

Bidirectional relation between affective symptoms and cognitive function from middle to late adulthood: a population-based birth cohort study

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Disclosures: None.

Data availability statement: Data can be accessed upon application to MRC Unit for Lifelong Health and Ageing at UCL.

Acknowledgements: We would like to thank the Economic and Social Research Council (ESRC) for supporting this project (Grant number: ES/J500173/1). We also acknowledge the MRC Unit for Lifelong Health and Ageing at UCL for making NCDS data available. Finally, we would like to thank the NSHD cohort members who have dedicated their time to make this research possible.

Abstract Word Count: 250

Word Count: 3209

1 **Abstract**

2 **Objectives:** There is a longitudinal association between affective symptoms and cognition.
3 However, the nature and direction of this association remains unclear. The aim of this study
4 was to test for bidirectional relationships between affective symptoms and cognitive function
5 from middle to late adulthood.

6 **Method:** Data were available from the MRC National Survey of Health and Development
7 (NSHD), a prospective birth cohort of 5362 people born in 1946. Affective symptoms and
8 cognition were measured at ages 53, 60-64, and 69. Latent scores of affective symptoms were
9 derived at each time point and cross-lagged models were fitted for affective symptoms with
10 verbal memory and processing speed. Models were adjusted for sex, childhood
11 socioeconomic position, education, and National Adult Reading Test.

12 **Results:** Results revealed an inverse cross-sectional association between affective symptoms
13 and both verbal memory ($\beta=-0.18$, $SE=0.04$, $p<.001$) and processing speed ($\beta=-0.13$,
14 $SE=0.06$, $p=.05$) at age 53, but not at ages 60-64 or 69. Higher affective symptoms at age 53
15 predicted lower verbal memory at age 60-64 ($\beta=-0.58$, $SE=0.27$, $p=.03$), and affective
16 symptoms at age 60-64 was associated with lower verbal memory ($\beta=-0.64$, $SE=0.29$, $p=.03$)
17 and processing speed ($\beta=-1.27$, $SE=0.41$, $p=.002$) at age 69. Verbal memory and processing
18 speed function did not predict subsequent level of affective symptoms.

19 **Conclusion:** Affective symptoms predict poorer verbal memory and processing speed over a
20 period of 16 years, but the association does not operate in the opposite direction.
21 Understanding longitudinal associations between affective symptoms and cognitive function
22 can offer insights into maintaining better cognitive health for longer.

1 **Key words**

2 Longitudinal research; Affective symptoms; Ageing

3

1 **Introduction**

2 Affective disorders are common in midlife, with 19% of women and 14.9% of men
3 between the age 55-64 reporting symptoms of depression (Stansfeld et al., 2014). Research
4 shows that affective symptoms in older age are highly comorbid with cognitive impairment.
5 It is estimated that around 32% of people with dementia present with high depressive
6 symptoms, compared with only 7% of people in the general population (Lyketsos et al.,
7 2002).

8 Previous research has shown that a longitudinal association may exist between
9 affective symptoms and cognitive function over time, although the precise temporal order
10 remains unclear. There has been some evidence that affective symptoms precede subsequent
11 development of dementia (Da Silva, Gonçalves-Pereira, Xavier, & Mukaetova-Ladinska,
12 2013; Gulpers et al., 2016; Jorm, 2001; Ownby, Crocco, Acevedo, John, & Loewenstein,
13 2006), cognitive decline (John, Patel, Rusted, Richards, & Gaysina, 2018), and poorer
14 cognitive level (John et al., 2019). However, other research has suggested that cognitive
15 function can predict subsequent level of affective symptoms (Jajodia & Borders, 2011). A
16 bidirectional association between affective symptoms and cognitive function is therefore
17 possible, but evidence is inconsistent. Jajodia and Borders, (2011) reported that in 14000
18 adults over the age of 50, verbal memory performance predicted increases in depressive
19 symptoms over an 8 year period, but not vice versa. Vinkers et al., (2004) studied 500 people
20 aged 85 over a 4 year follow up. Similarly, it was concluded that poorer attention and verbal
21 memory function at baseline were related to faster increases in depressive symptoms. No
22 associations were observed between baseline depression and change in cognitive function.
23 Gale, Allerhand, & Deary (2012) reported that in a sample of 8611 people over the age of 50,
24 higher levels of depression were associated with faster cognitive decline over a follow up
25 period of 7 years, but only in people aged 60-80 years old. However, cognitive function was

26 not associated with change in depressive symptoms over time. In these studies, samples were
27 based on people over the age of 50 at baseline, and the analyses was unable to account for
28 earlier life influences which may be pertinent within this association. Additionally, follow-up
29 periods were relatively short (<10 years), so it is unclear how affective symptoms and
30 cognitive function may interact with each other over a longer period of time.

31 The aim of the present study is to clarify and extend previous research by testing
32 bidirectional relationships between affective symptoms and cognition function (verbal
33 memory and information processing speed) over a period of 16 years: from middle age,
34 through late middle age to older age.

1 **Methods**

2 *Participants*

3 In this study, data from the MRC National Study of Health and Development (NSHD) were
4 used. The sample originally comprised 5362 males and females born in mainland UK in
5 1946. Data has been collected from participants at 24 time points, most recently when
6 participants were aged 69. Information about data collection and participation rates in NSHD
7 are available elsewhere (Kuh et al., 2011, 2016). The authors assert that all procedures
8 contributing to this work comply with the ethical standards of the relevant national and
9 institutional committees on human experimentation and with the Helsinki Declaration of
10 1975, as revised in 2008. Written informed consent was provided by all participants and
11 ethical approval for the current study has been received from the University of Sussex
12 (ER/AJ316/1).

13 *Measures*

14 *Cognitive function*

15 The current study focuses on measures of short-term verbal memory and information
16 processing speed measured three times, at ages 53, 60-64, and 69. Verbal memory was
17 assessed using a word recall test with 3 administrations. At each administration participants
18 recalled words from the list, with possible scores ranging from 0-45. A letter cancellation task
19 was used to capture information processing speed, in which participants crossed out target
20 letters P and W from a letter grid within a 1 minute time limit, with possible scores ranging
21 from 0-600. These measures have been described in detail elsewhere (Davis et al., 2017;
22 Richards, Shipley, Fuhrer, & Wadsworth, 2004).

23 *Affective symptoms*

24 Affective symptoms were measured at multiple time points across the life course (ages 13,
25 15, 36, 43, 53, 60-64, and 69). For the current study, measures of affective symptoms
26 assessed at ages 53, 60-64, and 69 were included in main analyses. At all three of these time
27 points, the 28 item General Health Questionnaire (GHQ-28) was used. Research has shown
28 that the GHQ is a consistent and reliable measure in detecting psychiatric symptoms in a
29 general population across multiple time points with long intervals between testing (Pevalin,
30 2000). Due to high comorbidity and overlap between symptoms of depression and anxiety,
31 this study focussed on overall affective symptomatology, encompassing depression, anxiety,
32 somatic and social dysfunction symptoms.

33 *Covariables*

34 The covariables selected for the analysis were sex, childhood socioeconomic position
35 (Kaplan et al., 2001), education (Hatch, Feinstein, Link, Wadsworth, & Richards, 2007), and
36 score on the National Adult Reading Test (NART) at age 53 (James et al., 2018). Score on
37 the NART was included to isolate associations between affective symptoms and fluid
38 cognitive abilities (James et al., 2018). Fathers' occupation was used as a measure of
39 childhood socioeconomic position. This was coded into 6 categories based on social classes I-
40 V in the Classification of Occupations: professional; intermediate; skilled non-manual;
41 skilled manual; partly skilled; unskilled. The highest qualification achieved by the participant
42 at age 26 was used as a measure of education. This was coded based on the UK Burnham
43 Scale into 9 categories: None attempted; Vocational; Sub GCE or sub Burnham C; GCE O-
44 Level or Burnham C; GCE A-Level or Burnham B; Burnham A2; 1st degree; higher degree
45 (Masters); higher degree (doctorate).

46 *Statistical Analyses*

47 The GHQ-28 comprises four sub-scales measuring depression, anxiety, social dysfunction,
48 and somatic symptoms. To take account of the four factor structure within the GHQ at each

49 time point, second order confirmatory factor analysis (CFA) was conducted to derive latent
50 scores of affective symptoms at each time point. Model fit was assessed and measurement
51 invariance was tested to check that the same latent construct was captured over time.

52 To test bidirectional relationships between affective symptoms and cognitive function across
53 middle to late adulthood, cross-lagged models were fitted for verbal memory and processing
54 speed separately. This method allows directional relationships between two variables to be
55 estimated across multiple time points. Benefits of cross-lagged methods are that lagged
56 associations between variables can be estimated, while simultaneously allowing for cross-
57 sectional associations and auto-correlations across repeated measures over time (Kearney,
58 2017). Models included affective symptoms and cognitive function at ages 53, 60-64 and 69.
59 Two main models were fitted, including: Model 1: Unadjusted; Model 2: Adjusted for all
60 covariables. Model fit did not significantly improve when the analysis was stratified by sex
61 (Supplementary Table 1), suggesting that patterns of association did not differ significantly
62 between men and women. For this reason, sex was used as a covariable in all subsequent
63 analyses, rather than as a stratifying variable.

64 As a sensitivity analysis, main models were re-run on the sample of people still alive by age
65 69. Main models were also re-run excluding participants using anxiolytic and antidepressant
66 medications at ages 36, 43, 53, 60-64, and 69. Finally to maximise sample size available for
67 the analyses, a final sensitivity analysis was run using multiple imputation with MICE in R
68 (Buuren & Groothuis-Oudshoorn, 2011) to impute covariate data for the adjusted models. For
69 the current analysis, eighteen imputations were conducted over 12 sweeps in NSHD data.
70 Further information about the multiple imputation process is presented in Supplementary
71 Materials 1.

72 Mplus version 8 (Muthén & Muthén, 2017) was used for analyses, and missing data were
73 dealt with using full information maximum likelihood (FIML) methods for cognitive and
74 GHQ data, and using multiple imputation for covariables.

1 **Results**

2 *Available sample and missing data*

3 The available sample included all participants with at least one measure of affective
4 symptoms or cognitive function. Slightly different sample sizes were available for verbal
5 memory and processing speed. Specifically, 3125 survey members had at least one measure
6 of verbal memory or affective symptoms (58.3% of the original birth sample) and of this
7 group, 2028 (64.9%) also had complete information for all covariables. In total, 3127 (58.3%)
8 people had data for processing speed or affective symptoms in at least one time point of the
9 total sample available at birth. Of this group, 2028 (64.9%) also had data for all covariables.
10 For more information about available data, see Figure 1.

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Figure 1 here

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15 The sample with at least one measure of affective symptoms or cognition available at any
16 time point (Verbal memory: N=3125; Processing speed: N=3127) was compared on key
17 childhood and adulthood variables with the sample with missing data on all assessments of
18 cognition and affective symptoms (Verbal memory: N=2237; Processing speed: N=2235).
19 The sample with key data available did not differ from the sample with missing data on
20 anxiolytic medication use ($p=.08$). However, the sample with missing data had significantly
21 more males and fewer females than the sample with complete data available ($p<.001$). The
22 sample with missing data also had significantly lower socioeconomic position at age 15
23 ($p=.02$), lower cognitive scores at age 15 ($p<.001$), lower educational level ($p<.001$), higher
24 affective symptom scores at age 36 ($p=.01$), higher affective symptom scores at age 43

25 ($p=.03$), and lower antidepressant usage in adulthood ($p<.001$). Due to differences between
26 the sample with complete covariate data and the sample with missing data, a sensitivity
27 analysis was conducted using a multiple imputation approach to impute all covariate data.
28 Further information about the multiple imputation process is available in Supplementary
29 Materials 1. Table 1 shows demographic information for the samples included in the analysis.

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Table 1 here

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34 ***Longitudinal measurement model for affective symptoms***

35 A second order confirmatory factor analysis of the GHQ measurements over time was fitted
36 to ensure this was an appropriate fit to the data for subsequent analysis. The second order
37 CFA fit the data well ($\chi^2(3387)=17138.88$, $p<.001$; CFI=.915; TLI=.912; RMSEA=.036).
38 Indicators all loaded significantly onto the factors ($p<.001$). Measurement invariance of the
39 first order factors was assessed by constraining factor loadings to be equal across time over
40 the first order. There was not a significant deterioration in model fit after constraining
41 according to a chi square difference test (Supplementary Table 2). Next, factor loadings were
42 constrained to be equal over the first and second order factors. Again, model fit did not
43 significantly deteriorate (Supplementary Table 2). Therefore, it was concluded that the GHQ
44 captured the same latent construct over the three waves for both the first and second order
45 factors.

46 ***Cross-lagged models***

47 *Verbal memory*

48 The cross-lagged verbal memory model showed excellent fit to the data ($\chi^2(2)=5.39, p=.07$;
49 CFI=1.00, TLI=1.00; RMSEA=0.02). The unadjusted model showed that all autoregressive
50 pathways were significant, demonstrating stability in constructs over time for both verbal
51 memory and affective symptoms. There were significant cross-sectional associations between
52 verbal memory and affective symptoms at all ages (Age 43: $\beta=-0.09, SE=0.05, p=.05$; Age
53 60-64: $\beta=-0.04, SE=0.02, p=.03$; Age 69: $\beta=-0.03, SE=0.01, p=.04$). Poorer verbal memory
54 function at age 53 significantly predicted higher affective symptoms at age 60-64 ($\beta=-0.002,$
55 $SE=0.001, p=.004$). Additionally, higher affective symptoms at age 60-64 was significantly
56 associated with poorer verbal memory function at age 69 ($\beta=-0.60, SE=0.25, p=.02$).

57 The fully adjusted model also fit the data very well ($\chi^2(2)=7.03, p=.03$; CFI=1.00, TLI=0.99;
58 RMSEA=0.03). The fully adjusted model showed that all autoregressive pathways were
59 significant. Results also revealed that there was a significant association between verbal
60 memory and affective symptoms at age 53 ($\beta=-0.18, SE=0.04, p < .001$), but this cross-
61 sectional effect no longer persisted over time at ages 60-64 ($\beta=-0.03, SE=0.02, p=.20$) and
62 age 69 ($\beta=-0.03, SE=0.02, p=.06$). Additionally, higher affective symptoms at age 53
63 significantly predicted lower verbal memory performance at age 60-64 ($\beta=-0.58, SE=0.27,$
64 $p=.03$), and higher affective symptoms at age 60-64 were significantly associated with poorer
65 verbal memory at age 69 ($\beta=-0.64, SE=0.29, p=.03$). There were no significant longitudinal
66 associations between verbal memory scores and subsequent level of affective symptoms
67 (Figure 2).

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Figure 2 here

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73 *Processing Speed*

74 The cross-lagged processing speed model was also an excellent fit to the data ($\chi^2(2)=0.81$,
75 $p=.67$; CFI=1.00, TLI=1.00; RMSEA=0.00). The unadjusted model revealed that as with the
76 verbal memory model, all autoregressive pathways were statistically significant, showing
77 stability in constructs over time. In this unadjusted model, there were no cross-sectional or
78 longitudinal associations between affective symptoms and processing speed.

79 The fully adjusted model was also a good fit to the data ($\chi^2(2)=1.76$, $p=.41$; CFI=1.00,
80 TLI=1.00; RMSEA=0.00). In the fully adjusted model, all of the autoregressive pathways
81 remained significant. There was a significant association between affective symptoms and
82 processing speed present at age 53 ($\beta=-0.13$, $SE=0.06$, $p=.05$), but not at ages 60-64 ($\beta=-$
83 0.02 , $SE=0.03$, $p=.52$) or 69 ($\beta=-0.02$, $SE=0.02$, $p=.31$). Additionally, results from the fully
84 adjusted model showed that higher level of affective symptoms at age 60-64 significantly
85 predicted worse processing speed performance at age 69 ($\beta=-1.27$, $SE=0.41$, $p=.002$). No
86 other cross-lagged pathways were statistically significant (Figure 3).

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92 *Sensitivity analysis*

93 As a sensitivity analysis, the main models were re-run after excluding participants who died
94 by age 69 from the analysis, to ensure results were not influenced by mortality. These models

95 fit the data well (Verbal memory: $\chi^2(2)=6.86$, $p=.03$; CFI=1.00; TLI=0.99; RMSEA=0.04.
96 Processing speed: $\chi^2(2)=1.76$, $p=.42$; CFI=1.00; TLI=1.00; RMSEA=0.00). Results from
97 verbal memory models including the sample alive by age 69 remained consistent. The fully
98 adjusted model showed a significant cross-sectional association between affective symptoms
99 and verbal memory at age 53 ($\beta=-0.16$, $SE=0.05$, $p=.001$), and significant lagged pathways
100 between affective symptoms at age 53 and verbal memory at age 60-64 ($\beta=-0.65$, $SE=0.28$,
101 $p=.02$) and between affective symptoms at age 60-64 and verbal memory at age 69 ($\beta=-0.64$,
102 $SE=0.29$, $p=.03$). No other pathways reached statistical significance. Results from processing
103 speed models excluding people who died by age 69 were also similar to those from the main
104 models. Specifically, affective symptoms at age 60-64 significantly predicted poorer
105 processing speed at age 69 ($\beta=-1.27$, $SE=0.41$, $p=.002$). Again, no other pathways were
106 statistically significant.

107 Main models were re-run excluding people taking anxiolytic or antidepressant medication.
108 Results from this analysis were similar to main models. The models fit the data well (Verbal
109 memory: $\chi^2(2)=4.82$, $p=.09$; CFI=1.00; TLI=0.99; RMSEA=0.03. Processing speed:
110 $\chi^2(2)=1.22$, $p=.54$; CFI=1.00; TLI=1.00; RMSEA=0.00). Results from verbal memory
111 models showed that there was a cross-sectional association between affective symptoms and
112 verbal memory function at age 53 ($\beta=-0.14$, $SE=0.05$, $p=.002$), and a longitudinal association
113 between affective symptoms at age 53 and verbal memory function at age 60-64 ($\beta=-0.63$,
114 $SE=0.31$, $p=.04$). No other cross-sectional or lagged pathways reached statistical significance.
115 Results from processing speed models showed no significant cross-sectional associations
116 between affective symptoms and processing speed function. However, affective symptoms at
117 age 60-64 significantly predicted lower processing speed scores at age 69 ($\beta=-1.38$, $SE=0.46$,
118 $p=.003$). No other longitudinal pathways were significant.

119 Finally, a sensitivity analysis was run, using multiple imputation to impute missing covariate
120 data. Again models fit the data very well (Verbal memory: $\chi^2(2)=2.88, p=.24$; CFI=1.00;
121 TLI=1.00; RMSEA=0.01. Processing speed: $\chi^2(2)=1.29, p=.53$; CFI=1.00; TLI=1.00;
122 RMSEA=0.00) and results were consistent with main models. The fully adjusted verbal
123 memory model showed significant cross sectional associations between affective symptoms
124 and verbal memory function at age 53 ($\beta=-0.15, SE=0.04, p < .001$) and age 60-64 ($\beta=-0.04,$
125 $SE=0.02, p=.03$), but not at age 69 ($\beta=-0.02, SE=0.01, p=.10$). Affective symptoms at age 60-
126 64 also significantly predicted poorer verbal memory at age 69 ($\beta=-0.73, SE=0.25, p=.004$).
127 No other longitudinal pathways were significant. The fully adjusted processing speed model
128 revealed a significant cross-sectional association between affective symptoms and processing
129 speed at age 53 ($\beta=-0.16, SE=0.05, p=.003$) but not at ages 60-64 ($\beta=-0.01, SE=0.02, p=.68$)
130 or age 69 ($\beta=-0.03, SE=0.02, p=.12$). Affective symptoms at age 60-64 significantly
131 predicted poorer processing speed at age 69 ($\beta=-0.73, SE=0.36, p=.04$), but no other
132 pathways reached statistical significance.

133

1 **Discussion**

2 There was a cross-sectional inverse association between affective symptoms and both verbal
3 memory and processing speed at age 53, but not at ages 60-64 or 69. Higher affective
4 symptoms at age 53 significantly predicted lower verbal memory
5 scores at age 60-64, and affective symptoms at age 60-64 also predicted lower verbal
6 memory at age 69. However, verbal memory function did not predict subsequent affective
7 symptoms at any time-point. Results for processing speed models were similar; higher
8 affective symptoms at age 60-64 significantly predicted poorer processing speed at age 69.
9 Processing speed did not predict later affective symptoms at any time-point assessed. Overall,
10 these results are consistent with previous research showing that affective symptoms can
11 predict subsequent cognitive function (James et al., 2018; John et al., 2019, 2018). These
12 findings extend previous evidence by demonstrating that this relationship does not operate in
13 the opposite direction over the period of 16 years.

14 There are four primary hypotheses that can explain associations between affective symptoms
15 and cognitive function over time. First, affective symptoms may be a risk factor for poorer
16 cognitive outcomes (Bennett & Thomas, 2014; Butters et al., 2008). Second, affective
17 symptoms may be a prodromal symptom of cognitive impairment (Bennett & Thomas, 2014;
18 Butters et al., 2008; Byers & Yaffe, 2011). Third, there may be some common cause factor
19 which increases risk for both affective disorders and poorer cognitive function (Bennett &
20 Thomas, 2014; Djernes, 2006). Finally, affective symptoms may emerge as a response to
21 awareness of verbal memory impairment (Vinkers et al., 2004). The temporal sequencing
22 over an extended time frame which emerges in this study does not support the fourth
23 possibility that affective symptoms reflect a subjective response to cognitive impairments.
24 Instead, these results indicate that affective symptoms may precede cognitive impairments by
25 several years and that increased affective symptoms predict later cognitive function.

26 The finding that affective symptoms predicted subsequent processing speed at the later time-
27 point only suggests that the effects of affective symptoms on processing speed, may not be
28 observed until later in the life course. This is consistent with previous research showing that
29 adult affective symptoms can predict poorer mid-life verbal memory function at age 50, but
30 no effects were observed on information processing speed at this age (John et al., 2019). This
31 finding is inconsistent with work that suggests processing speed may be an important
32 component in verbal memory processing (Salthouse, 1996). This can potentially be explained
33 by the digit checking task containing a motor component, compared to the verbal component
34 within the verbal memory task.

35 Future research should focus on identifying biological and socio-behavioural mechanisms of
36 the longitudinal association between affective disorders and cognitive function. Future
37 research should also investigate whether effective treatment of affective symptoms can
38 reduce risk of poorer cognitive outcomes later in life.

39 *Strengths and limitations*

40 The key strength of the study is a large, nationally representative, and prospective sample,
41 with 16 years follow up. An additional strength of the study is the use of consistent measures
42 of affective symptoms and cognitive function. However, sample attrition is a problem in all
43 long-running cohort studies. In the present study, missing data was addressed using FIML
44 methods and an additional supplementary analysis was conducted using multiple imputation.
45 Another limitation of the study is that single cognitive tests were used to measure verbal
46 memory and processing speed, rather than more comprehensive cognitive batteries.

47 Results from the present study show that affective symptoms can predict poorer cognitive
48 outcomes over a 16-year period. Understanding longitudinal associations between affective
49 symptoms and cognitive function offer insights into maintaining better cognitive health for
50 longer.

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Tables and Figures

Figure 1: Flow chart showing available sample size.

Table 1: Demographic information for analysed sample.

Figure 2: Cross lagged model of affective symptoms and verbal memory from age 53 to 69.

Fully adjusted model.

Figure 3: Cross lagged model of affective symptoms and processing speed from age 53 to

69. Fully adjusted model.

Table 1: Demographic information for analysed sample.

Demographic Information		Verbal memory (N=3125)	Processing Speed (N=3127)
Sex N (%)	Male	1557 (49.8)	1559 (49.9)
	Female	1568 (50.2)	1568 (50.1)
Childhood socioeconomic position N (%)	Professional	209 (6.7)	209 (6.7)
	Intermediate	725 (23.2)	725 (23.2)
	Skilled non-manual	468 (15.0)	468 (15.0)
	Skilled manual	962 (30.8)	963 (30.8)
	Partly skilled	570 (18.2)	570 (18.2)
	Unskilled	191 (6.1)	192 (6.1)
Educational attainment N (%)	None attempted	1149 (36.8)	1151 (36.8)
	A-Level or below	1670 (53.4)	1670 (53.4)
	Degree or above	306 (9.8)	306 (9.8)
National Adult Reading Test score Mean (SD)	NART Score	17.2 (9.8)	17.2 (9.8)
Antidepressant medication use N (%)	Yes	2833 (90.7)	2834 (90.6)
	No	292 (9.3)	293 (9.4)
Anxiolytic medication use N (%)	Yes	2968 (95.0)	2970 (95.0)
	No	157 (5.0)	157 (5.0)
Cognitive score	Age 53	23.93 (6.30)	281.07 (76.09)
	Age 60-64	24.26 (6.11)	266.71 (71.74)
	Age 69	22.20 (6.02)	262.30 (74.15)

