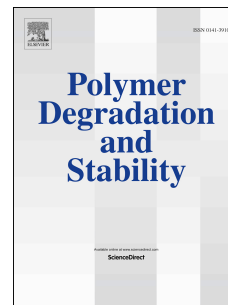


# Accepted Manuscript

Characterization and degradation characteristics of poly( $\epsilon$ -caprolactone)-based composites reinforced with almond skin residues

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PII: S0141-3910(14)00093-7

DOI: [10.1016/j.polyimdeggradstab.2014.03.011](https://doi.org/10.1016/j.polyimdeggradstab.2014.03.011)

Reference: PDST 7272

To appear in: *Polymer Degradation and Stability*

Received Date: 8 December 2013

Revised Date: 12 February 2014

Accepted Date: 4 March 2014

Please cite this article as: García AV, Santonja MR, Sanahuja AB, Selva MdCG, Characterization and degradation characteristics of poly( $\epsilon$ -caprolactone)-based composites reinforced with almond skin residues, *Polymer Degradation and Stability* (2014), doi: 10.1016/j.polyimdeggradstab.2014.03.011.

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1 **Characterization and degradation characteristics of poly( $\epsilon$ -caprolactone)-based**  
2 **composites reinforced with almond skin residues.**

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26 **ABSTRACT**

27 Poly( $\epsilon$ -caprolactone), PCL, degradation by microorganisms is a very interesting feature  
28 for its potential use in massive applications, such as food packaging. Blends of PCL  
29 with natural fibres, such as those from agricultural and food processing wastes, have  
30 proved effective by permitting a substantial reduction of the material costs, but also  
31 playing a role as reinforcement in mechanical properties. This study is focused on the  
32 evaluation of morphological, mechanical, thermal, barrier properties and degradation in  
33 composting environment of new bio-composites based on PCL and almond skin (AS)  
34 filler at different contents (0, 10, 20 and 30 wt%). Results showed a clear improvement  
35 in mechanical properties, corresponding to a gain in elastic modulus of 17% at 10 wt%  
36 particle loading. Lower melting and crystallization enthalpies and higher crystallinity  
37 values were obtained for bio-composites compared with neat PCL. Some decrease in  
38 thermal stability and increase in oxygen and water vapour barrier properties were also  
39 observed for composites with increasing filler content. PCL/AS composites showed  
40 higher biodegradability than pure PCL, which can be explained in terms of the  
41 depressed crystallization enthalpy of the polymer matrix and improved hydrophilicity.  
42 PCL-based composites reinforced with almond skin filler at 10 wt% loading have  
43 shown as promising environmentally-friendly materials for food packaging showing a  
44 high disintegration rate, increasing the added-value potential of agricultural wastes and  
45 reducing the packaging cost.

46

47

48 **Keywords:** Bio-composites, Degradation, Poly( $\epsilon$ -caprolactone), Almond skin,  
49 Reinforcement.

50

## 51 1. Introduction

52 Nowadays there is a growing interest in the development of biodegradable  
53 polymers to reduce the dependence on fossil fuels and to change to sustainable  
54 materials. Poly( $\epsilon$ -caprolactone), PCL, is a biodegradable semicrystalline and linear  
55 aliphatic polyester produced by chemical synthesis from crude oil via the ring opening  
56 polymerization of caprolactone monomer. PCL has shown degradation by the action of  
57 aerobic and anaerobic microorganisms that are widely distributed in various  
58 ecosystems, being its biodegradation very slow and leading to the formation of carbon  
59 dioxide, water, methane, biomass and mineral salts [1-3]. However, large-scale  
60 application of PCL has been limited because of its relative high price (ranging 4.50-6.00  
61 € per kg) [4], as well as some intrinsic inferior properties [5].

62 PCL is one of the most widely studied biopolymers and has FDA approval in  
63 various devices for medical applications [6]. The use of PCL for food packaging  
64 applications has been recently reported by several authors, since the main commercial  
65 application of PCL is in the manufacture of biodegradable bottles and compostable bags  
66 [7]. In this sense, Martinez et al. suggested that the combination of cold storage with  
67 PCL incorporating cinnamaldehyde, as a natural biocide agent, could be suitable for  
68 the controlled diffusion of this agent extending the shelf-life of packaged food products  
69 [8]. Antimicrobial PCL nanocomposites with thymol were also developed by Sánchez et  
70 al [9]. On the other hand, Pérez et al. [10] developed an interesting storage system for  
71 refrigeration by using PCL and encapsulated dodecane obtaining coating materials with  
72 energy storage capacity. Blends of chitosan and PCL were studied by Swapna et al.  
73 showing good tensile strength and low water vapour permeability, for the potential  
74 application in fruits and vegetables packaging to extended their storage life [11].

75 Ludueña et al. also studied PCL with different lignocellulosic fillers for food packaging  
76 applications [12].

77 Blending PCL with other materials, such as natural fibres, has proved to be an  
78 effective method to reduce the final price of the material and enhance the  
79 biodegradability of the resulting composites. Natural fibres also act as reinforcement by  
80 improving mechanical properties, expanding the application areas of the obtained  
81 composites. The main advantages of such fibres are their good mechanical performance,  
82 low cost, renewability and biodegradability [12]. In general, natural fibres are suitable  
83 for reinforcing plastics due to their relatively high strength, stiffness and low density  
84 [13]. Agricultural by-products are alternative lignocellulosic materials, which are  
85 produced in large quantities every year [5]. Different types of cellulose based natural  
86 fibres and agricultural by-products (such as wood fibre [3]; agricultural cotton, cellulose  
87 and hydrolyzed-cellulose [12]; flax and rami fibres [14,15]; nanocrystalline cellulose  
88 from bleached softwood kraft pulp [7]; rice husk; abaca fibres; wood flour, lignin and  
89 wheat gluten [16]) have been successfully incorporated as fillers into PCL to obtain  
90 biodegradable composites.

91 Almond (*Prunus amygdalus L.*) is an important crop cultivated in countries such  
92 as USA, Spain, Morocco, Iran and Turkey, with a worldwide production about 2.3  
93 million tones in 2009 [17]. Industrial processing of almonds starts with the removal of  
94 the external coating, with the skin contributing to around 6.0-8.4 % of the seed [18].  
95 This biodegradable residue is considered to have one of the highest fibre contents of all  
96 edible nuts (around 12%), among other interesting compounds such as flavonoids and  
97 phenolic acids with high antioxidant activity [19]. The percentage of by-products  
98 obtained from industrial processing of almonds is consequently very high and industries  
99 are forced to consider ways of treating or using them. Up to now, the application of

100 these agricultural residues has not received enough attention, causing potential disposal  
101 problems; and most of them are just incinerated or dumped without control causing  
102 several environmental problems [20] or used as animal feeds [5]. For this reason, the  
103 incorporation of low-cost almond skin (AS) residues into a biodegradable polymer  
104 (such as PCL) is an attractive alternative to transform agricultural residues into useful  
105 industrial resources, with a positive benefit on environment, energy and economy.

106 The research on almond reinforced composites from the literature is not very  
107 extensive and it is limited to the use of almond shell as filler. Pirayesh and col. studied  
108 the suitability of using almond and walnut/almond shells in wood-based composite  
109 manufacturing, significantly reducing the formaldehyde emissions as well as highly  
110 improving water resistance of the panels [21,22]. Regarding polymer-based composites,  
111 Gürü et al. used almond shells as a filler material for urea-formaldehyde-based  
112 composites [23]. Finally, almond shells particles were used as reinforcement in a  
113 thermoplastic matrix as polypropylene, with and without different compatibilizers, by  
114 using various particle contents up to 30 wt% [24]; obtaining a clear improvement in  
115 mechanical and rheological properties.

116 To the best of our knowledge, there is no information in the literature on using  
117 almond skin (AS) in the production of PCL bio-composites. Therefore, the aim of this  
118 work is the development and characterization of novel PCL/AS bio-composites with  
119 different AS residue contents (0, 10, 20 and 30 wt%), giving some added-value to  
120 agricultural wastes. Special effort will be focused in evaluating the effect of the filler  
121 addition on the morphological, mechanical, thermal, barrier properties and the  
122 degradation behaviour in composting conditions of the obtained bio-composites. All  
123 these properties are relevant aspects for packaging applications.

124

## 125 2. Experimental

### 126 2.1. Materials

127 Poly( $\epsilon$ -caprolactone) (PCL, CAPA®6800) commercial grade (pellets,  $M_n =$   
128 80.000, density =  $1.1 \text{ g cm}^{-3}$ ) was kindly supplied by Perstorp Holding AB (Sweden).

129 Almond skins used as filler were supplied by “Almendras Llopis” (Alicante,  
130 Spain) as an industrial by-product. They were grounded with a high speed rotor mill  
131 (Ultra Centrifugal Mill ZM 200, RETSCH, Haan, Germany) equipped with a 1 mm  
132 sieve size. The AS fraction obtained was then dried in a laboratory oven at  $100 \text{ }^\circ\text{C}$  for  
133 24 h to moisture content of 0-1 %. The particle size of the resulting AS filler was  
134 determined by optical microscopy at 50x magnification with a mean diameter of  $50 \text{ }\mu\text{m}$ .

### 136 2.2. Bio-composites preparation

137 PCL/AS composites were processed by melt blending in a Haake PolyLab QC  
138 mixer (ThermoFischer Scientific, Waltham, MA, USA) at  $80 \text{ }^\circ\text{C}$  for 5 min at 100 rpm.  
139 Before processing, PCL was left in an oven at  $50 \text{ }^\circ\text{C}$  for 20 h to eliminate moisture. Four  
140 different formulations were obtained by adding to the polymer different AS filler  
141 contents (0, 10, 20 and 30 wt%). The  $50 \text{ cm}^3$  mixing chamber was filled with 50 g total  
142 mass.

143 Films were obtained by compression-moulding at  $120 \text{ }^\circ\text{C}$  in a hot-plate hydraulic  
144 press (Carver Inc, Model 3850, USA). Materials were kept between the plates at  
145 atmospheric pressure for 5 min until melting and then they were successively pressed  
146 under 2 MPa (1 min), 3 MPa (1 min) and finally 5 MPa (5 min) to liberate the trapped  
147 air bubbles. The average thickness of the obtained films was  $210 \pm 1 \text{ }\mu\text{m}$  measured with  
148 a 293 MDC-Lite Digimatic Micrometer (Mitutoyo, Japan) at five random positions,  
149 after 48 h of conditioning at 50 % relative humidity (RH) and  $23 \text{ }^\circ\text{C}$ . The obtained

150 composite films were named as PCL, PCL10%, PCL20% and PCL30%; where the  
151 number is the percentage filler content by weight.

152

### 153 2.3. Bio-composites characterization

#### 154 2.3.1. Morphological analysis

155 The cryo-fractured surfaces of bio-composite films were analyzed by using a  
156 JEOL JSM-840 scanning electron microscope (Peabody, MA, USA) under an  
157 acceleration voltage of 15 kV. Samples were coated with gold under vacuum using a  
158 SCD 004 Balzers sputter coater (Bal Tec. AG, Fürstentum, Lichtenstein) prior to  
159 scanning in order to increase their electrical conductivity. Images were registered at  
160 magnifications 500x in order to study the filler dispersion.

161

#### 162 2.3.2. Attenuated total reflectance infrared spectroscopy (ATR-FTIR)

163 ATR-FTIR spectra were collected by using a Bruker Analytik IFS 66 FTIR  
164 spectrometer (Ettlingen, Germany) equipped with a DTGS KBr detector, a Golden Gate  
165 Single Reflection Diamond ATR accessory (incident angle of 45°), and OPUS 3.1 data  
166 collection software program. Films ( $1 \times 1 \text{ mm}^2$ ) were directly placed on the ATR crystal  
167 area. Spectra were recorded in the absorbance mode from 4.000 to  $600 \text{ cm}^{-1}$ , using 64  
168 scans and  $4 \text{ cm}^{-1}$  resolution, and corrected against the background spectrum of air. Two  
169 spectra replicates were obtained for each sample.

170

#### 171 2.3.3. Mechanical properties

172 Tensile tests were performed using a 3340 Series Single Column System Instron  
173 Instrument, LR30K model (Fareham Hants, UK) equipped with a 2 kN load cell. Tests  
174 were performed in rectangular probes ( $100 \times 10 \text{ mm}^2$ ), an initial grip separation of 60



175 mm and crosshead speed of 25 mm min<sup>-1</sup>. Before testing, all samples were equilibrated  
176 for 48 h at 50 % RH. Percentage elongation at break and elastic modulus were  
177 calculated from the resulting stress-strain curves according to ASTM D882-09 standard  
178 [25]. Tests were carried out at room temperature. Five repetitions were performed for  
179 each film composition, and mean values were reported.

180

#### 181 2.3.4. Barrier properties

182 Water absorption by bio-composite films was determined in triplicate according  
183 to UNE-EN ISO 62:2008 standard [26]. Samples (8 cm length x 1 cm width x 4 mm  
184 thick) were dried in a vacuum oven at 23 °C for 4 h, cooled in a desiccator, and then  
185 immediately weighed to the nearest 0.001 g. Thereafter, samples were immersed in  
186 distilled water and maintained at 23 °C and 50 % RH. Finally, samples were taken out at  
187 different times, wiped out properly and then reweighed. Water absorption was  
188 calculated according to the formula:

$$189 \quad (W_t - W_0)/W_t \times 100\% \quad (1)$$

190 where  $W_0$  was the sample weight prior to water adsorption experiment and  $W_t$  was the  
191 final mass at the pre-determined time  $t$ .

192 Water vapour permeability (WVP) was determined in triplicate according to UNE  
193 53097:2002 standard [27] by using the Desiccant Method ( $\text{CaCl}_2$ ). Samples of 95 mm  
194 diameter were fixed with paraffin on the top of aluminium capsules containing  $\text{CaCl}_2$ ,  
195 and they were placed in a climate chamber (Dycometal, Barcelona, Spain) at  $20.0 \pm 0.1$   
196 °C and  $50 \pm 2$  % RH. Capsules were periodically weighed until the steady state was  
197 reached and no significant changes in mass were noticed.

198 Oxygen transmission rate (OTR) tests were carried out with an oxygen  
199 permeation analyzer (8500 model Systech Instruments, Metrotec S.A, Spain). Bio-

200 composite films were cut into 14-cm diameter circles for each formulation and they  
201 were clamped in the diffusion chamber at  $25 \pm 1$  °C. Tests were carried out by  
202 introducing O<sub>2</sub> (99.9% purity) into the upper half of the diffusion chamber while N<sub>2</sub> was  
203 injected into the lower half, where an oxygen sensor was located. Tests were performed  
204 in triplicate and were expressed as oxygen transmission rate per film thickness (OTR·e).

205

### 206 2.3.5. Thermal properties

207 Differential scanning calorimetry (DSC) tests were conducted in triplicate by  
208 using a TA DSC Q-2000 instrument (New Castle, DE, USA) under inert N<sub>2</sub> atmosphere  
209 ( $50 \text{ mL min}^{-1}$ ). Films ( $3.0 \pm 0.1$  mg) were introduced in aluminium pans and were  
210 submitted to the following thermal program: heating from  $-80$  °C to  $160$  °C (3 min  
211 hold), cooling to  $-80$  °C (3 min hold) and heating to  $160$  °C, all steps at  $10$  °C  $\text{min}^{-1}$ .  
212 Calorimetric curves were analysed using the Universal Analysis TM Software (TA  
213 Instruments, New Castle, DE) to obtain crystallization and melting parameters which  
214 were determined from the second heating scan. The degree of crystallinity ( $\chi_c$ ) of each  
215 material was calculated by equation (2):

$$216 \quad X_c = \frac{\Delta H_m}{w \cdot \Delta H_m^0} * 100 \quad (2)$$

217 where  $\Delta H_m$  is the experimental melting enthalpy of the sample, w is the PCL weight  
218 fraction in the bio-composite film and  $\Delta H_m^0$  is the melting enthalpy of 100 % crystalline  
219 PCL ( $136 \text{ J g}^{-1}$ ) [12].

220 Thermogravimetric analysis (TGA) was performed in a TGA/SDTA 851 Mettler  
221 Toledo (Schwarzenbach, Switzerland) thermal analyzer. Films ( $4.0 \pm 0.1$  mg) were  
222 weighed in alumina pans and were heated from  $30$  °C to  $700$  °C at  $10$  °C  $\text{min}^{-1}$  under N<sub>2</sub>  
223 atmosphere ( $50 \text{ mL min}^{-1}$ ). Analyses were performed in triplicate and two parameters  
224 were determined: initial degradation temperature,  $T_i$  (°C), calculated at 5 % of weight

225 loss; and temperature of maximum degradation,  $T_{\max}$  (°C), corresponding to the  
226 maximum decomposition rate.

227

### 228 2.3.6. Disintegration tests

229 Disintegration tests in composting conditions were carried out as reported by  
230 Ludeña et al. and following ISO 20200 standard method using commercial compost  
231 with certain amount of sawdust, rabbit food, starch, oil and urea [12,28]. Tested samples  
232 were obtained from the previously prepared films, which were cut in square pieces (15 x  
233 15 x 0.2 mm<sup>3</sup>), buried at 5 cm depth in perforated boxes and incubated at 25 °C. The  
234 aerobic conditions were guaranteed by mixing the compost softly and by the periodical  
235 addition of water according to the standard requirements.

236 After disintegration experiments (0, 7, 10, 15, 30, 45, 60 and 75 days), samples  
237 were removed from the compost and immediately washed with distilled water to  
238 remove traces of compost extracted from the container and to stop any further microbial  
239 reaction. Then, samples were dried at 23 °C and 50 % RH for 24 h before gravimetical  
240 analysis. The disintegrability value for each burial sample was obtained by using  
241 equation (3):

$$242 \text{ Disintegrability (\%)} = [(w_0 - w_t)/w_0] \cdot 100 \quad (3)$$

243 where  $w_0$  is the initial mass and  $w_t$  is the remaining mass at different stages of  
244 incubation. All results are the average of two replicates.

245 Evaluation of degradation was completed by taking photographs of samples for  
246 visual evaluation of physical alterations with disintegration time. DSC tests, as  
247 previously described in section 2.3.5, were used in order to establish changes in the  
248 structure of the degraded composite films.

249

### 250 2.4. Statistical analysis

251 Statistical analysis of results was performed with SPSS commercial software  
252 (Version 15.0, Chicago, IL). A one-way analysis of variance (ANOVA) was carried out.  
253 Differences between means were assessed on the basis of confidence intervals using the  
254 Tukey test at a  $p \leq 0.05$  significance level.

255

### 256 **3. Results and discussion**

#### 257 3.1. Morphological analysis

258 The surface morphology of neat PCL and PCL/AS composite films was studied  
259 by scanning electron microscopy (Fig. 1). As it can be seen, regions with dispersed filler  
260 and some others with agglomerates were observed, being more evident in PCL with 30  
261 wt%. As a result, the dispersion of AS particles inside the PCL matrix was less efficient  
262 for the higher weight fraction samples, such as 20 and 30 wt% formulations. Similar  
263 results were reported by Ludueña et al. for PCL based composites containing different  
264 lignocellulosic filler types and contents [12]. This fact could be attributed to the high  
265 hydrophilicity of the filler, and hence poor polymer/filler compatibility since PCL is  
266 strongly hydrophobic; as well as to the incremented filler exposed surface area that  
267 promotes the formation of hydrogen bonds between the individual hydrophilic fibres.

268

#### 269 3.2. Attenuated total reflectance infrared spectroscopy (ATR-FTIR)

270 Fig. 2 shows the ATR-FTIR spectra obtained for neat PCL and PCL30%  
271 composite. The observed features are characteristic of PCL polymer and similar results  
272 have been reported by other authors [29,30]. Table 1 shows the average of the main  
273 significant bands observed for each sample in the spectra.

274 Significant differences were observed between neat PCL and the obtained bio-  
275 composite films as a result of the addition of the AS residue regarding the wavenumber

276 and maximum absorbance values of the wide band appearing at  $3400\text{ cm}^{-1}$  which is  
277 characteristic of the O-H bonds ( $p < 0.05$ ). As a result, a significant increase in  
278 absorbance was obtained for this band with increasing AS weight fraction in the  
279 formulations ( $p < 0.05$ ). This band is characteristic of the AS residue spectrum (Fig.  
280 2.b), and it could be related with stretching vibrations of the hydroxyl groups of  
281 carbohydrates such as glucose, galactose and manose as major components present in  
282 AS [19,24]; which are formed by carbon, hydrogen and oxygen with general formula  
283  $(\text{CH}_2\text{O})_n$ .

284 In addition, statistical significant differences between neat PCL and bio-  
285 composites were observed regarding the wavenumber value of the band observed at  
286  $2940\text{-}2870\text{ cm}^{-1}$  ( $p < 0.05$ ) (Fig. 2.c). In this case, as filler content increased, the  
287 intensity of the band observed at  $2943\text{ cm}^{-1}$  tended to decreased. This fact could be due  
288 to a lower PCL content in the composites [29].

289 Significant differences between samples were also found regarding the peak at  
290  $1724\text{ cm}^{-1}$  due to the C=O bonding [31]. In this sense, the increment of AS content in  
291 the bio-composites is expected to increase the attached C=O functional groups while  
292 decreasing the free ones, which are related to the decrease in the band intensity  
293 observed at  $1724\text{ cm}^{-1}$  (Fig. 2.d) [31,32]. Finally, the absorbance of the bands  
294 corresponding to the C–O lactones stretching ( $1180\text{ cm}^{-1}$ ), and C-O-C stretching ( $1045$   
295  $\text{cm}^{-1}$ ) also decreased when polymer content was reduced [31,32].

296

### 297 3.3. Mechanical properties

298 The incorporation of AS particles clearly influenced the mechanical properties of  
299 pure PCL matrix with increasing weight fraction (Table 2). As a result, a significant  
300 increase in the elastic modulus of these samples was observed with the addition of the

301 almond residue ( $p < 0.05$ ) for PCL10% and PCL20%. This fact is due to the high tensile  
302 modulus of particles compared with neat PCL and indicates that the rigidity of the  
303 material increased with the addition of the filler [24]. The highest elastic modulus value  
304 was obtained at 10% loading, in contrast with PCL30% which showed lower value than  
305 neat PCL. These results are in agreement with the filler dispersion inside the matrix  
306 previously analyzed by SEM; where higher filler dispersion and better adhesion  
307 between AS residue and PCL matrix was observed for PCL10% whereas some  
308 agglomeration of the filler takes place for PCL30% (Fig. 1).

309 The incorporation of the filler also drives to a significant decrease in the  
310 elongation at break with increasing AS content ( $p < 0.05$ ). As it can be seen (Table 2),  
311 all bio-composite films containing the AS residue showed lower values of elongation at  
312 break than neat PCL, obtaining the lowest value at 30% loading. The decreased in  
313 elongation at break values may be related to the increased stiffness of composite films  
314 by the addition of the AS residue because the fibre restricted the polymer chain  
315 elongation [33-35]. The elongation at break is affected by the volume fraction of the  
316 added reinforcement, the dispersion in the matrix and the interaction between the  
317 reinforcement and the matrix [36]. The effectiveness of composites material largely  
318 depends on their ability to transfer stress from the polymer matrix (continuous phase) to  
319 fillers (dispersed phase) [24]. In this sense, low interactions between filler and matrix  
320 are responsible for an effect of stress concentration, and they generate the beginning of  
321 the fracture [37]. The decrease in of elongation at break with the addition of fibres is  
322 commonly observed in thermoplastic composites, where the addition of stiff  
323 reinforcements causes stress concentrations [36]. In this case, higher AS loading in PCL  
324 composite films results in poor compatibility between phases, in agreement with the  
325 obtained SEM results, causing substantial local stress concentrations, accelerating

326 failure and sample break. Similar results were obtained by Ludueña et al. when studying  
327 the mechanical behaviour of PCL composites containing different lignocellulosic fillers  
328 at different concentrations [12].

329 These results clearly suggest that AS residues could act as reinforcement agents  
330 in PCL composite films at 10 wt% loading [34]. In general, the mechanical properties of  
331 fibres-polymer composites are a consequence of the combination of different  
332 parameters: particle size, highest or lowest chemical compatibility, interfacial strength,  
333 filler dispersion and filler aspect ratio and particle loading [12,24].

334

#### 335 3.4. Barrier properties.

336 Results obtained for oxygen transmission rate per film thickness ( $e$ ),  $OTR \cdot e$ , for  
337 PCL and PCL/AS composite films are shown in Table 2. As it can be seen, bio-  
338 composite films containing AS showed significant higher  $OTR \cdot e$  values ( $p < 0.05$ )  
339 compared with neat PCL. No significant differences were observed by comparing  
340  $OTR \cdot e$  results for films containing 10 and 20 wt% of filler ( $p > 0.05$ ). However,  
341 PCL30% films showed a great increase in  $OTR \cdot e$  values suggesting a possible filler  
342 agglomeration in films with higher fibre loading; as it was already observed by SEM.

343 Table 2 also shows the WVP values obtained for PCL and PCL/AS composites.  
344 Slightly higher values were observed for PCL20% and PCL30% formulations compared  
345 to the neat matrix ( $p < 0.05$ ). However, no significant differences were found for neat  
346 PCL and PCL10% composite ( $p > 0.05$ ). In general, results observed for OTR and WVP  
347 values increased with the filler content, which can be attributed to agglomeration  
348 causing a reduction in the matrix homogeneity and cohesion and leading to preferential  
349 penetrant paths and to detrimental effects in barrier properties [38].

350 When considering barrier properties, a balance between different mechanisms has  
351 been reported to be responsible of the final behaviour of composites [12]: (1) the  
352 crystallinity degree of the matrix goes down by the presence of the filler, making the  
353 matrix more permeable to water or oxygen molecules; (2) the presence of the filler  
354 increases the tortuosity of the pathway for water or oxygen molecules to pass through  
355 the film; (3) weak interfacial strength and agglomeration of the filler promotes the  
356 generation of voids in the polymer/filler interface making easier the transport of the  
357 water or oxygen molecules through these regions.

358 Regarding water absorption, Fig. 3 compares the curves obtained for neat PCL  
359 and PCL/AS composites at 23 °C and 50 % RH. As it was expected, higher water  
360 absorption values for samples with increased AS residue contents were obtained,  
361 showing the neat matrix the lowest value. Water absorption largely depends on the  
362 hygroscopic components present in the biocomposite; so, if the polymer matrix is  
363 hydrophobic it may act as a semipermeable membrane. Moisture penetration into  
364 composite materials has been reported to be conducted by three different mechanisms:  
365 (1) diffusion of water molecules inside the microgaps between polymer chains and  
366 natural fillers; (2) capillary transport into the gaps and flaws at the interfaces between  
367 fibres and polymer because of incomplete wettability and impregnation; (3) transport  
368 through microcracks in the matrix, formed during the compounding process.  
369 Accordingly, water absorption in biocomposites can be influenced by several factors,  
370 such as fibre loading (higher fibre content should contribute to higher moisture  
371 absorption), the chemical nature of lignocellulosic fillers, fibre geometry, and,  
372 especially, the compatibilization between matrix and fillers (improved interfacial  
373 adhesion would result in fewer and smaller microgaps where water uptake may occur)  
374 [1].



375

376 3.5. Thermal properties

377 3.5.1. DSC analysis

378 Fig. 4 shows the thermograms, corresponding to the second heating scan, of neat  
379 PCL and PCL/AS composites. All materials exhibited two energy transitions: an  
380 exothermic crystallization around 30 °C and an endothermic melting at approximately  
381 55 °C, characteristics of PCL by its semi-crystalline structure. A significant decrease in  
382 melting parameters (temperature and enthalpy) ( $p < 0.05$ ) was observed for PCL30%  
383 (Table 3). In this sense, the incorporation of the AS residue can restrict the periodic  
384 arrangements of PCL chains into its lattice, leading to some loss in the polymer  
385 crystallinity in bio-composites than in neat PCL, resulting in decreased melting  
386 parameters [5]. Regarding crystallization parameters, the observed decrease in  $\Delta H_c$  and  
387 increase in  $T_c$  in PCL30% (Table 3) ( $p < 0.05$ ) suggested a decrease on the extent and  
388 crystallization kinetics of PCL upon heating, probably due to highly restricted  
389 segmental motions at the organic–inorganic interface. Indeed, the glass transition  
390 temperature ( $T_g$ ) of this material increased about 5 °C (Table 3), thus confirming the  
391 occurrence of restricted polymer chain movements after the addition of AS particles  
392 [39]. These results are in agreement with those reported in a previous work when  
393 studying the effect of cellulose nanocrystals incorporation obtained by acid hydrolysis  
394 of *Luffa cylindrica* fibres as reinforcing phase in PCL nano-biocomposites. In this  
395 sense, it was suggested that  $T_g$  values displacement could be related to the restriction of  
396 the rotational backbone motions of PCL polymer chains through the establishment of  
397 hydrogen bonding forces between individual fibres into the polymer matrix [32].

398 Finally, the crystallinity degree obtained for all materials are also shown in Table  
399 3. Previous studies demonstrated that PCL is a partial crystalline polyester with

400 crystallinity between 40–60% [5]. As it can be seen from Table 3, the crystallinity of the  
401 PCL/AS composites increased at higher AS loading. These results are consistent with  
402 those found by Chun et al. when studying cocoa pod husk-filled polypropylene  
403 composites and this behaviour is due to the nucleating effect of the AS residue  
404 modifying the crystallisation by increasing the number of nucleating sites [40]. For  
405 PCL30% this phenomena could be restricted in some extent by filler agglomeration  
406 with reduces the filler exposed surface area [12].

407

### 408 3.5.2. Thermal stability (TGA)

409 The thermal stability of the AS residue was studied by TGA. The DTG curve  
410 obtained for AS particles (Fig. 5) showed an initial step between 40 and 150 °C as the  
411 result of the loss of volatile compounds and water [41]. Three main thermal degradation  
412 peaks were observed. A similar DTG profile was observed by Essabir et al. when  
413 studying the thermal stability of almond shells particles used as reinforcement in  
414 polypropylene matrices. The first peak observed between 285 °C and 320 °C was  
415 attributed to the thermal depolymerisation of hemicelluloses, and the second peak which  
416 occurs in the 320–400 °C range corresponded to the cellulose degradation. Finally, the  
417 third stage is associated to the degradation of lignin at 420 °C, which occurs slowly in  
418 the temperature range due to its complex structure [24].

419 In the case of PCL, thermal degradation in inert atmosphere takes place through  
420 the rupture of the polyester chains via ester pyrolysis reaction with the release of CO<sub>2</sub>,  
421 H<sub>2</sub>O and formation of carboxylic acid groups. Pyrolysis provokes chain cleavages  
422 randomly distributed along the chain and when two pyrolysis reactions occur with  
423 neighbouring ester functions, one of the reaction products is 5-hexenoic acid [39].

424 Fig. 5 also shows DTG curves obtained for neat PCL and PCL/AS in nitrogen. As  
425 it can be seen, at low filler contents (10 wt%) the thermal stability of the PCL matrix  
426 was not significantly affected by the presence of the filler, showing initial and  
427 maximum degradation temperatures around 385 and 415 °C, respectively (Table 3).  
428 However, since filler loading increased some extra peaks related to the thermal  
429 degradation of the AS residues were observed, being more evident for the PCL30%  
430 film. This behaviour is related to the lower matrix homogeneity of this formulation.  
431 Similar results were obtained by Ludueña et al. for the incorporation of some  
432 lignocellulosic fillers into PCL at different concentrations [12]. As a result, some  
433 decrease in thermal stability was observed for PCL20% and PCL30% composites  
434 regarding  $T_{ini}$  and  $T_{max}$  values (Table 3).

435 In conclusion, the addition of AS particles to the polymer matrix at 20 and 30  
436 wt% may promote earlier degradation of the overall material. This behaviour was also  
437 reported by Jiménez et al. when preparing mixtures based on PCL with different natural  
438 fillers; since they found that the early formation of acidic products from cellulose and  
439 hemicellulose decomposition promoted the random scission of ester linkages in a PCL  
440 matrix reinforced with sisal fibres [42,43].

441

### 442 3.6. Disintegration tests

443 The effect of the addition of natural fibres on the biodegradation process of  
444 biocomposites is an active topic of research. In general, the presence of lignocellulosic  
445 reinforcements enhances the microbial attack and the biodegradation rates by promoting  
446 biofouling and the adhesion of microorganisms to the surface. The kinetics of the  
447 biodegradation process depends on different factors, such as the susceptibility of the  
448 different components of the biocomposites; the interfacial adhesion by selecting the

449 type of natural filler that exhibits higher fibre-fibre and fibre-matrix interactions; the  
450 hydrophilic nature of the polymeric matrix; and crystallinity. In this sense, PCL  
451 biocomposites may exhibit slower biodegradation rates than other biopolymer-based  
452 composites, due to their tailored semicrystalline structure and relative hydrophobicity  
453 [1].

454

### 455 3.6.1. Physical alterations

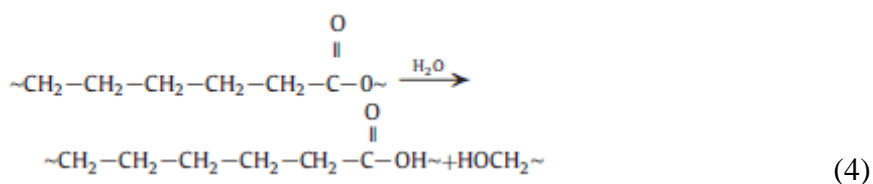
456 The visual evaluation of physical alterations of all samples at different  
457 degradation times (0, 30 and 75 days) in composting conditions at 25 °C is shown in  
458 Fig. 6. All samples showed considerable changes in their morphology after 30 days of  
459 the disintegration study with a general roughing and holes formation. These  
460 modifications were indicative of the beginning of the polymer hydrolytic degradation  
461 process, which was related to the moisture absorption by the polymer matrix. In this  
462 sense, the incorporation of AS residue accelerated the water intake as fibres facilitated  
463 the access of water into the PCL matrix of the bio-composite films, and the  
464 disintegration rate of PCL matrix became more pronounced with the increasing fibre  
465 contents [16]. Results obtained at longer testing times showed that physical degradation  
466 progressed with burial time resulting in the complete loss of their initial morphology  
467 and general fragmentation after 75 days.

468 Fig. 7 shows the evolution of the disintegrability (%) as function of time for neat  
469 PCL and PCL/AS composites in composting conditions. Before 15 days of treatment,  
470 no significant differences were observed between all samples, showing a similar weight  
471 loss ( $p > 0.05$ ). However, significant differences between neat PCL and the rest of bio-  
472 composites were observed after 15 testing days ( $p < 0.05$ ). These differences could be  
473 related to the presence of the fibre which can produce strong interactions during the

474 initial period due to the formation of H-bonds, producing a physical network which  
 475 prevents the water uptake, and thus reducing the accessibility to soil microflora [35]. In  
 476 this sense, the diffusivity of water is strongly influenced by the material's  
 477 microstructure as well as porosity and water affinity of the polymer components. These  
 478 kind of interactions between cellulose fibres and polymer matrices were reported by  
 479 Dufresne et al. [44,45] for systems composed by thermoplastic starch and microfibrils  
 480 obtained from potato pulp, observing a decrease in water uptake by increasing the  
 481 cellulose contents. This phenomenon was ascribed to the formation of a microfibril  
 482 network, which prevented the swelling of the biocomposite and water absorption.

483 The end of the disintegration study was 75 days, when PCL/AS bio-composites  
 484 were considered to be totally disintegrated, according to the ISO 20200 standard  
 485 requirements [28], which states 90 % disintegration for a biodegradable material. At that  
 486 time, bio-composites containing 10-30 wt% of AS showed higher disintegration values  
 487 that neat PCL. In this sense, it was reported that the addition of natural fillers, such as  
 488 rice husk fibres, into PCL increased the disintegration rate as they could facilitate the  
 489 access of water into the polymer matrix [16]; as water absorption tests have shown in  
 490 this study. A similar tendency was also observed by other researchers for neat PCL and  
 491 PCL-based composites in natural media, such as soil [5,12,37].

492 According to Fukushima, et al. [46] the main mechanism of PCL degradation  
 493 involves the scission of PCL ester bonds by enzyme-catalyzed hydrolysis due to  
 494 microorganisms present in the compost with the formation of alcohol and carboxylic  
 495 acid groups, as shown in Scheme 4.



497 PCL degradation proceeds in two stages: random hydrolytic ester cleavage and  
498 weight loss through the diffusion of oligomeric species from the bulk [47]. Then, the  
499 biodegradation process is dependent on the water bio-availability that promotes the  
500 microbial attack and the matrix hydrolysis. PCL exhibits high hydrophobicity, which  
501 can inhibit the access of water to the polymer matrix during the degradation, and thus  
502 retard the hydrolysis of ester bonds. The hydrophobicity of poly( $\epsilon$ -caprolactone) could  
503 lead to surface erosion/degradation [47]. In contrast, AS is highly hydrophilic due to the  
504 structure with multiple hydroxyl groups of carbohydrates. Therefore, the incorporation  
505 of the AS can facilitate the access of water to the PCL matrix, leading to accelerated  
506 degradation. Due to the natural origin of the AS, its presence can favour the enzymes  
507 binding onto the material surface, thereby promoting the degradation of the  
508 neighbouring PCL matrix [5]. Both effects are expected to be enhanced with increasing  
509 AS loading.

510 The following characteristics of these fibres and their effect on the properties of  
511 the polymer matrix have been reported to simultaneously affect the biodegradation  
512 process of the composites [12]:

513 (1) The high hydrophilicity of natural fibres promotes the water intake and provides a  
514 rougher support for microbial growth. Then, the presence of microorganisms in soil,  
515 which are relatively active in hemicelluloses under suitable temperature and humidity  
516 conditions, could accelerate the degradation process [35]. In later stages, cellulose  
517 chains breakdown may contribute to the higher weight loss suffered by the bio-  
518 composites.

519 (2) Fibre agglomerates can form micro-cavities promoting the water uptake, but also  
520 drastically diminish the contact surface between the fibre and the polymer diminishing  
521 the effect mentioned in Section (1).

522

## 523 3.6.2. Thermal analysis (DSC)

524 Fig. 8 shows the thermograms obtained for neat PCL and PCL/AS composites  
525 corresponding to the second heating after 0, 15, 30 and 75 days of degradation in  
526 compost. After 15 days, as the filler content increased composites showed a  
527 considerable decrease in crystallization and melting enthalpies as the consequence of  
528 the formation of less perfect crystals due to polymer chain scission during the hydrolytic  
529 degradation [39]; being more noticeable for PCL20% and PCL30% after 30 days as  
530 these energy transitions were no longer visible. These results are consistent with the  
531 high extent of polymer degradation evidenced by the visual observations and  
532 disintegrability analysis. They are probably related to the scission of polymer chains  
533 preventing the formation of stable crystalline structure and to the mixing upon heating  
534 of PCL with the enzymes secreted by microorganisms from compost (amorphous  
535 macromolecules) [39]. No significant differences were observed in crystallization and  
536 melting enthalpy values for neat PCL after 75 days, indicating the lower extent of  
537 polymer degradation when compared to PCL/AS composites, in particular at high filler  
538 loading. This was expected for a semicrystalline polymer such as PCL, since crystalline  
539 regions show the tendency to retard water uptake [47].

540 It is well known that crystallinity plays an important role in the degradation  
541 behaviour of the aliphatic polyesters [5]. The observed modifications on crystallization  
542 enthalpy values ( $\Delta H_c$ ) for PCL-AS films during biodegradation are shown in Fig. 9. No  
543 significant differences in  $\Delta H_c$  ( $p > 0.05$ ) were observed for neat PCL up to 30 testing  
544 days. However, PCL containing 10 wt% of AS residue did not show significant  
545 differences in  $\Delta H_c$  values ( $p > 0.05$ ) up to 15 days, in contrast to 20 and 30 wt%  
546 formulations which showed a significant decrease with disintegration time ( $p < 0.05$ ). It

547 can be concluded that the incorporation of almond skin led to reduction in crystallinity  
548 of the polymer matrix accelerating the degradation process [12].

549

#### 550 **4. Conclusions.**

551 Novel biodegradable composites based on poly( $\epsilon$ -caprolactone) (PCL) and  
552 almond skin residues (AS) were produced. A remarkable improvement in mechanical  
553 properties with the addition of AS particles was obtained indicating the potential use of  
554 this residue as reinforcement agent in PCL composites. Furthermore, the presence of AS  
555 filler accelerated the degradation of the PCL matrix in the composite films, being this  
556 effect more pronounced with the increase in AS contents. This effect was explained in  
557 terms of the reduction in crystallinity of the polymer matrix and the high hydrophilicity  
558 of the natural fibres, promoting the water uptake and, consequently, the microbial attack  
559 and hydrolysis of the PCL matrix.

560 The best performance regarding the studied properties was found for composite  
561 films with 10 wt% AS loading. In this sense, mechanical properties were improved with  
562 good adhesion between the AS residue and the PCL matrix, as observed by SEM. No  
563 significant differences were observed regarding thermal degradation and barrier  
564 properties compared to neat PCL. In conclusion, this formulation can be an interesting  
565 environmentally-friendly material to be used for food packaging applications showing a  
566 biodegradable nature and increasing the added-value potential of almond agricultural  
567 wastes. In this sense, it is clear that some reduction in transparency of the polymer  
568 matrix will be obtained with the AS filler incorporation, but the obtained formulation  
569 could be suitable for the development of sustainable food trays and similar containers  
570 where transparency is not an issue. Finally, an additional advantage is the reduction of  
571 the packaging cost by adding this residue.



572

573 **Acknowledgements**

574 Authors would like to thank “Almendras Llopis S.A” for kindly providing the  
575 almond skin by-product as well as to Spanish Ministry of Economy and  
576 Competitiveness for financial support (MAT-2011-28468-C02-01). Arantzazu Valdés  
577 acknowledges Conselleria de Educación (Spain) for ACIF/2010/172 Predoctoral  
578 Research Training Grant.

579

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- 712

713 **Figure Captions.**

714 **Fig. 1.** Scanning electron micrographs of the fractured surface of PCL/AS composites  
715 (500x).

716 **Fig. 2.** ATR-FTIR spectra obtained for AS residue, neat PCL (—) and PCL30%  
717 composite (—).

718 **Fig. 3.** Water absorption curves obtained for neat PCL and PCL/AS composites as a  
719 function of time (n=3; 23 °C, 50 % RH).

720 **Fig. 4.** Melting (a) and crystallization (b) thermograms of neat PCL and PCL/AS  
721 composite films, second heating.

722 **Fig. 5.** DTG curves obtained for AS residue, neat PCL and PCL/AS composites in nitrogen.

723 **Fig. 6.** Physical disintegration of PCL and PCL/AS composite films after 0, 30 and 75  
724 days of disintegration in composting conditions at 25 °C.

725 **Fig. 7.** Disintegrability (%) of neat PCL and PCL/AS composite films as a function of  
726 degradation time in composting conditions at 25 °C (n=2).

727 **Fig. 8.** DSC thermograms of PCL and PCL/AS composites at 0, 15, 30 and 75 days of  
728 degradation in compost, second heating.

729 **Fig. 9.** Crystallization enthalpy values ( $\Delta H_c$ ) of neat PCL and PCL/AS composite films  
730 as a function of degradation time in composting conditions at 25 °C (n=2).

731

732 **Table 1.** Main significant FTIR bands observed for PCL and PCL/AS composites.

Band (cm <sup>-1</sup> )	Structural group
3450	O-H stretching vibrations of hydroxyl groups
2943	Symmetric C-H stretching vibrations
2866	Asymmetric C-H stretching vibrations
1724	C=O lactones stretching
1470	Asymmetric COO- stretching
1365	Symmetric COO- stretching and O-H bending
1180	C-O lactones stretching
1045	C-O-C stretching

733

734



735 **Table 2.** Mechanical (mean  $\pm$  SD, n = 5) and barrier properties (mean  $\pm$  SD, n = 3)  
 736 obtained for neat PCL and PCL/AS composites. Different superscripts within the same  
 737 row indicate statistically significant different values ( $p < 0.05$ ).

Parameter	Formulation			
	PCL	PCL 10%	PCL 20%	PCL 30%
Elastic Modulus (MPa)	335 $\pm$ 8 <sup>a</sup>	392 $\pm$ 8 <sup>b</sup>	365 $\pm$ 4 <sup>c</sup>	280 $\pm$ 4 <sup>d</sup>
Elongation at break (%)	68 $\pm$ 7 <sup>a</sup>	38 $\pm$ 1 <sup>b</sup>	24 $\pm$ 1 <sup>c</sup>	18 $\pm$ 1 <sup>d</sup>
OTR.e (cm <sup>3</sup> mm m <sup>-2</sup> day)	90 $\pm$ 11 <sup>a</sup>	173 $\pm$ 8 <sup>b</sup>	160 $\pm$ 10 <sup>b</sup>	554 $\pm$ 20 <sup>c</sup>
WVP x 10 <sup>-14</sup> (Kg m Pa <sup>-1</sup> s <sup>-1</sup> m <sup>-2</sup> )	2.5 $\pm$ 0.5 <sup>a</sup>	2.2 $\pm$ 0.1 <sup>a</sup>	3.1 $\pm$ 0.7 <sup>b</sup>	3.2 $\pm$ 0.3 <sup>c</sup>

738

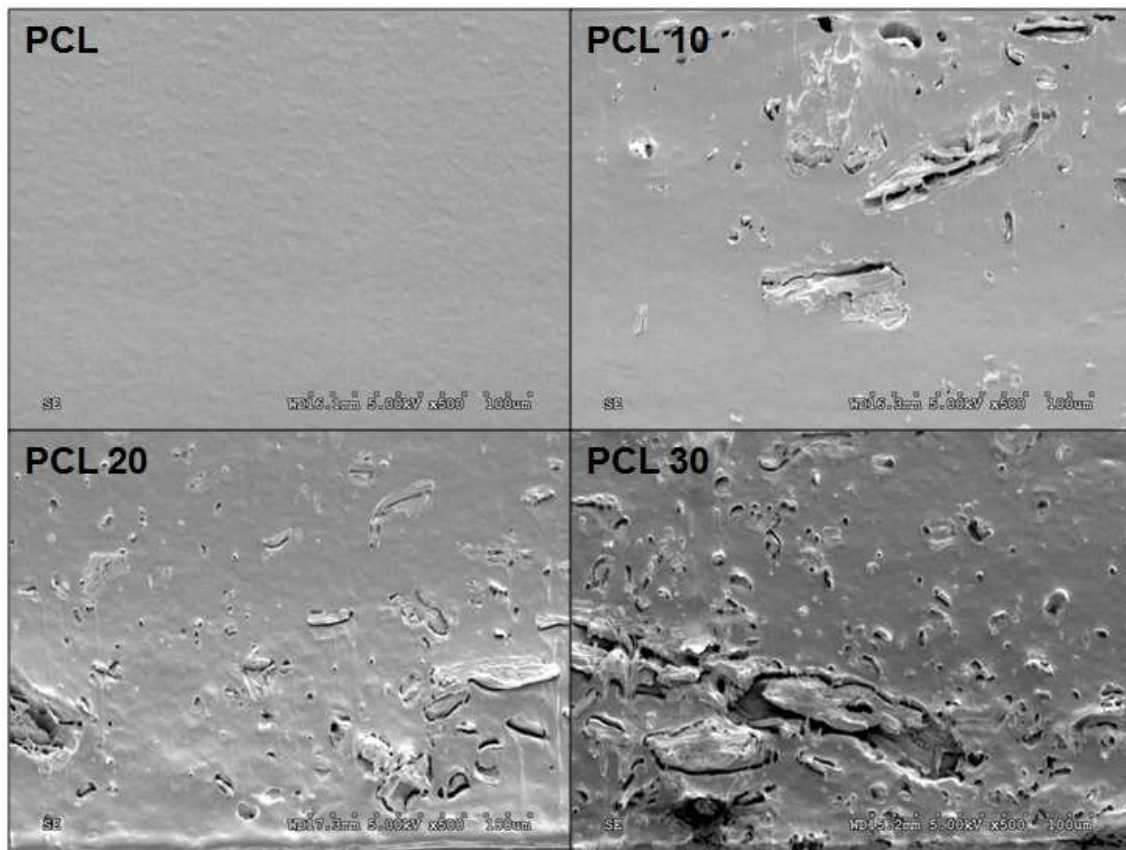
739

740 **Table 3.** Thermal properties (mean  $\pm$  SD, n = 3) obtained for neat PCL and PCL/AS  
 741 composites. Different superscripts within the same column indicate statistically  
 742 significant different values ( $p < 0.05$ ).

Formulation	$\Delta H_c$ (J g <sup>-1</sup> )	$T_c$ (°C)	$\Delta H_m$ (J g <sup>-1</sup> )	$T_m$ (°C)	$\chi_c$ (%)	$T_g$ (°C)	$T_{ini}$ (°C)	$T_{max}$ (°C)
Control	59 $\pm$ 1 <sup>a</sup>	30 $\pm$ 1 <sup>a</sup>	59 $\pm$ 1 <sup>a</sup>	55 $\pm$ 1 <sup>a</sup>	43 $\pm$ 1 <sup>a</sup>	-61 $\pm$ 2 <sup>a</sup>	385 $\pm$ 2 <sup>a</sup>	415 $\pm$ 1 <sup>a</sup>
PCL 10%	55 $\pm$ 5 <sup>a</sup>	30 $\pm$ 1 <sup>a</sup>	55 $\pm$ 6 <sup>a</sup>	55 $\pm$ 1 <sup>a</sup>	45 $\pm$ 5 <sup>a</sup>	-60 $\pm$ 1 <sup>a</sup>	381 $\pm$ 2 <sup>a</sup>	415 $\pm$ 1 <sup>a</sup>
PCL 20%	57 $\pm$ 2 <sup>a</sup>	32 $\pm$ 1 <sup>b</sup>	54 $\pm$ 2 <sup>a</sup>	55 $\pm$ 1 <sup>a</sup>	50 $\pm$ 2 <sup>a</sup>	-57 $\pm$ 1 <sup>b</sup>	292 $\pm$ 7 <sup>b</sup>	411 $\pm$ 3 <sup>b</sup>
PCL 30%	54 $\pm$ 1 <sup>b</sup>	33 $\pm$ 1 <sup>b</sup>	44 $\pm$ 1 <sup>b</sup>	44 $\pm$ 1 <sup>b</sup>	46 $\pm$ 1 <sup>b</sup>	-56 $\pm$ 1 <sup>b</sup>	272 $\pm$ 5 <sup>c</sup>	404 $\pm$ 5 <sup>c</sup>

743

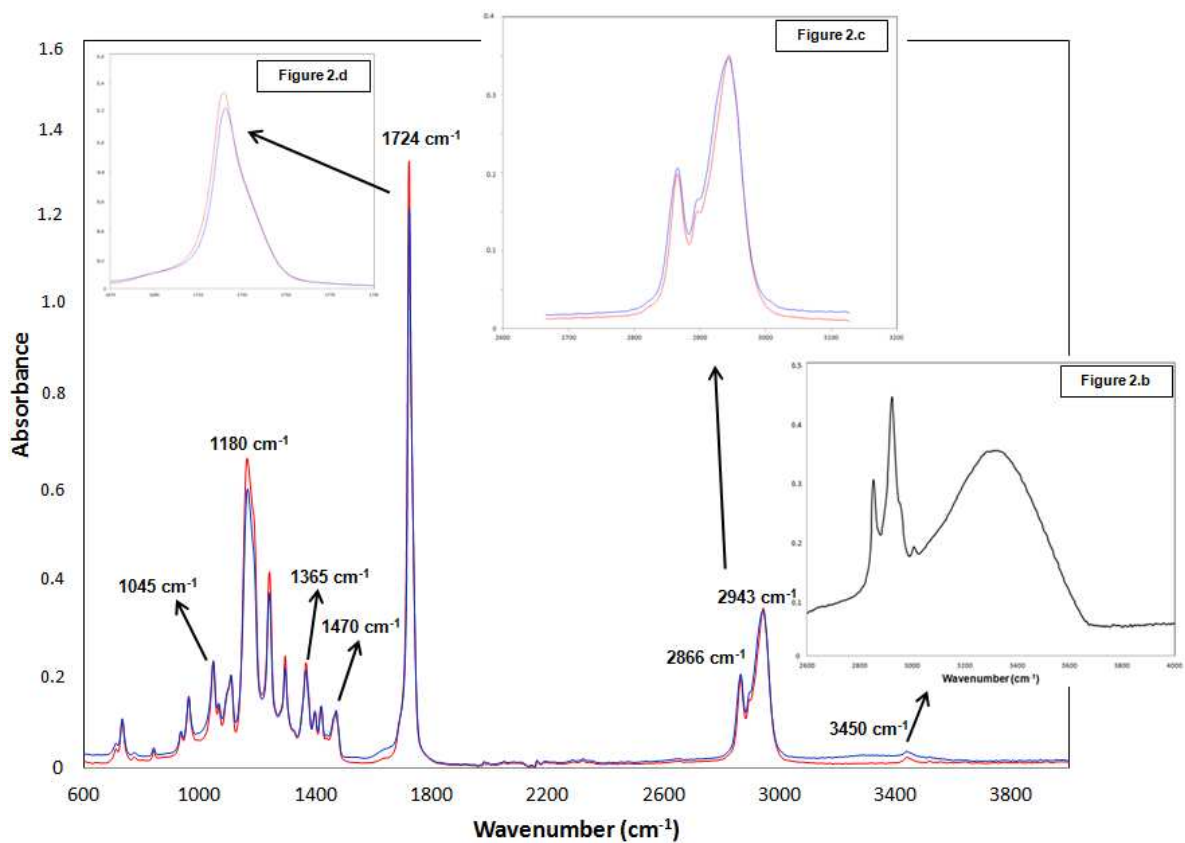
744



745

746 **Fig. 1.** Scanning electron micrographs of the fractured surface of PCL/AS composites

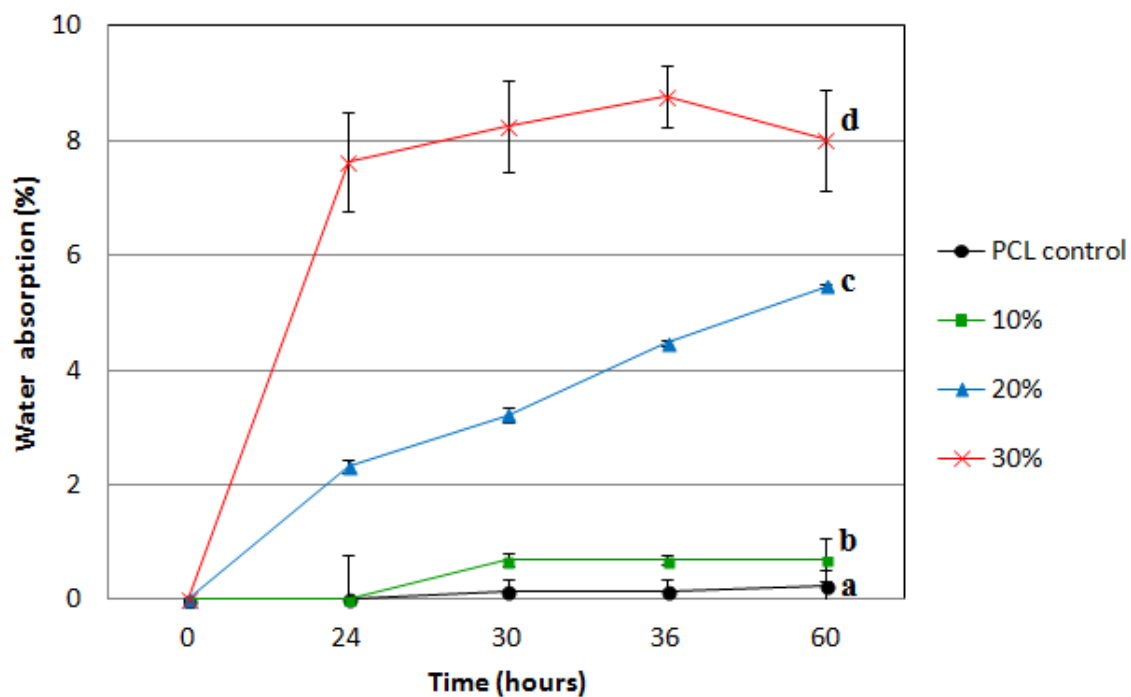
747 (500x).



748

749 **Fig. 2.** ATR-FTIR spectra obtained for AS residue, neat PCL (—) and PCL30%  
 750 composite (—).

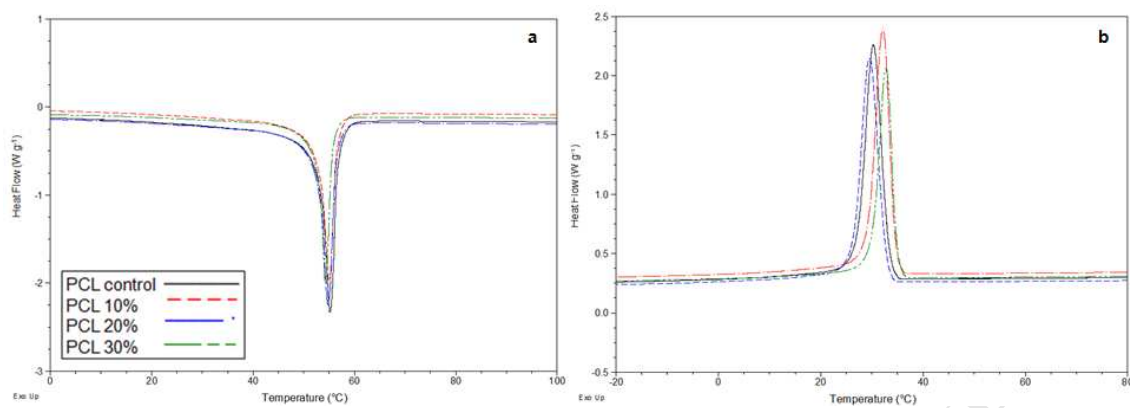
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752

753 **Fig. 3.** Water absorption curves obtained for neat PCL and PCL/AS composites as a  
754 function of time (n=3; 23 °C, 50 % RH).

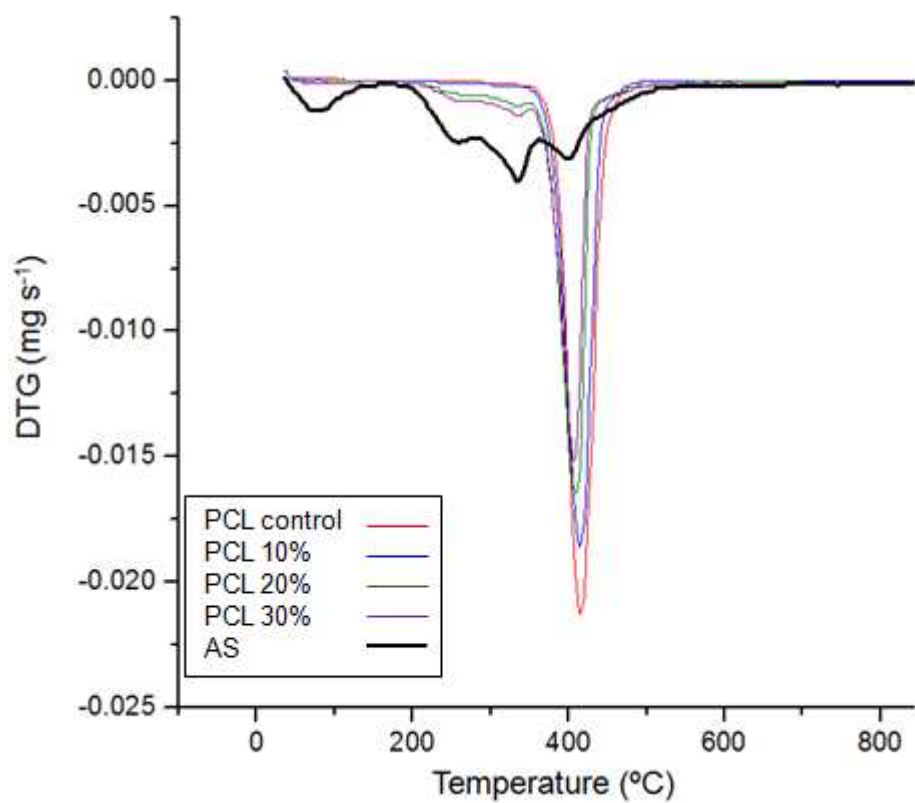
755



756

757 **Fig. 4.** Melting (a) and crystallization (b) thermograms of neat PCL and PCL/AS  
758 composite films, second heating.

759



760

761 **Fig. 5.** DTG curves obtained for AS residue, neat PCL and PCL/AS composites in  
762 nitrogen.

763



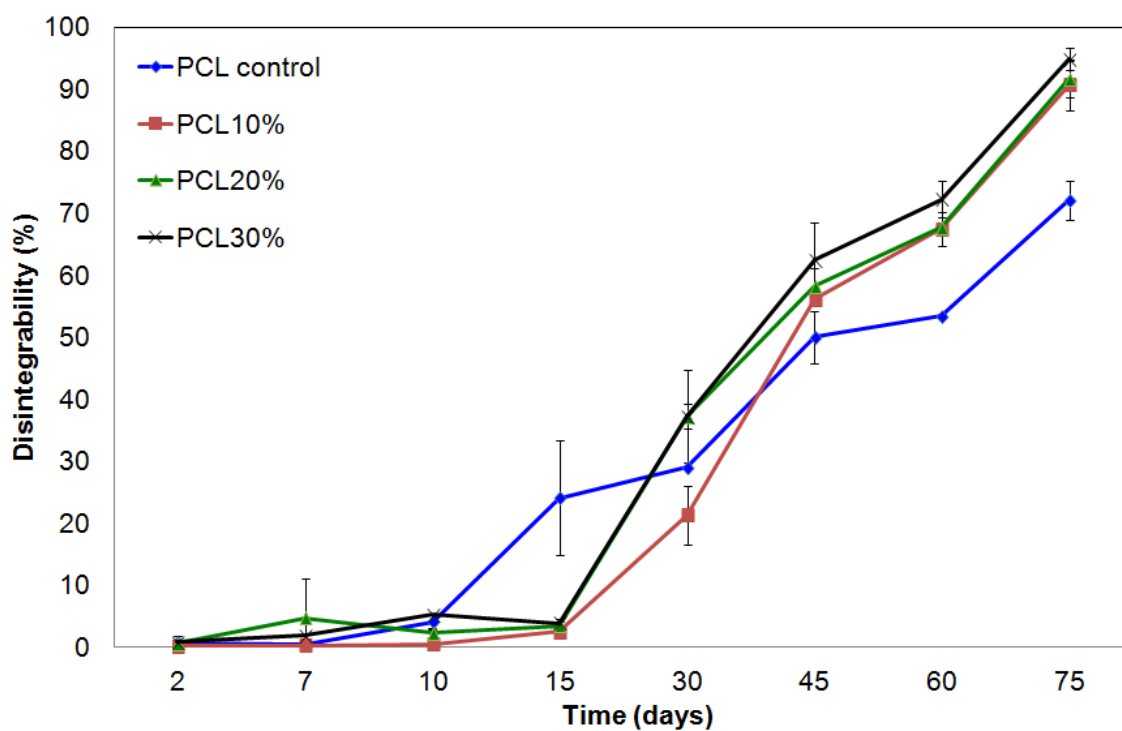
764

765 **Fig. 6.** Physical disintegration of PCL and PCL/AS composite films after 0, 30 and 75

766 days of disintegration in composting conditions at 25 °C.

767

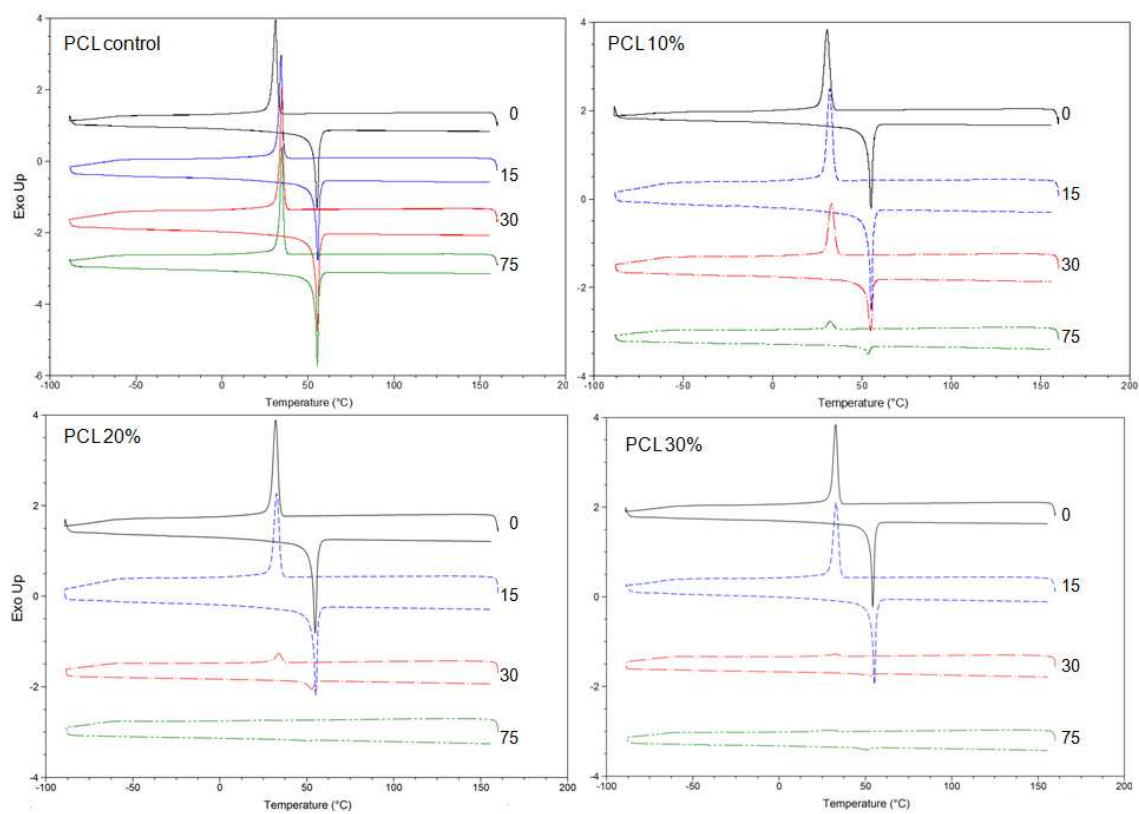




768

769 **Fig. 7.** Disintegrability (%) of neat PCL and PCL/AS composite films as a function of  
770 degradation time in composting conditions at 25 °C (n=2).

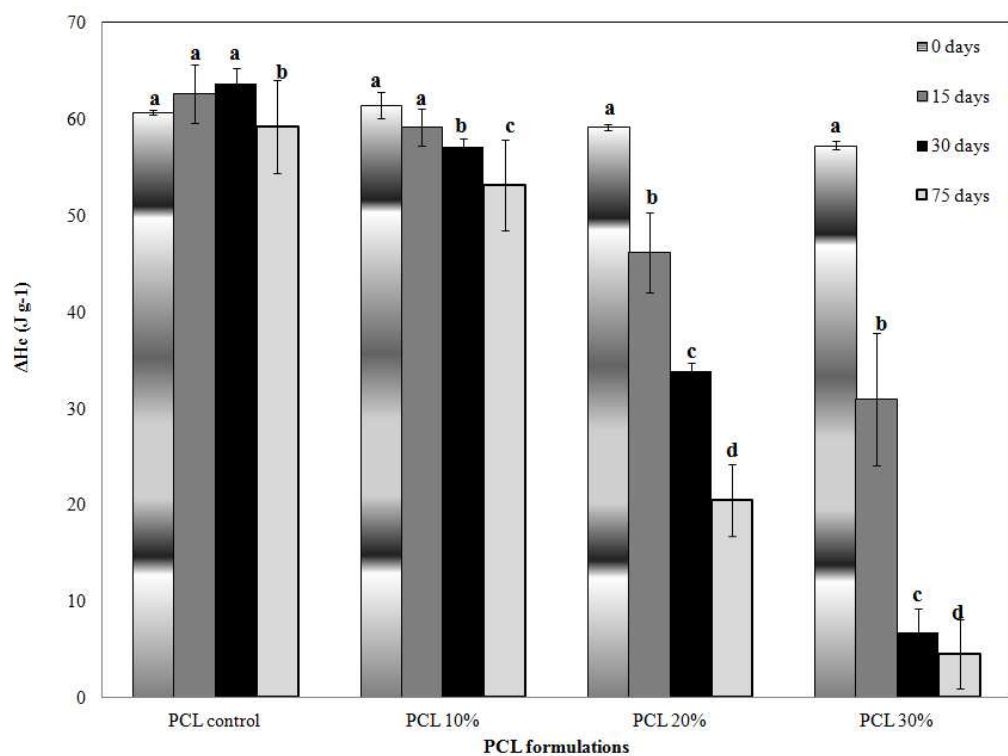
771



772

773 **Fig. 8.** DSC thermograms of PCL and PCL/AS composites at 0, 15, 30 and 75 days of  
774 degradation in compost, second heating.

775



776

777 **Fig. 9.** Crystallization enthalpy values ( $\Delta H_c$ ) of neat PCL and PCL/AS composite films

778 as a function of degradation time in composting conditions at 25 °C (n=2).