

1                   **Amination of enzymes to improve biocatalyst performance.**

2                   **Coupling genetic modification and physicochemical tools**

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24

25 **Abstract**

26

27 Improvement of enzyme features is in many instances a pre-requisite for the industrial  
28 implementation of these exceedingly interesting biocatalysts. To reach this goal, the  
29 researcher may utilize different tools. For example, amination of the enzyme surface produces  
30 an alteration of the isoelectric point of the protein along with its chemical reactivity (primary  
31 amino groups are the most widely used to obtain the reaction of the enzyme with surfaces,  
32 chemical modifiers, etc) and even its “*in vivo*” behavior. This review will show some  
33 examples of chemical (mainly modifying the carboxylic groups using the carbodiimide route),  
34 physical (using polycationic polymers) and genetic amination of the enzyme surface. Special  
35 emphasis will be put on cases where the amination is performed to improve subsequent  
36 protein modifications. Thus, amination has been used to increase the intensity of the  
37 enzyme/support multipoint covalent attachment, to improve the interaction with cation  
38 exchanges supports or polymers, or to promote the formation of crosslinkings (both intra-  
39 molecular and in the production of crosslinked enzyme aggregates). In other cases, amination  
40 has been used to directly modulate the enzyme properties (both in immobilized or free form).  
41 Amination of the enzyme surface may also pursue other goals not related with biocatalysis.  
42 For example, it has been used to improve the raising of antibodies against different  
43 compounds (both increasing the number of haptamers per enzyme and the immunogenicity of  
44 the composite) or the ability to penetrate cell membranes.

45 Thus, amination may be a very powerful to improve the use of enzymes and proteins in many  
46 different areas and may be expected a great expansion of its usage in the next future.

47 **Key words:** enzyme chemical amination, enzyme genetic amination, polymer coating of  
48 enzymes, enzyme multipoint covalent attachment, crosslinking, enzyme stabilization, enzyme  
49 modulation.

## 50 **Introduction**

51

52           Enzyme features, such as specificity, selectivity and activity under mild conditions,  
53 have attracted the attention of researchers on these molecules as catalysts of industrially  
54 relevant reactions since the middle of the last century.<sup>1-4</sup> However, together with the positive  
55 properties, enzymes also have some features that are in opposition with their use as industrial  
56 catalysts: e.g., enzymes are soluble, unstable, inhibited by substrates, products and other  
57 compounds, and the good catalytic properties are only optimized towards the physiological  
58 substrate.<sup>5</sup> Many of these limitations are based on their biological origin. In nature enzymes  
59 are submitted to strict regulations in complex metabolic routes to give a rapid answer to  
60 changes in the medium. However, now we intend to use the enzymes in an industrial reactor,  
61 where they are no longer required to have this regulative behavior.

62           Genetic tools have permitted to obtain more stable and efficient biocatalysts by  
63 diverse tools, such as site-directed mutagenesis or directed evolution.<sup>6-9</sup> This strategy may be  
64 more or less complex and time-consuming to produce the desired enzyme, but once the mutant  
65 enzyme is ready, the large scale production will not be more expensive than using a native  
66 enzyme (it may become cheaper if enzyme overproduction is achieved. [\(Figure 1\)](#))

67           Another useful tool to improve enzyme properties is the chemical modification of  
68 enzymes.<sup>10-15</sup> [\(Figure 2\)](#) Chemical modification may pursue producing a one-point  
69 modification (and although the effect of the modification on the enzyme features may be hard  
70 to predict, in some cases enzyme performance improves)<sup>16, 17</sup> or the introduction of  
71 intramolecular crosslinkings to increase the enzyme rigidity and thus, enzyme stability may be  
72 enhanced.<sup>18</sup> On one hand, the modification may be performed quite rapidly, but the enzyme  
73 will need to be modified each time the biocatalyst is prepared. On the other hand, as an  
74 additional advantage to genetic modifications, the only limit to the nature of the introduced

75 groups will be the imagination of the researcher, and it is not limited to enzymes with  
76 available genes.<sup>14, 15</sup>

77 Another tool to improve enzyme performance is the immobilization.<sup>19-25</sup> This  
78 technique needs to be used to solve the first of the protein problems as industrial biocatalyst:  
79 the water-soluble nature of enzymes.<sup>26-28</sup> (Figure 3). This consists in the confinement of the  
80 enzyme molecules in a limited space, and permits to have a heterogeneous catalyst, easy to  
81 separate from the reaction medium, and to reuse it, if the enzyme is stable enough. There are  
82 many immobilization techniques,<sup>29, 30</sup> more or less adequate for each specific case depending  
83 on the enzyme and the process (e.g., substrate size).<sup>31</sup> However, as this immobilization step is  
84 almost compulsory in the preparation of an industrial biocatalyst, many authors are trying to  
85 solve other enzyme limitations during immobilization.<sup>19-25</sup> Thus, immobilization inside porous  
86 structures avoids the interaction of the enzyme molecules with other enzyme molecules  
87 (preventing enzyme aggregation) or with interfaces such as gas bubbles, able to inactivate  
88 enzymes<sup>25</sup> (Figure 3). Rigidification of the enzyme tridimensional structure may be achieved  
89 via multipoint covalent attachment<sup>19-21</sup>, while the multisubunit immobilization of multimeric  
90 enzymes prevents their inactivation via dissociation.<sup>32</sup> In some cases, the generation of  
91 favorable environments may permit the stabilization of the enzyme under certain conditions.<sup>33,</sup>  
92 <sup>34</sup> (Figure 3).

93 With a handful of exceptions, these three tools are used in an individual way to  
94 design a biocatalyst, without considering that all of them may (and even must) be used  
95 simultaneously to have a biocatalyst with enhanced properties.<sup>35-37</sup> This is even more stressed  
96 considering, as stated above, that the enzymes must be finally used in an immobilized form.<sup>26</sup>  
97 For example, the more stable the free enzyme is, the higher the range of conditions that may  
98 be used to submit the enzyme to immobilization or chemical modification processes.<sup>36</sup>

99 In fact, the relevant point is the final stability of the immobilized enzyme, and not the  
100 stability of the free enzyme (Figure 4).

101 In this review, we will focus on the amination of the enzyme molecule surface, using  
102 physical, chemical or genetic strategies, to improve its properties, such as stability, but also  
103 activity or selectivity. Special emphasis will be paid to the coupled use of amination to  
104 improve the immobilization, chemical or physical modifications of the enzyme.

105

## 106 **2. Importance of the amination of enzyme surface**

107 The amination of the surface of a protein may fulfill many different objectives (Figure  
108 5). For example, it may alter the existing interactions between the groups in the enzyme  
109 support to tune the enzyme properties.<sup>35</sup> This is easily obtained using chemical modification  
110 because chemical amination is based on the amidation of carboxylic acids (see section  
111 below).<sup>38, 39</sup> This modification produces a clear alteration of the ionic interactions on the  
112 protein surface: ionic bridges may be broken and changed by repulsion forces. These changes  
113 may affect the conformation of the enzyme, and thus its stability, activity, specificity or  
114 selectivity.<sup>40, 41</sup>

115 This alteration of the sign in the ionic character of areas of the protein surface may  
116 facilitate the use of cation exchangers to purify the enzyme that does not naturally have  
117 tendency to become adsorbed on these supports (e.g., using poly-Lys tags).<sup>42-44</sup>

118 Another likely objective to be achieved via amination of the enzyme surface is to  
119 increase the enzyme chemical reactivity versus a support used for covalent immobilization.<sup>37</sup>  
120 Most of the supports used to immobilize proteins are designed to involve the primary amino  
121 groups of the protein (terminal amino group and  $\epsilon$  amino group of Lys). That is because Lys is  
122 an ionic nucleophilic group, relatively frequent in the enzyme sequence, usually placed on the  
123 protein surface due to its hydrophilicity and its reactivity with a broad diversity of groups that

124 may be introduced in the support (epoxyde,<sup>45-47</sup> vinyl sulfone,<sup>48, 49</sup> glutaraldehyde,<sup>50, 51</sup>  
125 cyanogen bromide,<sup>52</sup> tosyl chloride,<sup>53</sup> tresyl chloride,<sup>54</sup> glyoxyl,<sup>55</sup> etc.) without requiring any  
126 activation step. As a first obvious effect, an enrichment of the enzyme surface in primary  
127 amino groups will produce an increase on the immobilization rate of all these supports.  
128 Introduction of Lys residues may also permit the immobilization/purification of the enzyme,  
129 using supports such as glyoxyl ones that require immobilizing the enzyme via some  
130 enzyme/support attachments.<sup>56, 57</sup>

131           However, as it will be discussed in a following section, the main interest of this  
132 modification is the possibility of achieving a more intense enzyme/support reaction,<sup>35, 37</sup> that  
133 is, a more intense multipoint covalent attachment that can drive to higher enzyme stabilization  
134 , or controlling the immobilization area (in this case, just using site-directed mutagenesis).<sup>58, 59</sup>

135           If the amino groups are introduced chemically using ethylenediamine, the new amino  
136 groups present a pK value that is lower than that of the Lys (9.2 versus 10.7),<sup>60</sup> being thus  
137 more reactive and permitting both, immobilization and multipoint covalent attachment under  
138 milder conditions.<sup>35</sup> This may be very important when the enzyme is unstable at alkaline pH  
139 values.<sup>61</sup> However, this modification will be uncontrolled along the whole protein surface,  
140 while the site directed mutagenesis permits to introduce reactive groups just in the desired area  
141 of the protein, leaving the other areas of the protein unmodified.

142           The increase of amino groups in the enzyme surface may also be a tool to facilitate  
143 some further chemical or physical modification of the enzyme. For example, it may facilitate  
144 the coating of the enzyme with anion exchangers.<sup>62, 63</sup> The increase on primary amino groups  
145 has been also used in certain cases to improve the prospects of achieving intra (to stabilize  
146 enzymes)<sup>64</sup> or intermolecular (to prepare crosslinked enzyme aggregates, CLEAs).<sup>65</sup> The  
147 lower pK value of the chemically introduced amino groups using ethylenediamine has also  
148 permitted to have a more general chemical modification of protein surfaces with other

149 molecules via modification of these amino groups under mild conditions that requires using  
150 the unmodified enzyme.<sup>66</sup>

151 The physical coating of the enzyme surface with a poly-amine polymer, like  
152 polyethylenimine or poly allyl amine, will have many positive effects on enzyme properties,  
153 effects that are derived from the physical and chemical features of the polymer<sup>67-69</sup>. Among  
154 these, we can remark out the partition away from the enzyme environment of deleterious  
155 hydrophobic compounds (oxygen,<sup>70, 71</sup> hydrophobic organic cosolvents,<sup>72, 73</sup> prevention of  
156 interaction with inactivating interfaces,<sup>74</sup> stabilization of multimeric structures,<sup>74, 75</sup> etc).

157 However, in the context of this review, it be remarked that the coating with poly-amine  
158 polymers of the enzyme surface permits, in an indirect way, the enzyme ionic exchange on a  
159 cation exchanger, even though initially the enzyme had no tendency to become adsorbed to  
160 this cation exchanger.<sup>74</sup>

161 In the next sections of this review, we will present and discuss in a deeper way all this  
162 general ideas, supplying some of the available examples.

163

### 164 **3. Chemical amination**

#### 165 **3.1. Chemistry of the chemical amination of enzymes using the carbodiimide route**

166 The use of water-soluble carbodiimides in conjunction with reactive nucleophilic  
167 species, as a technique for the modification of carboxyl groups in enzymes and other proteins,  
168 was introduced several years ago.<sup>76, 77</sup> Proteins have many reactive groups that can react  
169 largely with carbodiimides in the same fashion as with simple nucleophiles.<sup>78</sup> Versatility and  
170 usefulness of carbodiimides as chemical modifying agents has been widely demonstrated.<sup>41, 61,</sup>  
171 <sup>78-80</sup>

172 Ethyl-di-methyl-amino-propyl Carbodiimide (EDC) is often used in the chemical  
173 modification of biocatalysts, such as proteases, ribonuclease and glucose oxidase, among

174 others, and allows the alteration of amino acid side chains thereby generating new enzymes  
175 via covalent modification of existing proteins. For this reason it has been used extensively for  
176 the chemical modification of proteins.<sup>38, 78, 80</sup>

177 Using carbodiimides and nucleophiles such as primary amines it is possible to modify  
178 carboxyl groups from different proteins. The nature of the current chemical reactions involved  
179 in carboxyl group modifications using water-soluble carbodiimides has been previously  
180 described.<sup>77, 81</sup> This chemistry is summarized in Figure xx. In the first step of the reaction, the  
181 carboxyl group is added to the carbodiimide, forming a very labile O-acyl-iso-urea  
182 intermediate. As a result of the re-protonation at the site of the Schiff base, the intermediate  
183 will change into a carbocation, followed by reaction with nucleophilic species such as  
184 ethylenediamine at high concentrations in order to give a stable amide bond (Figure XX, route  
185 1).

186 On the other hand, if carbodiimide is added in excess, the O-acyl-iso-urea intermediate  
187 can be rearranged to form N-acyl-urea as byproduct via an intramolecular acyl transfer  
188 mechanism. In the special case, where the nucleophile is water, the carboxyl group will be  
189 regenerated with the conversion of 1 molecule of carbodiimide into its corresponding urea  
190 (Figure XX, route 2).<sup>77, 81</sup> However, kinetic studies on the modeling of carbodiimide-carboxyl-  
191 nucleophile system have shown that the rearrangement can be slowly compared to the  
192 nucleophilic attack if the concentration of nucleophile is sufficiently high.<sup>77</sup> Therefore, the  
193 coupling reaction of carboxyl and nucleophile can be driven essentially to completion in the  
194 presence of excess of both carbodiimide and the nucleophilic reagent.

195 Carbodiimides are not only specific for carboxyl groups. In aqueous solutions at acidic  
196 pHs, carbodiimides would react also with free sulfhydryl groups as for example the thiol  
197 group from side chains of cysteine,<sup>82</sup> as well as accessible phenolic hydroxyl groups and  
198 tyrosines.<sup>83</sup> Indeed, it has been reported that the carbodiimide activated O-acyl-iso-ureas on



199 one molecule may undergo displacement by the slightly nucleophilic hydroxyl of tyrosine  
200 (Figure 3).<sup>80, 83, 84</sup> In fact, kinetic studies have shown that reaction rates of sulfhydryl and  
201 carboxyl groups with EDC are approximately equal, while tyrosine reacts more slowly.  
202 Carraway and Koshland<sup>83</sup> have shown that EDC converts accessible tyrosine residues in  
203 proteins to O-arylisourea derivatives, which are resistant towards acid hydrolysis. However,  
204 they have also shown that hydroxaminolysis of the modified protein quantitatively reverses  
205 this tyrosine modification.

206 The reaction of carbodiimides with the carboxyl group in proteins can lead to  
207 inhibition; this can be caused by interaction of neighboring nucleophiles that could generate  
208 intramolecular cross-linkings (Figure xxa). For example, ATPase is inhibited by the  
209 carbodiimide. The mechanism of the inhibition is thought to be via formation of the O-acyl-  
210 iso-urea species followed by the attack of an adjacent nucleophile causing the loss of urea,  
211 covalent binding of the nucleophile with the binding site to produce cross-linking, and no loss  
212 of inhibition. Protection of the enzyme by methyl glycinate only occurs when this nucleophile  
213 is added simultaneously with the carbodiimide; subsequent addition to the nucleophile does  
214 not cause regeneration of the O-acyl-iso-urea.<sup>78, 85</sup>

215 Furthermore, another cause of enzymatic inhibition by use of carbodiimides can be  
216 attributed to O-N-acyl shift re-arrangements (figure xxxb). If the external nucleophile is water,  
217 the enzyme is then regenerated. The O-acylisourea is relatively labile to hydrolysis, which  
218 causes regeneration of the active enzyme. However, residues partially shielded from  
219 solvolysis are susceptible to the stable N-acyl-urea rearrangement. Functionally important acid  
220 groups may frequently be found shielded in active sites and this type of chemical modification  
221 becomes now feasible.<sup>78, 84</sup>

222

223 **3.2. Chemical amination of free enzymes**

224 The amination of enzymes via the carbodiimide route is a very old technology. The  
225 first interest of the modifications is usually the modification of the carboxylic acids of the  
226 protein to discriminate the existence of essential carboxylic groups for the function of the  
227 proteins, and that was performed with diamines,<sup>79</sup> but also with just mono amine  
228 compounds<sup>80, 86</sup> as the final goal was not the amination of the enzyme surface but the  
229 modification of the carboxylic residues.

230 However, some examples may be found where the objective was to aminate the  
231 enzyme surface and check the effects of this modification on the enzyme performance.

232 One of the first approaches in using diamines and carbodiimide to improve enzyme  
233 properties was the test of using modification to introduce intramolecular cross-linkages.<sup>87</sup>  
234 The effect of the length of the diamine chain on the thermostability of  $\alpha$ -chymotrypsin has  
235 been studied. To increase the prospects of having an intense crosslinking,  $\alpha$ -chymotrypsin was  
236 succinylated. For succinylated  $\alpha$ -chymotrypsin, the dependence of the rate constant of  
237 monomolecular thermoinactivation of the enzyme on the length of the cross-linking agent has  
238 a minimum for a shorter bifunctional reagent, ethylenediamine. The maximum stabilizing  
239 effect (compared to the native enzyme) increased (from 3- to 21-fold) when  $\alpha$ -chymotrypsin  
240 was modified with tetramethylenediamine or succinylated  $\alpha$ -chymotrypsin modified with  
241 ethylenediamine is used.<sup>87</sup> However, they did not check if the amination degree was similar  
242 using the different diamines (and very likely it was not, due to the different pK of the amino  
243 groups), neither checked the likely existence of enzyme aggregates.

244 In a further research, the modification of 3 carboxyl groups of the glucoamylase  
245 from *Aspergillus niger* by ethylenediamine I increased the thermostability of the enzyme for  
246 temperatures above the temperature of compensation, which is 60 °C.<sup>88</sup>

247 In some examples, a specific modification of a target carboxylic residue could be  
248 achieved if the carbodiimide presented some affinity towards those groups. This was the case

249 of the specific modificacion of Asp-101 of hen egg white lysozyme, via the carbodiimide  
250 route, and using nucleophiles as different as ethanolamine, ethylenediamine, methylamine, or  
251 4(5)-(aminomethyl)imidazole. The specific modificaiton could be attained using a small  
252 excess of carbodiimide, and that was explained by the specific binding of EDC to the substrate  
253 binding site close to Asp-101.<sup>89, 90</sup> With histamine or D-glucosamine, the selectivity of the  
254 modification towards Asp-101 was somewhat lower. This may be due to the specific binding  
255 of these amines to lysozyme in competition with the carbodimide. Depending on the amine  
256 employed, the modified lysozyme exhibited a decreased activity (83-52% of native enzyme),  
257 suggesting that the modification of Asp-101 weakened substrate binding.

258 In another example, the carboxyl groups of  $\beta$ -glucosidase from *Aspergillus niger*  
259 NIAB280 were modified by water soluble 1-ethyl-3(3-dimethylaminopropyl) carbodiimide in  
260 the presence of glycinamide or ethylenediamine.<sup>91</sup> The half-lives of both modified enzymes at  
261 low temperatures (55 and 60°C) were reduced, whereas at higher temperatures (64 and 67°C)  
262 half-lives were enhanced. At 70°C the half-life of the enzyme modified with glycinamide  
263 became equal to the native whereas that of the EDA modified enzyme was increased.  
264 Chemical amination may produce very different effects when changing the inactivation  
265 conditions.

266 In some instances, amination was not the target reactions but a reference composite.  
267 Carboxymethylcellulase from *Aspergillus niger* was modified by 1-ethyl-3(3-  
268 dimethylaminopropyl) carbodiimide in the presence of dimethylamine hydrochloride and  
269 ethylenediamine dihydrochloride as nucleophiles.<sup>92</sup> The amino groups of the enzyme modified  
270 with dimethylamine hydrochloride were further modified by acetic anhydride for the complete  
271 elimination of surface charges. In all cases the specificity constants ( $V(\max)/K(m)$ ) was  
272 improved from 0.16 to around 1. Gibbs activation free energies of denaturation of native and  
273 aminated enzyme at 80°C and pH 5.2 were 110 and 107 kJ mol<sup>-1</sup>, whereas enthalpy of

274 denaturation were 143 and 144 kJ mol<sup>-1</sup>, and the entropies of denaturation were 91 and 105  
275 kJ mol<sup>-1</sup> K<sup>-1</sup>, respectively, indicating highly disordered conformations of all the transition  
276 states of modified enzyme. However, the authors focused on the stabilization of the double  
277 modified enzyme in the presence of solvents.<sup>92</sup>

278           Chemical amination using ethylenediamine of a glucoamylase from *Fusarium solani*  
279 permitted to increase activity and stability of the enzyme, being the effect depended on the  
280 exact modification degree.<sup>93</sup> Temperature and pH optima of modified glucoamylase increased  
281 after modification while the specificity constant (k<sub>cat</sub>/K<sub>m</sub>) of unmodified and optimal  
282 modified enzyme went from 136 to 225. Thus, the chemical amination of this enzyme offered  
283 very interesting enhances of the enzyme performance.

284           Three to four carboxyl groups of a xylanase from *Scopulariopsis sp.* were  
285 chemically modified using ethylenediamine and carbodiimide.<sup>94, 95</sup> There were no differences  
286 in pH optima between the native and modified enzyme, but there was a double pH optimum  
287 for the modified enzyme. The V<sub>max</sub>/K<sub>m</sub> decreased relative to the non-modified enzyme.

288           In a very interesting paper, Matsumoto and co-workers showed the combined use of  
289 chemical modification and site-directed mutagenesis to get an optimized enzyme. The target  
290 enzyme was serine protease subtilisin *Bacillus lentus* A significant enhancement of the  
291 applicability of this enzyme in peptide synthesis was achieved by using the strategy of  
292 combined site-directed mutagenesis and chemical modification to create chemically modified  
293 mutant enzymes.<sup>96</sup> The introduction of polar and/or homochiral auxiliary substituents, such as  
294 X = oxazolidinones, alkylammonium groups, and carbohydrates at position 166 at the base of  
295 the primary specificity S<sub>1</sub> pocket created an enzyme with strikingly broad structural substrate  
296 specificities. These modified mutant enzymes are capable of catalyzing the coupling  
297 reactions of not only L-amino acid esters but also D-amino acid esters as acyl donors with  
298 glycylamide to give the corresponding dipeptides in good yields. These powerful enzymes are

299 also applicable to the coupling of L-amino acid acyl donors with L-alaninamide. Typical  
300 increases in isolated yields of dipeptides of 60-80% over the wild type enzyme (e.g. 0% yield  
301 of Z-D-Glu-GlyNH<sub>2</sub> using wild type enzyme versus 74% using S166C-S-(CH<sub>2</sub>)<sub>2</sub>NMe<sub>3</sub><sup>+</sup>)  
302 demonstrate the remarkable synthetic utility of this "polar patch" strategy. Such wide-ranging  
303 systems displaying broadened and therefore similarly high, balanced yields of products (e.g.  
304 91% Z-L-Ala-GlyNH<sub>2</sub> and 86% yield of Z-D-Ala-GlyNH<sub>2</sub> using S166C-S-(3R,4S)-  
305 indenooxazolidinone) was proposed as a tool to allow the use of biocatalysts in parallel library  
306 synthesis.<sup>96</sup>

307 In another cases, the covalent modification of the enzyme was carried out using  
308 polymers. For example, chitosan was linked to invertase by covalent conjugation to periodate-  
309 activated carbohydrate moieties of the enzyme.<sup>97</sup> The thermostability of the modified enzyme  
310 was enhanced by about 10 °C. The half-life at 65 °C was increased from 5 min to 5 h. The  
311 enzyme stability was enhanced by 20% at pH below 3.0. The half-life of denaturation by 6 M  
312 urea was increased by 2 h.

313 In another instance, the sugar chain of glycosylated portion was aminated before a  
314 further modification. For example, pectin was attached to ethylenediamine-activated  
315 carbohydrate moieties of invertase using 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide as  
316 coupling agent.<sup>98</sup> The modified enzyme retained 57% of the original activity and contained 2.7  
317 mol polymer per mol of holoenzyme. Its optimum temperature was increased by 8 °C and its  
318 thermostability by 7.3 °C. The half-life at 65 °C was increased from 5 min to 2 days. The  
319 enzyme stability was enhanced by 33 % at pH 2.0, and also by 27 % at pH 12.0. The  
320 conjugate retained about 96 % of its initial activity after 3 h incubation in 6 M urea.

321 A more sophisticated strategy involves the use of an enzyme to produce the chemical  
322 modification of the target enzyme with the aminated polymer. Several polysaccharides were  
323 derivatized with 1,4-diaminobutane and covalently attached to bovine pancreatic trypsin

324 through a transglutaminase-catalysed reaction.<sup>99</sup> The conjugates retained about 61–82% of the  
325 original esterolytic activity of trypsin, while the optimum pH was shifted to alkaline values.  
326 The prepared conjugates were also more stable against thermal incubation at different  
327 temperatures ranging from 50°C to 60°C, and were about 22- to 48-fold more resistant to  
328 autolytic degradation at pH 9.0. Transglutaminase-catalysed glycosidation also protected  
329 trypsin against denaturation in surfactant media, with 9- to 68-fold increased half-life times in  
330 the presence of 0.3% (w/v) sodium dodecylsulfate.

331

### 332 **3.3. Chemical amination to improve the immobilization of the enzyme**

#### 333 **3.3.1. Increase of the intensity of the enzyme/support multipoint covalent** 334 **attachment**

335 As stated above, one of the goals that may be pursued by amination of the enzyme  
336 surface is to increase the amount of reactive groups on the enzyme surface and thus improve  
337 the prospects of getting an intense multipoint covalent attachment. It is possible to find diverse  
338 examples of this in the literature. However, this strategy may be effective only if the support  
339 and protocol are chosen in a way that may permit to get this multipoint covalent attachment  
340 (e.g., glyoxyl-agarose,<sup>55</sup> epoxy,<sup>50</sup> etc).

341 The first example was the amination of the enzymes glutaral acylase and penicillin  
342 G acylase to improve their multipoint covalent immobilization on glyoxyl-agarose.<sup>100</sup> Both  
343 enzymes were quite different regarding the density of Lys residues on the surface. While  
344 penicillin G acylase presented 41 superficial Lys,<sup>101</sup> glutaral acylase presented just 9  
345 groups.<sup>102</sup> In fact, penicillin G acylase could be greatly stabilized via immobilization on  
346 glyoxyl agarose, while glutaral acylase immobilized very slowly in this support and the  
347 stabilization obtained was reduced. After full chemical amination of the exposed carboxylic  
348 groups (following the carbodiimide route described above), it was found that the aminated

349 penicillin acylase almost did not reduce its activity, but severely reduced enzyme stability. For  
350 this reason, only 50% modification was utilized. In the case of glutaral acylase the lack of  
351 stability at pH 4.75 forced to use pH 6 in the modification and after this the activity decreased  
352 by 20%, but its stability remained almost unaltered. This shows the heterogeneity of the  
353 effects of the chemical modification on enzyme properties, as it has been shown above.  
354 Moreover, it also suggests that the chemical modification may be at a disadvantage regarding  
355 the genetic modification, where only the desired groups will be modified.

356 As a further advantage, both enzymes could be now immobilized at pH 9 (while the  
357 non aminated enzyme required a pH value near 10). This permitted to alter the orientation of  
358 the enzymes on the enzyme support and after immobilization at pH 9, the pH was increased to  
359 10 to favor the multipoint covalent attachment. For glutaral acylase, results were similar to  
360 the direct immobilization at pH 10, but for penicillin G acylase, the stability increased by a 2-  
361 fold factor compared to the enzyme directly immobilized at pH 10. Thus, after immobilization  
362 of the partially aminated enzymes, the comparison of the unmodified/ modified enzymes  
363 immobilized on glyoxyl support permitted to get a stabilization of a four-fold factor in the  
364 case of penicillin G acylase and a 20-fold factor in the case of glutaral acylase, showing the  
365 potential of the strategy.<sup>100</sup>

366 Glucoamylase immobilized very slowly on glyoxyl-agarose, stabilizing the enzyme  
367 only by a 6-fold factor.<sup>103</sup> After amination, enzyme stability was maintained, but now the  
368 immobilization rate was higher and the final stabilization factor was 500, maintaining a 50%  
369 of the initial activity after the whole protocol.

370 Laccase from *Trametes versicolor* was aminated and immobilized on glyoxyl  
371 supports, enabling a stabilization of 280 folds while maintaining a 60% of the activity.<sup>104</sup>  
372 Without the amination step, the immobilization of the enzyme on the support results  
373 negligible, due to the poor density of Lys residues on the enzyme surface (just 8 Lys).<sup>105</sup> This

374 biocatalyst could be used 10 cycles in oxidation of phenyl compounds without detecting a  
375 decrease in enzyme activity.

376           Immobilization of lipase from *Candida rugosa* on electrochemically synthesized  
377 PANI activated with glutaraldehyde could be improved after chemical amination of the  
378 enzyme.<sup>106</sup> Aminated lipases exhibited higher specific activity (52%) and thermal stability (3  
379 times) after immobilization, compared with the unmodified lipase. Also, reusability of the  
380 immobilized enzyme was significantly increased with amination, especially if immobilization  
381 was performed at pH 10, this biocatalyst retained 91% of activity after 15 reaction cycles.

382           The effect of different chemical modifications, before or after immobilization, on the  
383 properties of immobilized invertase from baker's yeast immobilized was studied.<sup>107</sup> The  
384 immobilized preparations obtained were Sp-INV by direct coupling of invertase to Sepharose,  
385 Sp-PEA-INV by coupling of periodate and ethanolamine-treated invertase to Sepharose, Sp-  
386 PEDA-INV by coupling of periodate and ethylenediamine-treated invertase to Sepharose, and  
387 Sp-PEDA-2-4-6-trinitrobenzene sulfonic acid (TNBS)-INV by coupling of TNBS followed by  
388 periodate and ethylenediamine-treated invertase to Sepharose. All of the immobilized  
389 preparations exhibited higher stability against heat and urea-induced inactivation as compared  
390 to native invertase. Among the procedures employed for immobilization of invertase, the Sp-  
391 PEDA-INV preparation exhibited highest yield of immobilization, and thermal and storage  
392 stability.

393           However, this strategy was complicated for industrial implementation, as it requires  
394 the complete elimination of the remaining ethylenediamine, a competitor for the glyoxyl  
395 groups that could reduce the prospects of getting an intense multipoint attachment. The use of  
396 free enzyme makes the use of more or less complex techniques (e.g., ultrafiltration) necessary  
397 to eliminate this reagent. This was solved in a new evolution of the strategy. The target  
398 enzymes were lipases, which could be reversibly immobilized on octyl-agarose,<sup>108</sup> a support



399 that did not produce any cross-reaction. These immobilized enzymes were aminated in solid  
400 phase, washed in a very simple fashion to eliminate the residual ethylenediamine, desorbed  
401 from the octyl-agarose beads using a detergent, and immobilized on glyoxyl-agarose.<sup>35</sup> The  
402 presence of detergent was useful to avoid the risk of lipase/lipase aggregation during covalent  
403 immobilization.<sup>109, 110</sup>

404 In a first example, the lipase from *Bacillus thermocatenuatus* was used as model.<sup>111</sup>  
405 The enzyme is not very rich in external Lys residues.<sup>112</sup> The chemical amination did not  
406 present a significant effect on the enzyme activity and only reduced the enzyme half-life by a  
407 3-4-fold factor in inactivations promoted by heat or organic solvents. The optimal  
408 stabilization protocol was the immobilization of aminated BTL2 at pH 9 and the further  
409 incubation for 24 h at 25 °C and pH 10. This preparation was 5-fold more stable than the  
410 optimal BTL2 immobilized on glyoxyl agarose and around 1200-fold more stable than the  
411 enzyme immobilized on CNBr and further aminated.

412 In a further example, the lipase from *Thermomyces lanuginosus* was submitted to a  
413 similar treatment.<sup>61</sup> The enzyme presented few external Lys groups,<sup>113</sup> offering low prospects  
414 to get multipoint covalent attachment on glyoxyl supports. Even immobilization was quite  
415 slow. This case was even more complex, as the free enzyme at pH 10 was inactivated making  
416 its immobilization on glyoxyl agarose very complex, while at pH under 10 the enzyme was  
417 not immobilized. However, after amination, the enzyme could be rapidly immobilized at pH 9  
418 or 10, avoiding enzyme inactivation. This permitted to maintain 70 % of the enzyme activity  
419 with a 5-fold improved stability compared to the immobilized non-aminated enzyme (that also  
420 presented very low activity recovery). This stabilized enzyme showed its good performance in  
421 some reactions such as the production of biodiesel,<sup>114, 115</sup> hydrolysis of sucrose laurate,<sup>116</sup> and  
422 synthesis of ascorbyl oleate by transesterification of olive oil with ascorbic acid in polar  
423 organic media.<sup>117</sup> It was also shown that the aminated and multipoint covalently attached

424 enzyme could be unfolded and refolded even in a more efficient fashion than the unmodified-  
425 one point immobilized enzyme.<sup>118</sup>

426 In another research, octyl-agarose immobilized lipase from *Rhizomucor miehei* was  
427 aminated and immobilized on glyoxyl-agarose and cyanogen bromide-agarose.<sup>119</sup> Results in  
428 stability were not analyzed, but the immobilization rate was higher in glyoxyl agarose (even  
429 using pH 9.1 for the aminated enzyme). However, using the cyanogen bromide-agarose  
430 immobilization rate was slower for the aminated enzyme that was not explained by the  
431 authors. Using diothitritol (to stabilize the one-point imino bonds with the support) the  
432 aminated enzyme could be immobilized even at pH 8.<sup>120</sup>

433

### 434 **3.3.2. Improved production of crosslinked enzyme aggregates**

435 Crosslinked enzyme aggregates (CLEAs) is a relatively recent immobilization  
436 technique developed the group of Prof Roger Sheldon.<sup>121, 122</sup> The strategy is relatively simple,  
437 consisting on the precipitation of the enzyme in an active form and the physical stabilization  
438 of the aggregate articles via chemical crosslinking to prevent re-dissolution when the  
439 aggregation reagent is eliminated.<sup>123</sup> However, in some instances, the crosslinking step of the  
440 enzyme may not be simple, e.g., if the enzyme has few reactive groups on its surface.<sup>124</sup> The  
441 amino groups tend to be the most utilized groups for crosslinking.<sup>113, 114</sup> Co-aggregation of the  
442 enzyme with other Lys rich proteins is one of the possible solutions,<sup>125-127</sup> as well as the use of  
443 PEI (see section 4 of this review).<sup>128, 129</sup> However, both strategies reduce the volume loading  
444 of the target protein on the final biocatalyst. The amination of the enzyme may be a simple  
445 solution to solve this problem.

446 This has been used, to date, in a single paper.<sup>65</sup> Lipase B from *Candida antarctica* is  
447 not very adequate to prepare CLEAS due to the low amount of surface Lys.<sup>130</sup> Although the  
448 precipitation step is easy using different precipitants, the cross-linking step becomes a

449 problem due to the low amount of Lys residues in this enzyme.<sup>65</sup> The enzyme surface was  
450 enriched in amino groups by chemical amination of the enzyme using ethylenediamine and  
451 carbodiimide. Using this aminated enzyme, precipitation is also effective and the crosslinking  
452 step is no longer a problem. Stability of this CLEA was higher in both thermal and cosolvent  
453 inactivation experiments than that of the coCLEA produced by co-aggregation of BSA and  
454 enzyme;<sup>65</sup> another alternative to produce a CLEA of this interesting enzyme.<sup>131</sup>

455

### 456 **3.3.3. Improved immobilization on cation exchangers**

457 Immobilization of proteins on ion exchangers requires the simultaneous establishment  
458 of several enzyme-support interactions.<sup>132-134</sup> Most enzymes have an isoelectric point ranking  
459 from 4 to 5, and this makes that the enzymes can hardly become adsorbed on cation  
460 exchangers under a wide range of pH values. This may be facilitated if the carboxylic groups  
461 of the enzyme are modified to amino groups via chemical amination, as the number of cationic  
462 groups may be greatly increased and thus, the enzyme may become easily exchanged in  
463 anionic supports in a wide range of conditions. However, there are few examples of this  
464 strategy. The enzyme penicillin G acylase is not adsorbed at pH 7 on carboxymethyl or  
465 dextran sulfate-coated supports. The chemical amination of the protein surface permitted the  
466 immobilization of the enzyme on both anionic supports.<sup>62</sup> Immobilization was very strong on  
467 these supports, mainly in the polymeric ones, and dependent on the degree of modification,  
468 although the enzymes can still become desorbed after inactivation by incubation under drastic  
469 conditions. Moreover, the immobilization on ionic polymeric beds allowed a significant  
470 increase in enzyme stability against the inactivation and inhibitory effects of organic solvents,  
471 very likely by the promotion of a certain partition of the organic solvent out of the enzyme  
472 environment.

473           The chemical introduction of aminated polymers has also been used to improve the  
474 ionic exchange of proteins on ion exchangers. Invertase from *Saccharomyces cerevisiae* was  
475 chemically modified with chitosan and further immobilized on sodium alginate-coated chitin  
476 support. The positive charges of the chitosan permitted to keep the enzyme retained by  
477 interactions with the anionic alginate. The yield of immobilized protein was determined as  
478 85% and the enzyme retained 97% of the initial chitosan-invertase activity.<sup>135</sup> The optimum  
479 temperature for invertase was increased by 10 °C and its thermostability was enhanced by  
480 about 9 °C after immobilization. The immobilized enzyme was stable against incubation in  
481 high ionic strength solutions and was four-fold more resistant to thermal treatment at 65 °C  
482 than the native counterpart. The biocatalyst prepared retained 80% of the original catalytic  
483 activity after 50 h under continuous operational regime in a packed bed reactor. The strategy  
484 was further extended to the immobilization of the modified enzyme on pectin-coated chitin  
485 support via polyelectrolyte complex formation.<sup>136</sup> The yield of immobilized enzyme protein  
486 was determined as 85% and the immobilized biocatalyst retained 97% of the initial chitosan-  
487 invertase activity. The optimum temperature for invertase was increased by 10°C and its  
488 thermostability was enhanced by about 10°C after immobilization. The immobilized enzyme  
489 was stable against incubation in high ionic strength solutions and was 4-fold more resistant to  
490 thermal treatment at 65 °C than the native counterpart. The biocatalyst prepared retained 96  
491 and 95 % of the original catalytic activity after 10 cycles of reuse and 74 h of continuous  
492 operational regime in a packed bed reactor, respectively.<sup>136</sup>

493           The same chemical modification strategy was used to immobilize this enzyme on  
494 hyaluronic-acid-modified chitin.<sup>137</sup> The immobilized enzyme retained 80 % of the initial  
495 invertase activity. The optimum temperature for sucrose hydrolysis was increased by 5 °C, and  
496 its thermostability was enhanced by about 10 °C after immobilization. The immobilized  
497 enzyme was stable against incubation in high-ionic-strength solutions, and was six-fold more

498 resistant to thermal treatment at 65 °C than the native counterpart.<sup>137</sup> The biocatalyst prepared  
499 retained 100 % of the initial activity after 10 cycles of reuse as well as after 74 h of  
500 continuous sucrose hydrolysis in a packed bed reactor, respectively.

501

### 502 **3.4- Chemical amination of immobilized enzymes to improve their catalytic performance**

503 As previously discussed (point 3.2), the chemical amination of enzymes may be a  
504 potent tool to improve enzyme performance. As stated in point 3.3.1. of this review and  
505 discussed in,<sup>35</sup> the chemical modification of enzymes in the solid phase has many advantages:  
506 prevention of aggregation, possibility of using stabilized enzymes, easy performance and  
507 control, etc.

508 Therefore, if the modification is performed to alter enzyme properties of an enzyme  
509 that is going to be used in an immobilized way, it makes sense to perform the modification on  
510 an already immobilized enzyme,

511 Most of the examples found using the chemical amination of the immobilized  
512 enzymes are quite recent. In one of the first examples, three different immobilized lipases  
513 [those from *Candida antarctica* (form B), *Thermomyces lanuginosus* and *Pseudomonas*  
514 *fluorescens* were modified with ethylenediamine.<sup>138</sup> In some cases, the activity of the lipases  
515 increased after the chemical modification while in other cases the activity was strongly  
516 reduced. The enantioselectivity of the enzymes in the hydrolysis of different mandelic acid  
517 derivatives was also highly modulated. For example, amination of the CNBr-CAL-B  
518 preparation greatly increased the enantioselectivity of the enzyme in the hydrolysis of ( $\pm$ )-2-  
519 hydroxyphenylacetic acid methyl ester, from an E value of 2 without modification up to  
520 E>100, affording (R)-mandelic acid in high purity (ee>99% at 50% conversion) at pH 7 and  
521 4°C.

522           Novozym 435 (a commercial immobilized preparation of lipase B from *C. antarctica*)  
523 was modified via aminoethylamidation among other compounds.<sup>139</sup> The modified enzyme  
524 improved the activity versus 3-phenylglutaric dimethyl diester by around a two fold factor,  
525 while decreased the activity versus mandelic acid methyl ester or 2-*O*-butyryl-2-phenylacetic  
526 acid. However, the enantiospecificity of the enzyme in the hydrolysis of racemic mandelic acid  
527 methyl ester improved while the enantioselectivity in the hydrolysis of 3-phenylglutaric  
528 dimethyl diester.

529           The lipase from *Thermomyces lanuginosus* was immobilized on octyl Sepharose and  
530 further modified with ethylenediamine after activation of the carboxylic groups with  
531 carbodiimide.<sup>41</sup> Different degrees of modification of the carboxyl groups were carried out by  
532 controlling the concentration of carbodiimide (10%, 50% or 100%). Interestingly, the  
533 chemical modification of the immobilized lipase produced an improvement in its activity  
534 versus *p*-nitrophenylpropionate, and it increased with the modification degree. This increase in  
535 activity was much more significant at pH 10, where the fully modified preparation increased  
536 the activity by a factor of 10 as compared to the unmodified preparation. Moreover, the  
537 incubation of the chemically aminated preparations in a hydroxylamine solution (to recover  
538 modified Tyr residues) improved the activity by an additional factor of 1.2. The fully aminated  
539 and incubated enzyme in hydroxylamine preparation exhibited a higher thermostability than  
540 that of the unmodified preparation, mainly at pH 5 (almost a 30 fold factor). In the presence of  
541 tetrahydrofuran, some stabilization was observed at pH 7, while at pH 9 the stability of all  
542 modified enzymes decreased.<sup>41</sup>

543           In another example, three different lipases (from *Candida antarctica* fraction B,  
544 *Thermomyces lanuginosa*, and *Rhizomucor miehei*) were immobilized on CNBr-activated  
545 Sepharose via a mild covalent immobilization or adsorbed onto octyl-Sepharose and submitted  
546 to amination among other modifications, altering (and in some cases improving) the enzyme

547 performance in the selective hydrolysis of sardine oil to produce eicosapentaenoic acid and  
548 docosahexaenoic acid, being the lipase from *Candida antarctica* fraction B the lipase with a  
549 lower change in its properties in this reaction.<sup>140</sup>

550 In a further extension of the strategy, the fact of the increase in amino groups in the  
551 surface of the protein was not the only target. As a second target, the fact that, now, the  
552 enzyme surface is enriched in amino groups was utilized to achieve a larger modification of  
553 the protein surface with a second amine-modifying reagent. Together to the potential to  
554 modulate enzyme properties of the chemical modifications, the research was also focused on  
555 the decisive effect that the immobilization protocol has on the effects of the chemical  
556 modifications. In a first example, *Candida antarctica* fraction B adsorbed on octyl-agarose or  
557 covalently immobilized on cyanogen bromide agarose was modified with ethylenediamine  
558 (EDA) or 2,4,6-trinitrobenzensulfonic acid (TNBS) using one reagent or using several  
559 modifications in a sequential way (the most complex preparation was CALB-TNBS-EDA-  
560 TNBS).<sup>40</sup> The covalently immobilized enzyme decreased the activity by 40-60% after  
561 chemical modifications, while the adsorbed enzyme improved the activity on p-  
562 nitrophenylbutyrate (pNPB) by EDA modification (even by a 2-fold factor). Moreover,  
563 significant changes in the activity/pH profile and in the enzyme specificity by the chemical  
564 modification were observed. In a second research effort, the utilized enzyme was a  
565 commercial quimeric fosfolipase commercialized by Novozymes), Lecitase Ultra,  
566 immobilized in the same supports. Both immobilized preparations have been submitted to  
567 different individual or cascade chemical modifications (amination, glutaraldehyde or 2,4,6-  
568 trinitrobenzensulfonic acid (TNBS) modification) in order to check the effect of these  
569 modifications on the catalytic features of the immobilized enzymes (including stability and  
570 substrate specificity under different conditions).<sup>141</sup> As in the previously presented case, the  
571 effects of the chemical modifications strongly depend on the immobilization strategy used.

572 For example, using one immobilization protocol a modification improves activity, while for  
573 the other immobilized enzyme it is even negative. Most of the modifications presented a  
574 positive effect on some enzyme properties at least under certain conditions, and a negative  
575 effect under other conditions. For example, glutaraldehyde modification of immobilized or  
576 modified and aminated enzyme permitted to improve enzyme stability of both immobilized  
577 enzymes at pH 7 and 9 (around a 10-fold), but only the adsorbed aminated enzyme improved  
578 the enzyme stability at pH 5 by glutaraldehyde treatment. This occurred even though some  
579 intermolecular crosslinking could be detected via SDS-PAGE. Amination improved the  
580 stability of octyl-Lecitase, while it reduced the stability of the covalent preparation.<sup>141</sup>

581           Following a different amination strategy using an aminated polymer a nice proposal  
582 is described in a previous work.<sup>142</sup> A poly-aminated dextran was site-specifically introduced  
583 on a lipase from *Geobacillus thermocatenulatus* (BTL2). The chosen site was Cys64, it is  
584 placed in the proximity of the region where the lid is allocated when the lipase exhibits its  
585 open and active form,<sup>112</sup> and the modification was performed on two differently immobilized  
586 lipase preparations. This position of the enzyme was specifically modified by thiol-disulfide  
587 exchange with pyridyldisulfide poly-aminated-dextran. If the enzyme was immobilized on  
588 cyanogen bromide agarose, the modification increased the activity by around a 2 fold factor  
589 versus aliphatic carboxylic esters, but if the substrate contained an aromatic carboxylic group  
590 the activity remained unchanged.<sup>142</sup> If the enzyme was attached to glyoxyl-agarose (multipoint  
591 covalent attachment), a significant increase in activity was only observed using p-nitrophenyl  
592 butyrate. The stabilization of the open form of the lipase induced by the modification was  
593 shown by irreversible inhibition experiments.

594

### 595 **3.5. Chemical amination to improve the crosslinking of immobilized enzymes**



596           Chemical crosslinking of enzymes is a way to greatly increase their structure  
597 rigidity, and thus, their stability.<sup>16, 18, 143-145</sup> From a very wide perspective, multipoint covalent  
598 attachment on a support may be considered a very intense crosslinking process, where the  
599 support is the crosslinker reagent.<sup>31</sup> This was treated in section 3.3.1. Here we will focus on the  
600 crosslinking using bi or multifunctional molecules of already immobilized enzymes.  
601 Intermolecular crosslinking is a quite complex process, as it must make a competition with  
602 one-point modification (if using homo-bifunctional reagents), and most important, only if  
603 there are reactive groups located on the appropriate distance (similar to the crosslinking  
604 reagent) the crosslinking will take place. This strategy is also valid to stabilize multimeric  
605 enzymes, if it involves all enzyme subunits.<sup>32</sup> It seems obvious that an increase in the amount  
606 of reactive groups on the protein surface may be advantageous for both objectives. Moreover,  
607 most of the most used and effective crosslinkers are based on reaction between amino groups,  
608 as is the case of the glutaraldehyde.<sup>51, 146</sup> Thus, amination of the enzyme surface could be a  
609 proper tool to achieve an intense intramolecular or intersubunit crosslinking.

610           However, although there are many reports on cross-linking of immobilized  
611 proteins,<sup>35</sup> we have been able to find just one example where the amination was performed on  
612 previously aminated enzyme. This example was on penicillin G acylase previously multipoint-  
613 immobilized on glyoxyl-agarose.<sup>64</sup> After amination, the enzyme was submitted to full amino-  
614 modification with one molecule or two molecules of glutaraldehyde per amino group, the  
615 excess of reactive was eliminated and both preparations were long term incubated to permit an  
616 intense crosslinking (crosslinking is a quite slow process, as it requires the reaction between  
617 two groups attached to a rigid structure, a protein surface). After 20 h of incubation,  
618 stabilization factors of more than 40 were found when using one glutaraldehyde molecule per  
619 amino group, while results were poorer using two glutaraldehyde molecules.<sup>64</sup> The incubation  
620 pH value, 7 or 9, presented a marginal effect, suggesting the high reactivity of the amino-

621 glutaraldehyde groups with another glutaraldehyde amino groups in a wide range of pH  
622 values. Using formaldehyde, stabilization did not take place, suggesting that this reactive may  
623 have a most complex crosslinking behavior.<sup>64</sup> Using an excess of formaldehyde, similar  
624 stabilization factors were found,<sup>147</sup> suggesting that formaldehyde require to form some multi-  
625 fomaldehyde structures to give some crosslinking.<sup>148</sup>

626

### 627 **3.6. Chemical amination to improve the physical coating with anionic polymers**

628         The coating of enzymes with polymers has been reported as an efficient way to  
629 improve the enzyme stability versus some inactivating causes.<sup>149, 150</sup> For example, the enzyme  
630 may become stabilized versus interaction with interfaces, such gas bubbles gas produced by  
631 stirring (e.g., if adjusting the pH value is necessary) or gas bubbling (e.g., if oxygen needs to  
632 be supplied). It may also be used to prevent multimeric enzyme inactivation by subunit  
633 dissociation,<sup>151</sup> to increase enzyme stability versus organic solvents by generating a certain  
634 partition, etc.<sup>35</sup> Previous examples use chemical modifications, for example using aldehyde  
635 dextran, but this modification may be somehow complex, and may affect enzyme activity  
636 (chemical reaction, reduction step, etc). In this sense, the use of ionic polymers may be a  
637 simpler solution.

638         One requirement to use this strategy is that the polymer can coat the enzyme, and  
639 that the enzyme-polymer interaction may be strong enough to enable the use of this composite  
640 under a wide range of pH value without breaking the composite. In fact, in some instances,  
641 this stabilization of the polymer-enzyme composite has been achieved by using a chemical  
642 crosslinker,<sup>74</sup> but in other cases this may not be possible, e.g., if the enzyme is inactivated by  
643 this treatment.<sup>75</sup>

644         Most of the examples dealing with coating enzymes with ionic polymers use  
645 polyethylenimine (see section 4 of this review) because most enzymes have an Ip too low to

646 become coated using polyanionic polymers under neutral pH values. Ionic exchange, as it has  
647 been previously stated, requires a multipoint ion exchange.<sup>132, 133</sup> In this case, we intend that  
648 the full protein surface may be coated by the polymer. This may be harder than just the  
649 immobilization, which only involves a determined enzyme area.

650 This coating with anionic polymers may be easily achieved using previously  
651 chemically aminated enzyme: the protein will have a cationic nature in pH values as high as  
652 12 if total amination is achieved,<sup>40</sup> permitting to have a very stable enzyme-anionic polymer  
653 composite. Although this strategy should work, we have been unable to find an example  
654 where aminated enzymes are coated using poly-ionic polymers, the only examples we have  
655 found are related to immobilization of enzymes on anionic supports (see section 3.3.3).<sup>62, 135-</sup>  
656 <sup>137</sup> However, as we thought that this application should work properly, we have decided to  
657 include this possibility in the present review.

658

### 659 **3.7. Chemical amination to improve their further modification with other compounds**

660 In some instances, the researcher may intend to introduce some molecules on the  
661 enzyme surface to alter its physical properties, or alter their catalytic efficiency. The reaction  
662 with amino groups of the protein used to be one of the most used ones due to the good  
663 reactivity of amino groups with many reactive.<sup>152-155</sup> However, if we really desire a massive  
664 modification of the protein surface, this may not be so simple, as the pK of the amino group in  
665 the lateral chain of Lys is 10.5, and this pK will be quite similar on medium exposed residues.  
666 The terminal amino groups may have a far lower pK value, but this group may only permit a  
667 one-point modification. This was the goal of a recent paper.<sup>66</sup> The researches intended to  
668 modify the surface of the lipase B from *Candida antarctica* with succinic polyethyleneglycol  
669 via the carbodiimide route. Immobilized enzyme (on octyl Sepharose or Eupergit C) were  
670 used, to analyze the effect of the immobilization protocol. Modification of the native amino

671 groups of the enzyme did not produce a significant alteration in the amount of the amino  
672 groups of the enzyme (just around 1 group per enzyme molecule could be modified).  
673 However, if the enzyme was previously aminated, around 14-15 PEG molecules could be  
674 introduced per enzyme molecule. As in other examples commented in other sections, it has  
675 been found that the effect of this modification depends on the immobilization protocol. For  
676 example, activity versus pNPP increased using CALB-octyl Sepharose while it decreased  
677 when using Eupergit C following amination and PEGylation. In hydrolysis of R/S methyl  
678 mandelate, enantioselectivity in this hydrolysis significantly improved after modification  
679 using the covalent preparation (from 7.5 to 20), while using octyl Sepharose almost had no  
680 effect.<sup>66</sup>

681

### 682 **3.8. Chemical amination of proteins to improve their usefulness “*in vivo*”**

683 Covalently aminated enzymes, using polymers such as polyethylenimine or small  
684 amines attached to the carboxylic groups, have been used *in vivo* due to several advantages.

685 Regarding the preparation of antibodies versus small compounds, the use of aminated  
686 proteins has two main advantages. First, the modified protein has a different, usually more  
687 potent immunogenicity than unmodified protein.<sup>156, 157</sup> Second, and related to the point 3.6 of  
688 this review, the larger amount and higher reactivity of the aminated enzymes, may permit to  
689 introduce a higher number of antigen molecules per carrier protein.<sup>158</sup>

690 Regarding the use of proteins as a medicament the cationized protein is able to penetrate  
691 membranes in a more efficient way than the unmodified proteins.<sup>159, 160</sup>

692 Now we will make a rapid overview on some examples of these uses of amination of  
693 proteins.

#### 694 **3.8.1. Use of aminated proteins to raise antibodies versus small molecules.**

695 To raise antibodies versus small molecules, it is necessary to attach this small  
696 haptamers to large proteins, because if the size is under 5000 the immunologic response is  
697 very low or inexistent.

698 In the late 1980s, it was shown that a cationized form of bovine serum albumin  
699 produced by substituting the anionic side chain carboxylic groups with aminoethylamide  
700 groups possesses unique immunologic properties.<sup>157</sup> It was possible to use 500 fold lower  
701 amount of cationized protein to reach the same immunogenic response. Moreover, antibodies  
702 were produced in response to administration of cationized protein but not using unmodified  
703 enzyme unless an adjuvant was used. It was speculated that the aminated protein may have a  
704 greater affinity for antigen-presenting cells or for the T cell receptor, or that the altered  
705 structure may enhance recognition of the molecule by APC and/or helper T cells.<sup>157</sup> The  
706 authors tried to explain these results investigating the uptake of unmodified and cationized  
707 serum albumin by splenic APC.<sup>156</sup> Amination was performed at different degrees of  
708 carboxylic modification. An inverse correlation between the degree of cationization and the  
709 amounts of antigen needed for optimal T cell reactivity was observed. The results suggested  
710 that native albumin enters the cell by fluid phase pinocytosis, whereas aminated BSA enters  
711 by a nonspecific adsorptive mechanism. The different modes of cellular entry for the two  
712 molecules, nBSA and cBSA, resulting in a rapid uptake of aminated BSA. This was proposed  
713 to have important ramifications on T cell activation and immunoregulation.

714 In another paper, ethylenediamine modified bovine albumin was modified with  
715 aflatoxin B1 using a Mannich-type protocol, and utilized to raise antibodies versus aflatoxin  
716 B1, achieving a quicker immunological response and a similar sensitivity of antisera against  
717 AFB1 were observed, compared with immunization by AFB1-oxime-albumin.<sup>161</sup> Later, a  
718 similar strategy was used to raise antibodies versus bisphenol A.<sup>162</sup> Compared with non-  
719 aminated protein, the aminated bovine serum albumin improved the efficiency of coupling and

720 enhanced the immune response against the target antigen. The sensitivity of antisera against  
721 bisphenol A was similar to the sera obtained using non-aminated protein.<sup>162</sup> In a third  
722 research, dichlorvos was coupled with cationized bovine serum albumin using also using a  
723 method based on Mannich-type reaction, and utilized to produce a monoclonal antibody  
724 versus diclorvos.<sup>163</sup>

725 In a nice report, it was shown that combining double-chemically modified carrier  
726 proteins and hetero-functional cross-linkers allows preparing tailor-made hapten-protein  
727 carrier conjugates.<sup>158</sup> The protein was aminated and further modified by different cross-linkers  
728 (hyper-activated proteins) at different conditions in order to control the conjugation ratio from  
729 1 to > 12 molecules of hapten per carrier protein. Finally, this novel strategy has been  
730 successfully used to develop antibodies against a short specific peptide corresponding to a one  
731 point mutation (D816V) of cKIT, which is a clinically relevant mutation related to  
732 mastocytosis and gastrointestinal stroma tumor.

733

### 734 **3.8.2. Improving the enzyme function *in vivo***

735 Proteins and enzymes may be used as medicaments. In other cases, enzymes are  
736 used as a way to make some studies on their effect on cells. In most of these cases, the  
737 enzymes need to be inside the cells to be useful, or to penetrate complex barriers, such as the  
738 brain barrier.

739 It has been demonstrated that proteins artificially cationized by chemical conjugation  
740 show efficient intracellular delivery via adsorptive-mediated endocytosis and then can exert  
741 their biological activity in cells.<sup>159</sup> As the mammalian cell membrane possesses an abundance  
742 of negatively charged glycoproteins and glycosphingolipids, cationization of proteins is a  
743 reasonable choice to endow them with the ability for intracellular delivery.<sup>160</sup>

744 One of the applications of amination of proteins has been the improvement of  
745 antibody penetration on cells and different tissues. Owing to the poor transport of monoclonal  
746 antibodies across either capillary or cell membrane barriers, drug delivery strategies are  
747 needed to target monoclonal antibodies to intracellular sites where proteins function.  
748 Aminated antibodies may be therapeutic and allow for intracellular immunization because  
749 their better penetration in cells. There are many examples of this strategy in literature.

750 The improved tissue uptake of cationized immunoglobulin G was shown after  
751 intravenous administration relative to the uptake of native protein.<sup>164</sup> The studies demonstrate  
752 that cationization of immunoglobulin greatly increases organ uptake of the plasma protein  
753 compared to native immunoglobulins, and suggests that cationization of monoclonal  
754 antibodies may represent a potential new strategy for enhancing the intracellular delivery of  
755 these proteins. The ratio of the volume of distribution of the <sup>3</sup>H-cationized IgG compared  
756 to <sup>3</sup>H-labeled native albumin ranged from 0.9 (testis) to 15.7 (spleen) in the rat and in  
757 primates.<sup>164</sup>

758 In another study, polyclonal antibodies directed against a 16-amino acid synthetic  
759 peptide corresponding to amino acids 35-50 of the 116-amino acid rev protein of human  
760 immunodeficiency virus type 1 were used as a model of the effect of the amination on protein  
761 cell uptake.<sup>165</sup> The study demonstrated that cationization results in enhanced endocytosis of  
762 the antibody and enhanced inhibition of HIV-1 replication, consistent with intracellular  
763 immunization of the rev protein.

764 In another paper, the cationization of a monoclonal antibody prepared against a  
765 synthetic peptide encoding the Asp<sup>13</sup> point mutation of the ras proto-oncogenic p21 protein  
766 permitted to improve the uptake in vitro.<sup>166</sup> While the <sup>125</sup>I-labeled native D146 antibody  
767 uptake by MDA-MB231 human carcinoma cells was negligible, there was a marked increase  
768 in the endocytosis of the antibody following cationization. The in vivo organ uptake of the

769 cationized monoclonal antibody was increased relative to the native antibody; there was a 6-  
770 fold increase in the systemic volume of distribution, a 58- fold increase in the systemic  
771 clearance of the cationized antibody from the plasma compartment, and a 9-fold reduction in  
772 the mean residence time of the cationized antibody as compared to the native D146 antibody.

773         The in vivo pharmacokinetics and efficacy of cationized human immunoglobulins in  
774 the human-peripheral blood lymphocytes-severe combined immune deficiency mouse model  
775 were evaluated in another study using the severe combined immunodeficient mouse  
776 transplanted with human lymphocytes and infected with human immunodeficiency virus  
777 (HIV)-1.<sup>167</sup> Immunoglobulins from noninfected humans and from HIV-infected individuals  
778 were cationized. The pharmacokinetic analysis showed that the cationized immunoglobulins  
779 have a markedly reduced mean residence time and a marked increase in organ uptake  
780 compared to the native immunoglobulins. Treatment of HIV-infected severe combined  
781 immune deficiency mice that were transplanted with human lymphocytes demonstrated  
782 therapeutic efficacy for a 2-week treatment at a dose of 5 mg/kg cationized HIV immune  
783 globulin.<sup>167</sup>

784         In another study, the feasibility of cationizing the humanized 4D5 monoclonal  
785 antibody directed against the p185(HER2) oncogenic protein was analyzed to analyze its cell  
786 uptake.<sup>168</sup> Native antibody was confined to the periplasma membrane space with minimal  
787 endocytosis into the cell. In contrast, robust internalization of the cationized 4D5 antibody by  
788 the SK-BR3 cells was demonstrated. The systemic volume of distribution of the cationized  
789 4D5 antibody was 11-fold greater than that of the native antibody

790         In another example, it was found that aminated goat colchicine-specific polyclonal  
791 immunoglobulin G and antigen binding fragment in plasma decreased more rapidly than the  
792 non-modified counterparts.<sup>169</sup> In addition, there was a 74-fold increase in the volume of  
793 distribution and a 114-fold increase in the systemic clearance of aminated antibody with the



794 native one. Amination of colchicine-specific antibody or their fragments increased the  
795 organ distribution and greatly altered their pharmacokinetics.<sup>169</sup>

796 In other cases, the amination has as objective to achieve the function of enzymes  
797 inside the cells to solve some problems, that is, use the enzymes as medicaments. For  
798 example, the successful prevention of hydrogen peroxide-induced damage to the rat jejunal  
799 mucosa by cationized catalase and compared to the protection achieved using unmodified  
800 enzyme.<sup>170</sup> It was found that in all cases the cationized enzymes were superior to the native  
801 catalase in their shielding capability. A significant protection against Fe(II)/H<sub>2</sub>O<sub>2</sub> and ascorbic  
802 acid/copper ion-mediated damage was obtained when the cationized enzymes were used. In  
803 the presence of glucose, native glucose oxidase failed to cause damage in the rat jejunal  
804 mucosa; however, the cationized enzyme caused profound tissue injury. These findings  
805 indicate the potential therapeutic merit of cationized enzymes for the treatment of pathological  
806 processes in the intestine, whenever oxidative stress is involved.<sup>170</sup>

807 In another research, the objective was to achieve hepatic delivery of catalase for the  
808 prevention of CCl<sub>4</sub>-induced acute liver failure in mice, two types of cationized catalase  
809 composites were developed using ethylenediamine (13.6 amino groups/molecule could be  
810 introduced) or hexylenediamine (introduction of 3.1/molecule).<sup>171</sup> Aminated enzyme showed  
811 an increased binding to HepG2 cells, and were rapidly taken up by the liver. Hydrogen  
812 peroxide induced cytotoxicity in HepG2 cells was significantly prevented by preincubation of  
813 the cells with aminated enzyme.

814 Perhaps ribonucleases (RNases) are the most studied enzymes as therapeutics.  
815 Ribonucleases are potential anti-tumor drugs due to their cytotoxicity. A general model for the  
816 mechanism of the cytotoxic action of RNases includes the interaction of the enzyme with the  
817 cellular membrane, internalization, translocation to the cytosol, and degradation of ribonucleic  
818 acid.<sup>172</sup> The cytotoxic properties of naturally occurring or engineered RNases correlate well

819 with their efficiency of cellular internalization and digestion level of cellular RNA. Cationized  
820 RNases are considered to adsorb to the anionic cellular surface by Coulombic interactions, and  
821 then become efficiently internalized into cells by an endocytosis-like pathway.<sup>173</sup> Although  
822 chemically modified cationized RNases showed decreased ribonucleolytic activity, improved  
823 endocytosis and decreased affinity to the endogenous RNase inhibitor improve their ability to  
824 digest cellular RNA.

825 Toxic effects of aminated *Streptomyces aureofaciens* RNases Sa, Sa2, Sa3, are  
826 enhanced, indicating the major role of a cationic nature on the enzyme surface.<sup>174</sup> Another  
827 study shows how carboxyl groups of bovine RNase A and human RNase 1 were modified  
828 with ethylenediamine by the carbodiimide route.<sup>175</sup> The modified RNases were cytotoxic  
829 toward 3T3-SV-40 cells despite their decreased in ribonucleolytic activity. RNase inhibitor R1  
830 cannot eliminate their enzymatic activity, while native enzymes were completely inactivated  
831 by RI. The cytotoxicity correlated well with the net cationic residues. Cationic RNases were  
832 more efficiently adsorbed by the cells. In a more detailed study, they found that if modifying 5  
833 to 7 out of 11 carboxyl groups in RNase A, a maximum on cytotoxicity toward MCF-7 and  
834 3T3-SV-40 cells were found.<sup>176</sup>

835 Another application of aminated proteins is their use as carrier proteins for different  
836 drugs or peptides. For example, rat albumin was cationized with hexamethylenediamine, and  
837 the isoelectric point of the protein was raised from 5.5 to approximately 8.<sup>177</sup> The aminated rat  
838 serum albumin was taken up by isolated rat or bovine brain microvessels, whereas native  
839 protein was not taken up by the capillaries in vitro. The brain volume of distribution of the <sup>3</sup>H-  
840 cationized rat serum albumin increased linearly over a 5-hr period after an intravenous  
841 injection of the isotope and reached a value of  $46 \pm 3 \mu\text{l/g}$  (mean  $\pm$  S.E.) by 5 hr, whereas the  
842 brain volume of distribution of the <sup>125</sup>I-native rat serum albumin was constant during the 5-hr  
843 time period ( $9.3 \pm 0.7 \mu\text{l/g}$ , which is equal to the brain blood volume).. Therefore, cationized

844 rat albumin may be used in future studies that use the repetitive administration of cationized  
845 rat albumin chimeric peptides for the evaluation of the transport of these substances through  
846 the blood-brain barrier in vivo.<sup>177</sup>

847 In another example, bovine serum albumin was aminated with  
848 hexamethylenediamine or ethylenediamine to obtain cationized proteins and study the relation  
849 between physical properties and hepatic delivery.<sup>178</sup> Aminated albumins were rapidly taken up  
850 by liver, but the protein modified using hexylenediamine showed a faster uptake than is using  
851 ethylenediamine, with a similar number of free NH<sub>2</sub> groups, suggesting that the diamine  
852 reagent with a longer carboxyl side chain results in more efficient hepatic targeting. A low  
853 degree of amination is sufficient for efficient hepatic targeting of proteins.<sup>178</sup>

854 Another research used the cationic  $\beta$ -lactoglobulin as carrier. This protein was  
855 assayed as a bioavailability enhancer for poorly absorbed bioactive compounds.<sup>179</sup> At most 11  
856 anionic amino acid residues of  $\beta$ -lactoglobulin were substituted by ethylenediamine, resulting  
857 in a highly cationic surface and significantly increased surface hydrophobicity. These changes  
858 improved also improved mucoadhesion.<sup>179</sup>

859 In other cases, amination of enzymes and proteins has been used to facilitate the  
860 study of proteins in living cells. In the post-genomic era, there is interest for developing  
861 methodologies that permit protein manipulation to analyze functions of proteins in living cells.  
862 For this purpose, techniques to deliver functional proteins into living cells are of great  
863 relevance and protein amination seems to be an efficient strategy. A method for efficient  
864 protein transduction into living cells in which a protein is simply cationized with PEI by  
865 limited chemical conjugation was described in an interesting paper.<sup>180</sup> PEI-cationized proteins  
866 appeared to adhere to the cell surface by ionic charge interaction and then internalize into cells  
867 in a receptor- and transporter-independent fashion. Since PEI is an organic macromolecule  
868 with a high cationic-charge density, limited coupling with PEI results in endowment of

869 sufficient cationic charge to proteins without causing serious decline in their fundamental  
870 functions. A number of PEI-cationized proteins, such as ribonuclease (RNase), green  
871 fluorescent protein (GFP) and immunoglobulin (IgG), efficiently entered cells and functioned  
872 in the cytosol.<sup>180</sup>

873         The glutathione S-transferase-fused protein expression system has been extensively  
874 used to generate a large quantity of proteins and has served for functional analysis *in vitro*. A  
875 novel approach for the efficient intracellular delivery of GST-fused proteins into living cells to  
876 expand their usefulness up to *in vivo* use has been intended using the amination of the enzyme  
877 to improve the enzyme penetrability.<sup>181</sup> The glutathione S-transferase fused proteins were  
878 cationized by forming a complex with a polycationic polyethylenimine-glutathione conjugate.  
879 On screening of protein transduction, optimized PEI-glutathione conjugate for protein  
880 transduction was characterized by a partly oligomerized mixture of PEI with average  
881 molecular masses of 600 (PEI600) modified with multiple glutathiones, which could have  
882 sufficient avidity for glutathione S-transferase.<sup>181</sup> These PEI-glutathione conjugates seem to  
883 be convenient molecular tools for protein transduction of widely used glutathione S-  
884 transferase -fused proteins in *in vitro* studies

885         Another example is the artificial regulation of cell proliferation by protein  
886 transduction of the N-terminal domain (1-132 amino acids) of the simian virus 40 large T-  
887 antigen, which inactivates retinoblastoma family proteins but no p53 has been intended by PEI  
888 modification of this protein.<sup>182</sup> To deliver proteins into cells, an indirect cationization method  
889 was used by forming a complex of biotinylated protein through disulfide bonds and PEI-  
890 cationized avidin. Using this complex, the virus was transduced into the nucleus of confluent  
891 and quiescent Balb/c 3T3 cells and was found to be complexed with a cellular target protein,  
892 pRb. Furthermore, this viral protein produced transduction induced cell proliferation in spite

893 of confluent conditions. These results suggest that oncogene protein transduction technology  
894 has great potential for in vitro regulation of cell proliferation.<sup>182</sup>

895 In another original approximation, indirect protein amination using non-covalent  
896 interaction was evaluated for the transduction of proteins into living cells and for the  
897 expression of their functions in the cytosol. PEI-cationized avidin, streptavidin and protein G  
898 were prepared, and examined whether they could deliver biotinylated proteins and antibodies  
899 into living cells.<sup>183</sup> PEI-avidin (and/or PEI-streptavidin) carried biotinylated GFPs into various  
900 mammalian cells very efficiently. A GFP variant containing a nuclear localization signal was  
901 found even in the cell nucleus. The addition of a biotinylated RNase A derivative mixed with  
902 PEI-streptavidin to a culture medium of 3T3-SV-40 cells resulted in remarkable cell growth  
903 inhibition, suggesting that the biotinylated RNase A derivative entered cells and digested  
904 intracellular RNA molecules. Furthermore, the addition of a fluorescein-labeled anti-S100C  
905 (beta-actin binding protein) antibody mixed with PEI-protein G to human fibroblasts resulted  
906 in the appearance of a fluorescence image of actin-like filamentous structures in the cells.<sup>183</sup>

907 Finally, amination has been proposed to recover the activity of proteins expressed as  
908 inclusion bodies. In a different approach, a reversibly aminated denatured protein through  
909 disulfide bonds is not only soluble in water but also able to fold to the native conformation in  
910 vitro.<sup>184</sup> Taken together this and the easy penetration of aminated protein in cells, a novel  
911 method to deliver a denatured protein into cells and simultaneously let it fold to express its  
912 function within cells was presented. This "in-cell folding" method enhances the utility of  
913 recombinant proteins expressed in *Escherichia coli* as inclusion bodies. The strategy includes  
914 several steps: the recombinant proteins in inclusion bodies are solubilized by reversible  
915 cationization through cysteine residues by disulfide bonds with aminopropyl  
916 methanethiosulfonate or pyridyldithiopropionylpolyethylenimine and then incubated with  
917 cells without an in vitro folding procedure. This was shown using human tumor-suppressor

918 p53. Treatment of p53-null Saos-2 cells with reversibly cationized p53 revealed that all events  
919 examined as indications of the activation of p53 in cells, such as reduction of disulfide bonds  
920 followed by tetramer formation, localization into the nucleus, induction of p53 target genes,  
921 and induction of apoptosis of cells, occurred.<sup>184</sup>

922

#### 923 **4. Physical amination of enzymes using aminated polymers**

924 In the previous section, we have shown many examples where a protein was  
925 chemically attached to a poly-aminated polymer, usually chitosan or polyethyleneimine (PEI).  
926 This section will focus on the coating of the protein surface by polycationic polymers, but not  
927 in a covalent way, but simply by physical ionic exchange. The polymers may be quite large,  
928 even million of kDa, and that may facilitate the multipoint adsorption that is require to keep  
929 the polymer/enzyme interaction.<sup>132-134</sup>

930 PEI has been described to present some stabilizing effect on diverse proteins due to  
931 diverse causes: prevention of enzyme aggregation, prevention of lost of secondary structure,  
932 reduction of metal oxidation, prevention of multimeric enzyme dissociation, inactivation by  
933 deleterious substrates, etc.<sup>68, 69, 73, 75, 185</sup> Some reports pointed that the stability-effect of poly-  
934 ionic polymers did not really depend on their cationic or anionic nature of the polymer was not  
935 critical to get the stabilization, effect, stating that perhaps a direct electrostatic  
936 enzyme/polymer interaction was not required.<sup>67</sup> However, considering that most enzymes may  
937 be adsorbed under the same conditions on PEI and dextran sulfate coated supports; it is not  
938 clear that this electrostatic interaction may be discarded.<sup>186</sup>

939 The effects of the polyamine polymer were not always positive on enzyme features.  
940 Quaternized polyamines (poly-N-alkyl-4-vinylpyridinium bromides suppress the  
941 thermoaggregation of glyceraldehyde-3-phosphate dehydrogenase but not thermodenaturation

942 of the enzyme.<sup>187</sup> The adverse effect was reduced by the addition of sodium chloride, which  
943 destroyed the enzyme-polymer complex and resulted in a noticeable reactivation.

944 In another example, PEI was found to have not effect on the melting temperature of  
945 basic proteins while for the acidic ones there was a shift in the melting temperature towards  
946 lower temperatures.<sup>188</sup> The secondary structures of the basic proteins were essentially the  
947 same in presence of the polymer, with none or a slight increase in the CD spectra. In the case  
948 of acidic proteins, the CD spectra were diminished mostly due to phase separation. Despite  
949 lowering the thermal stability of acidic proteins, PEI protected heart lactate dehydrogenase at  
950 an increasing oxidative stress. In another example, the addition of polyethyleneimine to  
951 chloroperoxidase from *Caldariomyces fumago* dramatically improved the stability of the  
952 enzyme towards peroxide dependent inactivation.<sup>189</sup>

953 Biosensors were fabricated at neutral pH by sequentially depositing the polycation  
954 polyethyleneimine (PEI), the stereoselective enzyme l-glutamate oxidase (GluOx) and poly-  
955 ortho-phenylenediamine onto 125- $\mu\text{m}$  diameter Pt wire electrodes.<sup>190</sup> The presence of PEI  
956 produced a 10-fold enhancement in the detection limit for Glu (compared with the  
957 corresponding PEI-free configurations, without undermining the response time. Most  
958 remarkable was the finding that, although some designs of PEI-containing biosensors showed  
959 a 10-fold increase in linear region sensitivity to Glu, their oxygen dependence remained low.

960 However, the most interesting examples are when the enzyme coating with the  
961 polymer is a step in the development of an immobilized biocatalyst, as are some of the  
962 examples listed below.

963

#### 964 **4.1. Immobilization on cation exchangers**

965 Modification of the enzyme using ionically exchanged poly-amines may permit to  
966 further immobilize the enzyme on a cation exchanger, when the free enzyme may have very

967 low affinity by its anionic surface (in fact, the enzymes used in this strategy will be coated  
968 with a cationic polymer, that way they should have also a anionic surface).

969 This has been exemplified by a single paper to date. Glutamate dehydrogenase from  
970 *Thermus thermophilus* and formate dehydrogenase from *Pseudomonas sp.* were coated with  
971 large PEI to prevent subunit dissociation.<sup>74</sup> Both enzymes are very unstable at acidic pH  
972 values due to the rapid dissociation of their subunits (half-life of diluted preparations is few  
973 minutes at pH 4 and 25 °C).<sup>191, 192</sup> The enzyme-PEI composites exhibited full activity after  
974 preparation. The enzyme-polymer composites were treated with glutaraldehyde to prevent  
975 enzyme/polymer dissociation at acidic pH value, that was the pH values range of higher  
976 interest in these enzymes. This step was performed by previously immobilizing the composite  
977 onto a weak cationic exchanger to prevent enzyme covalent aggregation. The composite could  
978 be very strongly, but reversibly, adsorbed on cationic exchangers.<sup>74</sup>

979

#### 980 **4.2. Coating with poly-amine polymers before immobilization to prevent undesired** 981 **interactions with the matrix**

982 In other cases, the enzyme coating was just a first step in a longer immobilization  
983 strategy; the coating may increase the size of the enzyme, making their trapping easy, or  
984 preventing the interaction with deleterious interfaces.

985 Examples to improve the enzyme trapping in paper to be used in food packing may  
986 be found in the literature. To this goal, the microencapsulation of glucose oxidase from  
987 *Aspergillus niger* and laccase from *Trametes versicolor* in PEI with the goal of immobilizing  
988 these enzymes in paper substrates to develop biosensors and bioreactors.<sup>193</sup> The technique  
989 caused a severe decrease (up to 65%) in the specific activities of both enzymes once  
990 microencapsulated. Microencapsulation improved the thermal stability of glucose oxidase at  
991 temperatures up to 60 °C due to stabilization of its active conformation but reduced the



992 thermal stability of laccase because of the increased coordination between PEI and copper  
993 atoms in the enzyme's active site. Glucose oxidase bioactive paper was fabricated, which  
994 could be potentially used as food packaging paper. In a further optimization, results using the  
995 laccase was improved, using a starch-based coating suspension.<sup>194</sup> The use of  
996 microencapsulation allows for better activity retention in papers over time at room  
997 temperature (50% loss after 28 days) compared to papers modified with free laccase (50% loss  
998 after 4 days). Microcapsules also decrease the inhibition of laccase by azide.

999 Another example is an interesting immobilization of enzymes using a “sandwich”  
1000 strategy. Layered titanates have been employed to support active proteins, which have been  
1001 widely used in biocatalysis and bioelectrochemistry. Their interest lay on their good  
1002 biocompatibility, nontoxicity, relatively high conductivity, and chemical and thermal  
1003 stability.<sup>195, 196</sup> The titanate nanosheets are negatively charged, and stable in aqueous solution.  
1004 They can easily immobilize positively charged protein molecules, where a spontaneous  
1005 flocculation occurs and biomolecules are incorporated within the interlayer space of layered  
1006 structure. However, this did not occur if the enzyme has an anionic surface, that is, with most  
1007 of the proteins. This paper shows how the preliminary coating of the enzyme with PEI can be  
1008 used to immobilized the enzyme on Layered titanates.<sup>197</sup> The native structures of proteins  
1009 were retained after immobilizing although a significant difference in microstructures was  
1010 observed among these composites. The amounts of immobilized proteins depend on the  
1011 enzyme, were up to ~70 % wt. for lysozyme, 37 % wt. for bovine serum albumin and 21.5 %  
1012 wt. for lipase from *Candida rugosa*. These composites were stable under neutral and weakly  
1013 acidic condition, and only releases <10 % proteins at pH under 4. These composites are  
1014 reusable, and the residual activities of immobilized enzymes are 68 % for lysozyme and 61 %  
1015 for lipase after 10 recycles.

1016

### 1017 **4.3. Generation of artificial environments on immobilized enzymes**

1018 Polyaminated polymers, like PEI, chitosan, polyallylamine, etc are quite hydrophilic,  
1019 their cationic nature may permit to recover the immobilized enzyme molecules of a very  
1020 hydrophilic shell that can produce some partition of hydrophobic compounds, like gases,  
1021 organic solvents, etc, enabling the preparation of biocatalysts with improved stability in this  
1022 media.

1023 The strategy may be used for enzymes immobilized on preexisting supports, or  
1024 enzymes to be immobilized via the CLEA technology.

1025 One of the enzymes that has been subject to more studies using this stabilization  
1026 strategy is penicillin G acylase. This enzyme has many potential uses, hydrolysis of  
1027 antibiotics, resolution of racemic mixtures or synthesis of antibiotics.<sup>198</sup> In many instances, the  
1028 enzyme needs to be used in organic medium, and the enzyme is not very stable under these  
1029 conditions. Even much stabilized immobilized enzymes via multipoint covalent attachment  
1030 have reduced application on some of these reactions.<sup>199</sup> Thus, the stabilization of this enzyme  
1031 versus the deleterious effects of organic solvents is a key point for their applicability.<sup>200</sup> In a  
1032 first approach, this stabilized enzyme was co-immobilized with PEI, submitted to successive  
1033 modification with aldehyde dextran and PEI.<sup>34</sup> In an effort to further improve the enzyme  
1034 stability, sulfate dextran was also used, to generate a thick shell of “poly-ammonium sulfate”  
1035 that were able to stand even 95% of organic solvents like tetraglyme when the original  
1036 immobilized enzyme only can be used at a maximum of 60%, and with a lower operational  
1037 stability.<sup>201, 202</sup> Even more interestingly, this derivatives presented a higher activity,  
1038 confirming that the random coil structure of the polymers avoid the promotion of diffusion  
1039 barriers. These preparations permitted to perform some reactions under conditions where the  
1040 untreated immobilized preparations exhibited a low stability, like hydrolysis of penicillin G

1041 acylase in the presence of organic solvent,<sup>203</sup> enantioselective synthesis of phenylacetamides,<sup>204</sup>  
1042 or the synthesis of amides of high pK amines.<sup>205</sup>

1043 The co-aggregation of penicillin acylase, PEI and dextran sulfate permitted to  
1044 prepare crosslinked enzyme aggregates with also significantly improved properties in the  
1045 presence of organic solvents.<sup>206</sup> This biocatalyst presented better behavior in organic solvents  
1046 than the more thermostable glyoxyl-agarose biocatalyst.<sup>207</sup>

1047 Stabilization of oxygen labile enzymes has been also achieved by the salting out  
1048 effect, using coCLEAs of PEI and enzyme. Oxygen labile nitrilases have been stabilized this  
1049 way versus oxygen inactivation.<sup>71</sup> While the nitrilases lost 50-100% of their activity upon  
1050 exposure to oxygen for 40 h, the PEI co-aggregates of the nitrilases were much more oxygen-  
1051 tolerant. The nitrilase from *Pseudomonas fluorescens* EBC 191, in particular, retained its full  
1052 activity upon exposure to oxygen for 40 h.

1053

#### 1054 **4.4. Improved preparation of CLEAs**

1055 Polyaminated polymers have found several advantages in the preparation of  
1056 crosslinking enzyme aggregates (CLEAs). First, as commented in the point above, co-  
1057 aggregation with PEI (combined or not with sulfate dextran) is able to generate a hydrophilic  
1058 environment around the enzyme, producing partition of solvent or oxygen. In this point we  
1059 will focus on the second advantage: it may be used to solve the problems generated in the  
1060 crosslinked step of proteins having just some few Lys superficial residues, or it may just be  
1061 used to have a more intensively crosslinked CLEA particle.

1062 The strategy was first established using the enzyme glutaryl acylase from  
1063 *Pseudomonas sp.*, enzyme that as previously described in this review, is quite poor in  
1064 superficial Lys residues.<sup>102</sup> Glutaryl acylase may be precipitated using polyethylene glycol,  
1065 but the further treatment of the aggregate particles with glutaraldehyde did not permit to

1066 crosslink the enzyme molecules, that re-dissolved when eliminating the precipitant reagent.<sup>128</sup>  
1067 Co-precipitating the enzyme and PEI, the cross-linking between the very reactive and  
1068 abundant primary amino groups of the PEI and the few primary amino groups on the enzyme  
1069 surface is favored, and the aggregates remain insolubilized in the absence of any precipitant.  
1070 The enzyme /PEI CLEA maintained more than 60% of its initial activity after 72 h of  
1071 incubation at 45 °C, whereas the soluble enzyme was fully inactivated in only 2.5 h of  
1072 incubation under the same conditions.

1073 A second example was using lipases. Standard CLEAs preparation using commercial  
1074 preparations of lipases from *Alcaligenes sp.* and *Candida antarctica* (fraction B) is not fully  
1075 effective, some leakage of enzyme from the CLEA can be observed, and the SDS-PAGE from  
1076 those preparations reveals that many enzyme molecules have not cross-linked properly.<sup>124</sup> The  
1077 co-precipitation of the lipases with poly-ethyleneimine or PEI-sulfate dextran mixtures  
1078 permitted to get fully physically stable CLEAs, with higher stability in the presence of organic  
1079 solvents. Very interestingly, the conditions of precipitation and the nature of the polymers  
1080 permitted to significantly alter the lipases activity, enantio-selectivity and specificity.

1081 Lipases were also the subject of other studies. The lipase from *Serratia marcescens*  
1082 was co-aggregated with PEI.<sup>129</sup> Optimum temperature was increased from 50 °C to 60 °C after  
1083 immobilization, and its thermal stability was also significantly improved. This coCLEA  
1084 showed excellent operational stability in its repeated use in aqueous-toluene biphasic system  
1085 for asymmetric hydrolysis of trans-3-(4'-methoxyphenyl) glycidic acid methyl ester (MPGM),  
1086 without significant inactivation after 10 rounds of repeated use.

1087 Another lipase immobilized using coCLEAs with PEI was the enzyme from  
1088 recombinant *Geotrichum sp.*<sup>208</sup> These coCLEAs maintained more than 65% of relative  
1089 hydrolysis degree after incubation in the range of 50-55 °C for 4 h and maintain more than  
1090 85% of relative hydrolysis degree after being treated by acetone, tert-butyl alcohol and octane

1091 for 4 h. They were applied to hydrolyze fish oil for enrichment of polyunsaturated fatty acids  
1092 successfully and increased hydrolysis degree to 42% from 12% by free lipase. After five batch  
1093 reactions, PEI-CLEAs still maintained 72% of relative hydrolysis degree.

1094 Not only lipases have been immobilized following this coCLEA strategy. L-  
1095 Aminoacylase from *Aspergillus melleus* was co-aggregated with polyethyleneimine and  
1096 subsequently cross-linked with glutaraldehyde to obtain aminoacylase-polyethyleneimine  
1097 cross-linked enzyme aggregates.<sup>209</sup> This biocatalyst expressed 75% activity recovery and 81%  
1098 aggregation yield, and improved enzyme stability. Its enantioselectivity was the highest for  
1099 hydrolysis of amino acid amides; was moderate for hydrolysis of N-acetyl amino acids and  
1100 was the worse for hydrolysis of amino acid esters. It retained more than 92% of the initial  
1101 activity after five consecutive batches of (RS)-homophenylalanine hydrolysis suggesting an  
1102 adequate operational stability of the biocatalyst.

1103  
1104 **4.5. Tuning catalytic properties enzymes by coating their surfaces with poly-amine**  
1105 **polymer coated**

1106 Physical coating of enzymes with PEI has been used in some instances to improve  
1107 enzyme properties, mainly lipases due to the facility to modulate their properties. The physical  
1108 coating is far simpler than the chemical modification, and in some instances may become as  
1109 effective (and even more in some instances).

1110 In a first report, the properties of the most popular commercial biocatalyst lipase of  
1111 lipase, Nozovym 435, were modulated by coating with different ionic polymers. PEI coated  
1112 Novozym 435 improved its activity versus 3-phenylglutaric dimethyl diester by a 3-fold  
1113 factor.<sup>139</sup>

1114 Later, using a covalently immobilized preparation of the same lipase instead of the  
1115 commercial one, it was shown that PEI modification on immobilized lipases greatly enhanced

1116 the enantioselectivity of the immobilized enzyme in the kinetic resolution of ( $\pm$ )-2-hydroxy-  
1117 phenylacetic acid methyl ester.<sup>210</sup> The enantiomeric ratio went from E=1.5 (without coating)  
1118 to E>100 (ee>99%). Using a covalently immobilized lipase from *Candida rugosa*, the E went  
1119 from 8 (without coating) to 20 after PEI coating. Moreover, this coating strategy improved the  
1120 activity in some instances, the stability at high temperatures or in the presence of high co-  
1121 solvent.

1122 Immobilized Lecitase Ultra (a chimeric fosfolipase commercialized by Novozymes),  
1123 has also been coated with different poly ionic polymers.<sup>211</sup> The effect of the coating depended  
1124 on the immobilization protocol, however, the PEI coating generally produced a significant  
1125 increase in enzyme activity, in some cases even by more than a 30-fold factor (using the octyl-  
1126 Lecitase at pH 5 in the hydrolysis of methyl phenyl acetate). The rate of irreversible inhibition  
1127 of the covalent preparation using diethyl p-nitrophenylphosphate did not increase after PEI  
1128 coating suggesting that the increase in Lecitase activity is not a consequence of the  
1129 stabilization of the open form of Lecitase.<sup>212</sup>

1130 In a further development, PEI was not used to just coat the enzyme surface, but to  
1131 freeze the open conformation of Lecitase induced by the presence of a detergent (SDS).<sup>213</sup>  
1132 Coating the immobilized enzyme with polyethylenimine in aqueous buffer (PEI) produced a  
1133 3-fold increase in enzyme activity. However, in the presence of 0.1 % SDS (v/v), this coating  
1134 produced a 50-fold increase in enzyme activity. Using irreversible inhibitors, it could be  
1135 shown that the PEI/SDS-covalent immobilized -Lecitase preparation presented its catalytic Ser  
1136 more exposed to the reaction medium than the unmodified CNBr-Lecitase, suggesting that the  
1137 enzyme open form was somehow stabilized.<sup>212</sup>

1138

## 1139 **5. Genetic amination**

### 1140 **5.1. Use of poly-Arg or poly-Lys tags**

1141 Protein fusion tags have been developed as indispensable tools for protein  
1142 expression, purification, and the design of functionalized surfaces or artificially bifunctional  
1143 proteins.<sup>214</sup> A recent review<sup>215</sup> has summarizes how positively or negatively charged polyionic  
1144 fusion peptides with or without an additional cysteine can be used as protein tags for protein  
1145 expression and purification, for matrix-assisted refolding of aggregated protein, and for  
1146 coupling of proteins either to technologically relevant matrices or to other proteins.

1147 Immobilized enzyme orientation may play a critical role on the features of the  
1148 enzyme.<sup>216</sup> By one side, this protein area will be the most involved one in the enzyme/support  
1149 interaction, being the most improved/worsened by the immobilization.<sup>217-220</sup> By other side, this  
1150 may define the access of large substrates or ligands to this active center,<sup>221-225</sup> or the  
1151 communication between the active center of the enzyme and an electrode.<sup>221, 226-230</sup>

1152 Site directed mutagenesis is the most efficient tools to achieve this site directed  
1153 immobilization, via introduction of specific groups on desired areas of the protein.<sup>37</sup> Usually,  
1154 this orientation is achieved using a Cys inserted in the desired region, and immobilized on a  
1155 support bearing a disulfide groups.<sup>217-220</sup> Other popular strategy is the use of poly-His tags,<sup>228,</sup>  
1156 <sup>231</sup> or generation of His pairs,<sup>232</sup> and immobilization on immobilized metal chelates matrices.  
1157 Other tools have been also utilized to get this oriented fixation of enzymes on supports.

1158 In this review, we will try to focus on how this Poly-cationic tags may be used for  
1159 protein immobilization.

1160

### 1161 **5.1.1. Purification/immobilization using cationic tags,**

1162 Most enzymes have an ionic surface nature that makes them unable to become  
1163 adsorbed on cationic exchangers, and that may be used as a way to purify proteins that can be  
1164 adsorbed on this kind of ionic exchangers. This may be achieved by the introduction of  
1165 cationic tags/domains on the target protein.<sup>215, 233-239</sup>

1166            Thus, some examples on the of usage of poly-Lys or poly-Arg may be found in the  
1167 literature to obtain the one step purification and immobilization of enzymes on cation  
1168 exchangers. It has been shown that a poly-lysine tag facilitates protein purification and  
1169 refolding processes

1170            For example, a poly-lysine (10 lysine residues) tagged cyclodextrin  
1171 glycosyltransferase from *Bacillus macerans* and used to immobilize the enzyme on  
1172 Sulphopropyl–Sepharose a cation-exchange resin.<sup>44</sup> Enzyme activity was fully retained after  
1173 immobilization. Though the poly-lysine-mediated immobilization is reversible, the binding  
1174 force is strong enough to block protein leakage from the solid support at neutral and basic pH.  
1175 The authors pointed out that the method needs improvements since the enzyme was released  
1176 at acidic pH values. Perhaps the use of polyanionic polymers could be a likely solution for this  
1177 problem.<sup>186</sup> This biocatalyst was used to produce  $\alpha$ -cyclodextrin from soluble starch.<sup>240</sup>  
1178 Destabilization of CGTase by poly-lysine fusion and immobilization onto a cation exchanger  
1179 was detected. However,  $\alpha$ - cyclodextrin productivity of 539.4 g l<sup>-1</sup> h<sup>-1</sup> was obtained with 2%  
1180 soluble starch and the operational half-life of the packed-bed enzyme reactor was estimated 12  
1181 days at 25°C and pH 6.0.

1182            In an interesting paper, surface-modified iron oxide particles were used for the  
1183 simultaneous purification and immobilization of *Bacillus stearothermophilus* aminopeptidase  
1184 II (BsAPII) tagged C-terminally with either tri- or nona-lysines.<sup>241</sup> The adsorption strength  
1185 depended on the size of the tag. Three Lys permitted purification to near homogeneity by the  
1186 carboxylated magnetic particles, but it was not easy to elute the adsorbed Lys9 protein from  
1187 the matrix. Immobilization improved the stability of the enzyme. That way, the Lys 9 tag-  
1188 aminopeptidase could be recycled ten times without a significant loss of enzyme activity.<sup>242</sup>

1189            In another example, carboxyethyl chitosan magnetic nanoparticles were used to  
1190 purify small ubiquitin-like modifier, a protease derived from *Saccharomyces cerevisiae*. The



1191 enzyme was fused with a poly lysine tag containing 10 lysine residues at its C-terminus.<sup>43</sup> The  
1192 lysine-tagged protease can be simply purified by magnetite nanoparticles from cell extracts  
1193 with very high purity in just one-step. A poly-Arg tag has been used in other cases. For  
1194 example, D-xylose isomerase from *Escherichia coli* was fused with a 10-arginine tag) at its C-  
1195 terminus.<sup>243</sup> The fusion protein XIR10 was purified to a high purity and immobilized by a  
1196 single step of cation exchange chromatography. The immobilization to the cation exchanger  
1197 has a small effect on the enzymatic function.

1198           In another research, a minichaperone polypeptide was fused with a poly-arginine (10  
1199 residues).<sup>244</sup> This chimeric peptide was purified through a single step of cation exchange  
1200 chromatography with high purity. The purified chaperone was efficiently immobilized on the  
1201 cation exchanger and applied to the refolding of *Bacillus macerans* cyclodextrin  
1202 glycosyltransferase, which was expressed as inclusion body in recombinant *E. coli*.

1203

### 1204           **5.1.2. Improving covalent immobilization via poly cationic tags**

1205           The addition of a Poly-Lys tag may be also advantageous to reach a further covalent  
1206 immobilization of the peptide after ionic exchange. The idea would be similar to the use of  
1207 heterofunctional supports: first the enzyme is adsorbed, second the covalent reaction takes  
1208 place due to the very high apparent concentrations of reactive groups on both support and  
1209 adsorbed protein.<sup>50</sup> Using this strategy, it was found that the covalent immobilization of a  
1210 protein onto the maleic anhydride-alt-methyl vinyl ether copolymers, via the formation of  
1211 amide bonds, occurred in moderate yields under aqueous conditions. This was exemplified  
1212 using two genetically modified HIV-1 capsid p24 proteins, RH24 and RH24K.<sup>245</sup> The addition  
1213 of a six lysine unit tag at the COOH-terminus of RH24K greatly improved the grafting  
1214 reaction which could take place under many different experimental conditions. The course of  
1215 the reaction was controlled by electrostatic attractive forces between the protein and the

1216 negatively charged polymer, as the chemical binding was more efficient at low ionic strength.  
1217 This explanation was later confirmed using a peptide.<sup>246</sup> The grafting reaction was improved by  
1218 adding a sequence of three positively charged amino acids (lysine or arginine) at the amino  
1219 terminus of the peptide. The arginine tag was more efficient than the lysine tag for enhancing  
1220 the immobilization reaction, proving that the effect was due to an electrostatic driving force.

### 1221 1222 **5.1.3. Using of poly-Lys to direct the covalent immobilization of proteins to** 1223 **modulate its catalytic behavior**

1224 It has been shown on some papers and recent reviews how the control of the area of  
1225 the protein involved in the reaction with the support may produce different changes (or  
1226 prevent some changes that should occur), being this tool a very powerful strategy for  
1227 improving enzyme performance in different reactions whose yield depend on the catalytic  
1228 performance of the catalyst.<sup>25, 31, 36</sup>

1229 The control of the immobilization of penicillin G acylase using a poly Lys tag is the  
1230 only example that we have been able to find regarding the use of poly Lys tag to reach this  
1231 goal. This biocatalyst was used for the kinetically controlled synthesis of different beta-  
1232 lactamic antibodies. In this reaction strategy, the use of an activated acyl donor permits to  
1233 reach transient maximum yields, and this yields are determined by the rate of synthesis and  
1234 rates of activated acyl donor and product hydrolysis.<sup>25, 247</sup>

1235 To achieve this, a tag of three lysines alternating with three glycines was added to  
1236 the C-terminal end of the  $\beta$  chain of penicillin G acylase. This enzyme was then immobilized  
1237 to glyoxyl agarose.<sup>59</sup> As glyoxyl agarose only immobilized enzymes via several points,<sup>55, 56</sup>  
1238 this new very rich area in Lys drives the immobilization by this area, even though the  
1239 increment on total amino groups was under 10%, even permitting to immobilize the enzyme at

1240 pH 9.<sup>59</sup> The immobilization of this enzyme the catalytic properties of the immobilized  
1241 derivative on kinetically synthesis of cefamandole and cefonicid.<sup>248</sup>

1242

#### 1243 **5.1.4. Other uses of chimeric enzymes/poly-Lys tags**

1244 Poly-Lysine tags may have some other applications. For example, this strategy was  
1245 used to the efficient production of the intact glucagon-like peptide-1 using a recombinant *E.*  
1246 *coli* system, avoiding degradation.<sup>249</sup> The peptide was fused to a 6-lysine tag, ubiquitin and the  
1247 peptide in a row. Solid-phase refolding of chimeric protein inclusion body using a cation  
1248 exchanger led to a refolding yield over 90%. Finally, the cleavage of the refolded protein with  
1249 ubiquitin-specific protease 1 gave an authentic form of the desired peptide.

1250 In other cases, poly-cationic tags have been used to improve the expression of a  
1251 hyper-expressed enzyme. They can favor the solubility of these hyper-expressed proteins. For  
1252 example, Lipase B from *Candida antarctica* was fused with various polycationic amino acid  
1253 tags and expressed in *E. coli* in order to increase a soluble expression level.<sup>250</sup> The 10-arginine  
1254 and 10-lysine tags fused at the C-terminal of CalB significantly increased the solubility of the  
1255 lipase by five- to ninefold, relative to the case of the native enzyme expressed in a  
1256 recombinant *E. coli*.

1257

#### 1258 **5.2. Modification of the protein surface**

1259 In other cases, the increase on Lys residues is not performed using a tag, but by  
1260 selecting different regions to increase the density of Lys groups in the specific region on  
1261 which we intend to use to immobilize the enzyme, or disperse along the protein surface, if we  
1262 just intend to increase the cationic groups on the surface.

1263 In immobilization, to take full advantage of this Lys enrichment, the immobilization  
1264 should be based on multipoint processes, that way the factor directing the immobilization will

1265 be the density of reactive groups in one protein area and not the reactivity of a special residue  
1266 or its global amount. Among the support for covalent immobilization, glyoxyl supports fulfill  
1267 this requirement.<sup>55, 56</sup> For reversible immobilization, most of the supports follow this  
1268 multipoint interaction to fix the enzyme to the support.<sup>31, 134</sup>

1269

### 1270 **5.2.1. Improvement of the multipoint covalent attachment**

1271 The strategy of improving the multipoint covalent attachment on glyoxyl supports  
1272 have been developed using Penicillin G acylase from *E. coli* as a model enzyme. The  
1273 researchers chose a region of the enzyme that was already very rich in Lys residues and  
1274 introduced there additional Lys residues.<sup>58</sup> The immobilization rate was increased by more  
1275 than a 10 fold factor when compared to the wild enzyme, even though the number of overall  
1276 external Lys was increased by less than 10%. This confirmed that the immobilization was  
1277 mainly performed via the region where the new Lys residues had been introduced. The  
1278 immobilized mutant enzyme showed improved stability on thermal or cosolvent induced  
1279 inactivations with stabilization factors ranging from 4 to 11 compared to that of the native  
1280 enzyme immobilized on glyoxyl-agarose following the same protocol.<sup>58</sup> Considering the  
1281 stabilization obtained by the immobilization of the wild type enzyme (near to 10,000),<sup>251</sup> the  
1282 final stabilization factors achieved with this strategy were impressive.

1283 In another research, the enzyme (horseradish peroxidase) and the support (a  
1284 modified polyethersulfone matrix presenting aldehyde residues) were changed.<sup>252</sup> The  
1285 researchers replaced arginine residues on the face of glycan-free recombinant horseradish  
1286 peroxidase opposite to the active site by lysines. These conservative Arg-to-Lys substitutions  
1287 provide a means of multipoint covalent immobilization such that the active site will always  
1288 face away from the immobilization matrix. One triple and one pentuple mutant were generated  
1289 by substitution of solvent-exposed arginines on the "back" of the polypeptide (R118, R159

1290 and R283) and of residues known to influence stability (K232 and K241).<sup>252</sup> Oriented a  
1291 modified polyethersulfone matrix presenting aldehyde residues immobilization was  
1292 demonstrated using the modified polyethersulfone membrane; the protein was forced to  
1293 orientate its active site away from the membrane and towards the bulk solution phase. The  
1294 reversion of K283R mutation permitted to improve enzyme stability, the quadruple mutant  
1295 regained some stability over its mutant counterparts. A moderate improvement on the  
1296 immobilization rate of the mutant enzymes on CNBr-activated Sepharose™ was noted with  
1297 increased lysine content. This support was able to fix the enzyme via just one point, usually  
1298 involving the most reactive group on the protein. However, only marginal gains in solvent  
1299 stability resulted from immobilization on this latter matrix. The authors conclude that a  
1300 directional and oriented immobilization of horseradish peroxidase mutants onto  
1301 polyethersulfone membrane has been achieved with excellent retention of catalytic activity.<sup>252</sup>

1302           A more directed strategy was later proposed. First, one Cys residue was introduced  
1303 on different regions of the enzyme penicillin G acylase, to find the area that was more  
1304 determinant for enzyme stability.<sup>253</sup> The immobilization was performed on an epoxy support,  
1305 because Cys was by far the most reactive amino group on a protein and that was enough to  
1306 direct the enzyme. The mutant enzyme where the Cys was in the position  $\beta$ 380 was the one  
1307 that gave the highest PGA stabilization values. In a second round of site-directed mutagenesis,  
1308 that region was further enriched in 4 additional lysine residues, and the resulting immobilized  
1309 derivative was 1500-fold more stable than the same protein variant uni-punctually  
1310 immobilized through position  $\beta$ 380.<sup>253</sup>

1311           It is expected that in the near future, this strategy may be extended to more enzymes.

1312

### 1313           **5.2.2. Site directed immobilization: controlling enzyme catalytic features**

1314

1315           In other cases, the objective was more to have a fully oriented immobilized enzyme  
1316 than to improve the multipoint covalent attachment or the enzyme stability. This was the case  
1317 of the immobilization of mutant penicillin G acylase enzymes enriched in Lys areas in the area  
1318 opposite to the active center.<sup>254</sup> The objective was to improve the behavior of the enzyme in  
1319 kinetically controlled synthesis of semi-synthetic  $\beta$ -lactam antibiotics. Native enzyme  
1320 immobilized mainly near to the active center, and that seemed to generate some steric  
1321 hindrances to the entry of the nucleophile producing a severe worsening in its properties.  
1322 Different mutants with an increasing number of Lys were designed and immobilized onto  
1323 glyoxyl agarose. These immobilized Lys enriched mutants have similar performances to the  
1324 free enzyme. Later, they show this differential immobilization of the enzyme using tryptic  
1325 digestion of the immobilized enzymes followed by liquid chromatography-tandem mass  
1326 spectrometry.<sup>255</sup>

1327

### 1328           **5.2.3. Improvement of immobilization in anionic exchangers**

1329           While using chemical amination there is at least one example of the use of amination  
1330 to improve the immobilization on cation exchangers (see section 3.3.3), we have not been  
1331 able to find a similar example using genetic amination. In fact, and this may serve as a proof  
1332 of concept, there is one example where genetic increment on carboxylic groups of the surface  
1333 of penicillin G acylase improves its immobilization on anion exchangers.<sup>63</sup>

1334

### 1335           **5.2.4. Improvement of intermolecular crosslinking**

1336           We have not been able to find any papers concerning the use of enzymes with  
1337 enriched areas in Lys residues and the stabilization of this enzyme by using intermolecular  
1338 crosslinkers. However, in a similar way as when using chemical amination (see section 3.5 of  
1339 this review), this should permit to greatly improve the enzyme crosslinking by increasing the  
1340 prospects of having two residues of the protein at the right distance.<sup>64</sup> In fact, this can be even

1341 more favorable than chemical amination, where it is only possible to get a general enrichment  
1342 on the enzyme surface of amino groups, using the carboxylic groups of the enzyme. Now,  
1343 using site-directed mutagenesis and if the enzyme has a well described structure, it is possible  
1344 to place the new Lys residues on the right position to permit the enzyme crosslinking, a  
1345 critical point to get an intramolecular crosslinking.<sup>144</sup>

1346

### 1347 **5.2.5. Improvement of coating with anionic polymers**

1348 Again, we have not found examples where the enrichment in Lys residues of the  
1349 protein is used to facilitate the adsorption of cationic polymers on their surface. Using the  
1350 enzyme penicillin G acylase, there is, however, an example of enrichment on carboxy groups  
1351 of the enzyme surface to improve the adsorption of cationic polymers on the enzyme  
1352 surface,<sup>256</sup> and in section 3.6 the chemical amination to this goal is presented.<sup>62</sup> Perhaps,  
1353 although this coating may have very good effects on enzyme performance (see section 4 of this  
1354 review), it is considered too sophisticated to improve the interaction via site-directed  
1355 mutagenesis.

1356

### 1357 **5.2.6. Other uses**

1358 As discussed in section 3, cationized enzymes have a higher potential to  
1359 penetrate cell membranes and system barriers. Together with the previously presented  
1360 chemical modifications, this increase in surface cations may be also achieved via site-directed  
1361 modification. For example, Ribonuclease Sa (pI = 3.5) from *Streptomyces aureofaciens* and its  
1362 3K (D1K, D17K, E41K) (pI = 6.4) and 5K (3K + D25K, E74K) (pI = 10.2) mutants were  
1363 tested for cytotoxicity.<sup>257</sup> The 5K mutant was cytotoxic to normal and v-ras-transformed  
1364 NIH3T3 mouse fibroblasts, while RNase Sa and 3K were not. The cytotoxic 5K mutant

1365 preferentially attacks v-ras-NIH3T3 fibroblasts, suggesting that mammalian cells expressing  
1366 the ras-oncogene are potential targets for ribonuclease-based drugs.

1367

## 1368 **Conclusion and future trends**

1369

1370 This review has shown the high interest that the amination of enzymes and proteins has  
1371 with views towards improving their behavior *in vitro* as industrial biocatalysts, but also *in vivo*  
1372 when using proteins as carriers or as medicaments.

1373 Amination has proved to be very useful to improve enzyme immobilization via  
1374 multipoint covalent attachment or cation exchange, to improve intramolecular crosslinking, to  
1375 improve enzyme stability, or to improve intermolecular crosslinking which is a critical step in  
1376 the preparation of CLEAs. The amination also increases the immunogenicity and potential to  
1377 penetrate cell walls, enabling the use of some enzymes as biocides, improving the production  
1378 of antibodies, or just permitting to study the role of certain proteins *in vivo* after introduction  
1379 in the cell.

1380 In some cases, amination may produce drastic changes in enzyme stability, activity or  
1381 selectivity/specificity . Considering the change of ionic interactions on the enzyme surface, a  
1382 negative effect should be expected. However in many instances the effect is positive..

1383 Most examples cited in this review use chemical or physical amination. This may be  
1384 derived from the rapid preparation of the modified enzymes using these techniques, and the  
1385 relatively simple preparation of a collection of enzymes having different modification degrees,  
1386 mainly if a solid phase modification may be performed. Perhaps this may be the best solution  
1387 to alter enzyme properties such as selectivity of specificity, because the current knowledge on  
1388 enzyme dynamics cannot give the exact groups to be modified to mimic the effects using site-  
1389 directed modification. Moreover, this may be a first and rapid step to evaluate if the amination



1390 really permits to improve enzyme immobilization. However, these strategies in general will  
1391 produce a general modification of the enzyme surface, and that may not be the best solution in  
1392 some instances.

1393         Site-directed mutagenesis is a slower technique, which requires expertise in fields  
1394 different from those required for enzyme chemical modification or enzyme immobilization.  
1395 However, together with the advantages derived from the fact that the modified enzymes will  
1396 be always produced in this way (once the mutation has been introduced), this strategy may  
1397 give some further possibilities. For example, only site directed genetic amination may permit  
1398 to get a site-directed immobilization of enzymes on supports such as glyoxyl or cation  
1399 exchangers, or to select the modified groups in a way that the introduction of an  
1400 intramolecular crosslinker may be facilitated. This may be an explanation of the relatively low  
1401 amount of examples where genetic amination has been used, even though these examples have  
1402 shown the very high improvement that this amination may have in the behavior of the final  
1403 biocatalyst. In fact, it has never been used to improve the chemical reactivity versus  
1404 crosslinking reagents, although chemical amination has proved that this may be a critical point  
1405 to use this strategy.

1406         Thus, we are before a clear example of the convenience of a close collaboration  
1407 between experts in scientific areas apparently quite far in the design of biocatalysts. If this is  
1408 achieved, it seems obvious that the genetic amination should be a future way of improving  
1409 enzymes and proteins to be used as biocatalysts, but also as medicaments or protein carriers.

1410

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