

1                   **Critical review on clinoptilolite safety and medical applications *in vivo***

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22 **Abstract:**

23 Unique and outstanding physical and chemical properties of zeolite materials have made them  
24 extremely useful in a variety of applications including agronomy, ecology, manufacturing and  
25 industrial processes. Recently, a more specific application of one naturally occurring zeolite  
26 material, clinoptilolite, has widely been studied in veterinary and human medicine. Due to a  
27 number of positive effects on health, including detoxification properties, usage of clinoptilolite-  
28 based products *in vivo* increased enormously. However, concerns have been raised in the  
29 public of the safety of clinoptilolite materials for *in vivo* applications. Here, we review the  
30 scientific literature on the health effects and safety in medical applications of different  
31 clinoptilolite-based materials and propose some comprehensive, scientifically-based  
32 hypotheses on possible biological mechanisms underlying observed effects on the health and  
33 body homeostasis. We focus on clinoptilolite material safety and positive medical effects  
34 related to detoxification, immune response and general health status.

35

36 **Keywords:** zeolite, clinoptilolite, toxicology, immunostimulation, antioxidant properties

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### 39 **Chemical properties and biological application of natural zeolite clinoptilolite**

40 Zeolites possess unique and outstanding physical and chemical properties. These  
41 characteristics have made them very useful in a variety of applications including agronomy,  
42 ecology, certain manufacturing, industrial processes, medicine and cosmetics. Recently,  
43 application of a specific natural zeolite material, clinoptilolite, has been documented in  
44 veterinary and human medicine. Subsequently, the market of clinoptilolite-based products for  
45 use *in vivo* has constantly been growing (Figure 1) [1].

46 The name 'zeolite' originates from Greek words 'zeo', „to boil“, and 'litos', a stone. The current  
47 nomenclature and classification of zeolite materials is given by the Structure Commission of  
48 the International Zeolite Association that identifies each material based on their framework with  
49 a three-letter mnemonic code; for instance, natural zeolite clinoptilolite is denoted as HEU [2].

50 By origin, zeolites can be natural, or synthetic materials. They are aluminosilicate minerals with  
51 rigid anionic frameworks containing well defined channels and cavities. These cavities contain  
52 metal cations which are exchangeable, or may also host neutral guest molecules that can also  
53 be removed and replaced. Majority of natural zeolites are of volcanic origin and have a general  
54 formula  $M_{2/n}:Al_2O_3:xSiO_2:yH_2O$ , where M stays for the extra-framework cation [3]. The  
55 mineral structure is based on  $AlO_4$  and  $SiO_4$  tetrahedra, which can share 1, 2, or 3 oxygen  
56 atoms, so there is a wide variety of possible structures, as the network is extended in three  
57 dimensions. This unique structural feature is a basis for their well-known microporous  
58 structure. Based on pore size and absorption properties, zeolites are among the most  
59 important inorganic cation exchangers and are used in industrial applications for water and  
60 waste water treatment, catalysis, nuclear waste, agriculture, animal feed additives, and in  
61 biochemical applications [3].

62 The variety of zeolites' application is indeed a consequence of the porous structure: pores form  
63 negatively charged channels and cavities, which are occupied with positively charged alkali  
64 and alkali earth monovalent (*i.e.*  $Na^+$ ,  $K^+$ ) and divalent (*i.e.*  $Ca^{2+}$ ) ions, OH-groups or  $H_2O$   
65 molecules, which can be easily exchanged by other molecules and cations from the  
66 surroundings (Figure 2). It is logical then, that the final Si/Al ratio in a zeolite determines ion  
67 exchange capacity and attraction of cations that come to reside inside the pores and channels  
68 [4,5].

69 Besides metal cations and water resident in zeolites' cavities and pores, other molecules and  
70 cationic groups may be accommodated as well, such as, for instance, ammonia, and nitrate  
71 ions, and all these are bound to different zeolites at different affinity levels (Journal of Water  
72 Resource and Hydraulic Engineering 2014, 3 (4):74-80 Removal of Nitrate from Groundwater

73 by Using Natural Zeolite of Nizarneshwar Hills of Western India, R.W.Gaikwad, A.R.Warade).  
74 For example, selectivity alignments of the zeolite clinoptilolite cation exchange have been  
75 given as  $Ba^{2+}>Cu^{2+}$ ,  $Zn^{2+}>Cd^{2+}$ ,  $Sr^{2+}>Co^{2+}$  by Blanchard et al. [6], as  
76  $Pb^{2+}>Cd^{2+}>Cs^{+}>Cu^{2+}>Co^{2+}>Cr^{3+}>Zn^{2+}>Ni^{2+}>Hg^{2+}$  by Zamzow et al. [7], or as  
77  $Co^{2+}>Cu^{2+}>Zn^{2+}>Mn^{2+}$  by Erdem et al. [8].

78 The mineral assemblies of the most common zeolite occurrences in nature are clinoptilolite-  
79 and mordenite-containing tuffs, in which the zeolite clinoptilolite and mordenite content is high  
80 (80% and over. It may come along with the aluminium phyllosilicate clay smactite (bentonite)  
81 and accompanying phases present in lower percentages cristoballite, calcite, feldspar and  
82 quartz. However, other types of zeolites (e.g. phillipsite, chabazite) and clay minerals may  
83 dominate the mineral tuff assemblage and properties of such materials may vary in a widest  
84 sense with respect to the final mineral content [9].

85 The widely tested zeolite suitable for medical applications *in vivo* is the clinoptilolite tuff, but  
86 mordenite tuff was also studied by Selvam et al. (Natural Cuban zeolites for medical use and  
87 their histamine binding capacity, T. Selvam, W. Schwieger, W. Dathe. Clay Minerals (2014) 49  
88 (4): 501-512.). So far the word 'zeolite' has been used in the literature for different types of  
89 zeolites, tuffs and clays. For example, both clinoptilolite and clay materials may be used for  
90 ion-exchange reactions. Still, their structural properties and toxicology profiles may be different  
91 (Environ Res. 2015 Apr;138:233-54. doi: 10.1016/j.envres.2014.12.024. Epub 2015 Feb 28.  
92 Toxicological evaluation of clay minerals and derived nanocomposites: a review. Maisanaba  
93 S, Pichardo S, Puerto M, Gutiérrez-Praena D, Cameán AM, Jos A). The structure of mineral  
94 clays is, for instance, organized in layers (sheets), while clinoptilolite has tetrahedra arranged  
95 so that they form large amounts of pore space in the crystals. Different physical-chemical  
96 properties between clinoptilolite and clays, e.g. kaolinite were accordingly documented in the  
97 literature [10,11,12,13,14,15 16, 17] For example, kaolinite structure may change during the ion-  
98 exchange processes due to displacement of  $H^{+}$  ions, or due to swelling of the structure as a  
99 consequence of Pb, Zn, or Cd cations absorption which is opposite to clinoptilolite constancy  
100 during ion-exchange process [12].

101 Clinoptilolite shares a high structural similarity with the zeolite heulandite (they are  
102 isostructural) and it is distinguished from heulandite by a higher silicon to aluminium ratio in  
103 favour to silicon, where  $Si / Al > 4.0$  and  $(Na + K) > (Ca + Sr + Ba)$ . The thermal behaviour of  
104 clinoptilolite and heulandite is also different. The clinoptilolite structure is still not destroyed  
105 after 12h of heating at  $750^{\circ}C$ , whereas the heulandite structure is destroyed after 12h at  $450^{\circ}C$   
106 [18]. This structural stability is an essential element for *in vivo* applications.

107 For instance, a synthetic material known as Zeolite A, used widely for ion-exchange in  
108 industrial processes, has the framework composition with a high Al content and molar ratio of  
109 Si / Al almost 1. This is indeed the highest aluminium content possible in tetrahedral  
110 aluminosilicate frameworks [19]. In Zeolite A, the Al-framework is balanced by the maximum  
111 number of cation exchange sites; it has high cation contents and superior exchange capacities.  
112 However, it is not appropriate for *in vivo* applications, since similar to other low-silica zeolites,  
113 zeolite A is unstable in acids. In contrast, zeolites with higher silica content, such as  
114 clinoptilolite, are stable in acids [19].

115 We present a comprehensive review of clinoptilolite applications in veterinary and human  
116 medicine. We consider all of the above clinoptilolite properties and propose its mechanisms of  
117 action *in vivo* (summarized in Table 1) and propose some comprehensive, scientifically-based  
118 hypotheses on possible biological mechanisms underlying observed effects on the health and  
119 body homeostasis.

120

## 121 **Use of clinoptilolite in veterinary and human medicine**

122 Studies performed in the last decades showed a high potency of clinoptilolite in diverse medical  
123 applications *in vitro* and *in vivo* [20]. A large number of documented positive clinoptilolite  
124 medical effects were attributed to basic clinoptilolite material properties, in particular, to  
125 reversible ion-exchange and adsorption capacity [5,20,21]. This central clinoptilolite  
126 characteristic related to elimination of toxic agents and restoration of the body homeostasis  
127 may be widely exploited in a number of medical applications.

128 For instance, a high affinity of clinoptilolite towards ammonia was proven in different systems  
129 for elimination of ammonia from water [22,23,24]. This is why clinoptilolite has widely been  
130 used for years in animal production as an additive to animal feed, or for removal of ammonia,  
131 in animal manure [25]. This ammonia affinity is an interesting feature for medical applications  
132 in humans as well. For example, detrimental roles of the end-products of protein fermentation,  
133 such as ammonia, have been recognized on the colonic microbiota and epithelial health, in  
134 particular on the colonocytes life span and function (Physiology of the Gastrointestinal Tract,  
135 Volume 1, section 4, pp 744-749, Gut microbiome, ed. Hamid M. Said, sixth edition, Academic  
136 press, London, 2018, Aliment Pharmacol Ther. 2016 Jan;43(2):181-96. doi:  
137 10.1111/apt.13456. Epub 2015 Nov 2. Review article: insights into colonic protein  
138 fermentation, its modulation and potential health implications. Yao CK, Muir JG, Gibson PR;  
139 Colonic Protein Metabolism and Colorectal Cancer Curr. Issues Intest. Microbiol. (2000) 1(2):  
140 51-58 R. Hughes, E.A.M. Magee, S. Bingham; Colorectal Carcinogenesis: A Cellular

141 Response to Sustained Risk Environment Kim Y. C. Fung, Cheng Cheng Ooi, Michelle H.  
142 Zucker, Trevor Lockett, Desmond B. Williams, Leah J. Cosgrove, David L. Topping. Colorectal  
143 Carcinogenesis: A Cellular Response to Sustained Risk Environment. 2018).

144 The excessive production of ammonia, but also of other gaseous products including CO<sub>2</sub> and  
145 H<sub>2</sub>S, may occur as a consequence of protein-rich, or imbalanced diets, or in diverse  
146 pathogeneses where excessive protein fermentation occurs, including irritable bowel  
147 syndrome, ulcerative colitis and colorectal carcinogenesis (Aliment Pharmacol Ther. 2016  
148 Jan;43(2):181-96. doi: 10.1111/apt.13456. Epub 2015 Nov 2. Review article: insights into  
149 colonic protein fermentation, its modulation and potential health implications. Yao CK, Muir JG,  
150 Gibson PR; Colorectal Carcinogenesis: A Cellular Response to Sustained Risk Environment  
151 Kim Y. C. Fung, Cheng Cheng Ooi, Michelle H. Zucker, Trevor Lockett, Desmond B. Williams,  
152 Leah J. Cosgrove, David L. Topping. Colorectal Carcinogenesis: A Cellular Response to  
153 Sustained Risk Environment. 2018). Clinoptilolite has a high affinity towards ammonium and  
154 may prove useful in these cases as an adjuvant to the standard therapy [26]. From this  
155 perspective, clinoptilolite was evaluated in a recent trial performed on aerobically trained  
156 subjects [27]. In this study, endurance trained subjects were recruited and supplemented with  
157 clinoptilolite/dolomite/maca based product (Panaceo Sport®). Athletes indeed, often report on  
158 intestinal symptoms including nausea, stomach and intestinal cramps, vomiting and diarrhoea.  
159 These symptoms may be a consequence of typical athletes' diets with high protein content, as  
160 in such circumstances excessive protein fermentation may occur and is accompanied by  
161 higher ammonia release in the intestine as well. These subjects also have increased intestinal  
162 wall permeability. A well-known and complex relationship between exercise and oxidative  
163 stress, depends on many diverse factors. For instance, regular moderate exercise increases  
164 the resistance against oxidative stress, while acute and vigorous exercise can generate free  
165 radicals in excess [63]. Consequences of exercise at exhaustion levels include increased  
166 number of leukocytes due to damage of muscle fibres and connective tissue [64], as well as  
167 elevated lipid-peroxidation marker MDA in the plasma [65]. It is therefore, not surprising that a  
168 number of professional athletes present gastrointestinal symptoms which may end-up as  
169 medical problems, infections and autoimmune disease [66,67]. Interestingly, supplementation  
170 with Panaceo Sport® , positively influenced intestinal wall integrity, which was witnessed  
171 through decreased concentrations of the tight junction modulator zonulin, a marker of  
172 increased intestinal permeability [27].

173 Other studies on detoxification properties of clinoptilolite materials *in vivo* performed so far  
174 were mainly on animals and they provide strong evidence on alleviating effects during  
175 exposure to different toxicants upon clinoptilolite supplementation. For instance, prolonged  
176 consumption of water with increased nitrate levels by dairy cattle is known to impair protein

177 metabolism and glucose utilization. In these cows, dietary administration of clinoptilolite  
178 alleviated nitrate burden to the body and reduced negative systemic effects of nitrates [28].  
179 Similarly, a dietary mixture containing 3% of a clinoptilolite-based product, showed to increase  
180 nitrogen excretion in faeces and to lower nitrogen excretion in urine in growing pigs.  
181 Importantly, no effects on protein retention values were observed and protein deposition was  
182 not altered [29].

183 Moreover, clinoptilolite incorporated into the diet may be effective in fighting mycotoxins by  
184 direct absorption. Affinity towards aflatoxins, zearalenone, ochratoxin and T2 toxin, was proven  
185 *in vitro* in the presence of aminoacids and vitamins where the latter were not absorbed by  
186 clinoptilolite material [31]. The specificity for aflatoxin M1 was also shown *in vivo* as well and  
187 dietary administration of clinoptilolite, especially of the material with the smallest particle size,  
188 at the rate of 200 g per cow per a day, effectively reduced milk aflatoxin M1 concentration in  
189 dairy cattle [32].

190 It is important to note that supplementation with clinoptilolite in dairy cows may have additional  
191 benefits, such as reduction of parturient paresis. A study by Katsoulos *et al.* for instance,  
192 showed that clinoptilolite supplementation reduced its incidence and did not affected serum  
193 concentrations of total calcium, phosphate, magnesium, potassium, and sodium [33]. This  
194 veterinary application may be relevant for human health as well. Indeed, the demand for  
195 healthier food products and balanced diets is being growingly recognized as a central paradigm  
196 for preservation of the body's homeostasis and health. Moreover, it is widely known that  
197 contamination of poultry by food-borne pathogens is considered among major problems in the  
198 poultry industry. This is why antibiotics are standardly used in poultry meat production. Such  
199 wide use of antibiotics in poultry, but also in production of other meat, has recently been  
200 accepted as a major cause for development of antibiotic-resistant bacteria (Rustam I. Aminov,  
201 Roderick I. Evolution and ecology of antibiotic resistance genes, Mackie FEMS Microbiol Lett  
202 271 (2007) 147–16). New, natural possibilities for improvement of animal health in meat  
203 production have therefore been widely discussed [34] and clinoptilolite may be a natural  
204 alternative.

205 For instance, clinoptilolite has been tested as a possible supplementation to broilers feed as  
206 an alternative to antibiotics for: (1) control of total flora at broiler farms, where clinoptilolite  
207 supplementation showed a positive effect on of the total flora (Lipids Health Dis. 2012; 11: 35.  
208 Effect of zeolite (clinoptilolite) as feed additive in Tunisian broilers on the total flora, meat  
209 texture and the production of omega 3 polyunsaturated fatty acid. Zouhir Mallek, Imen Fendri,  
210 Lamia Khannous, Amal Ben Hassena, Al Ibrahim Traore, Mohamed-Ali Ayadi, Radhouane  
211 Gdoura), as well as on performance of production and organoleptic parameters, especially on

212 increase of omega 3 fatty acid levels in eggs [35]; (2) improvement of antioxidant capacity in  
213 broilers where supplementation of clinoptilolite materials increased activities of glutathione  
214 peroxidase, catalase, total superoxide dismutase and the total antioxidant capacity [36]; (3)  
215 reduction of mycotoxin effects on broilers health, where the number of aflatoxin-affected  
216 broilers, or the number of severe lesions in the liver of chickens, was reduced in the  
217 clinoptilolite-supplemented group [37]. All these documented effects are due to clinoptilolite  
218 capacity to adsorb harmful substances in the gastrointestinal tract that are not confined only  
219 to micotoxins and ammonia, but include heavy metals and organic compounds as well.

220 Indeed, different studies showed that clinoptilolite materials provide direct detoxifying  
221 performance *in vivo*. For instance, in lead-intoxicated mice, a clinoptilolite sorbent KLS-10-MA  
222 decreased lead accumulation in the intestine by more than 70% [38,39]. Moreover, in rats  
223 exposed to organophosphate poisoning, zeolite tuff containing 61% of clinoptilolite proved  
224 efficient in restoration of cholinesterase activity in brain, liver, spleen, femoral muscle, heart,  
225 stomach, duodenum, colon and erythrocytes of intoxicated animals [30]. It can generally be  
226 stated that clinoptilolite loaded with potential toxicants in the intestine is then excreted along  
227 with toxicants.

228 It seems that this detoxifying effect may have additional systemic effects. A role of clinoptilolite  
229 has been recognized in medical applications, where usage in zootechnology and veterinary  
230 medicine provided strong evidence on improvement of pets' fitness and efficiency in removal  
231 of numerous harmful substances from the organism, including radioactive elements,  
232 mycotoxins and poisons [40]. In addition, ethylenediaminetetraacetic acid (EDTA) and  
233 clinoptilolite supplementation exerted a protective effect on the brain tissue of mice intoxicated  
234 with lead by inducing antioxidant mechanisms and increasing activity levels of catalase,  
235 superoxide dismutase, glutathione peroxidase, and glutathione [41]. Moreover, a study in  
236 humans showed the ability of tribomechanically micronized clinoptilolite to decrease the  
237 absorption of ingested ethanol by reducing blood alcohol levels at a dose of 5 g [42]. If the  
238 clinoptilolite-containing product dosage is lower or if it is not administered at the time of alcohol  
239 consumption, this effect may not be visible as shown by Gandy et al. (Clin Exp Gastroenterol.  
240 2015; 8: 271–277. Potentiated clinoptilolite reduces signs and symptoms associated with  
241 veisalgia. Justin John Gandy, Ilze Laurens, and Jacques Rene Snyman) where clinoptilolite  
242 proved highly efficient in reduction of veisalgia symptoms and signs up to 40%–50%.

243 In addition, clinoptilolite has interesting antioxidant, haemostatic and anti-diarrheic properties  
244 that may be exploited in human medicine, especially as adjuvants to standard therapies [1].  
245 However, the number of clinical studies with clinoptilolite materials on humans is still low and



246 previously described immunomodulatory, anticancer and antioxidant effects of clinoptilolite *in*  
247 *vivo* should be studied in more detail.

248 Even though the efficacy and potential of clinoptilolite materials in medicine seems high,  
249 questions were raised on eventual clinoptilolite effects on physiologically relevant elements,  
250 *i.e.* micronutrients and trace elements, or effects on important processes in the organism. The  
251 results published thus far show that clinoptilolite does not affect the homeostasis of trace  
252 elements and micronutrients and acts rather selectively on heavy-metals and toxicants. For  
253 instance, clinoptilolite-treated dairy goats showed no changes in serum concentrations of fat-  
254 soluble vitamins, macro-elements and trace elements, or activities of hepatic enzymes. In  
255 addition, clinoptilolite supplementation improved milk fat percentage and milk hygiene [43]. No  
256 effects of clinoptilolite on physiological mineral levels were observed in cows as well (Katsoulos  
257 P.D., Roubies N., Panousis N., Arsenos G., Christaki E. , Karatzias H., Effects of long-term  
258 dietary supplementation with clinoptilolite on incidence of parturient paresis and serum  
259 concentrations of total calcium, phosphate, magnesium, potassium, and sodium in dairy cows.  
260 *Am. J. Vet. Res.* 66 (2005) 2081-5.).

261

## 262 **Zeolites effects on oxidative stress and immune system**

263 In aerobic organisms, production of small quantities of reactive oxygen species (ROS),  
264 including peroxides, superoxides, hydroxyl radicals, and singlet oxygen, occurs continuously  
265 [44]. A controlled production of ROS is indeed essential to the body's homeostasis [45], while  
266 excessive production of ROS is known to cause damage to the DNA, proteins and lipids [46].  
267 Some ROS are produced endogenously, while others are derived exogenously, such as those  
268 formed by ionizing radiation. The endogenous sources of ROS are the mitochondria,  
269 cytochrome P450 metabolism, peroxisomes, and inflammatory cell activation [47]. For  
270 example, mitochondria produced ROS are the superoxide anion ( $O_2^{\bullet-}$ ), hydrogen peroxide  
271 ( $H_2O_2$ ) and hydroxyl radical ( $\bullet OH$ ). Other routes and factors may induce ROS in the organism  
272 as well, such as ROS produced through the activity of xanthine oxidase, in reactions of  
273 hypoxanthine to xanthine and xanthine to uric acid conversions, where molecular oxygen is  
274 reduced into superoxide anion, followed by generation of hydrogen peroxide [48]. It is  
275 understood that homeostasis in normal cells includes a balance between ROS production and  
276 antioxidant defence activity. Indeed, antioxidant mechanisms in the human body that are the  
277 main regulators of ROS levels are based on enzyme and non-enzyme systems. Enzyme  
278 systems rely mainly on superoxide dismutase (SOD), catalase, peroxiredoxin (Prx),  
279 thioredoxins (Trx) and glutathione (GSH) enzymes' activity, while non-enzymatic systems  
280 comprise flavonoids, vitamin A, vitamin C, vitamin E and melatonin [49]. In addition to these

281 antioxidant systems inherent to the body, other exogenous antioxidants are important in  
282 regulation of constant body's ROS homeostasis as well. For example, dietary compounds are  
283 highly important for elimination of excessive ROS caused by external stimuli and include, for  
284 instance, carotenoids, tocopherols, bioflavonoids, anthocyanins and phenolic acid [50]. When  
285 ROS production exceeds antioxidant capacity, we usually perceive the process as "oxidative  
286 stress" that leads to organic damage. Increased oxidative damage to cells and tissues and  
287 modulation of the ROS regulated signalling pathways have recently been acknowledged in the  
288 pathogenesis of a wide number of diseases, including obesity, atherosclerosis, heart failure,  
289 uremic cardiomyopathy, kidney pathologies, hypertension, neurological disease and cancer  
290 [51,52,53,54,55]. It should be noted that for a proper functioning of the body, antioxidant  
291 defences, co-factors, or molecules that activate enzymes by binding to their catalytic sites are  
292 also required. In case of antioxidant enzymes, these co-factors may include coenzyme Q10,  
293 vitamins B1 and B2, carnitine, selenium and often transition metals Cu, Mn, Fe, and Zn [56].  
294 Recently, a preliminary efficacy study performed on patients with dyslipidemia has also shown  
295 a positive effect of clinoptilolite supplementation on lowering the total lipid count and LDL (low  
296 density lipoproteins) which may also be indirectly correlated with its general antioxidative  
297 effect (J Altern Complement Med. 2017 23(9):738-744. Clinoptilolite for Treatment of  
298 Dyslipidemia: Preliminary Efficacy Study. Cutovic M, Lazovic M, Vukovic-Dejanovic V, Nikolic  
299 D, Petronic-Markovic I, Cirovic D).

300  
301 Due to a certain amount of pre-loaded elements, it is plausible to assume that clinoptilolite may  
302 positively affect the body's metal homeostasis, including either the levels, or availability of  
303 some physiological metal ions pre-loaded in the material, on signal pathways responsible for  
304 production of endogenous antioxidant enzymes. This may partially underlie the observed  
305 effects on the oxidative stress defence mechanisms, which are visible as activation or  
306 restoration of activity and levels of natural antioxidant enzymes. Still, this effect should be  
307 evaluated along with factors such as for example the applied daily dosage, health status or  
308 lifestyle. For example, in the study of Lamprecht et al. [27], the daily dosage of 1.85 g  
309 clinoptilolite material supplementation did show an effect on measured redox markers in blood  
310 of healthy athletes. Further on, interesting effects of clinoptilolite supplementation were  
311 documented in animals as well. In hepatectomized rats, for instance, common oxidative stress  
312 markers are induced upon trauma including malondialdehyde (MDA) in the plasma and liver  
313 tissue. When hepatectomized rats were supplemented with a micronized clinoptilolite  
314 preparation, 'Froximun', MDA levels were significantly lower, while liver tissue antioxidant  
315 mechanisms were strengthened, as witnessed by significantly higher activity of Cu-Zn SOD  
316 and GSH [57]. Also, in chicken, daily supplementation with a natural clinoptilolite, or a modified  
317 clinoptilolite, efficiently improved antioxidant capacity by increasing the antioxidant enzyme

318 activities in intestine mucosa, and decreasing the free radical NO content and inducible nitric  
319 oxide synthase activity in the serum. Moreover, upon prolonged supplementation in chicken,  
320 both tested clinoptilolite materials increased activities of glutathione peroxidase, catalase, total  
321 SOD and total antioxidant capacity [58]. Similarly, in doxorubicin treated mice, micronized  
322 clinoptilolite proved efficient in counteracting lipid peroxidation in the liver [59].

323

324 An interesting effect of clinoptilolite was observed in fluoride-intoxicated rats [60]. Fluoride is  
325 neurotoxic upon penetration through the blood-brain barrier during gestation and post-  
326 gestation periods. As a consequence of fluoride-intoxication, inhibition of antioxidant enzymes  
327 occurred in pups along with lipid peroxidation. Upon supplementation of pups with clinoptilolite,  
328 oxidative damage was restored and levels of GSH-Prx were substantially ameliorated in the  
329 cerebral cortex and medulla oblongata. Similar results were however, observed in animals  
330 supplemented with vitamins E and C as well [60]. In line with these results, it should also be  
331 hypothesized that clinoptilolite might hold potential to combat acute fluoride-intoxication in  
332 animals, as well as in humans. In the gastric juice, fluoride anions are converted into  
333 hydrofluoride acid. Such weak hydrofluoride acid may form hydrogen bonds with the  
334 clinoptilolite framework and be eliminated from the body in the stool.

335

336 It may be concluded however, that exact mechanisms of clinoptilolite effects on systemic  
337 restoration of homeostasis and increased antioxidant capacity are still not fully understood, as  
338 these effects are probably connected both to general detoxifying effects occurring in the  
339 intestine, as well as to release of physiologically relevant cations from the clinoptilolite  
340 framework during the ion exchange process, e.g. Ca, Mn, Zn, Mg, that are then readily  
341 available to the organism and to the antioxidant mechanism. Similar indirect effects of  
342 clinoptilolite on the antioxidant mechanisms in the body were also observed in different  
343 pathologies and disease models. For instance, tribomechanically micronized zeolite increased  
344 SOD activity in a transgenic mouse model of Alzheimer disease in the hippocampus and  
345 cortex, while it concomitantly reduced A $\beta$  (x-42) amyloid beta levels in the hippocampus [61].  
346 Moreover, zinc-bearing clinoptilolite proved to exert a protective effect on performance and gut  
347 health of broilers against *S. pullorum* infection and also to improve the SOD activity of ileal  
348 mucosa and reduced MDA contents of jejunal and ileal mucosa [62].

349

350

351 It is also possible that antibacterial and antiviral effects of clinoptilolite might be in correlation  
352 with immunomodulatory properties. For instance, in long term supplementation with  
353 clinoptilolite, a decreased prevalence of *E. coli* carrying certain antimicrobial resistance and

354 virulence genes was documented [68]. An influence of natural clinoptilolite on *E. coli* was also  
355 documented in another study on broilers *in vivo* [69]. In this study, a beneficial effect on  
356 intestinal parameters was measured, which was hypothesized to be based on a direct effect  
357 on the microbial population in the intestine. While the total count of *E. coli* was significantly  
358 reduced, a rise of *Lactobacillus acidophilus* occurred in parallel [69]. Similarly, clinoptilolite  
359 supplementation of Enterex®, approved by the Cuban Drug Quality Control Agency, showed  
360 to be highly efficient in ameliorating diarrhoea symptoms in several clinical studies on humans  
361 with acute diarrhoea of different aetiologies. Moreover, in cases where diarrhoea symptoms  
362 were removed and the pathogenic agent was identified upon Enterex® treatment antibiotics  
363 were additionally used to completely eliminate pathogenic bacteria from the intestinal lumen  
364 [70]. Therefore, this observed antidiarrheal activity may be in correlation with Enterex® effect  
365 on certain pathogenic bacteria count or microbiota status in general rather than with direct  
366 antibacterial effect which would have to be confirmed by additional studies. Recently, a positive  
367 effect of a potentiated clinoptilolite material (Absorbatox®) was also shown to reduce  
368 symptoms associated with endoscopically negative gastroesophageal reflux disease and  
369 nonsteroidal anti-inflammatory drug induced gastritis where it significantly prevented mucosal  
370 erosion severity (Clin Exp Gastroenterol. 2014 7:215-20. Potentiated clinoptilolite: artificially  
371 enhanced aluminosilicate reduces symptoms associated with endoscopically negative  
372 gastroesophageal reflux disease and nonsteroidal anti-inflammatory drug induced  
373 gastritis. Potgieter W, Samuels CS, Snyman JR).

374 Similarly, antiviral properties for clinoptilolite *in vitro* were shown on human adenovirus 5,  
375 herpes simplex virus type 1 and human enteroviruses coxsackievirus B5 and echovirus 7 [71].  
376 This effect may probably be attributed to a direct adhesion of viral particles on clinoptilolite *in*  
377 *vitro* which then inhibits viral entrance in the cells and viral replication. Even though no *in vivo*  
378 studies on clinoptilolite antiviral activity have been published thus far, positive  
379 immunomodulatory effects were observed in patients treated for immunodeficiency disorders.  
380 In a study performed by Ivkovic *et al.* [72], a significant increase in specific immunity cells  
381 counts, B lymphocyte CD19+, T-helper cells CD4+ and activated T-lymphocytes HLA-DR+,  
382 were observed in subjects treated with tribomechanically micronized clinoptilolite. This effect  
383 was accompanied by significantly decreased natural immunity NK CD56+ cell counts. Again,  
384 standard blood count parameters of patients remained within normal referent values [72].

385 A hypothesis for the observed clinoptilolite immunomodulatory effects may be the modulation  
386 of the body defence mechanisms towards ROS. Indeed, ROS induces damage of cells and  
387 tissues when inflammation is initiated as a mechanism for restoration of the body's  
388 homeostasis. Any impairment of the host immune and inflammatory mechanisms in the long  
389 term may cause other inflammatory disorders, e.g. chronic sinusitis, otitis media and

390 osteomyelitis, or microbial overgrowth syndromes, such as bacterial vaginosis, or inflammatory  
391 bowel disorders. It is plausible therefore, to assume that such disorders have in common the  
392 formation of biofilms due to impaired immunological reaction of the host organism [73].  
393 Previous studies indeed, showed a link between antioxidative effect and the stimulation of the  
394 immune system (Ann Clin Lab Sci. 2000 Apr;30(2):145-58. Review: Free radicals, antioxidants,  
395 and the immune system. Knight JA; Nutr J. 2008; 7: 29. The role of antioxidant supplement in  
396 immune system, neoplastic, and neurodegenerative disorders: a point of view for an  
397 assessment of the risk/benefit profile Daria Brambilla, Cesare Mancuso, Mariagrazia Rita  
398 Scuderi, Paolo Bosco, Giuseppina Cantarella, Laurence Lempereur, Giulia Di Benedetto,  
399 Salvatore Pezzino, Renato Bernardini).

400 Clinoptilolite's positive immunomodulatory effects in similar conditions may be due to  
401 interactions of clinoptilolite particles in the intestine with microfold cells (M-cells) (Figure 3). M-  
402 cells are found in the gut-associated lymphoid tissue (GALT) of the Peyer's patches, a rich  
403 lymphoid tissue that communicates with intestinal epithelial cells and the microbiome of the  
404 intestine by diverse immunomodulation processes, as well as in the mucosa-associated  
405 lymphoid tissue (MALT) of other parts of the gastrointestinal tract. These gastrointestinal cells  
406 are known to initiate mucosal immunity responses on the apical membrane of the M-cells and  
407 to allow transport of microbes and particles across the epithelial cell layer from the gut lumen  
408 to the *lamina propria* where interactions with immune cells occur [74]. While evaluating  
409 possible clinoptilolite immunomodulatory effects in the intestine, it should be emphasized that  
410 M-cells can uptake nano- and submicro-particles, which can probably induce changes in redox  
411 homeostasis in a cell [75]. These changes in the M-cells then affect the Payers patches as  
412 well. It is important to note that M-cells apical and basolateral sides, which communicate with  
413 Payers patches, are polarised [76] and one may hypothesize that due to this particular  
414 phenotype, M-cells retain clinoptilolite particles or silica particles released from clinoptilolite  
415 material (tuff) that do not enter the blood system (Clinoptilolite in Dextran Sulphate Sodium-  
416 Induced Murine Colitis: Efficacy and Safety of a Microparticulate Preparation. Stéphane Nizet,  
417 Eduardo Muñoz, Bernd L Fiebich, Peter M Abuja, Karl Kashofer, Kurt Zatloukal, Simone  
418 Tangermann, Lukas Kenner, Cornelius Tschegg Dietmar Nagl, Laurenz Scheichl, DI Claudia  
419 Meisslitzer-Ruppitsch Michael Freissmuth, Thomas Berger. Inflammatory Bowel Diseases  
420 24(1), 2018, Pages 54–66) and act locally on this tissue. Contrary to M-cells, other cells in the  
421 intestine cannot perform macropinocytosis and therefore cannot absorb negatively charged  
422 clinoptilolite particles or silica particles released from clinoptilolite material (tuff) due to their  
423 rich negatively charged glycoprotein-polysaccharide covering, glycocalix [77]. Some  
424 probiotics' metabolites, e.g. from the lactic acid bacteria, exert the same activating function on  
425 Payers patches as we suggest for clinoptilolite particles or silica particles released from

426 clinoptilolite material (tuff) and improve intestinal wall integrity [78]. Therefore, we propose that  
427 this clinoptilolite-induced M-cells' communication with Payer's patches as similarly shown by  
428 Pavelic *et al.* [79], either through particle intake or microbiota effect as recently shown in dogs  
429 supplemented with the zeolite chabazite (Front Microbiol. 2016; 7: 1491. Modulation of the  
430 Bifidobacterial Communities of the Dog Microbiota by Zeolite. Alberto Sabbioni, Chiara  
431 Ferrario, Christian Milani, Leonardo Mancabelli, Enzo Riccardi, Francesco Di Ianni, Valentino  
432 Beretti, Paola Superchi, Maria C. Ossiprandi), increases the immune response and in  
433 particular, stimulates IgA producing B lymphocytes (plasma cells), a defensive mechanism of  
434 the intestinal tract against pathogenic bacteria [80]. In a recent paper by Nizet *et al.* however,  
435 (Clinoptilolite in Dextran Sulphate Sodium-Induced Murine Colitis: Efficacy and Safety of a  
436 Microparticulate Preparation. Stéphane Nizet, Eduardo Muñoz, Bernd L Fiebich, Peter M  
437 Abuja, Karl Kashofer, Kurt Zatloukal, Simone Tangermann, Lukas Kenner, Cornelius Tschegg  
438 Dietmar Nagl, Laurenz Scheichl, DI Claudia Meisslitzer-Ruppitsch Michael Freissmuth,  
439 Thomas Berger. Inflammatory Bowel Diseases 24(1), 2018, Pages 54–66), no clinoptilolite  
440 particles were detected in the selected sections of the gut tissue. Even though the inspection  
441 of a limited histopathological sections in this study cannot rule out the suggested hypothesis  
442 on clinoptilolite particles or silica particles released from clinoptilolite material (tuff) in activation  
443 of Payer patches, experimental analysis of the observed local immunomodulatory effect should  
444 be done in more details. Indeed, microbiota – clinoptilolite interaction may also underlie this  
445 mechanism as well as a role of IgA was already described in reduction of intestinal pro-  
446 inflammatory signalling and bacterial epitope expression as part of the innate immune  
447 mechanism that contributes to balancing antibodies negative impact on the microbiota status  
448 [80]. Evidence was provided on the role of cross-talking between adaptive immune system and  
449 gut microbiota by selective generation of immune responses to bacteria that consequently  
450 stimulate the innate system and production of IgA. By this mechanism, the host can detect new  
451 bacterial types and ignore previously encountered bacteria in the intestine [81]. This  
452 immunomodulatory effect of clinoptilolite was speculated to be the so called 'silicate  
453 superantigen' response. The superantigens generally encompass some bacterial exotoxins  
454 and viral products with a potent non-specific immuno-stimulatory effect on large T-cells  
455 fractions. This immunostimulation occurs upon simultaneous interaction of the superantigen  
456 with MHC class II molecules and T-cell receptors. Superantigens bind to the variable V $\beta$  region  
457 of the T cell receptor, or to CD28 and do not follow the peptide-binding pattern. An incredibly  
458 heterogeneous T cell clonal activation occurs upon binding and different cytokines are  
459 produced massively [82]. The superantigen-activated T-lymphocytes provoke the cellular  
460 immune response and also the humoral immune response, as postulated by Emmer *et al.* in  
461 the multiple sclerosis pathogenesis as well [83]. Lymphocytes stimulation by silicates, which  
462 also act as superantigens, was already shown for different silicate materials in the *in vitro*

463 conditions and this mechanism may underlie immunomodulation activity of clinoptilolite in the  
464 intestine as well [84,85]. Even though the exact mechanisms remain elusive, one may  
465 speculate that clinoptilolite silica or released silica acts as a superantigen that promotes  
466 formation of IgA producing plasma cells, which is dependent on the presence of superantigen-  
467 reactive T cells. A similar superantigen effect was already observed in Peyer's patches during  
468 milk-borne mouse mammary tumour virus infection [86]. We cannot however, rule out some  
469 other, unrecognized immunomodulatory effects of clinoptilolite due to a direct interaction with  
470 human microbiome as well (Figure 3).

471 Majority of studies on clinoptilolite were done by use of different, so called, activated materials  
472 to increase either the surface area, or to improve clinoptilolite general adsorption, or ion-  
473 exchange capacity. Activation may be performed either by chemical treatment, e.g. with an  
474 acid, by replacement of stabilizing cations, or by mechanical modifications by different  
475 micronization methods, which may all increase the surface area, change ion-exchange  
476 properties and adsorption capacity [87,88,89]. In the paper by Kraljevic Pavelic et al. (Kraljević  
477 Pavelić, Sandra ; Micek, Vedran ; Filošević, Ana ; Gumbarević, Darko ; Žurga, Paula ; Bulog,  
478 Aleksandar ; Orct, Tatjana ; Yamamoto, Yasuaki ; Preočanin, Tajana ; Plavec, Janez ; Peter,  
479 Robert ; Petravić, Mladen ; Vikić-Topić, Dražen ; Pavelić, Krešimir Novel, oxygenated  
480 clinoptilolite material efficiently removes aluminium from aluminium chloride-intoxicated rats in  
481 vivo. Microporous and mesoporous materials (1387-1811) 249 (2017); 146-156), it was  
482 specifically shown that different micronization methods change the clinoptilolite tuff properties  
483 by affecting the surface area, pore size and silicon to aluminium ratio at the surface of the  
484 material. Moreover, hydrochloric acid that is also present in the stomach may change  
485 clinoptilolite physical chemical properties and was proven to enhance clinoptilolite ion-  
486 exchange capacity for  $\text{Cu}^{2+}$  and  $\text{Co}^{2+}$  in a synthetic Cu-Co solution at concentrations relevant  
487 for the stomach in vivo ( 0.1M) [90]. Still, the clinoptilolite ion-exchange effects *in vivo* are  
488 complex and cannot be linearly explained as they are not affected only by the environmental  
489 conditions (pH, temperature etc.) but also by the affinity properties of the material for other  
490 cations as well. In a recent article, Turkish clinoptilolite was activated with hydrogen peroxide,  
491 which acts as a weak acid, to improve  $\text{Ni}^{2+}$  ions removal from aqueous solutions [91]. The  
492 authors show changes on the clinoptilolite surface upon activation that resulted in improved  
493 Ni-ions absorption. This is important, as hydrogen peroxide dissociates into hydrogen ion  $\text{H}^+$   
494 and hydrogen peroxide radical ( $\text{HO}_2\cdot$ ) and during the acid-activation process,  $\text{H}^+$  ions are  
495 brought to the negatively charged species on the material surface. As a consequence, de-  
496 alumination of the surface occurs, which increases the Si/Al surface ratio and absorption  
497 capacity for metal cations. This is a well-known process in industrial applications, while for the  
498 *in vivo* applications, it may also hold certain relevance. *In vivo*, the acid concentrations of the

499 intestine are substantially lower than those used in industrial activation process. For instance,  
500 gastric acid in the stomach contains hydrochloric acid (HCl) at 0.05 – 0.1 M. In such an  
501 environment, a certain release of Al species from the clinoptilolite surface may well be  
502 hypothesized even though aluminium from the clinoptilolite materials does not enter the blood,  
503 or accumulates in the body as shown in athletes supplemented with zeolite-clinoptilolite  
504 supplement [27] or healthy rats supplemented with different clinoptilolite materials (Kraljević  
505 Pavelić, Sandra ; Micek, Vedran ; Filošević, Ana ; Gumbarević, Darko ; Žurga, Paula ; Bulog,  
506 Aleksandar ; Orct, Tatjana ; Yamamoto, Yasuaki ; Preočanin, Tajana ; Plavec, Janez ; Peter,  
507 Robert ; Petravić, Mladen ; Vikić-Topić, Dražen ; Pavelić, Krešimir Novel, oxygenated  
508 clinoptilolite material efficiently removes aluminium from aluminium chloride-intoxicated rats in  
509 vivo. Microporous and mesoporous materials (1387-1811) 249 (2017); 146-156) where  
510 aluminium release into systemic circulation was observed only in rats supplemented with  
511 synthetic zeolite A. The latter effect was attributed to the zeolite A lower stability in the acidic  
512 pH of the intestine in comparison to clinoptilolite materials. In this study, authors also proved  
513 that clinoptilolite materials were efficient in removal of aluminium from aluminium chloride-  
514 intoxicated rats *in vivo*. These observations may be attributed to clinoptilolite stability, to low  
515 bioavailability of Al species from water (around 0.1% to 0.4%), and immediate precipitation of  
516 Al-species as non-soluble forms. Aluminium(III)-cation ( $Al^{3+}$ ) has a generally strong affinity for  
517 anions which promote its precipitation. The  $Al^{3+}$  in most situations seeks out complexing agents  
518 with oxygen-atom donor sites, such as carboxylate or phosphate groups, e.g. from food in the  
519 intestine. However, it should be noted that aqueous coordination chemistry of  $Al^{3+}$ , especially  
520 in the living systems, is rather complex due to Al-complexes tendency to hydrolyse and form  
521 polynuclear species, which vary according to the pH condition of the medium [92,93].  
522 Interestingly, oral aluminium bioavailability is known to be increased by acidic pH, such as the  
523 pH in the human intestine, but in case of clinoptilolite tuff, it may be decreased, as this is a  
524 silicon-containing compound that releases certain amounts of water-soluble silica [20]. Data  
525 has been provided on the ability of silicon-rich mineral water, or silicic acid to remove Al from  
526 the human organism [94,95] and this Si and Al relation has been recognized as the main  
527 evolutionary mechanism for fighting ecotoxicity of aluminium in living organisms. Water-soluble  
528 silica forms may thus be acknowledged as important contributors to fighting aluminium  
529 detrimental effects on human and animal health, especially nowadays when exposure to  
530 bioavailable free aluminium cation is posing a serious problem due to industrial development  
531 [96,97,98].

532 In addition, we hypothesize that previously observed data on antitumor properties of  
533 clinoptilolite *in vitro* may be due to activation of clinoptilolite surface by acids. Even though in  
534 majority of *in vitro* studies, the cells were grown in micronized clinoptilolite pre-treated growth



535 media, no ultracentrifugation was employed, which means that a colloid system containing  
536 finest clinoptilolite particles was used for experiments (A clinoptilolite effect on cell media and  
537 the consequent effects on tumor cells *in vitro*. Katic M, Bosnjak B, Gall-Troselj K, Dikic I,  
538 Pavelic K. Front Biosci. 2006 May 1;11:1722-32; . Natural zeolite clinoptilolite: new adjuvant in  
539 anticancer therapy. Pavelić K, Hadzija M, Bedrica L, Pavelić J, Dikić I, Katić M, Kralj M, Bosnar  
540 MH, Kapitanović S, Poljak-Blazi M, Krizanac S, Stojković R, Jurin M, Subotić B, Colić M. J Mol  
541 Med (Berl). 2001;78(12):708-20). For instance, it is well known that tumour cells have  
542 increased hydrogen peroxide levels that regulate specific signalling pathways and hydrogen  
543 peroxide may modify cysteine residues on antioxidative enzymes [99]. During modification,  
544 enzymes are deactivated. Clinoptilolite can react with hydrogen peroxide (A novel Turkish  
545 natural zeolite (clinoptilolite) treated with hydrogen peroxide for Ni<sup>2+</sup>ions removal from  
546 aqueous solutions. Murat Canli, Yuksel Abali. Desalination and Water Treatment 57(15), 2016:  
547 6925-6935), similar to other silica particles, and in such situations oxidative stress is induced  
548 either through the breakdown of hydrogen peroxides to hydroxyl radicals, or through the  
549 breakdown of hydrogen peroxides and production of the hydroperoxyl radicals [100].  
550 Therefore, it is possible that the contact between clinoptilolite and tumour cells with increased  
551 hydrogen peroxide concentrations induces formation of free radicals, so increases in oxidative  
552 burden occur in tumour cells that consequently die. Tumor cells are susceptible to increased  
553 oxidative stress and in our previous experiments this effect was not visible or was lower in  
554 normal fibroblasts *in vitro* (A clinoptilolite effect on cell media and the consequent effects on  
555 tumor cells *in vitro*. Katic M, Bosnjak B, Gall-Troselj K, Dikic I, Pavelic K. Front Biosci. 2006  
556 May 1;11:1722-32). Also, it cannot be ruled out that some clinoptilolite particles enter into  
557 tumour cells *in vitro*, as tumour cells are inherently depolarized [101] and can uptake particles  
558 by endocytosis [102]. Recently, a new hypothesis has been suggested on the use of lipophilic  
559 anions that target cancer cells due to their distinct electrical properties [103]. As clinoptilolite  
560 particles are negatively charged polyanions, they might also target cancer cells and induce  
561 additional oxidative stress upon entrance into the cytoplasm through hydrogen peroxide  
562 activation, increased production of ROS and its consequent depletion within the cell. Depletion  
563 of hydrogen peroxide and increased ROS production during hydrogen peroxide reaction with  
564 clinoptilolite surface may change the redox status of the cell, e.g. through inhibition of the  
565 transcription factor Nrf2. Indeed, in previous *in vitro* experiments on tumour cells, clinoptilolite  
566 antitumour effects were attributed to modulation of the epidermal growth factor receptor (EGF-  
567 R), protein kinase B (PKB)/Akt and nuclear factor kB (NfκB) signalling that are interconnected  
568 with ROS and activity of Nrf2 [104,105]. This might be highly relevant for survival of cancer  
569 cells as Nrf2 bears a proliferative role. In tumour cells, Nrf2 is usually activated by ROS-  
570 induced oncogenes, such as KRAS and c-MYC [106], and inhibition of its activity may  
571 contribute to apoptosis of tumour cells and abrogated tumour growth [107].

572

### 573 **Clinoptilolite toxicology in animals and humans**

574 The basic structure of clinoptilolite is considered to be biologically neutral and non-toxic [  
575 HANDBOOK OF ZEOLITE SCIENCE AND TECHNOLOGY. Editors Scott M. Auerbach,  
576 Kathleen A. Carrado, Prabir K. Dutta, CRC Press, 2003, New York-Basel]. The European Food  
577 Safety Authority (EFSA) recently released an expert opinion on safety of natural zeolite  
578 clinoptilolite *in vivo* [109]. EFSA evaluated and proved zeolite-clinoptilolite non-toxicity for  
579 animal feed at doses 10000 mg/kg. Oral consumption of this type of zeolite, due to its extreme  
580 chemical stability, in EFSA's opinion, does not represent a potential risk for *in vivo* applications  
581 [109].

582 The first comprehensive acute, subchronic and chronic toxicology evaluation of a clinoptilolite  
583 material *in vivo* was performed by Pavelic *et al.* [110]. In this preclinical toxicology study,  
584 tribomechanically micronized clinoptilolite was evaluated at 'Ruđer Bošković' Institute in  
585 Zagreb, Croatia, according to the standards and regulations required at the time by the  
586 Organization for Economic Cooperation and Development (OECD). In that study, the effects  
587 associated with increasing exposure times were analysed in three categories: 1) acute toxic  
588 responses up to one month in mice and rats, 2) subchronic toxic responses up to three months  
589 in mice and rats and 3) chronic toxic responses up to 1 year in rats and 6 months in mice.  
590 Clinoptilolite was administered to the animals as a powder supplementing their usual diet.  
591 Toxicity studies were approached by setting the "limit" test, which means that high doses of  
592 the substance were applied during 15, or more days. Two doses were selected from the "limit"  
593 test, 400 mg/mice/day (3.2 times higher than the dose specified by the regulatory agency) and  
594 1000 mg/mice/day (8 times higher). Recalculated from human use, they were 10 times and 25  
595 times higher than envisaged potential human exposure dosages (60g/75kg human body  
596 weight and 150g/75 kg human body weight). The results showed that the "limit" test doses of  
597 the substance did not cause death of mice. Therefore, "up and down" test on mice was  
598 performed with doses ranging from 60-400 mg/mice/day. Again, no toxicity was observed.  
599 Classical acute, subacute and chronic tests on rats and mice were performed as well. Oral (in  
600 diet) administration to mice and rats showed no effects, or changes that could be correlated to  
601 tribomechanically micronized clinoptilolite-supplementation. In addition, earlier in 1983, Pond  
602 and Yen published a first study on the clinoptilolite effects on the reproduction and progeny  
603 growth in rats with or without cadmium presence (Bull Environ Contam Toxicol. 1983  
604 Dec;31(6):666-72. Reproduction and progeny growth in rats fed clinoptilolite in the presence  
605 or absence of dietary cadmium. Pond WG, Yen JT.). They have shown protective effects of  
606 clinoptilolite on haematocrit and haemoglobin levels as well as on cadmium levels in the liver

607 of pigs fed with cadmium in the presence of clinoptilolite in comparison with animals fed only  
608 with addition of cadmium to the diet.

609 Similarly, in another study performed by the European Union Cosmetic Ingredient Review  
610 Expert Panel, natural clinoptilolite showed no effects on female rat reproductive performance  
611 and it proved non-genotoxic in the Ames bacterial test system [111]. Moreover, in an  
612 independent study performed by Martin-Kleiner *et al.*, effects of tribomechanically micronized  
613 clinoptilolite on the serum chemistry and haematopoiesis were evaluated in mice [112]. The  
614 authors showed that ingestion of clinoptilolite was well tolerated and substantiated by  
615 unchanged body mass in clinoptilolite supplemented mice. An increased level of potassium by  
616 20% was detected in mice receiving the clinoptilolite-rich diet, while other changes in the serum  
617 chemistry were not observed. Erythrocyte, haemoglobin and platelet levels in peripheral blood  
618 were not affected by clinoptilolite supplementation either.

619 Also, Muck Seler and Pivac [113] studied effects of tribomechanically micronized and non-  
620 micronized clinoptilolite materials on the serotonergic 5-hydroxytryptamine receptors 5-HT(1A)  
621 and 5-HT(1B) in the brain of non-tumorous (control) and mammary carcinoma bearing female  
622 mice. A reduced binding of 3[H]8-hydroxy-2-(di-n-propylamino)tetralin (3H-8-OH-DPAT) to 5-  
623 HT(1A) receptors in mammary carcinoma bearing mice was normalized in animals  
624 supplemented by tribomechanically micronized clinoptilolite. Also, administration of  
625 clinoptilolite materials did not affect binding of 3H—8-OH-DPAT to studied receptors during  
626 prolonged administration. The authors speculated that the observed effects in tumour-bearing  
627 mice may be in correlation with electrolytes balance, or immune system response to  
628 supplementation. A neuroprotective effect was also documented by Basha *et al.* [114]. Safety  
629 of the material was also proven by Ivkovic *et al.* where no adverse reactions to  
630 tribomechanically micronized clinoptilolite supplementation were observed in immunodeficient  
631 patients [115].

632 Some concerns were raised in public on the possible lead leakage from the natural clinoptilolite  
633 materials into the intestine. Still, extremely high affinity of clinoptilolite to lead has been  
634 documented previously, where sorption of lead and cadmium (Cd) on natural clinoptilolite was  
635 shown to be irreversible, or very slowly reversible [116] and in particular was shown to be high  
636 in an acidic environment [117]. These results were obtained in very simple *in vitro* models that  
637 may not adequately mimic human digestion. Further on, high capacity of zeolite lead  
638 adsorption occurs in the pH range 3-11 [118] and leaching of lead from lead-preloaded  
639 clinoptilolite occurs mainly in pH under 1, which is not relevant to conditions in the human body,  
640 as shown by Petrakakis *et al.* [119]. The authors conducted the study according to the standard  
641 procedures, Toxicity Characteristic Leaching Procedure/Environmental protection

642 agency/Resource Conservation and Recovery Act (TCLP/EPA/RCRA) (1311), EPA Methods  
643 1310, 1320 and DIN 38414-S4, and provided evidence of the pH being the main factor affecting  
644 Pb leaching from clinoptilolite. Interestingly, in the pH 3 and higher Pb, leakage was less than  
645 1%, while at pH 1, leakage was observed up to 20% of the initial lead content. Furthermore,  
646 the authors show that re-adsorption of Pb particles that leach from the solid material may occur  
647 as well, and for lead this process occurred at pH 1.5 and 2. The Pb leaching percentage may,  
648 in the authors' opinion, be generally correlated with an increasing initial load, but is not affected  
649 by agitation rate, or particle size. Also, previously published results from trials on animals and  
650 human subjects showed a strong clinoptilolite detoxifying effect and reduction of Pb content *in*  
651 *vivo*. For instance, tissue lead concentrations in lead-intoxicated rats with or without  
652 clinoptilolite supplementation clearly show that Pb concentrations were not increased in  
653 animals fed with clinoptilolite and that the intoxication burden in animals can be even alleviated  
654 by clinoptilolite supplementation [38,39,41]. Similarly, in the study by Fokas et al. (Animal Feed  
655 Science and Technology 117(1–2) 2004, 121-129. Assessment of Pb retention coefficient and  
656 nutrient utilization in growing pigs fed diets with added clinoptilolite. IP. Fokas, G. Zervas, K.  
657 Fegeros, P. Zoiopoulos), clinoptilolite was added to the diet of growing pigs at 20 g/kg and no  
658 significant increase of Pb concentration in blood and edible tissues was measured. In this study  
659 however, Pb levels were not measured in the bones as the major storage compartment for  
660 lead in the body and definite conclusions on eventual lead detoxification effects cannot be  
661 therefore, derived from the presented data. Moreover, a clinical study comprising 22 human  
662 subjects evaluated the effects of clinoptilolite treatment on chronic diseases which could be  
663 traced back to heavy metal poisoning. During treatment with activated clinoptilolite from 7 to  
664 30 days in total, both urine and blood serum were collected and tested for heavy metals and  
665 electrolytes. In this study, the daily intake of activated clinoptilolite suspension was effective in  
666 removal of toxic heavy metals from the body *via* the urine [108]. Another clinical study on  
667 human subjects showed detoxifying effectiveness of clinoptilolite. A total of 102 heavy metal  
668 contaminated men were investigated and decreased concentrations of harmful metals (Cd, Pb,  
669 Cu, Cr, and Ni) were measured in their hair after a 30 days supplementation with clinoptilolite.  
670 This decrease in harmful metal concentrations was a result of the clinoptilolite detoxification  
671 function and probable restoration of the body mineral metabolism homeostasis [121].  
672 Importantly, while in a classical detoxification process a great danger in removing the  
673 physiologically important electrolytes from the serum exists, this has not been observed in  
674 clinoptilolite trials both in humans and animals, where no substantial changes in physiologically  
675 relevant trace elements, or vitamins were observed even after long-term administration  
676 [108,122,123].

677 In conclusion, clinoptilolite materials tested in the scientific literature proved to be generally  
678 safe for *in vivo* applications even though each material seem to retain its own physical-  
679 chemical characteristics and exerts specific biological effects that cannot be readily  
680 transferable to other materials. Different particle sizes, surface areas and cation compositions  
681 may induce different biological effects and exert different levels of effectiveness. Biological  
682 effects and toxicology data should therefore be carefully evaluated according to the type of  
683 clinoptilolite material, or clinoptilolite-based preparations used in a particular study or  
684 application. In this paper, presented literature on clinoptilolite effects *in vitro* and *in vivo* present  
685 data for materials (tuffs) from different sources/continents, purity, chemical composition and  
686 prepared for oral application by use of different milling processing methods. Moreover, the  
687 research goals and experimental designs were different. This is why no generalization on the  
688 mechanisms of action for clinoptilolite materials (tuffs) may be done at this point. Still,  
689 presented studies deliver enough data to substantiate a generally safe profile and positive  
690 medical effects for this types of materials, especially in the field of immunostimulation and  
691 detoxification effects. In the future, it would be highly helpful to gather scientific data on direct  
692 relationship between specific clinoptilolite material properties and sources with positive or  
693 negative effects and mechanisms of action *in vivo*. This will fill in the current gaps in research  
694 presented so far and as similarly suggested by Colella. Colella also emphasized the variability  
695 and heterogeneity of the clinoptilolite material used in different applications and studies and  
696 suggested to study in details applications and mechanisms of clinoptilolite materials in light of  
697 known and well-established properties or behaviour (2011: Clay Minerals 46 (2): 295-309. A  
698 critical reconsideration of biomedical and veterinary applications of natural zeolites. C. Colella).

699

## 700 **Conclusion**

701 In agreement with the scientific evidence presented in the literature so far, it can be generally  
702 stated that clinoptilolite-based materials, including the so called activated materials, may be  
703 regarded as safe for *in vivo* consumption. A variety of highly positive effects on animal and  
704 human health were documented thus far for clinoptilolite-based materials. Due to clinoptilolite's  
705 remarkable ion-exchange and adsorption properties and consequent detoxifying effects, it  
706 proved useful in elimination of a variety of dangerous contaminants from the body and in  
707 restoration of the impaired gut barrier. An indirect systemic detoxification effect attributed to  
708 clinoptilolite-based material supplementation in the diet of both animals and humans was  
709 documented in other organs as well, e.g. liver. However, the observed positive systemic  
710 mechanisms are still not completely understood. We hypothesize that they may be at least  
711 partially attributed to restoration of the human homeostasis due to local detoxification

712 properties within the intestine, release of soluble silica forms from the clinoptilolite tuff that  
713 enter from the intestine into the blood, as well as to clinoptilolite's immunomodulatory effects.  
714 The observed local immunomodulatory effects of clinoptilolite involve induction of immune  
715 responses through the Peyer's patches and possible positive effects on microbial intestinal  
716 populations through still unknown mechanisms. These local effects may have a systemic  
717 'echo' on the whole immune status as well, as observed in some studies.

718 Finally, clinoptilolite's antioxidant effects and restoration of antioxidant defence mechanisms  
719 may also be linked to the positive general systemic impact on health. However, conclusive  
720 statements on the exact applications and benefits of clinoptilolite-based materials in humans  
721 should be carefully investigated and analysed for each, specific clinoptilolite material, as the  
722 mechanisms of action may have correlations with the specific material's physical and chemical  
723 properties. Currently, different clinoptilolite-containing materials are used in medical  
724 applications worldwide. These materials contain different percentages of clinoptilolite and  
725 different compositions. Also, clinoptilolite-containing natural tuffs come with small quantities of  
726 other trace elements and clinoptilolite is always pre-loaded with various cations. Some of the  
727 alkaline ions contained in the crystal lattice, mainly Na<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup> and K<sup>+</sup>, may be readily  
728 released during the ion-exchange process. Such clinoptilolite pre-loaded cation content and  
729 percentage of clinoptilolite in the final composition might be relevant for studying medical  
730 effects *in vivo*. While these parameters may not be that relevant for agricultural, or industrial  
731 applications, veterinary and human applications would require a higher level of control via a  
732 quality control system in the production, both of the raw material and the final products. For  
733 example, a proper mining process with adequate cleaning, sieving, de-hydrating and pre-  
734 milling processes, along with elemental and microbiological examination of the clinoptilolite  
735 materials might be considered among essential requirements for ensuring purity and quality of  
736 the final materials for *in vivo* consumption.

737

#### 738 **Consent for publication**

739 Not applicable

#### 740 **Availability of data and material**

741 Data sharing not applicable to this article as no datasets were generated or analysed during  
742 the current study.

#### 743 **Authors' contributions**

744 SKP generated the main idea and wrote the manuscript, generated and shaped presented  
745 hypotheses, performed literature search and analysed, prepared figures and tables, discussed  
746 and systematized all literature data; JSM prepared parts related to clinical trials, was involved  
747 in discussion of clinical aspects and preparation of the table, DG performed literature search,  
748 participated in writing of manuscript related to oxidative stress and immune system and  
749 participated in shaping of hypothesis of zeolite molecular effects in vivo, AF performed  
750 literature search on physical-chemical properties of clinoptilolite and wrote parts of the  
751 manuscript related to clinoptilolite chemistry, NP performed a critical review of data and  
752 literature, performed editing of the paper content and its final content, KP performed literature  
753 search related to clinical aspects and toxicology, discussed clinical aspects of obtained results  
754 and helped to draft the manuscript.

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## 771 **List of abbreviations**

772 HEU – clinoptilolite; Na – sodium; K – potassium; Ca – calcium; Ba – barium; Cu – copper; Zn  
773 – zinc; Cd – cadmium; Sr – strontium; Co – cobalt; Pb – lead; Cs – caesium; Cr – chromium;  
774 Ni – nickel; Hg – mercury; M – manganese; Al – aluminium; Si – Silicon; CO<sub>2</sub> – carbon dioxide;  
775 H<sub>2</sub>S – hydrogen sulphide; EDTA - ethylenediaminetetraacetic acid; Fe – iron; PMA –  
776 micronized clinoptilolite material; ROS – reactive oxygen species; O<sub>2</sub><sup>•-</sup> – superoxide anion;  
777 H<sub>2</sub>O<sub>2</sub> – hydrogen peroxide; •OH – hydroxyl radical; SOD – superoxide dismutase; Prx –

778 peroxiredoxin; Trx – thioredoxin; GSH – glutathione; MDA – malondialdehyde; AST – alanine  
779 aminotransferase; ALT – aspartate aminotransferase; GGT – gamma-glutamyl transferase;  
780 GALT – gut-associated lymphoid tissue; MALT – mucosa-associated lymphoid tissue; Al<sup>3+</sup> –  
781 Aluminium(III)-cation; EGF-R – epidermal growth factor receptor; (PKB)/Akt – protein kinase  
782 B/Akt kinase; NfκB – nuclear factor κB; EFSA – The European Food Safety Authority; OECD  
783 – Organization for Economic Cooperation and Development; 5-HT(1A) and 5-HT(1B) –  
784 serotonergic 5-hydroxytryptamine receptors in the brain; 3H-8-OH-DPAT – 3[H]8-hydroxy-2-  
785 (di-n-propylamino)tetralin; TCLP/EPA/RCRA – Toxicity Characteristic Leaching  
786 Procedure/Environmental protection agency/Resource Conservation and Recovery Act.

787

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1107 **Tables**

1108 Table 1. Documented properties and effects of clinoptilolite relevant for biomedical applications  
 1109 and effects in animals and humans .

<b>Clinoptilolite properties</b>	<b>Clinoptilolite effects</b>
Cation exchange capacity [1, 5, 21]	Detoxicant, mineral donor [Kraljevic Pavelic et al., 20, 108, 121]
Molecular sieve (size and shape selectivity) [1, 5]	Impact on the intestine status [27]
Selective adsorption of water [Adsorption of water by clinoptilolite and glauconite Kotova D.L., Artamonova M.N., Krysanova T.A., Novikova L.A., Belchinskaya L.I.. Сорбционные и хроматографические процессы 2016. 16 (3):390-95]	Immunomodulation [72, 79]
Removal of ammonia ions and uremic toxins (urea, uric acid, creatinine, p-cresol, indoxyl sulphate) [22-25, Iran International Zeolite Conference (IIZC'08) April 29 - May1, 2008, Tehran – Iran, IZC-08-239 Application of Zeolite in Biomedical Engineering: A Review. Sedigheh Joughehdoust, Sahebali Manafi]	Effect on pathogens and microbiota [68, 70, Prasai TP, Walsh KB, Bhattarai SP, Midmore DJ, Van TTH, Moore RJ, et al. (2016) Biochar, Bentonite and Zeolite Supplemented Feeding of Layer Chickens Alters Intestinal Microbiota and Reduces Campylobacter Load. PLoS ONE 11(4): e0154061]
Reversible binding of small molecules [1]	Enzyme mimetics, metalloenzyme mimicry [In: Biocatalysis and Biomimetics. Chapter 11, Norman Herron. Zeolite Catalysts as Enzyme Mimics. Toward Silicon-Based Life? pp 141–154, 1989, ACS Symposium Series, Vol. 392]
Biosensors [Oleksandr O Soldatkin, Margaryta K Shelyakina, Valentyna N Arkhypova, Esin Soy, Salih Kaan Kirdeciler, Berna Ozansoy Kasap,	Antitumour adjuvant [104, 105]

Florence Lagarde, Nicole Jaffrezic-Renault, Burcu Akata Kurç, Alexei P Soldatkin, Sergei V Dzyadevych. Nano- and micro-sized zeolites as a perspective material for potentiometric biosensors creation. *Nanoscale Research Letters* (2015) 10:59]

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1112 **Figure captions**

1113 Figure 1. The summary of the clinoptilolite effects on the human body and properties *in vivo*.  
1114 Observed clinically relevant effects on organs and systems for different clinoptilolite materials  
1115 *in vivo* are due to major clinoptilolite properties: detoxification, antioxidant effect, release of  
1116 trace elements and positive influence on the microbiota status in the intestine. These effects  
1117 were documented in animals and humans for clinoptilolite material used as supplementation  
1118 to regular diet in a powdered form.

1119 Figure 2. Clinoptilolite structure: linked SiO<sub>4</sub> tetrahedra and pores with metal cations available  
1120 for ion-exchange with environmental cations (e.g. caesium, Cs<sup>+</sup>) that are consequently trapped  
1121 into the clinoptilolite structure. As naturally occurring clinoptilolite comes with pre-loaded  
1122 cations (e.g. calcium, Ca<sup>2+</sup>), ion-exchange may occur depending on the ion-exchange capacity  
1123 and cation affinity of the material, as well as on physical properties of the surrounding  
1124 environment. In the herein presented example, Cs<sup>+</sup> enters in the zeolite pores instead of Ca<sup>2+</sup>  
1125 (adapted from <http://www.chemtube3d.com/solidstate/SS-Z-Clinoptilolite.htm> Creative  
1126 Commons Attribution-Noncommercial-Share Alike 2.0 UK: England & Wales License).

1127 Figure 3. Proposed model of clinoptilolite positive immunomodulatory effect in the intestinal  
1128 epithelium (denoted with red arrows) through interaction of clinoptilolite particles with microfold  
1129 cells (M-cells). Clinoptilolite is denoted by 'C'. M-cells are hypothesized to transport luminal  
1130 clinoptilolite particles across the epithelial barrier and present them to immunological cells (e.g.  
1131 dendritic cells) in the lamina propria and the Peyer's patches. The latter are rich in T cells,  
1132 macrophages, and clinoptilolite- activated IgA secreting B and plasma cells. The single layer  
1133 of the intestinal epithelium is protected by mucus containing mucin glycoproteins where  
1134 immunoglobulin A (IgA) and antimicrobial peptides prevent interaction of microbiota with the  
1135 cell surface. Question marks (?) and blue arrows denote still unknown interactions of  
1136 clinoptilolite with microbiota and microbiota with the lumen and epithelia.

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