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Aberrations in Notch-Hedgehog signalling reveal cancer stem cells harbouring

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conserved oncogenic properties associated with hypoxia and immunoevasion

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Running title: Pan-cancer signature of Notch-Hedgehog signalling

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17

18 **Abstract**

19 **Background:**

20 Cancer stem cells (CSCs) have innate abilities to resist even the harshest of therapies. To
21 eradicate CSCs, parallels can be drawn from signalling modules that orchestrate pluripotency.
22 Notch-Hedgehog hyperactivation are seen in CSCs, yet, not much is known about their
23 conserved roles in tumour progression across cancers.

24 **Methods:**

25 Employing a comparative approach involving 21 cancers, we uncovered clinically-relevant,
26 pan-cancer drivers of Notch and Hedgehog. GISTIC datasets were used to evaluate copy
27 number alterations. Receiver operating characteristic and Cox regression were employed for
28 survival analyses.

29 **Results:**

30 We identified a Notch-Hedgehog signature of 13 genes exhibiting high frequencies of somatic
31 amplifications leading to transcript overexpression. The signature successfully predicted
32 patients at risk of death in five cancers(n=2,278): glioma(P<0.0001), clear cell renal
33 cell(P=0.0022), papillary renal cell(P=0.00099), liver(P=0.014) and stomach(P=0.011). The
34 signature was independent of other clinicopathological parameters and offered additional
35 resolution to stratify similarly-staged tumours. High-risk patients exhibited features of
36 stemness and had more hypoxic tumours, suggesting that hypoxia may influence CSC
37 behaviour. Notch-Hedgehog⁺ CSCs had an immune privileged phenotype associated with
38 increased regulatory T cell function.

39 **Conclusion:** This study will set the stage for exploring adjuvant therapy targeting the Notch-
40 Hedgehog axis to help optimise therapeutic regimes leading to successful CSC elimination.

41 **Background:**

42 Tumours are far from homogeneous masses, yet many contemporary therapies continue to
43 treat them as such. It has become increasingly clear that a minor population of tumour cells
44 known as cancer stem cells (CSCs) contribute to treatment resistance as they have the
45 propensity to tolerate DNA damage(1,2) and evade immune detection(3) to give rise to new
46 tumours post therapy. Identification of CSCs has remained a challenging endeavour since
47 they only make up a small proportion of the tumour and are histologically similar to non-
48 stem cancer cells. Moreover, molecular markers that identify CSCs are often cancer-type
49 dependent, which limit their broad scale applications(4). CSCs share many qualities with
50 embryonic or adult stem cells. For example, activation of signalling pathways involved in
51 coordinating cellular homeostasis, morphogenesis and cell fate determination (TGF- β , Wnt,
52 Notch and Hedgehog) are often seen in CSCs. These pathways rarely act in isolation and
53 significant crosstalk between them have been reported(5).

54

55 In order to fully exploit these pathways for CSC therapy, pan-cancer explorations are
56 warranted to reveal conserved components that can be prioritised as therapeutic targets.
57 Concentrating on Notch and Hedgehog signalling pathways, we seek to attain a
58 comprehensive understanding of how somatic copy number alterations and expression
59 profiles of pathway genes along with their downstream targets could influence tumour
60 progression and prognosis. The role of Notch signalling in oncogenesis was initially
61 discovered in T cell acute lymphoblastic leukaemia(6). Since then, multiple studies on Notch
62 signalling have demonstrated both oncogenic and tumour suppressive functions in
63 haematological and solid malignancies, implying its pleiotropic nature that is very much
64 dependent on cellular types(7). Hedgehog is a morphogen that regulates a signalling cascade

65 involving the Smoothed protein to influence morphogenetic processes such as
66 proliferation and differentiation(8). Interactions between Notch and Hedgehog signalling
67 have been demonstrated in multiple cancers. *Hes1*, a Notch effector, is targeted by *sonic*
68 *hedgehog* in neural cells(9). When *Patched*, a negative regulator of Hedgehog, is abrogated in
69 mice, this gives rise to medulloblastoma with enhanced Notch signalling(10). Hedgehog
70 signalling promotes the expression of *Jagged2* (a Notch ligand)(11) and in ovarian cancer
71 mice models, inhibition of *Jagged1* would sensitise tumours to docetaxel treatment by
72 affecting *GLI2* function(12). Concurrent activation of Hedgehog and Notch signalling was
73 observed in prostate cancer cells that were resistant to docetaxel(13). Glioblastoma treated
74 with a Notch inhibitor was subsequently desensitised to further Notch suppression as they
75 upregulate Hedgehog signalling(14).

76

77 These studies highlight the importance of Notch-Hedgehog interactions in cancer, which calls
78 for a better understanding of their relationship and also to reveal crosstalk with other
79 pathways involved in regulating CSC function. Harnessing genomic and transcriptomic
80 sequences of 21 cancer types, we performed a comprehensive investigation linking genomic
81 alterations to transcriptional dysregulation of Notch-Hedgehog pathway genes. We
82 discovered conserved patterns of Notch-Hedgehog hyperactivation across cancers and
83 revealed putative driver genes that were associated with CSC phenotypes underpinning poor
84 clinical outcomes. We also examined the relationship between the tumour
85 microenvironment (hypoxia and immune suppression) and Notch-Hedgehog⁺ CSCs. In-depth
86 knowledge of the Notch-Hedgehog signalling axis afforded by this study will set the stage for
87 exploring combinatorial chemotherapy targeting both pathways simultaneously to potentially
88 eradicate CSCs.

89 **Materials and Methods:**

90 A total of 72 genes associated with Notch and Hedgehog signalling were retrieved from the
91 Kyoto Encyclopedia of Genes and Genomes (KEGG) database listed in Table S1.

92

93 **Study cohorts**

94 We retrieved transcriptomic and genomic profiles of 21 cancer types (n=18,484) including
95 their non-tumour counterparts from The Cancer Genome Atlas and Broad Institute GDAC
96 Firehose(15) (Table S2).

97

98 **Somatic copy number alterations analyses**

99 We retrieved Firehose Level 4 copy number variation datasets in the form of GISTIC gene-
100 level tables, which provided discrete amplification and deletion indicators(16). A sample was
101 defined as ‘deep amplification’ for values that were higher than the maximum median copy-
102 ratio for each chromosome arm (+2). Samples with values less than the minimum median
103 copy-ratio for each chromosome arm were called ‘deep deletions’ (-2). GISTIC indicators of
104 +1 and -1 represented shallow amplifications and deletions respectively.

105

106 **Calculating Notch-Hedgehog 13-gene scores, hypoxia scores and regulatory T cell (Treg) scores**

107 The Notch-Hedgehog 13-gene signature was employed to calculate a score for each patient.
108 It comprised of the following genes: *JAG1*, *LFNG*, *DTX2*, *DLL3*, *GPR161*, *PSENEN*, *GLI1*, *HES1*,
109 *PTCRA*, *DTX3L*, *ADAM17*, *KIF7* and *NOTCH1*. Hypoxia scores were calculated from 52 hypoxia
110 signature genes(17). Treg scores were calculated based on the overlap between four Treg
111 signatures(18–21), consisting of 31 genes: *FOXP3*, *TNFRSF18*, *TNFRSF9*, *TIGIT*, *IKZF2*, *CTLA4*,
112 *CCR8*, *TNFRSF4*, *IL2RA*, *BATF*, *IL2RB*, *CTSC*, *CD27*, *PTTG1*, *ICOS*, *CD7*, *TFRC*, *ERI1*, *GLRX*, *NCF4*,

113 *PARK7, HTATIP2, FCRL3, CALM3, DPYSL2, CSF2RB, CSF1, IL1R2, VDR, ACP5* and *MAGEH1*.
114 Scores were calculated from the average log₂ expression values of 13, 52 or 31 genes
115 representing Notch-Hedgehog, hypoxia and Tregs respectively. Kaplan-Meier analyses of the
116 Notch-Hedgehog signature were performed on patients separated into quartiles based on
117 their 13-gene scores. For analyses in Figures 4, 5 and 6, patients were separated into four
118 groups using median 13-gene scores and median CSC transcription factor expression levels
119 (*EZH2, REST* and *SUZ12*), hypoxia scores or Treg scores as thresholds for Kaplan-Meier and
120 Cox regression analyses. Nonparametric Spearman's rank-order correlation tests were used
121 to investigate the relationship between 13-gene scores and TF expression levels, hypoxia
122 scores or Treg scores.

123

124 **Multidimensional scaling, differential expression and survival analyses**

125 As per the journal's guidelines, we have not repeated methods here as we have previously
126 published detail methods for multidimensional scaling (MDS), differential expression and
127 survival analyses(22–24). Briefly, MDS analysis was employed to visualise samples' distance
128 (tumour and non-tumour) in reduced 2-dimensional space. The R *vegan* package was
129 employed for MDS ordination using Euclidean distances. Permutational multivariate analysis
130 of variance (PERMANOVA) was used to investigate statistical differences between tumour
131 and non-tumour samples. The linear model and Bayes method was employed for differential
132 expression analyses, followed by the Benjamini-Hochberg false discovery rate method.
133 Kaplan-Meier, Cox proportional hazards and receiver operating characteristic survival
134 analyses were performed using R *survminer*, *survival* and *survcomp* packages.

135

136 **Functional enrichment and transcription factor (TF) analyses**

137 Differential expression analyses as mentioned previously were performed on patients
138 separated into quartiles 4 and 1 based on their 13-gene scores. Differentially expressed
139 genes were mapped against KEGG and Gene Ontology (GO) databases using GeneCodis(25)
140 to determine pathways that were enriched. The Enrichr tool was used to determine whether
141 differentially expressed genes were enriched for stem cell TFs binding targets by comparing
142 chromatin immunoprecipitation sequencing profiles from ChEA and ENCODE databases(26).
143
144 The R ggplot2 and pheatmap packages were used to generate all plots.

145 **Results:**

146

147 **Recurrently amplified driver genes associated with Notch and Hedgehog activation in 21**
148 **diverse cancer types**

149

150 To characterise the extent of Notch and Hedgehog signalling and identify common molecular
151 subtypes, we examined somatic copy number alterations (SCNAs) and differential expression
152 (tumour versus non-tumour) patterns of 72 genes in 18,484 cases of clinically annotated
153 stage I to IV samples representing 21 cancer types (Fig. 1A; Table S1; Table S2). We found
154 that 70 out of 72 genes were recurrently amplified in at least 20% of samples per cancer type
155 in at least one cancer type (Fig. 1A). Lung squamous cell carcinoma (LUSC) had the highest
156 fraction of samples harbouring amplified Hedgehog genes, while endometrial cancer (UCEC)
157 had the fewest somatic gains (Fig. 1B). When considering Notch gene amplifications, LUSC
158 also emerged as the top candidate while clear cell renal cell carcinoma (KIRC) had the fewest
159 number of Notch gene amplifications (Fig. 1B). In terms of focal deletions, this was also the
160 highest in LUSC for Hedgehog genes and renal chromophobe carcinoma (KICH) for Notch
161 genes (Fig. 1B).

162

163 Focusing on recurrently amplified genes, we identified 35 genes (Hedgehog pathway: 13
164 genes; Notch pathway: 22 genes) that were gained in >20% of samples and in at least one-
165 third of cancer types (> 7 cancers) (Fig. 1C). *GLI3*, *SMURF1*, *RBPJL*, *JAG1*, *LFNG* and *DTX2* were
166 some of the most amplified genes present in at least 18 cancers (Fig. 1C). In contrast, *KIF7*,
167 *NOTCH1*, *MAML* and *ADAM17* were the least amplified genes (Fig. 1C). LUSC had the highest
168 number of amplified genes (34 genes) followed by 33 genes in oesophageal carcinoma (ESCA)

169 and stomach and oesophageal carcinoma (STES) and 32 genes in stomach adenocarcinoma
170 (STAD) and bladder urothelial carcinoma (BLCA) (Fig. 1C). In contrast, only 8 genes were
171 amplified in UCEC (Fig. 1C).

172

173 SCNA events associated with overexpression could represent candidate driver genes since
174 positive correlations between gene amplification and overexpression are indicative of a gain-
175 of-function(27). Differential expression analyses between tumour and adjacent non-tumour
176 samples revealed that 13 of the amplified genes were also significantly upregulated (> 1.5
177 fold-change, $P < 0.05$) in tumours of at least 7 cancer types (Fig. 1C). These genes were
178 prioritised as a Notch-Hedgehog signature potentially representative of multiple cancers:
179 *JAG1, LFNG, DTX2, DLL3, GPR161, PSENEN, GLI1, HES1, PTCRA, DTX3L, ADAM17, KIF7* and
180 *NOTCH1* (Fig. 1C).

181

182

183 **A 13-gene Notch-Hedgehog signature predicts survival outcomes in five cancers**

184

185 Tumours displayed various degrees of somatic gains and overexpression of Notch-Hedgehog
186 pathway genes (Fig. 1), suggesting that aberrant activation of these pathways may influence
187 disease progression and survival outcomes. We employed univariate Cox proportional
188 hazards regression analyses to test the prognostic roles of individual Notch-Hedgehog
189 signature genes across 20 cancer types where survival data is available. Prognosis appeared
190 to tissue type-dependent (Fig. S1). All 13 genes were prognostic in the glioma dataset
191 (GBMLGG), consisting of samples from patients with astrocytoma, oligoastrocytoma,
192 oligodendroglioma and glioblastoma multiforme (Fig. S1). A majority of the genes (9 out of

193 13) were associated with poor prognosis (hazard ratio [HR] > 1, P<0.05) (Fig. S1). However,
194 despite showing high frequencies of SCNAs (Fig. 1C), none of the 13 genes harboured
195 prognostic information in patients with LUSC, cholangiocarcinoma (CHOL) or oesophageal
196 carcinoma (ESCA) (Fig. S1).

197

198 We next considered all 13 genes as a group in assessing prognosis. For each patient, we
199 calculated their 13-gene scores by taking the average expression of all genes. Patients were
200 separated into survival quartiles based on their 13-gene scores. Remarkably, Kaplan-Meier
201 estimates and log-rank tests revealed that the 13-gene signature accurately predicted
202 patients at higher risk of death in five cancer types (n=2,278): glioma (P<0.0001), clear cell
203 renal cell (P=0.0022), papillary renal cell (P=0.00099), liver (P=0.014) and stomach (P=0.011)
204 (Fig. 2A). Patients within the 4th quartile had significantly poorer survival rates compared to
205 those within the 1st quartile: glioma (HR=3.386, P<0.0001), clear cell renal cell (HR=2.177,
206 P=0.00048), papillary renal cell (HR=4.881, P=0.0053), liver (HR=2.627, P=0.0039) and
207 stomach (HR=2.217, P=0.014) (Table S3). When comparing tumour and non-tumour
208 expression patterns, Mann-Whitney-Wilcoxon tests revealed that a vast majority of the 13
209 genes were significantly upregulated in tumours of these cancers (Fig. S2) where
210 hyperactivation of Notch-Hedgehog signalling was associated with adverse survival outcomes
211 (Fig. 2A). Multidimensional scaling analyses revealed that the 13 genes could accurately
212 distinguish tumour from non-tumour samples in these cancers (Fig. 2B), suggesting that
213 Notch-Hedgehog transcriptional states could be used to identify cells with oncogenic
214 properties.

215

216 Multivariate Cox proportional hazards regression was used to determine whether the
217 signature was confounded by other clinicopathological features. Tumour, node, metastasis
218 (TNM) staging is frequently used for patient stratification. Even after accounting for TNM
219 staging, the signature remained an independent predictor of survival: clear cell renal cell
220 (HR=1.731, P=0.014), papillary renal cell (HR=2.297, P=0.042), liver (HR=2.146; P=0.024) and
221 stomach (HR=2.161, P=0.017) (Table S3). Given that both the signature and tumour stage
222 were independent of each other, we reason that the signature could be used to improve
223 TNM staging. We observed that Notch-Hedgehog driver genes offered an additional
224 resolution in tumour classification for further stratification of similarly staged tumours in
225 these cancers: clear cell renal cell (P<0.0001), papillary renal cell (P<0.0001), liver (P<0.0001)
226 and stomach (P=0.0068) (Fig. 3A).

227

228 Glioma samples are classified into four histological categories with varying severity: low-
229 grade astrocytoma, low-grade oligodendroglioma, low-grade oligoastrocytoma (consisting of
230 both abnormal astrocytoma and oligodendroglioma cells), and grade IV glioblastoma
231 multiforme. Kaplan-Meier analyses of glioma samples grouped by histology revealed that the
232 signature remained prognostic in astrocytoma (P=0.038), oligoastrocytoma (P=0.0018) and
233 glioblastoma multiforme (P=0.045) (Fig. 3B). Patients with low-grade gliomas stratified by the
234 signature into the 4th quartile had significantly higher death risks compared to those within
235 the 1st quartile: astrocytoma (HR=2.535, P=0.021), oligoastrocytoma (HR=4.169, P=0.014)
236 and glioblastoma multiforme (HR=2.163, P=0.042) (Table S3).

237

238 To evaluate the predictive performance of the signature, we employed receiver operating
239 characteristic (ROC) analyses and compared area under the curves (AUCs) derived from the

240 signature versus those derived from TNM staging. The signature had greater sensitivity and
241 specificity in predicting 5-year overall survival compared to TNM staging: papillary renal cell
242 (AUC=0.796 vs. AUC 0.640) and stomach (AUC=0.710 vs. AUC=0.561) (Fig. 3C). Importantly,
243 when used as a combined model with TNM staging, it outperformed either the signature or
244 TNM when considered alone, suggesting that incorporating molecular subtype information
245 on Notch-Hedgehog signalling allowed more precise stratification: clear cell renal cell
246 (AUC=0.802), papillary renal cell (AUC=0.812), liver (AUC=0.720) and stomach (AUC: 0.728)
247 (Fig. 3C). In terms of predicting prognosis in glioma subtypes, performance of the signature
248 was the best in oligoastrocytoma (AUC=0.823), followed by glioblastoma multiforme.
249 (AUC=0.761) and astrocytoma (AUC=0.743) (Fig. 3C). The signature also performed well when
250 all glioma subtypes were considered as a group (AUC=0.815) (Fig. 3C).

251

252

253 **The Notch-Hedgehog signature identifies molecular subtypes with stem cell-like features**

254

255 Notch-Hedgehog hyperactivation is associated with increased mortality rates (Fig. 2, 3). To
256 further investigate the underlying biological consequences of augmented Notch-Hedgehog
257 signalling and how they lead to adverse outcomes, we performed differential expression
258 analyses on all transcripts comparing high- and low-risk patients as predicted by the 13-gene
259 signature. The liver cancer cohort had the highest number of differentially expressed genes
260 (DEGs): 3,015 genes ($-1.5 > \log_2 \text{ fold change} > 1.5$; $P < 0.01$) (Table S4). This was followed by
261 glioma (1,407 genes), stomach (906 genes), papillary renal cell (817 genes) and clear cell
262 renal cell (545 genes) carcinoma (Table S4). Despite having very different pathologies, there
263 was a great deal of DEG overlap between these cancers. 14 DEGs were found in all five

264 cancers, 164 DEGs were observed in at least four cancers and 470 DEGs in at least three
265 cancers (Fig. S3A), implying conserved biological roles of Notch-Hedgehog signalling in driving
266 disease progression.

267

268 KEGG pathway analyses on DEGs demonstrated enrichments of pathways involved in
269 regulating self-renewal and pluripotency, i.e. Wnt, TGF- β , MAPK, JAK-STAT and PPAR
270 signalling (Fig. 3D; Fig. S3B), suggesting that tumours with hyperactive Notch-Hedgehog
271 signalling were characterised by molecular footprints of stemness and that there was
272 significant crosstalk between Notch-Hedgehog and other pathways involved in controlling
273 tumour initiation(28,29). Additionally, Gene Ontology analyses revealed significant
274 enrichments of processes related to cell differentiation, cell proliferation, embryo
275 development and morphogenesis (Fig. 3D), supporting the hypothesis that tumour
276 aggression and elevated mortality could be caused by the presence of cancer stem cells
277 (CSCs) that are likely to be refractory to therapy. Consistent with these results, Enrichr
278 transcription factor (TF) analyses revealed that TFs associated with stem cell function
279 appeared amongst top enriched candidates (Fig. 3D). DEGs were enriched as binding targets
280 of SUZ12, REST, EZH2, SMAD4 and FOXM1 as supported by both ChEA and ENCODE
281 databases (Fig. 3D). Binding targets of SUZ12 and EZH2 were consistently enriched across all
282 five cancer types, while targets of REST and SMAD4 were enriched in all cancers except for
283 clear cell renal cell carcinoma (Fig. 3D). These TFs were thought to induce epithelial-
284 mesenchymal transition and promote invasion and metastasis consistent with their roles in
285 tumour initiation and maintenance(30–32).

286

287 To independently confirm that the 13-gene signature is a potential pan-cancer marker of
288 CSCs, we performed Spearman's correlation analyses to compare 13-gene scores with
289 expression profiles of other CSC markers where we would expect to see positive correlations.
290 We examined expression profiles of nine genes implicated in CSC regulation: *CD105*, *CD133*,
291 *CD200*, *CD24*, *CD29*, *CD44*, *CD73*, *CD90* and *NESTIN*. Putative neural CSC markers are *CD133*,
292 *NESTIN*, *CD105* and *CD44*(33). We observed significant positive correlations between 13-gene
293 scores and all four markers in glioma samples (Fig. S4). *CD105*, *CD29*, *CD44*, *CD73*, *CD90* and
294 *NESTIN* were positively correlated with 13-gene scores in renal cancers (Fig. S4); an
295 observation which is consistent with these genes being markers of renal CSCs(34). Seven and
296 four CSC markers were positively correlated with 13-gene scores in liver and stomach cancers
297 respectively (Fig. S4). Given the tissue-specific nature of these genes, we would not expect to
298 see positive correlations in all cases. Nonetheless, our results overall suggest that hyperactive
299 Notch-Hedgehog signalling is associated with CSC phenotypes, contributing to tumour
300 aggression and poor survival outcomes.

301

302

303 **Transcription factors involved in self-renewal processes influence survival outcomes in patients**
304 **with hyperactive Notch-Hedgehog signalling**

305

306 Previously, we observed that binding targets of TFs associated with stem cell function were
307 enriched amongst DEGs (Fig. 3D). Polycomb proteins, EZH2 and SUZ12 have been implicated
308 in CSC formation and maintenance(35,36). REST is a transcriptional repressor involved in
309 maintaining embryonic and neural stem cell phenotypes(37). Given their roles in CSC
310 maintenance, we would expect to see elevated expression of these TFs in tumours with

311 hyperactive Notch-Hedgehog signalling. Indeed, we observed significant positive correlations
312 between 13-gene scores and *EZH2* levels in glioma ($\rho=0.45$; $P<0.0001$), clear cell renal cell
313 ($\rho=0.22$; $P<0.0001$), papillary renal cell ($\rho=0.33$; $P<0.0001$) and liver ($\rho=0.26$; $P<0.0001$)
314 cancers (Fig. 4A). Additionally, in the glioma cohort, positive associations between 13-gene
315 scores and *REST* ($\rho=0.39$; $P<0.0001$) or *SUZ12* ($\rho=0.17$; $P<0.0001$) profiles were observed
316 (Fig. 4D).

317

318 To determine whether these associations harboured prognostic information, patients were
319 categorised by their 13-gene scores and expression profiles of individual TFs into four
320 categories: 1) high 13-gene score and high TF expression, 2) high 13-gene score and low TF
321 expression, 3) low 13-gene score and high TF expression and 4) low 13-gene score and low TF
322 expression (Fig. 4A and 4D). Interestingly, combined relationship of the signature and TF
323 expression profiles allowed further delineation of patients into additional risk groups: glioma
324 (*EZH2*: $P<0.0001$; *REST*: $P<0.0001$ and *SUZ12*: $P<0.0001$), clear cell renal cell (*EZH2*:
325 $P<0.0001$), papillary renal cell (*EZH2*: $P=0.029$) and liver (*EZH2*: $P<0.00057$) cancers (Fig. 4B
326 and 4E). Patients with high 13-gene scores that concurrently harboured high TF expression
327 had the poorest survival outcomes: glioma (*EZH2*: HR=5.141, $P<0.0001$; *REST*: HR=3.646,
328 $P<0.0001$; *SUZ12*: HR=3.596, $P<0.0001$), clear cell renal cell (*EZH2*: HR=2.854, $P<0.0001$),
329 papillary renal cell (*EZH2*: HR=4.391, $P=0.0099$) and liver (*EZH2*: HR=2.685, $P=0.0005$) cancers
330 (Fig. 4C and 4F). Taken together, our results suggest that coregulation by Notch-Hedgehog
331 signalling and CSC TFs could synergistically contribute to more advanced disease states.

332

333

334 **Tumour hypoxia exacerbates disease phenotypes in Notch-Hedgehog⁺ CSCs**

335

336 Hypoxia is intricately linked to pluripotency as it promotes stem cell maintenance and self-
337 renewal in both embryonic stem cells and CSCs(38), in part, through modulating hypoxia-
338 inducible factor (HIF) function(39). For example, glioma stem cells are typically found in the
339 vicinity of necrotic regions that are hypoxic(40). Glioma stem cells have increased ability to
340 stimulate angiogenesis through VEGF upregulation(41) and inhibition of HIFs could reduce
341 CSC survival, self-renewal and proliferation(40). We reason that hypoxia functions to
342 maintain CSC niches. To assess the levels of tumour hypoxia, we employed a 52-hypoxia gene
343 signature(17) for calculating hypoxia scores in each patient by taking the average expression
344 of hypoxia signature genes(17). Indeed, we observed significant positive correlations
345 between Notch-Hedgehog⁺ CSCs and hypoxia scores in glioma ($\rho=0.33$, $P<0.0001$) and clear
346 cell renal cell carcinoma ($\rho=0.16$, $P=0.00031$) (Fig. 5A). By grouping patients based on their
347 13-gene and hypoxia scores, this joint model allowed the identification of patients with
348 potentially more hypoxic tumours harbouring Notch-Hedgehog⁺ CSCs, which influenced
349 overall survival rates: glioma ($P<0.0001$) and clear cell renal cell carcinoma ($P=0.00013$) (Fig.
350 5B). Indeed, patients with high CSC and hypoxia scores had significantly poorer survival
351 outcomes: glioma (HR=6.008; $P<0.0001$) and clear cell renal cell carcinoma (HR=2.389,
352 $P<0.0001$) (Fig. 5C). The CSC-hypoxia model is also prognostic in glioma subtypes:
353 astrocytoma (HR=5.052, $P<0.0001$), oligoastrocytoma (HR=16.717, $P=0.0066$) and
354 glioblastoma (HR=2.686, $P=0.022$) (Fig. 5B and 5C). Our results suggest that hypoxic zones
355 within tumours could very well represent CSC niches.

356

357

358 **Putative Notch-Hedgehog⁺ CSCs are potentially immune privileged**

359

360 Cancer progression is negatively correlated with immunocompetence of the host and
361 evidence points to the role of CSCs in immunomodulation(3,42). CSCs reside within niches
362 that are often protected from environmental insults as well as attacks by the immune
363 system. Hypoxic zones not only serve as CSC niches (Fig. 5)(43), but also attract
364 immunosuppressive cells such as regulatory T cells (Tregs)(22,44), tumour-associated
365 macrophages(45) and myeloid-derived suppressor cells(46). Given that positive associations
366 between Notch-Hedgehog⁺ CSCs and hypoxia were linked to poor progression in glioma and
367 clear cell renal cell carcinoma, we hypothesize that tumours characterised by these features
368 would be immune privileged or hypoimmunogenic.

369

370 To test this hypothesis, we retrieved a list of 31 genes that represent tumour-infiltrating
371 Tregs. This gene list was identified from the overlap of four Treg signatures(18–21) to yield a
372 more representative profile of tumour-infiltrating Tregs that is not specific to a single cancer
373 type. A Treg score for each patient within the glioma and clear cell renal cell carcinoma
374 cohorts was calculated as the mean expression of the 31 genes. We observed significant
375 positive correlations between Treg scores and the Notch-Hedgehog 13-gene scores in both
376 cohorts, supporting the hypothesis that CSCs are potentially hypoimmunogenic: glioma
377 ($\rho=0.43$; $P<0.0001$) and clear cell renal cell carcinoma ($\rho=0.31$; $P<0.0001$) (Fig. 6A). As
378 performed previously, patients were separated into four groups based on their 13-gene and
379 Treg scores. When used in combination with the Notch-Hedgehog signature, Treg expression
380 profiles allowed further separation of patients into additional risk groups that influenced
381 overall survival: glioma ($P<0.0001$) and clear cell renal cell carcinoma ($P<0.0001$) (Fig. 6B).
382 Intriguingly, patients characterised by high 13-gene and Treg scores had significantly higher

383 mortality rates compared to those with low 13-gene and Treg scores: glioma (HR=4.921,
384 P<0.0001) and clear cell renal cell carcinoma (HR=2.968, P<0.0001) (Fig. 6C). This was also
385 true for other histological subtypes of glioma: astrocytoma (HR=2.721; P=0.0032),
386 oligoastrocytoma (HR=5.431; P=0.0091) and glioblastoma (HR=3.065; P=0.0068) (Fig. 6C).
387 Taken together, our results suggest that CSCs found within immunosuppressed environments
388 are likely to be more aggressive.

389 **Discussion and Conclusion:**

390

391 Aberrations in the Notch-Hedgehog signalling axis are frequently implicated in malignant
392 progression. Hedgehog genes, *Shh*, *PTCH1* and *GLI1*, were detected in over 50% of liver
393 cancer tumours and inhibition of Hedgehog signalling by cyclopamine, Smoothed
394 antagonist or anti-SHH resulted in decreased cell growth and increased apoptosis(47). Notch
395 signalling is also activated in liver cancer and this leads to formation of liver tumours in
396 mice(48). Notch blockade using γ -secretase inhibitors reduced cell viability in hepatoma cell
397 lines(48). In clear cell renal cell carcinoma, inhibition of Notch signalling reduced anchorage-
398 independent growth and mice treated with Notch inhibitors had impaired growth of
399 transplanted cancer cells(49). Elevated expression of Notch ligands correlated with
400 aggressiveness and poor survival rates in stomach cancer(50).

401

402 These studies have paved the road for understanding the role of Notch-Hedgehog signalling
403 in carcinogenesis. However, large-scale comparative studies investigating the similarities and
404 differences in Notch-Hedgehog signalling across multiple cancer types have remained limited.
405 We interrogated expression and mutational profiles of 72 genes from Notch and Hedgehog
406 pathways in 21 diverse cancer types involving 18,484 patients. Our integrated analysis of
407 genomic, transcriptomic and clinical data revealed molecular distinct tumour subtypes that
408 were characterised by Notch-Hedgehog hyperactivation. Concentrating on 13 Notch-
409 Hedgehog driver genes that were recurrently amplified and overexpressed, we found that
410 these genes were associated with clinically relevant molecular features of stemness. The
411 biological consequences of elevated expression of driver genes were manifold. High-risk
412 patients showed overexpression of genes associated with other stem cell-related pathways

413 such as Wnt, JAK-STAT and TGF- β signalling (Fig. 3D. and S3B)(51). Simultaneous inhibition of
414 Notch and JAK-STAT pathways by combined AG-490 and GSI IX therapy impaired pancreatic
415 cancer progression(52). *GLI2* is regulated by both Hedgehog and TGF- β pathways and others
416 have surmised that TGF- β may potentiate Hedgehog signalling cascade by increasing *GLI2*
417 availability, contributing to metastasis(53). Hence, our study reveals molecular targets with
418 overlapping functions that can be prioritised to improve therapeutic outcomes.

419

420 Furthermore, binding targets of stem cell-related TFs (*EZH2*, *SUZ12* and *REST*) were enriched
421 amongst genes upregulated in high-risk patients (Fig. 4). *EZH2* synergises with Notch-
422 Hedgehog⁺ CSCs to worsen survival outcomes in patients with glioma, renal and liver cancers
423 (Fig. 4). Pharmacological inhibition of *EZH2* impaired glioblastoma CSC tumour-initiating
424 capacity and survival(35). *EZH2*-mediated transcriptional silencing leads to the maintenance
425 of undifferentiated states in glioblastoma through *STAT3* activation(54). In liver cancer, *EZH2*
426 overexpression is associated with vascular invasion, malignant progression(55) and activation
427 of β -catenin/Wnt signalling(56). Inhibition of *EZH2* in renal cancer cell lines led to increased
428 apoptosis(57). Additionally, enrichments of *SUZ12* and *REST* targets in glioma patients with
429 hyperactive Notch-Hedgehog signalling were linked to significantly poorer prognosis (Fig. 4D
430 and 4E). *REST* is implicated in transcriptional regulation of neuronal stem cells(37), while the
431 overexpression of *SUZ12* is linked to tumour progression(58).

432

433 An exploration of the relationship between Notch-Hedgehog hyperactivation and tumour
434 microenvironmental qualities revealed associations of CSCs with hypoxia and
435 immunosuppression. We observed that CSCs characterised by hyperactive Notch-Hedgehog
436 signalling exhibited immune privileged features associated with the attenuation effects of

437 Tregs (Fig. 6). Effectiveness of immunotherapy is biased towards differentiated cells that
438 make up the tumour bulk due to distinct antigen presentation in CSCs(59). CD133⁺ glioma
439 CSCs fail to express NK cell ligands or MHCI, which prevents immune detection(60).
440 Stimulatory NK cell ligands are also downregulated in breast CSCs, contributing to evasion
441 from NK cell killing(61). Pan et al. elegantly reviewed recent initiatives focusing on
442 immunotherapeutic agents against CSC antigens employing dendritic cell vaccines, myeloid-
443 derived suppressor cell-based approaches and the use of immune checkpoint blockades
444 recognising *PD-1* or *CTLA4*(59). The Notch-Hedgehog signature may be used to stratify
445 patients prior to immunotherapy.

446

447 Immuno-evasion can be exacerbated by tumour hypoxia as the latter not only promotes CSC
448 survival, but also creates an environment that facilitates further immune suppression(22). It
449 may be possible that Notch-Hedgehog⁺ CSCs in glioma and clear cell renal cell carcinomas are
450 more frequently found within immunosuppressed hypoxic zones (Fig. 5). Indeed, hypoxia
451 could stimulate self-renewal of CD133⁺ glioma stem cells and this is abrogated by HIF-1 α
452 knockdown(62). Hypoxia promotes the maintenance of undifferentiated states through the
453 activation of Notch-responsive genes in neuronal progenitors(39). Hypoxia also activates
454 cellular reprogramming of non-stem cancer cells into CSCs in glioblastoma by inducing the
455 expression of *Oct4*, *Nanog* and *c-Myc*(63). Glioma stem cells are pro-angiogenic due to
456 promiscuous secretions of VEGF that is further induced by hypoxia(41). Bevacizumab, which
457 targets VEGF, could suppress xenographs derived from glioma stem cells but not those
458 derived from non-stem glioma cells(41). In renal cancer, we observed that Notch-Hedgehog⁺
459 CSCs are likely to be enriched in hypoxic tumours and the combined effects of hypoxia and
460 augmented Notch-Hedgehog signalling resulted in further elevation of death risks (Fig. 5).

461 However, Sjölund et al. observed that Notch signalling is not enhanced by hypoxia in renal
462 cancer(49). Another study on renal CSCs revealed that hypoxia did not affect the
463 differentiation potential of CD105⁺ CSCs(64). Nonetheless, hypoxia was found to induce the
464 expression of stem cell markers, *Oct4*, *Nanog*, *c-Myc* and *Klf4* in renal cancer cell lines,
465 supporting our observation, and in another ten cancers including cervix, lung, colon, liver and
466 prostate(65).

467

468 Although prospective validation is warranted, the results presented in this work support a
469 model where Notch-Hedgehog hyperactivation is linked to stemness and that hypoxia
470 contributes to the maintenance of undifferentiated phenotypes and the reduction of anti-
471 tumour immunity. The use of immune checkpoint blockade has been increasingly tried in
472 malignancy(66). Hence, molecular signatures capable of discerning responders from non-
473 responders will be valuable prior to the administration of these expensive drugs. As an
474 independent prognostic indicator in five cancer types involving 2,278 patients, the Notch-
475 Hedgehog gene signature may serve as a staging point for exploring combinatorial
476 treatments that simultaneously target CSCs, hypoxia and tumour immunity.

477

478 **Additional Information**

479

480 **Ethics approval and consent to participate:** No ethics approval or consent to participate is
481 required as this study is conducted using publicly available datasets from The Cancer
482 Genome Atlas.

483

484 **Consent for publication:** Not applicable.

485

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488

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490

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492

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498

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693

694 **Figure Legends:**

695

696 **Figure 1. Pan-cancer drivers of Notch-Hedgehog signalling. (A)** Schematic diagram illustrating
697 the study design and the identification of Notch-Hedgehog driver genes, which represent the
698 13-gene signature. SCNA and expression profiles of 72 Notch-Hedgehog pathway genes were
699 interrogated in 21 cancer types involving 18,484 patients. We identified 70 genes as
700 amplified in at least 20% of samples and 35 genes that were amplified in at least 20% of
701 samples in at least 7 cancer types. Differential expression analyses between tumour and non-
702 tumour samples revealed that the 13 recurrently amplified genes were also upregulated,
703 potentially indicating a gain-of-function. These 13 genes were prioritised as a Notch-
704 Hedgehog signature, which was prognostic in five cancer types involving 2,278 patients.
705 Associations of the signature with tumour microenvironmental features of hypoxia and
706 immunity were also investigated. Pie slices indicate the number of samples within each
707 cancer type. **(B)** Stacked bar graphs represent the proportion of samples in each cancer type
708 with SCNA of Hedgehog and Notch pathway genes. Width of the bars reflect the number of
709 samples within each cancer. **(C)** Somatic gains and differential expression profiles of 35
710 Notch-Hedgehog genes that were recurrently amplified in at least 7 cancer types (one-third
711 of all cancers). Cumulative bar charts on the left represent the number of cancers with at
712 least 20% of samples with somatic amplification. Heatmap on the left represents the extent
713 of somatic gains for each of the 35 genes separated into Hedgehog and Notch signalling
714 pathways across 21 cancers. Heatmap intensities depict the fraction of the cohort in which a
715 given gene is amplified. Columns (cancer types) were ordered using Euclidean distance
716 metric and hierarchical clustering to reveal cancers that were similar. Heatmap on the right
717 represents tumour and non-tumour differential expression values (\log_2) for the 35 genes.

718 Genes highlighted in red represent the 13 Notch-Hedgehog signature genes. Cancer
719 abbreviations were listed in Table S2.

720

721 **Figure 2. The Notch-Hedgehog 13-gene signature predicts patient survival in five cancers. (A)**

722 Kaplan-Meier estimates for overall survival using the signature. Patients were ranked and
723 quartile stratified into Q1 (<25%), Q2 (25-50%), Q3 (50-75%) and Q4 (>75%) based on their
724 13-gene scores. P values were determined using the log-rank test. **(B)** Separation of tumour
725 from non-tumour samples using the signature. Ordination plots of MDS analysis of the
726 signature using Euclidean distances to represent tumour and non-tumour samples in 2-
727 dimensional space. PERMANOVA test confirmed statistically significant differences between
728 tumour and non-tumour samples.

729

730 **Figure 3. The Notch-Hedgehog 13-gene signature is independent of TNM stage and predicts**

731 **overall survival in glioma histological subtypes. (A)** Kaplan-Meier analyses were performed on

732 patients stratified according to TNM stages and 13-gene scores. Patients were first separated
733 into TNM stage and then median-stratified into low- and high-score groups based on their

734 13-gene scores. P values were determined using the log-rank test. **(B)** Kaplan-Meier estimates

735 for overall survival using the signature on glioma subtypes ranging from low-grade
736 (astrocytoma, oligoastrocytoma) to high-grade gliomas (glioblastoma multiforme). Patients

737 were first stratified by histological subtypes followed by quartile stratification into Q1 (<25%),

738 Q2 (25-50%), Q3 (50-75%) and Q4 (>75%) based on their 13-gene scores. P values were

739 determined using the log-rank test. **(C)** Predictive performance of the signature. The receiver

740 operating characteristic (ROC) analysis was used to assess specificity and sensitivity of the

741 signature in predicting 5-year overall survival. ROC curves generated from the signature were

742 compared to those generated from TNM staging and a combined model uniting TNM stage
743 and the signature. AUCs for TNM stage were in accordance with previous publications
744 employing TCGA datasets(2,22,51). AUC: area under the curve. TNM: tumour, node and
745 metastasis. **(D)** Enriched biological pathways and transcription factor binding associated with
746 DEGs. Differential expression analyses were performed between Q4 and Q1 patients
747 followed by mapping of DEGs against KEGG, Gene Ontology, ChEA and ENCODE databases.

748

749 **Figure 4. Prognostic significance of a combined model of the Notch-Hedgehog signature and**
750 **transcription factors (*EZH2*, *SUZ12* and *REST*) involved in pluripotency maintenance. (A)** Scatter
751 plots demonstrate significant positive correlations between 13-gene scores and *EZH2*
752 expression profiles in four cancers. Patients were stratified into four categories based on
753 median 13-gene scores and *EZH2* expression. Density plots depict the distribution of 13-gene
754 scores and *EZH2* expression at the y- and x-axes respectively. **(B)** Kaplan-Meier analyses were
755 performed on the four patient categories to ascertain the combined relationship of the
756 signature and *EZH2* expression on overall survival. **(C)** Table inset depicts univariate Cox
757 proportional hazards analyses of the relationship between *EZH2* and the signature in four
758 cancer types. **(D)** Scatter plots demonstrate significant positive correlations between 13-gene
759 scores and *REST* or *SUZ12* expression levels in glioma. Patients were stratified into four
760 categories based on median 13-gene score and *REST* or *SUZ12* expression. Density plots
761 depict the distribution of 13-gene scores and *REST* or *SUZ12* expression at the y- and x-axes
762 respectively. **(E)** Kaplan-Meier analyses were performed on the four patient categories to
763 ascertain the combined relationship between the signature and *REST* or *SUZ12* expression on
764 overall survival in glioma. **(F)** Table inset depicts univariate Cox proportional hazards analyses

765 of the relationship between *REST* or *SUZ12* and the signature in glioma. CI: confidence
766 interval. Significant P values are highlighted in bold.

767

768

769 **Figure 5. Positive associations between Notch-Hedgehog⁺ CSCs and tumour hypoxia in glioma**
770 **and clear cell renal cell carcinoma. (A)** Scatter plots demonstrate significant positive
771 correlations between 13-gene and hypoxia scores. Patients were stratified into four
772 categories based on median 13-gene and hypoxia scores. Density plots depict the distribution
773 of 13-gene and hypoxia scores at the y- and x-axes respectively. **(B)** Kaplan-Meier analyses
774 were performed on the four patient categories to ascertain the combined relationship of the
775 signature and tumour hypoxia on overall survival. Contribution of hypoxia on Notch-
776 Hedgehog⁺ CSCs were also determined in histological subtypes of glioma (astrocytoma,
777 oligoastrocytoma and glioblastoma multiforme). **(C)** Table inset demonstrates univariate Cox
778 proportional hazards analyses of the relationship between tumour hypoxia and the signature
779 in glioma and clear cell renal cell carcinoma. CI: confidence interval. Significant P values are
780 highlighted in bold.

781

782

783 **Figure 6. Positive associations between Notch-Hedgehog⁺ CSCs and immunosuppression in**
784 **glioma and clear cell renal cell carcinoma. (A)** Scatter plots demonstrate significant positive
785 correlations between 13-gene and Treg scores. Patients were stratified into four categories
786 based on median 13-gene and Treg scores. Density plots depict the distribution of 13-gene
787 and Treg scores at the y- and x-axes respectively. **(B)** Kaplan-Meier analyses were performed
788 on the four patient categories to ascertain the combined relationship of the signature and

789 Treg-mediated immunosuppression on overall survival. Contribution of Tregs on Notch-
790 Hedgehog⁺ CSCs were also determined in histological subtypes of glioma (astrocytoma,
791 oligoastrocytoma and glioblastoma multiforme). **(C)** Table inset demonstrates univariate Cox
792 proportional hazards analyses of the relationship between Tregs and the signature in glioma
793 and clear cell renal cell carcinoma. CI: confidence interval. Significant P values are highlighted
794 in bold.
795

Figure 1

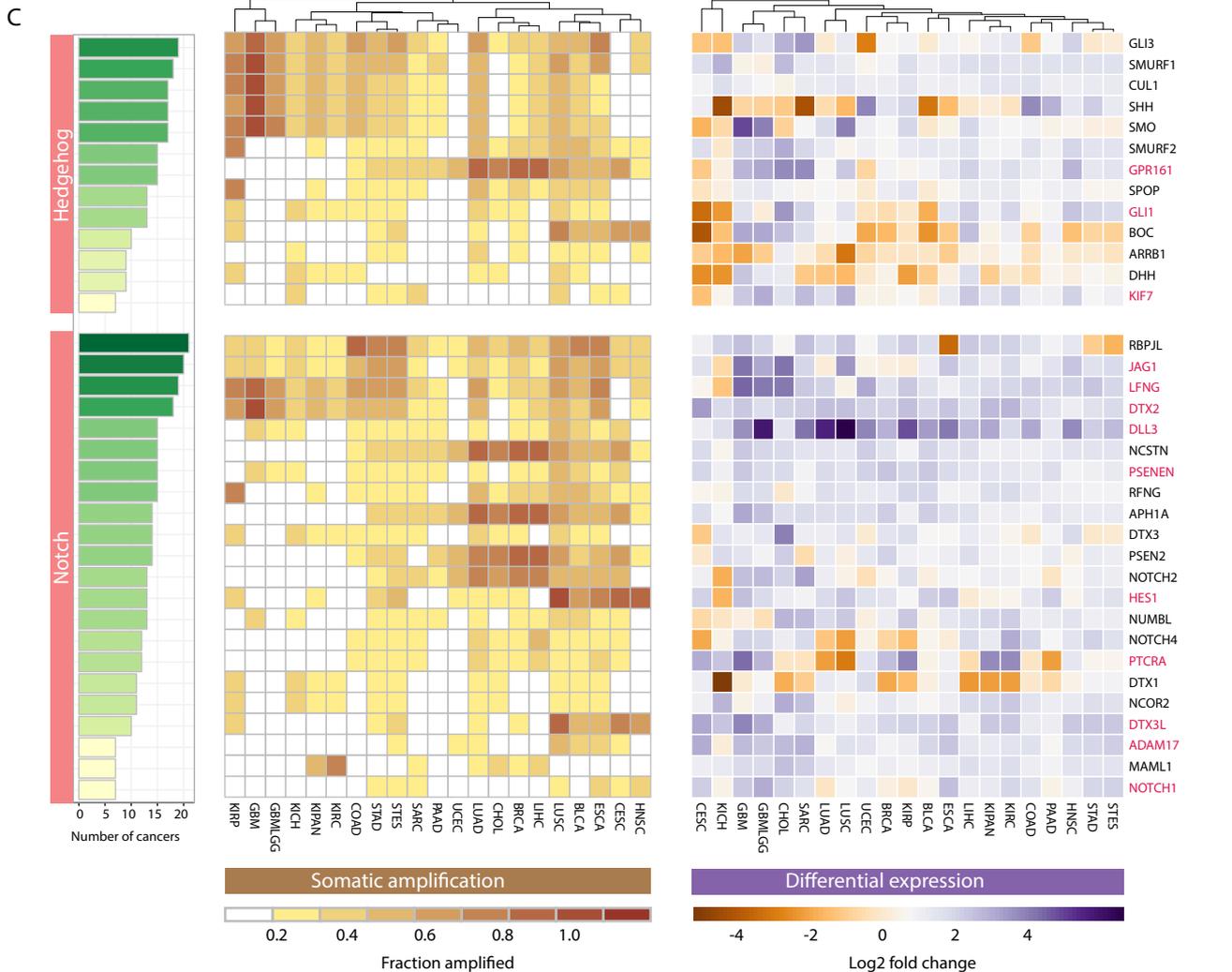
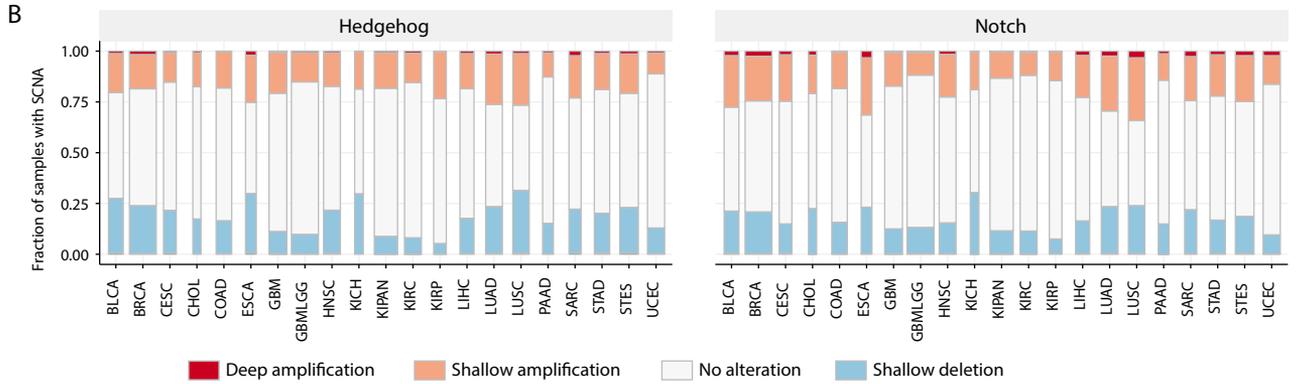
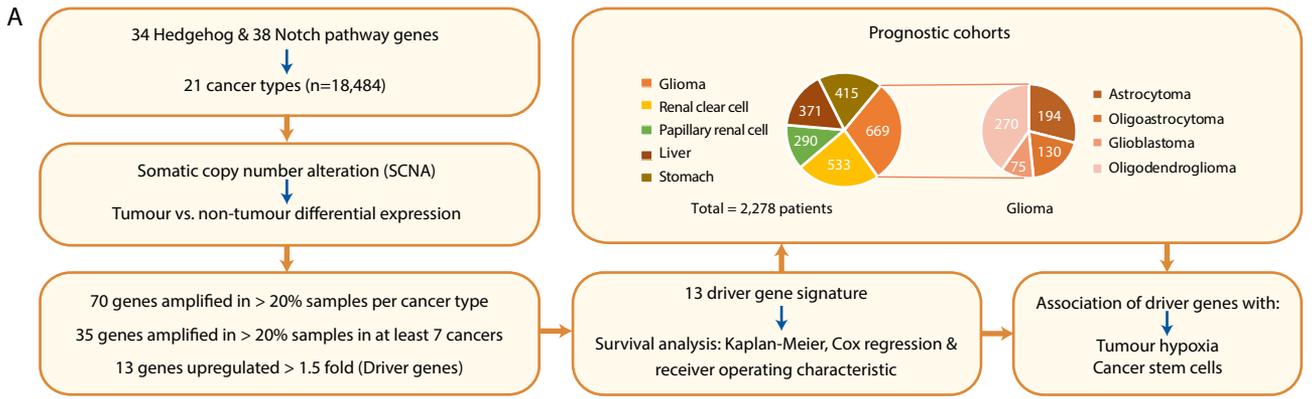
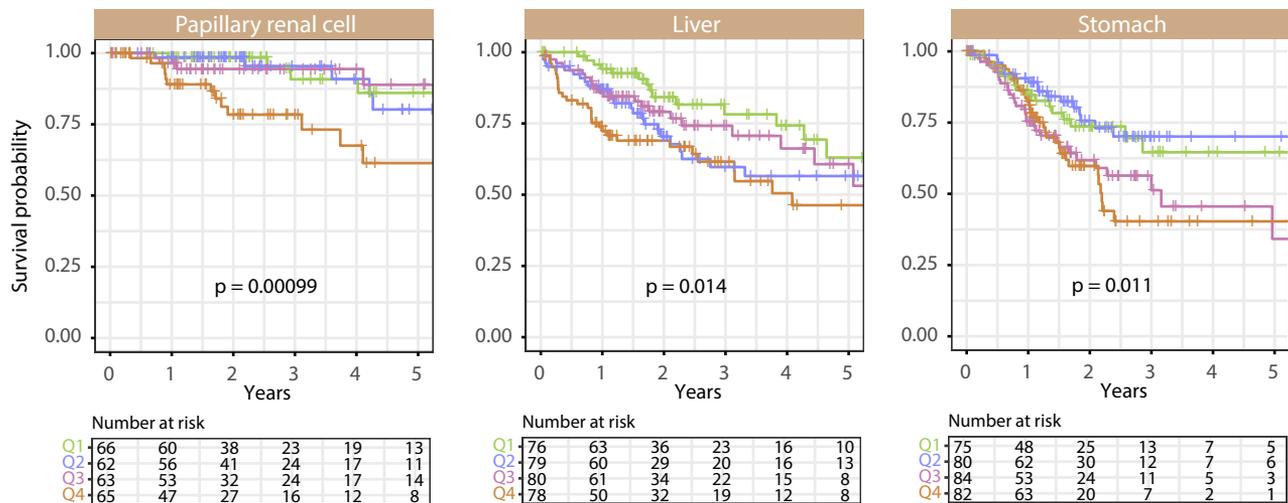
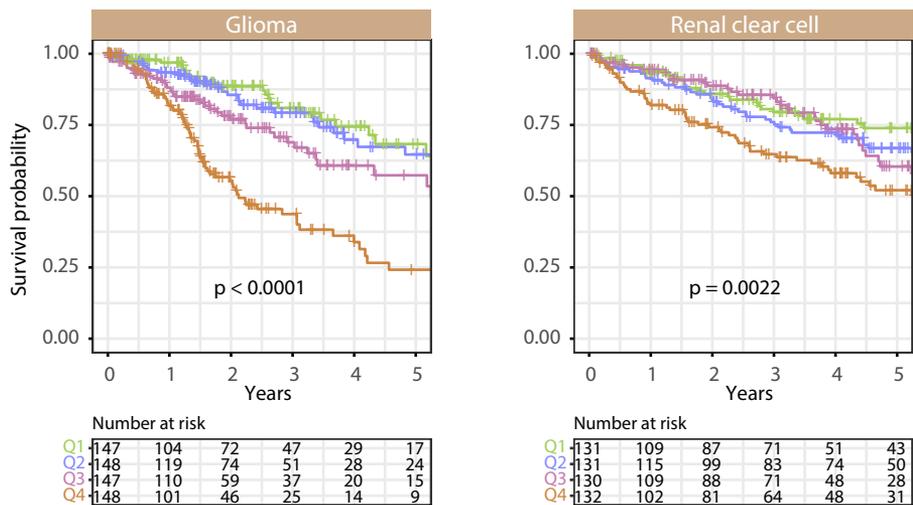


Figure 2

A



B

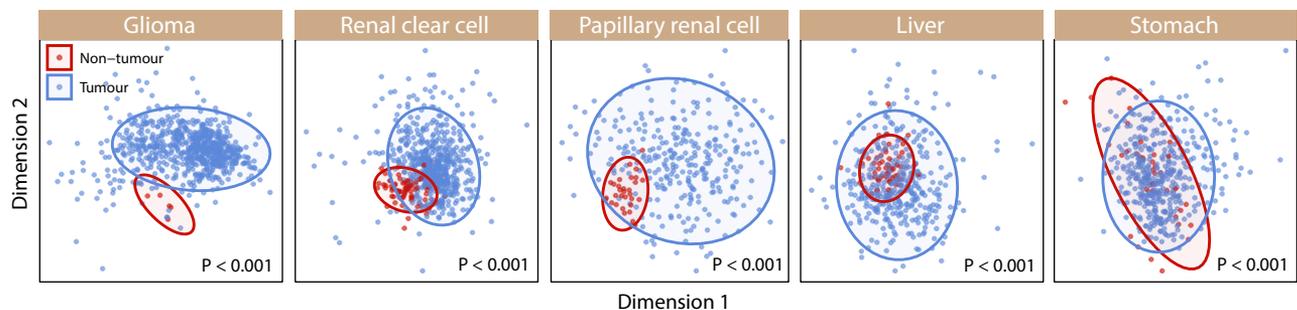
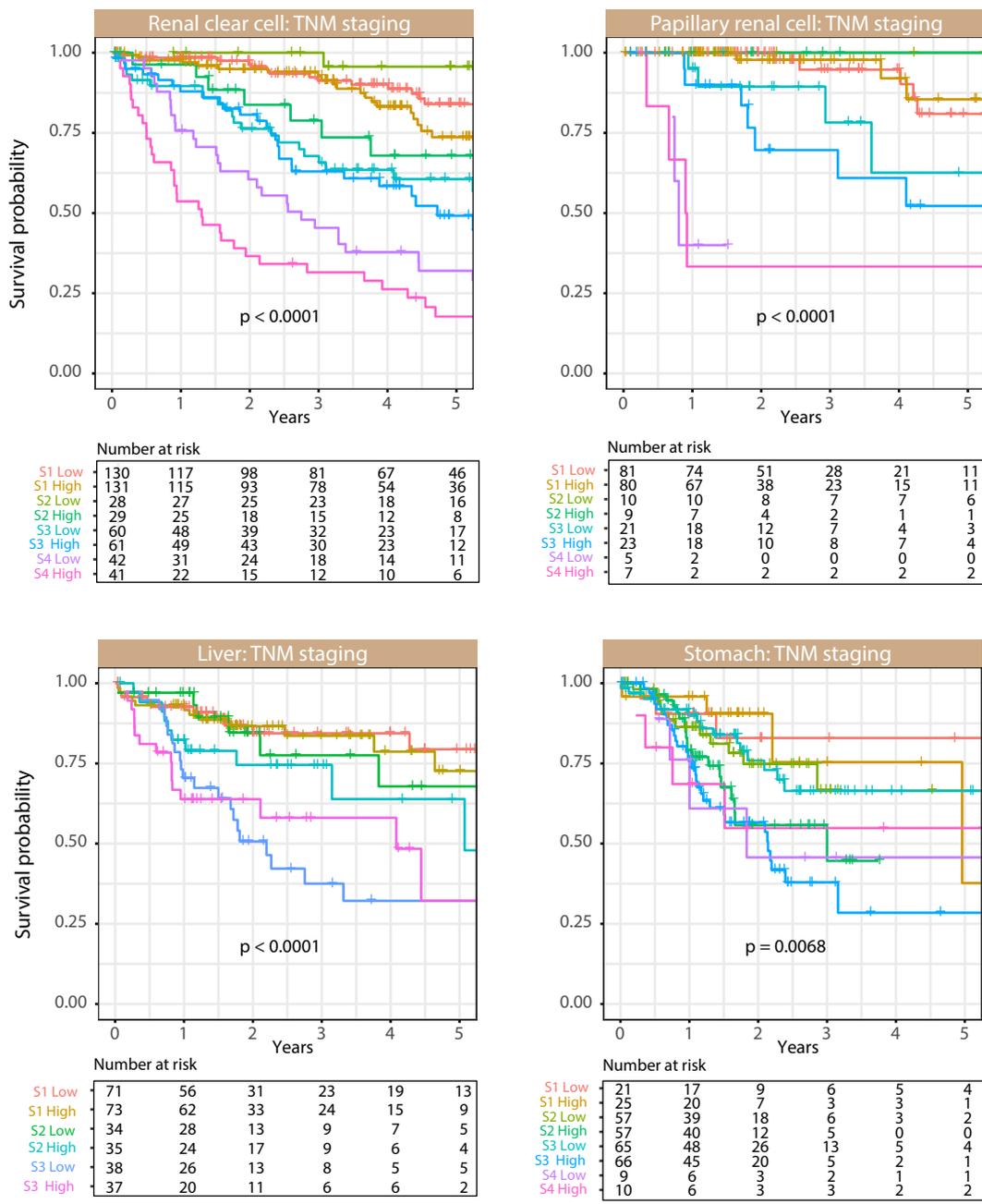
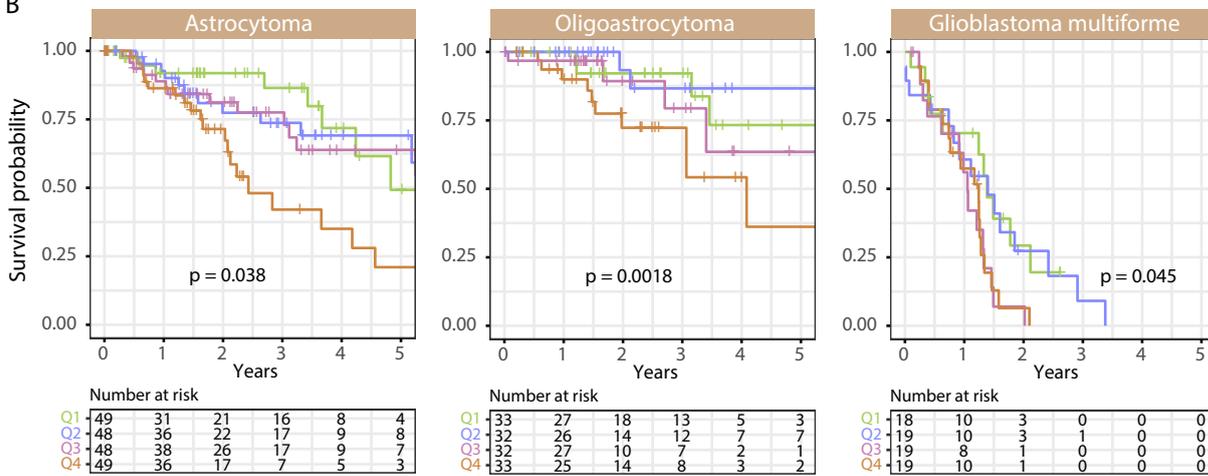


Figure 3

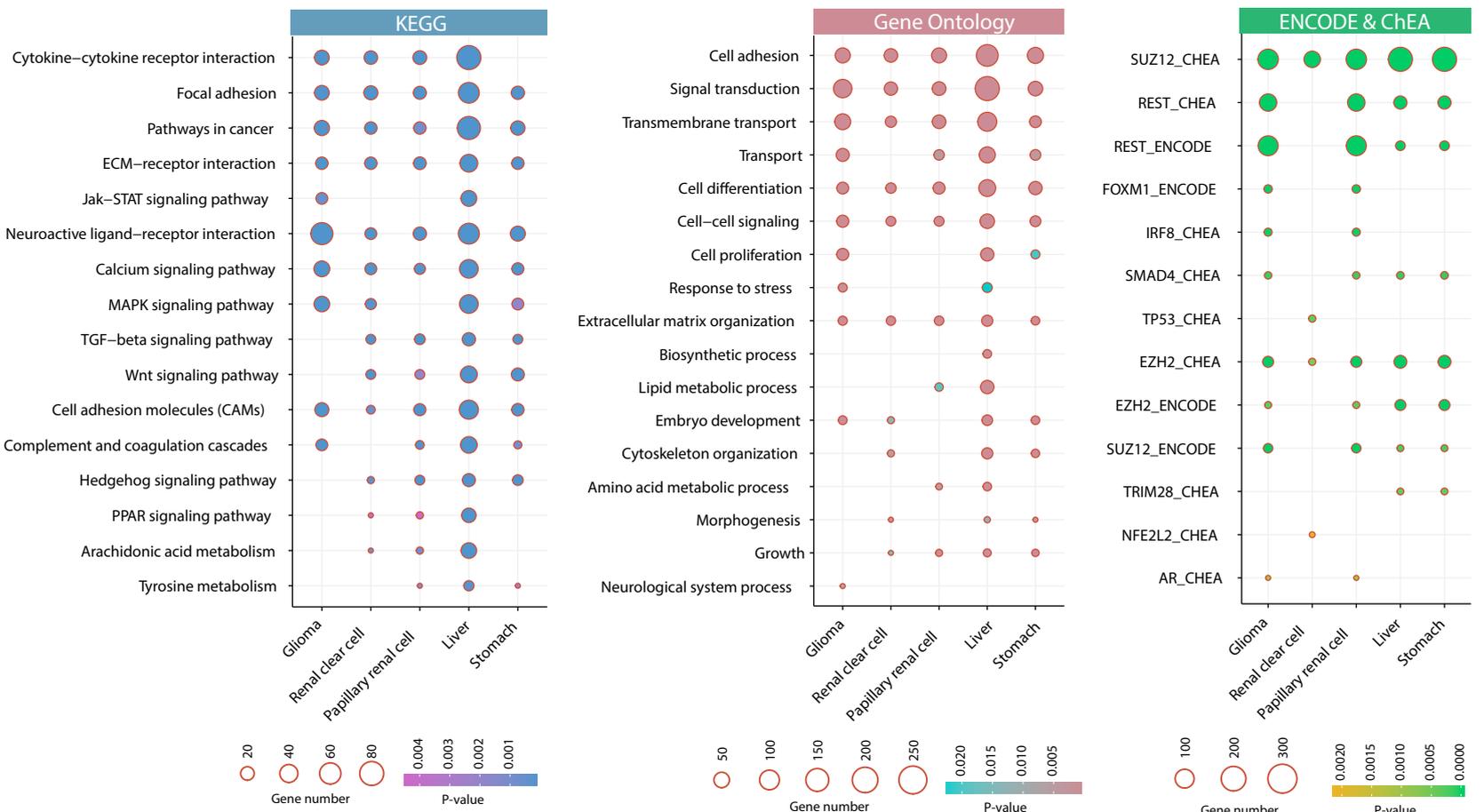
A



B



D



C

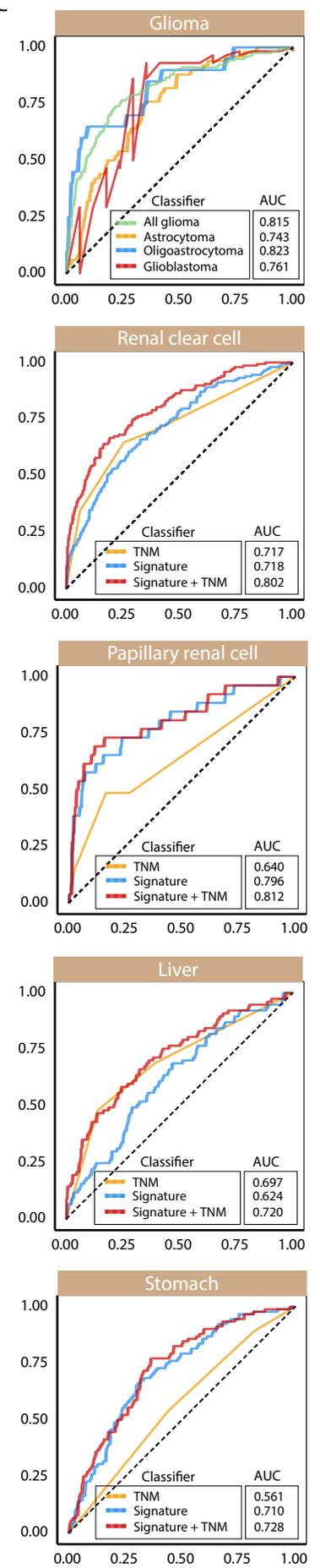
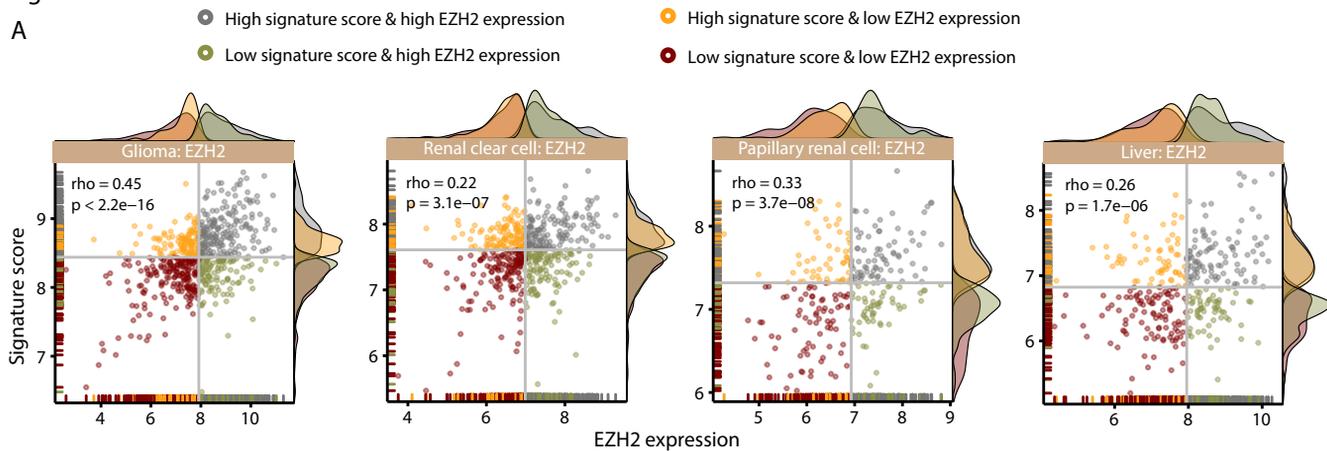
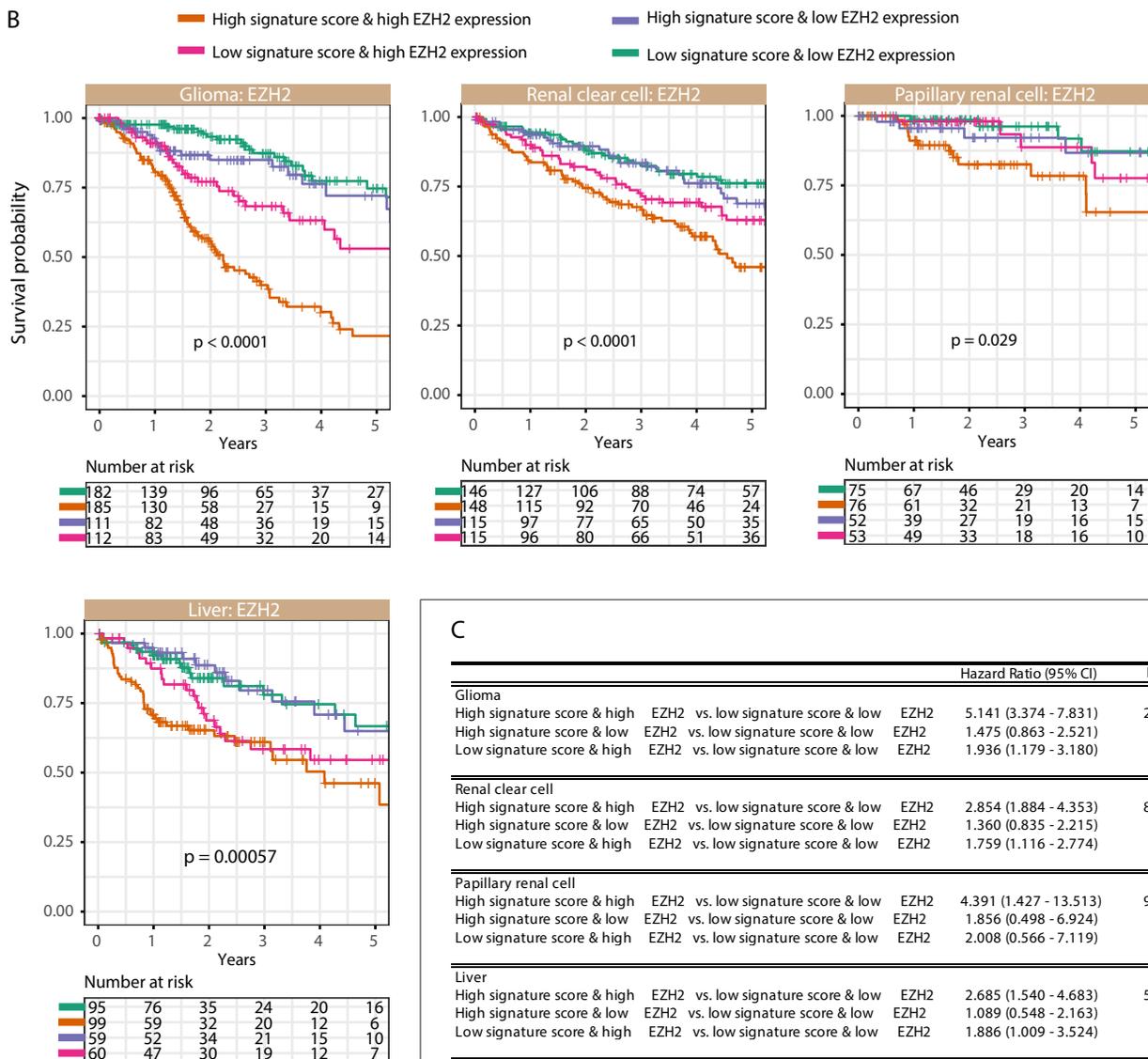


Figure 4

A



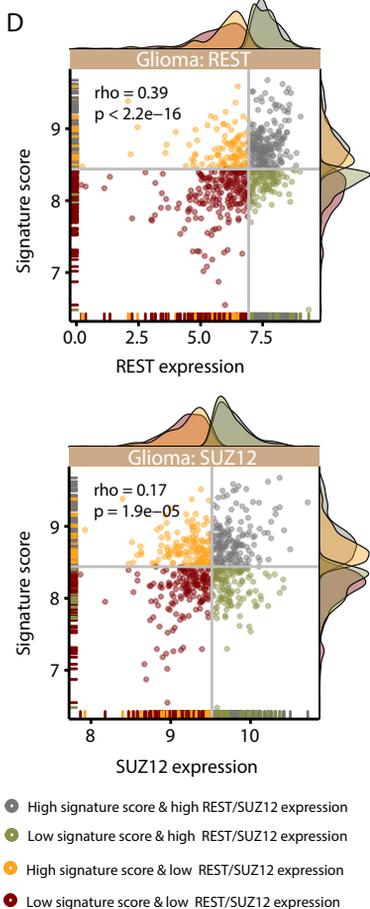
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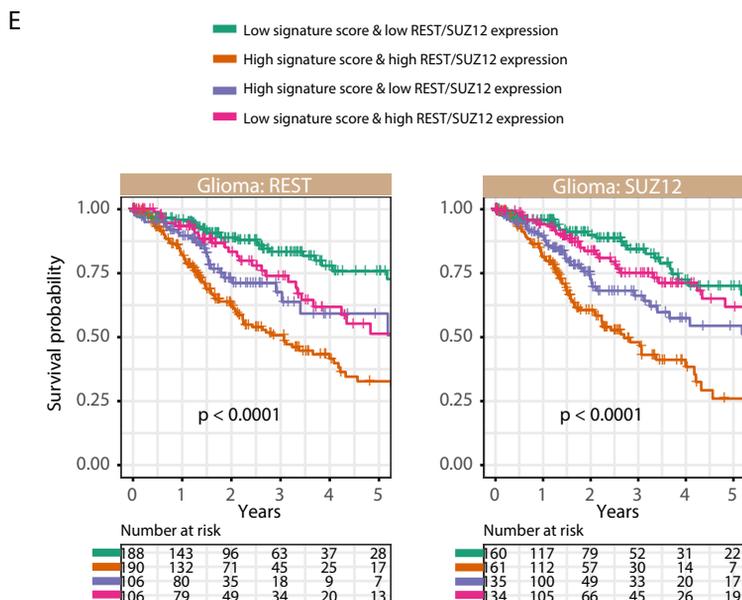
C

				Hazard Ratio (95% CI)	P-value
Glioma					
High signature score & high EZH2	vs. low signature score & low EZH2	5.141	(3.374 - 7.831)	2.48E-14	
High signature score & low EZH2	vs. low signature score & low EZH2	1.475	(0.863 - 2.521)	0.15	
Low signature score & high EZH2	vs. low signature score & low EZH2	1.936	(1.179 - 3.180)	0.0091	
Renal clear cell					
High signature score & high EZH2	vs. low signature score & low EZH2	2.854	(1.884 - 4.353)	8.46E-07	
High signature score & low EZH2	vs. low signature score & low EZH2	1.360	(0.835 - 2.215)	0.22	
Low signature score & high EZH2	vs. low signature score & low EZH2	1.759	(1.116 - 2.774)	0.015	
Papillary renal cell					
High signature score & high EZH2	vs. low signature score & low EZH2	4.391	(1.427 - 13.513)	9.90E-03	
High signature score & low EZH2	vs. low signature score & low EZH2	1.856	(0.498 - 6.924)	0.35	
Low signature score & high EZH2	vs. low signature score & low EZH2	2.008	(0.566 - 7.119)	0.28	
Liver					
High signature score & high EZH2	vs. low signature score & low EZH2	2.685	(1.540 - 4.683)	5.00E-04	
High signature score & low EZH2	vs. low signature score & low EZH2	1.089	(0.548 - 2.163)	0.81	
Low signature score & high EZH2	vs. low signature score & low EZH2	1.886	(1.009 - 3.524)	0.046	

D



E



F

				Hazard Ratio (95% CI)	P-value
Glioma					
High signature score & high REST	vs. low signature score & low REST	3.646	(2.461 - 5.402)	1.12E-10	
High signature score & low REST	vs. low signature score & low REST	1.811	(1.097 - 2.990)	0.02	
Low signature score & high REST	vs. low signature score & low REST	1.626	(0.994 - 2.658)	0.053	
Glioma					
High signature score & high SUZ12	vs. low signature score & low SUZ12	3.596	(2.332 - 5.545)	7.03E-09	
High signature score & low SUZ12	vs. low signature score & low SUZ12	1.956	(1.227 - 3.118)	0.0048	
Low signature score & high SUZ12	vs. low signature score & low SUZ12	1.210	(0.737 - 1.987)	0.45	

Figure 5

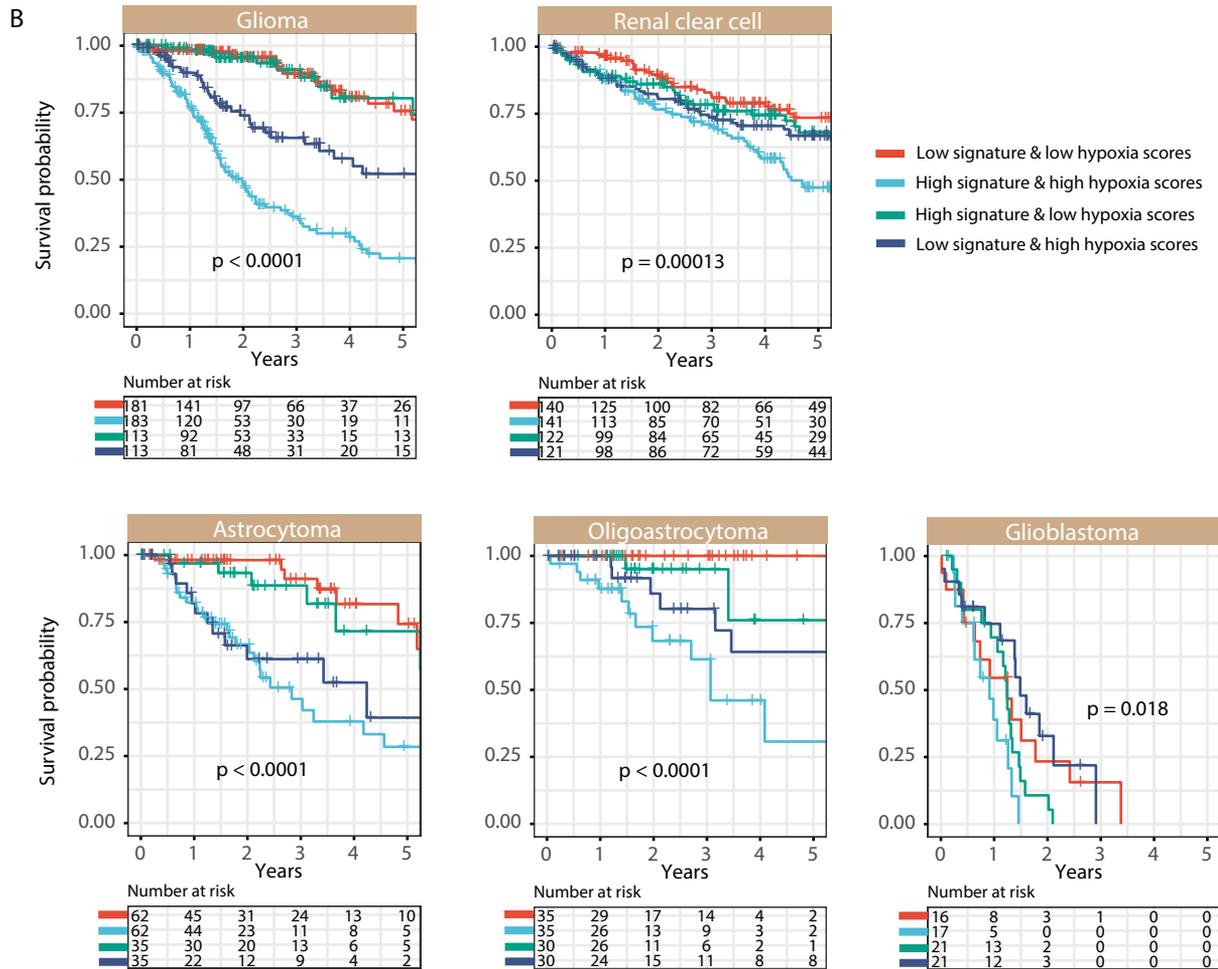
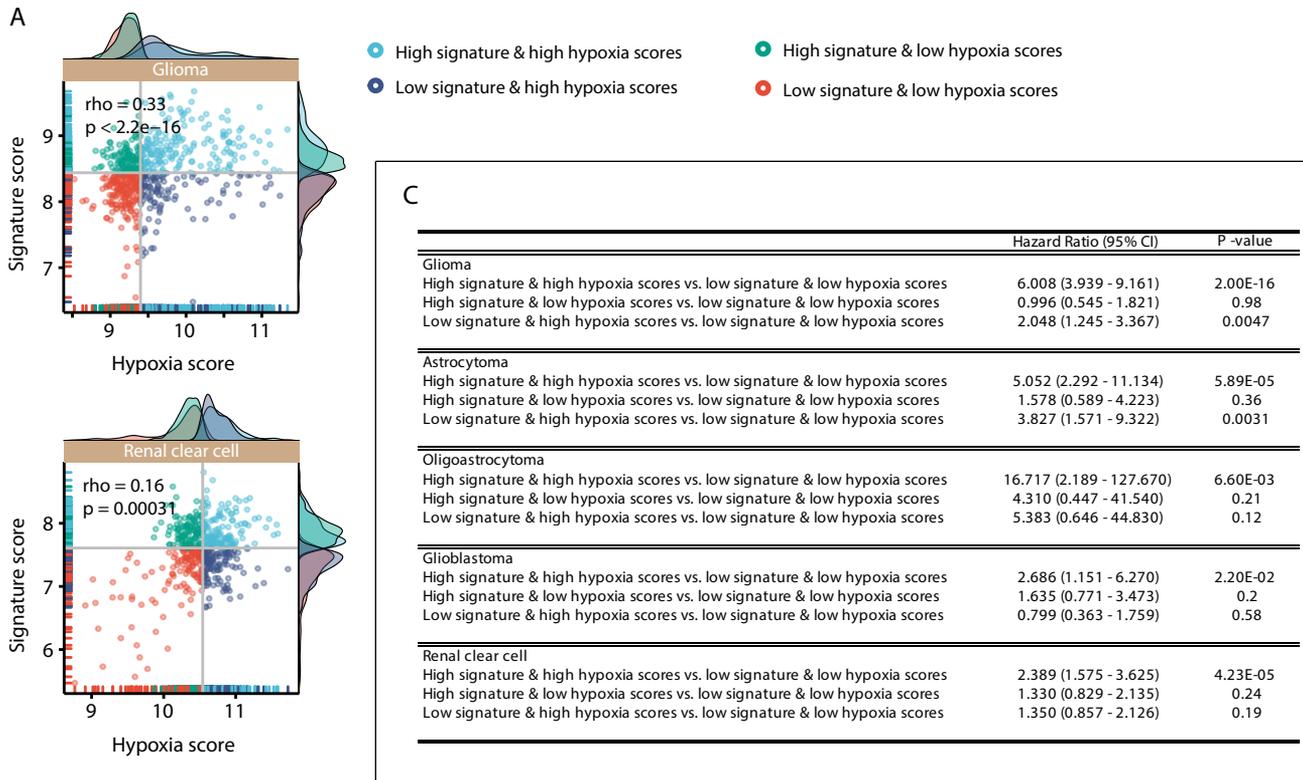
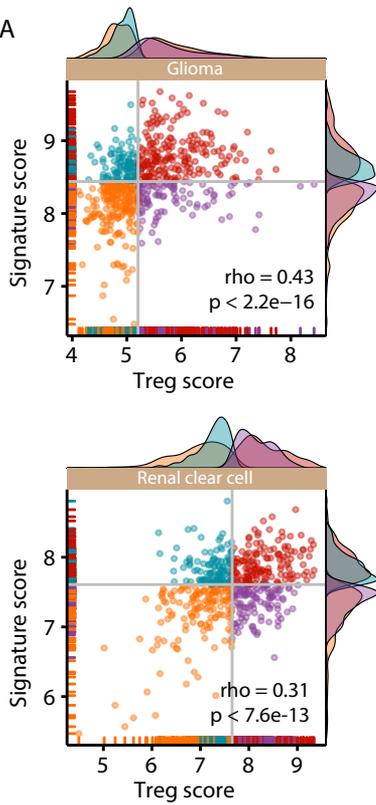


Figure 6

A



- High signature & high Treg scores
- High signature & low Treg scores
- Low signature & high Treg scores
- Low signature & low Treg scores

C

	Hazard Ratio (95% CI)	P-value
Glioma		
High signature & high Treg scores vs. low signature & low Treg scores	4.921 (3.277 - 7.391)	1.60E-14
High signature & low Treg scores vs. low signature & low Treg scores	1.671 (0.989 - 2.822)	0.055
Low signature & high Treg scores vs. low signature & low Treg scores	2.377 (1.459 - 3.872)	0.000503
Astrocytoma		
High signature & high Treg scores vs. low signature & low Treg scores	2.721 (1.398 - 5.298)	3.20E-03
High signature & low Treg scores vs. low signature & low Treg scores	1.288 (0.561 - 2.960)	0.55
Low signature & high Treg scores vs. low signature & low Treg scores	1.457 (0.597 - 3.554)	0.41
Oligoastrocytoma		
High signature & high Treg scores vs. low signature & low Treg scores	5.431 (1.522 - 19.374)	9.10E-03
High signature & low Treg scores vs. low signature & low Treg scores	2.470 (0.576 - 10.603)	0.22
Low signature & high Treg scores vs. low signature & low Treg scores	1.032 (0.222 - 4.804)	0.97
Glioblastoma		
High signature & high Treg scores vs. low signature & low Treg scores	3.065 (1.362 - 6.900)	0.0068
High signature & low Treg scores vs. low signature & low Treg scores	1.955 (0.824 - 4.639)	0.13
Low signature & high Treg scores vs. low signature & low Treg scores	1.274 (0.570 - 2.846)	0.55
Renal clear cell		
High signature & high Treg scores vs. low signature & low Treg scores	2.968 (1.922 - 4.582)	9.20E-07
High signature & low Treg scores vs. low signature & low Treg scores	1.649 (0.997 - 2.728)	0.051
Low signature & high Treg scores vs. low signature & low Treg scores	2.132 (1.342 - 3.385)	0.0013

B

