

1 **ANALYSIS OF INTERNAL ASTIGMATISM AND HIGHER ORDER**
2 **ABERRATIONS IN EYES IMPLANTED WITH A NEW DIFFRACTIVE**
3 **MULTIFOCAL TORIC INTRAOCULAR LENS**

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17
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34 **Section:** Refractive Surgery

ABSTRACT

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Background: To evaluate the intraocular lens (IOL) position by analyzing the postoperative axis of internal astigmatism as well as the higher order aberration (HOA) profile after cataract surgery following the implantation of a diffractive multifocal toric IOL

Methods: Prospective study including 51 eyes with corneal astigmatism of 1.25D or higher of 29 patients with ages ranging between 20 and 61 years old. All cases underwent uneventful cataract surgery with implantation of the AT LISA 909M toric IOL (Zeiss). Visual, refractive and corneal topography changes were evaluated during a 12-month follow-up. In addition, the axis of internal astigmatism as well as ocular, corneal, and internal HOA (5-mm pupil) were evaluated postoperatively by means of an integrated aberrometer (OPD scan II, Nidek).

Results: A significant improvement in uncorrected distance and near visual acuities ($p < 0.01$) was found which was consistent with a significant correction of manifest astigmatism ($p < 0.01$). No significant changes were observed in corneal astigmatism ($p = 0.32$). Regarding IOL alignment, the difference between the axes of postoperative internal and preoperative corneal astigmatisms was close to perpendicularity (12 months, $87.16^\circ \pm 7.14$), without significant changes during the first 6 months ($p \geq 0.46$). Small but significant changes were detected afterwards ($p = 0.01$). Additionally, this angular difference correlated with the postoperative magnitude of manifest cylinder ($r = 0.31$, $p = 0.03$). Minimal contribution of intraocular optics to the global magnitude of HOA was observed.

59 **Conclusions:** The diffractive multifocal toric IOL evaluated is able to provide a predictable
60 astigmatic correction with apparent excellent levels of optical quality during the first year
61 after implantation.

62 **Key words:** internal astigmatism, multifocal intraocular lens, toric intraocular lens,
63 diffractive intraocular lens

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INTRODUCTION

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Toric intraocular lenses (IOLs) have been shown to be very effective for the compensation of the preexisting corneal astigmatism in eyes undergoing cataract surgery¹⁻⁹. However, these IOLs are only able to improve distance visual acuity, with spectacle dependence for near vision. A new model of diffractive multifocal IOL with toric component was introduced to compensate for any degree of corneal astigmatism as well as to allow spectacle independence, the AT.LISA Toric 909M. This IOL has been shown to provide excellent compensation of astigmatism as well as far and near visual rehabilitation simultaneously^{10,11}. In addition, it can be implanted through small incision, providing better control of postoperative surgically induced astigmatism (SIA)¹¹ and theoretically of higher-order aberrations (HOAs). However, to this date, there are no reports evaluating the intraocular optical quality and aberrations induced with the implantation of this new modality of toric diffractive IOL.

The achievement of a perfect astigmatic correction with this and other types of toric IOLs requires a precise intraocular alignment and long-term rotational stability and centration. Toric IOL position can be evaluated using either subjective or objective methods^{6,8,12-19}. Each technique has its own limitations such as head position and cyclotorsion during measurements or the need of experienced staff working with sophisticated software and equipment. One of these methods to assess axis alignment and IOL rotation is the analysis of internal astigmatism by means of an integrated aberrometer combining a corneal and ocular aberrometer²⁰⁻²², which is an easy procedure for clinical use. Specifically, it consists on the analysis of the internal aberration map which display the refractive status of the internal optics of the eye, including the posterior cornea and the IOL optics.

89 The aim of the current study was to evaluate the intraocular lens (IOL) position by
90 analyzing the postoperative axis of internal astigmatism as well as the higher order aberration
91 profile after cataract surgery following the implantation of an acrylic plate haptic diffractive
92 multifocal toric IOL using an advanced integrated aberrometer.

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METHODS

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Patients

97 In this study, 51 eyes of 29 patients with ages ranging between 20 and 61 years old
98 were included. The inclusion criteria of this study were patients with visually significant
99 cataract or presbyopic/pre-presbyopic patients suitable for refractive lens exchange
100 demanding complete spectacle-independence, and with corneal astigmatisms of 1.25D or
101 higher. The exclusion criteria were patients with history of glaucoma or retinal detachment,
102 corneal disease, irregular corneal astigmatism, abnormal iris, macular degeneration or
103 retinopathy, neurophthalmic disease, or history of ocular inflammation. This study was
104 approved by the local ethics committee and has therefore been performed in accordance with
105 the ethical standards laid down in the 1964 Declaration of Helsinki. Written informed consent
106 was obtained after explaining the nature of the procedure prior to surgery in all cases.

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Preoperative and postoperative protocol

109 Preoperatively, all patients had a full ophthalmologic examination including the
110 following clinical tests: uncorrected (UDVA) and corrected distance (CDVA) visual acuities
111 (Snellen charts), uncorrected (UNVA) and corrected near (CNVA) visual acuities (Radner
112 charts), manifest refraction, slit lamp examination, Goldmann applanation tonometry, corneal
113 topography (Atlas 9000, Carl Zeiss Meditec), biometry (IOL Master v.4.3, Carl Zeiss

114 Meditec), and funduscopy. Patients were evaluated postoperatively at 1 day, 1 month, 3, 6,
115 and 12 months after surgery. At 1 day after surgery, only UDVA, tonometry and the integrity
116 of the anterior segment was evaluated. The postoperative examination protocol at 1, 3, 6, and
117 12 months was identical to the preoperative protocol, with the additional measurement of the
118 axis of internal astigmatism and optical aberrations with the OPD scan II system (Nidek)
119 under pharmacologically dilation (tropicamide). The axis alignment of internal astigmatism
120 was read from the 3-mm central zone of the postoperative internal OPD map and compared
121 with the preoperative axis of corneal astigmatism. Misalignment of the IOL was defined as
122 the mean difference between the axis of the internal astigmatism and the preoperative
123 orientation of the steepest corneal meridian. Root-mean square (RMS) values of total
124 aberrations, higher order (HOAs), tilt, primary coma, trefoil, tetrafoil, primary spherical
125 aberration and secondary astigmatism were calculated for the corneal, internal and ocular
126 optics (5-mm pupil).

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128 *Surgery*

129 All surgeries were performed by the same experienced surgeon (PM) using a standard
130 technique of sutureless micro-coaxial phacoemulsification. In all cases, instillation of topical
131 anaesthesia drops was applied to the patient prior to the surgical procedure. Adequate dilation
132 was obtained with intracameral mydriasis. In all cases, mini-incision surgery was performed
133 (2.2 mm temporal corneal incision), with the incision placed on the steepest corneal meridian
134 determined by corneal topography. Before starting surgery, in supine position, 3 limbal
135 reference marks at 3, 6 and 9 o'clock positions were done with a sterile marker with the aim
136 of avoiding possible cyclorotations during surgery. After capsulorhexis creation and
137 phacoemulsification (Infinity Vision System (Alcon), the IOL was inserted into the capsular
138 bag using the AT.Smart Cartridge Set (Carl Zeiss Meditec) and the AT.Shooter A2-2000

139 injector (Carl Zeiss Meditec) through the incision. Postoperative topical therapy included a
140 combination of topical antibiotic and steroid.

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142 *Statistical analysis*

143 The statistical analysis was performed using the SPSS statistics software package
144 version 15.0 for Windows (SPSS, Chicago, Illinois, USA). First of all, normality of all data
145 samples was evaluated by means of the Kolmogorov-Smirnov test. When parametric analysis
146 was possible, the Student t test for paired data was performed for all parameter comparisons
147 between preoperative and postoperative examinations and the 1-way analysis of variance
148 (ANOVA) with Bonferroni post-hoc comparison procedure was used for the comparison
149 between groups. When parametric analysis was not possible, the Wilcoxon Rank Sum test
150 was applied to assess the significance of differences between preoperative and postoperative
151 data, whereas the Kruskal-Wallis test was used to compare the analyzed parameters between
152 groups. For post-hoc analysis, the Mann-Whitney test with Bonferroni's adjustment was used
153 in order to avoid the experimental error rate in these cases. For all statistical tests, the same
154 level of significance was used ($p < 0.05$). Correlation coefficients (Pearson or Spearman
155 depending if normality condition could be assumed) were used to assess the correlation
156 between different clinical and optical quality parameters.

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RESULTS

Mean age of patients included in this prospective study was 47.5 years (Standard deviation, SD: 9.6; Range: 20 to 61 years). IOL implantation was performed in a total of 27 right eyes (52.94%) and 24 left eyes (47.06%), with only 7 cases of unilateral implantation (24.14%). Regarding the gender distribution, 12 patients were male (41.38%) and 17 female (58.62%). Preoperatively, mean axial length was 23.21 mm (SD: 2.14; Range: 19.23 to 28.52) and mean anterior chamber depth was 3.25 mm (SD: 0.41; Range: 2.52 to 4.26). Mean IOL spherical power implanted was 20.12 D (SD: 8.00; Range: 3.50 to 35.5) and mean IOL cylindrical power was 3.01 D (SD: 1.50; Range: 1.50 to 8.50).

Visual and refractive outcomes

Table 1 summarizes the preoperative and postoperative outcomes of the current series. As shown, a statistically significant improvement in UDVA of around 5 LogMAR lines was found on average postoperatively ($p < 0.01$). This visual change was consistent with a significant postoperative reduction of manifest cylinder in absolute terms ($p < 0.01$). A significant postoperative improvement was also found in CDVA ($p < 0.01$) as well as in UNVA ($p < 0.01$) and CNVA ($p < 0.01$) (Table 1).

Corneal topographic changes

Small in magnitude but statistically significant changes were detected in the keratometric readings after surgery ($p < 0.01$), as shown in Table 2. However, the magnitude of corneal astigmatism was not modified significantly with surgery ($p = 0.32$) (Table 2).

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Aberrometric outcomes

184 No statistically significant differences were found in the magnitude of tilt, higher
185 order, primary coma, and secondary astigmatism RMS between corneal, internal and ocular
186 measurements ($p \geq 0.17$) (Table 3). In contrast, significant differences were found in the
187 magnitude of total, trefoil, and tetrafoil RMS as well as in the magnitude of primary spherical
188 aberration ($p \leq 0.02$). Ocular total RMS was significantly lower than that corresponding to
189 corneal and internal optics ($p < 0.01$). However, ocular trefoil RMS was significantly higher
190 than corneal ($p = 0.02$) and internal values ($p = 0.02$). Regarding corneal primary spherical
191 aberration, it was significantly more positive than the internal ($p < 0.01$) and ocular ($p < 0.01$)
192 values. Furthermore, the internal tetrafoil RMS was significantly higher than the ocular RMS
193 associated to this aberration ($p = 0.03$).

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Comparison of axis of internal and corneal astigmatism

196 Figure 1 shows in a scatterplot the relationship between the 12-month postoperative
197 axis of internal astigmatism (3-mm pupil) and the preoperative axis of corneal astigmatism.
198 As shown, both axes were close to perpendicularity in almost all cases, with a mean absolute
199 angle between them of 87.16° (SD: 7.14 ; range: 67 to 103°). No significant correlations of this
200 mean absolute angle with any ocular aberrometric parameter were obtained ($-0.04 \leq r \leq 0.26$,
201 $p \geq 0.26$). No significant correlations of the mean absolute angle between axes of corneal and
202 internal astigmatism with postoperative visual and refractive data were found ($-0.08 \leq r \leq 0.26$,
203 $p \geq 0.17$), except for the postoperative cylinder ($r = 0.31$, $p = 0.03$) (Figure 2). All these same
204 trends were also observed in the remaining postoperative visits (1 month, mean: 88.29° , SD:
205 7.43° , range: 67 to 102° ; 3 months, mean: 88.24° , SD: 6.85° , range: 67 to 101° ; 6 months,
206 mean: 88.12° , SD: 6.70° , range: 67 to 101°). No significant differences between consecutive
207 postoperative visits were found in this parameter during the first 6 months of the follow-up

208 (1-3 months, $p=0.93$; 3-6 months, $p=0.46$). However, a small but significant change was
209 detected at 12 months (6-12 months, $p=0.01$). Mean misalignment was 5.98° (SD: 4.65; range:
210 0 to 23°), 5.33° (SD: 4.60; range: 0 to 23°), 5.25° (SD: 4.51; range: 0 to 23°), and 5.98° (SD:
211 4.76; range: 0 to 23°). Difference in this parameter between consecutive measurements
212 reached statistical significance at 3 ($p=0.02$) and 12 months ($p=0.04$).

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DISCUSSION

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216 In the current series, a statistically significant improvement in UDVA of
217 approximately five logMAR lines was found, which confirms the efficacy of this IOL for the
218 correction of corneal cylinder after cataract extraction in eyes with moderate to high
219 astigmatism. This finding was consistent with the distance visual improvement reported by
220 other authors using other modalities of toric IOLs^{1-9,12} as well as using the same IOL
221 model^{10,11}. As expected, this significant improvement in UDVA was combined with a
222 significant reduction in manifest cylinder. The significant decrease in refractive cylinder
223 found in the current series was consistent with those reported by other authors evaluating
224 other modalities of toric IOLs^{1-9,12}. Regarding the near vision, a significant improvement in
225 UNVA was found as well, with a final postoperative visual outcome very similar to that
226 reported in previous series using the same toric multifocal IOL^{10,11}. In addition, mean UNVA
227 was around J1, an excellent outcome comparable to the result obtained with the last
228 generation of multifocal IOLs^{23,24}. As the aberrometric and scattering effect of the cataractous
229 crystalline lens of most of cases was eliminated, a significant improvement in CDVA and
230 CNVA was also detected.

231 In the current study, mini-incision surgery (2.2 mm) was performed for the
232 implantation of the toric multifocal IOL selected. This allowed the surgeon to reduce the

233 surgical trauma and to minimize the surgically induced astigmatism, as in a previous series¹¹.
234 Indeed, no statistically significant changes after surgery were detected in corneal astigmatism
235 in the current series. This is a factor that contributed clearly to the predictable astigmatic
236 correction obtained with the evaluated IOL. Only a significant change was observed in
237 keratometric readings, but small in magnitude, not clinically relevant, and unable to induce
238 significant changes in corneal toricity. It should be considered that both micro (sub-1.8 mm)
239 and mini-incision phacoemulsification have been shown not to degrade the optical quality of
240 the cornea²⁵.

241 Regarding the analysis of aberrations, no significant differences were found in the
242 magnitude of tilt, higher order, primary coma, and secondary astigmatism RMS between
243 corneal, internal and ocular measurements. Specifically, a minimal contribution of the
244 intraocular optics to the global magnitude of these types of higher order aberrations was
245 observed. Furthermore, the magnitude of internal spherical aberration was very close to zero
246 and significantly lower than that for the corneal and total ocular optics. Two factors may have
247 accounted for such finding: the use of an aspheric curve for both IOL surfaces minimizing the
248 induction of this type of aberration, and a potential IOL neutralization effect of the minimal
249 spherical aberration arising from the posterior corneal surface²⁶. The internal optics was also
250 found to contribute to the induction of ocular trefoil and to the reduction of ocular tetrafoil.
251 This might be in relation with specific optical properties of the evaluated IOL. More studies
252 evaluating in detail the optical performance of the evaluated multifocal toric IOL should be
253 performed in the future. In any case, the internal aberrometric analysis shown in the current
254 study should be considered with caution because the accuracy of wavefront aberration
255 measurements have been demonstrated to be limited in some eyes implanted with diffractive
256 bifocal and multifocal IOLs²⁷. Indeed, a more significant aberrometric component may be
257 expected with the evaluated IOL due to its diffractive component. However, we have found

258 lower amounts of primary spherical aberration than those reported for eyes implanted with
259 monofocal IOLs²⁴. Possibly, the Hartmann-Shack aberrometer of the OPD-Scan II system is
260 unable to detect the highest order aberrations induced by the diffractive components of the
261 toric multifocal IOL. On the other hand, it should be also considered that the aberrometer
262 used did not provide a quantification of the forward scattering whose magnitude may be
263 relevant with a diffractive multifocal IOL. One potential drawback of the current study is the
264 absence of preoperative aberrometric measurements and therefore the absence of a
265 comparative statistical analysis of the significance of the change between preoperative and
266 postoperative visits. In any case, it should be considered that a large variability in the
267 preoperative levels of higher order aberrations would have been observed because patients
268 with visually significant cataract and therefore potentially large levels of higher order
269 aberrations as well as presbyopic/pre-presbyopic patients suitable for refractive lens exchange
270 with potentially no significantly altered aberrometric pattern were included.

271 The axis of internal astigmatism was found to be perpendicular or almost
272 perpendicular to the axis of corneal cylinder in the great majority of cases and during all
273 follow-up. This condition of orthogonality of both axes is indispensable for an efficacious
274 astigmatic correction. Therefore, the analysis of internal astigmatism axis in the analyzed
275 sample is consistent with an appropriately implanted and positioned toric IOL. Only
276 a minimal but statistically significant loss of the orthogonality between internal and corneal
277 astigmatic axes is observed at the end of the follow-up possibly due to capsular bag changes
278 affecting IOL position. A significant although limited correlation was found between the
279 postoperative cylinder and the difference in degrees between corneal and internal axes.
280 Specifically, the closer this difference to 90°, the lower was the magnitude of the
281 postoperative cylinder, as could be expected. Therefore, misalignments of the astigmatic
282 correction were able to induce a non-efficacious correction of the pre-existing corneal

283 astigmatism in some specific cases. Viestenz et al¹⁴ estimated that 11.5° of toric IOL rotation
284 would lead to residual astigmatism that is 40% of the initial astigmatic power and 3°, 10% of
285 the initial power. In terms of misalignment, we found in the current series a mean value at the
286 end of follow-up of 5.98°. This value was consistent with the mean absolute angle of error
287 (AE) calculated using the Alpins vector analysis and reported in a previous study on the same
288 toric multifocal IOL (mean: 5.76°, SD: 10.09; range, 0 to 76°). Likewise, our misalignment
289 was similar to that reported for other toric IOLs using the same device and procedure to
290 estimate it, the analysis of the axis of the internal astigmatism with the OPD-Scan II²².

291 In summary, the AT LISA 909M toric IOL provides a restoration of visual acuity at
292 far and near distances in the eyes with corneal astigmatism and undergoing cataract surgery
293 during the first year after implantation. This is achieved by means a predictable astigmatic
294 correction with apparent excellent levels of optical quality. The analysis of the internal
295 astigmatism by means of an integrated aberrometer (corneal + ocular aberrometry) confirms
296 the ability of this IOL to provide a predictable astigmatic correction and the stability of this
297 implant into the capsular bag, with a limited misalignment. This positional stability is a key
298 factor leading to a complete correction of astigmatim with this type of implant. More studies
299 evaluating the intraocular optics in eyes implanted with this modality of toric multifocal IOL
300 with other optical methodologies should be conducted to confirm our outcomes. An integrated
301 aberrometer seems to be a useful and recommendable tool for evaluating the outcomes
302 obtained with a toric IOL and understanding the cause of a non-efficacious astigmatic
303 correction. Furthermore, this type of devices can be useful to guide the surgeon for a proper
304 replacement of a toric IOL in case of a previous unsuccessful outcome.

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381 Table 1.- Summary of the preoperative and 12-month postoperative visual and
 382 refractive outcomes obtained in the analyzed sample.
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Mean (SD) Median (Range)	Preoperative	12-month postoperative	P-value (Wilcoxon test)
LogMAR UDVA	0.65 (0.33) 0.60 (0.17 to 1.22)	0.12 (0.13) 0.17 (0.00 to 0.49)	<0.01
Sphere (D)	+0.79 (5.87) +1.00 (-13.50 to +12.00)	+0.05 (0.53) 0.00 (-0.75 to +1.25)	0.29
Cylinder (D)	-2.25 (1.70) -1.50 (-7.25 to 0.00)	-0.40 (0.27) -0.50 (-1.00 to 0.00)	<0.01
Spherical equivalent (D)	-0.33 (6.03) -0.38 (-14.88 to +11.38)	-0.15 (0.53) -0.25 (-1.00 to +1.00)	0.99
LogMAR CDVA	0.14 (0.18) 0.00 (0.00 to 0.60)	0.05 (0.10) 0.00 (0.00 to 0.30)	<0.01
LogMAR UNVA	0.32 (0.15) 0.30 (0.10 to 0.49)	0.10 (0.09) 0.10 (0.00 to 0.30)	<0.01
LogMAR CNVA	0.17 (0.16) 0.17 (0.00 to 0.49)	0.06 (0.07) 0.00 (0.00 to 0.20)	<0.01

384 Abbreviations: SD, standard deviation; UDVA, uncorrected distance visual acuity;
 385 CDVA, corrected distance visual acuity; UNVA, uncorrected near visual acuity; CNVA,
 386 corrected near visual acuity.
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389 Table 2.- Summary of the preoperative and 12-month postoperative corneal
390 topographic changes obtained in the analyzed sample.

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Mean (SD) Median (Range)	Preoperative	12-month postoperative	P-value (Statistical test)
K1 (D)	44.96 (1.66) 45.00 (41.88 to 49.00)	45.20 (1.69) 45.18 (42.19 to 49.71)	<0.01 (Paired Student t)
K2 (D)	42.51 (1.85) 42.38 (39.17 to 46.40)	42.70 (1.85) 42.51 (39.80 to 47.87)	<0.01 (Paired Student t)
KM (D)	43.74 (1.66) 43.76 (40.96 to 47.55)	43.95 (1.69) 43.78 (41.43 to 48.79)	<0.01 (Paired Student t)
AST (D)	2.45 (1.14) 2.01 (1.10 to 6.62)	2.49 (1.08) 2.16 (1.18 to 6.50)	0.32 (Wilcoxon)

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393 Abbreviations: SD, standard deviation; K1, corneal dioptric power in the flattest
394 meridian for the 3-mm central zone; K2, corneal dioptric power in the steepest meridian for
395 the 3-mm central zone; KM, mean corneal power for the 3-mm central zone; AST, corneal
396 astigmatism for the 3-mm central zone; D, diopters.

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398 Table 3.- Summary of the 12-month postoperative corneal, intraocular and ocular
 399 aberrometric outcomes (5-mm pupil) obtained in the analyzed sample.

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Mean (SD) Median (Range)	Corneal aberrations	Intraocular aberrations	Ocular aberrations	P-value (Friedman test)
Total RMS (μm)	2.02 (0.75) 1.80 (1.10 to 4.77)	2.10 (0.76) 1.85 (1.00 to 4.66)	0.89 (0.40) 0.76 (0.32 to 2.19)	<0.01
Tilt RMS (μm)	0.43 (0.36) 0.36 (0.05 to 2.32)	0.43 (0.25) 0.39 (0.05 to 1.30)	0.36 (0.19) 0.32 (0.08 to 1.05)	0.19
Higher order RMS (μm)	0.31 (0.15) 0.27 (0.13 to 0.91)	0.31 (0.16) 0.28 (0.13 to 0.99)	0.30 (0.13) 0.26 (0.04 to 0.77)	0.21
Primary coma RMS (μm)	0.17 (0.13) 0.15 (0.03 to 0.87)	0.18 (0.11) 0.17 (0.03 to 0.61)	0.16 (0.11) 0.14 (0.01 to 0.57)	0.69
Trefoil RMS (μm)	0.14 (0.09) 0.12 (0.01 to 0.44)	0.16 (0.11) 0.14 (0.03 to 0.65)	0.18 (0.11) 0.16 (0.03 to 0.52)	0.02
Primary spherical aberration (μm)	0.14 (0.06) 0.14 (0.01 to 0.34)	0.06 (0.04) 0.06 (0.01 to 0.26)	0.09 (0.05) 0.10 (0.03 to 0.22)	<0.01
Secondary astigmatism RMS (μm)	0.09 (0.05) 0.09 (0.03 to 0.30)	0.09 (0.06) 0.08 (0.01 to 0.31)	0.06 (0.04) 0.05 (0.02 to 0.26)	0.17
Tetrafoil RMS (μm)	0.07 (0.04) 0.07 (0.01 to 0.20)	0.08 (0.04) 0.07 (0.01 to 0.18)	0.06 (0.03) 0.06 (0.01 to 0.17)	<0.01

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403 Abbreviations: SD, standard deviation; RMS, root mean square.

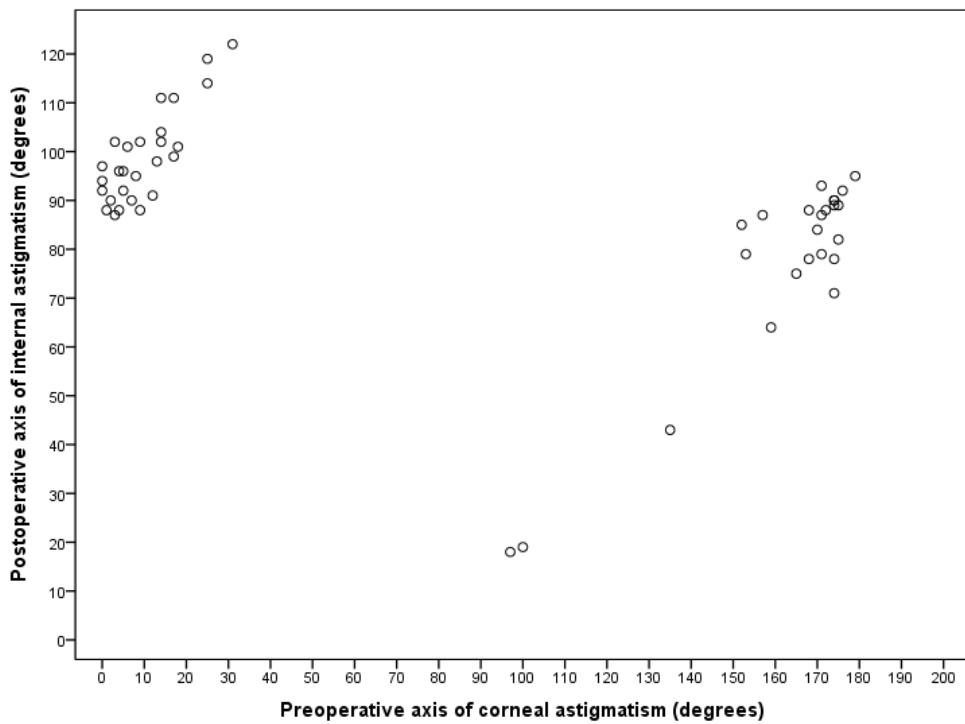
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Figure legends

Figure 1.- Scatterplot showing the relationship between the postoperative axis of internal astigmatism (3-mm pupil) obtained by means of the OPD scan II system and the preoperative axis of corneal astigmatism.



430 Figure 2.- Scatterplot showing the relationship between the magnitude of
431 postoperative manifest cylinder and the difference in axis between corneal and internal
432 astigmatism.

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