1	In vitro and in vivo effect of exogenous farnesol exposure against
2	Candida auris
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5	Running title: Farnesol against Candida auris
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39	Keywords: biofilm, oxidative stress, virulence, in vivo, synergy, triazoles, quorum-sensing,
40	therapy
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#### 43 Abstract

44 The spreading of multidrug-resistant Candida auris is considered as an emerging global health threat. The number of effective therapeutic regimens is strongly limited; therefore, 45 development of novel strategies is needed. Farnesol is a guorum-sensing molecule with a 46 47 potential antifungal and/or adjuvant effect; it may be a promising candidate in alternative 48 treatment against *Candida* species including *C. auris*. To examine the effect of farnesol on *C*. 49 auris, we performed experiments focusing on growth, biofilm production ability, production 50 of enzymes related to oxidative stress, triazole susceptibility and virulence. Concentrations 51 ranging from 100 to 300 µM farnesol caused a significant growth inhibition against C. auris 52 planktonic cells for 24 hours (p < 0.01 - 0.05). Farnesol treatment showed a concentration 53 dependent inhibition in terms of biofilm forming ability of C. auris; however, it did not 54 inhibit significantly the biofilm development at 24 hours. Nevertheless, the metabolic activity 55 of adhered farnesol pre-exposed cells (75 µM) was significantly diminished at 24 hours 56 depending on farnesol treatment during biofilm formation (p < 0.001 - 0.05). Moreover, 300  $\mu$ M farnesol exerted a marked decrease in metabolic activity against one-day-old biofilms 57 58 between 2 and 24 hours (p < 0.001). Farnesol increased the production of reactive species 59 remarkably, as revealed by 2',7'-dichlorofluorescein (DCF) assay (3.96±0.89 [nmol DCF  $(OD_{640})^{-1}$ ] and 23.54±4.51 [nmol DCF  $(OD_{640})^{-1}$ ] for untreated cells and farnesol exposed 60 cells, respectively; p < 0.001). This was in line with increased superoxide dismutase level 61 62  $(85.69\pm5.42 \text{ [munit (mg protein)}^{-1}\text{] and } 170.11\pm17.37 \text{ [munit (mg protein)}^{-1}\text{] for untreated}$ cells and farnesol exposed cells, respectively; p < 0.001), but the catalase level remained 63 64 statistically comparable between treated and untreated cells (p>0.05). Concerning virulencerelated enzymes, exposure to 75 µM farnesol did not influence phospholipase or aspartic 65 proteinase activity (p>0.05). The interaction between fluconazole, itraconazole, voriconazole, 66 posaconazole, isavuconazole and farnesol showed clear synergism (FICI ranges from 0.038 to 67 68 0.375) against one-day-old biofilms. Regarding in vivo experiments, daily 75 µM farnesol 69 treatment decreased the fungal burden in an immuncompromised murine model of 70 disseminated candidiasis, especially in case of inocula pre-exposed to farnesol (p < 0.01). In 71 summary, farnesol shows a promising therapeutic or adjuvant potential in traditional or 72 alternative therapies such as catheter lock therapy. 73

#### 74 **Contribution to the field**

75 To date Candida auris had been reported from more than 35 countries on six different 76 continents. This newly emerged multidrug-resistant fungal pathogen causes nosocomial 77 outbreaks with high crude mortality rate. The number of resistant isolates to all of three main classes of antifungals is steadily increasing worldwide. Due to the alarming emergence of 78 79 antifungal resistance, there is an urgent need to develop alternative antifungal therapies. An 80 attractive novel approach to combating fungal infections caused by multi-resistant pathogens 81 is treatments targeting quorum-sensing. Farnesol was the first described fungal quorumsensing molecule, causing hyphae-to-yeast transition in C. albicans. However, it is 82 83 noteworthy that farnesol may act differently in non-albicans Candida species; in addition, it 84 may have potent synergizing and/or antifungal effect. In this study, we performed 85 investigations focusing on growth, biofilm production ability, oxidative stress related enzyme production, azole susceptibility and virulence in order to examine the effect of farnesol 86 exposure on C. auris. Based on our results, farnesol has an inhibitory effect both against 87 88 planktonic cells and biofilms. In addition, it showed a remarkable therapeutic potential in our 89 systemic immunocompromised mouse model. These results may support the development of 90 novel alternative therapies against C. auris infections in the future.

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#### 95 **1. Introduction**

96 *Candida auris* is an emerging fungal pathogen causing outbreaks in healthcare settings with 97 unacceptably high mortality rates ranging from 28% to 78% depending on the country 98 (Jeffrey-Smith et al. 2018, Eyre et al. 2018). To date, 39 countries have reported C. auris 99 associated infections (Jeffrey-Smith et al. 2018, Eyre et al. 2018, Kean et al. 2020). Based on 100 last published data, the number of confirmed C. auris infections were 620 and 988 in Europe 101 and United States of America, respectively (CDC 2019, ECDC 2018). Nosocomial C. auris 102 outbreaks were reported from several countries including India, South Africa, Venezuela, 103 Pakistan, and the United States (Vallabhaneni et al. 2016, Lockhart et al. 2017, Belkin et al. 104 2018). Previously, genetic analyses revealed more genetically unrelated clonal populations 105 across three different continents. These clades are commonly classified as South African, 106 South Asian, East Asian and South American clades (Lockhart et al. 2017). In addition, a 107 recent study described a fifth C. auris clade in Iran from patient who never travelled outside 108 that country (Abastabar et al. 2019, Chow et al. 2019).

109 Over 90% of clinical isolates are resistant to fluconazole whereas resistance to newer triazoles 110 is variable (Dudiuk et al 2019, Romera et al 2019). The ratio of strains resistant to 111 amphotericin B ranges from 8% to 50%, while echinocandin resistance remains infrequent 112 (2% to 8%) (Dudiuk et al 2019). Alarmingly, isolates of C. auris with resistance to all three 113 major antifungal classes have been reported in multiple countries including the USA 114 (Ostrowsky et al. 2020). These multidrug-resistant strains may remain susceptible to nystatin and terbinafine (Sarma and Upadhyay 2017). C. auris biology have been extensively covered 115 116 in recent papers (Rossato and Colombo 2018, Casadevall et al. 2019), however, the data about 117 potential alternative treatment strategies remain scarce (Wall et al. 2019); therefore, there is 118 an urgent need for the development of new antifungal therapies. In addition, multidrug-119 resistance is significantly more frequently reported in the case of C. auris biofilms (Kean and

Ramage 2019). Thus, although the capacity to form biofilms is strain dependent in *C. auris*, they frequently pose a remarkable therapeutic challenge, especially because *C. auris* biofilms

also have a considerable virulence capacity (Kean and Ramage 2019). Since data collected

with *C. albicans* biofilms cannot be extrapolated to *C. auris* directly, such studies are urgently
 needed to meet this novel challenge (Kean and Ramage 2019).

Farnesol is a fungal quorum-sensing molecule that inhibits yeast-to-hyphae transition and promotes reverse morphogenesis in *C. albicans* (Hornby et al. 2001). Based on recent studies,

farnesol acts synergistically with several antifungal agents against *C. albicans, C. glabrata, C.* 

128 *tropicalis* as well as against *C. parapsilosis* planktonic cells and/or biofilms (Katragkou et al.

129 2015, Kovács et al. 2016, Monteiro et al. 2017, Agustín et al. 2019), thus it has been proposed

130 as a potential adjuvant therapeutic agent. In addition, its therapeutic potential has already been

131 confirmed against C. albicans in murine models of mucosal infection (Hisajima et al. 2008,

132 Bozó et al. 2016). Although farnesol is not beneficial in systemic infections caused by C.

133 *albicans* (Navarthna et al. 2007), those data cannot necessarily be extrapolated to non-

134 *albicans* species including *C. auris* (Semreen et al. 2019).

135 This study examines the effect of farnesol exposure on growth, biofilm production, oxidative 136 stress-related enzyme production, triazole susceptibility and virulence of *C. auris*, in order to

- 137 explore the background of the previously observed antifungal effect.
- 138

#### 139 2. Materials and methods

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#### 141 2.1. Organisms

142 Three C. auris isolates (isolates 10, 12, and 27) obtained from National Mycology Reference 143 Laboratory, United Kingdom were used together with the SC5314 C. albicans reference 144 strain. All three C. auris strains derived from the South Asian/Indian lineage (Borman et al. 145 2017). All C. auris isolates tested showed non-aggregating phenotype, which exhibit 146 comparable pathogenicity to that of *C. albicans* (Borman et al. 2016).

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#### 148 **2.2.** Toxicity experiments

149 Ten µM, 50 µM, 150 µM and 300 µM farnesol were evaluated in terms of toxicity to the 150 Caco-2 cell line using a 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide 151 (MTT) assay (Sigma, Budapest, Hungary) (Berridge et al. 2005). No toxicity was observed 152 with any concentration of farnesol.

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#### 154 2.3. Growth related experiments for planktonic cells

155 The effect of pre-exposure and continuous farnesol treatment on C. auris and C. albicans 156 planktonic cells was tested in RPMI-1640 (with L-glutamine and without bicarbonate, pH 7.0 157 with MOPS; Sigma, Budapest, Hungary) in two experimental settings: i) effect of various 158 farnesol concentrations against planktonic cells, *ii*) effect of various farnesol concentrations 159 against planktonic cells pre-exposed with farnesol (75 µM) for 24-hours. Seventy-five µM 160 farnesol was chosen as pre-exposure concentration because it corresponds to approximately 161 double the amount of physiological farnesol production of C. albicans (Weber et al. 2008).

- Farnesol was obtained as 3M stock solution, which was diluted to a 30 mM working stock 162 solution in 100% methanol. The working concentrations of farnesol were prepared in RPMI-
- 163 164 1640 medium. Drug-free control was supplemented with 1% (vol/vol) methanol (Kovács et al.
- 165 2016, Bozó et al. 2016, Nagy et al. 2019). Farnesol concentrations tested were 10, 50, 100,
- 166 300 µM in all experiments.
- 167 Living cell number of planktonic cells was determined using time-kill experiments (Kovács et 168 al. 2014, Kovács et al. 2017). Briefly, samples (100 µL) were removed at 0, 2, 4, 6, 8, 10, 12 169 and 24 hours, serially diluted tenfold, plated (4 x 30 µL) onto Sabouraud dextrose agar and
- 170 incubated at 35 °C for 48 hours. All isolates were tested in three independent experiments and
- 171 the mean of the three values was used in the analysis. At given time points, one-way ANOVA
- 172 with Dunnett's post-testing was used to analyze the effect on living cell number exerted by
- 173 different farnesol concentrations compared to untreated control. 174

#### 175 2.4. Evaluation of extracellular phospholipase and aspartic proteinase activities exerted 176 by farnesol exposure

177 Extracellular phospholipase production by farnesol-exposed (75 µM) and untreated C. auris 178 and C. albicans cells was examined on egg yolk medium (5.85% [wt/vol] NaCl, 0.05% 179 [wt/vol] CaCl<sub>2</sub>, and 10% [vol/vol] sterile egg yolk [Sigma, Budapest, Hungary]). Aspartic 180 proteinase activity was evaluated on solid medium supplemented with bovine serum albumin 181 (0.02% [wt/vol] MgSO<sub>4</sub>×7H<sub>2</sub>O, 0.25% [wt/vol] K<sub>2</sub>HPO<sub>4</sub>, 0.5% [wt/vol] NaCl, 0,1% [wt/vol] veast extract, 2% [wt/vol] glucose and 0.25% [wt/vol] bovine serum albumin [Sigma, 182 Budapest, Hungary] agar medium). In case of both assay, 5  $\mu$ L suspensions of 1 x 10<sup>7</sup> 183 184 cells/mL were inoculated onto agar plates as described previously (Kantarcioglu and Yücel 2002). Colony diameters and precipitation zones (Pz) were measured after 7 days of 185 186 incubation at 35 °C (Price et al. 1982). Enzyme activities were measured in three independent 187 experiments for each isolate and are presented as means  $\pm$  standard deviations. Statistical 188 analysis of reactive species and enzyme production data were performed by paired Student's t

- 189 test using GraphPad Prism 6.05 software. The differences between values for treated and 190 control cells were considered significant if the p value was < 0.05.
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# 192 2.5. Reactive species production and antioxidant enzyme activities exerted by farnesol 193 exposure

194 Reactive species were measured in the presence or absence of one-day farnesol (75 µM) 195 exposure in RPMI-1640 by a reaction that converts 2',7'-dichlorofluorescin diacetate to 2',7'-196 dichlorofluorescein (DCF) (Sigma, Budapest, Hungary) (Jakab et al. 2015, Jakab et al. 2019). 197 The amount of DCF produced is proportional to the quantity of reactive species. Catalase and 198 superoxide dismutase activities were determined as described previously by Jakab et al. 199 (2015) and Jakab et al. (2019). Reactive species and enzyme activities were measured in three 200 independent experiments for each isolate and are presented as means  $\pm$  standard deviations. 201 Statistical comparisons of reactive species and enzyme production data were performed by 202 paired Student's t test using GraphPad Prism 6.05 software. The differences between values 203 for treated and control cells were considered significant if the p value was < 0.05.

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# 205 **2.6. Susceptibility testing of planktonic cells to azoles and farnesol**

206 Antifungal susceptibility of C. auris isolates to fluconazole, itraconazole, voriconazole, 207 posaconazole, isavuconazole and to farnesol (all from Sigma, Budapest, Hungary) was tested 208 using the broth microdilution method in RPMI-1640 in line with the CLSI standard M27-A3 209 guideline (Clinical and Laboratory Standards Institute, 2008). The final concentrations of the 210 drug ranged between 0.5-32 mg/L, 0.008-0.5 mg/L and 1.17-300 µM mg/L for fluconazole, other tested azoles and farnesol, respectively. Susceptibility testing for planktonic cells was 211 212 performed in 96-well microtitre plates at 35 °C for 24 hours. The inoculum was 0.5-2.5x10<sup>3</sup> 213 cells/mL. Minimum inhibitory concentrations (MICs) were defined as at least 50% growth 214 reduction compared with untreated control. All isolates were tested in three independent 215 experiments and the median of the three values was used in the analysis.

216

# 217 **2.7. Biofilm formation**

218 *Candida* isolates were suspended in RPMI-1640 broth at a concentration of  $1 \times 10^6$  cells/mL 219 and aliquots of 100 µl were inoculated onto flat-bottom 96-well sterile microtitre plates (TPP, 220 Trasadingen, Switzerland) and then incubated statically at 35 °C for 24 hours to produce one-221 day-old biofilms (Pierce et al. 2008, Kovács et al. 2016).

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# 223 **2.8.** Metabolic activity changes of biofilms over time following farnesol exposure

224 The effect of pre-exposure and continuous farnesol treatment on C. auris and C. albicans 225 biofilms was tested in three experimental settings: i) continuous farnesol treatment for 24-226 hours during biofilm formation, *ii*) biofilm forming ability of cells pre-exposed with farnesol 227 (75 µM) for 24-hours prior to biofilm formation then continuously treated to given farnesol 228 concentrations for 24-hours during biofilm development, iii) effect of farnesol on one-day-old 229 biofilms. Farnesol concentrations tested were 10, 50, 100, 300 µM in all experiments. 230 Metabolic activity of sessile cells was determined at 0, 2, 4, 6, 8, 10, 12 and 24 hours using XTT-reduction assay (Hawser 1996, Katragkou et al. 2015). All isolates were tested in three 231 232 independent experiments and the mean of the three values was used in the analysis. At given 233 time points, one-way ANOVA with Dunnett's post-testing was used to analyze the metabolic 234 activity change exerted by different farnesol concentrations compared to untreated control. 235 The differences between values for treated and control cells were considered significant if the 236 p value was lower than 0.05.

237

## 238 **2.9. Susceptibility testing of biofilms**

239 The activity of triazoles and farnesol against one-day-old biofilms was evaluated using the 240 XTT-assay (Hawser, 1996, Katragkou et al. 2014, Kovács et al. 2016, Nagy et al. 2019). The 241 concentrations tested in biofilm MIC determination ranged between 8-512 mg/L, 0.5-32 mg/L, 0.125-8 mg/L and 1.17-300 µM for fluconazole, voriconazole/itraconazole, 242 243 posaconazole/isavuconazole and farnesol, respectively. To determine the 24-hour biofilm 244 MICs, one-day-old biofilms were first washed three times with 200 µL sterile physiological 245 saline. All wells were filled with 100 µL of 0.5 g/L XTT / 1 µM menadione solution. The 246 plates were covered and incubated at 35 °C for 2 hours; afterwards, 80 µL of the supernatant 247 was removed and transferred into a new sterile 96-well plate to measure the absorbance spectrophotometrically at 492 nm. MICs were defined as the lowest concentration that 248 249 produced at least 50% reduction in metabolic activity of fungal biofilms compared to 250 untreated control (Katragkou et al. 2014, Kovács et al. 2016, Nagy et al. 2019). Three 251 independent experiments were performed for all isolates and the median of the three values 252 were presented.

253

## 254 **2.10.** *In vitro* interactions between farnesol and azoles for planktonic cells and biofilms

255 A fractional inhibitory concentration index (FICI) was used to evaluate drug-drug interactions 256 using a two-dimensional broth microdilution checkerboard assay both for planktonic and 257 sessile cells (Meletiadis et al. 2005, Katragkou et al. 2015, Kovács et al. 2016). In the case of 258 C. albicans, combinations were tested only for biofilms because planktonic isolates are 259 generally susceptible to the tested azoles. The concentration ranges were as described above for MIC determination against planktonic cells and biofilms. The FICI expressed as 260  $\Sigma FIC = FIC_A + FIC_B = MIC_A^{combination} / MIC_A^{alone} + MIC_B^{combination} / MIC_B^{alone}$ , where  $MIC_A^{alone}$  and 261 MICB<sup>alone</sup> are the MIC values of compounds A and B used alone and MICA<sup>combination</sup> and 262 MIC<sub>B</sub><sup>combination</sup> are the MICs of compounds A and B at the isoeffective combinations, 263 respectively. FICI was defined as the lowest  $\Sigma$ FIC (Meletiadis et al. 2005, Katragkou et al. 264 265 2015, Kovács et al. 2016). The MIC values of the drugs alone and of all isoeffective 266 combinations were determined as the lowest drug concentrations showing at least 50% 267 reduction of turbidity for planktonic, or at least 50% reduction in metabolic activity of biofilm compared to the untreated control cells. The interaction between azoles and farnesol was 268 269 interpreted as synergistic when FICI was  $\leq 0.5$ , as indifferent interaction when FICI was 270 between >0.5 and 4 and as antagonism when FICI was >4 (Meletiadis et al. 2005, Katragkou 271 et al. 2015, Kovács et al. 2016).

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#### 273 2.11. In vivo experiments

BALB/c immunocompromised female mice (21-23 g) (Charles River) were used to examine 274 275 the effect of farnesol pre-exposure (75 µM) and daily farnesol treatment (75 µM) on virulence 276 of C. auris and compared to C. albicans SC5314. The animals were maintained in accordance 277 with the Guidelines for the Care and Use of Laboratory Animals. The experiments were 278 approved by the Animal Care Committee of the University of Debrecen, Debrecen, Hungary 279 (permission no. 12/2014 DEMÁB). Permanent immunosuppression was produced by 280 intraperitoneal administration of 150 mg/kg cyclophosphamide 4 days prior to infection, 100 281 mg/kg cyclophosphamide 1 day prior to infection, 100 mg/kg cyclophosphamide 2 days post-282 infection and 100 mg/kg cyclophosphamide 5 days post-infection (Andes et al. 2010, Kovács 283 et al. 2014). In accordance with our preliminary experiments, mice were challenged intravenously through the lateral tail vein; the infectious doses were  $1 \ge 10^7$  CFU/mouse and 8 284 x 10<sup>3</sup> CFU/mouse in 0.2 mL volume for C. auris and C. albicans, respectively. Inoculum 285 286 density was confirmed by plating serial dilutions on Sabouraud dextrose agar (Kovács et al. 287 2014). Mice were divided into four groups (10 mice per group); i) untreated control mice; ii) 288 inoculation with 24 hours-long farnesol pre-exposed (75 µM) cells; *iii*) there was no farnesol

289 pre-exposure to fungal cells prior to infection, but 75  $\mu$ M daily farnesol treatment 290 (corresponding to approximately 0.4 mg/kg) was started from 24 hours post-infection; *iv*) 24 291 hours-long farnesol pre-exposure (75  $\mu$ M) to fungal cells prior to infection; afterwards, 75  $\mu$ M 292 daily farnesol treatment was started at 24 hours post-infection.

293 Farnesol treatments were administered intraperitoneally in a volume of 0.5 mL. Control mice

were given 0.5 mL physiological saline intraperitoneally. At 6 days post-infection, mice were

euthanized, and their kidneys were removed (Fakhim et al. 2018), weighed and homogenized

aseptically. Fungal tissue burden was determined by quantitative culturing. Kidney tissue

burden was analyzed using Kruskal-Wallis test with Dunn's post-test (GraphPad Prism 6.05.). Significance was defined as p < 0.05.

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### 300 **2.12. Histology**

Kidneys of treated and untreated mice were subjected to histological investigations.
 Histopathological examination and histochemical staining were performed on routine

formalin-fixed, paraffin-embedded mouse kidney tissues. Serial 4-µm-thick sections were cut from paraffin blocks, and Periodic acid-Schiff (PAS) staining was performed (Pupim et al.

- 305 2017, Kovács et al. 2019).
- 306

## **307 3. Results**

308

# 309 **3.1. Effect of farnesol on** *C. auris* and *C. albicans* planktonic cell growth

Significant decrease was observed in growth rate of *C. auris* for 12 hours in the presence of farnesol concentrations ranges from 50 to 300  $\mu$ M both in case of farnesol unexposed and preexposed cells (*p*<0.001-0.05) (Figure 1A and B). At 24 hours, 100 and 300  $\mu$ M farnesol significantly decreased the viable cell count compared to untreated control in both experimental settings (*p*<0.01-0.001) (Figure 1A and B). Surprisingly, neither farnesol preexposed nor unexposed *C. albicans* cells showed significant growth reduction at 24 hours (*p*>0.05) (Figure 1 C and D).

317

# 318 3.2. Effects of farnesol on extracellular phospholipase and proteinase production of C. 319 auris and C. albicans

320 Farnesol treatment did not significantly influence the extracellular proteinase activity of either 321 C. auris or C. albicans. The Pz values were  $0.83 \pm 0.04$  and  $0.82 \pm 0.05$  for C. auris untreated 322 control and farnesol-exposed cells, respectively (p>0.05), as compared to 0.53  $\pm$  0.003 and 323  $0.48 \pm 0.02$  with C. albicans untreated control and farnesol-exposed cells, respectively 324 (p>0.05). Farnesol exposure resulted in significantly higher phospholipase activity for C. 325 albicans (Pz values were  $0.48 \pm 0.04$  and  $0.42 \pm 0.02$  for untreated control and farnesol-326 exposed cells, respectively (p < 0.01); however, the Pz values were statistically comparable in 327 case of C. auris (Pz values were  $0.9 \pm 0.04$  and  $0.89 \pm 0.05$  for untreated control and farnesol-328 exposed cells, respectively (p>0.05).

329

## 330 **3.3. Farnesol-induced oxidative stress and stress response in** *C. auris* and *C. albicans*.

Farnesol caused a significantly higher reactive species production in *C. auris* compared with untreated control cells as presented in Table 1 (p<0.001). This farnesol-related higher reactive species level was associated with elevated superoxide dismutase (p<0.001) but statistically comparable catalase activity (p>0.05) (Table 1). Farnesol treatment did not result in significantly higher reactive species production in *C. albicans* (p>0.05), which is in line with the statistically comparable catalase and superoxide dismutase activity between farnesol exposed cells and untreated control (p>0.05) (Table 1).

338

# 339 3.4. Effects of farnesol on biofilm forming ability and one-day-old biofilms of *C. auris*340 and *C. albicans*.

341 *(i) The effect of different farnesol concentrations on biofilm forming ability:* All tested 342 farnesol concentrations inhibited the metabolic activity of *C. auris* cells compared to control 343 cells at first 8 hours (p<0.001-0.05); while, statistically comparable metabolic activities were 344 measured at 24 hours (p>0.05) (Figure 2A). In contrast, all tested farnesol concentrations 345 inhibited the metabolic activity of *C. albicans* cells compared to untreated control at 24 hours 346 (Figure 2D).

- 347 (*ii*) Biofilm forming ability of cells pre-exposed with farnesol for 24-hours (75  $\mu$ M) prior to 348 biofilm formation: Interestingly, we observed statistically significant differences in metabolic
- activity of *C. auris* cells only at 24 hours between 50 and 300  $\mu$ M (Figure 2B). In the case of *C. albicans*, statistically significant differences in metabolic activity between 50 and 300  $\mu$ M
- were first observed at 8 hours (Figure 2E), but the metabolic activity of cells treated by various concentrations was statistically comparable at 24 hours (Figure 2E).
- 353 (*iii*) The effect of different farnesol concentrations against one-day-old biofilms: Between 2 354 and 24 hours, 300  $\mu$ M farnesol produced a potent anti-biofilm effect against *C. auris* 355 compared to control (Figure 2C). Interestingly, the low farnesol concentrations (10-50  $\mu$ M)
- 356 increased the metabolic activity of C. albicans biofilms in the first 4 hours (Figure 2F).

357 However, the various farnesol treatments were statistically comparable against *C. albicans* at

- 358 24 hours (Figure 2F).
- 359

## 360 **3.5. Susceptibility results for planktonic cells and biofilms**

For C. auris isolates, the planktonic MICs ranged from 4 to >32 mg/L, from 0.03 to 0.06 361 362 mg/L, from 0.008 to 0.015 mg/L, from 0.015 to 0.03 mg/L and from 0.008 to 0.015 mg/L for 363 fluconazole, voriconazole, isavuconazole, itraconazole and posaconazole, respectively. The 364 susceptibility to fluconazole of isolate 10 was higher than the tentative fluconazole MIC 365 breakpoint (>32 mg/L) while the other two strains were susceptible to fluconazole (CDC 2020). In the case of planktonic C. albicans SC5314 reference strain, the median MIC values 366 were 0.125 mg/L, 0.015 mg/L, 0.015 mg/L, 0.125 mg/L and 0.008 mg/L for fluconazole, 367 368 voriconazole, isavuconazole, itraconazole and posaconazole, respectively. In case of biofilms, 369 the median MIC values are shown in Table 2.

370

## 371 **3.6. Interactions between triazoles and farnesol by FICI**

Only indifferent interactions were detected for planktonic cells of C. auris (data not shown). 372 373 The results of the triazole-farnesol interaction against one-day-old biofilms based on FICI are 374 summarized in Table 2. Antagonism was never observed. Synergy between triazoles and 375 farnesol was observed for all three C. auris isolates when grown in biofilm (FICI ranges from 376 0.038 to 0.375) (Table 2). For the C. albicans SC5314 strain, the interaction pattern observed 377 was very similar to C. auris; an indifferent interaction between an azole and farnesol was 378 observed only in case of fluconazole, although, the FICI value calculated was very close to 379 the synergy threshold (Table 2).

380

## 381 **3.7.** *In vivo* experiments

382 Results of the *in vivo* experiments are shown in Figure 3 and Figure 4 for C. auris and C. 383 albicans, respectively. Seventy-five µM farnesol treatment decreased the fungal kidney burden especially when farnesol pre-exposed C. auris cells were used as inoculum (Figure 3). 384 385 With C. albicans, all experimental settings resulted in statistically comparable kidney fungal 386 burdens compared to untreated control (Figure 4). The histopathology results observed were 387 in line with the fungal burden-related results. C. auris produced single yeast cells and 388 numerous budding yeast cells in untreated control mice. Although, inoculation by farnesol 389 pre-exposed cells caused large number of aggregates in kidney tissue; the daily farnesol 390 treatment markedly decreased the number of lesions (Figure 3). Both farnesol pre-exposure 391 and daily farnesol treatment caused several extended fungal lesions in kidney tissue in the 392 case of C. albicans infection (Figure 4), where single and budding yeast cells, pseudohyphae 393 and hyphae were observed in all groups (Figure 4).

#### 395 **4. Discussion**

Only a few classes of antifungal agents are available for the treatment of fungal infections; in addition, the antifungal drug discovery pipeline is slow and challenging, especially in case of the newly emerging difficult-to-treat species such as *C. auris* (Roemer and Crysan 2014,

399 Scorzoni et al. 2017). Combination based therapeutic approaches have been proposed as

400 alternatives in recent years to treat the *C. auris* infections. The combination of flucytosine

401 with amphotericin B or micafungin may be relevant for the treatment of *C. auris* infections

402 (Bidaud et al. 2019). Moreover, synergistic interactions were observed between micafungin

403 and voriconazole (Fakhim et al. 2017).

- The investigations of alternative/adjuvant treatments focusing on fungal quorum-sensing molecules (e.g.: farnesol, tyrosol) have become an intensely researched area in recent years (Mehmood et al. 2019). Several *in vitro* and *in vivo* studies were performed to evaluate the antimicrobial effects of farnesol, which revealed that this compound may potentially serve as an alternative or adjuvant drug (Jabra-Rizk et al. 2006, Hisajima et al. 2008, Katragkou et al. 2015, Kovács et al. 2016, Bozó et al. 2016, Nagy et al. 2019). Farnesol has a versatile effect at physiological concentrations, however, the most prominent of these is its ability to
- 411 influence *C. albicans* morphology without markedly changing proliferation (Hornby 2001). It
- 412 is noteworthy that farnesol not only affects *C. albicans* but has a remarkable inhibitory effect 413 on other non-*albicans* species and moulds especially in supraphysiological concentrations
- 413 On other hon-*albicans* species and moulds especially in supraphysiological concentrations 414 (Jabra-Rizk et al. 2006; Henriques et al. 2007; Rossignol et al. 2007; Weber et al. 2010,
- Kovács et al. 2016). Our recent study reported that farnesol has a potential antifungal effect
  against *C. auris* biofilms (Nagy et al. 2019), nevertheless, the physiological processes
  underlying the observed antifungal activity of farnesol remain to be elucidated.
- 418 Farnesol did not affect the growth rate of planktonic *C. albicans*; but caused significant 419 reduction in growth rate in the case of *C. auris*. Moreover, farnesol inhibited the metabolic 420 activity of one-day-old biofilms in the first 24 hours, a phenomenon clearly absent with *C.* 421 *albicans*. The observed farnesol related effect in *C. albicans* is similar to those reported by 422 Herein the first 24 hours is similar to those reported by

422 Hornby et al. (2001).

Farnesol has been suggested to modulate virulence, since it was shown to affect virulenceassociated phospholipase and aspartyl protease production in *C. albicans*. In this study, farnesol exposure resulted in significantly higher phospholipase activity for *C. albicans*, which is line with results reported by Fernandes et al. (2018). However, it did not enhance the production of these enzymes in experiments with *C. auris*.

- 428 Farnesol was reported to cause a dose-dependent production of reactive species and could 429 increase resistance to oxidative stress in C. albicans (Davis-Hanna et al. 2008, Deveau et al. 430 2010, Giacometti et al. 2011), which is concordant with our results. However, farnesol 431 treatment resulted in a significant increase of reactive species production in C. auris, resulting 432 in an elevated level of superoxide dismutase but not catalase, demonstrating that farnesol 433 might not contribute to protection against oxidative stress in C. auris. Such stress-related 434 differences between C. albicans and C. auris were also observed previously with other 435 stressor compounds. C. auris was more resistant to hydrogen-peroxide compared to C. 436 albicans; but it was less tolerant to the superoxide-generating agent menadione and the tert-437 butyl hydroperoxide, and moreover displayed significantly higher resistance to cationic stress 438 imposed by either sodium chloride or calcium chloride compared to C. albicans (Day et al.
- 439 2018).

440 To date, catheter-associated infections caused by C. auris have been reported by several

441 authors, which are attributable to the previously well-documented biofilm-forming ability of

442 this species (Dewaele et al. 2018). Previous studies reported the frequency of central line

- 443 infections by C. auris to be between 11% and 92%. (Taori et al 2019, Schelenz 2016, Lee
- 444 2011). Although sessile communities show significantly higher resistance to the majority of

445 frequently used antifungals compared to planktonic susceptibilities (Kean and Ramage 2019), 446 the efficacy of such antifungal agents can be enhanced using adjuvants such as farnesol (Nagy 447 et al. 2019). A clear synergy between the tested triazoles and farnesol against C. auris 448 biofilms was demonstrated, similarly to the combinations of echinocandins and farnesol 449 (Nagy et al. 2019). Farnesol modulates the expression of genes linked to ergosterol 450 biosynthesis, which may explain the synergy of this compound with triazoles (Yu et al. 2012). 451 Although the *in vitro* effect of farnesol is well known especially against C. albicans, its in 452 vivo role remains controversial and raises several questions. Navarathna et al. (2007) showed 453 that exogenous farnesol (20 mM/mouse) can enhance the pathogenicity of C. albicans, 454 increasing the mortality in a murine model of systemic candidiasis. In contrast, Hisajima et al. 455 (2008) observed a farnesol-induced protective effect (at a dose 9 µM/mouse) in C. albicans-456 associated oropharyngeal candidiasis. Although Bozó et al. (2016) revealed that farnesol 457 alone is not protective in a murine vulvovaginitis model (150-300 µM/mouse), it did enhance 458 the fluconazole activity against a fluconazole-resistant C. albicans isolate. In addition, 459 chitosan nanoparticles containing miconazole and farnesol also inhibited fungal proliferation 460 in a mouse vulvovaginitis model at  $\geq$ 240  $\mu$ M (Fernandes et al. 2019). To the best of our 461 knowledge, there is no reported data concerning the in vivo activity of farnesol against non-462 albicans Candida species. In this study, daily farnesol treatment decreased the C. auris fungal 463 burden in mouse kidneys regardless of previous farnesol exposure of the inoculum. In addition, in the case of inocula pre-exposed to farnesol, the reduction of fungal cell numbers 464 465 was statistically significant, which is concordant with our *in vitro* growth-related results. The antifungal activity observed may be explained by the elevated levels of reactive species 466 467 previously measured *in vitro*, which could not be detected in equivalent experiments with C. 468 albicans. Furthermore, the amphiphilic properties of farnesol allows for its integration into 469 cell membranes, affecting membrane fluidity and integrity (Bringmann et al. 2000; Funari et 470 al. 2005; Jabra-Rizk et al. 2006; Scheper et al. 2008). Farnesol was shown to affect cellular 471 polarization and membrane permeability in C. parapsilosis and C. dubliniensis (Jabra-Rizk et 472 al. 2006; Rossignol et al. 2007), which may also explain the observed antifungal effect in our 473 study. However, it is noteworthy that the inoculation of farnesol pre-exposed cells without 474 daily farnesol treatment resulted in a more virulent C. auris population and increased fungal 475 burden. The 24-hours-long pre-exposure without further continuous treatment of farnesol may 476 influence the expression of virulence determinants or membrane properties similar to 477 fluconazole pre-treatment, which may explain the virulence enhancer effect reported 478 previously (Navarathna et al. 2005).

In conclusion, our results clearly demonstrate farnesol-related differences in physiology
between *C. albicans* and *C. auris*. Based on our *in vivo* studies, farnesol has a remarkable
therapeutic potential against *C. auris*; in addition, it reverses the well-documented resistance
to newer triazoles reported for *C. auris* biofilms. However, further genome-wide gene
expression analysis with *C. auris* is needed in order that each aspect of farnesol-related effects
(e.g.: short-term exposure vs. long-term exposure) can be elucidated.

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### **5. Acknowledgements**

Renátó Kovács was supported by the EFOP-3.6.3-VEKOP-16-2017-00009 program. Zoltán
Tóth and Fruzsina Nagy were supported by the ÚNKP-19-3 New National Excellence
Program of the Ministry of Human Capacities.

### **6. Declaration of interest**

496 L. Majoros received conference travel grants from Cidara, MSD, Astellas and Pfizer. All497 other authors report no conflicts of interest.

- **500 7. References**
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#### **Table 1** Farnesol-induced oxidative stress response in C. auris and C. albicans

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Oxidative stress related	Untreate	d cultures	Farnesol-exposed cultures		
parameter	C. auris	C. albicans	C. auris	C. albicans	
Catalase [kat (kg protein) <sup>-1</sup> ]	$1.41 \pm 0.03$	0.60±0.07	1.56±0.09	$0.48{\pm}0.07$	
SOD [munit (mg protein) <sup>-1</sup> ]	85.69±5.42	78.13±4.51	170.11±17.37***	81.41±6.12	
DCF [nmol DCF (OD <sub>640</sub> ) <sup>-1</sup> ]	3.96±0.89	9.69±1.01	23.54±4.51***	11.45±1.15	

Mean  $\pm$  standard deviation values calculated from three independent experiments are presented.

\*\*\* Significant differences at p < 0.001, as calculated by the paired Student's *t*-test compared to untreated control and farnesol-treated cultures for *C. auris*. 

**Table 2** Minimum inhibitory concentration of fluconazole (FLU), voriconazole (VOR), itraconazole (ITRA), posaconazole (POSA) and isavuconazole (ISA) alone and in combination with farnesol (FAR) against *C. auris* (10, 12 and 27) and *C. albicans* SC5314 biofilms (sMIC). Furthermore, *in vitro* interactions by fractional inhibitory concentration index (FICI) determination of fluconazole, voriconazole, itraconazole, posaconazole and isavuconazole in combination with farnesol against *C. auris* and *C. albicans* biofilms. Median MIC values and FICI values from three independent experiments are presented.

	Madian aMIC values Interaction analysis						805	
	Wiedian sivitC values				Interaction analysis 806			
T. L.	sMIC alone		sMIC in			807		
Isolates			combination		Median	Type of intera	ction	
	FLU	FAR	FLU	FAR	FICI		809	
	(mg/L)	(µM)	(mg/L)	(µM)			810	
10	>512 <sup>a</sup>	300	64	75	0.375	Synergy	811	
12	>512 <sup>a</sup>	300	64	75	0.35	Synergy	812	
27	>512 <sup>a</sup>	300	64	75	0.375	Synergy	813	
SC5314	>512 <sup>a</sup>	150	64	75	0.56	Indifferent	814	
	VOR	FAR	VOR	FAR			815	
	(mg/L)	(µM)	(mg/L)	(µM)			816	
10	64	150	0.5	4.69	0.093	Synergy	817	
12	64	300	0.5	4.69	0.061	Synergy	818	
27	64	300	0.5	9.38	0.038	Synergy	819	
SC5314	16	150	1	4.69	0.09	Synergy	820	
	ITRA	FAR	ITRA	FAR			821	
	(mg/L)	(µM)	(mg/L)	(µM)			822	
10	16	300	0.5	4.69	0.155	Synergy	823	
12	32	300	0.5	9.375	0.140	Synergy	824	
27	16	300	0.5	9.375	0.123	Synergy	825	
SC5314	8	150	0.5	4.69	0.187	Synergy	826	
	POSA	FAR	POSA	FAR			827	
	(mg/L)	(µM)	(mg/L)	(µM)			828	
10	16	150	0.25	2.34	0.062	Synergy	829	
12	16	150	0.25	2.34	0.062	Synergy	830	
27	16	150	0.25	2.34	0.062	Synergy	831	
SC5314	2	150	0.25	4.69	0.28	Synergy	832	
	ISA	FAR	ISA	FAR			833	
	(mg/L)	(µM)	(mg/L)	(µM)			834	
10	4	300	0.125	9.38	0.091	Synergy	835	
12	8	300	0.125	18.75	0.062	Synergy	836	
27	4	300	0.125	9.38	0.091	Synergy	837	
SC5314	8	150	0.5	4.69	0.28	Synergy	838	

<sup>a</sup> MIC is off-scale at >512 mg/l, 1024 mg/l (one dilution higher than the highest tested
 concentration) was used for analysis

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## 844 **Figure 1**

Time-kill curves of farnesol against *C. auris* (A and B) and *C. albicans* (C and D) isolates in RPMI-1640 for farnesol unexposed (A and C) and farnesol pre-exposed (B and D) cells (75  $\mu$ M), respectively. Each timepoint represents mean  $\pm$  SEM (standard error of mean) of cell count derived from isolates.

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### 850 Figure 2

851 Metabolic activity changes over time in case of biofilm formation in the presence of given 852 farnesol concentrations (10-300 µM) for C. auris (A) and C. albicans (D), respectively. 853 Metabolic activity changes over time in case of biofilm formation by farnesol pre-exposed 854 cells (75  $\mu$ M) in the presence of given farnesol concentrations (10-300  $\mu$ M) for C. auris (B) 855 and C. albicans (E), respectively. Metabolic activity changes over time for one-day-old preformed biofilms in the presence of given farnesol concentrations (10-300 µM) for C. auris 856 857 (C) and C. albicans (F), respectively. Each time-point represents mean  $\pm$  SEM (standard error 858 of mean) of metabolic activity of clinical isolates (three independent experiments per isolate).

# 859860 Figure 3

The kidney burden of *C. auris* in a systemically infected mouse model. The bars represent the means  $\pm$  SEM (standard error of mean) of kidney tissue burdens of BALB/c mice. Significant differences between CFU numbers were determined based on comparison with the untreated controls. Levels of significant differences are indicated (\*\* *p*<0.01). Histological changes in kidney tissue from mice suffering from systemic candidiasis with or without farnesol treatment in the presence or absence of farnesol pre-exposure were examined by Periodic acid-Schiff staining.

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### 869 **Figure 4**

870 The kidney burden of *C. albicans* in a systemically infected mouse model. The bars represent

871 the means  $\pm$  SEM (standard error of mean) of kidney tissue burdens of BALB/c mice.

872 Significant differences between CFU numbers were determined based on comparison with the

873 untreated controls. Histological changes in kidney tissue from mice suffering from systemic

874 candidiasis with or without farnesol treatment in the presence or absence of farnesol pre-

875 exposure were examined by Periodic acid-Schiff staining.