

# 1 **How the mitoprotein-induced stress response safeguards the cytosol: A unified view**

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## 9 **Keywords**

10 Proteostasis – Mitochondria – Protein Import – Heat Shock Factor 1 – Stress Response – Ageing

11

## 12 **Abstract**

13 Mitochondrial and cytosolic proteostasis are of central relevance for cellular stress resistance and  
14 organismal health. Recently, a number of individual cellular programs were described which  
15 counter the fatal consequences of mitochondrial dysfunction. These programs remove arrested  
16 import intermediates from mitochondrial protein translocases, stabilize protein homeostasis within  
17 mitochondria and, in particular, increase the levels and activity of chaperones and the proteasome  
18 system in the cytosol. Here, we describe the different responses to mitochondrial perturbation, and  
19 propose to unify the seemingly distinct mitochondrial-cytosolic quality control mechanisms into a  
20 single network, the mitoprotein-induced stress response. This holistic view places mitochondrial  
21 biogenesis at a central position of the cellular proteostasis network, emphasizing the importance of  
22 mitochondrial protein import processes for development, reproduction and ageing.

23

24 **Main text**

25 **The emerging role of mitochondria in the regulation of cellular and organismal protein**  
26 **homeostasis**

27 Organization of the subcellular environment into distinct, membrane-bound organelles is a key  
28 feature of eukaryotic cells. While this allows cells to operate efficiently through the creation of  
29 functionally specialized environments, the spatial and temporal separation of protein synthesis,  
30 folding and degradation also presents a significant challenge to the cells' ability to maintain protein  
31 homeostasis (proteostasis).

32 In order to counteract proteostasis imbalances within compartments, cells have evolved dedicated,  
33 organelle-specific protein quality control programs, such as the heat shock response (HSR)(see  
34 **glossary**) of the cytosol and the unfolded protein responses of the endoplasmic reticulum (UPR<sup>ER</sup>)  
35 and mitochondria (UPR<sup>mt</sup>) [1-3]. These responses have been extensively studied, and are crucial for  
36 the functionality of cells, tissues and organisms. However, the classical view that organellar stress  
37 responses act in isolation has been challenged by observations in yeast, worms, flies and  
38 mammalian tissue culture cells. Rather, proteotoxic insults at the organellar level can have far-  
39 reaching consequences for protein quality control networks across the cell, or even for other tissues  
40 [4-6]. This has become particularly clear in the case of mitochondria, where the activity and  
41 composition of cytosolic proteostasis networks is tightly coordinated with fluctuations in  
42 mitochondrial activity and function, through several seemingly distinct protective responses. In this  
43 review, we present the different pathways that couple changes in mitochondrial function with  
44 cytosolic protein homeostasis, and discuss how these seemingly disparate mechanisms might be  
45 integrated into one coordinated mitoprotein-induced stress response that impacts development,  
46 ageing and disease.

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## 50 **Mitochondrial protein import is the nexus between mitochondrial and cytosolic proteostasis**

51 Mitochondria are responsible for the bulk of cellular ATP production, and are classically referred  
52 to as the ‘powerhouses’ of the cell. Interestingly, a growing number of studies have connected  
53 mitochondrial function with susceptibility to, and protection against, cytosolic protein aggregation  
54 [7-13]. Impaired cell function as a consequence of mitochondrial dysfunction was initially  
55 attributed to changes in the levels of ATP or reactive oxygen species (ROS); however, another  
56 factor might be of even more direct relevance: the integrity of the mitochondrial protein import  
57 process [14].

58 Only a few hydrophobic core subunits of the respiratory chain (and a ribosomal protein in yeast)  
59 are encoded in the mitochondrial genome and produced within mitochondria. The other  
60 approximately 1000 mitochondrial proteins are synthesized on cytosolic ribosomes, subsequently  
61 targeted to the mitochondrial surface and then imported by dedicated translocases (**Figure 1**) [15].  
62 Most mitochondrial proteins are synthesized as precursors with an N-terminal mitochondrial  
63 targeting sequence (MTS, also called presequence) which are cleaved upon arrival in the  
64 mitochondrial matrix. Mitochondrial functionality relies on an efficient protein import process and  
65 *vice versa*. Translocation across the mitochondrial membranes is dependent on the inner membrane  
66 potential ( $\Delta\psi$ ) and the ATP level generated by the electron transport chain, as well as mitochondrial  
67 chaperones. Hence, perturbations of metabolism and protein homeostasis inside mitochondria  
68 translate into import defects (**Figure 1**).

69 In addition, protein import is sensitive to precursor state and load: The excessive synthesis of  
70 precursors, or stalling of prematurely folded import intermediates within translocases, can cause  
71 import defects [16-19]. In particular, proteins which are N-terminally anchored to the inner  
72 membrane are difficult to import due to the presence of stop-transfer signals after their  
73 mitochondrial targeting sequence [20, 21].

74 Owing to their post-translational mode of import, mitochondrial precursors are transiently exposed  
75 to the cytosol, where they are stabilized by chaperones [22-26] and under the surveillance of the  
76 proteasomal degradation system [27-29]. The passage of precursors through the cytosol makes the  
77 import process vulnerable to proteotoxic insults outside of mitochondria. In fact, cytoplasmic  
78 aggregation of pathological protein species such as mutant huntingtin/polyQ proteins [30-32],  $\alpha$ -  
79 synuclein [33, 34] or amyloid  $\beta$  [9, 35-37] all interfere with mitochondrial protein import.  
80 Moreover, it was suggested that aggregated proteins in the cytosol are imported into mitochondria  
81 for sequestration or subsequent degradation [38, 39], although the underlying mechanism and  
82 relevance of this pathway is under debate. Together, these observations place mitochondrial protein  
83 import at the center of cellular proteostasis networks, well beyond the mitochondrial compartment  
84 **(Figure 2).**

85

### 86 **Consequences of impaired mitochondrial protein import**

87 If mitochondrial protein import is defective, cells face two major challenges. On the one hand, the  
88 lack of protein supply leads to proteome imbalances *inside* mitochondria, comparable to  
89 consequences of defects in the expression of the mitochondrial genome [40]. On the other hand,  
90 import defects result in the accumulation of precursor proteins in the cytosol and challenge  
91 proteostasis *outside* mitochondria.

92 It has been estimated that under normal basal conditions, around 5% of nascent ER proteins might  
93 constitutively fail to reach the ER at steady state conditions [41]. A similar magnitude also seems  
94 likely for mitochondrial preproteins, especially because some mitochondrial precursor proteins can  
95 traverse the ER surface on their route to mitochondria [42]. Small amounts of orphaned proteins  
96 can be efficiently cleared from the cytosol by proteasomal degradation [27] or from membranes by  
97 more specific mechanisms that degrade or re-route mislocalized proteins [43, 44]. However, when  
98 mitochondrial protein import efficiency is globally reduced, the fraction of accumulating precursors

99 can increase substantially, thereby placing a burden on protein folding and degradation pathways.  
100 As pre-proteins are escorted to the mitochondrial translocases by chaperones of the HSP70, HSP90  
101 and HSP40 families [22, 23], a higher load of precursors could sequester these chaperones, leading  
102 to reduced protein folding capacity in the cytosol. In addition, most mitochondrial proteins are  
103 unlikely to fold properly outside mitochondria and can associate with, and perhaps even induce,  
104 cytosolic aggregates [45, 46]. Thus, defects in the import of mitochondrial precursors induce a  
105 situation that is reminiscent of the widespread decline of proteostasis that is associated with protein  
106 conformational diseases or ageing [47, 48].

107

### 108 **Cellular reactions to compromised mitochondrial protein import**

109 Cells use a repertoire of means to prevent an overload of mitochondrial protein import and to counteract  
110 the consequences of import failure for both mitochondria and the cytosol. Initially described as  
111 individual phenomena, numerous studies have revealed that cells safeguard mitochondrial protein  
112 import and restore mitochondrial/cytosolic homeostasis by: (1) unclogging jammed translocases  
113 and removing accumulating precursor proteins from the mitochondrial surface [19, 20]; (2)  
114 adjusting the synthesis of mitochondrial proteins to match import capacity, and increasing the  
115 expression of mitochondrial biogenesis and quality control components to preserve mitochondrial  
116 integrity [18, 49]; and (3) engaging cytosolic protein folding and degradation machineries to relieve  
117 the burden of accumulating precursor proteins outside of mitochondria [18, 50] (**Figure 2**). These  
118 mechanisms have been described in different organisms using several experimental models, such  
119 as mutants of the mitochondrial import machinery [29, 50-53], overexpression of proteins whose  
120 translocation is challenging [17-20], and disruption of mitochondrial membrane potential [54-57].

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123

124 *Problem-solving at the outer mitochondrial membrane*

125 To prevent clogging of the import channel by non-productive import intermediates, the translocase  
126 of the outer mitochondrial membrane (TOM) is continuously monitored by the mitochondrial  
127 protein translocation-associated degradation (mitoTAD) pathway. In yeast, the key component of  
128 this pathway is Ubx2, which also functions in ER-associated degradation (ERAD). Ubx2 is part of  
129 the TOM complex; upon the appearance of arrested precursors in the translocase, Ubx2 recruits the  
130 AAA ATPase Cdc48/VCP/p97 to extract trapped precursors and direct them to the proteasome for  
131 degradation [19].

132 In addition, the mitochondria-associated AAA ATPase Msp1 monitors the complete mitochondrial  
133 surface for aberrant protein species. Msp1 recognizes tail-anchored membrane proteins that are  
134 mistargeted to mitochondria, extracts them from the mitochondrial outer membrane and re-routes  
135 them to the ER [43]. In addition, upon blocked mitochondrial import, the adaptor protein Cis1 is  
136 expressed which recruits Msp1 to the TOM complex. There, Msp1 and Cis1 mediate the removal of  
137 the precursor proteins, a process known as the mitochondrial compromised protein import response  
138 (mitoCPR) [20].

139 Besides premature folding or weak translocation, precursor proteins can also arrest inside  
140 translocases due to stalling of the ribosome during translation. In the cytosol, arrested ribosome-  
141 nascent chain complexes are cleared by dedicated ribosome quality control (RQC) pathways,  
142 involving the addition of C-terminal amino acids to the stalled polypeptide (CAT tailing) to  
143 facilitate its degradation by the ubiquitin-proteasome system. However, when the ribosome-nascent  
144 chain complex associates with the mitochondrial import machinery, CAT-tailed polypeptides are  
145 no longer accessible to the cytosolic quality control machinery and tend to aggregate inside  
146 mitochondria [58]. The conserved quality control factor Vms1 recognizes ribosome-stalled proteins  
147 at the mitochondrial surface and prevents CAT tailing [59-61]. In addition to its role in this  
148 mitochondrial RQC pathway, Vms1 also recruits Cdc48 to mitochondria upon stress to assist with  
149 protein degradation [57].

150 *Measures to restore proteostasis within mitochondria*

151 Imbalances in the mitochondrial proteome are counteracted by a transcriptional program known as  
152 the mitochondrial unfolded protein response (UPR<sup>mt</sup>). In a nutshell, protein import overload is  
153 prevented by three major measures: (1) Increased expression of mitochondrial chaperones,  
154 assembly factors and proteases [62]; (2) increased expression of mitochondrial translocases (in  
155 metazoa, not in yeast) [63]; and (3) reduced expression of many mitochondrial proteins, particularly  
156 the highly abundant enzymes of the respiratory chain and TCA cycle, the coordinated  
157 downregulation of which, presumably relieves the workload of the import machinery [49]. Similar  
158 to the role of the UPR<sup>ER</sup> in homeostatic regulation of ER size, the responsiveness of the expression  
159 of mitochondrial enzymes to import overloading constitutes an elegant feedback mechanism to  
160 monitor and adjust the influx of proteins into mitochondria (**Box 1**).

161 The analysis of the UPR<sup>mt</sup> was pioneered by studies in *C. elegans* and has been extensively reviewed  
162 elsewhere [1]. The master regulator of the UPR<sup>mt</sup> in *C. elegans* is the transcription factor ATFS-1,  
163 which is a dually localized protein, present in mitochondria and the nucleus. A weak MTS  
164 efficiently targets ATFS-1 to mitochondria in well energized cells. However, when mitochondrial  
165 functions are compromised, ATFS-1 is no longer imported into mitochondria but instead  
166 accumulates in the nucleus, where it induces the UPR<sup>mt</sup> [55, 63]. In human cells, the transcription  
167 factors ATF4 and ATF5 were proposed to fulfill a similar role [64, 65]. Yeast does not contain  
168 ATFS-1 homologs, but the HAP complex, which regulates the expression of most respiratory  
169 components, appears to play a comparable role. HAP-regulated genes are repressed upon protein  
170 import overload by inactivation of this transcription factor complex [18]. However, the underlying  
171 molecular mechanisms still remain to be discovered.

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173

174

175 *Measures to restore proteostasis in the cytosol*

176 The accumulation of mitochondrial precursors in the cytosol is buffered by an upregulation of many  
177 cytosolic chaperones, including members of the HSP70, HSP90, HSP40, TRiC/CCT, and small heat  
178 shock protein families [18, 53, 66]. In addition, the abundance and activity of the proteasome is  
179 increased in a reaction known as the unfolded protein response activated by mistargeting of proteins  
180 (UPRam) [18, 50] or mitochondrial precursor over-accumulation stress (mPOS) [54]. The elevated  
181 proteasomal capacity helps to remove precursors from the cytosol and assists in the clearance of the  
182 outer membrane in conjunction with the mitoTAD and mitoCPR pathways. Although the protective  
183 responses described above were discovered as independent phenomena, recent evidence suggests  
184 that in fact, these pathways are amalgamated into a collective protective program, the mitoprotein-  
185 induced stress response [18](**Figure 3, Key Figure**).

186 The transcription factor HSF1 is crucial for maintaining proteostasis in the cytosol and has emerged  
187 as a key component of the mitoprotein-induced stress response. HSF1 dictates protein folding and  
188 degradation capacity in the cytosol through the coordinated expression of molecular chaperones,  
189 co-chaperones and degradation factors. It has long been known that in yeast, the transition from  
190 fermentative to respiratory metabolism, which strongly induces the production of mitochondrial  
191 proteins, is accompanied by an HSF1-mediated upregulation of chaperones and other stress-  
192 responsive factors [67, 68]. Consistent with this, it was recently discovered that mitochondrial  
193 import stress, impaired respiration or perturbation of mitochondrial HSP70, leads to a rapid  
194 elevation in the levels of HSF1 target genes [18, 66, 69], thereby augmenting the function of the  
195 core cytosolic proteostasis network.

196 Under non-stress conditions, HSF1 activity is repressed by direct interactions with molecular  
197 chaperones. However, upon proteostasis imbalances in the cytosol, molecular chaperones are  
198 titrated away from HSF1 through preferential binding to misfolded protein species. This permits  
199 the activation of functional HSF1 heterotrimers, and results in the increased expression of genes  
200 that restore cytosolic proteostasis [70, 71]. It is highly likely that the accumulation of unstable



201 mitochondrial precursors in the cytosol triggers the activation of HSF1 through a similar  
202 mechanism. However, it remains unclear whether HSF1 activation results from a general overload  
203 of the cytosol by mitochondrial precursors, or whether specific (groups of) precursors trigger HSF1  
204 activation. Since the signatures of mitoprotein-induced stress response and heat shock response are  
205 similar but not identical, it is possible that additional mechanisms tailor chaperone expression to  
206 the specific sources of misfolded proteins. For example, lipid signaling has been reported to activate  
207 HSF1 upon mitochondrial perturbation in nematodes and thus might represent an additional layer of  
208 regulation [66].

209 In yeast, HSF1 also promotes the expression of Rpn4, the master regulator of proteasomal subunits  
210 and components of the ubiquitin proteasome system (UPS). [72]. The transcriptional induction of  
211 Rpn4 by Hsf1 is responsible for the upregulation of the ubiquitin-proteasome system in response to  
212 mitoprotein-induced stress [18]. Rpn4 itself is also a substrate of proteasomal degradation with very  
213 efficient turnover. Therefore, occupancy of the proteasome by mitochondrial precursors might also  
214 directly lead to the stabilization and, hence, increased abundance of Rpn4, augmenting its  
215 transcriptional upregulation.

216 In addition to proteasomal subunits, Rpn4 also increases the expression of Ubx2 and Cdc48, the  
217 central mediators of mitoTAD, and the transcription factor Pdr3, which in turn drives the expression  
218 of the mitoCPR factor Cis1 [20]. Therefore, HSF1 acts as the primary initiator of an Hsf1-Rpn4-  
219 Pdr3 transcriptional cascade that directly connects the regulation of mitochondrial and cytosolic  
220 responses to proteotoxic stress. The Hsf1-Rpn4-Pdr3 transcriptional axis is an intriguing example  
221 of how cells can coordinate the activity of multiple stress-related regulators. This mechanism has  
222 clear similarities with how increased Rpn4 levels in response to impaired translocation of ER  
223 preproteins, complements the UPR<sup>ER</sup> to maintain cell viability [73]. This suggests that cells have  
224 evolved a general ‘core’ response to protein misfolding in the cytosol that is converged upon by  
225 mistargeted proteins or proteins that are misfolded due to heat exposure or other stresses.

226

## 227 *Mitoprotein-induced stress leads to attenuation of translation*

228 The transcriptional response to mitoprotein-induced stress is accompanied by the attenuation of  
229 protein synthesis [18, 50, 51, 54, 74]. This decreases the load on both the cytosolic protein quality  
230 control and mitochondrial import machineries, and saves energy. In addition to the specific  
231 shutdown of the synthesis of mitochondrial OXPHOS components, translational attenuation further  
232 reduces the production of mitochondrial precursors. Moreover, gene expression from the  
233 mitochondrial genome is also repressed [18, 75]. This may help to balance protein synthesis in the  
234 matrix with the reduced influx of imported proteins.

235 Reduced cytosolic translation has been proposed to occur through transcriptional downregulation  
236 and reversible cysteine oxidation of 80S ribosomal subunits. While still speculative, this suggests a  
237 model where mitochondrial stress can directly alter cytosolic translation through ‘redox switches’  
238 in ribosomal subunits [51]. In addition, protein synthesis can be reduced through eIF2 $\alpha$   
239 phosphorylation as part of the integrated stress response and through inhibition of the target of  
240 rapamycin (mTOR) complex. Both eIF2 $\alpha$  phosphorylation and reduced mTOR activity have been  
241 observed in response to mitochondrial stress [76, 77]; however, the precise contribution of these  
242 pathways to mitoprotein-induced slowdown of translation remains to be determined.

243

## 244 **Conservation of the mitoprotein-induced stress response**

245 Although well-described in yeast, the regulatory basis and composition of the mitoprotein-induced  
246 stress response in metazoans is less well understood. However, available evidence suggests that  
247 analogous mechanisms to those observed in fungi are present in animals. For example, the targeting  
248 of misfolding-prone substrates to mitochondria, genetic and chemical inhibition of respiration and  
249 perturbation of mitochondrial HSP70 have all been reported to increase the expression of HSF1  
250 target genes in *C. elegans* and *Drosophila* [56, 66, 69, 78].

251 In addition to the immediate activation of acute transcriptional responses, mitochondrial status has  
252 also emerged as a critical determinant of HSF1 activity and susceptibility to protein aggregation  
253 later in adulthood. In *C. elegans*, the transition to reproductive maturity is accompanied by the  
254 programmed repression of the heat shock response [79]. This is mediated by changes in chromatin  
255 architecture at HSF-1 target promoters and leaves cells vulnerable to protein folding stress later in  
256 life. Mild perturbation of either respiration or mitochondrial import efficiently maintains the activity  
257 of the heat shock response in aged animals and protects against age-related protein aggregation,  
258 suggesting that exposure to mitochondrial stress can override age-related changes in chromatin  
259 organization and the heat shock response [56]. Although the precise mechanism by which  
260 mitochondrial impairment maintains the heat shock response is unknown, mitochondrial stress and  
261 full activation of the UPR<sup>mt</sup> are also associated with changes in chromatin organization [78, 80, 81]  
262 **(Box 2)**. Together, these observations demonstrate the existence of a complex link between  
263 mitochondrial function, chromatin organization, HSF1 activity, cytosolic proteostasis and ageing.

264 While HSF1 activity is clearly linked to mitochondrial function in worms and flies, the regulation  
265 of the proteasome under mitoprotein-induced stress is far less clear in animals, particularly as  
266 orthologues of Rpn4 do not exist in metazoans. Potentially, the transcription factors NRF1 and  
267 NRF2 could fulfill a similar role as Rpn4. Like Rpn4, NRF1 and NRF2 control the abundance of  
268 proteasomal subunits in response to compromised proteasome activity. NRF2 has been shown to  
269 localize to the surface of mitochondria and is activated by mitochondrial ROS upon proteasome  
270 dysfunction [82, 83]. Furthermore, the *C. elegans* orthologue of NRF1, SKN-1A, promotes a  
271 UPRam-like cytoplasmic unfolded protein response to counteract various proteotoxic stresses [84].

272 Thus, the general regulatory principles appear to be conserved among eukaryotes. These make sure  
273 that upon mitoprotein-induced stress, proteostatic balance is maintained in both the cytosol and the  
274 mitochondria. This response employs regulators of general stress programs, in particular those of  
275 the heat shock response, as well as mechanisms that act at the level of specific steps of  
276 mitochondrial protein synthesis and import. Dependent on the severity and duration of

277 mitochondrial defects, the mitoprotein-induced stress response is also coupled with more global  
278 cellular homeostatic programs, which employ processes such as chromatin re-organization [56, 81]  
279 autophagy/mitophagy [85-87] and apoptosis [21] to promote transcriptional responses, signal to  
280 unaffected tissues, remove defective mitochondria or eliminate unviable cells (**Figure 4**).

281 Even though the general principles of these programs appear to be similar among eukaryotes, a  
282 considerable amount of heterogeneity exists with respect to the specific factors and regulatory  
283 elements that drive these programs in different organisms. One obvious example is that the  
284 expression of mitochondrial proteins is muted in nematodes by the stress response factor ATFS-1,  
285 whereas in yeast this is controlled by the general respiration control complex HAP. As such,  
286 understanding why these differences have emerged may provide important insight regarding the  
287 coordination of mitochondrial and cytosolic proteostasis across developmental states and/or cell  
288 types.

289

## 290 **Concluding Remarks**

291 Over the last five years, it has become increasingly evident that cellular stress resistance and  
292 organismal health are highly dependent on connections between mitochondrial and cytosolic  
293 proteostasis. While not all connections and causalities are understood (see **Outstanding Questions**  
294 **Box**), two major paradigms have emerged: First, the proteostasis and quality control programs from  
295 different subcellular compartments are distinct, but do not act in isolation from each other. Second,  
296 many seemingly disparate mechanisms are wired into a coordinated network that simultaneously  
297 restores mitochondrial function and safeguards cytosolic proteostasis. Therefore, we propose to  
298 amalgamate the existing independent mitochondrial-cytosolic quality control mechanisms into a  
299 single network, the mitoprotein-induced stress response. Taking a more holistic view of the  
300 mitochondrial-cytosolic protein quality control network will allow us to unravel the full complexity

301 of how mitochondrial function is coordinated with alterations in proteostasis and how this impacts  
302 development, reproduction and ageing.

303

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311

312 **Box 1. The mitoprotein-induced stress response is conceptually distinct from the UPR<sup>ER</sup>.**

313 Protein misfolding in the lumen and the membrane of the ER is recognized by receptors located in  
314 the ER membrane. Upon stress, these elicit signaling pathways which induce or repress genes to  
315 buffer and counter problems within the ER. In contrast, the mitoprotein-induced stress response  
316 reacts to the presence of mitochondrial proteins that fail to be efficiently imported. Signaling can  
317 be triggered by specific stress-sensing factors, such as ATFS-1 of *C. elegans*, or by a more global  
318 accumulation of mitochondrial precursors, as proposed by the UPRam hypothesis for yeast  
319 **(Figure I).**

320 The distinction between transmembrane signaling from the ER and a “frustrated client” reporting  
321 model from mitochondria is not “black and white”: Non-imported ER proteins are sensed in the  
322 cytosol [73, 88] and proteotoxic stress in the matrix of mitochondria can induce transcriptional  
323 changes in the nucleus [11, 89]. However, for most mitochondrial stress responses, signaling  
324 seems to occur mainly at the level of preprotein import from the cytosol and not via direct  
325 transduction across mitochondrial membranes.

326

327

328 **Box 2. Mitochondrial stress regulates the expression of HSF1 target genes and the UPR<sup>mt</sup>**  
329 **through chromatin reorganization**

330 The rapid and effective activation of stress responsive transcriptional programs such as the HSR  
331 and UPR<sup>mt</sup> is crucial for cells to successfully counteract proteostasis imbalances. In addition to the  
332 activity of dedicated transcription factors, it has recently been demonstrated that in *C. elegans*,  
333 changes in histone methylation and chromatin remodeling are crucial for effective induction of the  
334 UPR<sup>mt</sup>, maximal induction of HSF1 target genes and full lifespan extension when respiration is  
335 compromised [56, 69, 80, 81].

336 In response to mitochondrial stress, chromatin architecture is reorganized through the chromatin  
337 remodeler LIN-65 in a process that is dependent on MET-2-mediated di-methylation of lysine 9 of  
338 histone H3 (H3K9me<sub>2</sub>). This results in a global chromatin conformation that generally represses  
339 transcription while favoring the induction of UPR<sup>mt</sup> responsive genes [81]. Similarly, the HSF1-  
340 mediated induction of small heat shock protein genes upon electron transport chain dysfunction is  
341 also dependent on chromatin remodeling through the SWI/SNF-related factor, ISW-1 [69].

342 In addition to promoting immediate responses through HSF1 and the UPR<sup>mt</sup>, mitochondrial stress-  
343 mediated changes in chromatin status can also promote long-term cell function. Upon electron  
344 transport chain perturbation, increased JMJD-1.2 and JMJD-3.1 activity results in reduced levels of  
345 di- and tri-methylation at lysine 27 of histone H3 (H3K27me<sub>2/3</sub>). This results in increased  
346 chromatin accessibility, prolonged activation of the UPR<sup>mt</sup> and increased lifespan [80]. JMJD-3.1  
347 activity has also been linked with the programmed repression of the HSR during early *C. elegans*  
348 adulthood. As worms reach reproductive maturity, signals from germ line stem cells result in  
349 decreased *jmjd-3.1* expression, increased levels of H3K27me<sub>3</sub>, and reduced chromatin accessibility  
350 at HSF1 target promoters. This leads to a dampening of the HSR and increased vulnerability to  
351 protein aggregation later in life [79]. While *jmjd-3.1* over-expression does not influence HSF1  
352 activity early in life, it is sufficient to promote the UPR<sup>mt</sup>, maintain HSF1 activity in aged cells and  
353 extend lifespan [79, 80]. Intriguingly, repression of the HSR and age-related cytosolic protein

354 aggregation can be suppressed by exposure to mitochondrial stress early in life [56]. While it is not  
355 clear to what extent these effects are mediated by altered histone modification and chromatin re-  
356 organization, these observations suggest that mitochondrial function is intimately coupled with the  
357 long and short-term activity of both HSF1 and the UPR<sup>mt</sup> through changes in chromatin state.

358



359 **Figure legends**

360 **Figure 1. Mitochondrial protein import is challenged upon many conditions.** Mitochondrial  
361 biogenesis requires the import of about 1,000 different proteins from the cytosol. About two thirds  
362 of these proteins are initially made as precursors with an N-terminal mitochondrial targeting  
363 sequence (MTS). These sequences are recognized by receptors on the mitochondrial surface  
364 (Tom70 and cytosol-exposed regions of the TOM complex) and direct precursor proteins through  
365 the protein-conducting channels of the TOM and TIM23 complexes. The membrane potential  
366 across the inner membrane ( $\Delta\psi$ ) and ATP hydrolysis by HSP70 drive protein translocation.  
367 Proteins of the intermembrane space (IMS) and the outer membrane often lack N-terminal  
368 targeting sequences and use distinct import routes. Many IMS proteins contain cysteine residues  
369 and their import is associated with oxidative protein folding in the IMS, catalyzed by the  
370 oxidoreductase Mia40. There are different groups of outer membrane proteins, including pore-  
371 forming  $\beta$ -barrel proteins and tail-anchored proteins. In most cases, the import of Mia40  
372 substrates and outer membrane proteins requires neither ATP nor a membrane potential across the  
373 inner membrane. The figure illustrates these key steps of mitochondrial protein biogenesis. The  
374 import process can be challenged by problems in the cytosol or by mitochondrial defects, some of  
375 which are indicated here in light boxes.

376

377 **Figure 2. Import defects threaten cytosolic proteostasis.** Under physiological conditions,  
378 precursor proteins are hardly detectable in the cytosol as they are rapidly imported or degraded.  
379 However, adverse conditions can lead to a slow-down of the import process and the accumulation  
380 of non-productive translocation intermediates. These can be removed by different mechanisms.  
381 Precursor proteins that are stalled in the TOM complex are degraded by the proteasome in a  
382 process referred to as mitoTAD. Ubx2 serves as a bridging factor in this process, which connects  
383 the TOM complex to Cdc48/VCP/p97, in order to extract the precursors from the TOM channel

384 and feed them to the proteasome. Missorted outer membrane proteins are recognized and  
385 extracted by Msp1, an AAA protein on the mitochondrial surface. Upon accumulation of  
386 translocation intermediates that are stalled in the TOM complex, Msp1 is recruited to Tom70 by  
387 the bridging factor Cis1. This process is called mitoCPR, and cooperates with mitoTAD-mediated  
388 TOM clearance. Ribosomes that are stalled on non-functional mRNAs, and thereby tethered to  
389 TOM complexes, are removed by a dedicated machinery, which employs the Cdc48 interactor  
390 Vms1, in a process called mitoRQC. If these measures on the mitochondrial surface fail,  
391 precursors accumulate in the cytosol, where they sequester chaperones and serve as substrates of  
392 the proteasome. If the level of cytosolic precursors exceeds the capacity of the chaperone and  
393 proteasome system, cytosolic proteostasis is challenged, leaving cells vulnerable to widespread  
394 protein aggregation.

395

396 **Figure 3, Key Figure. Regulation of the mitoprotein-induced stress response.** Mitoprotein-  
397 induced stress is countered by a concerted action of several transcription factors. In *C. elegans*,  
398 ATFS-1 serves as a major factor in the UPR<sup>mt</sup>, which mutes the synthesis of mitochondrial  
399 proteins to relieve the burden on the mitochondrial import machinery. In yeast the HAP complex  
400 plays a comparable role, although the mechanistic details of this are still unclear. The  
401 accumulation of precursors in the cytosol leads to an induction of the heat shock response,  
402 triggered by HSF1. This attenuates protein synthesis and induces the expression of chaperones. In  
403 yeast, HSF1 also induces Rpn4, which serves as master transcription factor for the proteasome-  
404 ubiquitin system. NRF2 may play a comparable role in animals. Rpn4 also induces Pdr3, the  
405 transcription factor that induces components of the multidrug resistance response, and Cis1,  
406 which connects Msp1 to the TOM complex for mitoCPR-mediated TOM clearance. Thus, at least  
407 in yeast, the components that trigger the mitoprotein-induced stress response form a reaction  
408 cascade, which sequentially activates different programs to maintain proteostasis in both  
409 mitochondria and the cytosol.



411 **Figure 4. Mitoprotein-induced stress elicits different programs depending on its severity and**  
412 **duration.** Muting the expression of mitochondrial proteins by ATFS-1 is an elegant mechanism to  
413 adapt the amounts of produced precursors to the capacity of the mitochondrial import machinery.  
414 This ensures that HSF1 activation is only triggered once the level of precursors exceeds the import  
415 capacity. The heat shock response is triggered by the release of HSF1 from chaperones and tailored  
416 by chromatin re-organization at HSF1 target promoters. The modification of chromatin state may  
417 be particularly relevant for persistently occurring challenges as this may allow cells to respond more  
418 effectively to subsequent mitochondrial insults. If serious mitochondrial problems remain over  
419 longer periods of time, mitochondria are removed by autophagy/mitophagy and affected cells are  
420 eliminated by apoptosis. Both pathways can be triggered by incomplete translocation and,  
421 consequently, accumulation of effector proteins on the mitochondrial surface – PINK1 in the case  
422 of mitophagy [85], Nde1 in the case of apoptosis [21]. How these drastic reactions are connected to  
423 the mitoprotein-induced stress response still awaits to be unraveled.

424

425 **Figure I for Box 1.** Stress signaling from the ER and mitochondria

#### 426 **Glossary**

427 **ERAD:** *Endoplasmic reticulum-associated protein degradation.* Mediates the removal of  
428 proteins from the ER lumen or membrane by proteasomal degradation.

429 **HSR:** *Heat shock response.* Signaling pathway that is induced by the accumulation of  
430 unfolded or misfolded proteins in the cytosol and/or nucleus. The HSR is triggered by  
431 exposure to high temperature but can be induced by any conditions that promote  
432 protein misfolding.

433 **mitoRQC:** *Mitochondrial ribosome quality control.* Mutated mRNAs can irreversibly arrest  
434 translating ribosomes. If these stalled translation intermediates are targeted to

435 mitochondria, a dedicated machinery recognizes and dissociates them to release the  
436 ribosome and degrade the non-productive nascent polypeptides.

437 **mitoCPR:** *Mitochondrial compromised protein import response.* Extraction system to remove  
438 arrested import intermediates from the TOM complex. Cis1 (together with Tom70)  
439 recruits the AAA extractor Msp1 to the TOM complex for back-translocation of  
440 precursors into the cytosol.

441 **mitoTAD:** *Mitochondrial protein translocation-associated degradation.* Degradation system to  
442 remove stalled translation intermediates from the TOM complex. For protein  
443 degradation of precursor proteins, the bridging factor Ubx2 recruits Cdc48 and the  
444 proteasome to the outer membrane receptor Tom70.

445 **mPOS:** *Mitochondrial precursor over-accumulation stress.* Describes the toxic accumulation  
446 of mitochondrial inner membrane proteins in the cytosol of yeast cells.

447 **MTS:** Mitochondrial targeting sequence or presequence at the N-terminus of mitochondrial  
448 precursor proteins. In most cases, presequences are removed after the import reaction  
449 by the mitochondrial processing peptidase giving rise to a mature mitochondrial  
450 protein.

451 **UPRam:** *Unfolded protein response activated by mistargeting of proteins.* Signaling pathway  
452 that is induced by mitochondrial precursor proteins which accumulate in the cytosol.

453 **UPR<sup>ER</sup>:** *Unfolded protein response.* Signaling pathway that is induced by the accumulation of  
454 unfolded or misfolded proteins in the lumen or the membrane of the endoplasmic  
455 reticulum (ER).

456 **UPR<sup>mt</sup>:** *Mitochondrial unfolded protein response.* Signaling pathway that is induced by the  
457 accumulation of unfolded or misfolded proteins in the mitochondrial matrix.

458 **ROS:** *Reactive oxygen species.* Highly reactive molecules including superoxide, hydrogen  
459 peroxide and hydroxyl radicals that are formed by electron transfer to oxygen. Are  
460 produced as byproducts by the mitochondrial respiratory chain.

461 **TOM:** *Translocase of the outer membrane of mitochondria.* The central pore-forming  
462 subunit Tom40 serves as general entry gate for mitochondrial precursor proteins.  
463 Receptors such as Tom70 and Tom20/22 recognize cytosolic precursors and direct  
464 them to Tom40.

465

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