

1 **Nonlinear Ultrasonic Evaluation of**
2 **Disorderedly Clustered Pitting Damage Using**
3 **An *in-situ* Sensor Network**

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5 Wuxiong CAO^{a,b,†,‡}, Kai WANG^{b,‡}, Pengyu ZHOU^{b,‡}, Xiongbin YANG^{b,‡}, Lei XU^{b,‡},
6 Menglong LIU^c, Paul FROMME^d, Baojun PANG^a, Runqiang CHI^a, and Zhongqing SU^{b,e,*}

7
8
9 ^a School of Astronautics
10 Harbin Institute of Technology, Harbin 150080, P.R. China

11
12 ^b Department of Mechanical Engineering, The Hong Kong Polytechnic University,
13 Kowloon, Hong Kong Special Administrative Region

14
15 ^c School of Mechanical Engineering and Automation
16 Harbin Institute of Technology, Shenzhen 518052, P.R. China

17
18 ^d Department of Mechanical Engineering
19 University College London, London, United Kingdom

20
21 ^e The Hong Kong Polytechnic University Shenzhen Research Institute,
22 Shenzhen 518057, P.R. China

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† These authors contributed equally to this work.

‡ PhD candidate

* To whom correspondence should be addressed. Tel.: +852-2766-7818, Fax: +852-2365-4703
Email: Zhongqing.Su@polyu.edu.hk (Prof. Zhongqing SU, *Ph.D.*)

27 **Abstract**

28 Pervasive but insidious, pitting damage – from pitting corrosion in maritime structures
29 through electrical pitting in bearings to debris cloud-induced pitting craters in spacecraft, is
30 a typical modality of material degradation and lesion in engineering assets in harsh service
31 environment. Pitting damage may feature hundreds of clustered, localized craters, cracks and
32 diverse microscopic defects (*e.g.*, dislocation, micro-voids and cracks) disorderedly
33 scattered over a wide area. Targeting accurate, holistic evaluation of pitting damage (mainly
34 the existence, location and size of the pitted area), an insight into the generation of nonlinear
35 features in guided ultrasonic waves (*i.e.*, high-order harmonics) that are triggered by pitting
36 damage is achieved using a semi-analytical finite element (SAFE) approach, based on which
37 a monotonic correlation between the nonlinear ultrasonic features and the holistic severity
38 of pitting damage is established. With such correlation, a structural health monitoring
39 framework is developed, in conjunction with the use of an *in-situ* sensor network comprised
40 of miniaturized piezoelectric wafers, to characterize pitting damage accurately and monitor
41 material deterioration progress continuously. The framework is experimentally validated, in
42 which highly complex pitting damage in a space structure, engendered by a hypervelocity
43 debris cloud, is evaluated precisely.

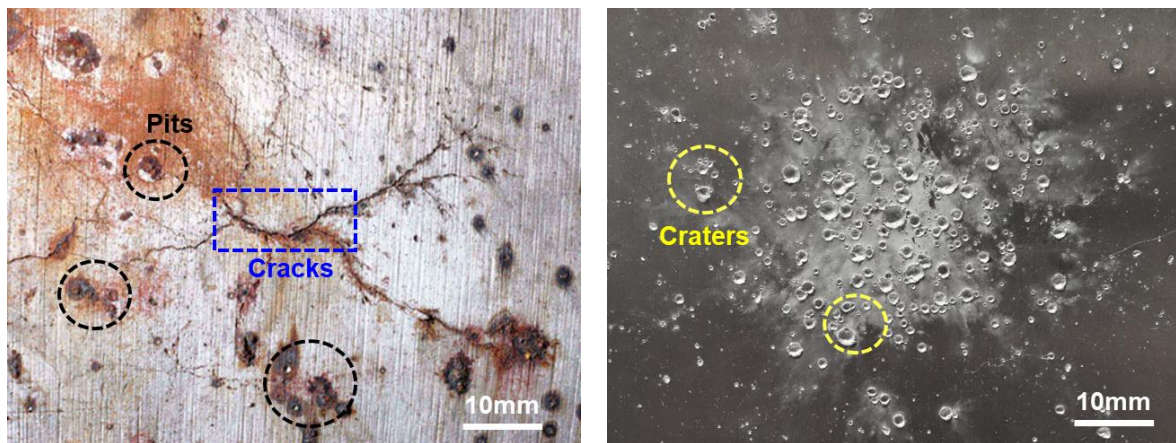
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45 **Keywords:** structural health monitoring; nonlinear ultrasonic evaluation; pitting damage;
46 contact acoustic nonlinearity; *in-situ* sensor network

47 **1. Introduction**

48 Pitting damage is a prevailing modality of material lesion in engineering assets. Amid
49 numerous examples are pitting corrosion in maritime structures¹⁻³, electrical pitting in
50 bearings^{4,5}, pitting craters in orbiting spacecraft induced by space junk or debris clouds⁶⁻⁸.
51 Material degradation and deterioration caused by pitting damage, usually initiated at an
52 unperceivable scale but progressing at an alarming speed, can fairly compromise structural
53 reliability, integrity and performance, and without timely awareness lead to fragmentation
54 and even failure of the entire system. This has entailed early detection of pitting damage and
55 accurate assessment of its severity, on which basis follow-up remedial measures can be
56 implemented. However, evaluation of pitting damage is extremely challenging and daunting,
57 because of its highly specific manifestation: in most circumstances a pitting damage area
58 features hundreds of small craters and cracks disorderedly clustered over a wide area ("*pitted*
59 *region*" hereinafter), with two examples shown in Figure 1.

60



61

62 **Figure 1.** (a) Pitting corrosion in maritime structure³; and (b) space debris-cloud-induced pitting craters
63 in a spacecraft structure.

64

65 To evaluate pitting damage (or *pitted region*), nondestructive evaluation (NDE) techniques⁹⁻
66 ¹¹ can be employed, as typified by radiography, shearography, holography, eddy-current,

67 thermography and resonance imagery. Nevertheless, with a nature of off-line inspection
68 performed at regularly scheduled intervals and a high degree of human interference in result
69 interpretation, most of NDE techniques are inherently unwieldy to early awareness of pitting
70 damage, let alone continuous monitoring of its progress. They are costly, time-consuming,
71 labor-intensive, yet unable to inspect the parts inaccessible to bulky NDE transducers.
72 Moreover, prevailing NDE techniques have demonstrated effectiveness only when a pitted
73 region is macroscopically formed.

74

75 In lieu of conventional NDE approaches, guided ultrasonic wave (GUW)-based inspection¹²⁻
76 ¹⁷ has proven capability of striking a balance among resolution, detectability, practicality,
77 and cost. The premise of GUW-based detection lies in the fact that interaction between
78 GUWs and damage will change wave propagation attributes, inducing delay in arrival time
79 of wave packet, attenuation of wave energy, wave scattering, and mode conversion among
80 others, in which rich information pertaining to damage is encoded. Nonetheless, existing
81 GUW-based approaches¹⁸⁻²⁹ are demonstrably effective only for singular damage of a
82 regular form (*e.g.*, a crack or a hole), or multiple damages that are sufficiently apart (to avoid
83 mutual interference among waves scattered by multiple damages).

84

85 In the attempt to evaluate disorderedly clustered pitting damage that features multitudinous
86 craters and cracks co-existing in a highly localized area, existing GUW-based inspection is
87 confronted with the following challenge:

- 88 (i) pitting craters and cracks are densely clustered, and thus the GUWs, which are
89 scattered by the craters or cracks with dimensions comparable to the probing
90 wavelength, are severely tangled and overlapped, presenting high complexity of signal
91 appearance and obfuscation of damage-associated signal features;

92 (ii) or, if the dimensions of pitting craters and cracks are much less than a probing
93 wavelength, no significant wave scattering as stated in (i) will be induced, and this will
94 result in absence of discernable changes in wave propagation attributes. As a
95 consequence, these undersized craters or cracks may be underestimated or overlooked.

96

97 Treating the whole pitted region as singular damage by ignoring individual craters and cracks
98 within the pitted region may avoid the above challenge, however such a compromise restricts
99 the detection at a qualitative or quasi-quantitative level – indicating only the existence and
100 approximate periphery of a pitted region, and failing to describe the severity of a pitted
101 region in a quantitative manner.

102

103 To circumvent the above deficiency of conventional GUV-based inspection, nonlinear
104 features of GUVs have been increasingly exploited, in line with the fact that damage
105 introduces a certain degree of nonlinearity to the probing GUVs that can be more sensitive
106 than the linear signal features (*e.g.*, delay in arrival time and attenuation of wave energy) to
107 undersized damage²⁴⁻³⁰. Methods in this category are represented by those using the first-,
108 second-, or sub-harmonics, mixed frequency responses, or shift in resonance frequency¹⁸⁻²².
109 Most of these approaches address an abnormal intensification in material nonlinearity due
110 to the existence of damage, along with emergence of damage-induced contact acoustic
111 nonlinearity (CAN)³¹⁻³⁴.

112

113 Even though, the evaluation of pitting damage using nonlinear features of GUVs, in a
114 quantitative and precise manner, is hitherto still a highly challenging task, and relevant
115 research is indeed almost absent, let alone to extend off-line detection of pitting damage to
116 the on-line, continuous monitoring of its deterioration. In recognition of this, the energy shift

117 from the fundamental wave modes to high-order harmonic modes when a probing GUW
118 traverses a pitted region is interrogated in this study using a semi-analytical finite element
119 (SAFE) approach, aimed at quantitatively correlating the nonlinear ultrasonic features with
120 the relative severity of pitting damage. Numerical simulation is implemented for proof-of-
121 concept verification. On this basis, a structural health monitoring (SHM) framework is
122 developed, in conjunction with the use of an *in-situ* sensor network comprised of
123 miniaturized piezoelectric wafers, for quantitatively characterizing pitting damage (mainly
124 focusing on the location and size of the pitted area) and continuously monitoring its
125 deterioration. As practical implementation, the framework is used to evaluate pitting damage
126 in a space structure that is generated by a hypervelocity debris cloud.

127

128 **2. Principle of Approach: Nonlinear Features of GUWs induced by Pitting Damage**

129 When traversing a pitted region, a probing GUW is modulated, diverting partial wave energy
130 from the excitation frequency to integer multiple or fractional multiple of the excitation
131 frequency, namely the generation of high-order harmonics. These harmonics are commonly
132 referred to as super-harmonics and sub-harmonics, respectively¹⁸⁻²². In virtue of their
133 sensitivity to microstructural degradation associated with dislocation substructures, second
134 phase precipitates, micro-void/crack nucleation and irradiation²³⁻²⁵, the high-order
135 harmonics are appealing nonlinear features of GUWs for ultrasonic evaluation.

136

137 Featuring a large quantity of clustered, localized, small-scalar craters and cracks with
138 numerous dislocations and sub-grains, a pitted region introduces plastic deformation and
139 consequently plastic strains to polycrystalline solids of the material³⁵⁻³⁷. The interaction of
140 craters and cracks with a probing GUW embraces two alternating phases: (i) crack opening
141 during the tensile stage of GUW propagation, which triggers wave scattering and mode

142 conversion; and (ii) crack closing during the compressional stage, in which wave
 143 propagation remains unchanged without distortion. Together, both jointly drive the crack to
 144 manifest a “breathing” manner and give rise to the generation of CAN³¹⁻³³. Thus, the severity
 145 of pitting damage (represented by the dislocation density) is correlated to the different
 146 degrees of collective manifestation of plastic strain in the material, which can be calibrated
 147 with the magnitude of the generated second harmonic mode – one of the key nonlinear
 148 features of GUWs. Such an interaction between a probing GUV and pitting damage takes
 149 place at the microscopic level and the generated nonlinear features, compared with their
 150 linear counterparts used in linear ultrasonic techniques (such as delay in time of flight or
 151 attenuation of wave magnitude), are more sensitive to minute dislocations and micro-cracks
 152 in a pitted region.

153

154 To better understand the generation of high-order harmonics and use them for quantitative
 155 evaluation of pitting damage, a dedicated modeling approach is developed. Consider an
 156 isotropic, homogeneous solid medium which is in its intact state, the nonlinearities in a
 157 propagating GUV originate from two major sources (during analytical derivation): the
 158 intrinsic material nonlinearity and the geometric (or convective) nonlinearity³⁵; when the
 159 medium contains inherent imperfections (*e.g.*, lattice abnormality, precipitates and vacancies)
 160 or material lesion (*e.g.*, crack), additional nonlinearity will be embodied in the GUV. The
 161 intrinsic material nonlinearity refers to the intrinsic nonlinear elasticity of lattices, while the
 162 geometric nonlinearity is owing to the mathematic relation between the Eulerian coordinates
 163 and Lagrangian (material) coordinates. In the model, the nonlinearity is depicted using a
 164 three-dimensional (3-D) stress-strain relation with a second-order approximation³⁸, as

$$165 \quad \sigma_{ij} = (C_{ijkl} + 1/2 M_{ijklmn} \varepsilon_{mn}) \varepsilon_{kl}, \quad (1)$$

166 where $C_{ijkl} = \lambda \delta_{ij} \delta_{kl} + 2\mu I_{ijkl}$. σ_{ij} denotes the stress tensor, ε_{mn} and ε_{kl} the strain tensors,

167 and C_{ijkl} the second-order elastic (SOE) tensor defined with Lamé parameter λ and μ .

168 M_{ijklmn} a tensor simultaneously accounting for the above two types of nonlinearities via³⁹

$$169 \quad M_{ijklmn} = C_{ijklmn} + C_{ijln} \delta_{km} + C_{jnkl} \delta_{im} + C_{jlmn} \delta_{ik}, \quad (2)$$

170 where

$$171 \quad C_{ijklmn} = \frac{1}{2} \mathcal{A}(\delta_{ik} I_{jlmn} + \delta_{il} I_{jkmn} + \delta_{jk} I_{ilmn} + \delta_{jl} I_{ikmn}) \quad (3)$$

$$+ 2\mathcal{B}(\delta_{ij} I_{klmn} + \delta_{kl} I_{mnij} + \delta_{mn} I_{ijkl}) + 2C\delta_{ij}\delta_{kl}\delta_{mn}.$$

172 In the above, C_{ijklmn} is the third-order elastic (TOE) tensor describing material nonlinearity,

173 which is directly related to three TOE constants (*i.e.*, \mathcal{A} , \mathcal{B} and C). The last three terms

174 of Eq. (2) together address geometric nonlinearity. δ_{ik} and such in similar forms are the

175 Kronecker deltas. I_{jlmn} and such in similar forms are the fourth-order identity tensors. The

176 intrinsic material nonlinearity is related to its SOE and TOE constants, via., \bar{C}_{1111} and

177 \bar{C}_{111111} , respectively, which reads^{40,41}

$$178 \quad \beta_{mat} = \frac{8}{k^2 x} \left(\frac{A_2}{A_1^2} \right) = \frac{3\bar{C}_{1111} + \bar{C}_{111111}}{\bar{C}_{1111}} \propto \frac{1}{2} \left(3 + \frac{2\mathcal{A} + 6\mathcal{B} + 2C}{E} \right). \quad (4)$$

179 Obviously, the material nonlinearity β_{mat} is governed by Young's modulus E and three

180 TOE constants. As the three TOE constants are correlated to plastic deformation caused by

181 such as fatigue, thermal aging and shock hardening, the nonlinearity parameter is

182 accordingly linked to the plasticity-driven material damage including pitting damage.

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184 Morphological analysis, conducted by the authors in their earlier study⁶, reveals that in a

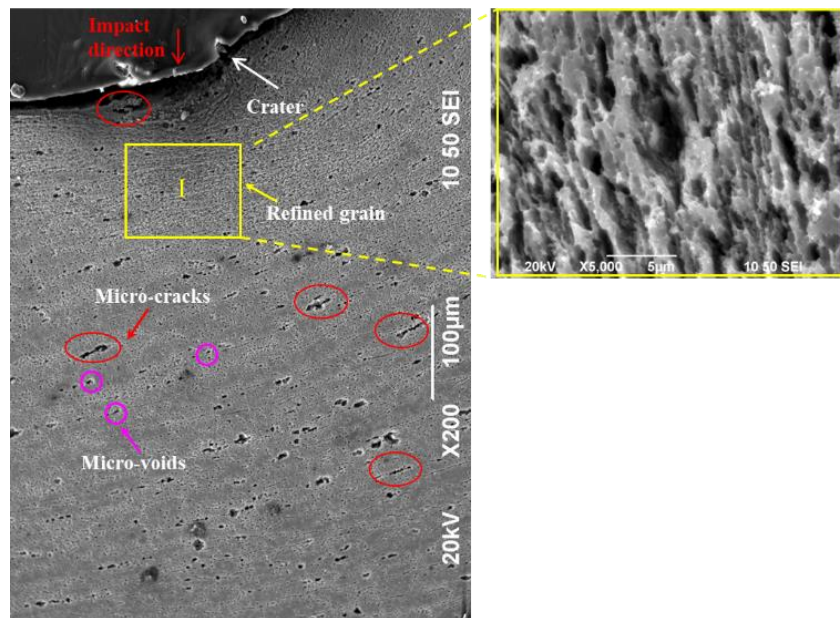
185 typical pitted region, on top of a large quantity of macro-scale craters and cracks, diverse

186 microstructural changes, for instance micro-voids, micro-cracks, recrystallized fine grains

187 and dislocations, co-exist, as observed in the example shown in Figure 2. These

188 recrystallized fine grains, dislocation substructures and shock hardening jointly lead to an

189 increase in material plasticity and hence intensification in material nonlinearity^{35,36,42,43}. In
 190 general, such intensification in material nonlinearity incurred by the pitting damage imposes
 191 remarkable influence on probing wave propagation more than the intrinsic material
 192 nonlinearity does. In the analytical model, the localized intensification in the material
 193 nonlinearity in the pitted region is depicted using the increasing in the three TOE constants
 194 (*i.e.*, αA , αB and αC , α is the scale factor)^{43,45}. In addition, numerous micro-voids
 195 expand to form micro-cracks and then macroscopic cracks, as illuminated in Figure 3,
 196 interaction of a probing GUW with which gives rise to the generation of CAN.
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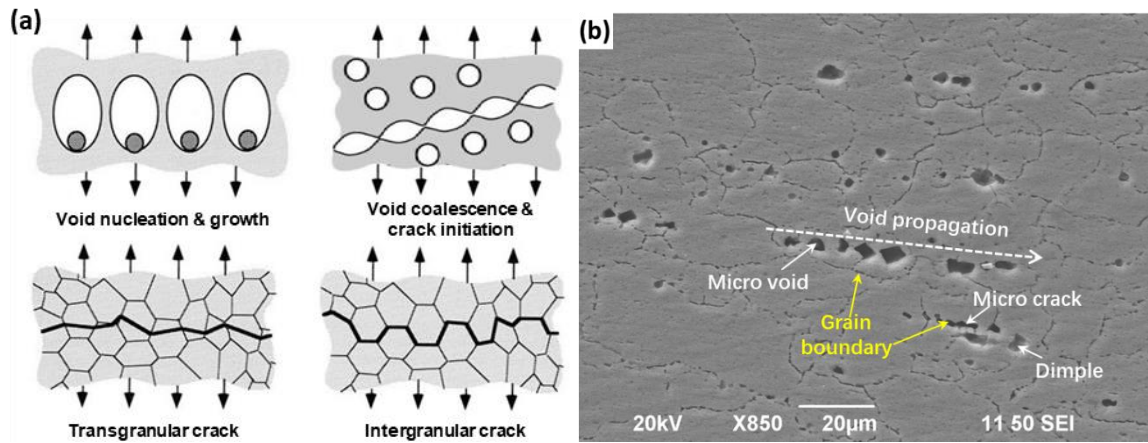


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 199 **Figure 2.** Scanning electron microscope (SEM, JEOL JSM-6490) image of material microstructure
 200 underneath a pitted region produced by a hypervelocity debris cloud⁶.
 201

202 In a similar vein, using the pitting corrosion that is ubiquitous in maritime structures as
 203 another example, in addition to the corrosion pits and cracks at the surface layer, stress-
 204 corrosion cracking (SCC) initiates at these pits and micro-cracks, as shown schematically in
 205 Figure 4(a). The SCC-induced cracks propagate along the grain boundaries under external
 206 stress^{44,45} that are referred to as inter-granular SCC (IGSCC), in Figures 4 (b) and (c). In

207 general, these micro-cracks can distort and modulate the propagation of probing GUWs and
 208 trigger CAN.

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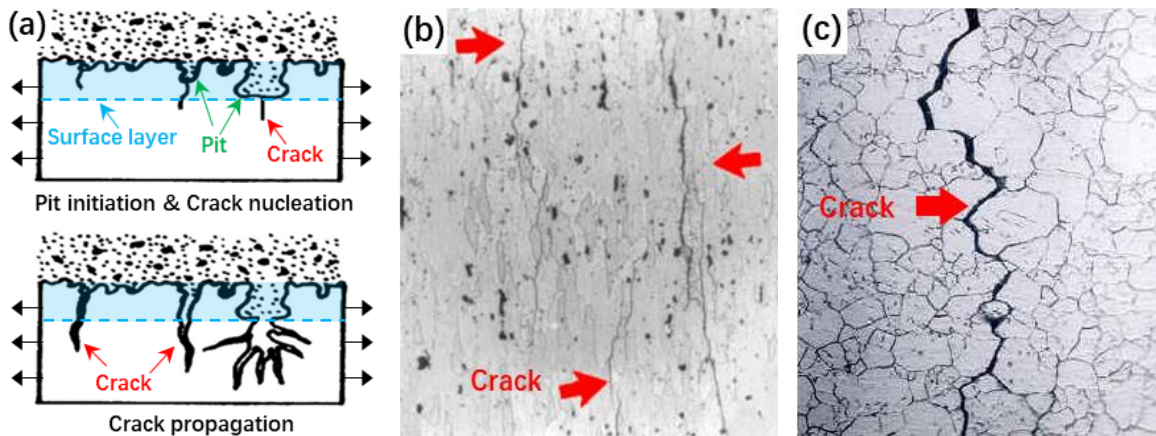


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211 **Figure 3.** (a) Schematic of void nucleation, growth and coalescence under hypervelocity debris cloud
 212 impact process; and (b) SEM image of material microstructure underneath a pitted region, showing void
 213 nucleation and propagation.

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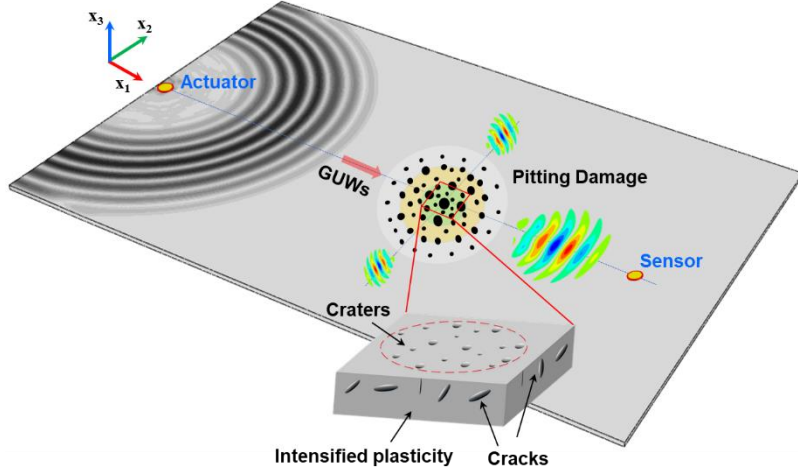
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217 **Figure 4.** (a) Schematic view of SCC propagation; (b) IGSCC in 7075-T6 alloy (picture width: 500
 218 µm)⁴⁴; and (c) IGSCC in aluminum alloy tube (Mag: 500X)⁴⁵.

219



220

221 **Figure 5.** A plate-like waveguide bearing a pitted region (containing intensified plasticity, craters and
 222 “breathing” cracks).

223

224 A plate-like waveguide containing a pitted region is illustrated schematically in Figure 5.

225 The “breathing” behavior of micro-cracks introduce nonlinearities to scattered waves,

226 serving as a secondary wave source located at the micro-crack – called “*crack-induced*

227 *secondary source*” (*CISS* hereinafter) in the model, as detailed in the authors’ earlier

228 works^{32,33}. *CISS* features time-dependent traits and initiate high-order harmonics. The in-

229 plane displacement ($U_n^{S-2f_0}$) of *CISS*-induced n^{th} -order symmetric modes at the double

230 excitation frequency ($2f_0$) can be ascertained as^{32,33}

$$231 \quad U_n^{S-2f_0} = A_n^S u_n^S(x_3) \left[H_0^2(k_n r) - \frac{1}{k_n r} H_1^2(k_n r) \right], \quad (5)$$

232 where

$$233 \quad A_n^S = \frac{k_n}{4i} \frac{2CISS_{in}^{bre-2f_0} \cdot u_n^S(0)}{I_{nn}^S}.$$

234 In the above, f_0 signifies the angular frequency of the probing G UW excited at fundamental

235 frequency f_0 , $U_n^{S-2f_0}$ the *CISS*-induced in-plane displacement at $2f_0$ (superscript s

236 denoting the symmetric mode, and subscript n representing the n^{th} order), $u_n^S(x_3)$ the in-

237 plane displacement of wave mode as a function of x_3 (see Figure 5), κ_n the wave number
 238 of the propagating wave mode at $2f_0$, $H^2(\square)$ the Hankel function of the second kind, and
 239 r the distance from the crack to the sensor at which GUW is captured. A_n^S is the crack-
 240 induced wave fields at $2f_0$, i the imaginary unit, I_{nm}^S the energy carried by the Lamb
 241 wave mode, and $u_n^S(0)$ the in-plane displacement of wave mode at the middle of the plate.
 242 $CISS_{in}^{bre-2f_0}$ is the in-plane component of $\overline{CISS}^{bre-2f_0}$ (the modulated $CISS$ attributed to the
 243 “breathing” behavior at $2f_0$).

244

245 It can be seen that the generation of the second harmonic of the probing GUW can be
 246 attributed to $\overline{CISS}^{bre-2f_0}$. With the CAN induced by the “breathing” behavior of micro-
 247 cracks in the pitted region, the second harmonic in GUW signals will be intensified. The
 248 modeling of nonlinearities (*i.e.*, high-order harmonics) generation upon interaction of a
 249 probing GUW with pitting damage provide a theoretical basis for quantitative
 250 characterization of pitting damage in this study.

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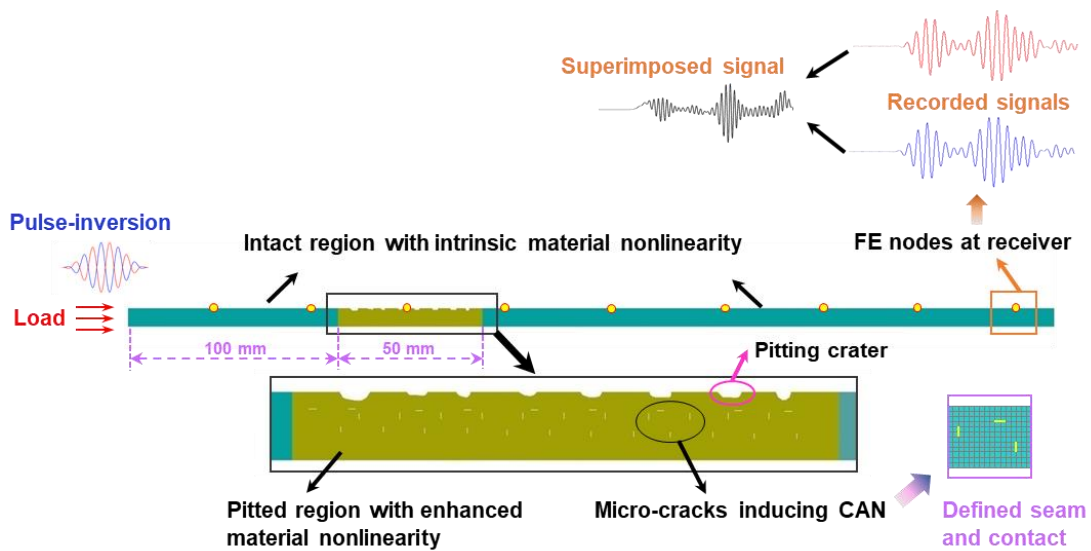
252 3. Damage Index Definition Using Semi-Analytical Finite Element (SAFE) Approach

253 Based on the above modeling of nonlinearity introduced by pitting damage, a *nonlinear*
 254 *index (NI)* is proposed to quantify the degree of nonlinearity against degree of pitting damage.
 255 Using a SAFE approach, the index is defined as

$$256 \quad NI = \frac{U_n^{S-2f_0}}{(U_n^{S-f_0})^2}, \quad (6)$$

257 where $U_n^{S-f_0}$ signifies the magnitude of the probing GUW excited at f_0 , and $U_n^{S-2f_0}$ the
 258 magnitude of pitting damage-induced nonlinearity at double excitation frequency ($2f_0$).

259 Finite element simulation is performed using ABAQUS[®]/EXPLICIT, in which the analytical
 260 solutions obtained in preceding section are recalled in a user-defined subroutine (VUMAT)
 261 – a SAFE approach. A two-dimensional (2-D) finite element model for an aluminum plate
 262 (1000 mm long), containing a pitted region of a diameter of 50 mm, is developed, as
 263 displayed in Figure 6, and the model is discretized with the four-node plane strain (CPE4R)
 264 elements. In the pitted region, nine craters with a diameter of 1 mm for each are uniformly
 265 distributed at the surface of the pitted region at an interval of 4 mm.



266
 267

Figure 6. 2-D FE model of the plate-like waveguide bearing the pitted region.

269

270 As discussed in Section 2, possible sources of nonlinearity in the waveguide include the
 271 plasticity-induced nonlinearity and the CAN on top of intrinsic material nonlinearity, all of
 272 which are taken into account in the model. To model the intensified material nonlinearity in
 273 the pitted region, the TOE constants increase equally up to αA , αB and αC for this
 274 region, where the scale factor α is assumed to be 1.5 (compared with 1.0 for an intact
 275 waveguide) according to large volume compression of the material (35~50%) that is caused
 276 by debris cloud impact⁶, as listed in Table 1. Note that the TOE constants are negative,
 277 reflecting the trend of elastic stiffness of materials against the variation of interatomic

278 distance induced by material status changes. Modeling of intrinsic and intensified material
 279 nonlinearities is implemented in VUMAT using the nonlinear stress-strain relation. To
 280 model the micro-cracks beneath the craters in the pitted region, N ($N = 28$ for the 3 mm
 281 thick plate, $N = 47$ for the 5 mm thick plate) seam cracks with a length of a ($a = 0.2$ mm
 282) for each are defined and distributed uniformly with an area S , as seen in Figure 6. To be
 283 consistent with experimental configuration in the following, nine seam cracks in parallel
 284 with the waveguide surface are defined beneath the nine craters, along with other cracks
 285 along the thickness direction. Note that the number of cracks beneath the craters can be
 286 different under different impact conditions. A contact-pair interaction definition, which
 287 prohibits the penetration of nodes into opposite surface is imposed on the two contacting
 288 interfaces for each micro-crack for describing the “breathing” behavior when probing GUWs
 289 traverse. The crack density V_{crack} is calculated to be 0.0075 using a dimensionless parameter
 290 $V_{crack} = Na^2 / S$.

291 **Table 1.** Material parameters of the plate-like waveguide in simulation

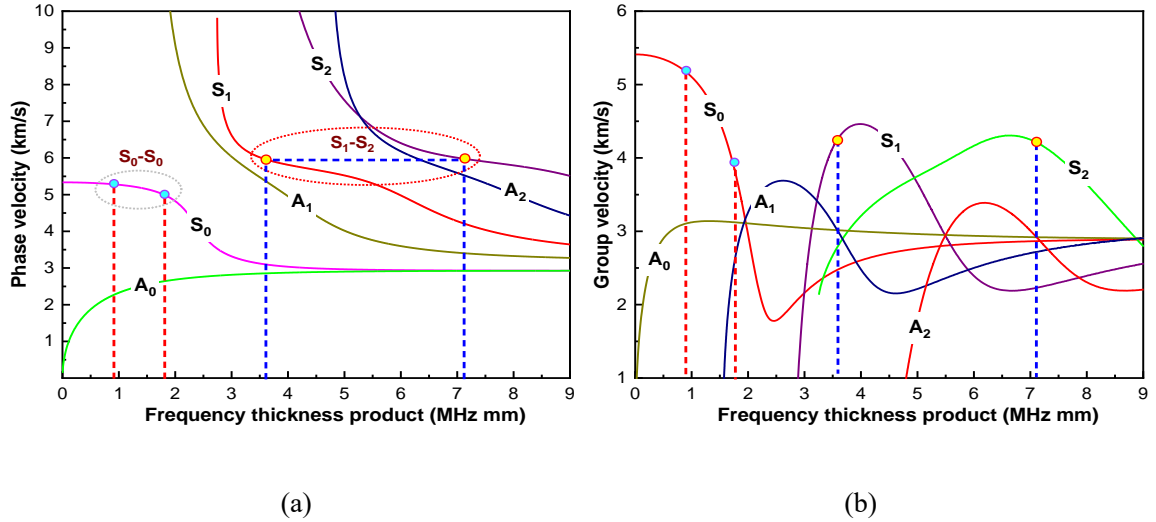
Aluminum plate	Three TOE constants			Elastic modulus (GPa)	Poisson's ratio	Density (kg/m ³)
	αA (GPa)	αB (GPa)	αC (GPa)			
Intact region ($\alpha=1$)	-320	-200	-190	68.9	0.3	2660
Pitted region ($\alpha=1.5$)	-480	-300	-285			

292
 293 In simulation, two mode pairs, viz., S_0 - S_0 at a lower frequency range (0.9 and 1.8 MHz•mm
 294 for two S_0 modes) and S_1 - S_2 at a higher frequency range (3.59 MHz•mm for S_1 and 7.18
 295 MHz•mm for S_2), are respectively used for different thicknesses of the waveguide, as
 296 displayed in Figure 7. To excite an appropriate probing waves, the in-plane displacement of
 297 the same amplitude (1×10^{-4} mm) in parallel with the waveguide surface is applied to the left
 298 edge of the plate, and under this condition only the symmetric Lamb wave modes are
 299 generated. (i) For a plate of 3 mm in its thickness, an eight-cycle Hanning windowed sinusoid

300 tone-burst at a central frequency of 300 kHz is applied to generate the S_0 - S_0 mode pair, and
301 under such a loading condition, the fundamental S_0 mode dominates the wave energy. To
302 warrant simulation accuracy, a fine mesh with an element size of 0.1 mm only (*i.e.*, 1/30 of
303 the wavelength of the accordingly generated second harmonic S_0 mode) is applied in the
304 model; (ii) for a plate of 5 mm in thickness, a ten-cycle Hanning-windowed sinusoidal tone-
305 burst at a central frequency of 718 kHz is applied to generate the S_1 - S_2 mode pair. Under
306 this loading condition, both the fundamental S_0 mode and S_1 mode are excited. Considering
307 that the sensing points are sufficiently apart from the exciting source, S_1 mode acquired at
308 the sensing points are fairly separated from S_0 modes, because the group velocity of the
309 mode S_1 is much greater than that of S_0 mode at this frequency. This guarantees that S_1 mode
310 can be purely extracted, warranting the accuracy of the obtained amplitudes of S_1 - S_2 mode
311 pair. In this case, each element is 0.05 mm in size – 1/50 of the wavelength of the accordingly
312 generated second harmonic S_2 mode. GUW propagation in the waveguide is continuously
313 monitored by measuring the in-plane displacements of the plate surface every 20 mm, Figure
314 6. Note that the dimension of the plate (*i.e.* 1000 mm) ensures that wave reflections from the
315 right edge of the plate do not interfere with the wave modes of interest.

316

317 The in-plane displacements of both the 0° phase and 180° out-of-phase inverted GUWs are
318 extracted at the measurement points and processed with an pulse-inversion approach, with
319 which the weak nonlinearity (*i.e.*, $U_n^{S-2f_0}$ in Eq. (6)) stands out in the superimposed signals
320 and in spectra (obtained via the short-time Fourier Transform (STFT)).



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Figure 7. Dispersion curves for Lamb waves in aluminium alloy plate: (a) phase velocity; and (b) group velocity.

By way of illustration, the simulation results obtained from an intact plate 3 mm thick with pitting damage of different degrees are compared in Figure 8. As observed, with the use of the pulse-inversion approach, the spectral energy of the fundamental S_0 mode (denoted by $S_0^{f_0}$) is remarkably mitigated, while the energy of the second harmonic S_0 mode (denoted by $S_0^{2f_0}$) enhanced. In addition, the energy of sub-harmonic wave ($f_0/2$) is also enhanced.

It can be observed that in the intact plate, the second harmonic modes are generated owing to the intrinsic material nonlinearity (represented by the TOE constants). Compared with the results from the intact plate, the spectral energy at $S_0^{2f_0}$ in the plate with pitting damage is increased phenomenally. It is noteworthy that among various sources of nonlinearity, the intensification of material nonlinearity due to plasticity-driven damage is much weaker than that arising from “breathing” cracks-induced CAN. Together, both of the intensified material plasticity and “breathing” cracks jointly give rise to the accumulation of second harmonics in the waveguide bearing pitting damage.

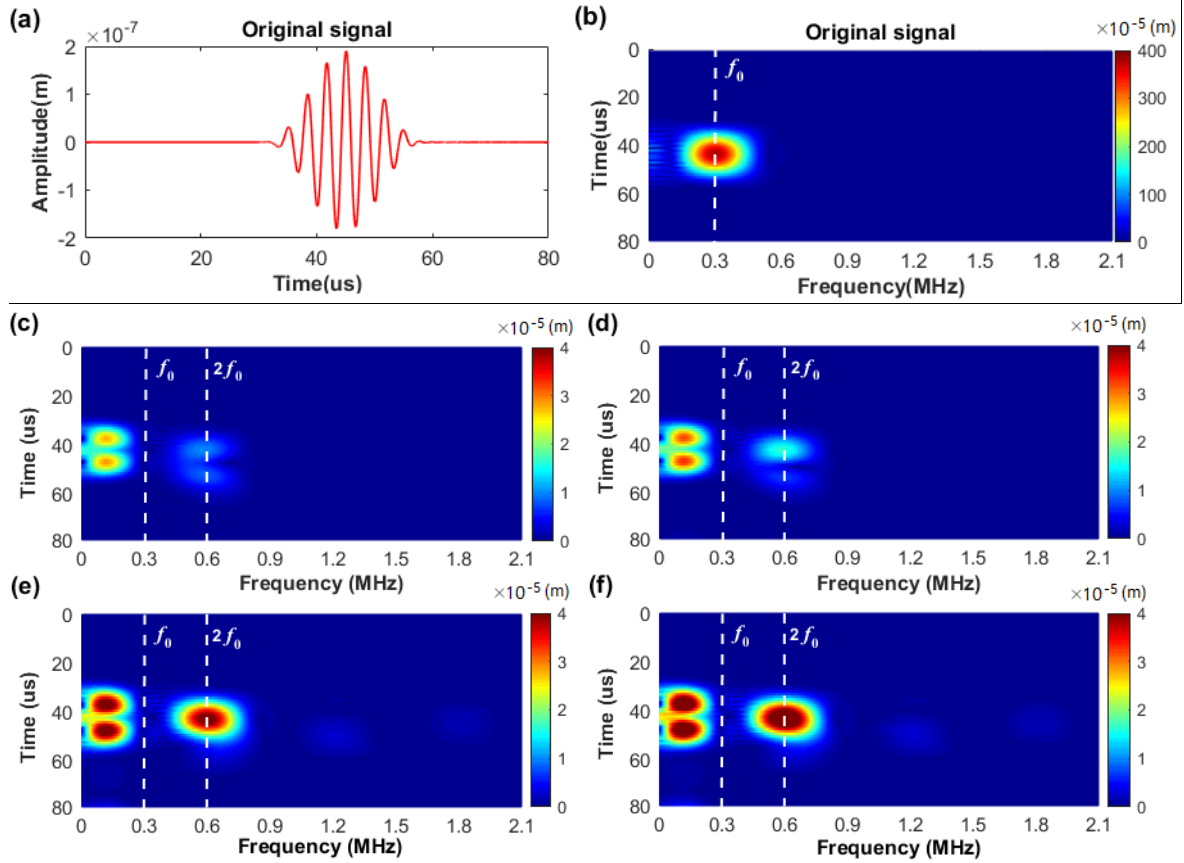
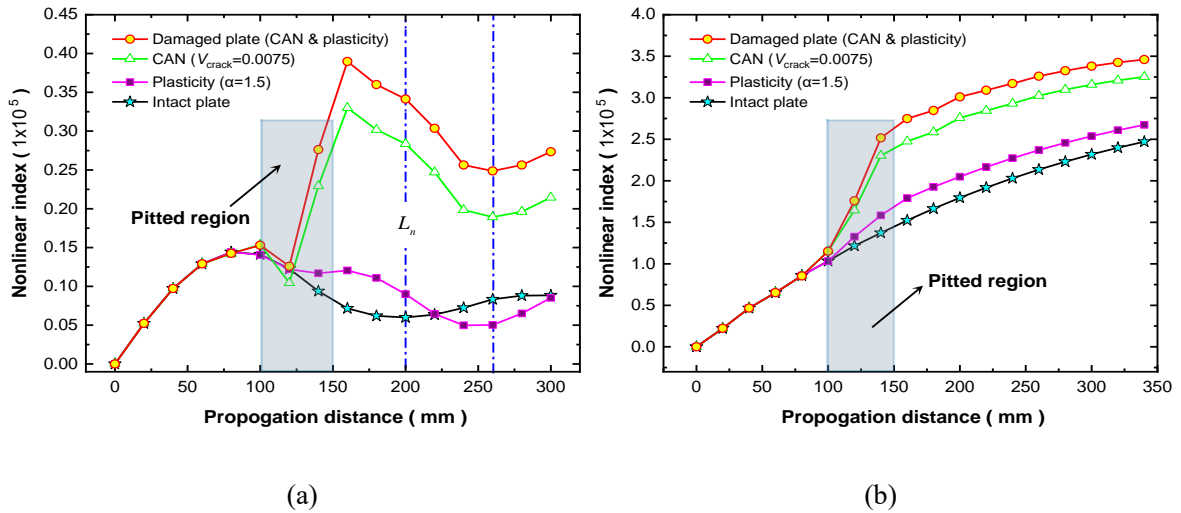


Figure 8. Signals captured at the measurement point 160 mm from the left boundary of the plate (3 mm thick): (a) original signal obtained from the intact plate; (b) spectra of original signal; spectra of superimposed signals acquired via (c) intact plate; (d) pitted plate with enhanced plasticity; (e) pitted plate with seam cracks; and (f) pitted plate with both enhanced plasticity and seam cracks.

To take a step further, NI is calculated using Eq. (6) against the propagation distance and different degrees of pitting damage with the mode pair S_0 - S_0 or S_1 - S_2 , and the results are presented in Figure 9. As observed, NI , which is obtained when S_0 - S_0 mode pair is used, oscillates as the increase of GUW propagation distance – a phenomenon that can be attributed to the inaccurate matching in the respective phase and group velocities of two modes⁴⁶ (as seen in Figure 7); while NI shows a monotonic and linear increase over the propagation distance when S_1 - S_2 pair is used, in which the two modes have the identical phase velocities, exactly satisfying internal resonance conditions. Regardless of the selected mode pairs, the NI obtained in the pitted plate (with intensified material plasticity, and

357 “breathing” cracks-induced CAN) is observed to increase significantly after the probing
 358 GUWs traverse the pitted region. This phenomenon is remarkable particularly when the
 359 probing GUW does not satisfy the requirement of internal resonance (*i.e.*, the phase-velocity
 360 matching, and the non-zero power flux) ⁴⁷, as displayed in Figure 9(a). Thus, both the S_0 - S_0
 361 and S_1 - S_2 pairs can be used to stand out the damage-induced CAN with comparable
 362 effectiveness. It is noteworthy that the plasticity-induced increase in the second harmonic
 363 generation delays the decrease in the amplitude of second harmonic modes, which can be
 364 attributed to the mismatching of the phase and group velocities, as shown in Figure 9(a).
 365



366
 367 (a) (b)
 368 **Figure 9.** (a) NI obtained in simulation for mode pair S_0 - S_0 ; and (b) NI obtained in simulation for mode
 369 pair S_1 - S_2 .
 370

371 The SAFE results have accentuated the significant influence of the pitting damage on the
 372 generation of nonlinearity of GUWs, and inversely the abnormal increase in the nonlinearity
 373 of GUWs can be used for characterizing the pitting damage, as demonstrated by the proof-
 374 of-concept application in the subsequent session.

375 **4. Proof-of-Concept Application: Characterizing Pitting Damage**

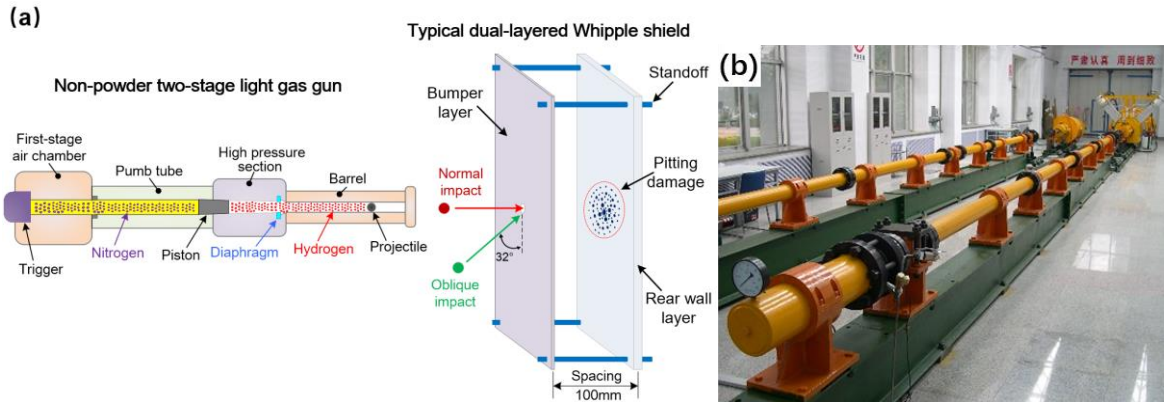
376 The proposed nonlinear ultrasonic evaluation approach is experimentally validated by
377 quantitatively characterizing pitting damage of different modalities.

378

379 **4.1. Sample Preparation: Creation of Pitting Damage**

380 To create pitting damage in a plate-like waveguide for experimental validation of the
381 proposed evaluation approach, a series of hypervelocity impact (HVI) tests is conducted. A
382 non-powder two-stage light gas gun is used to launch and accelerate a spherical aluminum
383 projectile (AL-2017, \varnothing 4.5 mm), to impact a typical dual-layered Whipple shield. The
384 Whipple shield consists of a bumper layer (6061-T1, 1 mm in thickness, 300 mm \times 300 mm
385 in other two dimensions) and a rear wall layer, Figure 10(a). HVI tests are performed in two
386 scenarios: the projectile impinges the Whipple shield perpendicularly (*normal impact*) and
387 obliquely with an incident angle of 32° (*oblique impact*), respectively, as illustrated
388 schematically in Figure 10(a). The velocity of the projectile is 5.931 km/s in the normal
389 impact and 4.021 km/s in the oblique impact. In both cases, the projectile is sufficient to
390 penetrate the bumper layer. Upon penetration, a debris cloud is created and formed by
391 shattered materials of the bumper layer and projectile, which further impacts the rear wall
392 layer to create multitudinous pitting craters and cracks that are disorderedly scattered in the
393 rear wall layer.

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396 **Figure 10.** (a) Schematic of HVI test set-up (showing the normal and oblique impact scenarios); and (b)
 397 the two-stage light gas gun used for HVI tests.

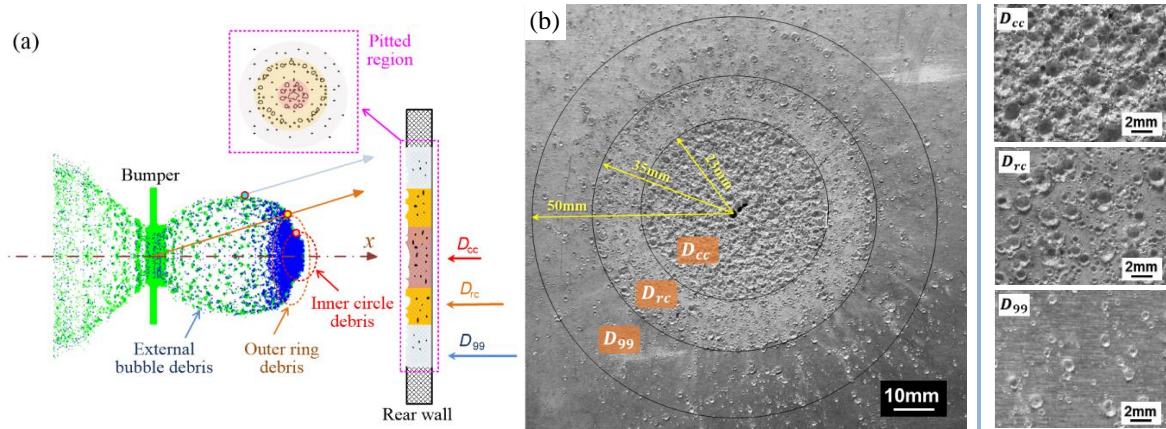
398

399 In the normal impact, a debris cloud that is symmetrical with regard to the x -axis impinges
 400 the rear wall layer (2024-T4, 3 mm in thickness, 300 mm \times 300 mm in dimension). As
 401 rigorously investigated in the authors' earlier work⁶, the generated cloud feature three key
 402 parts: *inner circle debris*, *outer ring debris* and *external bubble debris*, which accordingly
 403 creates three pitted areas: *central cratered area* D_{cc} , *ring cratered area* D_{rc} , and *spray*
 404 *area* D_{99} , respectively, as defined in Figure 11(a), all together covering 99% of the scattered
 405 pitting craters. Multitudinous pitting craters are mutually nested and overlapped in D_{cc} ,
 406 while D_{rc} and D_{99} are filled with separated small craters ($\sim 100 \mu\text{m} \sim 2 \text{ mm}$ in diameter),
 407 as photographed in Figure 11(b).

408

409 In the oblique impact, a debris cloud, expanding along both the impact direction and the
 410 normal direction of the bumper layer, is generated, forming an in-line cloud and a normal
 411 debris cloud, respectively, as illustrated schematically in Figure 12(a). These debris clouds
 412 subsequently impact the rear wall layer (2024-T4, 5 mm in thickness, 500 mm \times 500 mm in
 413 dimension), resulting in two separated pitted areas, *i.e.*, A_{d1} and A_{d2} , in which numerous
 414 craters with diameters greater than 1 mm are obvious, Figure 12(b).

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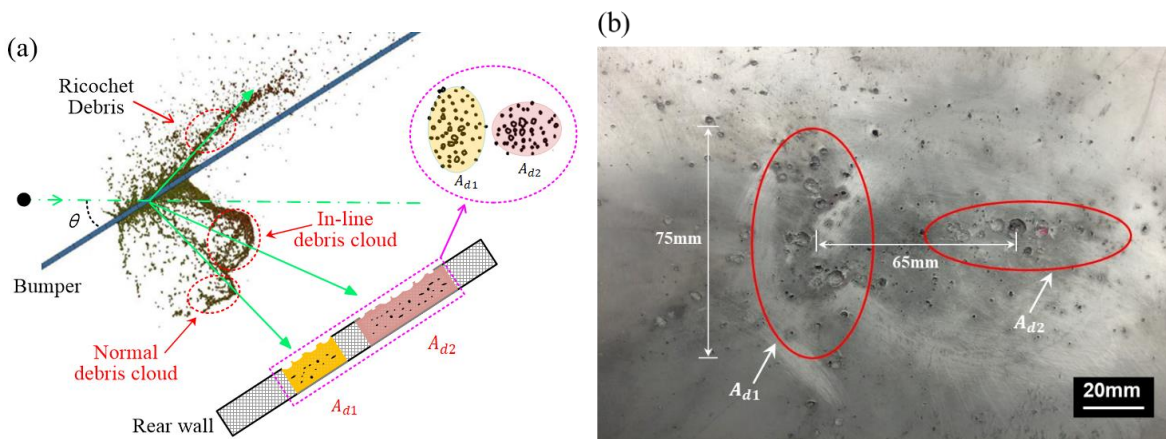


416

417 **Figure 11.** Normal HVI scenario (projectile speed: 5.931 km/s): (a) schematic of debris cloud; and (b)
418 morphology of the debris cloud-produced pitted region.

419

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421

422 **Figure 12.** Oblique HVI scenario (projectile speed: 4.021 km/s, incident angle: $\theta = 32^\circ$): (a) schematic
423 of debris cloud; and (b) morphology of the in-line debris cloud- and normal debris cloud-produced
424 pitted regions.

425

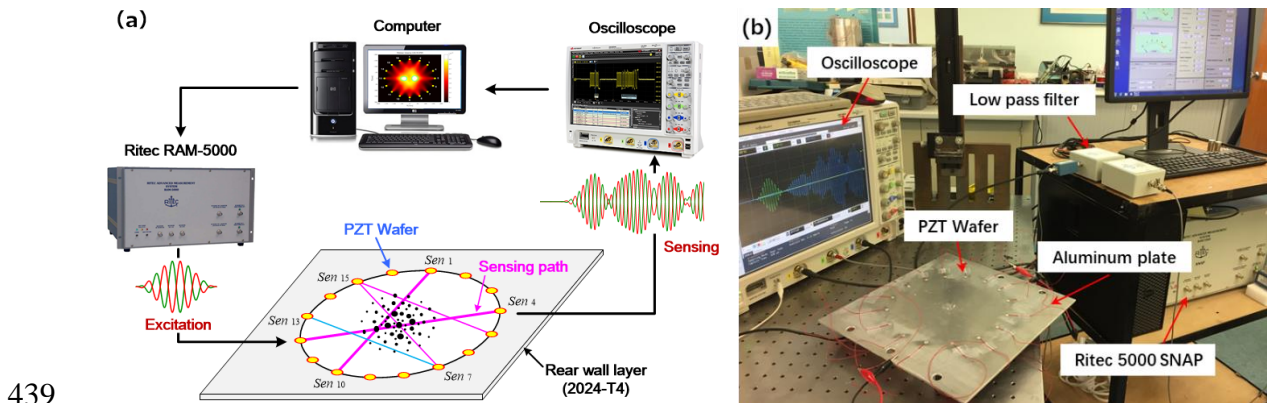
426 4.2. Experiment Set-up

427 Figure 13 presents the schematic and photograph of the experimental set-up. In the tests, a

428 Hanning-windowed sinusoidal tone-burst signal is generated by a high-power gated

429 ultrasonic system (RITEC[®] RAM-5000 SNAP). The response voltage signals are acquired

430 by an oscilloscope (Agilent DSO 9064A) and averaged 256 times to minimize measurement
 431 uncertainty. A built-in circular sensing network, comprising of 16 miniaturized, lightweight
 432 PZT wafers (PSN33, $\Phi 5$ mm, thickness: 0.48 mm, denoted by Sen_i ($i=1,2,\dots,16$)), is
 433 mounted on the surface of the rear wall layer. Each of the wafer in the network is
 434 alternatively used as actuator and sensor, rendering up to 120 sensing paths, with most paths
 435 traversing the pitted regions, as displayed in Figure 13(a). Both the mode pairs S_0 - S_0 (0.9-
 436 1.8 MHz mm) and S_1 - S_2 (3.59-7.18 MHz mm) as investigated in preceding SAFE-based
 437 analysis are generated via the system.
 438



440 **Figure 13.** (a) Schematic of experimental set-up for characterization of pitting damage; and (b)
 441 photographic illustration of the experiment.
 442

443 4.3. Signal Processing and NI Calculation

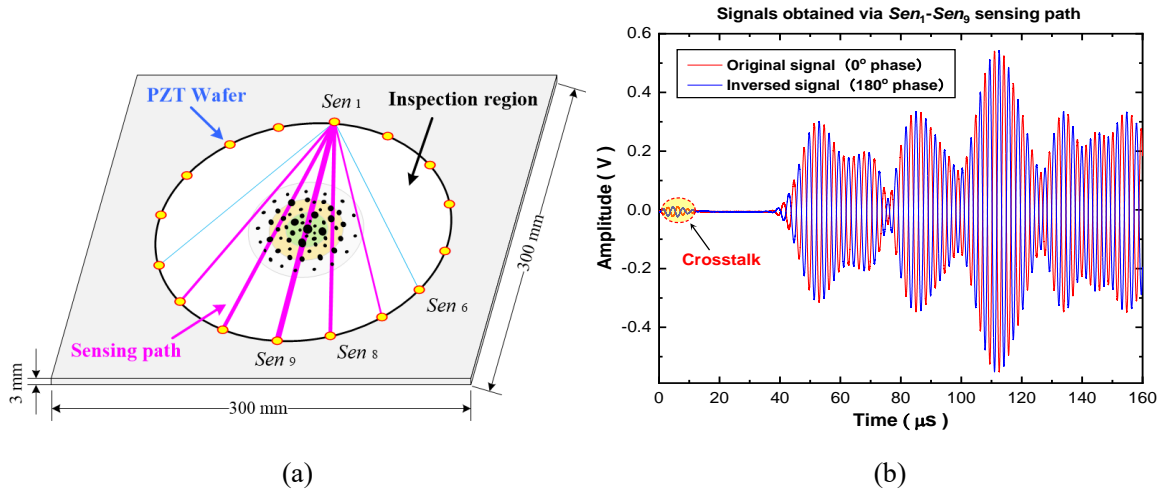
444 In the normal impact scenario, the sensor network covers an inspection region with a
 445 diameter of 280 mm, as displayed in Figure 14(a). A seven-cycle Hanning-windowed
 446 sinusoidal tone burst at a central frequency of 300 kHz is applied to exploit mode pair S_0 - S_0
 447 (0.9-1.8 MHz mm).
 448

449 The severity degrees of pitting damage in D_{cc} , D_{rc} or D_{99} are usually different
450 significantly, leading to distinct amounts of energy shifted from the fundamental to the
451 second harmonic modes via different sensing paths. By way of illustration, three
452 representative sensing paths (*i.e.*, Sen_1-Sen_9 , Sen_1-Sen_8 and Sen_1-Sen_6) with different severity
453 of pitting damage, are selected and accumulation of $S_0^{2f_0}$ mode along these three paths are
454 scrutinized. Figure 14(b) displays both the 0° phase and 180° out-of-phase inverted GUW
455 signals captured via the sensing path Sen_1-Sen_9 that traverses the pitted region center, these
456 two signals are then superimposed to extract the second harmonic mode. The spectra of the
457 original signals and the extracted second harmonic signals obtained via two representative
458 sensing paths (Sen_1-Sen_6 and Sen_1-Sen_9), when the path is intact and contains pitting damage,
459 respectively, are displayed in Figures 14(c) and (d), respectively.

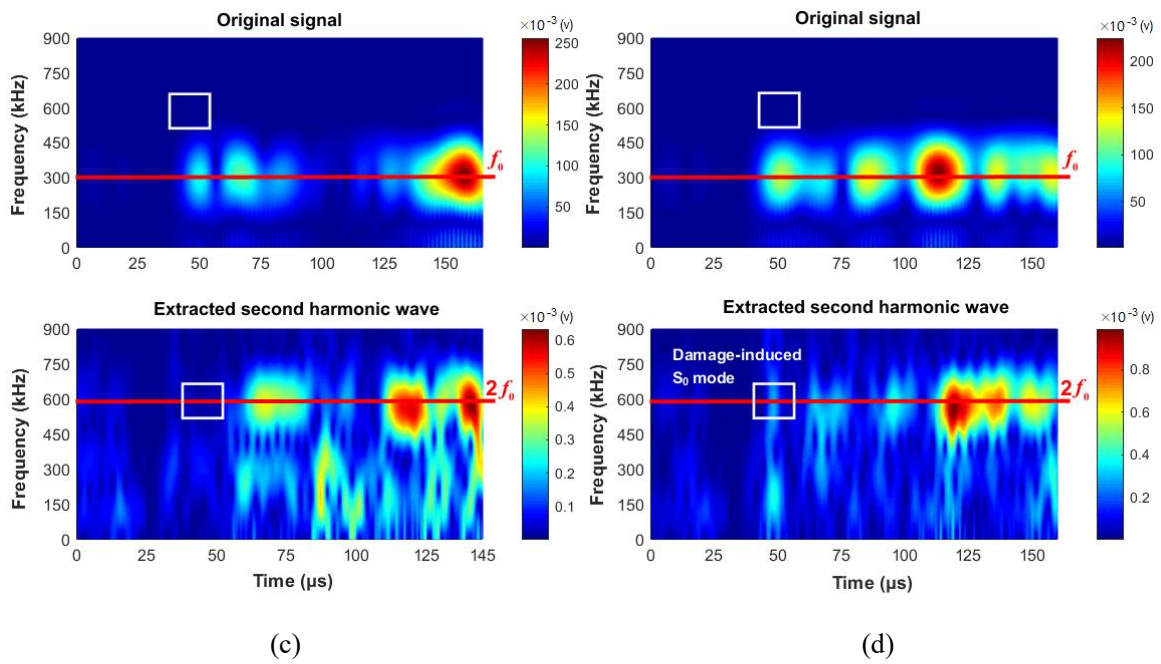
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461 Note that the probing S_0 mode arrives at the sensing point first, and thus it can be easily
462 isolated from other wave modes. Such a trait facilitates accurate extraction of the
463 fundamental and second harmonic modes. It is also noteworthy that the variation in traveling
464 distance of different sensing paths can lead to discrepancy in the amplitudes of second
465 harmonic modes induced by the intrinsic material nonlinearity. However, the damage-
466 induced second harmonic generation is dominant over the traveling distance variation-
467 induced changes in the amplitudes. It can be observed that the incident energy of probing
468 GUW shifts from the fundamental mode to the second harmonic mode, from which the
469 magnitudes of $S_0^{f_0}$ and $S_0^{2f_0}$ modes can be ascertained, shown in Figures 14(e) and (f), on
470 which basis NI can be calculated according to Eq. (6) for each sensing path. Note that the
471 defects in the pitted region investigated in this study are of small size, and thus the effect
472 from wave scattering and mode conversion induced by these defects on the defined NI is
473 negligible. As observed, the magnitude of $S_0^{2f_0}$ mode increases with the intensification of

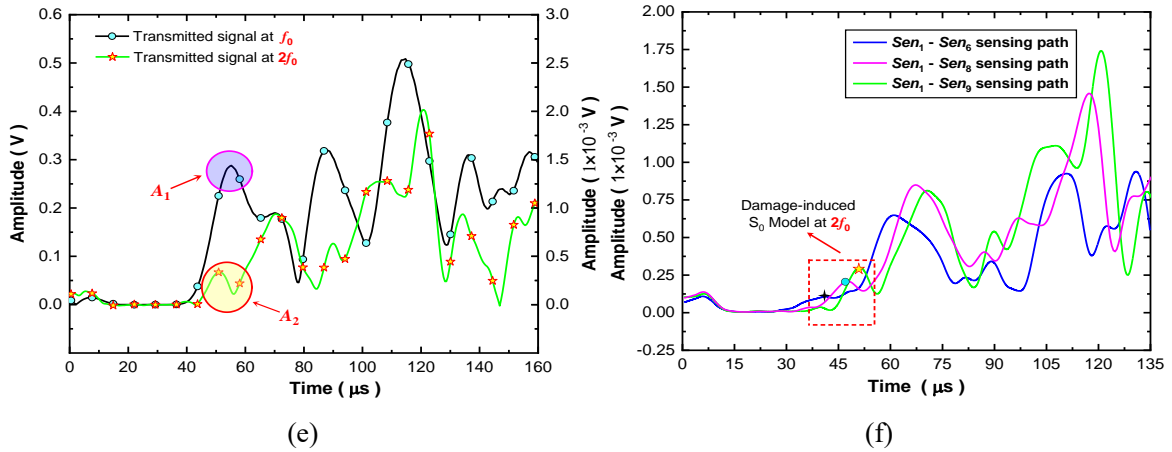
474 pitting damage, leading to a higher NI , which is consistent with the theoretical and SAFE
 475 analysis. Inversely, it is feasible to characterize the pitting damage quantitatively by fusing
 476 NI s from all the sensing paths in the sensor network.



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Figure 14. Normal HVI scenario: (a) schematic of PZT network for characterizing pitting damage; (b) signal acquired via Sen_1 - Sen_9 ; (c) and (d) time-frequency spectra of signals acquired via Sen_1 - Sen_6 and Sen_1 - Sen_9 , respectively; (e) magnitudes of $S_0^{f_0}$ and $S_0^{2f_0}$ modes; and (f) magnitudes of $S_0^{2f_0}$ mode for three representative sensing paths.

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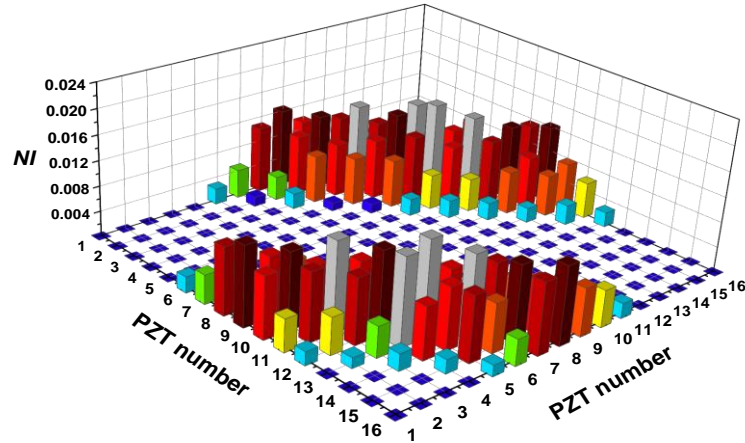
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As displayed in Figure 15, the severity of pitting damage obtained from all sensing paths is characterized using the defined NI . All NI s used in the experimental investigations are obtained in the current status, and it does not entail a benchmark process against any baseline signal from the intact plate under certain conditions, offering a baseline-free mechanism to characterize pitting damage. In addition, with the sensor network, 120 sensing paths covering the inspected region are constructed, and the results from all the sensing paths are utilized to image the damage. Considering that the damage of interest is of small scale, there are always paths traversing intact regions. With the approach, the damage manifests itself at pixels featuring high field values, without a need of *a priori* knowledge on the location of pitting damage or a need of making reference to information from sensing paths that traverse the intact region.



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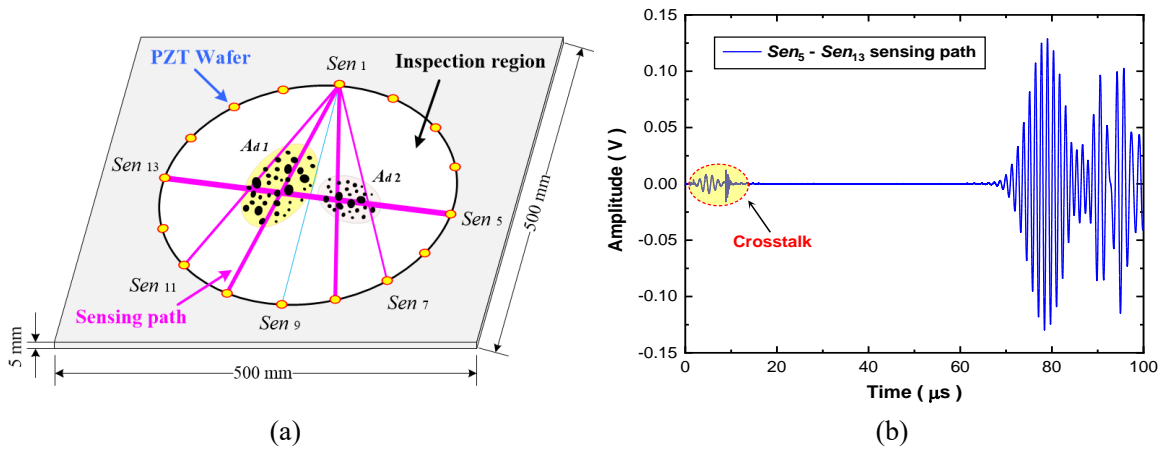
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Figure 15. NI histogram of pitting damage induced by normal HVI.

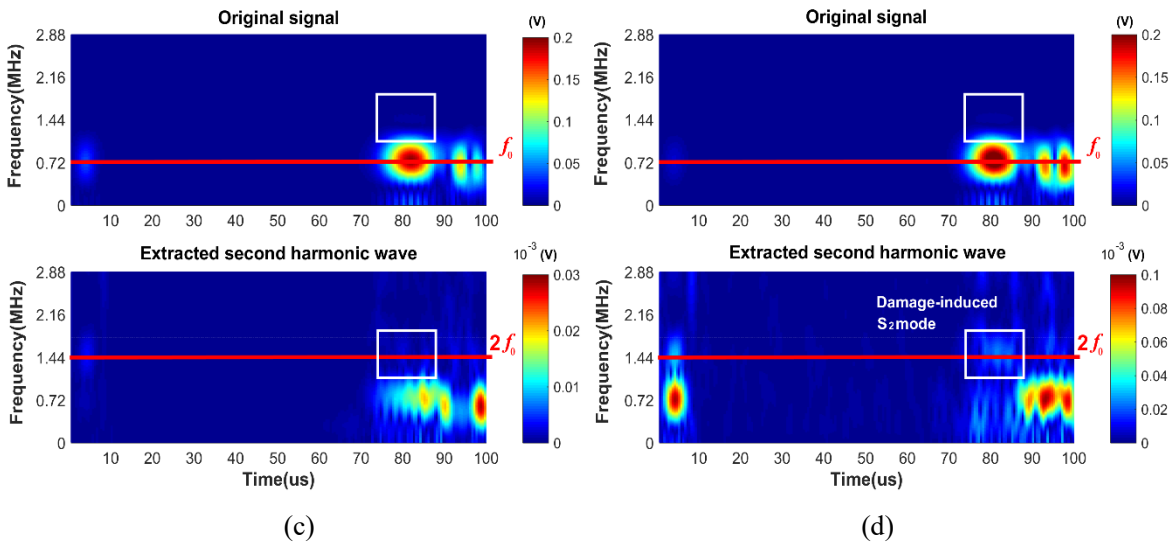
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504 Considering morphological shape of the pitted area in the oblique impact scenario, as
 505 observed in Figure 12(b), an inspection region with a diameter of 320 mm, greater than that
 506 in the normal impact case, is formed by sensor network. Similarly, a ten-cycle Hanning-
 507 windowed sinusoidal tone-burst at a central frequency of 718 kHz is applied to exploit mode
 508 pair S_1 - S_2 (3.59-7.18 MHz mm). Figure 16(b) displays a typical waveform for S_1 - S_2 mode
 509 pair captured via the sensing path Sen_5 - Sen_{13} . Taking the signal acquired via three sensing
 510 paths (*i.e.*, Sen_1 - Sen_9 , Sen_1 - Sen_8 and Sen_5 - Sen_{13} , as interpreted in Figure 16(a)) as examples,
 511 STFT analysis is performed to extract the second harmonic S_2 modes. The spectra of the
 512 original signals and the extracted second harmonic signals, obtained via two representative
 513 sensing paths (Sen_1 - Sen_9 and Sen_5 - Sen_{13}), are displayed in Figures 16(c) and (d). These two
 514 sensing paths traverse the intact region and damaged region, respectively. Note that the
 515 probing S_1 mode arrives at the sensing point first, and thus it can be isolated from other wave
 516 modes. This facilitates the accurate extraction of the fundamental and second harmonic
 517 modes. It can be observed that the incident energy of probing GUW shifts from the
 518 fundamental S_1 mode to the second harmonic S_2 mode, from which the magnitudes of second
 519 harmonic modes can be ascertained, shown in Figure 16(e). The magnitude of the second

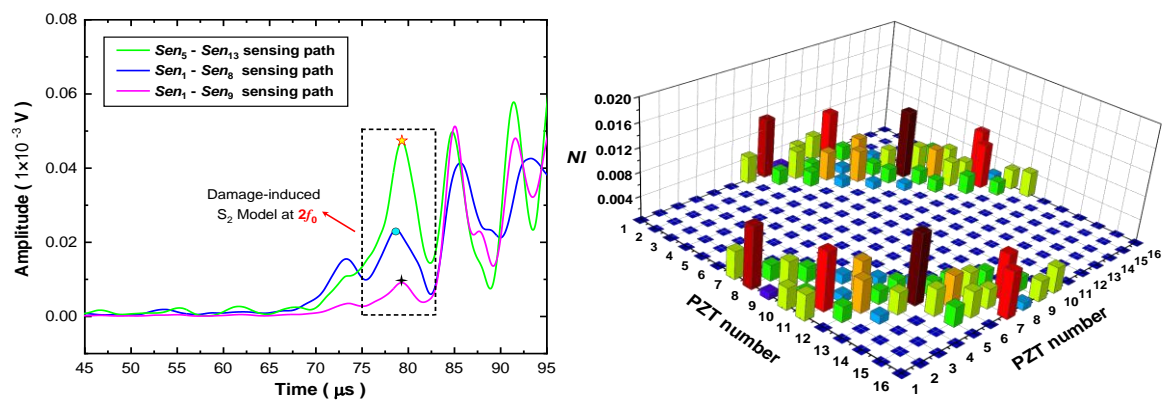
520 harmonic S_2 mode obtained via the sensing path Sen_5 - Sen_{13} is greatest as this sensing path
 521 traverses both A_{d1} and A_{d2} , while the one from path Sen_1 - Sen_9 manifests the lowest value
 522 because this sensing path does not cross any pitting damage area. The severity of pitting
 523 damage is quantitatively characterized by the NI , as demonstrated in Figure 16 (f).
 524



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529

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(e)

(f)

531 **Figure 16.** Oblique HVI scenario: (a) schematic of PZT network for characterizing pitting damage; (b)
 532 signal acquired via Sen_5 - Sen_{13} ; (c) and (d) time-frequency spectra of signals acquired via Sen_1 - Sen_9 and
 533 Sen_5 - Sen_{13} , respectively; (e) magnitudes of S_2 mode for three representative sensing paths; and (f) NI
 534 histogram of pitting damage.

535

536 4.4. Probabilistic Imaging

537 With NI s obtained from all sensing paths, the pitting damage location can be pinpointed, and
 538 the severity evaluated. To this end, the reconstruction algorithm for probabilistic inspection
 539 of damage (RAPID)⁴⁸ is recalled. In RAPID, the pitting damage is assessed in terms of the
 540 probability of its presence. In this way, the distribution probability of pitting damage is
 541 depicted in a contour map, yielding an intuitive and rapid diagnosis of the damage.

542

543 In this algorithm, the inspection region is spatially meshed, yielding a 2-D pixelated image
 544 on which the presence probability of damage at each spatial point is evaluated. Employing a
 545 sensing path $P_n (n=1,2,3,\dots)$ with transducer and receiver at (x_i, y_i) and (x_j, y_j) ,
 546 respectively, the field value at pixel (x, y) obtained via this sensing path is depicted as

$$547 \quad \xi(x, y)_n = NI_n \left[\frac{\eta - R_n(x, y)}{\eta - 1} \right], \quad (7)$$

548 where NI is the defined damage index in the designated path, η is the scaling parameter
 549 controlling the size of the elliptical influence region of individual sensing paths, and its value
 550 is usually set to be 1.05. $D_n(x, y)$ is a parameter reflecting the distance from the pixel to
 551 the sensing path, $R_n(x, y)$ is a weight reflecting the influence of the sensing path on the
 552 pixel, which reads^{7,48},

$$\begin{aligned}
553 \quad D_n(x, y) &= \frac{\sqrt{(x-x_i)^2 + (y-y_i)^2} + \sqrt{(x-x_j)^2 + (y-y_j)^2}}{\sqrt{(x_i-x_j)^2 + (y_i-y_j)^2}}, \\
R_n(x, y) &= \begin{cases} D_n(x, y) & \text{when } D_n(x, y) < \eta, \\ \eta & \text{when } D_n(x, y) \geq \eta. \end{cases} \quad (8)
\end{aligned}$$

554 With Eq. (7), each individual sensing path P_n yields a predictive image, describing the
555 presence probability and severity of pitting damage at each pixel, and the pixels in the
556 damaged inspection region show a fairly high field value while a low field value is
557 ascertained at pixels in the intact inspection region. With the field values, various elliptical
558 loci with focus on the two pixels corresponding to the actuator and sensor are ascertained.
559 The field value gradually decreases with the distance of the concerned pixel to the two foci.
560

561 Considering that the pitting damage is distributed over a wide region and each sensing path
562 is only sensitive to pixels close to it, an image fusion scheme is exploited to synthesize
563 imaging results from all sensing paths. In this way, an ultimate image is constructed, which
564 collects all the prediction from this network. As an extra merit, the measuring noise and the
565 detection uncertainty introduced in the scanning procedure can be significantly suppressed
566 due to the synthesis processing. The image fusion scheme is defined as

$$567 \quad \xi(y, z)_{sum} = \frac{1}{M} \sum_{j=1}^n \xi(y, z)_j, \quad (9)$$

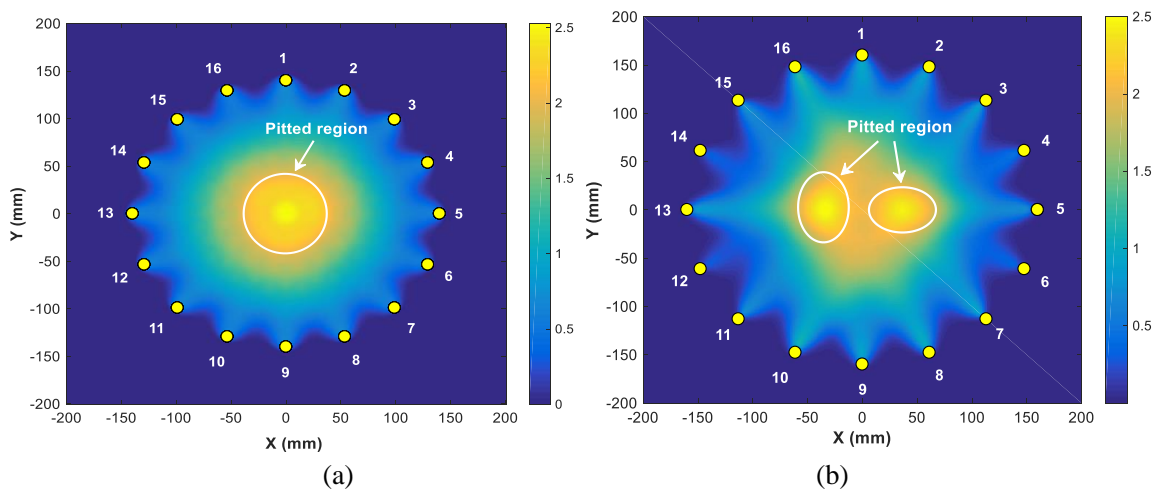
568 where $\xi(x, y)_{sum}$ is the field value at pixel (x, y) in the ultimate superposed image.

569 $M = \sum_n He(\eta - R_n(x, y))$ is the count for the pixel (x, y) in the inspection region of a path.

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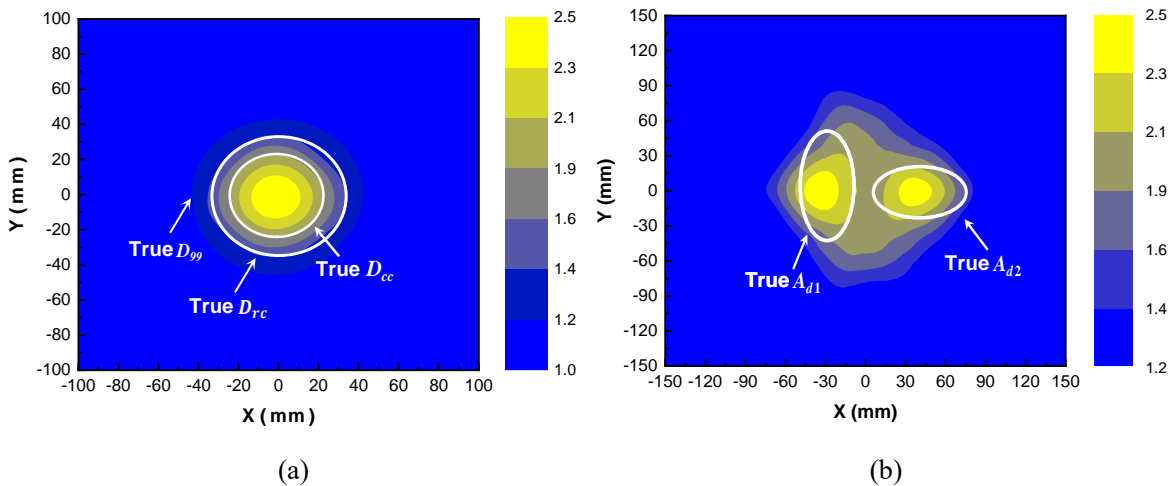
571 The imaging results of pitting damage incurred by both normal and oblique HVI, using
572 RAPID, are shown in Figure 17. The pixels with high field values are displayed in the central
573 region (*i.e.*, the orange area) of pitting damage, and relatively lower field values are observed

574 for some pixels adjacent to the region of pitting damage, giving users an intuitive and
 575 quantitative perception about the pitting damage. With a threshold field value, the HVI-
 576 induced pitted region is highlighted in the inspection region, the occurrence and severity of
 577 pitting damage are revealed more clearly in Figure 18. It is noteworthy that a circular pitted
 578 region with various damage levels (corresponding to D_{cc} , D_{rc} and D_{99}) is formed under
 579 normal HVI, as exhibited in Figure 18(a). Two separated pitted regions (*i.e.*, the yellow
 580 regions) with the highest pixel values corresponds to oblique HVI-induced A_{d1} and A_{d2} ,
 581 as displayed in Figure 18(b). The location and size of the reconstructed pitted regions are
 582 consistent with the real pitting damage areas induced by normal and oblique HVI.



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Figure 17. Diagnostic images obtained by RAPID: (a) normal HVI scenario; and (b) oblique HVI scenario.



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589 **Figure 18.** Diagnostic images obtained by RAPID with a threshold value: (a) normal HVI scenario; and
590 (b) oblique HVI scenario.
591

592 **5. Conclusion**

593 Featuring hundreds of localized craters, cracks and diverse microscopic defects disorderedly
594 clustered over a wide region, the pitting damage induces highly complex wave scattering in
595 a linear regime. Targeting characterization of the pitting damage in a holistic manner (mainly
596 the presence, location and size of the pitted area), an insight into the generation of nonlinear
597 features (*i.e.*, second harmonic mode) in probing GUWs that are induced by pitting damage
598 is achieved via theoretical and a SAFE method. In the pitted region, material nonlinearity is
599 significantly enhanced, and the CAN is introduced by the interaction between the probing
600 GUWs and the pitting damage, both jointly contributing to the intensification of nonlinear
601 features in the GUWs. On this basis, an *NI* is proposed to link the degree of nonlinearity to
602 the size of pitting damage, which manifests a significant enhancement in GUWs traversing
603 the pitted region. With the *NI*, a SHM framework, utilizing an *in-situ* PZT network, is
604 established, and in conjunction with the use of the RAPID algorithm, to visualize pitting
605 damage and monitor material deterioration progress continuously. The proposed framework
606 is experimentally validated by precisely characterizing highly complex pitting damage of
607 different modalities in a space structure, engendered by a hypervelocity debris cloud.
608 Essentially all these *NIs* are obtained as the current status, without entailing a benchmark
609 process against baseline signals from an intact counterpart, and thus this proposed approach
610 offers a baseline-free mechanism to characterize pitting damage.

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