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Astrocytes modulate baroreflex sensitivity at the level of the nucleus of the solitary tract

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Abstract

Maintenance of cardiorespiratory homeostasis depends on autonomic reflexes controlled by neuronal circuits of the brainstem. The neurophysiology and neuroanatomy of these reflex pathways are well understood, however, the mechanisms and functional significance of autonomic circuit modulation by glial cells remain largely unknown. In experiments conducted in male laboratory rats we show that astrocytes of the nucleus tractus solitarii (NTS), the brain area that receives and integrates sensory information from the heart and blood vessels, respond to incoming afferent inputs with $[Ca^{2+}]_i$ elevations. Astroglial $[Ca^{2+}]_i$ responses are triggered by transmitters released by vagal afferents, glutamate acting at AMPA receptors and 5-HT acting at 5-HT_{2A} receptors. In conscious freely behaving animals blockade of Ca²⁺-dependent vesicular mechanisms in NTS astrocytes by virally driven expression of a dominant-negative SNARE protein (dnSNARE) increased baroreflex sensitivity by 70% ($p < 0.001$). The effect of compromised astroglial function was specific to the NTS as expression of dnSNARE in astrocytes of the ventrolateral brainstem had no

42 effect. ATP considered the principle gliotransmitter and is released by vesicular
43 mechanisms affected by dnSNARE expression. Consistent with this hypothesis, in
44 anesthetized rats, activation P2Y₁ purinoceptors in the NTS decreased baroreflex
45 gain by 40% (p=0.031), while blockade of P2Y₁ receptors increased baroreflex
46 gain by 57% (p=0.018). These results suggest that glutamate and 5-HT
47 released by NTS afferent terminals trigger Ca²⁺-dependent astroglial release of
48 ATP to modulate baroreflex sensitivity via P2Y₁ receptors. These data add to the
49 growing body of evidence supporting an active role of astrocytes in the brain
50 information processing.

51 **Significance statement**

52
53 Cardiorespiratory reflexes maintain autonomic balance and ensure
54 cardiovascular health. Impaired baroreflex may contribute to the development of
55 cardiovascular disease and serves as a robust predictor of cardiovascular and all-
56 cause mortality. The data obtained in this study suggest that astrocytes are
57 integral components of the brainstem mechanisms that process afferent
58 information and modulate baroreflex sensitivity via the release of ATP. Any
59 condition associated with higher levels of 'ambient' ATP in the NTS would be
60 expected to decrease baroreflex gain by the mechanism described here. As ATP
61 is the primary signalling molecule of glial cells (astrocytes, microglia) responding
62 to metabolic stress and inflammatory stimuli, our study suggests a plausible
63 mechanism of how the central component of baroreflex is affected in
64 pathological conditions.

65

66 **Introduction**

67
68 Operation of all fundamental reflexes essential for the maintenance of
69 cardiorespiratory homeostasis is controlled by the autonomic circuits located in
70 the lower brainstem. Cardiorespiratory reflexes ensure autonomic balance and
71 maintain cardiovascular health. Impaired operation of these reflexes (the
72 baroreflex in particular) may contribute to the development of cardiovascular
73 disease and serves as a robust predictor of cardiovascular and all-cause
74 mortality (La Rovere et al., 1998; La Rovere et al., 2001; McCrory et al., 2016).
75 Brainstem autonomic circuits receive sensory information via afferent fibers
76 running within the IXth (glossopharyngeal) and Xth (vagus) cranial nerves. These

77 afferents terminate in the nucleus of the solitary tract (NTS), located in the
78 dorsal aspect of the brainstem, and release glutamate as the principal
79 transmitter at the first central synapse (Talman, 1997; Baude et al., 2009).

80

81 Glutamatergic transmission (essential for the processing of afferent information)
82 in the NTS is modulated by other transmitter systems (see Sevoz-Couche and
83 Brouillard, 2017), with 5-hydroxytryptamine (serotonin, 5-HT) playing a key role
84 (Ramage and Villalón, 2008; Hosford and Ramage, 2019). The transmitters and
85 receptors involved in signal processing in the NTS have been extensively
86 studied. However, the role of astrocytes in this brain area is less well
87 understood. This is despite a notable abundance and complexity of the NTS
88 astrocytes (Dallaporta et al., 2010; SheikhBahaei et al., 2018a) and significant
89 evidence that astrocytes modulate the activities of many other CNS circuits, - for
90 example, these involved in learning and memory (Han et al., 2012; Navarrete et
91 al., 2012), control of sleep (Halassa et al., 2009) and regulation of breathing
92 (Gourine et al., 2010; Sheikhbahaei et al., 2018b).

93

94 Two previous studies suggested a potentially important role of astrocytes in the
95 mechanisms underlying processing of cardiovascular sensory information in the
96 NTS. McDougal and colleagues reported that electrical stimulation of the solitary
97 tract in a brainstem slice preparation activates the NTS astrocytes (shown by an
98 increase in $[Ca^{2+}]_i$) via the mechanism involving AMPA receptors (McDougal et
99 al., 2011). Lin and colleagues demonstrated that ablation of NTS astrocytes
100 (using the ribosomal toxin saporin) impairs baroreflex sensitivity, alters chemo-
101 and von Bezold-Jarisch reflexes, leading to profound blood pressure lability and,
102 in some animals, sudden cardiac death (Lin et al., 2013). Together, these
103 findings indicate that NTS astrocytes can respond to vagal input and may play
104 an important role in the control of cardiovascular reflexes. However, physical
105 ablation of astrocytes removes the structural and metabolic support they provide
106 to neurons and thus could mask the subtleties of their role in transmission and
107 integration of cardiovascular afferent information by the NTS circuits. Therefore,
108 it remains unknown how NTS astrocytes are recruited by vagal afferent input
109 and the importance of astroglial signalling for the operation of the cardiovascular
110 reflexes.

111

112 In the present study we addressed these questions by performing *in vivo* $[Ca^{2+}]_i$
113 imaging in NTS astrocytes expressing a genetically encoded Ca^{2+} indicator. As 5-
114 HT is also known to be released in the NTS from vagal afferent terminals to
115 modulate glutamatergic transmission (Jeggo et al., 2005; Oskutyte et al., 2009;
116 Hosford et al., 2015), the presence of 5-HT receptors on NTS glia was studied
117 using $[Ca^{2+}]_i$ imaging *in vivo* and the identity of the NTS astroglial 5-HT receptor
118 was determined *in vitro*. Finally, we investigated the importance of astroglial
119 signalling mechanisms for the operation of cardiovascular reflexes by blocking
120 Ca^{2+} -dependent vesicular release in NTS astrocytes in conscious rats with
121 cardiovascular phenotyping and the assessment of baroreflex sensitivity.

122

123 **Materials and Methods**

124

125 The experiments were performed in Sprague Dawley rats in accordance with the
126 European Commission Directive 2010/63/EU (European Convention for the
127 Protection of Vertebrate Animals used for Experimental and Other Scientific
128 Purposes) and the United Kingdom Home Office (Scientific Procedures) Act
129 (1986) with project approval from the Institutional Animal Care and Use
130 Committee of the University College London.

131

132 *In vivo gene transfer*

133

134 Young male Sprague Dawley rats (100-120 g) were anesthetized with a mixture
135 of ketamine (60 mg of kg^{-1} , i.m.) and medetomidine (250 μg kg^{-1} , i.m.) and
136 placed in a stereotaxic frame. NTS astrocytes were targeted bilaterally to
137 express either a genetically encoded Ca^{2+} indicator GCaMP6 (to record activity)
138 or dominant negative SNARE protein (dnSNARE) (to block vesicular exocytosis;
139 Sheikhbaehi et al., 2018b).

140

141 Stable GCaMP6 expression along the rostro-caudal extent of the NTS was
142 achieved by placing two microinjections per side (0.25 μl each, speed of
143 injection 0.1 μl min^{-1} ; coordinates from *calamus scriptorius* (i) 0.25 mm rostral,
144 0.5 mm lateral, 0.5 mm ventral and (ii) 0.75 mm rostral, 0.5 mm lateral, 0.5
145 mm ventral) of an adeno-associated viral vector (AAV) to express GCaMP6 under
146 the control of an enhanced glial fibrillary acidic protein (GFAP) promoter

147 (AAV5.GfaABC1D.cytoGCaMP6f.SV40, titre 7×10^{11} viral particles mL^{-1} ; University
148 of Pennsylvania Vector Core).

149

150 To block vesicular release mechanisms in NTS astrocytes, two microinjections
151 (0.25 μl each) per side of the adenoviral vector (AVV) with the enhanced GFAP
152 promoter (Liu et al., 2008) was used to drive the expression of dnSNARE (AVV-
153 sGFAP-dnSNARE-eGFP, titre 7.7×10^9 viral particles mL^{-1}). Validation of
154 dnSNARE specificity and efficacy in blocking vesicular release mechanisms in
155 astrocytes was reported previously (Sheikhabahaei et al., 2018b).

156

157 To determine whether the effect of compromised astroglial function on
158 baroreflex sensitivity is specific to the NTS, in a separate group of animals
159 astrocytes of the ventrolateral medulla oblongata (VLM) were transduced to
160 express dnSNARE. This brainstem region contains pre-sympathetic and cardiac
161 vagal preganglionic neurons critical for the operation of the baroreflex.
162 Astrocytes within the VLM were targeted bilaterally with two microinjections per
163 side (1 μl each, 0.1 $\mu\text{l min}^{-1}$) of AVV-sGFAP-dnSNARE-eGFP using the following
164 coordinates from Bregma: 11 and 12 mm caudal, 2 mm lateral and 8.5 mm
165 ventral. In control animals, the astrocytes were targeted to express calcium
166 translocating channelrhodopsin variant (CatCh) fused with eGFP (vector: AVV-
167 sGFAP-CatCh-eGFP, titre 2.1×10^9 viral particles mL^{-1}). Anesthesia was reversed
168 with atipamezole (1 mg kg^{-1}). No complications were observed after the surgery
169 and the animals gained weight normally.

170

171 *Anesthetized animal preparation and calcium imaging in NTS astrocytes in vivo*

172

173 Imaging experiments were conducted four weeks after the injections to allow a
174 high and stable level of GCaMP6 expression. Under isoflurane anesthesia (3% in
175 room air), the femoral artery and femoral vein were cannulated for the arterial
176 blood pressure recordings and the delivery of drugs, respectively. After gaining
177 vascular access, anesthesia was transitioned to α -chloralose (initial dose: 100
178 mg kg^{-1} , i.v., maintenance: 30 mg $\text{kg}^{-1} \text{h}^{-1}$, i.v.) and isoflurane was withdrawn. A
179 tracheotomy was performed and the animals were artificially ventilated using a
180 positive pressure rodent ventilator (tidal volume 8–10 ml kg^{-1} ; frequency ~ 60
181 strokes min^{-1}). The body temperature was maintained at 37.0 ± 0.5 °C with a

182 servo-controlled heating blanket. The head of the animal was secured in a
183 stereotaxic frame. Arterial blood samples were taken regularly to monitor blood
184 PO_2 , PCO_2 and pH (RAPIDLab 348EX, Siemens). Inspired gas composition and/or
185 rate/volume of the ventilation were adjusted to maintain arterial PO_2 within the
186 range: 100-110 mmHg, PCO_2 : 35-45 mmHg and pH: 7.35-7.45.

187

188 To record $[Ca^{2+}]_i$ responses in NTS astrocytes, the dorsal surface of the
189 brainstem was exposed as described in detail previously (Gourine et al., 2008).
190 $[Ca^{2+}]_i$ responses in the astrocytes evoked by electrical stimulation of the central
191 end of the vagus nerve (5 s stimulation; 5 Hz, 0.8 mA, 10 ms pulse width) were
192 recorded using a Leica fluorescence microscope and MiCAM02 high-resolution
193 camera (SciMedia). To minimize movement artifacts, recordings were made
194 under neuromuscular blockade with gallamine triethiodide (50 mg kg^{-1} , i.v.; then
195 10 mg $kg^{-1} h^{-1}$, i.v.). Under neuromuscular blockade, an adequate level
196 anesthesia was ensured by constant monitoring of heart rate and blood pressure
197 for signs of instability. Since acute changes in blood pressure in response to
198 vagal stimulation were associated with drifts in focal plane affecting image
199 acquisition, the arterial blood pressure was clamped by infusion of a nitric oxide
200 synthase inhibitor N ω -Nitro-L-arginine methyl ester (L-NAME; 10 mg kg^{-1} , i.v.)
201 and ganglion blocker chlorisondamine (1 mg $kg^{-1} h^{-1}$, i.v.). Four stimulations
202 were applied: 2 control stimulations followed by stimulations in the presence of
203 increasing doses of 5-HT $_{2A}$ antagonist ketanserin given systemically (100 μg kg^{-1}
204 and 300 μg kg^{-1} , i.v.). Stabilisation periods of 10 min between stimulations were
205 allowed. In a separate set of experiments, stimulations were performed in the
206 absence and presence of an AMPA receptor antagonist CNQX (10 mM in aCSF;
207 applied topically to the dorsal brainstem). Imaging data were collected and
208 analysed using MiCaM BV_Ana software.

209

210 *Cell culture and calcium imaging in vitro*

211

212 Primary astrocyte-enriched neuroglial cultures were prepared from the cortical,
213 hippocampal, cerebellar, and dorsal brainstem tissue of rat pups (P2–P3 of either
214 sex) as described previously (Kasymov et al., 2013). After isolation, the cells
215 were plated on poly-D-lysine-coated coverslips and maintained at 37°C in a
216 humidified atmosphere of 5% CO_2 and 95% air for a minimum of 12 d before the

217 experiments. Optical measurements of changes in $[Ca^{2+}]_i$ were performed using
218 an inverted epifluorescence Olympus microscope equipped with a cooled CCD
219 camera (Retiga; QImaging) as described previously (Angelova et al., 2015;
220 Turovsky et al., 2016). We have found that from day 12 the cell cultures
221 contained a negligible number of neurons. This was confirmed at the end of
222 recordings by application of high potassium solution as described previously
223 (Turovsky et al., 2015). Astrocytes show no $[Ca^{2+}]_i$ responses to K^+ -induced
224 depolarization or activity of the small number of neurons that may remain in the
225 culture.

226

227 Experiments were performed in a custom-made flow-through imaging chamber
228 in a standard HBSS containing 10 mM HEPES. To visualize $[Ca^{2+}]_i$ responses,
229 astrocytes were loaded with a conventional Ca^{2+} indicator Fura-2 (5 μ M; 30 min
230 incubation; Invitrogen). After incubation with the dye, the culture medium was
231 exchanged for fresh HBSS five times before commencing the imaging
232 experiment. The effects of 5-HT or 5-HT receptor agonists on $[Ca^{2+}]_i$ in individual
233 astrocytes were recorded. Excitation light was provided by a xenon arc lamp
234 with the beam passing through a monochromator at 340 and 380 nm (Cairn
235 Research) and emitted fluorescence at 515 nm was registered. Imaging data
236 were collected and analysed using Andor software (Andor). All reported data
237 were obtained from at least six separate experiments.

238

239 *Recordings of the arterial blood pressure and heart rate using biotelemetry*

240

241 Systemic arterial blood pressure and heart rate in conscious rats transduced to
242 express dnSNARE or control transgene by the NTS and VLM astrocytes were
243 recorded using biotelemetry, as described previously (Machhada et al., 2017).
244 Rats were anesthetized with isoflurane (3% in O_2), a laparotomy was
245 performed, and a catheter connected to a biotelemetry pressure transducer
246 (model TA11PA-C40, DSI) was advanced rostrally into the abdominal aorta
247 and secured in place with Vetbond (3M). The transmitter was secured to the
248 abdominal wall and the incision was closed by successive suturing of the
249 abdominal muscle and skin layers. For postoperative analgesia, the animals
250 received carprofen (4 mg $kg^{-1} d^{-1}$; i.p.) for 2 days and were allowed to recover
251 for at least 7 days. After the recovery period and following recordings of the

252 baseline hemodynamic parameters for 24 h, the animals received
253 microinjections of the AVVs to express dnSNARE or control transgene in the NTS
254 or VLM astrocytes, as described above. Blood pressure traces were recorded
255 between 7 and 10 days after the injections of the viral vectors when the
256 brainstem expression of the transgenes is fully established (Rajani et al., 2018;
257 Sheikhabahaei et al., 2018b). Animals expressing dnSNARE in the VLM astrocytes
258 were monitored for 24-hour period 7 days after the injections of viral vectors as
259 the effect of targeting NTS astrocytes peaked at this time point.

260

261 *Analysis of the biotelemetry data*

262

263 Recordings of the arterial blood pressure were used to calculate the heart rate
264 and spontaneous baroreflex gain (sBRG) for the light and dark periods of the
265 24h cycle. sBRG was determined from spontaneous changes in systolic blood
266 pressure (SBP) and pulse interval (PI) as described in detail previously (Oosting
267 et al., 1997; Waki et al., 2003).

268

269 *Assessment of baroreceptor reflex gain (BRG) in anesthetized animals*

270

271 In animals anesthetized with α -chloralose (initial dose of 100 mg kg^{-1} , i.v.,
272 maintained by $30 \text{ mg kg}^{-1}\text{h}^{-1}$, i.v.) and instrumented for the recordings of the
273 arterial blood pressure and heart rate (as described above), arterial
274 baroreceptors were activated by i.v. bolus injections of norepinephrine ($1 \mu\text{g kg}^{-1}$
275 $^{-1}$). Concomitant changes in blood pressure and heart rate were recorded from 3
276 consecutive stimulations delivered with intervals of 3 min. BRG was assessed in
277 the absence and presence of P2Y₁ receptor antagonist MRS 2500 ($5 \mu\text{M}$) or
278 agonist MRS 2365 ($100 \mu\text{M}$), applied on the dorsal surface of the brainstem. The
279 BRG was calculated as a ratio of changes in HR to that of mean arterial blood
280 pressure (bpm mmHg^{-1}) for reflex bradycardia. BRG values were averaged over
281 3 measurements made in control conditions and in the presence of either a P2Y₁
282 antagonist or agonist.

283

284 *Histology and immunohistochemistry*

285

286 At the end of the experiments, the animals were terminally anesthetised with

287 pentobarbitone sodium (200 mg kg⁻¹, i.p.) and perfused transracially with 0.1 M
288 phosphate buffered saline (pH 7.4). The brainstem was removed and fixed for
289 24 h in 4% paraformaldehyde at 4 °C, followed by cryoprotection in 30%
290 sucrose. Serial transverse sections (30 µm) of the medulla oblongata were cut
291 using a freezing microtome. Immunohistochemistry was performed on free-
292 floating sections by incubation overnight at 4 °C with mouse anti-MAP2 (1:500;
293 Sigma, M1406), rabbit anti-TH (1:100; Sigma, HPA061003) and/or chicken anti-
294 GFP (1:250; Aves Labs, Cat. GFP-1020) followed by incubation with secondary
295 antibodies conjugated to the fluorescent probes for 2.5 h at room temperature
296 (each 1:250; Life Science Technologies). Images were obtained with a confocal
297 microscope (Zeiss LSM 900) or epifluorescent microscope (Leica DMR).

298

299 *Drugs*

300

301 5-HT receptor agonists and antagonists were used to identify the type of 5-HT
302 receptors expressed by brainstem astrocytes: 5-HT_{2A} antagonists ketanserin and
303 MDL 100907, 5-HT_{2A} agonist N,N-Dimethyltryptamine (DMT), 5-HT_{2B} agonist BW
304 723C86, 5-HT_{2C} agonist WAY 161503, 5-HT₃ antagonist granisetron.
305 Phospholipase C activity was blocked with U73122. MRS 2365 and MRS 2500
306 were used to activate or inhibit P2Y₁ receptors, respectively. AMPA receptors
307 were blocked with CNQX. All drugs were purchased from Tocris Bioscience.

308

309 *Data analysis*

310

311 Physiological data obtained in the experiments in anesthetized preparations were
312 recorded and analyzed using *Spike2* software (Cambridge Electronic Design).
313 Built-in analysis software tools (Olympus or MiCAM BV_Ana) were used to
314 analyze the results of the imaging experiments. Differences between the
315 experimental groups/treatments were tested for statistical significance by one-
316 way or two-way ANOVA followed by the *post hoc* Tukey–Kramer test, Student's *t*
317 test or Wilcoxon matched-pairs signed rank test, as appropriate. Data are
318 reported as individual values and means ± SEM. Differences with *p*<0.05 were
319 considered to be significant.

320

321 **Results**

322

323 *Vagus nerve stimulation activates NTS astrocytes in vivo*

324

325 Strong expression of GCaMP6 was observed in astrocytes residing in the
326 mediolateral and rostro-caudal extent of the dorsal vagal complex including the
327 NTS, area postrema and dorsal motor nucleus of the vagus nerve (Fig. 1A). No
328 colocalization between GCaMP6 expression (visualised by GFP immunoreactivity)
329 and that of a neuronal marker microtubule-associated protein 2 (MAP2) (Matus,
330 1990) was observed, confirming specificity of astroglial targeting (Fig. 1B).

331

332 Rapid increases in GCaMP6 fluorescence intensity ($0.98 \pm 0.24 \Delta F/F_0$; $n=5$) were
333 recorded in response to short (5 s) electrical stimulation of the central end of the
334 vagus nerve (Fig. 1D, E). The responses were observed in the area adjacent to
335 the 4th ventricle, rostral from the *calamus scriptorius* (Fig. 1D, Movie 1)
336 indicating that NTS astrocytes in the NTS respond to vagal afferent input with
337 increases in intracellular $[Ca^{2+}]_i$.

338

339 *Brainstem astrocytes express 5-HT_{2A} receptors*

340

341 As there is evidence that 5-HT is co-released in the NTS with glutamate from
342 vagal afferents (Ramage and Villalón, 2008), we tested for the presence of 5-HT
343 receptors in cultured brainstem astrocytes. Astrocytes responded to application
344 of 5-HT (10 μ M) with profound elevations in intracellular $[Ca^{2+}]_i$ (0.164 ± 0.022
345 fura-2 ratio above the baseline, $n=10$; Fig. 2A). 5-HT-induced Ca^{2+} responses
346 were not affected in the absence of extracellular Ca^{2+} (Ca^{2+} -free medium with
347 the addition of 0.5 mM EGTA) (0.115 ± 0.022 , $n=10$, t -test, $p=0.09$; Fig. 2A),
348 suggesting that 5-HT recruits Ca^{2+} from the intracellular stores, likely via
349 activation of the G_q -coupled 5-HT₂ receptor subtype (Hoyer et al., 2002).
350 Indeed, $[Ca^{2+}]_i$ responses triggered by 5-HT in the brainstem astrocytes were
351 abolished in the presence of phospholipase-C (PLC) inhibitor U73122 (5 μ M;
352 0.011 ± 0.002 vs 0.340 ± 0.054 , $n=15$, t -test, $p<0.001$; Fig. 2B) and 5-HT_{2A}
353 receptor antagonist ketanserin (0.01 μ M; 0.015 ± 0.004 vs 0.172 ± 0.014 , $n=16$,
354 t -test, $p<0.001$) (Fig. 2E). Neither 5-HT_{2B} agonist BW723C86 (in concentrations
355 0.001-1 μ M) nor 5-HT_{2C} agonist WAY161503 (in concentrations 0.01-5 μ M) had
356 an effect on $[Ca^{2+}]_i$ in brainstem astrocytes (Fig. 2C, Fig. 2D). These data

357 indicated that responses of brainstem astrocytes to 5-HT are mediated by 5-HT_{2A}
358 receptors (summarised in Fig. 2F).

359

360 For comparison, we analysed [Ca²⁺]_i responses induced by 5-HT in astrocytes
361 residing in other areas of the brain (cerebellum, hippocampus and cortex; Fig 3).
362 The results obtained suggest that the profile of 5-HT receptors expressed by
363 brainstem astrocytes is distinct from that of the forebrain astrocytes. Similar to
364 the brainstem astrocytes, [Ca²⁺]_i responses induced by 5-HT in cerebellar
365 astrocytes (Bergmann glia) were blocked by U73122 or ketanserin (Fig. 3A and
366 3B). The 5-HT_{2C} agonist WAY161503 had no effect on Bergmann glia (Fig. 3C),
367 suggesting that cerebellar astrocytes also express 5-HT_{2A} receptors. In contrast,
368 [Ca²⁺]_i responses induced by 5-HT in hippocampal and cortical astrocytes were
369 not affected by U73122 (Fig. 3D and 3G) or ketanserin (Fig. 3E), but were
370 abolished in the presence of the 5-HT₃ antagonist granisetron (Fig. 3F and 3I).
371 The 5-HT_{2A/2C} receptor agonist DMT had no effect on [Ca²⁺]_i in cortical astrocytes
372 (Fig. 3H). These data suggest that forebrain astrocytes express 5-HT₃ receptors
373 and respond to 5-HT with [Ca²⁺]_i elevation mediated by Ca²⁺ entry from the
374 extracellular space.

375

376 *5-HT_{2A} receptors mediate [Ca²⁺]_i responses of NTS astrocytes evoked by vagus*
377 *nerve stimulation*

378

379 To determine if 5-HT_{2A} receptors expressed by brainstem astrocytes are
380 functional *in vivo*, we next studied the effect of 5-HT_{2A} receptor blockade on
381 [Ca²⁺]_i responses of NTS astrocytes evoked by vagus nerve stimulation.
382 Ketanserin dose-dependently decreased the amplitudes of [Ca²⁺]_i responses in
383 NTS astrocytes evoked by stimulation of vagal afferents ($\Delta F/F_0=0.65\pm 0.2$ and
384 0.46 ± 0.16 from a baseline of $1.0\pm 0.3 \Delta F/F_0$; n=5, following administration i.v.
385 in doses of 100 $\mu\text{g kg}^{-1}$ and 300 $\mu\text{g kg}^{-1}$, respectively; n=5, one-way ANOVA,
386 $p<0.01$; Fig. 4A-C, Movie 1, 2). In similar experimental conditions, [Ca²⁺]_i
387 responses in NTS astrocytes evoked by vagus nerve stimulation were abolished
388 by AMPA receptor blockade with CNQX (10 mM; applied topically to the dorsal
389 brainstem; Fig. 4D).

390

391 *Blockade of vesicular release mechanisms in NTS astrocytes alters baroreflex*
392 *sensitivity*

393

394 To determine the functional significance of the recorded astroglial Ca^{2+}
395 responses, we next determined whether blockade of Ca^{2+} -dependent vesicular
396 release mechanisms in astrocytes of the NTS has an effect on baroreflex. In
397 conscious freely moving rats, dnSNARE expression in astrocytes of the NTS (Fig.
398 5A) led to a significant increase in baroreflex sensitivity, when assessed 7 and
399 10 days after the injections of the viral vectors when the expression of the
400 transgene peaked (Fig. 5B, sBRG 1.7 ± 0.11 and 1.5 ± 0.10 bpm mmHg⁻¹ vs
401 1.0 ± 0.10 bpm mmHg⁻¹ at baseline, $p < 0.001$). Baroreflex sensitivity was
402 unaffected in animals transduced to express the control transgene in the NTS
403 astrocytes (Fig. 5B, sBRG 1.1 ± 0.08 and 1.1 ± 0.13 bpm mmHg⁻¹ vs 1.0 ± 0.07
404 bpm mmHg⁻¹ at baseline, $p < 0.05$). Expression of dnSNARE or control transgene
405 in astrocytes of the VLM (Fig. 5C) had no effect on baroreflex sensitivity (Fig.
406 5D).

407

408 *P2Y₁ receptors in the NTS modulate the baroreflex*

409

410 ATP is one of the main signalling molecules released by astrocytes in response to
411 elevations in $[\text{Ca}^{2+}]_i$ (Gourine and Kasparov, 2011). We next hypothesised that
412 ATP is released by astrocytes in response to incoming afferent activity and acts
413 on P2Y₁ receptors expressed by NTS inhibitory interneurons to restrain the
414 expression of baroreflex. An analogous mechanism involving ATP-induced P2Y
415 receptor-mediated activation of inhibitory interneurons has been described in the
416 cortex (Wang et al., 2012). Baroreflex sensitivity was assessed in animals
417 anesthetized with α -chloralose before and after application of a potent and
418 selective P2Y₁ receptor agonist MRS 2365 (100 μM) or P2Y₁ antagonist MRS
419 2500 (5 μM) (Kim et al., 2003) topically to the brainstem. Baroreflex was
420 activated by bolus injections of norepinephrine. Activation of P2Y₁ receptors with
421 MRS 2365 was found to reduce the baroreflex gain (Fig. 6A; 0.3 ± 0.05 vs
422 0.5 ± 0.05 bpm mmHg⁻¹ at baseline, $p = 0.031$) while blockade of P2Y₁ receptors
423 with MRS 2500 increased the baroreflex gain (Fig. 6B; 1.1 ± 0.26 vs 0.7 ± 0.15
424 bpm mmHg⁻¹ at baseline, $p = 0.018$).

425

426 **Discussion**

427 The importance of astrocytes in supporting the function of NTS circuitry has
428 been suggested previously by (Lin et al., 2013), who reported that ablation of
429 NTS astrocytes using toxin saporin leads to cardiovascular reflex attenuation,
430 lability of arterial pressure, damage of cardiac myocytes and, in some animals,
431 sudden cardiac death. Considering the important role played by astrocytes in
432 providing structural and metabolic support, as well as K^+ buffering and
433 glutamate recycling, it is not surprising that physical ablation of astrocytes has a
434 major impact on the neuronal function and, perhaps, nerve cell viability.
435 Therefore, the role of astrocytes in the subtleties of neuronal information
436 processing and afferent integration within the NTS remain unknown. In this
437 study we aimed to determine the role of astrocytes in the NTS mechanisms that
438 mediate the baroreceptor reflex pathway.

439

440 *In vivo* calcium imaging demonstrated that NTS astrocytes respond to vagal
441 afferent input with increases in intracellular $[Ca^{2+}]_i$. These data are in agreement
442 with the observations by McDougal and co-workers (2011) who reported that
443 NTS astrocytes respond with increases in $[Ca^{2+}]_i$ to stimulation of the solitary
444 tract in slices (McDougal et al., 2011). $[Ca^{2+}]_i$ responses in NTS astrocytes
445 induced by vagus nerve stimulation were reduced or abolished by either 5-HT_{2A}
446 or AMPA receptor blockade. This is consistent with the existing evidence that 5-
447 HT is a co-transmitter released from vagal afferent terminals (Thor and Helke,
448 1989).

449

450 Previously, 5-HT receptors have been shown to be expressed by astrocytes in
451 many brain areas (Sanden et al., 2000) but have not been identified in the
452 brainstem astroglia. Pharmacological analysis of 5-HT-induced $[Ca^{2+}]_i$ responses
453 in cultured brainstem astrocytes indicated that brainstem astrocytes express 5-
454 HT_{2A} receptors (Fig. 2). Although 5-HT₃ receptors have been previously
455 suggested to be expressed by NTS astrocytes (Huang et al., 2004), we found no
456 evidence for their involvement in mediating the actions of 5-HT in brainstem
457 astroglia. NTS astrocytes appear to be distinct from the forebrain astrocytes
458 (cortical and hippocampal) where 5-HT effects are mediated solely by ionotropic

459 5-HT₃ receptors (Fig. 3).

460

461 The data obtained in this study show that 5-HT_{2A} receptors partially mediate
462 [Ca²⁺]_i responses in NTS astrocytes as these were reduced by ~50% in the
463 presence of 5-HT_{2A} antagonist ketanserin (Fig. 4). However, 5-HT released as a
464 result of vagal afferent activity alone was unable to trigger significant increases
465 in astrocytic [Ca²⁺]_i, as blockade of AMPA receptors completely abolished these
466 responses. It is important to note that vagal afferents are not the only source of
467 5-HT in the NTS (Hosford et al., 2015). Tyrosine hydroxylase-expressing
468 (serotonergic) neurons of the brainstem raphe send projections to the NTS and
469 could also be activated by reciprocal projections from the NTS (Thor and Helke,
470 1989; Rosin et al., 2006).

471

472 In an experiment involving specific blockade of Ca²⁺-dependent vesicular release
473 machinery in the NTS astrocytes (by dnSNARE expression), we next determined
474 the functional significance of astroglial signalling in operation of the key
475 homeostatic reflex – the baroreceptor reflex (Fig. 5). It was found that inhibition
476 of Ca²⁺-dependent astroglial signalling mechanisms increased the baroreflex
477 sensitivity when assessed in awake behaving rats. In order to determine
478 whether this effect is attributed specifically to the NTS astrocytes, we also
479 targeted the region of the ventrolateral medulla that harbours both pre-
480 sympathetic circuits (Marina et al., 2011) as well as cardiac vagal preganglionic
481 neurons of the nucleus ambiguus (Gourine et al., 2016), - both critically
482 important for the operation of the baroreflex. Interestingly, despite widespread
483 dnSNARE expression in astrocytes of the VLM, no effect on spontaneous
484 baroreflex gain was detected, indicating a very specific role for NTS astrocytes in
485 operation of this key cardiovascular reflex.

486

487 Our conclusions drawn from the data obtained in the experiments involving viral
488 gene transfer in brainstem astrocytes rely on GFAP promoter specificity. This
489 vector system has been validated and demonstrated to be highly specific in
490 targeting astroglial cells (Gourine et al., 2010; Rajani et al., 2018; Sheikhabaei
491 et al., 2018b), albeit in other areas of the brainstem. Additional verification of
492 the expression specificity in the NTS showed that transgene expression is indeed
493 confined to non-neuronal cells; no cells expressing eGFP (reporter gene used in

494 both viral vectors) showed MAP2 immunoreactivity (Fig. 1B, Fig. 5A, Fig. 5C).
495 Further, expression of the viral vectors and the actions of the pharmacological
496 agents used in characterisation of the baroreflex in this study are not confined to
497 the NTS, rather the dorsal vagal complex. There is strong P2Y₁ receptor presence
498 throughout the dorsal vagal complex, including the area postrema (Fong et al.,
499 2002), the area shown to modulate the baroreflex via projections to the NTS
500 (Shapiro and Miselis, 1985; Johnson and Gross, 1993). However, the area
501 postrema does not seem to be directly involved in the baroreflex pathway but
502 can modulate NTS circuit activity by responding to various circulating factors, as
503 it is positioned outside the blood-brain barrier (Tan et al., 2007). Additionally,
504 the dorsal vagal motor nucleus (DVMN) may modulate the baroreflex, but only in
505 the pathophysiological context, such as in conditions of systemic arterial
506 hypertension (Moreira et al., 2018). Taken together, the data obtained in the
507 present study strongly suggest that the NTS is the dorsal brainstem site where
508 the altered astroglial function modulates the expression of baroreflex.

509

510 One of the main astroglial signalling molecules is recognised to be ATP, which is
511 known to inhibit local neuronal activity indirectly following rapid breakdown to
512 adenosine, - the mechanism first reported to operate in retina (Newman, 2003).
513 Indeed, activation of adenosine A₁ receptors in the NTS inhibits baroreflex
514 (Scislo and O'Leary, 2005). However, the data obtained in this study suggested
515 the existence of a different mechanism, which is independent of adenosine
516 actions. Pharmacological inhibition of P2Y₁ receptors was found to have a similar
517 effect on baroreflex as blockade of Ca²⁺-dependent vesicular release in NTS
518 astrocytes expressing dnSNARE. These data suggest that, upon activation by
519 afferent input, the NTS astrocytes release ATP which acts on NTS inhibitory
520 neurons expressing P2Y₁ receptors, - a mechanism analogous to that described
521 by (Wang et al., 2012) in the cortex. It is also worth noting that ADP is the more
522 potent ligand of the P2Y₁ receptor (Waldo and Harden, 2004), therefore, the
523 signalling pathway proposed could require (or be potentiated by) breakdown of
524 ATP to ADP by ectonucleotidases encountered in the extracellular space.

525

526 Baroreceptor reflex is critically important for the short term (beat-to-beat)
527 control of the arterial blood pressure. There is strong evidence that impaired
528 baroreflex function contributes to the development of cardiovascular disease and

529 serves as a robust predictor of cardiovascular and all-cause mortality (La Rovere
530 et al., 1998; La Rovere et al., 2001; McCrory et al., 2016). The mechanisms
531 underlying impairment of baroreflex function in pathological conditions remain
532 largely unknown. Previously proposed central mechanisms may involve
533 activation of the cardiac sympathetic afferent reflex which alters the baroreflex
534 via angiotensin II type 1 receptors in the NTS (Kasparov and Paton, 1999; Gao
535 et al., 2005), and/or reduction of brain-derived neurotrophic factor (BDNF)
536 neurotransmission in the NTS (Becker et al., 2016). The results of the present
537 study offer another plausible mechanism. Various pathological conditions that
538 are associated with the development of the systemic and central inflammatory
539 response leading to activation of NTS glia (astro- and microglia) would be
540 expected to facilitate the release of ATP, increase the concentration of ATP/ADP
541 in the extracellular milieu and inhibit the baroreflex centrally. Indeed, activation
542 of astrocytes and reactive astrogliosis have been reported after the CNS trauma,
543 infection, ischemia, stroke and in autoimmune disease (reviewed by Sofroniew
544 and Vinters, 2010). Higher level of “ambient” ATP released by activated
545 astrocytes and microglia would be expected to reduce the baroreflex sensitivity
546 via P2Y₁ receptor-mediated NTS mechanism described here.

547 Additionally, repeated activation of chemosensory inputs had been shown to
548 inhibit the baroreflex and is thought to contribute to the pathology of conditions
549 such as sleep apnoea (see Mifflin et al., 2015). Activation of chemosensory
550 inputs increases extracellular 5-HT concentration in the NTS via release from
551 afferent terminals, and also by the inputs from the central chemosensory sites
552 (Kellett et al., 2005; Wu et al., 2019). This would be expected to maintain
553 “activation” of astrocytes and decrease the baroreflex sensitivity. Previous
554 studies of the role of 5-HT₂ receptors within the NTS suggested that the
555 astrocytic 5-HT_{2A} pathway is unlikely to be active under normal physiological
556 conditions. Indeed, there is evidence that 5-HT_{2A} receptor blockade in the NTS
557 did not alter the baroreflex sensitivity (Sevoz-Couche et al., 2006; Comet et al.,
558 2007). However, these studies also reported a facilitatory effect of 5-HT_{2A}
559 receptor activation on baroreflex. It is possible that the 5-HT_{2A} receptors
560 expressed by astrocytes are recruited primarily in pathophysiological conditions
561 discussed above and, only in these circumstances, modify the baroreflex *via*
562 astrocytic release of ATP.

563 In conclusion, the data obtained in the present study suggest that astrocytes are
564 integral components of the NTS mechanisms which process incoming afferent
565 information. NTS astrocytes are activated by glutamate (McDougal et al., 2011)
566 and 5-HT released by vagal afferent fibres and acting at AMPA and 5-HT_{2A}
567 receptors, respectively (Fig. 7). Activation of astrocytes in response to afferent
568 stimulation leads to the release of ATP acting on P2Y₁ receptors to modulate the
569 baroreflex sensitivity. Together, the results of this study add to the growing
570 body of evidence supporting an active role of astrocytes in the information
571 processing in the central nervous system.

572

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774

775 **Figure legends**

776 **Figure 1.** *In vivo* imaging of Ca^{2+} responses in astrocytes of the nucleus of the
777 solitary tract (NTS). **(A)** Schematic drawing of the rat brain in sagittal projection
778 illustrating the anatomical location of the NTS targeted to express GCaMP6 in
779 astrocytes under the control of GFAP promoter GfaABC1D (vector
780 AAV5.GfaABC1D.cytoGCaMP6f.SV40); GCaMP6 expression by astrocytes in the
781 dorsal vagal complex 4 weeks after transfection. AP, area postrema; 4V, 4th
782 ventricle. Distance from bregma (in mm) is indicated. **(B)** Confocal images
783 illustrating NTS astrocytes expressing GCaMP6 (identified by eGFP-
784 immunoreactivity) with no co-localization of expression with MAP2-
785 immunoreactivity (neuronal marker); **(C)** Schematic drawing of the recording
786 setup that included a CCD camera coupled to a low-power microscope to obtain
787 fluorescent images from the dorsal aspect of the brainstem. The central end of
788 the vagus nerve was stimulated electrically. **(D)** False color images of GCaMP6
789 fluorescence at baseline and at the peak of the response evoked by vagus nerve
790 stimulation. Colored boxes depict regions of interest. **(E)** Representative changes
791 in GCaMP6 fluorescence in 4 areas of interest (indicated in D) evoked by
792 electrical stimulation of the ipsilateral vagus nerve (VNS).

793

794 **Figure 2.** Brainstem astrocytes in culture respond to 5-HT with increases in
795 $[\text{Ca}^{2+}]_i$ via activation of 5-HT_{2A} receptors. **(A)** Brainstem astrocytes respond to
796 5-HT (10 μM) with elevations in $[\text{Ca}^{2+}]_i$. 5-HT-induced $[\text{Ca}^{2+}]_i$ responses are
797 independent of extracellular Ca^{2+} . **(B)** 5-HT-induced $[\text{Ca}^{2+}]_i$ responses are
798 blocked in the presence of a PLC-inhibitor U73122 (5 μM). **(C)** Brainstem
799 astrocytes do not respond to 5-HT_{2B} receptor agonist BW723C86 and **(D)** 5-HT_{2C}
800 receptor agonist WAY161503. **(E)** 5-HT-induced $[\text{Ca}^{2+}]_i$ responses are blocked in
801 the presence of 5-HT_{2A} receptor antagonist ketanserin (0.01 μM). **(F)** Summary
802 data illustrating peak $[\text{Ca}^{2+}]_i$ responses in the brainstem astrocytes induced by
803 5-HT, 5-HT receptor agonists (BW723C86, 1 μM and WAY161503, 5 μM) and 5-
804 HT in Ca^{2+} -free conditions and in the presence of ketanserin (Student's t-test).

805

806 **Figure 3.** Distinct receptors mediate 5-HT-induced $[\text{Ca}^{2+}]_i$ responses in
807 astrocytes residing in different parts of the central nervous system. **Cerebellar**
808 **astrocytes** express 5-HT_{2A} receptors: **(A)** 5-HT (10 μM)-induced $[\text{Ca}^{2+}]_i$
809 responses in cultured cerebellar astrocytes are blocked by U73122 (5 μM) and

810 (B) 5-HT_{2A} antagonist ketanserin (0.01 μM). (C) Cerebellar astrocytes do not
811 respond to 5-HT_{2c} receptor agonist WAY161503 (0.1-5 μM). **Hippocampal**
812 **astrocytes** express 5-HT₃ receptors: (D) 5-HT-evoked [Ca²⁺]_i responses in
813 hippocampal astrocytes are unaffected by U73122 (10 μM) (E) 5-HT evoked
814 [Ca²⁺]_i responses in hippocampal astrocytes are unaffected by ketanserin (0.01
815 μM) but are blocked by (F) 5-HT₃ antagonist granisetron (20 μM). **Cortical**
816 **astrocytes** express 5-HT₃ receptors: (G) 5-HT- evoked [Ca²⁺]_i responses in
817 cortical astrocytes are unaffected by U73122 (5 μM) and do not respond to (H)
818 5-HT₂ receptor agonist N,N-Dimethyltryptamine (DMT, 0.5-10 μM). (I) 5-HT-
819 evoked [Ca²⁺]_i responses in cortical astrocytes are blocked by 5-HT₃ antagonist
820 granisetron (20 μM).

821

822

823 **Figure 4.** Ca²⁺ responses in NTS astrocytes induced by vagus nerve stimulation
824 (VNS) are mediated by 5-HT and glutamate. (A) Representative recordings
825 illustrating changes in GCaMP6 fluorescence (±SEM) reporting [Ca²⁺]_i dynamics
826 in response to VNS in the absence (control conditions 1 and 2 separated by 10
827 min intervals) and presence of 5-HT_{2A} receptor antagonist ketanserin (Ket, 100
828 or 300 μg kg⁻¹, i.v.; n=5) (B) Representative false color images of peak
829 increases in GCaMP6 fluorescence induced by VNS in the absence and presence
830 of ketanserin. 4V, fourth ventricle. (C) Summary data illustrating the effect of
831 ketanserin on peak [Ca²⁺]_i responses induced by VNS in the NTS astrocytes
832 (one-way ANOVA followed by Sidak's multiple comparisons test). (D)
833 Representative recordings and false color images illustrating changes in GCaMP6
834 fluorescence in response to VNS in the absence and presence of an AMPA
835 receptor antagonist CNQX (10 mM, topical application).

836

837

838 **Figure 5.** Dominant negative SNARE protein (dnSNARE) expression in NTS
839 astrocytes increases baroreflex sensitivity. (A) Photomicrographs of the coronal
840 sections of the rat brainstem illustrating the expression of dnSNARE in
841 astrocytes of the NTS and wider dorsal vagal complex. Astrocytes expressing the
842 transgenes were identified by eGFP fluorescence. Schematic drawings illustrate
843 the spatial extent of dnSNARE expression. Distance from bregma (in mm) is
844 indicated. Higher-magnification image of dnSNARE-eGFP expression (green) in

845 astrocytes of the intermediate NTS shows no co-localization of expression with
846 MAP2-immunoreactivity (red). AP, area postrema. Gr, gracile nucleus (**B**)
847 Summary data illustrating values of spontaneous baroreceptor gain (sBRG) in
848 conscious freely-moving animals transduced to express the control transgene
849 (CatCh-eGFP) or dnSNARE in the NTS astrocytes (one-way ANOVA). (**C**)
850 Photomicrographs of the coronal sections of the rat brainstem illustrating the
851 expression of dnSNARE in astrocytes of the ventrolateral medulla oblongata
852 (VLM). Pre-sympathetic neurons of the VLM are identified by tyrosine
853 hydroxylase immunoreactivity (TH-IR). Schematic drawings illustrate the spatial
854 extent of dnSNARE expression in the VLM region. Distance from bregma (in mm)
855 is indicated. Higher-magnification image of dnSNARE-eGFP expression (green) in
856 the VLM shows no co-localization of expression with MAP2-immunoreactivity
857 (red). LS, left side. RS, right side. NA, nucleus ambiguus. (**D**) Summary data
858 illustrating values of sBRG in conscious freely-moving animals transduced to
859 express CatCh-eGFP or dnSNARE in astrocytes of the ventrolateral medulla
860 oblongata (one-way ANOVA).

861

862 **Figure 6.** P2Y₁ receptors in the NTS modulate baroreflex sensitivity. (**A**) P2Y₁
863 receptor agonist MRS 2365 (100 μM, topical application on the dorsal brainstem
864 surface) inhibits bradycardia induced by baroreceptor activation with systemic
865 norepinephrine (NA; 0.1 μg kg⁻¹, i.v.) in anesthetized rats (Wilcoxon matched-
866 pairs signed rank test). (**B**) P2Y₁ receptor antagonist MRS 2500 (5 μM, topical
867 application on the dorsal brainstem surface) potentiates the bradycardia induced
868 by baroreceptor activation with systemic NA (0.1 μg kg⁻¹, i.v.) in anesthetized
869 rats (Wilcoxon matched-pairs signed rank test). BP, arterial blood pressure. HR,
870 heart rate. BRG, baroreceptor gain. Representative responses recorded before
871 and 15 minutes after each drug application are shown.

872

873 **Figure 7.** Schematic drawing of the proposed NTS mechanisms involved in
874 modulation of the baroreflex. Vagal afferent terminals release 5-HT and
875 glutamate acting on 2nd order relay neurons and astrocytes in the NTS. In
876 response to incoming afferent information, NTS astrocytes release ATP which
877 restricts the expression of baroreflex via activation of P2Y₁ receptors on local
878 inhibitory interneurons. ST, solitary tract. AP, area postrema. 4V, 4th ventricle.

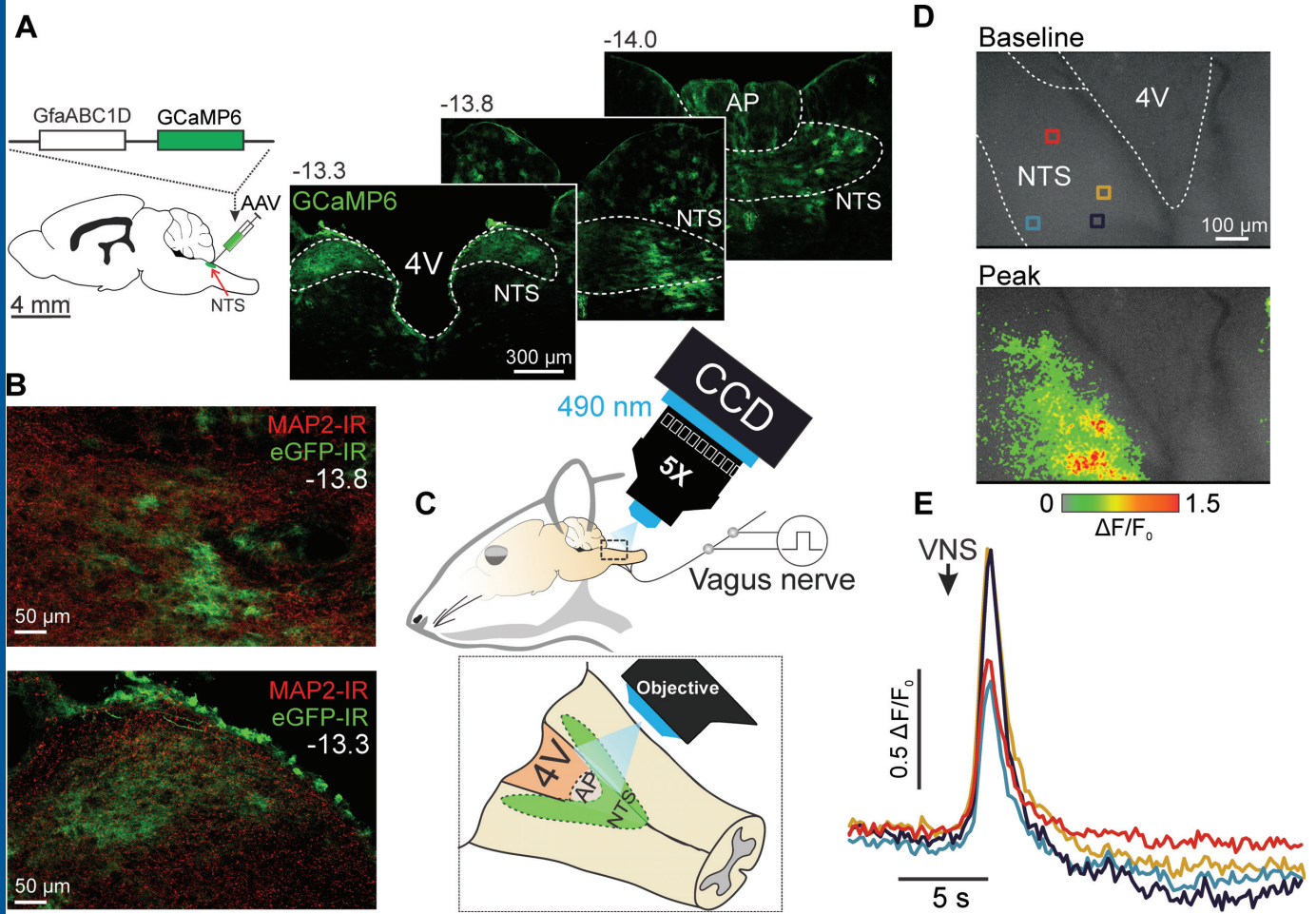
879 VLM, ventrolateral medulla. NA, nucleus ambiguus. X, vagus nerve. IX,
880 glossopharyngeal nerve.

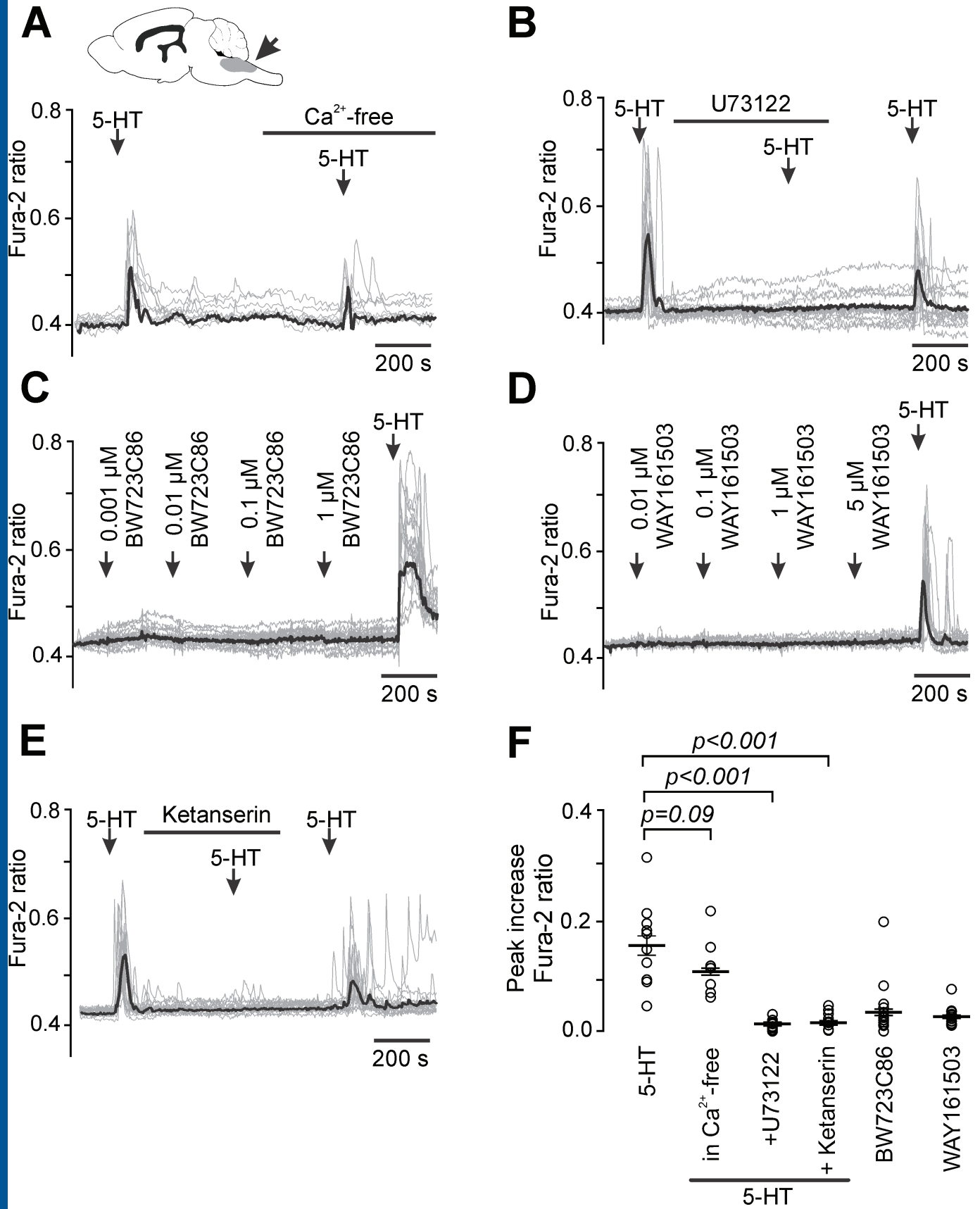
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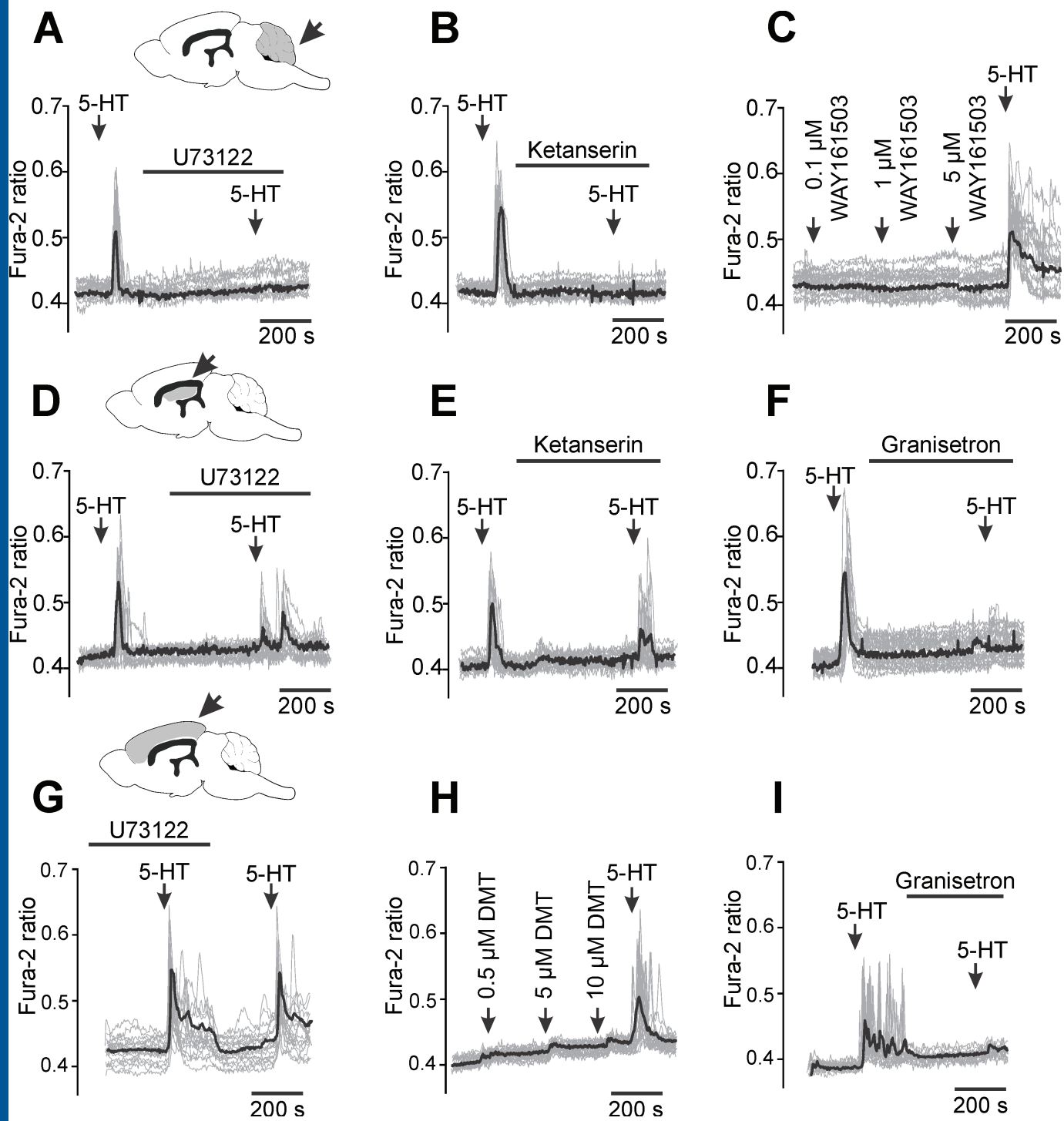
882 **Movie 1.** Representative recording of astrocytic intracellular calcium activity in
883 the NTS during vagal nerve stimulation under control conditions.

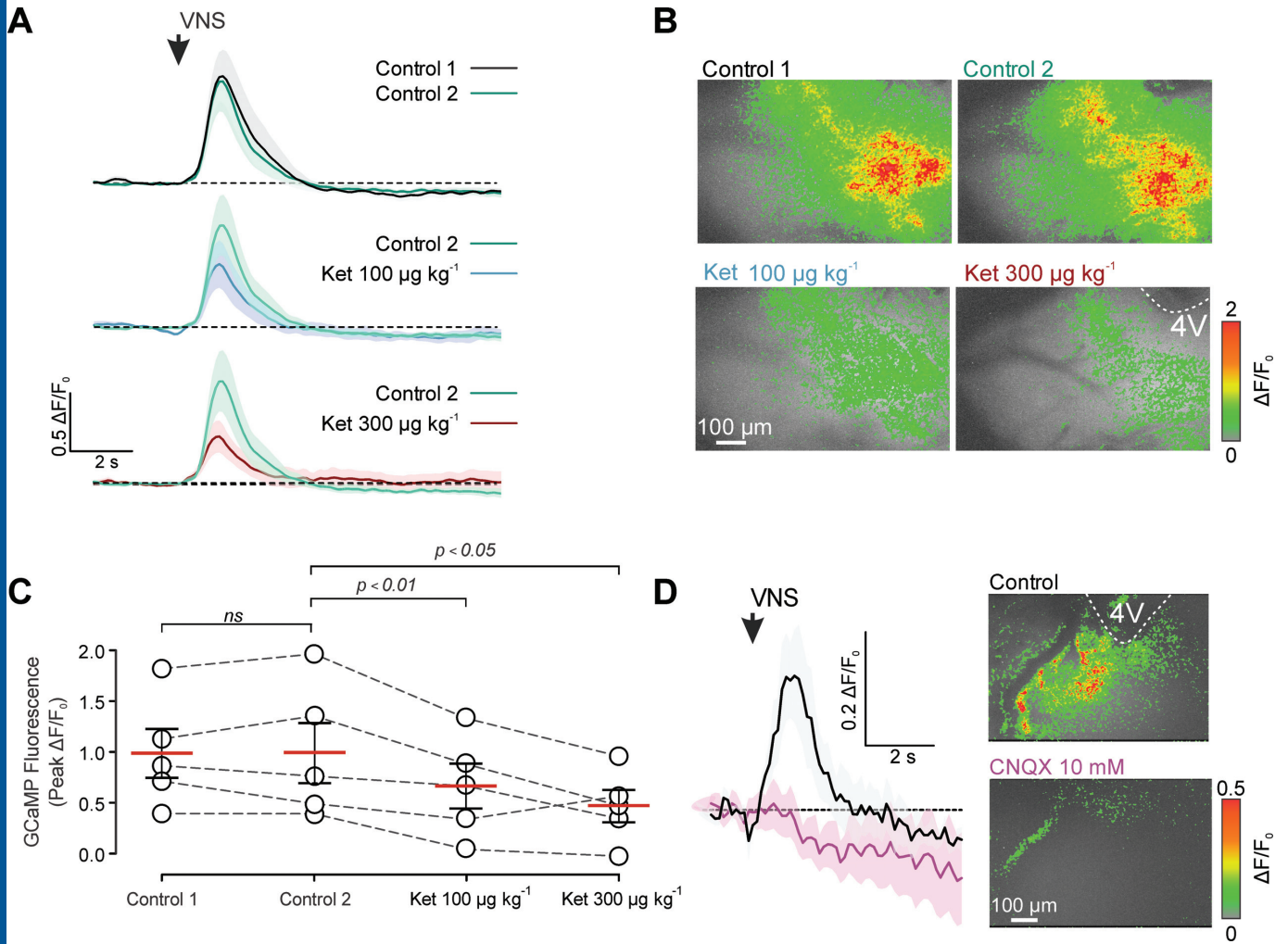
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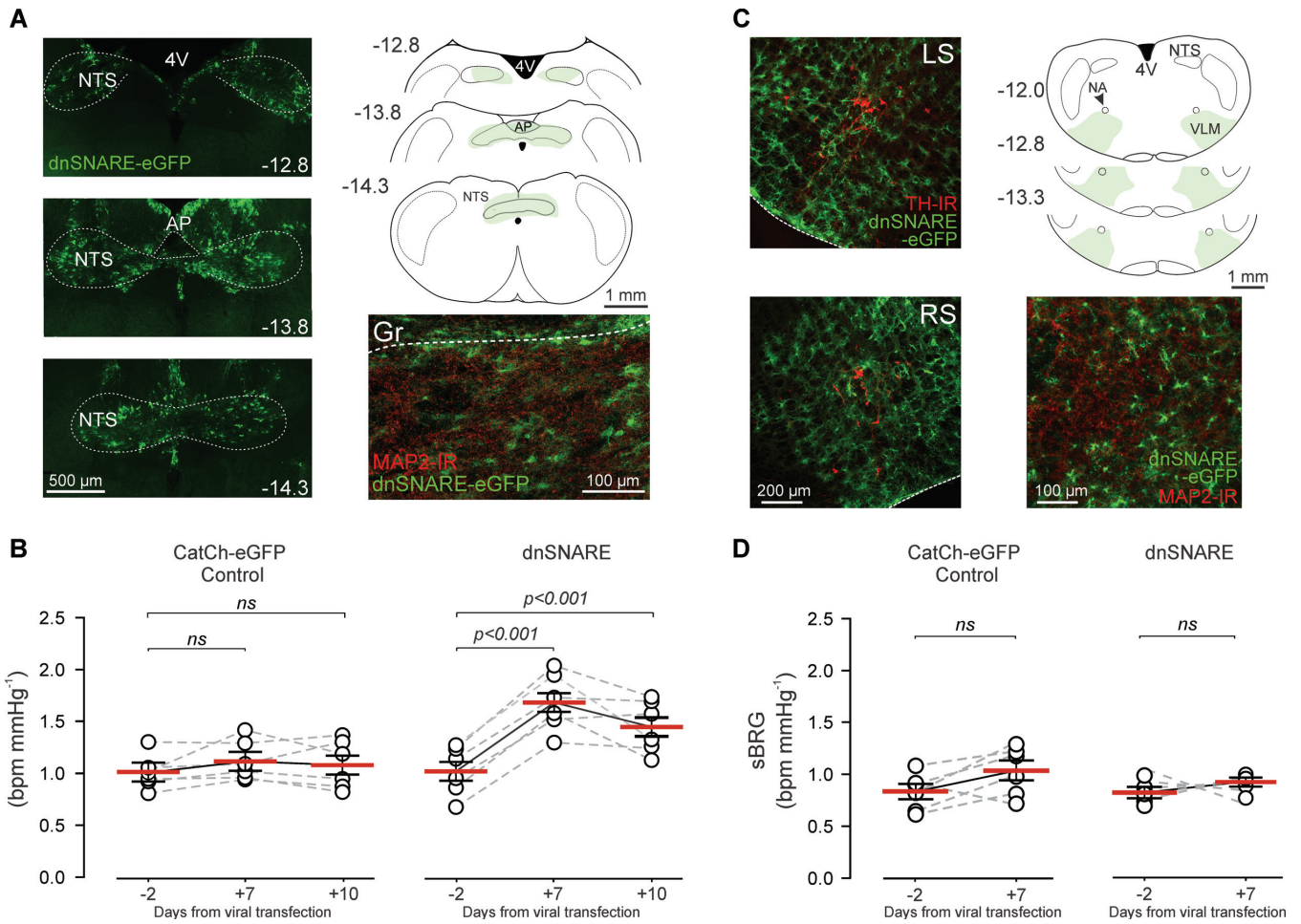
885 **Movie 2.** Representative recording of astrocytic intracellular calcium activity in
886 the NTS during vagal nerve stimulation 10 min after application of $300 \mu\text{g kg}^{-1}$
887 ketanserin (i.v.).

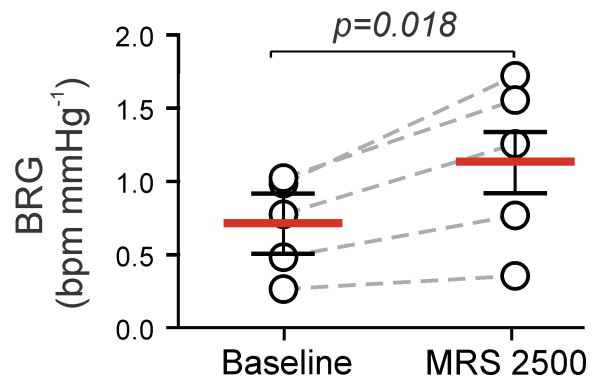
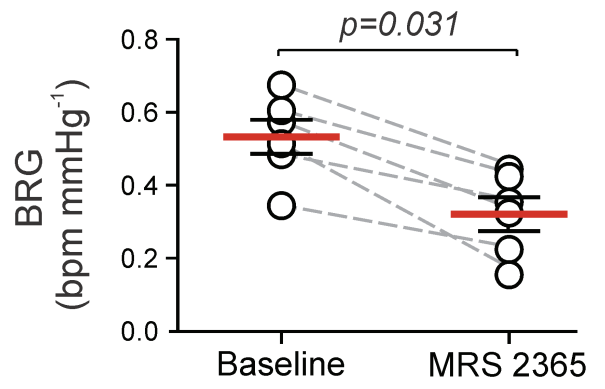
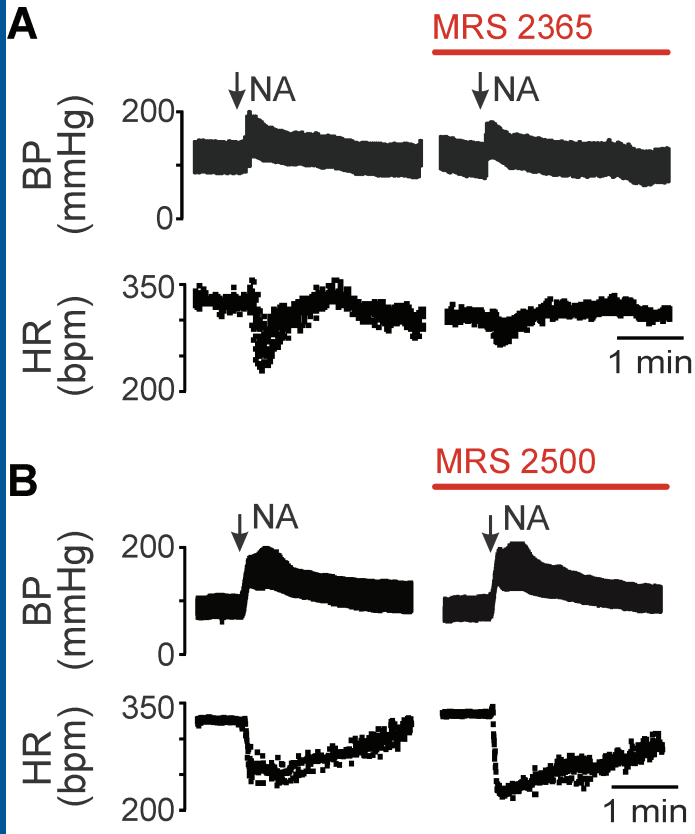




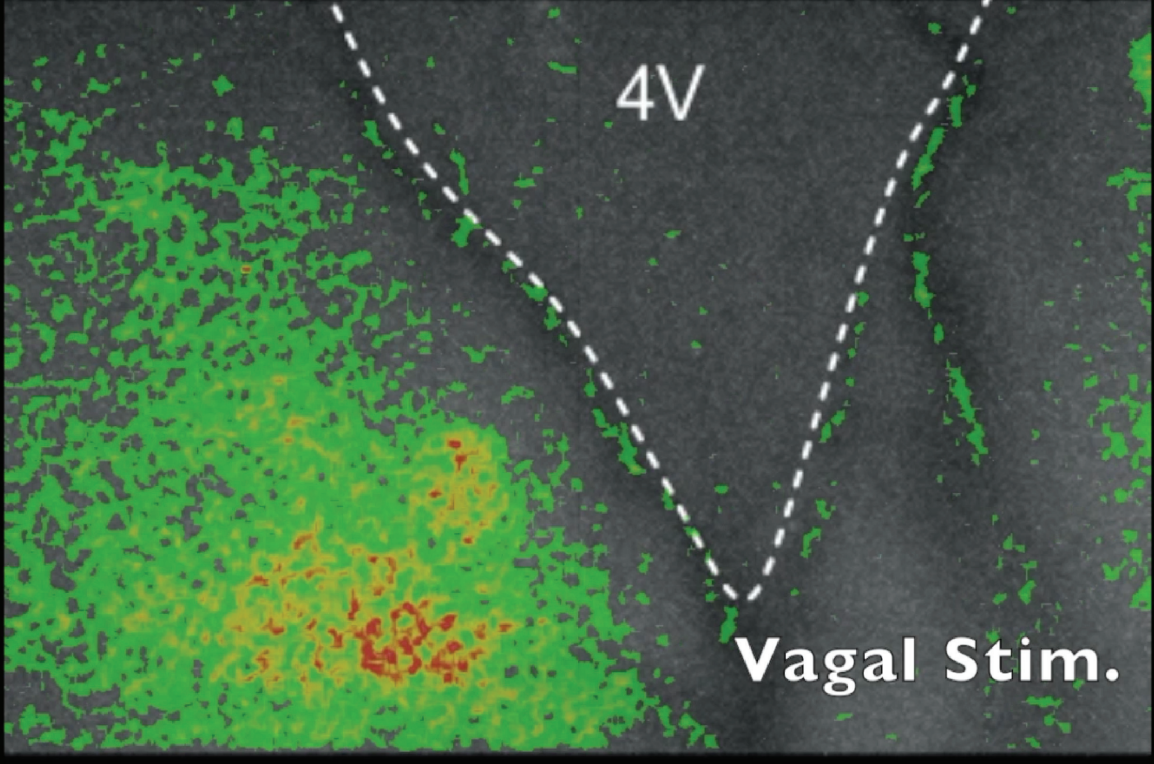








Control



Ket 300 $\mu\text{g kg}^{-1}$

