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'Back to Life'—Using knowledge exchange processes to enhance lifestyle interventions for liver transplant recipients: A qualitative study

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1 "Back to Life" - Using knowledge exchange processes to enhance lifestyle

2 interventions for liver transplant recipients: a qualitative study.

3

4 Abstract

5 Interventions to prevent excessive weight gain after liver transplant are needed. Aim: The 6 purpose of this study was to enhance a specialist post-transplant wellbeing program 7 through knowledge exchange with end-users. Methods: The study used an interactive 8 process of knowledge exchange between researchers, clinicians and health system users. 9 Data were collected as focus groups or telephone interviews and underwent applied 10 thematic analysis. Results: There were 28 participants (age 24-68yrs; 64% male). The 11 results identified experiences that may influence decisions around health behaviours 12 during the course of transplant recovery. Three over-arching themes were identified that 13 impact on liver transplant recipients post-transplant health behaviours. These include 1) 14 Finding a coping mechanism which highlighted the need to acknowledge the significant 15 emotional burden of transplant prior to addressing long term physical wellness; 2) Back 16 to Life encompassing the desire to return to employment and prioritise family, while co-17 ordinating the burden of ongoing medical monitoring and self-management; 3) Tailored, 18 Personalised Care with a preference for health care delivery by transplant specialists via 19 a range of flexible eHealth modalities. Conclusion: This person-centred process of 20 knowledge exchange incorporated experiences of recipients into service design and 21 identified life priorities most likely to influence health behaviours post-transplant. Patient 22 co-creation of services has the potential to improve the integration of knowledge into 23 health systems and future directions will require evaluation of effectiveness and 24 sustainability of patient centred multidisciplinary service development.

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Key Words: health service design, patient engagement, focus groups, obesity, liver
disease, quality of life

28

29 Introduction

30 Rapid weight gain after liver transplant is a world-wide phenomenon with 16-46% of

31 liver transplant recipients (LTR) becoming obese in the first year after transplant. ⁽¹⁻⁵⁾ (6-

32⁸⁾ There is a higher prevalence of metabolic syndrome in LTRs compared with the general

33 adult population and this appears to predispose to increasing cardiovascular disease risk,

34 graft rejection, infection and other complications. ⁽⁹⁾

35

36 Adjusting to a liver transplant is a complex process which incorporates both physical and 37 mental components of recovery. Liver transplant recipients endure significant physical 38 and psychological stress awaiting surgery(10) and group-based psychoeducational 39 strategies appear effective at improving coping strategies and social support pre-40 transplant(11). There is however a paucity of data addressing how best to deliver diet 41 and exercise prescription for post-transplant recovery and how lifestyle interventions may 42 prevent obesity and cardiometabolic complications post-liver transplant. The 43 development of targeted lifestyle interventions to prevent excessive weight gain and 44 manage cardiovascular risk requires a process of knowledge exchange between service 45 providers and patients, in order to meet the unique needs and life priorities of this cohort. 46

47 The purpose of this study is to use a process of knowledge exchange to involve knowledge 48 users in research and explore the everyday experience of LTRs to co-create a specialist 49 health and wellbeing program aimed at reducing cardio-metabolic risk factors post-50 transplant. This study aims to engage with LTRs to define life needs and priorities, and

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capture the emergence of factors that influence health behaviours across the spectrum of
pre- and post-liver transplant health services.

53

54 Methods

A stakeholder group of five liver transplant specialists, including physicians, nutrition 55 56 and exercise experts from a single Australian transplant centre (40-55 transplants per 57 year) developed a framework of key factors (enablers and barriers) that could impact on the long-term health of LTR, which informed the development of this study. In 58 59 preparation for a process of knowledge exchange i.e. imparting meaningful knowledge 60 between knowledge users (LTR) and producers (investigators and health practitioners), 61 this framework drew from components of implementation science, such as level of 62 evidence (determined by literature review), context of health service delivery system (determined by extensive clinical experience working within the system) and unique 63 needs of LTR (determined by both published evidence and clinical experience). (12-14) 64 65 This framework was further refined with clinical consultation and reference to individual, institutional and systemic factors that impact on health behaviours including patient 66 67 perceptions of weight gain and 'healthy lifestyle' post-transplant, life priorities, timing of 68 service delivery and acceptance and feasibility of technology-assisted service models (see 69 supplementary material). The framework then informed the development of semi-70 structured interview questions designed to promote knowledge exchange and capture 71 LTR lived experience of transplant and perceptions of health priorities in relation to longterm wellbeing (Supplementary material Table A). Data saturation determined when to 72 73 cease recruitment and was defined as no new information being offered either through 74 the knowledge exchange or prioritisation processes.

75 Interviews were conducted with LTRs through either focus groups or telephone
 76 interviews. Participants were offered opportunities to share experience and knowledge

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during early (within 6 months of transplant) and longer term (>6 months post-transplant)

78 health service follow-up. Participants were asked to prioritize factors that they considered

79 most influenced their health behaviours post-transplant.

80 The study was approved by the [blinded for peer review]. All participants provided

- 81 written informed consent (received by post for those undertaking telephone interviews).
- 82

83 The study population included adults who had received a liver transplant and had ongoing 84 medical review as an outpatient of the service. Transplant registry lists were screened for 85 eligibility with inclusion criteria of ≥ 18 years of age, received a liver transplant ≥ 12 86 months ago, and English-speaking. Participants were opportunistically sampled to 87 identify those already scheduled for outpatient visits during the recruitment period 88 (January – June 2015), and purposively recruited to achieve broad demographic diversity 89 including gender and geographical location. Eligible patients were not previously known 90 to the investigators (although were known to the transplant clinic) and contacted via 91 telephone, had the purpose of the study described and invited to participate in the study. 92 Those who agreed to participate were scheduled for a face-to-face focus group (1-93 1.5 hours) or a telephone interview (30 minutes) based on their preference.

94

Four focus groups (total participants n=17) were conducted by investigators DC (APD, female, research student) and IH (PhD, research fellow, female, experienced researcher) over the study period and consisted of seven prepared questions. There were no nonparticipants present. Each group also developed a list of life priorities to identify the most important influencing factors in their lives at three different time-points across the transplant continuum: pre-transplant; early post-transplant (within 6 months); and ≥ 12 months post-transplant. Participants were encouraged as a group to name life priorities at

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102 each of the three time points until no new priorities were identified. These were listed in 103 order of mention on a whiteboard for all to see. When all participants had considered the 104 group list, they were each asked to anonymously write down the top five most relevant 105 issues to them personally for each time point, in order of priority for them as an individual. 106 This could include priorities that had not been discussed by the group. The participant's 107 priority list was given to the investigator without sharing with the group. 108 All discussions were audio recorded and transcribed for data analysis. Certificates of 109 appreciation were presented to participants on completion. 110

The option of a telephone interview was offered to participants who could not attend faceto-face due to geographical distance or personal commitments and was chosen by 11 participants. The choice to use both focus groups and interviews in this way was to ensure broad participant diversity, and to offer opportunities to voice issues that the participants may have been uncomfortable to discuss in a group setting. Due to lack of group dynamics, the priority listing exercise was modified for individualised interviews.

117

118 Data Analysis: Data were reported according to the COnsolidated criteria for REporting 119 Qualitative research(15). Interviews and focus groups continued until saturation of 120 themes was reached. Audio recorded data from focus groups and telephone interviews 121 were transcribed verbatim, and entered into NVivo11 (Qualitative Software for Research 122 (QSR) International 2017). Applied thematic analysis was used as an exploratory 123 approach to code broad emergent themes (DC). (16) Subthemes that emerged were 124 augmented using both an inductive approach (developed after consultative interpretation 125 of the analysis) and a deductive approach (bound by the intent of informing the 126 development of a health promotion program). Coding was cross-checked by secondary

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analysts (IH) and triangulated (DG) to validate interpretations and consistency.
Illustrative quotes are from participants in focus groups (FG) and telephone interviews
(TI) with multiple options proposed by analysts (DC, IH) and chosen with consensus by
all authors.

131

The prioritisation exercise whereby patients listed their top 5 life priorities at pre- early post- and post- transplant, was analysed using content analysis to determine the greatest frequency of stated life priorities clustered across the three pre-determined time points. (17) Similar meaning words were collapsed into themes and triangulated by an independent researcher. Frequency of word clusters were matched with identified themes to estimate when subthemes were most likely to emerge or change over the course of recovery.

139

140 **Results**

141 Figure 1 illustrates the recruitment flow diagram with n=28 agreeing to participate. 142 Participants were 24 to 68 years old (mean 53 ± 13 years), and 18 (64%) were male. 143 Median time since most recent transplant was 4 years (range 2 to 5 years). Both focus 144 groups and telephone interviews consisted of participants from local, regional and 145 interstate locations. Geographical residence of participants ranged from 13 (46%) being 146 located within the tertiary hospital catchment area, 6 (21%) within 100km of the hospital 147 and 9 (33%) >100km from the hospital. Average time for focus groups was 82 minutes 148 (range 70 – 90minutes) and individual interviews 27 minutes (range 15 - 48 minutes).

149

150 Thematic analysis identified three over-arching themes and multiple sub-themes (Figure151 2). The timing of when each sub-theme emerged across the transplant journey was

152	estimated by matching with the frequency of life priorities listed by participants at each
153	of the three pre-defined time points and was determined by consensus amongst
154	investigators (DC, IH, DG, AB)(Figure 2).
155	
156	Finding a Coping Mechanism
157	At every stage of transplant (from pre-surgery to many years post-transplant) participants
158	stated that finding a way to cope emotionally and physically with the transplant
159	experience was a high priority and infiltrated most decisions regarding health behaviours.
160 161 162	Facing an unknown future after near-death
163	The fear of an unknown future ruminated constantly prior to transplant and left a strong
164	legacy of uncertainty well after transplant that continued to impact on future life plans.
165	"The foremost thing was getting a transplant, but not knowing what was ahead
166	of me though, so you don't really know, it's one of those things that you
167	thinkis it going to be good or is it going to be bad"(TI,16)
168	
169	"For me every blood test was Russian roulettethere was always someone
170	who was in some degree of rejection so every blood test was you know, is it
171	my turn to find I'm in rejection. That was all the way through that first 12
172	monthsthat weighs very heavy on your mind only stuff that sticks in your
173	mind is the bad stuff all of a sudden when you're lying in bed at $2am$ " (FG4,
174	32)
175	
176	Emotional and psychological support networks

177	Participants expressed the need for emotional and psychological support networks to cope
178	with feelings of guilt and uncertainty at all stages of transplant. Family and social
179	influences could significantly impact adherence to health behaviours in either a positive
180	or negative way depending on the degree of perceived emotional and psychological
181	support received. A common topic that emerged was the importance placed on mentoring
182	or peer support from other LTRs, in the form of social and emotional support.
183	"People that had had transplants and they used to come up and give a talk too
184	and they'd talk to you about um you know what they went through and how
185	they handled it and you got to actually talk to them face to face and you could
186	ask them whatever questions you wanted to That's the best way if there's
187	someone [another LTR] close that can organise to meet and just have a talk
188	yeah that's all they need, a bit of support."(TI,16)
189	
190	However, participants also desired greater psychological support from the hospital
191	clinic to develop coping strategies for stress and anxiety, which they perceived to
192	impact on physical wellness even years after transplant.
193	
194	"The psychological aspect as well I'm a big advocate for that I don't think we
195	do enough in that area as well simply because you know, the transplant friends
196	I have, none of us have ever had any sort of support or anything like that. I
197	think that it's a big factor that's sort of overlooked." (FG,31)
198	
199	"So it was really, also the questions of how to actually maintain a balance in terms
200	of your thinking, you're sort of in a healing process, yes the physical stuff but also
201	your sort of spiritual healing shall we say." (FG1, 3)

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202

203 *Keeping a positive mindset*

- 204 Participants placed a high importance on optimism and maintaining a positive mindset as
- a strategy for coping before and after transplant.
- 206 "I've got a second chance. I've still got these underlying issues; I've really got
- 207 to keep on top of it so you can't really do that unless you stay
- 208 *positive.* "(FG, 10)

209

210

10 *Expressing gratitude and giving back*

After the early post-transplant recovery, participants had a strong emotional connection related to a deep gratitude for the 'gift of life' and expressed need to 'give back'. This was nuanced by personal expression that may have been inward looking such as motivation to look after the new liver through a healthy diet or outward looking such as adhering to prescribed hospital advice or offering reciprocal support for others.

- 217 "I'm guessing that most of us go through stages where we feel like you know
- 218 why us, and you know we're like eternally grateful for what was sort of given
- 219 to us so I started to think that what I could do to give something back you
- 220 know... How could I show that I was just being grateful you know."(TI,12)
- 221
- 222

Taking Responsibility

By later stages of recovery (>6months post-transplant), participants predominantly
wanted to take responsibility for their actions, and take ownership of their own health.
This was a coping strategy that made them feel in control of their health.

226	"I think maybe just people having, needing, to take the responsibility
227	themselves and owning what's going on in their life. You know what I mean
228	you can have all these people tracking you and following you and advising you
229	but if you don't take that responsibility and own it, it doesn't work. "(FG,20)
230	
231	Back to Life
232	Participants described a renewed outlook on life and expressed their desire to return to
233	'normality' and 'stability'. The word 'life' was used in the context of rebuilding life,
234	getting back to 'normal' life, 'making the most of life' and social life. Seeking a 'normal
235	life' included recovering physically and emotionally, gaining back independence and
236	returning to their ordinary day-to-day activities and way of living.
237	
238 239	Work and Finances
240 241	Returning to employment post-transplant was of critical importance. It was a prominent
242	thought well before transplant and greatly influenced the prioritisation of health
243	behaviours that impact on employment or managing finances after transplant.
244	"It was just trying to get back to work yeah cos you know being sick and being
245	away from work and being in hospital quite a lot yeah it puts quite a lot of
246	pressure on the family for bills and stuff like that"(TI,15)
247	
248	Taking care of and spending time with family
249	Participants valued family and social connections, and expressed a desire to take care
250	of and spend time with their family after transplant.
251	"Yeah it's the best thing that ever happened to me you know, one yes I'm still
252	alive but the lifestyle choices that I've made now whereas before we were um

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253	driven by material things now we're not. It's more about family and lifestyle
254	and you know doing what we actually enjoy rather than you know keeping up
255	with the Jones's. "(FG,31)
256	
257	Managing medical conditions and coordinating health service
258	interactions
259	From an early stage, participants placed a high priority on their long-term wellness
200	

and linked that to living a 'normal life'. The management of on-going medical issues, medications and side effects was a significant burden for participants, and outside what most people would consider 'normal'. Participants accepted the need for regular follow-up post-transplant, however expressed a desire for receiving care outside of the hospital/clinic environment. They wanted integration of a wider range of support services such as general practitioners, dietitians and exercise specialists into their post-transplant care schedule.

267

268	"Probably educate the GPs a little bit better the regime as to what the
269	recipient should be doing so that the GP becomes effectively a part of your
270	team. On exactly the same wave length of what recipients should be doing as
271	you. Even down to little things like the GP should be getting you to get your
272	blood tests done, should know the frequency of that. "(TI,8)
273	
274	"a long term issue for me to deal with is staying well in regards to having a to
275	keep on top of all the other medical stuff that's going on as well." (FG3,20)

276

277	Hospitalisations and outpatient appointments are burdensome, and participants described
278	eagerly anticipating a decrease in frequency of hospital appointments, which was a
279	common metric that they used to gauge their progress.
280	
281	"I started off like you monthly and then 3 monthly and then 6 monthly for a
282	couple of years and now its yearly. Yeah its great it's a good feeling. "(FG ,20)
283	
284	Tailored, Personalised Care
285	Participants acknowledged their uniqueness in the community due to their specific
286	requirements relating to long-term health advice and diet and exercise information
287	specific for LTR.
288	
289	Practical advice specific for me
290	The need for diet and exercise advice to be tailored to the specific needs of liver
291	transplantation and personalised to the individual's circumstances became evident
292	throughout the data. Participants identified a wide variety of preferences for long-
293	term health care and support but always with a focus on practical advice for
294	approaches to diet and exercise.
295	
296	"The information that they give you is just like, it needs to be more tailored to
297	individuals What information that suits one person probably doesn't suit
298	everyone. It depends [on] the circumstances you had your transplant
299	under. "(TI,21)
300	
301	Options for accessing support

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302	Participants' preferences varied for how they thought diet and exercise support post-
303	transplant should be provided. Some had a preference for group-based education; while
304	others wanted one-on-one interactions with health professionals. Participants valued in-
305	person interactions with health professionals and suggested video conferencing for LTR
306	who are not located close to the hospital. There were contrasting views on engaging with
307	technology for diet and exercise support with some LTRs open to innovative
308	technologies, while others objected strongly to this strategy and would prefer telephone
309	follow-up or written resources.

310

311 *"I love the electronics but it's not the same as a personal discussion*

312 You've got to use a whole range of things and you know.....a website where

313 some generic information goes and then there's more personalised one-on-one

314 *contact and whether that one-on-one is actually sitting here face to face or*

315 whether its though skype or something like that because of distance factors."

316 *(FG,13)*

317

318

Timing is right when I'm ready

319

Preferences for the timing of receiving post-transplant diet and exercise education ranged considerably. Some participants emphasised the importance of receiving post-transplant diet and exercise information prior to transplantation, while most reported being best placed to receive support within six months of receiving their transplant. There was agreement that if the presentation of a post-transplant wellbeing program is mistimed, unintended consequences could occur, with patients disregarding information or resenting health professionals.

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328	"You need that [lifestyle related] info pretty much straight away after the
329	transplant to get yourself on the right track. That first 12 months is a real
330	transitional phase for you because you are going through all the healing and
331	stuff. And you don't really have your head in the place of planning your new
332	life. You have your head in getting through day to day whereas 12 months
333	down the track your mindset has changed by then and you're starting to focus
334	on what you are going to do with your new life"(TI,8)

335

Based on a translation of the thematic analysis, key factors informing the
development of a post-transplant wellbeing program are identified in Table 1. These
include practical recommendations for clinicians to consider when implementing
post-liver transplant health services.

340

341

342 **Discussion**

This qualitative study used a process of knowledge exchange between researchers, clinicians and health system users and highlights the value of using implementation science for the design of new health services. It identified experiences that may influence decisions around health behaviours and informed elements of a post-transplant wellness program for LTR.

348

While quality of life improves after transplant, participants of this study described ongoing issues associated with mental health and emotional resilience that may impact on health-related decision making. ⁽¹⁸⁾ Unique emotional stressors such as survivor guilt and post-traumatic stress are recognised in organ transplant recipients. ⁽¹⁹⁻²²⁾ Study participants indicated that before they could address their diet and exercise needs, they

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354 needed better strategies to cope with the insecurity and uncertainty associated with 355 survival; and also needed the physical and emotional resources to deal with their current 356 medical issues. Regular exercise and improving diet quality are effective strategies for 357 fostering good mental health and cognitive function after significant health events such as cardiac arrest. (23-25) However, participants in this study did not identify such health 358 359 behaviours as coping mechanisms per se. This finding has significant implications for 360 transplant services that may not typically offer psychological support or peer mentoring 361 programs as standard care. The desire for professional emotional support emphasises the 362 need for multi-disciplinary teams before and after transplant. The provision of group 363 psychotherapy to patients awaiting liver transplant has uncovered some reluctance to 364 engage with these strategies but warrants further investigation due to the potential benefits 365 such as reduced anxiety and sharing experiences with others(26).

366

Based on the responses from this cohort of LTRs it seems important at the outset of a health promotion program to formally recognise the emotional burden of receiving a transplant and the influence of post-traumatic growth on well-being and health behaviours post-transplant(27). Incorporating LTR peers into the delivery of the program will offer another vehicle for emotional support and also serve as a mechanism to develop partnerships with knowledge users who acknowledge a responsibility to live a healthy life. ^(19, 28)

374

375 Participants placed a high priority on getting back to 'normal life' despite reconciling 376 their reality that interacting with health services and self-monitoring will be a life-long 377 burden that others do not bear. The importance of regaining independence, such as being 378 able to drive and travel, and returning to work, are common themes post-organ transplant.

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379 ⁽²⁹⁾ The experience of this cohort was that re-entering the workforce was a high priority, 380 contributed to financial recovery, social functioning and establishing a work-life balance 381 that reflects emerging wellness. These results can inform the structure of program 382 delivery whereby the need for recipients to prioritise and balance work commitments with 383 recommended health behaviours are acknowledged and accomodated.

384

Participants in this study desired tailored, personalised health care, with practical guidance to help them self-manage diet and exercise. Rather than a lack of understanding around the benefits of exercise, participants expressed uncertainty around how best to commence strenuous physical activities due to feeling ill-equipped to judge the safety of exercise. Fear associated with physical capabilities post-transplant has been recognised in other organ transplant groups. ⁽²⁸⁾ Guided, tailored prescription of exercise pre- and early post-transplant may overcome this barrier.

392

A tension exists between participants' preference to maintain relationships and monitoring by specialist health professionals with the desire for a life free from hospital appointments. While the LTRs wanted expert advice for key aspects of care such as emotional needs and exercise prescription, there was a commitment to take responsibility for the long-term self-management of their wellbeing. This paradox may be addressed with flexible telehealth access to specialist care within the context of predominantly home based, self-directed support programs.

400

401 Considering the stated financial concerns of LTR, and the geographical dispersion of a402 state-wide transplant service; utilising telehealth platforms and technology to offer

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403 hospital-to-home group support for long term health behaviour change may improve
404 effectiveness and equitable access. ⁽³⁰⁻³³⁾

405

406 This study highlighted the need for some degree of patient-led process for how and when 407 post-transplant health services are engaged by LTR. Recipients desire an awareness of 408 available resources at early stages of the transplant experience, however the readiness to 409 engage differs for each LTR. In addition, it was identified that life priorities change over 410 the course of recovery, which may impact on readiness to engage with wellness programs. 411 There is likely to be greater uptake and effectiveness if the health system can support an 412 inherently flexible user-led approach to uptake of service delivery and potentially 413 screening LTR and their carers for readiness to engage may be worthwhile to improve 414 uptake.

415

416 The results of this study contribute to person-centred health care design by creating

417 partnerships between researchers and the people for whom the research is ultimately

418 meant to be of use. ⁽³⁴⁾ This challenges assumptions of experts and values the

419 consideration of LTR life priorities during the course of recovery.

420

The study has used robust qualitative methods to involve knowledge users in research. Many aspects of this study decreased barriers to inclusion such as giving participants options for data collection methods (phone interviews or focus groups) including regional and metropolitan residents, and increased face validity by secondary analysts performing data triangulation. Question development involved a multidisciplinary team, which increased internal consistency. Due to the voluntary nature of the study, it is possible that the participants were over-represented by those who have had a positive transplant and/or

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428 healthcare experience. In addition, all participants were asked to recall their pre-transplant 429 experiences and perceptions which may have been more than 12 months prior for some 430 participants and introduces recall bias for pre- and early-post transplant time points. The 431 results are contextual to an Australian, English-speaking transplant population and may 432 not be generalizable to other countries and cultures.

433

434 Conclusion

This interactive qualitative process of knowledge exchange focused on the experiences
of LTRs and identified life priorities most likely to influence decision-making related to
health behaviours post-transplant. Users co-creation of services has the potential to
improve the integration of knowledge into health systems and improve patient outcomes.
The future direction of this patient engagement process will involve the implementation
and evaluation of technology-assisted lifestyle intervention for liver transplant recipients.
Acknowledgment

443 The authors would like to acknowledge the liver transplant recipients who shared their444 stories and life experience to improve the health services for others.

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566	Table 1: Key insights from LTRs to inform the design of a post-transplant diet	
567	67 and exercise program Key Insights	
	Consider the psychological and emotional health of the patient at entry to	
	the program, and the influence of mental health status on decision-	
	making related to health behaviors	

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- Include mentoring and networking with other LTR to share experiences and facilitate group interactions with people at different stages of recovery
- Potential recipients should be made aware of available post-transplant diet and exercise resources prior to transplant, but be able to choose when and how to engage with these resources
- Service delivery options that include tele-health and video connections for face to face contact should be included, with flexible access times to suit employment and family commitments
- Program to be delivered by health professionals with expertise in liver transplant
- Program information to be pitched with positivity regarding maintaining health and wellbeing rather than reminding patients of links with illness and chronic disease

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570 571	Figure Headings
572 573 574	Figure 1: Study participant recruitment flow diagram
575	Figure 2: Three overarching themes with subthemes emerging across the liver
576	transplant journey, which have potential to impact the design of diet and exercise
577	wellness programs.
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