes: 1) genomic re-use
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19 150 generating convergent phenotypes, 2) the simultaneous occurrence of convergence and divergence within
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21 151 a genome, resulting in genic adaptation, and 3) the speed of adaptation. For this purpose, I propose three
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23 152 categories of nodes with different putative evolutionary trajectories. I set these categories in relation to
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25 153 previously defined hypotheses and expectations aligning functional network constraint to evolutionary
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27 154 outcomes. **The second aim** is to demonstrate how these hypotheses can be quantitatively tested. First, the
28
29 155 nodes of the yeast interaction network are transformed into categories based on network statistical
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31 156 parameters and discriminant function analysis. Secondly, these are then tested for differences of proxy
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33 157 measures of evolutionary outcomes using data from yeast evolution. For this I use published data, which
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35 158 aligns this study to others with similar questions [13, 39, 40] but utilizes a novel approach.
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44 161 **Results and Discussion**

47 162 48 49 163 **Aim 1: Novel node classification scheme based on network statistics**

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51 164 Figure 2 outlines a testable, hypothetical scenario of how functional genetic network architecture could
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53 165 influence evolutionary outcomes: When selection acts upon a population (for example, through a sudden
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55 166 change in climate), advantageous mutations will be selected from standing genetic variation (allele
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57 167 frequencies). A population will have standing genetic variation in different nodes of the network, which is
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59 168 dependent on the topological position of the nodes. Organisms possess a finite subset of biochemical
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4 169 pathways (underlying functional genetic networks) such as those related to temperature homeostasis [41–
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6 170 43], and that align to a finite amount of selected phenotype components. The population must adapt to this
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9 171 newly arising selective pressure through selection of advantageous mutations in one of these subnetworks,
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11 172 but not by selecting mutations in any other subnetwork, as these are unrelated to the stimulus or organismal
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13 173 fitness in response to it, and would therefore not result in adaptation. This does not mean that other
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15 174 subnetworks are not under any selection, or under stabilizing selection for other causes, or that selection on
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17 175 one subnetworks does not influence others, but is a simplification here used for the purpose of classifying
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20 176 nodes. This constrains the number of mutations in the genome that selection will operate on, and thus
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22 177 determines the evolutionary response through genetic constraint. Second, and of high importance for the
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24 178 new classification scheme proposed here, node hierarchy within these subnetworks poses an additional
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26 179 level of constraint: and this additional level reduces the “evolutionary search space” for potential beneficial
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29 180 variants.
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31 181 This can be illustrated through the following hypothetical construct, which reduces network structure to
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33 182 distinct types of nodes. Network nodes, which are functionally important for the operation of the network
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35 183 (hub nodes central to the network - in following **H-nodes**), are less likely to harbor significant genetic
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37 184 variation in first- or second-codon positions or regulatory regions because of their high functional
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40 185 constraint. Consequently, genetic variation, as well as adaptation to an environmental selective pressure,
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42 186 should both be more likely to occur within non-hub nodes within the subnetwork. Nodes with highest
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44 187 number of edges are intermediately positioned within a network (intermediate nodes, in following **I-nodes**)
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46 188 and were shown to have weaker selective constraint [44, 45] than centrally positioned nodes, as they have
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49 189 lower functional constraint than H-nodes. Consequently, they should evolve faster. This assumption differs
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51 190 from the gene pleiotropy hypothesis, which places the highest functional constraint on these nodes.
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53 191 However, because of their high cost of complexity, adaptation in I-nodes should be highly constrained in
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55 192 terms of which genes can adapt (depending on the nature of their functional interactions) and how (through
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57 193 changing the wiring pattern with other nodes). Because of gene pleiotropy, adaptations that do evolve in
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60 194 these nodes should have a larger phenotypic effect, which combined with the reduced possibilities for

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4 195 adaptation, increases the likelihood for convergent evolution in them. Genes peripheral in the network
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6 196 (peripheral nodes, in following, **P-nodes**) have higher degrees of freedom due to the lowest degree of gene
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8 197 pleiotropy and should be able to accumulate genetic variation with least cost. Therefore, the population
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10 198 should already harbor more genetic variation within these peripheral genes on which selection can operate.
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13 199 Change in such nodes however, due to lower gene pleiotropic interactions, would result in less phenotypic
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15 200 effect and thus they are less likely to promote large evolutionary changes. In such nodes, divergence is
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17 201 more likely to accumulate than convergence. The expectation is thus that different node types will differ in
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19 202 standing genetic variation due to the different genetic constraints acting upon them. **H-nodes** will be very
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21 203 strongly constrained and only can accumulate little standing genetic variation, resulting in a low potential
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23 204 for selection to operate on. I-nodes will harbor sufficient standing genetic variation but be under high
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25 205 functional constraint, so that selection can only operate on a limited amount of variants that all have multiple
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27 206 phenotypic effects. In different organisms, the same variants can be selected quickly due to this reduced
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29 207 search space, which leads to parallel genomic evolution resulting in convergent phenotypes. **P-nodes** will
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31 208 be least constrained, allowing a lot of variation but less sweeping phenotypic effects due to lower gene
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33 209 pleiotropy. Selection can operate on multiple variants in these; selective advantages are more likely due to
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35 210 the lower gene pleiotropy in more genes, so selection will less likely lead to convergence. All three
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37 211 evolutionary outcomes can (among other factors such as gene expression levels) be explained with this
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39 212 mechanism of constraint through functional genetic network structure.

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42 213 As Fraser [46] pointed out, objective classification of nodes into any such type of categories is important,
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44 214 and they should reflect true topological properties of an interactome. Therefore, values for three network
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46 215 statistical parameters were obtained from the yeast interactome whose definition corresponds to the above
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48 216 outlined node types. Those parameters were average shortest path length (maximal in peripheral nodes),
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50 217 neighborhood connectivity (maximal in nodes intermediate to the network), and betweenness centrality
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52 218 (maximal in nodes connecting subnetworks). Maximal values for each statistical parameter were used to
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54 219 bin nodes into P, I and H nodes, and a Discriminant Function Analysis yielded significant support for the
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56 220 allocation of network statistical parameters to these **P, I, and H** node categories (Figure 3, Table 3). To

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221 explore the network position of nodes that have undergone convergent adaptation, yeast ORF IDs that were
222 demonstrated experimentally to show convergent genomic adaptation in independent experiments, strains,
223 or species of yeasts were identified from the literature (66, 74-78), Table 4). These nodes were classified
224 as (**C-nodes**).

225 All network statistical parameters significantly differed between node categories, as shown with Kruskal-
226 Wallis tests: Average shortest path length: KW-H (3,2208)=1220.590, $p < 0.0001$; neighborhood
227 connectivity, KW-H(3,2204)=926.571, $p < 0.0001$; betweenness centrality KW-H(3,2208)=293.849,
228 $p < 0.0001$ (Figure 4). Fraser’s [46] first exploration of the influence of modules within genomes and their
229 hub nodes (called “modularity”) found that the rate of protein evolution is faster in intramodule hubs (nodes
230 that link genes with high co-expression in response to a stimulus) compared to intermodule hubs (linking
231 low genes with low co-expression in response to a stimulus, defined after [47]). The node classification
232 scheme of Fraser [46] was based on gene co-expression and not on node topology, and gene co-expression
233 in response to a stimulus followed a bimodal distribution. In this contribution, **I nodes** instead have the
234 highest number of edges and connect sub-networks, but are not defined with respect to their expression.

235

Aim 2: Genetic constraint and network architecture influencing evolution

236 A recent paper published by Schoenrock et al. [39] uses a data set of 4,179 protein-coding genes (sourced
237 from [13, 40] to investigate the involvement of network structure in protein evolution. This data set was
238 generated for five species of yeasts (*Saccharomyces cerevisiae*, *S. paradoxus*, *S. bayanus*, *S. kudriavzevii*,
239 and *S. mikatae*). The study compared a quantitative variable related to network structure (computationally
240 predicted re-wiring of nodes through evolution γ), with an estimator of protein evolutionary rate on nodes
241 (substitution rate ω , measured as dN/dS). The authors find that the degree of rewiring of nodes across the
242 phylogeny is only poorly associated with evolutionary sequence divergence, but nodes with very low
243 evolutionary rate had high variability of rewiring scores, which indicates that changing gene interactions is
244 an important mechanism how functionally constrained genes may evolve. While the study remained
245 somewhat inconclusive about the influence of network structure and node rewiring on protein evolution,

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4 247 the data contained within it, combined with additional data, allow demonstrating a test of the hypotheses
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6 248 outlined above using the new node classification scheme (The results are compared to previous studies in
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9 249 Table 2).

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11 250 To test the influence of functional network constraint on the evolutionary outcomes rapid adaptation and
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13 251 convergent evolution, as well as the important factor of gene expression, I rearranged and expanded on this
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15 252 [39] data set (see Methods). I then tested, how network statistical parameters relate to estimators of
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17 253 evolutionary parameters ω , γ , and CAI. The amount of mRNA produced by each gene in regular somatic
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19 254 cells can be estimated by CAI (Codon Adaptation Index) which is derived from codon use bias in yeast that
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21 255 correlates with mRNA levels (based on [48, 49]). First, a general linear model was run with evolutionary
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23 256 parameters as dependent variables, and network parameters as predictor variables. All three network
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25 257 statistical parameters were found to significantly predict estimators for evolutionary outcomes (Table 5).
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28 258 All node categories have significantly different values for ω (KW-H(3,2204) = 20.1345, $p = 0.0002$), CAI
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30 259 (KW-H(3,2195) = 26.1472, $p = 0.00001$) and γ (KW-H(3,2195) = 36.7936, $p = 0.00000$), as shown by
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32 260 Kruskal-Wallis tests (Figure 5).
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37 262 With regards to **rapid adaptation**, Figure 5 shows that the highest values of ω are found both in P and I-
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39 263 nodes with almost identical median values (0.93 vs. 0.91), and the lowest values were found in H nodes.
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41 264 This shows that nodes located less centrally in the network evolve faster than other nodes, but does not
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43 265 identify peripheral nodes as adapting particularly fast. CAI increases towards the center of the network,
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45 266 with mRNA expression level being highest in hub nodes. Network node hierarchy may therefore be able to
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47 267 explain the E-R anticorrelation (gene expression levels being negatively correlated with evolutionary rate
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49 268 [12]. H-nodes connect various subnetworks with one another, and thus are likely to be involved in more
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51 269 diverse functions (which might be partitioned across different tissues, processes or life history phases), than
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53 270 nodes more peripheral in a network (Figure 5, [47]). Such common functions may require a high amount
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55 271 of product, which may translate into high levels of mRNA expression in these nodes. γ is highest in P and
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57 272 I-nodes, indicating that evolutionary rewiring events are more common in less central parts of the networks.
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4 273 An interesting subject for further study may be to compare explicit topologies of nodes that underwent re-
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6 274 wiring through evolution, in order to determine whether they can additionally move between I and P node
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8 275 categories over time. I-nodes harbor the majority of edges within a network - genetically re-wiring these
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10 276 nodes could lead to rapid adaptation [50]. Centrality of H-nodes seems to reduce their adaptability while
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12 277 peripheral and intermediate nodes are less constrained to adapt, and this process may involve rewiring
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14 278 within the network. This demonstrates how functional constraint can explain evolutionary outcomes better
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16 279 than estimators for gene dispensability can. Rapid genomic adaptation within diversifying populations has
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18 280 been shown to occur as a rapid response to selection such as anthropogenic pollution [51]. Such rapid
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20 281 adaptation often occurs in the presence of gene flow [51, 52]. This means that adaptation is constrained to
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22 282 specific genes under selection, which can interrupt their gene flow between populations, while alleles of
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24 283 other genes show uninterrupted gene flow. The speed of such adaptation related to divergence of a subset
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26 284 of genes within the same genome has been dubbed the “genetic theory of speciation” [52]. Such genetic
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28 285 evolution was shown to occur in *Timema* stick insects [53]. Future studies could test whether such rapidly
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30 286 adapting loci are preferentially located in P- and I-nodes, and whether this leads to a change in wiring
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32 287 patterns.
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37 288 With respect to **genetic adaptation**, Schoenrock et al. [39] could show that some functionally similar nodes
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39 289 experienced lower than expected levels of protein evolution, indicating purifying selection. Nodes that were
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41 290 evolving through fewer re-wiring events than expected, included functions related to phosphorylation,
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43 291 mitochondrial translation, response to pheromone, small GTPase mediated signal transduction, and
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45 292 transport. Nodes that were evolving among the five yeast species with higher than expected degrees of re-
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47 293 wiring, included the functions metabolic process, and various gene ontologies related to transcription and
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49 294 its regulation, as well as the regulation of transposition regulation. As indicated in Figure 2, these results
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51 295 prove that evolutionary outcomes are different for functionally different subnetworks within an interactome.
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53 296 It might be worth noting that, as outlined above, none of these functions is particularly related to growth
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55 297 but rather to maintaining organismal function, which is why they would be overlooked if conserved genes
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57 298 were only classified by the criterion of dispensability for colony growth. Gresham et al. [54] similarly
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4 299 showed that evolutionary constraint in experimentally evolved yeast populations over 200 generations is
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6 300 dependent on the type of selection (limiting Glucose or Phosphate vs. Sulphur), with convergence being an
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8 301 outcome of the system level organization of the respective metabolic pathway. Additionally, the same
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10 302 differences in evolutionary estimators between node categories that could promote rapid adaptation, would
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12 303 allow genes in different node categories to evolve with differential speed, which allows for genic adaptation.
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14 304 Traditionally, **convergence** has been studied in non-model organisms, and with a focus on adaptive
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16 305 modification of the phenotype (e.g., [55]). More recently, phenotypic convergence has been traced back to
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18 306 in some instances resulting from identical genotypic variants (called “genomic re-use”, reviewed in [36]).
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20 307 These can arise either as new parallel mutations or from parallel selection of the same alleles from standing
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22 308 genetic variation [36] such as in the independent selection of body armor in the ectodysplasin locus of
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24 309 stickleback fish [56]. Other examples have recently been uncovered in skin toxin transport in poison frogs,
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26 310 [57] or in functional genomic adaptation to cold in a range of extant and extinct mammals including the
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28 311 mammoth [58]. Such genomic re-use causing convergence in distantly related lineages may indicate that
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30 312 constraint at the genomic level is important to generate convergent evolution and has been identified to
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32 313 drive speciation [59]. However, convergent phenotypic adaptations can alternatively be produced by
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34 314 different genes. They may also be exaptations, where a similar allele evolved due to ancestrally different
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36 315 selective pressures with a subsequent change of function [60]. In this study, I could test whether or not a
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38 316 small number of convergently adapting genes are preferentially located within I-nodes, as described above.
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40 317 Figures 4 and 5 support this idea. C-nodes have network statistical parameters most similar to I-nodes,
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42 318 showing that convergently adapting genes have similar evolutionary rates, expression levels, and degrees,
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44 319 as nodes that are located intermediately in the interactome (cf. inset network in Figure 4). This supports the
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46 320 notion that nodes with highest number of edges and intermediate network position are constrained to adapt
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48 321 and thus increase the likelihood for convergent evolution. Gresham et al. [54], from which five C-nodes
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50 322 were obtained, also showed that convergent evolution is related to system level organization of the
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52 323 respective metabolic pathway. In summary, these results clearly demonstrate a relationship between
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4 324 network architecture and convergence, and if additional genes are becoming known to evolve convergently
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6 325 in yeast, this hypothesis can be further tested.
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10 327 In previous studies, gene expression level estimates (using CAI as proxy) were identified as the
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12 328 predominant explanatory variable related to functional genetic constraint influencing evolutionary
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14 329 outcomes. Therefore, the effect size of network statistical parameters as predictors for variables estimating
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16 330 evolutionary outcomes relative to CAI was determined. When CAI was incorporated into the analysis to
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18 331 predict values of ω and γ , average shortest path length (P-node classifier) was the predictor with highest
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20 332 power (0.99), followed by its interaction term with CAI (0.97), then CAI itself (0.92), followed by
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22 333 neighborhood connectivity (the I-node classifier, 0.83). Only betweenness centrality (the H-node classifier)
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24 334 was not significantly contributing to this model (Supplementary Tables 1 and 2). As mentioned previously,
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26 335 Figure 5 shows that the estimator of gene expression levels is highest in H-nodes, which might explain why
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28 336 CAI was seen as a better predictor for ω and γ than betweenness centrality. However, AIC based model
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30 337 selection revealed that a global model of all four variables including CAI and network parameters explains
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32 338 ω and γ better than CAI itself (Supplementary Tables 3 and 4). For ω , the only model with higher likelihood
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34 339 than the global model is that excluding betweenness centrality, whilst the CAI-only model ranks 8th. The
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36 340 rewiring score γ is best explained by the global model and the CAI-only model ranks 5th. These results
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38 341 confirm that whilst gene expression levels are an important element of genetic constraint, the position of
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40 342 highly expressed nodes as hub nodes in the network, together with the other network topology parameters,
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42 343 yield better explanatory power for two estimators of evolutionary outcomes. These results further support
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44 344 network topology as an important agent of evolutionary constraint.
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53 346 **Conclusions**

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55 347 Metagenomic resequencing of every 500 generations within a 60,000 generation *E. coli* long term evolution
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57 348 experiment [61] revealed that certain genes accumulated beneficial mutations through selection
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59 349 significantly more often than expected by chance, and were very often affected by parallel adaptation [61].
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350 These results, together with the incidences of recurrent genomic adaptations reviewed herein, demonstrate
351 that the above-described relationship between network structure and convergent evolution may be
352 expandable to organisms other than yeasts [36]. Apart from the quick assessment performed in this
353 contribution, the influence of network structure in shaping evolutionary outcomes in more complex
354 organisms than yeast such as vertebrates still needs to be comprehensively tested. Additionally, statistics
355 computed on edge distributions may change over time as more experimental evidence on interactions
356 becomes available, and evolutionary constraint might differ by the type of interactions studied.

357 As demonstrated above in the yeast example, the impending advent of large-scale functional genomic
358 networks for many new species makes it possible to convert functional genomic network structure of related
359 species into variables describing hierarchical node position within the network. Future tests relating
360 evolution to genomic constraint could include node architecture, and revolve around (1) Comparing
361 standing genetic variation to network node position (while considering the effect of demography, selective
362 sweeps, genetic drift, bottlenecks, and other levels of extrinsic constraint); (2) Testing whether similar
363 subnetworks/node hierarchies adapt to same selection pressure in different organisms. (3) Comparing the
364 speed of realized adaptation to a mutation/selection expectation, without considering network constraint.

365 The potential benefits of better understanding genetic constraint leading to deterministic evolution may be
366 wide ranging-- in humans, the use of functional interaction networks is omnipresent in genomic and
367 transcriptomic study of cancer data, and recently, calls have been made for evolutionary methods to be
368 applied to cancer problems [62]. A recent study demonstrates how the early progression of pancreatic cancer
369 is defined through evolutionary constraints resulting from following one of three tumor suppressive
370 pathways, and thus may be predictable [63]. Recognizing network constraint as evolutionary force, rather
371 than disregarding evolution through natural selection [64], would allow quantifying “background genetic
372 constraint” through functional network structure. The remaining variance could be better allocated to
373 mutation and selection in directing rapid, convergent, and genic phenotypic evolution.

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376 **Methods**

377 To assess evolutionary outcomes rapid adaptation and convergent evolution, as well as to address the
378 important factor of gene expression in shaping protein-coding gene evolution, the data set of Schoenrock
379 et al. including yeast ORF ID, computationally predicted evolutionary PPI re-wiring score (γ), and
380 substitution rate (ω)[39] was downloaded. The re-wiring score was obtained from comparing networks
381 across five species of yeast [39] and was used here to assess whether nodes that change wiring patterns are
382 linked to specific positions within the network. The dataset was then rearranged and integrated with data
383 downloaded from Wall et al. [13] including ORF ID, and CAI (Codon Adaptation Index, a measure of RNA
384 expression levels, based on [48]). When analyzing networks, it is important to do so on exhaustive data sets
385 [65] to avoid experimental bias [66]. Such an exhaustive interactome for yeast generated from the
386 BIOGRID database [67] was obtained from CYTOSCAPE v.3.6.0 [68], which contained 6,508 nodes and
387 340,000 edges, with data curated from 5,500 studies. With the goal to calculate a classifier that will aid in
388 describing hierarchical node position within networks, common network statistical parameters were
389 calculated from this exhaustive yeast interactome in CYTOSCAPE v.3.6.0 [68] using the Network Analyzer
390 function. Data for the matching node ORFs were appended to the data set, and variables with non-normal
391 distribution were BoxCox transformed. The final data set contained 2209 ORFs with only a few missing
392 data points per variable. The network statistical parameters obtained from the yeast interactome were
393 average shortest path length (maximal in peripheral nodes), neighborhood connectivity (maximal in nodes
394 intermediate to the network), and betweenness centrality (maximal in nodes connecting subnetworks).
395 Nodes with maximum values for each one of these three statistical parameters, and that were not
396 overlapping with each other (1081 nodes, Figure 2), were each assigned to a category: P (peripheral nodes),
397 I (intermediate nodes) and H (hub nodes). To assign node categories to the remaining nodes in the network
398 that may be harder to allocate visually, a discriminant function analysis (DFA) was employed in
399 STATISTICA (V13, Tibco). All remaining nodes with significant statistical support could be associated to
400 one of these three categories (Table 3). To explore the network position of nodes that have undergone
401 convergent adaptation, ORF IDs that were demonstrated experimentally to show convergent genomic

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4 402 adaptation in independent experiments, strains, or species of yeasts (C-nodes) were identified from the
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6 403 literature (66, 74-78), Table 4). Out of the 26 obtained C-nodes, 21 nodes were allocated by DFA to the I-
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9 404 category, and five were allocated to the P-category. It was then tested, how network statistical parameters
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11 405 relate to the evolutionary parameters ω , γ , and CAI. First, a general linear model was run with evolutionary
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13 406 parameters as dependent variables, and network parameters as predictor variables. Differences in,
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15 407 respectively, network statistical parameters or estimators for evolutionary parameters, and node categories
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17 408 were tested with Kruskal-Wallis tests. Because previous studies [15–17] have ascribed gene expression
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19 409 (here measured as CAI) an important role for constraining evolution, it is possible that whilst network
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21 410 statistical parameters do explain evolutionary parameters well, this effect could disappear once CAI itself
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23 411 is considered as a predictor for ω and γ . This assumption was therefore tested through (i) comparing power
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25 412 of predictors in another linear model, including network statistical parameters, CAI, as well as interaction
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27 413 terms as predictors and (ii) comparing Akaike information criteria of models generated from these variables
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29 414 and their interaction terms.
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35 416 **Declarations**

36 417
37 418 **Ethics approval and consent to participate**

38 419 Not applicable

40 420 **Consent to publish**

41 421 Not applicable

42 422 **Availability of data and materials**

43 423 The datasets generated and/or analysed during the current study are included in this published article and
44 424 available as Supplementary Table 5

45 425 **Competing interests**

46 426 The authors declare that they have no competing interests

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49 428 Not applicable

50 429 **Authors' Contributions**

51 430 K WV conceived the study, analyzed the data and wrote the manuscript

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54 433 discussions
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Table 1. Glossary of terms

Evolutionary constraint	[69]: the phenomenon of evolution producing a finite number of genomic and associated phenotypic outcomes from a near infinite number of possible genetic variants.
Genetic constraint	The portion of evolutionary constraint, which is determined at the level of genes or their gene products, for example codon constraint or developmental genetic pathways.
Functional network constraint	The portion of network constraint attributed to the structure or architecture of gene interactions that can be expressed in the form of a network. Networks consist of nodes (genes) and edges (functional interactions between these genes).
Genic evolution	The phenomenon of different evolutionary outcomes being the outcome of independent mutation and selection events in different genes. For example, the occurrence of convergent evolution in diverging populations, both of which are caused by evolution in distinct genes.
Rapid adaptation	The phenomenon of adaptive change in allele frequencies of a population to natural selection, taking place within just a few generations.
Convergent evolution / convergence	Traditionally defined as similar phenotypes evolving from similar selective pressure in response to similar environments [70]. May be caused at the genomic level through genomic re-use of the same genes or alleles, which is also called parallel genetic evolution or genomic re-use.
Gene dispensability	A variable to estimate gene essentiality. The less dispensable a gene is for organismal growth and function, the more essential it is. An estimator for the mean fitness effect of all possible mutations of a gene across environments the cell is likely to encounter. In yeast, this is experimentally determined through knockouts.
Pleiotropy and cost of complexity	Traditionally defined as one gene influencing more than one trait. In the papers cited in this study, has been defined as gene products with more than one functional interactions with other gene products, with the link to pleiotropy of phenotypic traits being implied. It is therefore here called “gene pleiotropy”.
Gene expression level CAI	The amount of mRNA produced by each gene in regular somatic cells. CAI (Codon Adaptation Index) is used as a substitute variable in this paper, and is derived from codon use bias in yeast that correlates with mRNA levels.
Omega ω	The ratio of nonsynonymous to synonymous substitutions dN/dS. It is assumed that dS remains constant, and dN is used here as an estimator for directional evolution.
Gamma γ	A score developed for estimating events of rewiring functional connections between network nodes over the course of evolution. Developed on the example of five species of yeasts.
Neighborhood connectivity	A network statistic used to describe the structure of a functional genetic network. Describes the number of connections of all neighbors of each node. Highest values are expected in intermediately located nodes within a network.
Betweenness centrality	A network statistic used to describe the structure of a functional genetic network, describing where a node lies within paths between other nodes. Nodes with many paths progressing through them may be important in transmitting information. Highest values are expected in nodes central to a network.
Average shortest path length	A network statistic used to describe the structure of a functional genetic network. Shortest distance between a node and other nodes. Highest values are expected in peripheral nodes of a network.

Table 2. Hypotheses relating network constraint to evolutionary outcomes and results of hypothesis assessment using a node classification scheme in yeast.

Evolutionary outcome	Hypothesis (H)	Alternative Hypothesis (HA)	Results in this paper following assessment with hierarchical node classification scheme.
Speed of evolution	Indispensable or essential genes are more constrained and evolve slowly [71].	Functionally important and thus functionally constrained genes evolve slowly, independent of dispensability [21]. Highly expressed genes evolve slowest [13, 14].	HA: Functionally most constrained genes (H-nodes) have the lowest substitution ratios of all categories, and are most highly expressed, but have lower scores of evolutionary rewiring than P and I-nodes.
Speed of evolution	Central nodes have highest number of edges; evolve very slowly because any change will lead to maladaptive pleiotropic effects - causes balancing selection through cost of complexity. [36],[35],[18],[34]	Intermediate nodes evolve fastest as their higher number of edges allows for evolution through rewiring ([44, 45]).	HA: Nodes with highest number of edges are intermediate to the network, evolve fast (high ω) and have a high score of rewiring (γ), indicating that the substitution rate of these genes may be associated with evolutionary rewiring events.
Speed of evolution	Nodes with a low number of edges evolve fastest due to higher degrees of freedom, which allows for genetic adaptations minimizing pleiotropic effects. [72], [36]	---	H: Peripheral nodes evolve fast (high ω) and have a high score of rewiring (γ), indicating that the substitution rate of these genes may be associated with evolutionary rewiring events.
Convergent evolution	Nodes with a low number of edges should be the prime target of convergent evolution. Pleiotropic negative effects are expected to be low, and mutations in them can maximize adaptation [36].	Peripheral nodes have the highest degrees of freedom and thus divergence is more likely than convergence in them. Convergent evolution should instead be favored in nodes that allow for genetic variance, while having reduced degrees of freedom (I-nodes) (This contribution).	HA: 21 out of 26 nodes with convergent evolution demonstrated in yeasts were classified as I-nodes by DFA, and five as P nodes. ω and CAI were similar to I-nodes, but none of these 26 nodes showed evidence of evolutionary rewiring.
Genic evolution	Adaptations can be characterized (either causative or correlative for the speciation process) by any number of divergent genes within the genome, whereas other genes are not associated with adaptation. [52].	Only the complete phenotype is selected, the genic component is less important [8].	H: Different clusters of functionally similar nodes experience either higher, lower than expected or neutral rates of evolution across five species of yeast [69]. Causation or correlation to speciation process not testable with data.

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Table 3. Discriminant function analysis summary to assign node categories H, I, P to nodes within dataset. Wilks' Lambda: 0.0704 approx. $F(6,2152)=992.780$ $p<0.001$.

	Wilks Lambda	Partial Lambda	F-remove 2,1076	p-value	Toler.	1-Toler. (R-sqr.)
Neighborhood connectivity	0.137	0.514	507.835	<0.001	0.988	0.012
Betweenness centrality	0.105	0.673	261.039	<0.001	0.994	0.006
Average shortest path length	0.133	0.528	480.907	<0.001	0.983	0.017

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Table 4. List of yeast genes that were found to adapt to novel environments, and were additionally shown to evolve these adaptations convergently across populations or species of yeast. Node hierarchy categories after discriminant function analysis (DFA) are shown in the first column. P - peripheral nodes, I - intermediate nodes.

DFA estimated Node hierarchy	Gene symbol	ORF ID	Reference
I	STE11	YLR362W	Lang et al., 2013
I	STE12	YHR084W	Lang et al., 2013
I	STE4	YOR212W	Lang et al., 2013
P	KRE6	YPR159W	Lang et al., 2013
I	SFL1	YOR140W	Lang et al., 2013
I	STE5	YDR103W	Lang et al., 2013
P	ANP1	YEL036C	Lang et al., 2013
I	GCN1	YGL195W	Lang et al., 2013
I	ERG5	YMR015C	Gerstein et al., 2012
P	ERG7	YHR072W	Gerstein et al., 2012
I	CNE1	YAL058W	Lang et al., 2013
I	GPB1	YOR371C	Lang et al., 2013
P	KEG1	YFR042W	Lang et al., 2013
I	KRE5	YOR336W	Lang et al., 2013
I	TOH1	YJL171C	Lang et al., 2013
P	SUL4	YBR294W	Gresham et al 2008
I	GAL3	YDR009W	Hittinger et al., 2004; Stern, 2013
I	GIN4	YDR507C	Gresham et al 2008
I	PDR1	YGL013C	Anderson et al. 2003
I	SGF73	YGL066W	Gresham et al 2008
I	SET4	YJL105W	Lang et al., 2013
I	SIR1	YKR101W	Gresham et al 2008
I	ACE2	YLR131C	Lang et al., 2013
I	GAS1	YMR307W	Lang et al., 2013
I	WHI2	YOR043W	Lang et al., 2013
I	CKA2	YOR061W	Gresham et al 2008

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Table 5. Multivariate Wilks tests of significance and powers for network parameters to explain protein evolutionary rate (λ), gene expression (Codon Adaptation Index CAI), and evolutionary rewiring between species of yeast (λ). All predictors were significant.

	Wilks' Lambda	F	Effect df	Error df	p	Observed power (alpha)
Intercept	0.317	1569.597	3	2188	<0.001	1.000
Neighborhood connectivity	0.924	59.892	3	2188	<0.001	1.000
Betweenness centrality	0.995	3.931	3	2188	0.008	0.832
Average shortest path length	0.961	29.553	3	2188	<0.001	1.000

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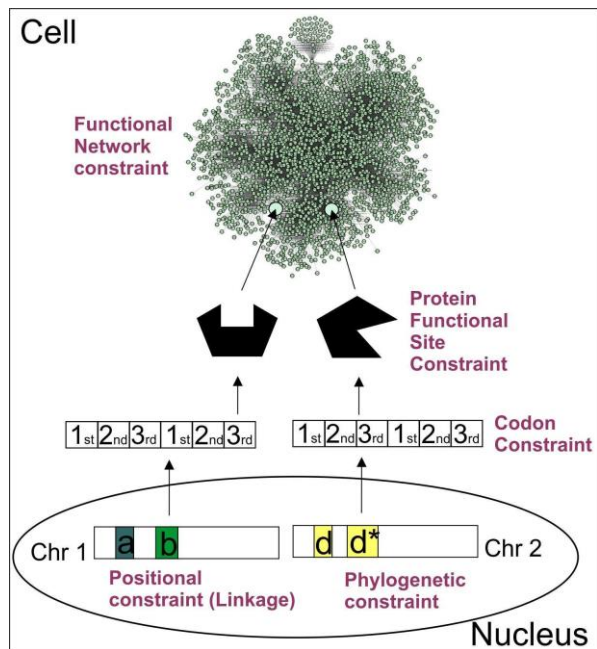


Figure 1. Examples for different levels of genetic constraint. Linkage is a transient constraint, which is broken up through recombination or other chromosome rearrangements. If a gene arises through duplication, phylogenetic constraint means that the function of its gene product may be non-independent with relation to the ancestral gene product. Codon constraint describes the likelihood of the different codon positions to produce beneficial mutations. Protein functional site constraint describes constraint located in genomic regions that code for functional sites of proteins versus other regions of the proteins. This is related to the idea that gene products form a functional genomic network. Within this network, interactions of these gene products also pose an element of constraint on evolution, but this is not well researched.

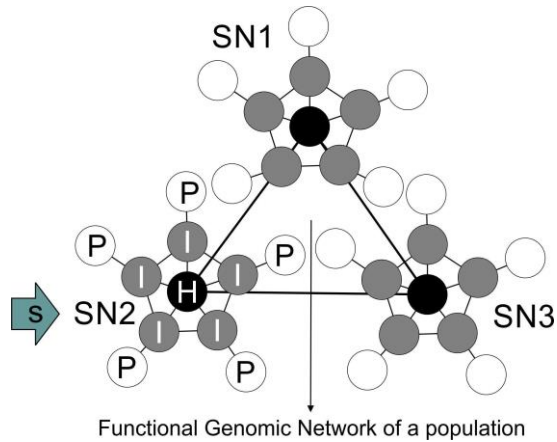


Figure 2. Proposed testable relationship between functional genomic network architecture, network node position, and evolutionary outcomes. SN are subnetworks within the functional genomic network of a population with distinct functions (e.g., metabolic pathways). Standing genetic variation exists within nodes, but depends on their position within the network. Black nodes (H) are essential for organismal function and not likely to accumulate non-synonymous mutations; Grey nodes (I) are functionally connected with many others and constrained in accumulating non-synonymous mutations. White nodes (P) are functionally connected to fewest others and most likely to accumulate non-synonymous mutations. Resulting from this, three evolutionary outcomes can be explained: Rapid adaptation is facilitated in white nodes through their high standing genetic variation. Selection being constrained to operate on these nodes in a specific subnetwork increases the speed of adaptation. Convergent evolution is facilitated through the finite number of networks that are related to specific functions and shared among species through common ancestry. The likelihood of convergent evolution within one subnetwork in response to selection increases through the moderate level of genetic variance, combined with constraint posed by the high number of connections to other nodes. Genic evolution is facilitated through the selection pressure only having an effect in the subnetwork with organismal functions related to it but not in others. Selection is likely to operate on standing genetic variation, which is likely concentrated in white nodes (shown as blue squares). These different processes can explain the coexistence of convergent and divergent (rapid, genic) evolution within the genomes of a population.

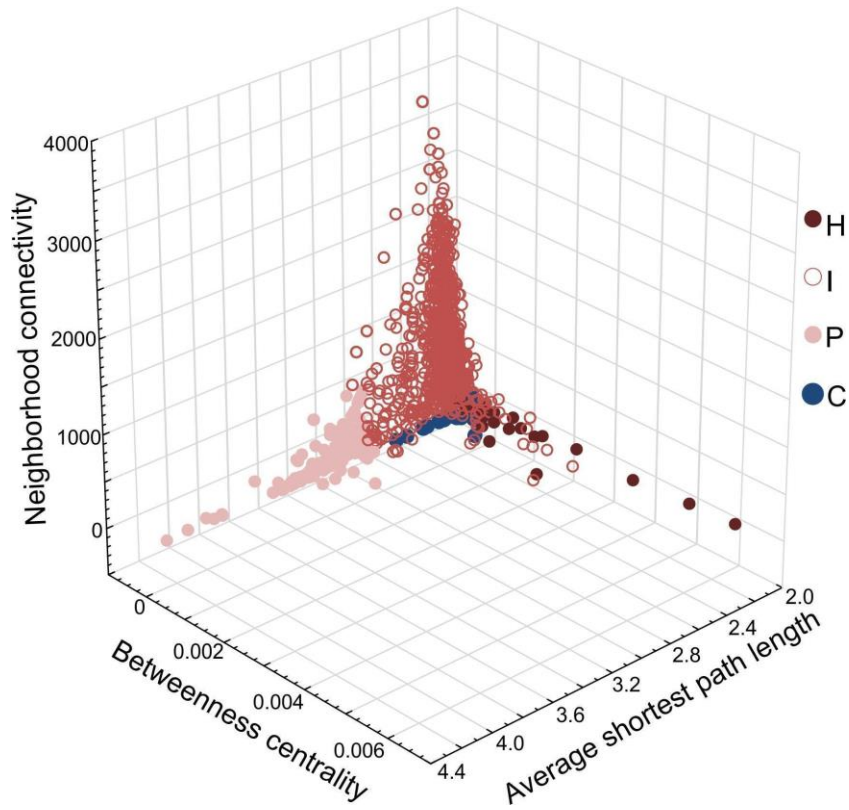


Figure 3. Distribution of yeast interactome nodes within network parameter space (neighborhood connectivity, average shortest path length, and betweenness centrality). The top values for each axis are colored in shades of red (light, filled: P-nodes; light, open: I-nodes; dark, filled: H-nodes). Convergent evolution nodes are indicated in dark blue. These top values for each axis formed the basis to classify the remaining nodes based on discriminant function analysis.

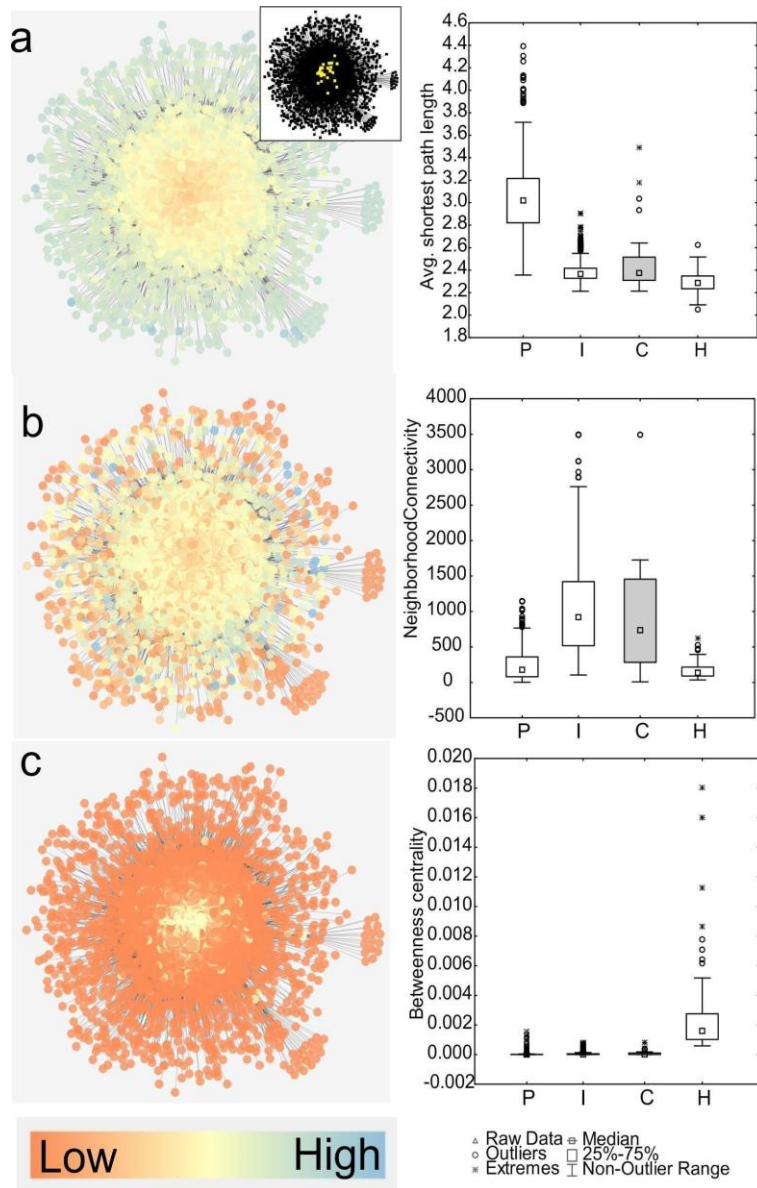


Figure 4. Visualization of node classification scheme in yeast interactome. Values of a) average shortest path length, b) neighborhood connectivity, and c) betweenness centrality within the yeast interactome (left panels), and values for the DFA-derived hierarchical node categories P, I and H, and for nodes known to be under convergent evolution in yeasts (C, N=18). The small inset network shows the location of convergently evolved genes (C-nodes) within the interactome (yellow nodes).

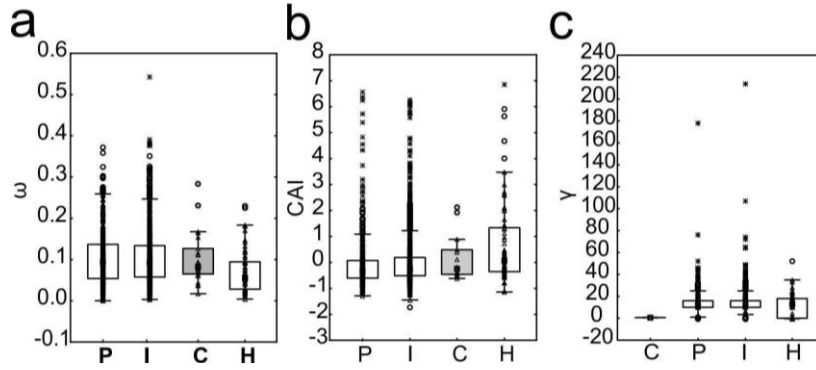


Figure 5. Relationship between hierarchical node structure of yeast interactome and evolutionary parameters. Node types are designated as peripheral (P), intermediate (I), or hub (H) based on discriminant function analysis, and nodes that were found to evolve convergently (C; N=22) in yeasts. Three evolutionary outcomes (a) substitution rate, (b) expression level, approximated through Codon Adaptation Index (CAI), and (c) evolutionary rewiring score significantly differ among node categories (see text). C-node boxes are sorted by Median. Double red line: outliers above median not shown in figure but included in tests. Raw data points - triangles, circles - outliers, stars - extreme values, squares - Medians, boxes - 25-75% data, whiskers - non-outlier range.

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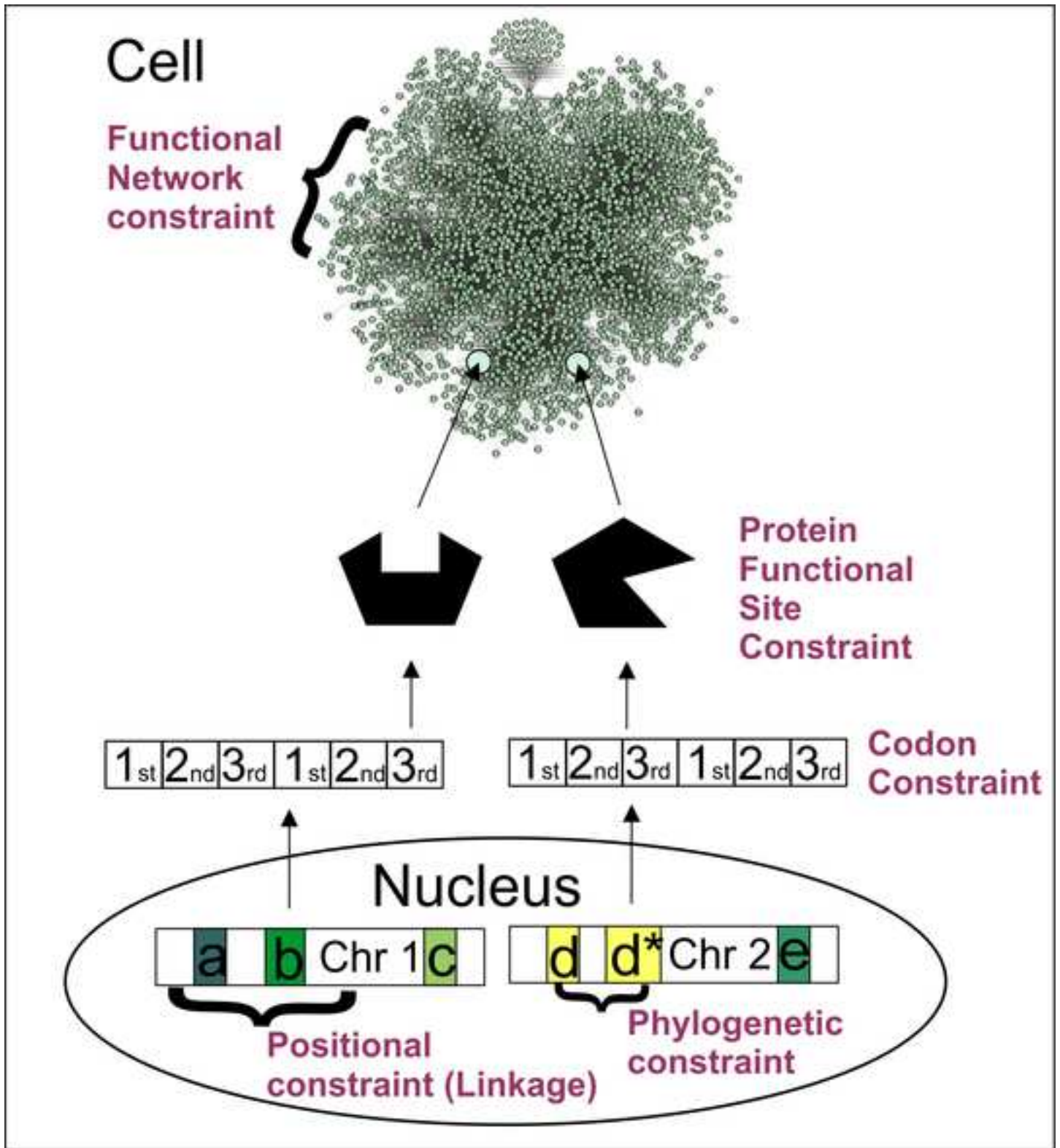
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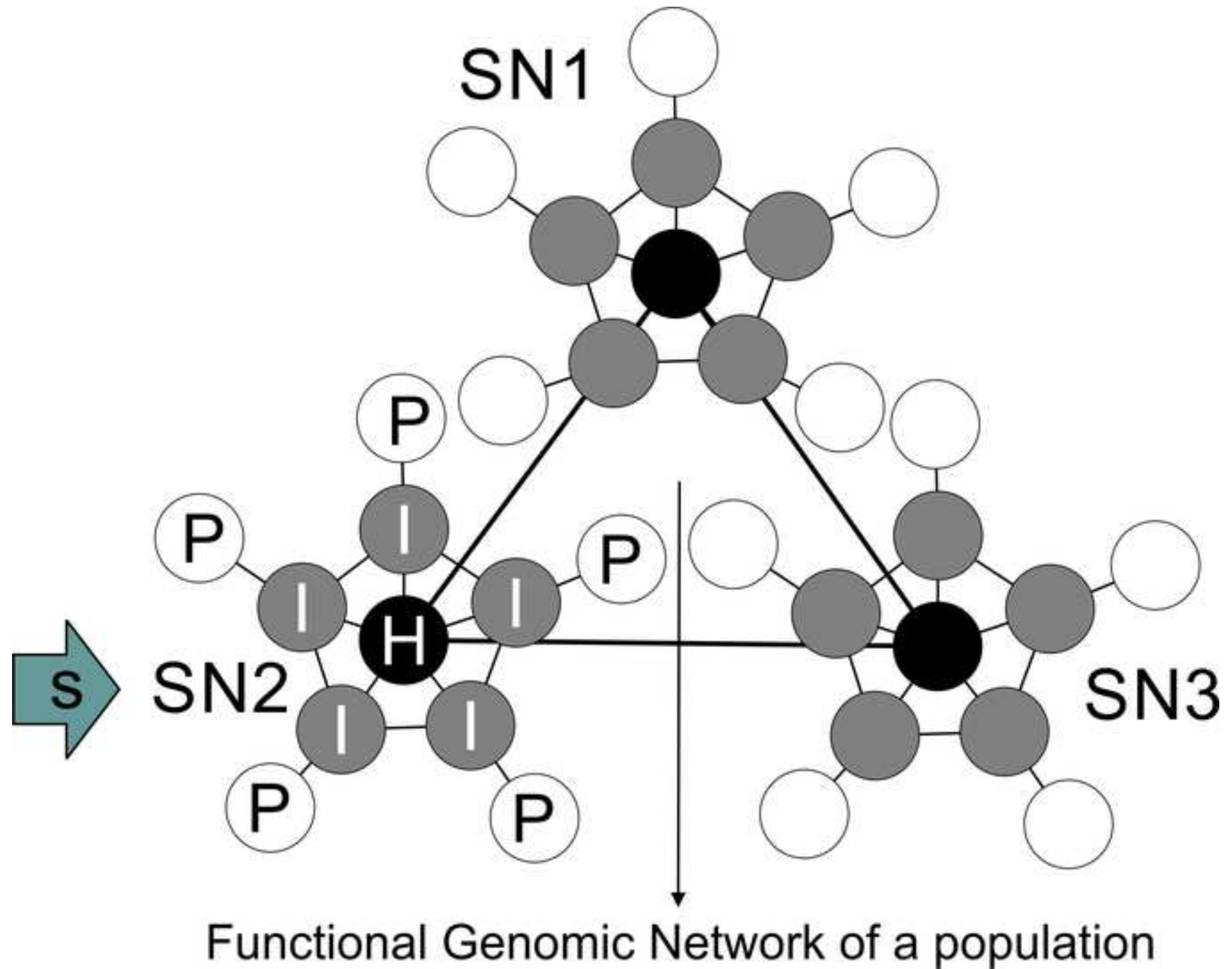
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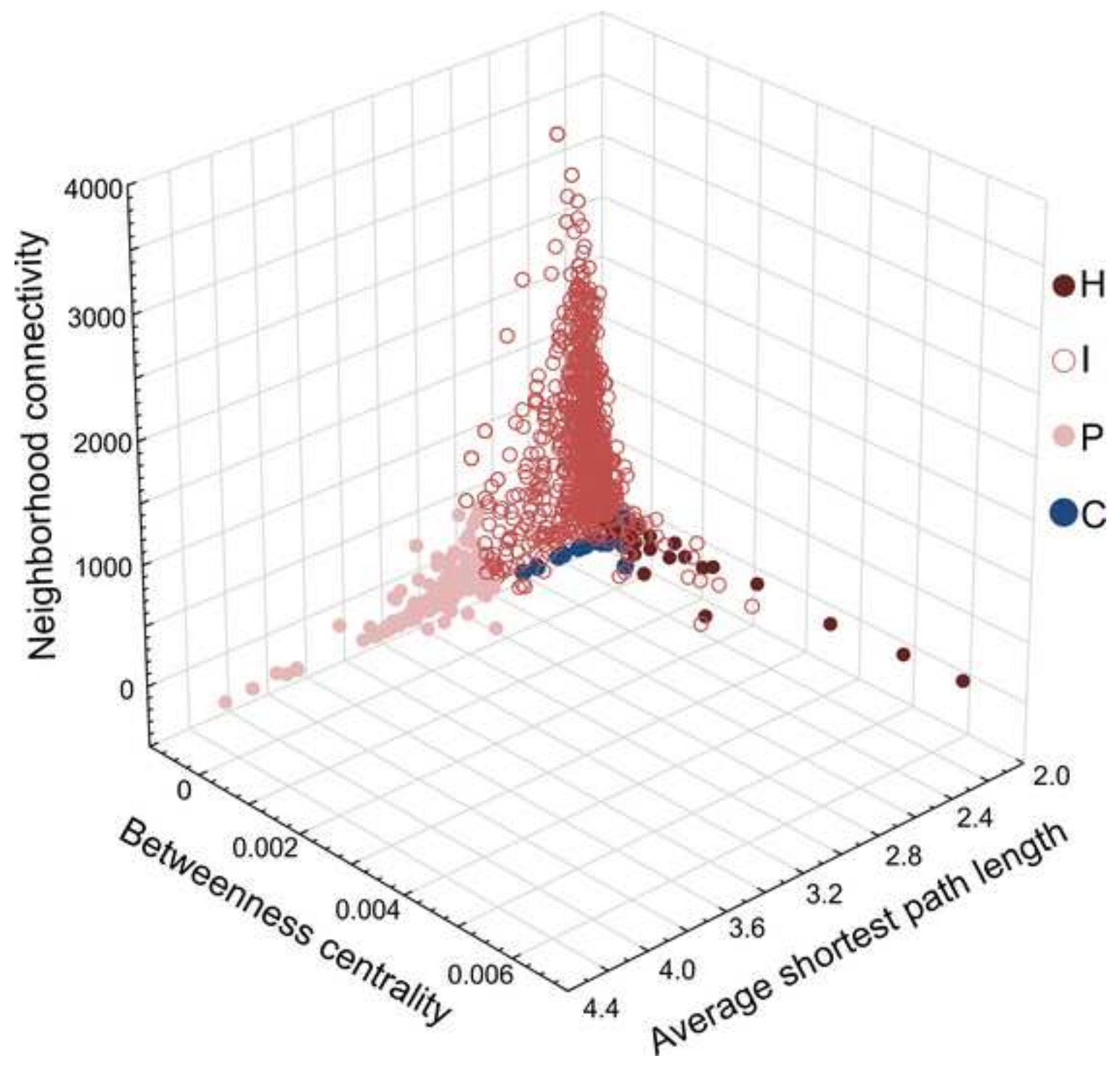
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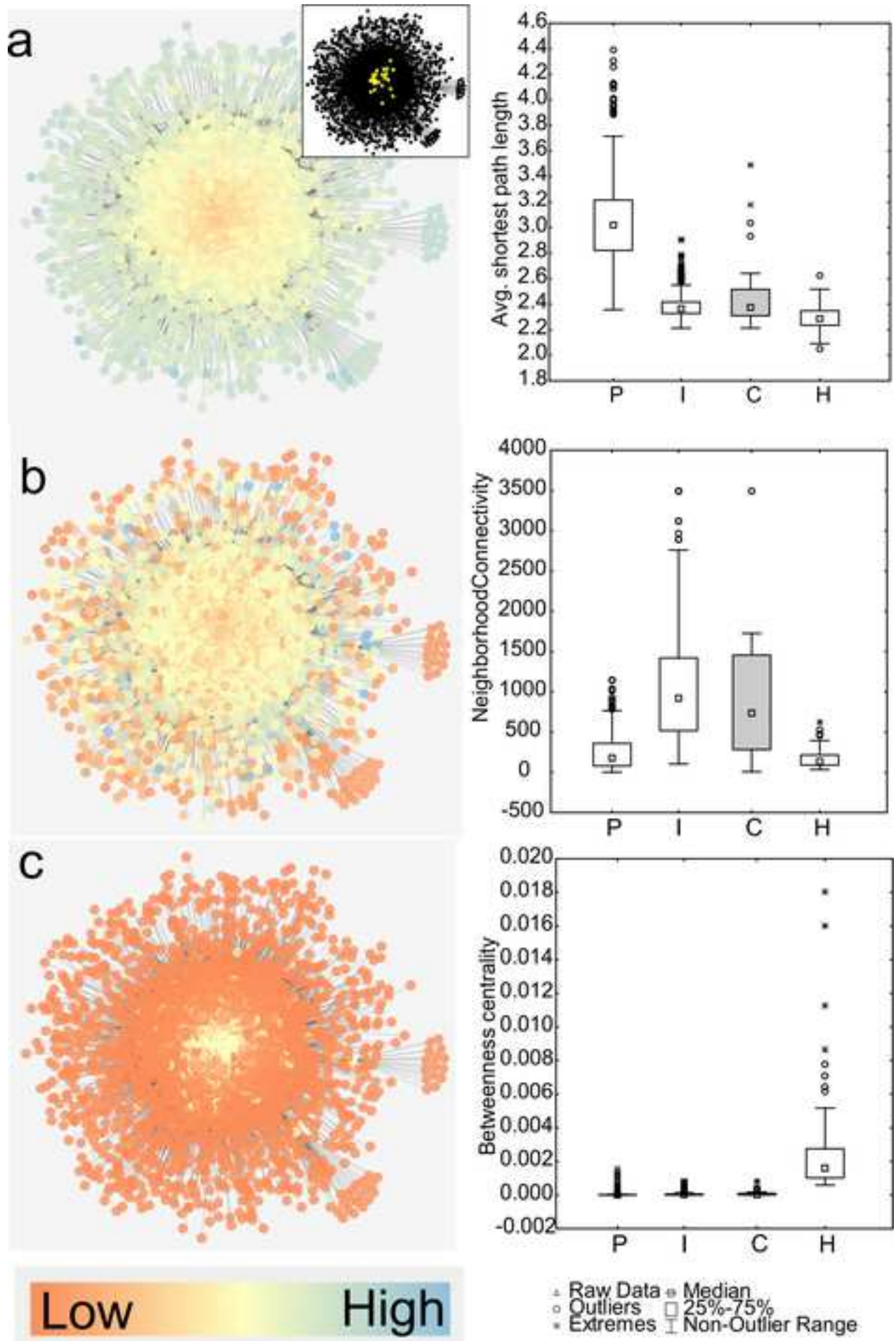
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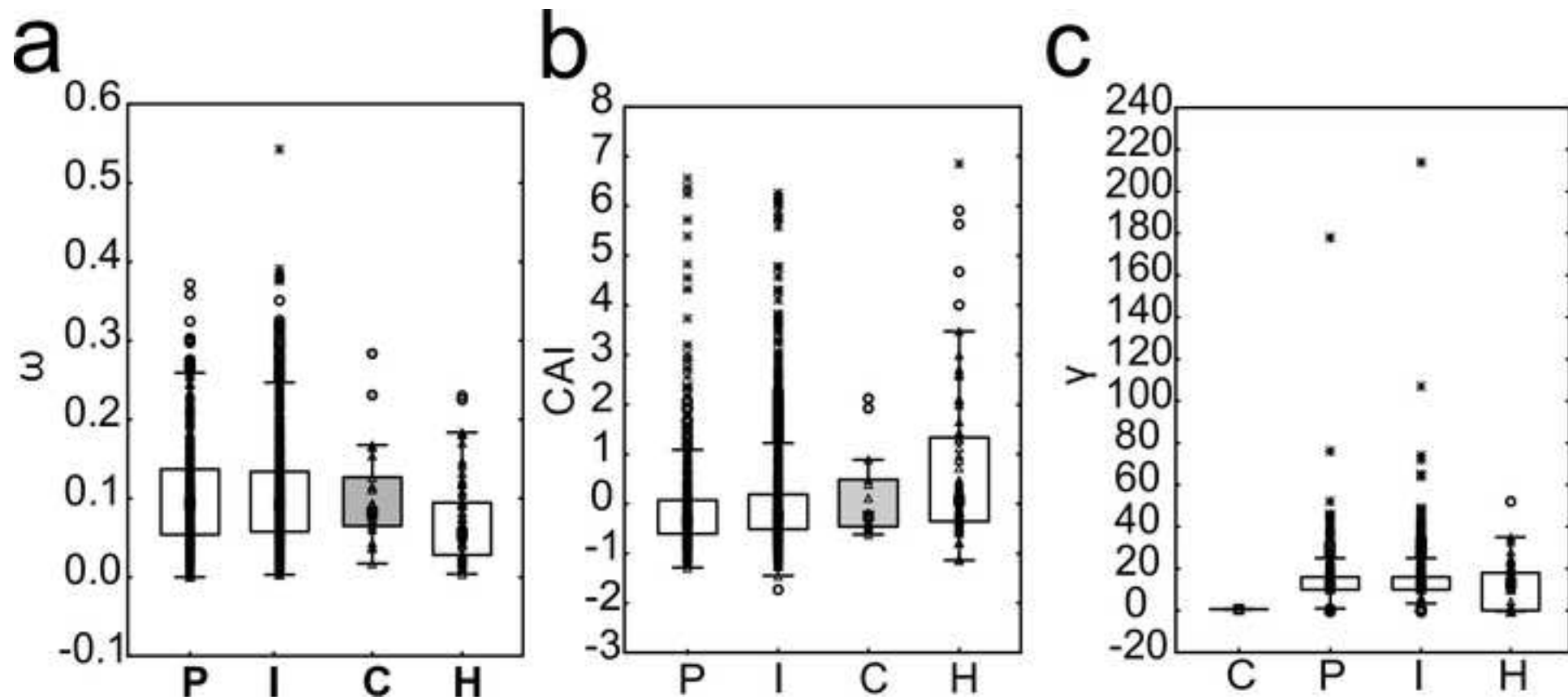
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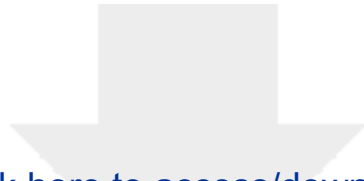








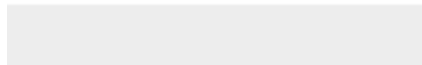




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