

1 **CUGC for Anophthalmia Including Next-Generation Sequencing Based Approaches**

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31 **1. Disease characteristics**

32 **1.1 Name of the Disease (Synonyms):**

33 See Table 1, Column 1 - "Name of Disease" and Column 2 "Alternative Names".

34

35 *Table 1 - Overview of disease associated with non-syndromic and syndromic anophthalmia/severe*
 36 *microphthalmia*

Name of Disease	Alternative Names	OMIM# of the Disease	Cytogenetic Location	Associated Gene(s)	OMIM# of Associated Gene(s)	Inheritance
Aniridia 1 (AN1)	Cataract, congenital, with late-onset corneal dystrophy	106210	11p13	<i>PAX6</i>	607108	Autosomal dominant
Bosma arhinia microphthalmia syndrome (BAMS)	Arhinia, choanal atresia, microphthalmia and hypogonadotropic hypogonadism	603457	18p11.32	<i>SMCHD1</i>	614982	Autosomal dominant
Branchiooculofacial syndrome	BOF syndrome Branchial clefts with characteristic facies, growth retardation, imperforate, nasolacrimal duct and premature aging Hemangiomatous brancial clefts lip pseudocleft syndrome Lip pseudocleft hemangiomatous branchial cyst syndrome	113620	6p24.3	<i>TFAP2A</i>	107580	Autosomal dominant
Cerebrooculonasal syndrome	-	605627	Unknown	-	Unknown	Unknown
Chromosome 1q41-q42 deletion syndrome	Holoprosencephaly 10 (HPE10)	612530	1q41-q42	-	-	Isolated cases
COMMAD syndrome	Coloboma, osteopetrosis, microphthalmia, macrocephaly, albinism, and deafness	617306	3p13	<i>MITF</i>	156845	Autosomal recessive
Dextrocardia with unusual facies and microphthalmia	-	221950	Unknown	-	-	Unknown
Focal dermal hypoplasia (FDH)	FODH DHOF Goltz syndrome Goltz-gorlin syndrome	305600	Xp11.23	<i>PORCN</i>	300651	X-linked dominant
Fraser syndrome 1 (FRASRS1)	Fraser syndrome Cryptophtalmos with other malformations Cryptophtalmos-syndactyly syndrome	219000	4q21.21	<i>FRAS1</i>	607830	Autosomal recessive

Fryns microphthalmia syndrome	Microphthalmia with facial clefting Anophthalmia-plus syndrome	<u>600776</u>	Unknown	-	-	Autosomal recessive
Fryns syndrome (FRNS)	Diaphragmatic hernia, abnormal face, and distal limb anomalies	<u>229850</u>	Unknown	-	-	Unknown
Joubert syndrome 21	-	<u>615636</u>	8q13.1-q13.2	<i>CSPP1</i>	<u>611654</u>	Autosomal recessive
Kapur-toriello syndrome	Long columella with cleft lip/palate and eye, heart and intestinal anomalies	<u>244300</u>	Unknown	-	-	Unknown
Linear skin defects with multiple congenital anomalies 1 (LSDMCA1)	Microphthalmia, syndromic 7; (MCOPS7) Microphthalmia with linear skin defects (MLS) Microphthalmia, dermal aplasia, and sclerocornea Midas syndrome	<u>309801</u>	Xp22.2	<i>HCCS</i>	<u>300056</u>	X-linked dominant
Manitoba oculotrichoanal syndrome (MOTA)	Marles syndrome	<u>248450</u>	9q22.3	<i>FREM1</i>	<u>608944</u>	Autosomal recessive
Meckel syndrome, Type 8 (MKS8)	-	<u>613885</u>	12q24.31	<i>TCTN2</i>	<u>613846</u>	Autosomal recessive
Microphthalmia with limb anomalies (MLA)	Waardenburg anophthalmia syndrome Anophthalmia- syndactyly Ophthalmocromelic syndrome (OAS)	<u>206920</u>	14q24.2	<i>SMOC1</i> <i>FNBP4</i>	<u>608488</u> <u>615265</u>	Autosomal recessive
Microphthalmia, isolated 1 (MCOP1)	MCOP Anophthalmia, clinical, isolated Microphthalmos, autosomal recessive	<u>251600</u>	14q32	-	-	Autosomal recessive
Microphthalmia, isolated 3 (MCOP3)	-	<u>611038</u>	18q21.32	<i>RAX</i>	<u>601881</u>	Autosomal recessive
Microphthalmia, isolated 4 (MCOP4)	-	<u>613094</u>	8q22.1	<i>GDF6</i>	<u>601147</u>	Autosomal dominant
Microphthalmia, isolated 8 (MCOP8)	-	<u>615113</u>	15q26.3	<i>ALDH1A3</i>	<u>600463</u>	Autosomal recessive
Microphthalmia, isolated, with coloboma 3 (MCOPCB3)	Microphthalmia, cataracts and iris abnormalities	<u>610092</u>	14q24.3	<i>VSX2</i>	<u>142993</u>	Autosomal recessive
Microphthalmia, isolated with coloboma 4 (MCOPCB4)	-	<u>251505</u>	Unknown	-	-	Unknown
Microphthalmia, isolated, with coloboma 10 (MCOPCB10)	-	<u>616428</u>	10q23.33	<i>RBP4</i>	<u>180250</u>	Autosomal dominant

Microphthalmia, syndromic 1 (MCOPS1)	Lenz microphthalmia syndrome Lenz dysplasia MAA	<u>309800</u>	Xq28	<i>NAA10</i>	<u>300013</u>	X-linked dominant and recessive
Microphthalmia, syndromic 2 (MCOPS2)	Oculofaciocardiodental syndrome OFCD syndrome Microphthalmia, cataracts, radiculomegaly and septal heart defects ANOP2 MAA2 Lenz microphthalmia syndrome	<u>300166</u>	Xp11	<i>BCOR</i>	<u>300485</u>	X-linked dominant and recessive
Microphthalmia, syndromic 3 (MCOPS3)	Microphthalmia and esophageal atresia syndrome Clinical anophthalmia with associated anomalies Anophthalmia-esophageal genital syndrome AEG syndrome	<u>206900</u>	3q26.33	<i>SOX2</i>	<u>184429</u>	Autosomal dominant
Microphthalmia, syndromic 4 (MCOPS4)	Microphthalmia with ankyloblepharon and mental retardation Microphthalmia-ankyloblepharon-intellectual disability syndrome ANOP1	<u>301590</u>	Xq27-q28	-	-	X-linked recessive
Microphthalmia, syndromic 5 (MCOPS5)	Retinal dystrophy, early-onset, with or without pituitary dysfunction	<u>610125</u>	14q22.3	<i>OTX2</i>	<u>600037</u>	Autosomal dominant
Microphthalmia, syndromic 6 (MCOPS6)	Microphthalmia and pituitary anomalies Microphthalmia with brain and digit developmental anomalies Anophthalmia, clinical, with micrognathia, malformed ears, digital anomalies, and abnormal external genitalia	<u>607932</u>	14q22.2 14q23.1	<i>BMP4</i> <i>SIX6</i>	<u>112262</u> <u>606326</u>	Autosomal dominant Autosomal Recessive
Microphthalmia, syndromic 9; (MCOPS9)	Anophthalmia, clinical, with mild facial dysmorphism and variable malformations of the lung, heart and diaphragm Anophthalmia/microphthalmia and pulmonary hypoplasia Pulmonary hypoplasia-diaphragmatic hernia-	<u>601186</u>	15q24.1	<i>STRA6</i>	<u>610745</u>	Autosomal recessive

	anophthalmia-cardiac defect (PDAC) Spear syndrome Matthew-wood syndrome Pulmonary agenesis, microphthalmia and diaphragmatic defect (PMD) Microphthalmia isolated with coloboma 8 (MCOPCB8)					
Microphthalmia, syndromic 11; (MCOPS11)	-	<u>614402</u>	10q25.3	VAX1	<u>604294</u>	Autosomal recessive
Microphthalmia, syndromic 12; (MCOPS12)	Microphthalmia with or without pulmonary hypoplasia diaphragmatic hernia and/or cardiac defects Matthew-wood syndrome	<u>615524</u>	3p24.2	RARB	<u>180220</u>	Autosomal dominant and recessive
Microphthalmia/coloboma and skeletal dysplasia syndrome (MCSKS)	Microphthalmia or coloboma with or without rhizomelic skeletal dysplasia Microphthalmia, syndromic 14; (MCOPS14)	<u>615877</u>	4q31.3	MAB21L2	<u>604357</u>	Autosomal dominant and recessive
Oculocerebrocutaneous syndrome (OCCS)	Orbital cyst with cerebral and focal dermal malformations Delleman syndrome	<u>164180</u>	Unknown	-	-	Unknown
Sakoda complex	Sphenoethmoidal encephalomeningocele agenesis of the corpus callosum and cleft lip/palate Sakoda spectrum	<u>610871</u>	Unknown	-	-	Unknown
Short-rib thoracic dysplasia 12 (SRTD12)	Short rib-polydactyly syndrome, type IV (SRPS4) Beemer-langer syndrome Short rib syndrome, beemer type	<u>269860</u>	Unknown	-	-	Unknown
Thoracoabdominal syndrome (THAS)	Midline defects, X-linked Pentalogy of cantrell	<u>313850</u>	Xq25-q26.1	-	-	X-linked

37

38 **1.2 OMIM# of the Disease:**

39 See Table 1, Column 3 - "OMIM# of the Disease".

40

41 **1.3 Name of the Analysed Genes or DNA/Chromosome Segments and OMIM# of the**
42 **Gene(s):**

43 **1.3.1 Core genes (irrespective of being tested by Sanger sequencing or next**
44 **generation sequencing)**

45 See Table 1, Column 4 – “Cytogenetic Location”, Column 5 - “Associated Gene(s)” and
46 Column 6 – “OMIM# of Associated Gene(s)”

47

48 **1.3.2 Additional genes (if tested by next generation sequencing, including Whole**
49 **exome/genome sequencing and panel sequencing)**

50 See Table 2, Column 1 – “Gene”, Column 2 - “Alternative Names”, Column 3 – “OMIM# of
51 Gene” and Column 4 “Cytogenetic Location”

52

53 *Table 2 – Additional genes associated with anophthalmia/severe microphthalmia, tested by next-*
54 *generation sequencing*

Gene	Alternative Names	OMIM# of Gene	Cytogenetic Location
BMP7	-	112267	20q13.31
YAP1	-	606608	11q22.1
TBC1D32	<i>C6orf170</i> <i>BROMI</i>	615867	6q22.31

55

56 **1.4 Mutational Spectrum:**

57 “True anophthalmia” is defined as abortion of eye development at the stage of the developing
58 optic vesicle (3-4 weeks gestation) leading to absence of the eye, optic nerve and chiasm.
59 However, more commonly “clinical anophthalmia” (often interchangeable with the term severe
60 microphthalmia, see Clinical Utility Gene Card for non-syndromic microphthalmia¹) occurs,
61 where a small cystic remnant is detectable on pathology/imaging. Clinical anophthalmia is
62 caused by the degeneration of the optic vesicle after it has formed, leading to the presence of
63 a hypoplastic optic nerve, chiasm or tract. Anophthalmia is part of the phenotypic continuum
64 with microphthalmia and coloboma, it can manifest bilaterally or unilaterally (with the
65 contralateral eye exhibiting associated ocular anomalies [complex], such as ocular coloboma,
66 microphthalmia, cataract and anterior segment dysgenesis)^{2,3}. In 33-95% of anophthalmia and
67 microphthalmia, associated systemic anomalies can be found, however, only 20-45% of these
68 cases are a result of a known syndrome²⁻⁴. The most common extraocular features associated
69 with anophthalmia/microphthalmia are craniofacial (including the face, ear and neck), limb and
70 musculoskeletal anomalies⁴⁻⁷.

71

72 A complex aetiology exists with chromosomal, monogenic and environmental causes
73 identified. Chromosomal anomalies, including aneuploidy, triploidy, translocations, deletions
74 and duplications account for 20-30% of anophthalmia/microphthalmia patients^{2,3,8,9}.
75 Anophthalmia is clinically and genetically heterogeneous, and may be inherited through
76 recessive (biallelic) or dominant modes, although most cases of non-syndromic anophthalmia
77 are sporadic and monoallelic resulting in haploinsufficiency such as with *PAX6* and *SOX2*. The
78 occurrence of *de novo* changes, mosaicism and non-penetrance makes prediction of the
79 inheritance pattern difficult. Diagnosis through molecular/genetic testing including next
80 generation sequencing and array comparative genomic hybridisation (aCGH), can identify the
81 genetic basis of bilateral anophthalmia or severe microphthalmia in 80% of cases, but this is
82 considerably lower for unilateral cases (<10%)^{1,2,10}. The low diagnostic frequency of unilateral
83 anophthalmia/severe microphthalmia indicates only a small number of disease-associated
84 genes have been identified, which is not surprising given the complexity of eye development.
85 Advances in next-generation sequencing technology will allow for the identification of

86 previously unidentified deletions, duplications, inversions, translocations, as well as non-
87 coding and splice variants¹². Whole exome sequencing/whole genome sequencing
88 (WES/WGS) screens all coding genes/the whole genome, which can increase the identification
89 of novel disease-associated variants, including genes in associated loci where no candidate
90 gene has yet been identified (Table 1), as well as eliminate loci which have been incorrectly
91 associated with a disease^{9,11}.

92
93 The major genes which account for anophthalmia broadly fall into two distinct categories (i)
94 eye field initiating transcription factors, such as *SOX2* (OMIM: 184429) and *OTX2* (OMIM:
95 600037), or (ii) retinoic acid signalling pathway components, including *STRA6* (OMIM:
96 610745), *ALDH1A3* (OMIM: 600463) and *RARB* (OMIM: 180220)^{10,13,14}.

97
98 Approximately 75% of incidences of bilateral anophthalmia or severe microphthalmia carry
99 monoallelic (heterozygous) loss-of-function variants in *SOX2*, *OTX2*, or biallelic (homozygous
100 or compound heterozygous) loss-of-function variants in *STRA6*^{10,15}. A wide spectrum of
101 variants have been implicated in anophthalmia, however, molecular analyses with larger
102 patient cohorts from a range of different ethnic backgrounds is required to detect novel variants
103 and more accurately estimate their relative contribution (Table 1, Table 2)^{7,10,16–19}.

104
105 The most common cause of bilateral anophthalmia and severe microphthalmia are
106 heterozygous variants of *SOX2*, with 76 known variants (63 of which are loss-of-function
107 deletion, frameshift and nonsense) accounting for up to 40% of cases^{4,10,15}. The most common
108 *SOX2* variant is the deletion NM_003106.3 c.70_89del20 (p.(Asn24Argfs*))¹⁰. The majority of
109 variants (60%) arise *de novo*, while 8% are known to be inherited¹⁰. Autosomal dominant
110 inheritance of disease-associated *SOX2* variants can be from an affected, non-penetrant or
111 mosaic parent^{4,10,20–22}. Haploinsufficiency of *SOX2* can cause isolated unilateral or bilateral
112 anophthalmia, in addition to Syndromic Microphthalmia 3 (MCOPS3), where extraocular
113 features include brain anomalies, neurocognitive delays, seizures, sensorineural hearing loss,
114 oesophageal atresia, short stature, microcephaly and genital anomalies^{4,23}.

115
116 Heterozygous variants in *OTX2* are the second most prevalent cause of anophthalmia, with 47
117 known variant alleles, 38 of which are loss-of-function variants including indel, frameshift and
118 nonsense^{4,10}. Approximately 40% of *OTX2* variants arise *de novo* and 35% are inherited^{10,15,24}.
119 The frequency of non-penetrance and variable expressivity is high with *OTX2* changes^{10,15,24–}
120 ²⁶. There have also been multiple confirmed cases of gonadal mosaicism^{10,15,24–26}. A recent
121 study reported that for 69 microphthalmia, anophthalmia and coloboma (MAC) patients with
122 an *OTX2* variant, in 10 cases a heterozygous *OTX2* variant was transmitted from an unaffected
123 parent, compared with eight cases of inheritance from an affected parent¹⁰. Patients with *OTX2*
124 associated anophthalmia/severe microphthalmia display extremely variable phenotypes, with
125 complex ocular abnormalities including anterior segment dysgenesis, retinal dystrophy and
126 hypoplasia or aplasia of the optic nerve and optic chiasm, and syndromic features including
127 pituitary abnormalities, hypopituitarism, brain anomalies, seizures and developmental
128 delay^{4,24,27,28}. The frequency of variable expressivity, non-penetrance and mosaicism for *OTX2*
129 variants may have implications for genetic counselling²⁵.

130
131 Biallelic *RAX* loss-of-variants account for 2-3% of anophthalmia and microphthalmia, and
132 include missense, nonsense, frameshift and splicing variants, as well as whole gene
133 deletions^{1,10,29–31}. Monoallelic carriers of *RAX* variants display no ocular phenotype, while
134 patients with biallelic changes are usually associated with bilateral severe microphthalmia,
135 alongside neurological features such as intellectual deficiency and autism^{10,30,31}.

136
137 Monoallelic loss-of-function *PAX6* changes account for 1-2% of MAC, and are commonly
138 associated complex ocular features, including aniridia, although infrequently associated with
139 systemic abnormalities¹⁰. Biallelic cases of *PAX6*, such as compound heterozygous variants,
140 usually result in termination of pregnancy or neonatal death¹⁰.

141
142 Variants in *STRA6* can contribute to bilateral anophthalmia, with 11 known missense and 15
143 loss-of-function¹⁰. *STRA6* changes which alter function can cause both non-syndromic and
144 syndromic anophthalmia, including Syndromic Microphthalmia 9 (MCOPS9) (OMIM: 601186),
145 where termination of pregnancy or death is seen within the first 2 years of life^{10,32–34}.

146
147 *ALDH1A3* variants have been estimated to occur in up to 10% of patients with bilateral
148 anophthalmia and microphthalmia, with 11 identified disease-associated variants
149 described^{10,35–37}. There has been a report of non-penetrance, and although systemic
150 abnormalities are rare, there is an association with behavioural problems such as autism^{37,38}.

151
152 Monoallelic and biallelic *RARB* alleles can cause anophthalmia/microphthalmia due to a loss-
153 of-function (such as (NM 000965.3) c.355C>T (p.Arg119*)) or gain-of-function (such as (NM
154 000965.3) c.1159C>T (p.Arg387Cys))^{13,14,35}. Disease associated *RARB* variants have been
155 associated with MCOPS9, resulting in the termination of pregnancy, neonatal death, or severe
156 developmental delay in those patients who survive the neonatal period^{13,14}.

157
158 Only 1% of MAC cases screened for *GDF6* found a disease-associated change, but variants
159 are associated with bilateral anophthalmia or severe microphthalmia^{10,16}. Variants of *GDF6* are
160 associated with Klippel Feil syndrome, where systemic features include congenital fusion of
161 the cervical spine vertebrae, a low posterior hairline and a short neck with limited mobility⁵.

162
163
164 Data was mined from primary literature or curated genomic and phenotype databases,
165 including Online Mendelian Inheritance in Man, OMIM (<http://omim.org/>); ClinVar, public
166 archive of interpretations of clinically relevant variants (<http://www.ncbi.nlm.nih.gov/clinvar/>);
167 Gene Reviews (<http://www.ncbi.nlm.nih.gov/books/NBK1116/>) and OrphaNet
168 (<https://www.orpha.net/consor/cgi-bin/Disease.php?lng=EN>). Novel data should be shared
169 through these databases. They were last accessed on 09 January 2019.

170

171 **1.5 Analytical Validation**

172 Sequencing of both DNA strands. Disease-associated variants should be confirmed using
173 genomic DNA from a new extraction. Causative variants found with next-generation
174 sequencing should be verified using Sanger sequencing or other specific molecular methods
175 (e.g. PCR digest); for further details, see the Eurogentest Guideline³⁹. It is important to look for
176 segregation to determine whether the variant is *de novo* in isolated cases, providing a higher
177 likelihood it affects function. In clinical practice, aCGH or multiplex ligation-dependent probe
178 amplification assay may be performed initially to detect deletions or duplications. Some
179 molecular service labs also offer fluorescence *in situ* hybridisation to identify rearrangements
180 or copy-number variation.

181

182 **1.6 Estimated Frequency of the Disease**

183 (Incidence at birth ("birth prevalence") or population prevalence. If known to be variable
184 between ethnic groups, please report):

185 The reported birth prevalence of anophthalmia ranges from 0.18-0.6 per 10,000, which is
186 consistent across most countries^{10,17,40–42}. In a prospective UK childhood incidence study of
187 MAC cases (11.9 per 100,000), clinical anophthalmia was rare, being present in only 5.2%
188 (7/135) of children under 16¹⁸. Of the anophthalmic cases, two were bilateral, three were
189 unilateral and two had microphthalmia or coloboma in the contralateral eye⁸. This study found
190 significant ethnicity differences in the annual live birth incidence, however, these associations
191 may be confounded by socioeconomic status¹⁸. There is no evidence of gender predilection.

192

193 Multiple births, maternal age over 40, low birthweight and low gestational age are associated
194 risk factors for anophthalmia^{6,7,18,40}. Furthermore, maternal smoking during early pregnancy,
195 exposure to certain medications (including the antibiotic nitrofurantoin) during early pregnancy
196 and maternal viral infections (including rubella, CMV and influenza) may increase the likelihood
197 of having a child with anophthalmia^{41,43-47}.
198

199 **1.7 Diagnostic Setting:**

	Yes.	No.
200 A. (Differential) diagnostics	—	—
201 B. Predictive Testing	—	—
202 C. Risk assessment in Relatives	—	—
203 D. Prenatal	—	—

205
206 Comment: Because of the time constraints of pregnancy, panel diagnostic or whole-exome
207 sequencing, or whole-genome sequencing (WES/WGS) filtering is preferred if there is a
208 request for prenatal diagnosis.
209
210

211 **2. Test characteristics**

		genotype or disease	
		present	absent
test	pos.	A	B
	neg.	C	D

A: true positives C: false negatives
 B: false positives D: true negatives

sensitivity: $A/(A+C)$
specificity: $D/(D+B)$
pos. predict. value: $A/(A+B)$
neg. predict. value: $D/(C+D)$

212
213

214 **2.1 Analytical Sensitivity**

215 (proportion of positive tests if the genotype is present in the analyte)

216 **2.1.1 If tested by conventional Sanger sequencing**

217 Less than 100%. The proportion is likely <100%, because primers may be localised on
 218 sequences containing SNVs or rare variants, which results in a preferential amplification of one
 219 allele (allele dropout). A supplementary deletion/duplication diagnostic test should be
 220 performed for genes with a known proportion of large genomic deletions/duplications as
 221 outlined in the section 'Analytical validation'.
 222

223 **2.1.2 If tested by Next-generation sequencing**

224 Less than 100%. The proportion is likely <100%, because there might be disease-associated
 225 variants in regions that could not be enriched and/or sequenced by next-generation
 226 sequencing owing to suboptimal coverage of some regions of interest with this technology but
 227 depending on next-generation sequencing strategy. If amplicon-based enrichment strategies
 228 are being used, primers may be localised on SNVs or rare variants, which results in preferential
 229 amplification of one allele. In patients with a highly suggestive phenotype in whom testing for
 230 specific gene alterations proves negative, a supplementary deletion/duplication diagnostic test
 231 should be performed for genes with a known proportion of large genomic deletions/duplications
 232 as outlined in the section 'Analytical validation'.
 233

234 **2.2 Analytical Specificity**

235 (proportion of negative tests if the genotype is not present)

236 **2.2.1 If tested by conventional Sanger sequencing**

237 Nearly 100%. False positives may at the most arise owing to misinterpretation of rare
 238 polymorphic variants.
 239

240 **2.2.2 If tested by Next-generation sequencing**

241 Less than 100%. The risk of false positives owing to misinterpretation of rare polymorphic
 242 variants may be higher compared with Sanger sequencing because of greater number of
 243 analysed genes.
 244

245 **2.3 Clinical Sensitivity**

246 (proportion of positive tests if the disease is present)

247

248 **2.3.1 If tested by conventional Sanger sequencing**

249

250 Of those patients that undergo genetic testing of known causative genes with Sanger
 251 sequencing, <10% of patients with unilateral isolated anophthalmia will receive a molecular
 252 diagnosis. Those with bilateral severe cases will have a 75% diagnostic rate if aCGH and the
 253 coding regions of the following 4 genes are screened; *SOX2*, *OTX2*, *PAX6*, *STRA6*₁₅.
 254

255 **2.3.2 If tested by Next-generation sequencing**
256 See section “If tested by conventional Sanger sequencing”. Variant detection rates are higher
257 when combined WES with aCGH and high-resolution analysis of intragenic microdeletions and
258 microduplications are performed. WGS may aid in the detection of function-affecting variants
259 in the promotor region, introns and other non-coding regulatory elements, and provide better
260 coverage than exome sequencing. Regulatory element disruption in anophthalmia remains
261 largely uncharacterised.
262

263 **2.4 Clinical Specificity**
264 (proportion of negative tests if the disease is not present)

265 **2.4.1 If tested by conventional Sanger sequencing**
266 Unknown, however, if anophthalmia is not present, it is unlikely that a positive test will be
267 detected.
268

269 **2.4.2 If tested by Next-generation sequencing**
270 See section “If tested by conventional Sanger sequencing”.
271

272 **2.5 Positive clinical predictive value**
273 (lifetime risk to develop the disease if the test is positive)

274 Anophthalmia is a congenital anomaly; hence, patients will be born with this defect, therefore
275 nearly 100%.
276

277 **2.6 Negative clinical predictive value**
278 (Probability not to develop the disease if the test is negative).
279 Nearly 100% as a congenital anomaly (but need to check no evidence of microphthalmia
280 through axial length measurements).

281 Index case in that family had been tested:
282 Nearly 100%. If the non-affected relative is not a carrier of an identified disease-associated
283 change, they have no increased risk, except a small risk related to the prevalence in the
284 general population.

285
286 Index case in that family had not been tested:
287 Unknown.
288

289 **3. Clinical Utility**

290 **3.1 (Differential) diagnostics: The tested person is clinically affected**
291 (To be answered if in 1.9 "A" was marked)

292 **3.1.1 Can a diagnosis be made other than through a genetic test?**

293
294 No. = (continue with 3.1.4)
295 Yes, =

296	clinically.	=
297	imaging.	=
298	endoscopy.	=
299	biochemistry.	=
300	electrophysiology.	=
301	other (please describe):	

302

303 **3.1.2 Describe the burden of alternative diagnostic methods to the patient**

304 Prenatal diagnosis can be performed through 2D or 3D ultrasonography during the second
305 trimester (or at 12 weeks post-conception with a transvaginal ultrasound) or foetal magnetic
306 resonance imaging (MRI) to visualise the orbit^{2,48–50}. However, ultrasound examination may
307 appear normal in affected fetuses, particularly in early scans where eye development is
308 arrested after initial formation of the early eye cup⁵¹.

309
310 Postnatal diagnosis can be made through clinical examination. In order to define whether
311 anophthalmia is "true" or "clinical/severe microphthalmia", MRI brain and orbit imaging can be
312 used to determine the absence of the globe, optic nerve and optic chiasm or amorphous tissue
313 with a hypoplastic optic nerve, respectively^{2,52}.

314
315 A diagnosis of anophthalmia can be made relatively easily and cost-effectively, but if this
316 anomaly is seen, children should be investigated within a multidisciplinary team, including
317 paediatricians and clinical geneticists, to ensure this is not part of a syndrome. Further
318 monitoring may be required as syndromic manifestations may present later in childhood.
319

320 **3.1.3 How is the cost effectiveness of alternative diagnostic methods to be judged?**

321 Clinical examination and ultrasound imaging provides a cost-effective diagnosis¹.

322

323 **3.1.4 Will disease management be influenced by the result of a genetic test?**

324 No.

325

326 Yes.

327 Therapy (please describe) -

328 Prognosis (please describe) Yes, if a variant in a gene is associated with a syndrome,
329 it may lead to further investigations for systemic
330 involvement to prevent morbidity and maximise function,
331 e.g. patients with SOX2 anophthalmia syndrome suffer
332 from a range of multisystem abnormalities including
333 seizures and sensorineural deafness, hence early
334 diagnosis will lead to prompt supportive treatment,
335 having long term health economic benefits.

336
337 Management (please describe) Anophthalmia should be managed by specialists with
338 expertise in this condition. Socket expansion using
339 enlarging conformers can minimise facial deformity,
340 which can be started very soon after birth. In patients with
341 anophthalmia, there is often an underdevelopment of the
342 bony orbit, eyelid or fornices. Without intervention, the
343 socket remains underdeveloped and prevents the ability
344 for prosthesis later in life. Additionally, in unilateral cases
345 may lead to more pronounced facial asymmetry. The
346 cosmetic deformity may result in psychological stress for
347 the patient in the social environment. Introduction of
348 socket expanders to add volume to the socket facilitates
349 the progressive growth. Additionally, supportive
350 treatment for associated systemic abnormalities
351 identified by genetic diagnosis must be monitored e.g.
352 reversal of sleep pattern treated with melatonin
353 supplements, growth assessment due to pituitary
354 abnormalities, such as in the case of *SIX6* variants⁵².

355 Genetic counselling should be provided for the patient
356 and family where appropriate, especially if the mode of
357 inheritance can be identified².

358

359 **3.2 Predictive Setting: The tested person is clinically unaffected but carries an**
360 **increased risk based on family history**

361 (To be answered if in 1.9 "B" was marked)

362 **3.2.1 Will the result of a genetic test influence lifestyle and prevention?**

363

364 If the test result is **positive** (please describe)

365 Anophthalmia is a congenital eye anomaly, therefore, if it is not clinically present at birth then
366 this will not develop later in life. However, if an individual is clinically unaffected but a carrier,
367 this information will inform family planning if the mode of inheritance can be identified.

368

369 If the test result is **negative** (please describe)

370 If the clinically unaffected person has a negative test result, no further follow-up is required.

371 The result will inform family planning.

372

373 **3.2.2 Which options in view of lifestyle and prevention does a person at-risk have if no**
374 **genetic test has been done (please describe)?**

375 A patient with anophthalmia has no vision in the affected eye. If there is bilateral involvement,
376 in addition to other syndromic features, this may impact all aspects of lifestyle including
377 schooling and future profession. Hence, a clinical diagnosis can help to provide support from
378 an early age for both the patient and family, at home and at school, and guide career and
379 work choices.

380

381 **3.3 Genetic risk assessment in family members of a diseased person**

382 (To be answered if in 1.9 "C" was marked)

383 **3.3.1 Does the result of a genetic test resolve the genetic situation in that family?**

384 Yes, although there may be variable expressivity, non-penetrance and germline mosaicism,
385 which will complicate the advice that can be given.

386

387 **3.3.2 Can a genetic test in the index patient save genetic or other tests in family**
388 **members?**

389 If a disease-associated change is identified in the index patient, family members can be
390 tested, but ophthalmic examination is also helpful, for example to ascertain microphthalmia
391 or other related ocular features on the phenotypic continuum. Test negative family members,
392 who are clinically unaffected, do not need any further investigation or monitoring.

393 **3.3.3 Does a positive genetic test result in the index patient enable a predictive test in**
394 **a family member?**

395 Yes, if the variant is known.

396

397 **3.4 Prenatal diagnosis**

398 (To be answered if in 1.9 "D" was marked)

399 **3.4.1 Does a positive genetic test result in the index patient enable a prenatal**
400 **diagnosis?**

401 Yes. Germline mosaicism and/or variable penetrance render the prediction of recurrence risk
402 difficult in monogenic anophthalmic individuals; however, molecular genetic studies for
403 known variants are possible on amniotic fluid foetal cells withdrawn after 14 weeks of
404 gestation or on chorionic villus sampling at 10–12 weeks gestation, and can facilitate the
405 diagnosis of anophthalmia^{1,2,51}. In addition, trans-vaginal ultrasonography enables the
406 detection of anophthalmia from 12 weeks gestation, through 2D or 3D ultrasonography
407 during the second trimester or using foetal magnetic resonance imaging (MRI) to visualise
408 and analyse the orbit of a foetus^{2,48–50}.

409
410 Non-invasive prenatal diagnosis of aneuploidies and some monogenic disorders can be
411 achieved by molecular testing of cell-free foetal DNA (cffDNA) from maternal plasma^{53–58}.
412 While non-invasive prenatal diagnosis of anophthalmia is not currently available, the reduced
413 risk of non-invasive, early screening (7-9 weeks), makes cffDNA a valuable emerging tool for
414 diagnosis of genetic disorders, particularly for patients with known risk^{53,54}.

415
416 **4. If applicable, further consequences of testing**

417 Please assume that the result of a genetic test has no immediate medical consequences. Is
418 there any evidence that a genetic test is nevertheless useful for the patient or his/her
419 relatives? (Please describe)

420 Identifying the genetic cause can aid in identifying additional syndromic features in addition to
421 guiding genetic counselling by identifying the mode of inheritance. Preimplantation diagnosis
422 may be an option for bilateral anophthalmia/severe microphthalmia.

423

424 **Acknowledgement**

425 This work was supported by EuroGentest2 (Unit 2: "Genetic testing as part of health care"), a
426 Coordination Action under FP7 (Grant Agreement Number 261469) and the European Society
427 of Human Genetics. MM gratefully acknowledges the support of the Wellcome Trust,
428 Moorfields Eye Charity and National Institute for Health Research (NIHR) Biomedical
429 Research Centre based at Moorfields Eye Hospital NHS Foundation Trust and UCL Institute
430 of Ophthalmology.

431 **Conflict of Interest**

432 The authors declare no conflict of interest.

433

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595

596 **ABSTRACT:**

597

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599

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627

628

629 **1. Name of the Disease (Synonyms):**

630 See Table 1, Column 1 - "Name of Disease" and Column 2 "Alternative Names".

631

632 **2. OMIM# of the Disease:**

633 See Table 1, Column 3 - "OMIM# of Disease".

634

635 **3. Name of the Analysed Genes or DNA/Chromosome Segments:**

636 See Table 1, Column 5 - "Associated Gene(s)" and see Table 2, Column 1 – "Gene" and

637 Column 2 - "Alternative Names".

638

639 **4. OMIM# of the Gene(s):**

640 See Table 1, Column 6 – "OMIM# of Associated Gene(s)" and see Table 2, Column 3 –

641 "OMIM# of Gene"

642

643

644

645 Review of the analytical and clinical validity as well as of the clinical utility of DNA-based
646 testing for mutations in the gene(s) in — diagnostic,
647 — predictive and
648 — prenatal settings and for
649 — risk assessment in relatives.