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5	Reactive oxygen species, photosynthesis and environment in the regulation of stomata
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#### 25 ABSTRACT

26 Significance: Stomata sense the intercellular CO<sub>2</sub> concentration (C<sub>i</sub>) and water availability under changing environmental conditions and adjust their aperture to maintain optimal cellular conditions 27 for photosynthesis. Stomatal movements are regulated by a complex network of signaling cascades 28 where reactive oxygen species (ROS) play a key role as signaling molecules. Recent Advances: Recent 29 30 research has uncovered several new signaling components involved in CO<sub>2</sub> and ABA-triggered guard cell signaling pathways. In addition, we are beginning to understand the complex interactions 31 32 between different signaling pathways. Critical Issues: Plants close their stomata in reaction to stressconditions, such as drought, and the subsequent decrease in C<sub>i</sub> leads to ROS production through 33 photorespiration and over-reduction of the chloroplast electron transport chain. This reduces plant 34 35 growth and thus drought may cause severe yield losses for agriculture especially in arid areas. Future Directions: The focus of future research should be drawn towards understanding the interplay 36 between various different signaling pathway,s and how ROS, redox and hormonal balance changes 37 in space and time. Translating this knowledge from model species to crop plants will help in the 38 39 development of new drought resistant crop species with high yields.

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#### 48 Introduction

Stomata are tiny pores formed by a pair of guard cells on the surfaces of plant leaves and stems. Their primary role is to maintain an adequate supply of carbon dioxide (CO<sub>2</sub>) for photosynthesis while limiting water loss through transpiration. In order to adapt to ever-changing environmental conditions, plants are constantly adjusting their stomatal apertures to control leaf CO<sub>2</sub> and water content.

Guard cells sense the concentration of CO<sub>2</sub> in the sub-stomatal cavity (C<sub>i</sub>) and are able to respond 55 rapidly to changes in C<sub>i</sub> (31, 98). When conditions are optimal for photosynthesis in C3 plants, CO<sub>2</sub> 56 is consumed by carboxylation reactions in the chloroplasts of mesophyll cells. This leads to a 57 58 decrease in C<sub>i</sub> below the ambient CO<sub>2</sub> concentration (~400 ppm) and triggers stomatal opening to 59 maintain CO<sub>2</sub> supply for the Calvin-Benson cycle in mesophyll chloroplasts. In contrast, an increase in C<sub>i</sub> leads to stomatal closure; this helps to conserve water but can also lead to increased leaf 60 temperature and reduced uptake of nutrients by the transpiration stream. Such regulation may 61 62 occur within minutes and is achieved by controlled transport of osmoregulatory ions, mainly potassium (K<sup>+</sup>), chloride (Cl<sup>-</sup>) and malate through different types of ion channels in the guard cell 63 64 membranes (45, 65). Under conditions that limit photosynthesis, such as darkness, C<sub>i</sub> increases due to reduced CO<sub>2</sub> fixation through the Calvin-Benson cycle and stomatal aperture decreases (Fig. 1). 65 66 Conversion of CO<sub>2</sub> into bicarbonate (HCO<sub>3</sub><sup>-</sup>) mediates the stomatal response to changes in C<sub>i</sub> (28, 52, 53). CO<sub>2</sub> is spontaneously dissolved in water with formation of bicarbonate. Inside plant cells, the 67 68 rate of this reaction is accelerated by carbonic anhydrases (CAs) (26). A Raf-like protein kinase, HT1 69 (HIGH LEAF TEMPERATURE 1) is a highly CO<sub>2</sub>-specific stomatal regulator (44, 45, 52) which is 70 involved in controlling the activity of the SLOW ANION CHANNEL 1 (SLAC1) (49). Stomatal opening 71 occurs via activation of the guard cell plasma membrane H<sup>+</sup>ATPase, which causes hyperpolarization

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of the membrane and subsequent uptake of K<sup>+</sup> through polarization-dependent inward rectifying K<sup>+</sup>
channels. Stomatal closure occurs through inactivation of the H<sup>+</sup>ATPase and activation of the guard
cell anion channels, and this leads to depolarization of the membrane and activation of outward
rectifying K<sup>+</sup> channels (65).

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77 During drought, water uptake by roots is limited and in order to avoid water loss by transpiration plants close their stomata. This is mostly regulated by the stress hormone abscisic acid (ABA). The 78 79 first steps in ABA signaling leading to stomatal closure are well characterized. The key genetic components in the ABA signaling pathway include: ABA receptors PYRABACTIN RESISTANCE1 80 (PYR1)/PYR1-LIKE (PYL)/REGULATORY COMPONENT OF ABA RECEPTORS (RCAR) (76, 112), a group 81 82 of type 2C protein phosphatases (PP2Cs), such as ABA-INSENSITIVE1 (ABI1) and ABI2 (140, 143), the 83 protein kinase OPEN STOMATA1 (OST1/SnRK2.6) and calcium dependent protein kinases (CDPKs, in Arabidopsis CPKs). In the absence of ABA, PP2Cs are active and function as constitutive inhibitors of 84 OST1 and CDPKs. Binding of ABA to its receptors inactivates the PP2Cs, and OST1 is activated either 85 86 by autophosphorylation (11) or phosphorylation by some other protein kinase. Once activated, OST1 is involved in the activation of the guard cell anion channels SLAC1 and QUICK ANION 87 88 CHANNEL 1 (QUAC1) (57, 73) and inactivation of the inward rectifying Shaker family K<sup>+</sup> channel KAT1 89 (122). Activation of anion channels leads to an efflux of anions and small metabolites, such as 90 malate, and in combination with the deactivation of the plasma membrane H<sup>+</sup>ATPase AHA1 (88) cause plasma membrane depolarization and the consequent activation of voltage-dependent K<sup>+</sup> 91 92 efflux channels (2). The resulting efflux of anions and K<sup>+</sup> leads to the loss of guard cell turgor and the 93 closure of stomatal pores.

95 In addition to adjustments of stomatal aperture, plants also react to long-term changes in 96 environmental conditions by adapting the stomatal density in newly developed leaves. Mechanisms underlying the regulation of stomatal density in response to environmental changes have been 97 recently reviewed (18, 28) and will not be discussed here. Here, we address how stomata sense the 98 99 changes in CO<sub>2</sub> concentration and water availability in C3 plants, how drought-induced stomatal 100 closure leads to increased production of reactive oxygen species, ROS, and how ROS signals regulate stomatal movement. The major forms of ROS, singlet oxygen ( ${}^{1}O_{2}$ ), superoxide anion ( $O_{2}^{-}$ ), 101 hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) and hydroxyl radical (HO<sup>-</sup>) are formed in different subcellular 102 compartments of plants. This occurs mostly through photorespiration-related reactions in 103 peroxisomes, in mitochondrial electron transport chains, by over reduction of the chloroplastic 104 105 electron transport chain, and by specific ROS-producing enzymes (15, 92, 106). This review covers 106 the current knowledge of how signaling cascades relating to ROS, redox and changing environments are involved in the adjustment in stomatal aperture. 107

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# 109 **Coordination of mesophyll photosynthetic processes with stomatal aperture**

Stomatal responsiveness to light and CO<sub>2</sub> is suppressed when the epidermis is detached from the 110 111 leaf, whereas re-establishment of the contact between mesophyll and detached epidermis restores 112 stomatal responsiveness. This suggests the existence of diffusible chemical or vapor phase signals 113 released from the mesophyll (71, 99, 121). The nature of the mesophyll-driven signals has, however, remained elusive but a broad range of substances, including sucrose and malate, have been 114 considered (44, 71, 121). There is evidence that, CO<sub>2</sub> concentration inside the leaf rather than 115 116 outside the leaf influences stomatal aperture (98; Fig. 2). This notion is supported by several studies 117 implying that red light-induced stomatal opening is mediated by the reduction of C<sub>i</sub> which is in turn 118 caused by the increased photosynthetic activity of mesophyll cells (118, 119). Guard cells are also

119 known to have signaling components specific to CO<sub>2</sub>-responses. Plants carrying mutations in the 120 highly CO<sub>2</sub>-specific protein kinase HT1 (42, 43, 49) showed severely suppressed stomatal opening in response to red light induced decrease in C<sub>i</sub> (80). However, Ci may not be the only signal through 121 which guard cells get information on mesophyll processes. Functional red light-induced stomatal 122 opening under artificially sustained C<sub>i</sub> suggested that mesophyll photosynthesis could coordinate 123 124 stomatal regulation by C<sub>i</sub>-independent mechanisms (68, 89). The existence of a C<sub>i</sub> independent signal was further supported by unaffected stomatal conductance in plants, which had high C<sub>i</sub> due 125 126 to suppressed Rubisco activity (145). In addition, Blue light, a factor affecting photosynthesis and stomatal opening is directly perceived by guard cells (126, 127, 159). In addition, over-reduction of 127 the plastoquinone pool in the mesophyll cell chloroplasts was recently suggested to induce ROS-128 129 mediated stomatal closure (147). Taken together, it seems that guard cells are able to recognize 130 both changes in environmental conditions, such as light quality, and inner C<sub>i</sub>-dependent and C<sub>i</sub>independent signals from mesophyll. Although the influence of mesophyll cells on stomatal 131 aperture has been demonstrated by several studies, many details of this interplay remain unknown 132 and should be further elucidated in the future. 133

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# 135 The role of guard cell chloroplasts in stomatal signaling

In most species, guard cell chloroplasts are smaller, present in a lower number, and have a less developed thylakoid structure with reduced granal stacking than mesophyll cell chloroplasts (3, 154). Despite these differences, guard cell chloroplasts still have functional photosystems I and II as well as Calvin-Benson cycle activity (10, 69, 70, 83, 117) and they can significantly contribute to guard cell metabolism (67). Similarly, regulation of photosynthesis in guard cells and in mesophyll by environmental factors can provide an indirect sensing mechanism coordinating stomatal behavior with mesophyll demands for CO<sub>2</sub> (121, 133). There exist, however, some plant species such

as the orchid genus *Paphiopedilum* (21, 104) that do not have chloroplasts in their guard cells but
still display stomatal responses to high CO<sub>2</sub> concentration and changes in light conditions (104),
independent of photosynthesis in guard cells.

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Guard cell chloroplasts are thought to be involved in osmoregulation of stomatal movements 147 148 through photosynthetic carbon fixation, which produces osmotically active sugars. However, 149 estimations of photosynthesis-derived osmotica in guard cells vary from 2% to 40% of the total pool 150 of osmotically active substances depending on plant species and experimental approaches (67). Starch degradation in guard cell chloroplasts, which can be initiated by blue light and low CO<sub>2</sub> (50, 151 111), can also contribute to the formation of guard cell turgor by releasing monosaccharides and/or 152 153 provide phosphoenolpyruvate for CO<sub>2</sub> fixation by cytosolic phosphoenolpyruvate carboxylase, 154 leading to the formation of malate (50, 67, 121). Plants with impaired starch synthesis, both in mesophyll and in guard cells, demonstrated reduced stomatal responsiveness to elevated CO<sub>2</sub>, 155 indicating that conversion of osmotically active carbohydrates has a role in the reduction of osmotic 156 157 pressure during stomatal closure (8).

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Although guard cell photosynthesis is important for the energization of stomatal opening (8, 133), it does not seem to be directly involved in the regulation of stomatal aperture as stomata of plants without chlorophyll in guard cells still remained responsive to  $CO_2$  and ABA (9, 104). However, numerous studies have highlighted the importance of guard cell chloroplast in stomatal regulation through chloroplast-dependent ROS accumulation (128, 149), Ca<sup>2+</sup> release (107, 153), and retrograde signaling (113) (see the corresponding sections below). In conclusion, the function of guard cell chloroplasts may not be compulsory for  $CO_2$  and ABA triggered stomatal regulation but

appear to be important for amplifying and fine tuning processes through light-derived control ofother signals.

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#### 169 Mechanism of stomatal opening induced by lower than ambient concentrations of CO<sub>2</sub>

Decrease of CO<sub>2</sub> concentration in the leaf intercellular air spaces is a powerful stimulus for the 170 171 regulation of stomatal aperture since it can induce stomatal opening even under conditions that 172 normally promote stomatal closure, such as darkness when photosynthesis is not possible and low 173 air humidity, which poses the risk of wilting (85). Accordingly, mechanisms of low CO<sub>2</sub>-induced 174 stomatal opening are likely to have an early evolutionary origin as stomata of ancient vascular plants, lycophytes and ferns, displayed rapid stomatal opening in CO<sub>2</sub>-free air but only a weak 175 176 response to high CO<sub>2</sub> concentrations (13, 65). The stomata of the lycophyte Selaginella responded 177 to both elevated and reduced CO<sub>2</sub> concentrations as well as to ABA (120) and stomatal closure in response to elevated CO<sub>2</sub> and ABA were present in some fern species and had been possibly lost in 178 179 others (48).

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181 Although there are major gaps in our understanding of how low CO<sub>2</sub> triggers stomatal opening, it is 182 obvious that it must involve signaling systems that control the activity of H<sup>+</sup>ATPases (118). This can be achieved either by enhanced translocation of H<sup>+</sup>ATPases from internal membranes into the 183 184 plasma membrane or by the regulation of the H<sup>+</sup>ATPase activity (Fig. 3A). The importance of H<sup>+</sup>ATPase translocation was demonstrated by impaired stomatal opening in response to low CO<sub>2</sub> in 185 186 the Arabidopsis mutant *patrol1*, which has a mutation in the endosome-localized PROTON ATPASE 187 TRANSLOCATION CONTROL 1 (PATROL1), a protein involved in the translocation of the major guard 188 cell H<sup>+</sup>ATPase, AHA1, into the plasma membrane (41). The mechanisms controlling AHA1 activation 189 however are not known. Other transporters also contribute to the production of osmotic pressure

in guard cells during low CO<sub>2</sub>-induced stomatal opening. For example, plants with defects in the NITRATE TRANSPORTER 1.1. demonstrated decreased stomatal opening in CO<sub>2</sub>-free air, accompanied by reduced nitrate accumulation in guard cells (40). Malate transporter ATP-BINDING CASSETTE B14 (ABCB14) can also promote stomatal opening by uptake of malate from the apoplast (72). The involvement of other transporters and regulatory pathways in guard cells activated by reduced CO<sub>2</sub> concentration still await identification.

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#### 197 Mechanism of high CO<sub>2</sub> concentration-induced stomatal closure

Stomatal closure is triggered by an increased concentration of CO<sub>2</sub> and hence, elevated C<sub>i</sub>, induces 198 anion efflux through anion channels in the guard cell plasma membrane, followed by K<sup>+</sup> efflux, and 199 200 subsequent water outflow and a reduction of guard cell volume (Fig. 3B). Plants with defective S-201 type anion channel SLAC1 or with defects in the mechanisms that control SLAC1 activation display severely impaired stomatal closure in response to an increase in CO<sub>2</sub> concentration (49, 86, 103, 202 141, 157). The role of apoplastic malate for high CO<sub>2</sub>-induced stomatal closure was demonstrated 203 204 already in 1993 (44). Malate can be transported from mesophyll to guard cells and could act as a 205 mesophyll-driven signal linking mesophyll metabolism with stomatal regulation (6, 109). The R-type 206 anion channel QUAC1 can be activated by apoplastic malate. Accordingly, plants lacking QUAC1 in their guard cells demonstrated partially impaired stomatal response to high CO<sub>2</sub> (57, 90). Guard cells 207 208 can also control the level of apoplastic malate by its uptake via ABCB14 activity (72). ABCB14 acts as a negative regulator in high CO2-induced stomatal closure as demonstrated by accelerated and 209 210 delayed stomatal responses to high CO<sub>2</sub> concentration in the *abcb14* mutants and ABCB14 211 overexpressors, respectively (72).

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It has been suggested that calcium ions play a role as a second messenger in high CO2-induced 213 stomatal closure. This has been concluded based on experiments where Ca<sup>2+</sup> accumulation in guard 214 cells subjected to higher than ambient CO<sub>2</sub> concentration was observed, and from the impaired high 215 CO<sub>2</sub>-induced stomatal closure in the presence of Ca<sup>2+</sup> chelators, such as BAPTA or EDTA (55, 124, 216 152). Genetically-encoded Ca<sup>2+</sup> sensors revealed that guard cells displayed oscillations of cytosolic 217 Ca<sup>2+</sup> concentration [Ca<sup>2+</sup>]<sub>cvt</sub> and these patterns were often associated with changes in stomatal 218 aperture (4). However, unexpectedly, guard cells exposed to reduced CO<sub>2</sub> concentration 219 demonstrated more [Ca<sup>2+</sup>]<sub>cyt</sub> transients than those under elevated CO<sub>2</sub> concentration (161). As 220 guard cells produced 'spontaneous' cytoplasmic Ca<sup>2+</sup>-transients and Ca<sup>2+</sup> is required for high CO<sub>2</sub>-221 induced stomatal closure, it was suggested that elevated CO<sub>2</sub> concentration enhances sensitivity of 222 stomatal closing mechanisms to [Ca<sup>2+</sup>]<sub>cvt</sub>. In agreement with this hypothesis, CO<sub>2</sub>-derived 223 bicarbonate enhanced Ca<sup>2+</sup> sensitivity of the S-type anion channel activation in guard cells (157). 224

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# 226 Bicarbonate as a signaling molecule in CO<sub>2</sub>-controlled stomatal movements

A reduction or an increase of C<sub>i</sub> should be sensed and translated into activation of corresponding 227 signaling pathways in guard cells. The CO<sub>2</sub> permeability of biological membranes in relation with 228 229 direct diffusion of CO<sub>2</sub> through the membranes vs the role of CO<sub>2</sub>-permeable aquaporin channels 230 has been addresses in several papers and there are indications that specific aquaporins can have a 231 significant role in CO<sub>2</sub> uptake (see 38 for a review). Recently, a plant aquaporin, PLASMA MEMBRANE INTRINSIC PROTEIN 2;1 (PIP2;1), was shown to function as a channel for CO<sub>2</sub> diffusion 232 in Xenopus laevis oocytes (145). However, knocking out PIP2;1 was not sufficient to impair stomatal 233 234 CO<sub>2</sub> responses (145). This could be explained by functional redundancy among guard cell 235 aquaporins; there are 35 AQP homologs in Arabidopsis. Transport of CO<sub>2</sub>/bicarbonate to chloroplasts also depends at least partly on aquaporins, including the PIP1;2 that is located in 236

chloroplast envelope (138). In addition to the proposed role for PIP2;1 in CO<sub>2</sub> transport, it was also
involved in ABA-triggered stomatal closure (37).

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Conversion of CO<sub>2</sub> into bicarbonate (HCO<sub>3</sub><sup>-</sup>) is an important step that mediates stomatal responses 240 241 to changes in ambient  $CO_2$  and  $C_i$  (28, 52, 53). Although  $CO_2$  is spontaneously dissolved in water with 242 formation of bicarbonate, in cells the rate of this reaction is accelerated by carbonic anhydrases 243 (CAs) (26). Among CAs expressed in Arabidopsis, the function of  $\beta$ CA1 and  $\beta$ CA4, localized in 244 chloroplasts and in plasma membrane, respectively, was important for the rapid stomatal response to changes in CO<sub>2</sub> levels (52; Fig. 3). While single  $\beta$ CA mutants did not display clearly altered CO<sub>2</sub> 245 sensitivity, the double knockout of both  $\beta$ CA1 and  $\beta$ CA4 significantly delayed stomatal responses to 246 247  $CO_2$  (28, 52, 53). Interestingly, PIP2;1 physically interacted with  $\beta$ -carbonic anhydrase 4 ( $\beta$ CA4) and 248 this connection has been suggested to enable the generation of CO<sub>2</sub> concentration gradient and thus enhance transport of CO<sub>2</sub> into guard cells (145). The importance of HCO<sub>3</sub><sup>-</sup> is further supported 249 by the experiments showing that the concentration of cytosolic bicarbonate, rather than CO<sub>2</sub>, 250 251 activated S-type anion channels in guard cell protoplasts (157). The role of bicarbonate as a small 252 signaling molecule in guard cells was also confirmed by reconstitution of CO<sub>2</sub> signaling pathway in 253 X. laevis oocytes co-expressing PIP2;1, βCA4, SLAC1 and CPK6/23 or OST1. In these experiments, the presence of these proteins was enough to confer bicarbonate-induced activation of SLAC1 anion 254 255 currents in oocytes (145).

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257 Despite the established connection between cytosolic bicarbonate and anion channels in guard 258 cells, our knowledge about CO<sub>2</sub> signaling in guard cells has still major gaps. As an example, it has not 259 been resolved which proteins can bind and/or sense the changes in bicarbonate concentration in 260 guard cells to transmit the signal that eventually leads to changes in ion channel activities.

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# 262 Mitogen activated protein kinases MPK4 and MPK12 and HT1 - a new pathway controlling SLAC1 263 activation in response to changes in CO<sub>2</sub>

Mutant screens with different approaches have led to the identification of important components 264 in guard cell CO<sub>2</sub> signaling (41, 43, 103, 141). The Raf-like protein kinase HT1 was identified by using 265 266 thermal imaging of mutagenized plants subjected to low CO<sub>2</sub>. HT1 is expressed in guard cells and is highly CO<sub>2</sub>-specific regulator, since plant lines carrying mutations in HT1 displayed stomata 267 268 completely insensitive to changes in CO<sub>2</sub> concentration, but remained responsive to other stimuli such as light, ABA, and air humidity (42, 43; Fig. 4). HT1 plays a role in controlling the activation of 269 SLAC1 anion channel as a response to changes in CO<sub>2</sub> concentration (Fig. 3). Experiments carried out 270 271 in heterologous system, X. laevis oocytes, demonstrated that SLAC1 activation by OST1 and by receptor like protein kinase GUARD CELL HYDROGEN PEROXIDE-RESISTANT1 (GHR1) was suppressed 272 by HT1 (49, 137). However, the mechanism how HT1 affects SLAC1 activation remains controversial. 273 274 Some experiments have suggested that HT1 could phosphorylate OST1 and by that suppress SLAC1 275 phosphorylation by OST1 (137), however, these experiments were not confirmed in another study (49). Despite of using various versions of the HT1 protein, no inhibition on OST1-induced 276 277 phosphorylation of SLAC1 was observed in the presence of HT1. Instead, HT1 showed 278 phosphorylation activity towards GHR1 and the N-terminus of SLAC1 in vitro; the functional 279 outcome of these reactions, however, remained unclear (49). Thus, mechanism by which HT1 controls anion channel activation during stomatal closure in response to elevated CO<sub>2</sub> requires 280 further research. Furthermore, one should remember that results obtained in *in vitro* experiments 281 282 and heterologous systems, such as X. laevis oocytes, do not necessarily reflect the regulatory 283 interactions in planta due to missing components, and the models predicted in these artificial 284 experimental systems need to be confirmed in plants before constructing regulatory models.

Studies with MPK inhibitors and work focusing on the natural variation of water-use efficiency and 286 ozone sensitivity among Arabidopsis natural accessions revealed that MPK12 is an important 287 component of stomatal regulation (24, 58, 59). Further work showed that MPK4 and MPK12 are 288 essential for CO<sub>2</sub>-dependent stomatal regulation (Fig. 3). Both of these MPKs inhibited HT1 activity 289 290 in vitro, whereas experiments in X. laevis oocytes indicated that MPK12 was able to restore SLAC1 291 activation by GHR1 in the presence of HT1 (49, 58). It is noteworthy that CO<sub>2</sub>-induced stomatal 292 responses in plants lacking MPK12 and MPK4 in their guard cells were fully abolished, similar to that observed for strong HT1 mutants. Thus, these MPKs seem to play a central role in controlling HT1 293 in CO<sub>2</sub>-induced stomatal regulation, however, the mechanism that relays changes in bicarbonate 294 295 concentration to MPKs in guard cells remains to be addressed. A MATE-type transporter, RESISTANT 296 TO HIGH  $CO_2$  (RHC1) was also suggested to act as an upstream regulator of HT1. Its abundancy is high in guard cell plasma membranes and its activity was essential for stomatal response to high 297 CO<sub>2</sub> concentration (137). Phenotype of the *rhc1 ht1-2* double mutant and oocyte experiments 298 299 implied that RHC1 could act as a bicarbonate sensing element upstream of HT1, although its exact 300 mechanism remained unknown (137). In contrast to these results, another study (149) showed that 301 RHC1 alone was able to cause bicarbonate-insensitive ion currents in X. laevis oocytes, making the 302 role of RHC1 in CO<sub>2</sub>/bicarbonate sensing unresolved.

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# **ROS production and sensing in guard cell signaling during drought**

Under certain conditions, stomata must close despite the mesophyll  $CO_2$  demands and low  $C_i$ . This type of stomatal closure can be induced, for example, by limited water availability, salt/osmotic stress, air pollution, or by pathogen attack, which is often referred to as stomatal immunity. Stomatal closure is one of the earliest responses of plants to water deficit. This rapid response is

orchestrated by a complex network of signaling pathways where the main player, ABA, operates
 together with second messengers Ca<sup>2+</sup> and ROS (23, 100) and overrides the stomatal regulation by
 CO<sub>2</sub>. The participation of ABA in stomatal responses to drought is well known (35) and ROS and Ca<sup>2+</sup>
 are important mediators in ABA signaling.

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314 Stomatal closure is accompanied by increased ROS formation in the guard cell apoplast and chloroplasts in response to various treatments (128, 129; Fig. 5). Apoplastic ROS are generated 315 316 mainly by two different types of enzymes: plasma membrane NADPH oxidases (RESPIRATORY BURST OXIDASE HOMOLOGS, RBOHs) and cell wall peroxidases. In Arabidopsis guard cells, there are two 317 main isoforms of NADPH oxidases, AtRBOHF and AtRBOHD, which among other signals can also be 318 319 regulated by ABA-depended processes (66). ABA-triggered stomatal response was significantly reduced in the *atrbohF* mutant and the phenotype was enhanced in the *atrbohD* atrbohF double 320 mutant when the *atrbohD* single mutant did not differ from the wild type (66). Due to its obvious 321 role in pathogen-triggered ROS burst, RBOHD is more commonly recognized for its function in plant 322 323 immune defense (77). However, recently both these NADPH oxidases were shown to be involved also in the guard cell CO<sub>2</sub> responses, and the CO<sub>2</sub>-induced of ROS burst required ABA (17). In addition 324 325 to NADPH oxidases, also the cell wall bound salicylhydroxamic acid (SHAM)-sensitive peroxidases 326 take part in apoplastic ROS production around guard cells (61, 97). These peroxidases are involved 327 in the pathogen triggered ROS burst (61), but they may also be involved in the response to abiotic stress (93, 110). Apoplastic ROS are also produced by other oxidases such as, di- and polyamine 328 329 oxidases (114). Copper amine oxidase and polyamine oxidases contribute to the  $H_2O_2$  production 330 involved in the stomatal closure induced by ABA and ethylene in Vicia faba and Arabidopsis thaliana, 331 respectively (5, 51). However, the evidence for the involvement of peroxidases and amine oxidases 332 in apoplastic ROS production has come from inhibitor studies and further research is needed in

order to understand specific function, molecular identities, and significance of these proteins in ROS
 induced stomatal regulation.

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Apoplastic ROS production initiates the activation of plasma membrane Ca<sup>2+</sup> channels leading to an 336 increase in cytosolic Ca<sup>2+</sup> levels. The molecular identity of these inducible plasma membrane Ca<sup>2+</sup> 337 channels is still not clear. In the cytosol, Ca<sup>2+</sup> stimulates the activation of NADPH oxidases either 338 directly by binding to their cytoplasmic EF-hands (63) or indirectly by affecting their phosphorylation 339 by CPKs (27). Upon Ca<sup>2+-</sup>binding, CALCINEURIN-B LIKE PROTEINS (CBLs) interact with the CPKs and 340 CBL-interacting PROTEIN KINASES (CIPKs) (131) and a particular complex formed by CBL1/CBL9-341 CIPK26 phosphorylated and activated RBOHF (27). The increase in cytoplasmic Ca<sup>2+</sup> is sensed also in 342 the chloroplasts where a thylakoid membrane-associated Ca<sup>2+</sup> -binding protein, CALCIUM SENSING 343 RECEPTOR (CAS), is activated through yet unidentified mechanism. The activation of CAS was 344 responsible for the release of Ca<sup>2+</sup> from thylakoids and a chloroplastic ROS burst (107, 108, 142, 345 153), both of which contribute to the cytoplasmic Ca<sup>2+</sup> oscillations, apoplastic Ca<sup>2+</sup> induced stomatal 346 347 closure as well as retrograde signaling during plant immune defense (108). Moreover, the drought sensitivity of the Arabidopsis cas mutant is caused by the improper closure of stomata (148), which 348 further highlights the importance of chloroplastic Ca<sup>2+</sup> signaling in stomatal regulation. 349

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The role and ability of OST1 in direct activation SLAC1 has been recently discussed (128). First, phosphorylation of SLAC1 by OST1 has only been detected *in vitro* and second, multiple mutants of CPKs that showed stomatal phenotype still have an active OST1, which nevertheless can not activate SLAC1-mediated ion currents *in vivo* in the absence of specific CPKs. Furthermore, plants with impaired OST1 were shown to have wild type like stomatal closure in response to Ca<sup>2+</sup> (102), possibly via activation of CPKs. This poses a question whether *in vivo* OST1 would actually be involved in the activation of guard cell anion channels indirectly through controlling the activation of CPKs, possibly by phosphorylation of RBOHF. The resulting ROS burst would activate Ca<sup>2+</sup>-channels, followed by CPK-dependent activation of SLAC1 (123, 128). In this model OST1 would function upstream of ROS production and be negatively regulated by the PP2C ABI1, as has been shown (60, 75, 101). Furthermore, GHR1, and its negative regulator ABI2, another PP2C, would be involved in the downstream activation of plasma membrane Ca<sup>2+</sup>-channels and subsequent stomatal closure (54, 101; Fig. 5).

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Although there is clear evidence for the involvement of ROS in the regulation of stomatal aperture, 365 it is still not known how the ROS signals are sensed in the guard cell apoplast. Identification of the 366 ROS and redox sensors has been one of the major challenges in plant ROS research during recent 367 368 years. In guard cells, only a few ROS sensing mechanisms are known to be involved in the stomatal regulation. These are the redox regulation of the GHR1 apoplastic domain (54) and the redox 369 regulation of OST1 (146) and CPK1 (139). GHR1 is a plasma membrane associated atypical Leucine-370 371 rich repeat receptor-like protein kinase that has been proposed to be involved in apoplastic ROS 372 perception. The apoplastic C-terminal domain of GHR1 has two conserved cysteines (C-57 and C-66) 373 that are necessary for the correct function of the protein (54). As discussed earlier, GHR1 has been 374 implicated as a central regulator of guard cell CO<sub>2</sub> and early ABA responses but the molecular 375 mechanism for its function is still unclear. GHR1 has been shown to interact with SLAC1 (54) but it is not likely to activate SLAC1 by phosphorylation as its cytoplasmic kinase domain lacks the 376 377 conserved amino acids that are required for kinase activity (M. Sierla, H. Hõrak, K. Overmyer, H. 378 Kollist, and J. Kangasjärvi, unpublished data). Therefore, it is likely that there are other unidentified 379 proteins involved in the GHR1 mediated SLAC1 activation.

381 The protein phosphatases ABI1 and ABI2 have also been shown to be inactivated in the presence of H<sub>2</sub>O<sub>2</sub> (81, 82) but the mechanism for this redox regulation is still unknown. Another example for 382 redox regulation of ABI1 and ABI2 in guard cell is a glutathione peroxidase like enzyme, GPXL3, in 383 H<sub>2</sub>O<sub>2</sub> scavenging and cytosolic redox-regulation in response to ABA and drought stress (91). GPXL3 384 385 was suggested to interact with ABI1 and ABI2. In addition, similarly as  $H_2O_2$  (81, 82), oxidized GPXL3 386 decreased the phosphatase activity of ABI2 by affecting its redox status in vitro. However, both proteomic data and subcellular localization of GPXLs as GFP-fusions (7) suggest that GPXL3 is in fact 387 388 a type II transmembrane protein anchored to the endoplastic reticulum and/or Golgi so that the 389 catalytic side remains in the lumen and would not be able to interact with the PP2Cs in vivo. In the light of these results, the molecular basis for the drought sensitive and resistant phenotypes of the 390 gpxl3 null mutant and GPXL3 overexpressor lines, respectively, (91) and the possible mechanisms of 391 392 the redox regulation of the guard cell PP2Cs remain unknown.

393

### 394 The role of sulfate in drought sensing and the emergence of a new pathway

395 A number of studies with different plant species have shown that low soil water potential decreases 396 stomatal conductance even before any measurable change in leaf water potential can be observed 397 (34, 36). These results suggest that roots can sense low soil water potential and transmit a signal to guard cells initiating stomatal closure. The earlier hypothesis that root borne ABA acted as a drought 398 399 signal to leaves has now been questioned since stomatal closure appears to be dependent on foliar 400 ABA production (19, 47, 87). In addition, the ABA that accumulates in roots during long-term 401 drought conditions appears to be derived from the shoots (79). Other signals, such as chemical, 402 electrical and hydraulic, have been suggested to play a role in root to shoot signaling (56) and they 403 all are likely to contribute to the long distance signaling through various signaling networks.

405 The role of sulfate in root to shoot signaling and stomatal regulation has been recently highlighted by several studies. The need for sulfate during drought is known to increase as many sulfur-406 containing compounds, such as glutathione, are involved in plant abiotic stress responses (1). Once 407 taken up from the soil and transported to chloroplast, sulfate is converted into cysteine or 3'-408 phosphoadenosine-5'-phosphosulfate (PAPS) which are then used for the synthesis of sulfur 409 410 containing compounds and production of sulfated compounds (33). Cysteine plays an important role in a plant defense against abiotic stress as it is a precursor for glutathione biosynthesis (105) and it 411 412 is required for the sulfuration of Molybdenum cofactor, which at its sulfated form is required for the final step of ABA biosynthesis. Intriguingly, significant co-regulation of ABA biosynthesis and 413 sulfur metabolism takes place under stress conditions in order to ensure adequate cysteine supply 414 415 needed for the final step in ABA biosynthesis (14). Sulfate concentration in xylem sap was increased in response to drought and this enhanced the effect of ABA on stomatal regulation during early 416 stage of water stress in maize (29). Similarly, xylem derived sulfate promoted stomatal closure by 417 direct activation of the R-type anion channel QUAC1 and enhanced ABA biosynthesis (78). 418

419

Several sulfated compounds accumulate in plant leaves under drought. These are sulfated in the 420 421 cytoplasm by a family of enzymes called sulfotransferases (SOTs) that catalyze the transfer of 422 sulfuryl group from PAPS to several different compounds, such as glucosinolates, flavonoids, 423 brassinosteroids and salicylic acid (46). However, the role sulfation of these compounds in drought resistance is not well understood. Instead, the by-product of SOT catalyzed sulfation, 3'-424 phosphoadenosine-5'-phosphate (PAP), has been implicated in drought and high light signaling (30). 425 426 Once produced in the cytosol, PAP is transported to chloroplasts where it is detoxified by 427 dephoshorylation to adenosine monophosphate (AMP) by the adenosine bisphosphate phosphatase SAL1 (115). High light and drought inactivated SAL1 by redox-regulated dimerization 428

causing the accumulation of its substrate, PAP (16, 30). It was suggested that PAP moves into nucleus (32) where it is thought to inhibit the post-transcriptional gene silencing of stress responsive genes by 5'-3' exoribonucleases (XRNs) (30). However, it is not clear whether chloroplastic or cytoplasmic PAP is responsible for gene regulation since the PAPS/PAP antiporter transports PAPS out and PAP into the chloroplast according to a concentration gradient (33, 34), which implies that inactivation of SAL1 results in increase of cytoplasmic PAP due to decrease of the concentration gradient-driven transport of PAP to chloroplast.

436

The involvement of PAP in ABA-dependent stomatal closure was also shown recently (113). The 437 sensitivity of the guard cells of *abi1-1* and *ost1-3* for ABA was restored in mutant plants by 438 439 genetically, or exogenously increasing PAP levels. In addition, PAP upregulated the expression of 440 many ABA and  $Ca^{2+}$  responsive genes, including several CPKs. It was suggested (113) that because of the transcriptional regulation, PAP-mediated chloroplast signaling could bypass the canonical 441 ABA signaling pathway and activate SLAC1. However, PAP-induced stomatal closure required 442 443 sufficient concentrations of Ca<sup>2+</sup> and apoplastic ROS production by NADPH oxidases, but did not 444 affect the activity of SLAC1 or the highly selective inward-rectifying potassium channels KAT1 or 445 KAT2 in X. laevis oocytes. This suggests that PAP is dependent on ABA-mediated processes and works rather as a second messenger in ABA signaling. Intriguingly, exogenous application of PAP on 446 447 Arabidopsis and barley leaf peels was able to trigger stomatal closure within a few minutes and the kinetics of this reaction was almost identical to that of exogenous ABA application (113). It is highly 448 449 unlikely that stomatal closure through transcriptional regulation would occur as fast as by ABA 450 triggered post-transcriptional regulation. Therefore, PAP may also regulate SLAC1 activity through 451 direct post-transcriptional regulation of other kinases such as CPKs or MAPKs (Fig. 5).

452

#### 453 The role of other plant hormones in guard cell drought response

In addition to ABA, also other plant hormones and low-molecular-weight compounds have a role in 454 the induction of stomatal responses to drought and in the mediation of ROS-related or -dependent 455 signal transduction leading to stomatal closure. Jasmonic acid (JA) and it its methyl ester (Methyl 456 jasmonate, MeJa) induce ROS production and stomatal closure through the activation of RBOHD 457 458 and/or RBOHF (134). MeJa-induced stomatal closure, ROS production, and cytosolic alkalization were unaffected in the pyr1 pyl1 pyl2 pyl4 quadruple mutant, but was impaired in the SnRK protein 459 460 kinase OST1 loss of function mutants, ost1 and srk2e, and in the ABA deficient, aba2-2 mutant (160). This suggest that the MeJa activation of RBOHD and/or RBOHF requires ABA priming (as also implied 461 by previous studies; Hou et al., 2013; Murata et al., 2015) and OST1 function, but does not activate 462 OST1 through the canonical ABA signaling pathway in guard cells. JA and MeJa have been suggested 463 464 to regulate stomatal closure through transcriptional regulation of MeJa responsive genes and through ROS and nitric oxide (NO) -triggered, Ca<sup>2+</sup>-dependent activation of CPK6 and its downstream 465 target SLAC1 (23). 466

467

Salicylic acid (SA) accumulates in plant leaves during drought stress and pathogen invasion and 468 469 induces stomatal closure in response to apoplastic superoxide production (84, 94). SA-induced apoplastic ROS accumulation around guard cells was inhibited by the application of the peroxidase 470 471 inhibitor SHAM but not by the NADPH oxidase inhibitor diphenyle iodonium (DPI) (61, 97). This suggests that the SA-induced apoplastic ROS production is mediated through the cell wall bound 472 473 peroxidases. However, it must be noted that salicylhydroxamid acid (SHAM) is not a specific inhibitor 474 of peroxidases but has been more commonly used as an inhibitor of the mitochondrial alternative 475 oxidase (AOX), which is activated under conditions involving increased mitochondrial ROS 476 production (96). Furthermore, low (1-5 mM) concentrations of SHAM act actually as peroxidase

477 activators, when only higher concentrations (20 mM) inhibit peroxidases (130); in some published 478 studies the use of low SHAM concentrations has been interpreted as an inhibitory effect. Accordingly, it has been suggested that AOX helps to maintain the NO homeostasis in guard cell 479 mitochondria by preventing the over-reduction of the electron transport chain, particularly during 480 481 stomatal closure when NO concentration increases in cytosol (20). Therefore, the mechanism of SA-482 induced peroxidase activation remains to be verified by further studies. The SA accumulating mutants siz1 (93) cpr5 (12) and acd6 (116) have constitutively decreased stomatal aperture and 483 484 show drought tolerance. The application of peroxidase inhibitors SHAM and azide compromised the narrow stomatal phenotypes of the mutants while the application of the NADPH oxidase DPI had no 485 effect (93, 110). These results imply that peroxidase-facilitated ROS production is involved in the SA-486 487 mediated, drought-induced stomatal closure.

488

In contrast to ABA, JAs, and SA, all of which positively regulate stomatal closure, ethylene can 489 promote both stomatal opening and closure, although the reaction seems to be highly species 490 491 dependent (23, 100). In general, there is great inconsistence in the results from different studies on 492 the effect of ethylene on stomatal regulation. One possible explanation to the differences could be 493 that these studies have mainly been performed with leaf disks, epidermal peals, or detached leaves and experiments on these samples do not always reflect the real response to studied stimuli. In 494 495 addition, the effect of ethylene on stomatal aperture seems to be dependent on the hormonal homeostasis and the detachment of leaves will disrupt the cellular balance. Two independent 496 497 studies have shown that in the absence of ABA, ethylene promoted stomatal closure whereas in the 498 presence of ABA it inhibited stomatal closure in Arabidopsis (25, 135). In addition, auxin and 499 cytokinin, the major plant hormones involved in various aspects of plant growth and development, 500 inhibited the ABA induced stomatal closure by enhancing ethylene biosynthesis (136). Ethylene-

induced stomatal closure was also depend on the RBOHF-mediated ROS production (25), whereas opening or inhibition of ROS-induced stomatal closure could be promoted by the ethylene-induced accumulation of flavonols (150). Flavonols are plant metabolites with antioxidant properties and they accumulate in guard cells reducing ROS levels and consequently suppress stomatal closure (150). Taken together, ethylene seems to affect guard cell signaling mainly by controlling ROS homeostasis in the guard cells and its function is controlled by other hormones.

507

## 508 MPKs play multiple roles in the regulation of stomatal movement

In addition to CO<sub>2</sub> signaling, MPKs are also suggested to have a role in guard cell ABA and pathogen 509 signaling (22, 74). Whereas MPK9 and MPK12 were involved in the stomatal responses to ABA, 510 511 MPK3 and MPK6 mediated pathogen signaling in guard cells (95). As discussed earlier, ROS are 512 produced by RBOHs in response to both ABA and pathogen signaling, but while RBOHF is mainly responsible for the ROS production in response to ABA, RBOHD is involved in stomatal closure in 513 response to recognition of potentially pathogenic microorganisms (60, 77). It would be tempting to 514 515 speculate that the two NADPH oxidases, RBOHD and RBOHF are regulating two separate MPK 516 pathways but recent research has indicated that the reality is more complicated.

517

The activation of RBOHD was not required for the activation of MPK3 and MPK6 in response to bacterial pathogens (156). Moreover, it has been suggested that the rapid ROS burst and the activation of MPK3/MPK6 are two independent early signaling events during stomatal immune response in Arabidopsis. More recently, these two signaling events were shown to belong to separate but interdependent signaling cascades that control stomatal movements (Fig. 6), and the loss of function of both MPK3 and MPK6 impaired pathogen-triggered stomatal closure (132). The activation of MPK3 and MPK6 was independent of the ABA, SLAC1, and RBOHD-mediated ROS burst.

525 Instead of regulating anion channels, the two kinases controlled the metabolism of osmotically active organic acids such as malate and citrate. Under pathogen attack, the level of osmotically 526 active metabolites in the cytosol decreased and the guard cell turgor was lost promoting stomatal 527 closure. However, at the same time the ABA-induced ROS production by RBOHD activated ABA 528 signaling, leading to SLAC1 activation and stomatal closure (132). To what extent these 529 530 interdependent signaling cascades interact and whether they share common mediators remains to be elucidated. To further complicate the story, MPK3 and MPK6 have been suggested to regulate 531 532 stomatal closure also through an ABA-independent oxylipin pathway (95). MPK3 and MPK6 activated guard cell specific lipoxygenase, LOX1, and SA was needed for the downstream signaling 533 events leading to stomatal closure. 534

535

536 Both MPK9 and MPK12 are also involved in SA mediated stomatal signaling in guard cells as SA activated S-type anion channels and elicited stomatal closure in wild type Arabidopsis but not in the 537 mpk9 mpk12 double mutant (62). It was suggested that the two kinases could be involved in the 538 539 same signaling cascade through LOX1. However, the studies on MPK9 and MPK12 on ABA and SA 540 mediated stomatal regulation have been performed mainly with TILLING mutants of mpk9-1 and 541 mpk12-1 (containing, in addition to the mutations in MPK9 and MPK12, an undetermined number of point mutations elsewhere in the genome) and epidermal peels or guard cell protoplasts (59, 62). 542 543 Point mutations can affect the protein function in different ways when compared to loss of function 544 mutants. Similarly, experiments performed with epidermal peels or protoplasts are missing the 545 mesophyll contact, as discussed earlier in the text. Therefore, the involvement of MPK9 and MPK12 546 in stomatal regulation by ABA and SA would require experiments with especially loss of function 547 alleles and with intact plants to evaluate their role in stomatal processes.

548

549 The above studies on MAPK3/MAPK6 signaling cascades were focusing on pathogen triggered stomatal closure. However, MPK3 and MPK6 are activated by both biotic and abiotic stresses, as 550 well as by ABA (22). Decreased expression of MPK3 by guard cell specific gene silencing resulted in 551 impaired ABA-mediated inhibition of stomatal opening and H2O2-induced stomatal closure, but did 552 not affect the ABA-induced stomatal closure (39). In addition, the mpk6 mutant guard cell were 553 554 impaired in ABA-induced H<sub>2</sub>O<sub>2</sub> accumulation (155). Taken together, it seems likely that MPK3/MPK6-555 regulated organic acid metabolism would also have a role in stomatal responses to abiotic stresses 556 such as drought. However, this needs to be verified by testing the stomatal responses of the *mpk3* mpk6 double mutant to abiotic stresses 557

558

#### 559 Negative regulation of ABA signaling

560 Stomata are generally considered to respond to abiotic and biotic stresses by decreasing their 561 aperture. However, it is important to note that during the day C3 and C4 plants rarely close their 562 stomata completely. Instead, they have developed negative regulatory mechanisms to ensure 563 minimal carbon dioxide supply for photosynthesis by keeping stomata open during stress as well. As discussed earlier, ethylene negatively regulates ABA signaling in guard cells. In addition to 564 hormonal regulation, cytoplasmic nitrosylation reactions are involved in the negative regulation of 565 ABA signaling. The ABA-dependent rapid accumulation of NO negatively regulated the OST1 566 function by S-nitrosylation of Cys137 near the catalytic site of the kinase (146). The S-nitrosylation 567 of OST1 was observed as a late event in the ABA signaling, thus, it has been suggested that this 568 mechanism helps to reset ABA signaling. Considering the role of OST1 in the activation of RBOHF, it 569 570 has been further suggested (128) that inhibition of OST1 by NO might also restrict ROS formation. 571 Cytoplasmic ROS participate also in the negative feedback regulation of CPK21 (139). Oxidation of CPK21 by H<sub>2</sub>O<sub>2</sub> resulted in the formation of intramolecular disulfide bond that reduced the kinase 572

573 activity. Conversely, CPK21 was activated by a THIOREDOXIN H-TYPE1 (Trx-h). Thioredoxins are small 574 proteins that catalyse the thiol to disulfide exchange reaction in their target proteins. Incubation of 575 the oxidized CPK21 together with the Trx-h rescued the kinase activity suggesting that CPK21 could 576 be subjected to redox regulation under changing conditions (139). Furthermore, during stress the 577 inactivation of CPK21 by H<sub>2</sub>O<sub>2</sub> could act as a negative feedback regulation of ABA-induced stomatal 578 closure. It would be interesting to see if other CPKs are regulated in similar manner.

579

#### 580 **Connection between CO<sub>2</sub> and ABA signaling in guard cells**

Since both ABA- and high CO<sub>2</sub>-induced stomatal closure involve activation of SLAC1 in guard cells, 581 one could expect that ABA- and CO<sub>2</sub>-signaling converge. Indeed, several mutations causing stomatal 582 ABA-insensitivity, such as *abi1-1* and *abi2-1*, (86, 151) as well as *ost1* and *ghr1* (49, 86, 157; Fig. 4) 583 584 display impaired stomatal responses to high CO<sub>2</sub> concentrations. Stomata of the GROWTH CONTROLLED BY ABSCISSIC ACID 2 (GCA2) mutant, gca2, which is related to CPKs were insensitive 585 to both ABA and high CO<sub>2</sub> concentration. Furthermore, gca2 displayed altered patterns of 586 587 cytoplasmic Ca<sup>2+</sup> transients in response to these stimuli and was suggested as a convergence point 588 between CO<sub>2</sub> and ABA signaling (4, 161).

589

ABA receptors, PYR/PYL/RCARs, are also involved in CO<sub>2</sub> signaling, as inactivation of several of these proteins impaired stomatal closure in elevated CO<sub>2</sub> concentrations (17, 86). Due to a large number of the PYR/PYL /RCAR proteins and a functional redundancy between them, further research is required to identify which combination of PYR/PYL /RCARs function in the regulation of CO<sub>2</sub>-induced stomatal closure (17, 86, 157). Furthermore, recently developed fluorescent probes that enable real time in vivo monitoring of ABA in plant cells (144) should be used in addressing the interplay between CO<sub>2</sub> and accumulation of ABA in guard cells during changes in CO<sub>2</sub> concentration (28).

597

Although several key-components of ABA signaling are also connected with stomatal responses to 598 high CO<sub>2</sub> concentration, also ABA-independent components exist. ABA-induced stomatal closure 599 was completely functional in the mutants of HT1 and MPK12, whereas these plants were deficient 600 601 in CO<sub>2</sub>-controlled stomatal movements (49, 58). Moreover, experiments aimed to dissect which 602 parts of the SLAC1 anion channel are important for ABA- and which for high CO<sub>2</sub>-induced stomatal 603 closure showed that transgenic plants expressing SLAC1 anion channel without both C- and N-604 terminal regions were still able to respond to changes in CO<sub>2</sub> concentration, but remained ABAinsensitive. Thus, ABA-induced activation of SLAC1 seems to involve C- and N-terminal regions of 605 the SLAC1, whereas CO<sub>2</sub>-induced stomatal closure seems to rely only on the transmembrane region 606 (158). 607

608

The overlap between CO<sub>2</sub> and ABA signaling suggests that ROS production in guard cells can increase 609 in response to high CO<sub>2</sub> concentration, similar to ABA-induced stomatal closure (Fig. 3B). Using a 610 611 fluorescent probe H2DCF-DA, ROS accumulation was indeed observed in guard cells treated with 612 bicarbonate or high CO<sub>2</sub> concentration (17, 64, 125). Moreover, ROS scavengers impaired stomatal 613 closure induced by CO<sub>2</sub> (17, 64). A connection between CO<sub>2</sub> and ABA signaling was further proved by the absence of ROS accumulation in stomata under elevated CO<sub>2</sub> concentration in the ABA-614 615 deficient double mutant nced3 nced5, as well as in the triple pyr1 pyl1 pyl4 and the quadruple pyr1 *pyl1 pyl2 pyl4* mutants (17). Similar to ABA, elevated CO<sub>2</sub> induced ROS formation by NADPH oxidases 616 617 (17, 125; Fig. 3). Thus, the *rbohD rbohF* double mutant demonstrated insensitivity of guard cells to 618 bicarbonate/high CO<sub>2</sub> concentration. These mutants also failed to produce ROS in guard cells in 619 response to elevated CO<sub>2</sub> (17, 64). Impaired accumulation of ROS in guard cells and decreased

stomatal closure in response to high  $CO_2$  concentration were also observed in the tomato mutant *rboh1* (125).

622

The current knowledge about high CO<sub>2</sub>-induced stomatal closure suggests at least three partially 623 overlapping pathways: 1) Signaling through HT1/MPKs, which is ABA-independent and is triggered 624 625 by increased bicarbonate in guard cells (49, 58). 2) Direct perception of bicarbonate by SLAC1 in the presence of protein kinases that activate SLAC1 (145). 3) An ABA-dependent component which 626 627 partially mediates high CO<sub>2</sub>-controlled stomatal closure (17, 86, 157). ABA signaling that activates OST1 and CPKs by suppression of PP2Cs could enhance SLAC1 sensitivity to bicarbonate, as well as 628 directly trigger SLAC1 anion currents, although this hypothesis should be verified in the future. It is 629 630 possible that plants under water stress should react to increased C<sub>i</sub> faster and stronger than plants 631 with satisfactory water supply in order to save water in leaves when CO<sub>2</sub> supply for mesophyll cells is sufficient. This could explain the importance of ABA signaling for CO<sub>2</sub>-controlled stomatal 632 movements, which would allow plant to adapt changing environmental conditions. 633

634

#### 635 Future perspectives

636 Recent research has highlighted the complex interplay between apoplastic, cytoplasmic and chloroplastic redox/ROS signaling, as well as hormonal regulation in the control of stomatal 637 638 aperture. However, major gaps remain in the understanding of the complex interactions within the guard cell signaling networks in response to changes in CO<sub>2</sub> and water availability. Considerable 639 640 efforts are needed for understanding how guard cells regulate, and are regulated by mesophyll 641 photosynthesis. The outstanding key questions are related to how guard cells perceive and transmit 642 signals from the surrounding environment and mesophyll cells. Furthermore, identification of 643 proteins that can sense changes in bicarbonate and ROS in guard cells is also needed. In the future

major breakthroughs will most likely come from the development of tools that enable real time imaging of the cellular localization of ROS in guard cells in response to various stimuli. The focus of future research should be directed to understand the complex interactions between various guard cell signaling pathways, and how the guard cell hormones, ROS and Ca<sup>2+</sup> homeostasis modulate these interactions. In addition, the translation of such knowledge from model plants to important crop species, especially to those grown in arid areas, will be increasingly important in the near future.

651

## 652 Innovation

553 Stomata are essential for the survival of land plants in the changing environment as they control

water loss and CO<sub>2</sub> flow for photosynthesis. During recent years, several key molecular components in

655 guard cell CO<sub>2</sub> and drought induced ABA signaling have been identified and we are beginning to

understand complex interplay between the two signaling pathways. Future research should focus

on translating the knowledge from model species to agricultural crops in order to develop cultivars

that are more resistant to the stresses caused by environmental change.

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670

672	Abbreviatio	ns
673	ABA	Abscisic acid
674	ABA2	ABA DEFICIENT 2
675	ABCB14	ATP-BINDING CASSETTE B14
676	ABI1	ABA-INSENSITIVE 1
677	ABI2	ABA-INSENSITIVE 2
678	AHA1	H+ATPase 1
679	AMP	adenosine monophosphate
680	AOX	Alternative oxidase
681	ATP	Adenosine-5´-triphosphate
682	СА	Carbonic anhydrase
683	CAS	CALCIUM SENSING RECEPTOR
684	CBL	CALCINEURIN-B LIKE PROTEINS
685	CDPK/CPK	CALCIUM-DEPENDENT PROTEIN KINASE
686	Ci	intercellular CO <sub>2</sub> concentration
687	СІРК	CBL-interacting PROTEIN KINASES
688	CO <sub>2</sub>	Carbon dioxide
689	DPI	NADPH inhibitor diphenyle iodonium
690	GCA2	GROWTH CONTROLLED BY ABSCISSIC ACID 2
691	GHR1	GUARD CELL HYDROGEN PEROXIDE-RESISTANT 1
692	GPXL3	GLUTATHIONE PEROXIDASE LIKE 3
693	HCO₃ <sup>-</sup>	Bicarbonate
694	HT1	HIGH LEAF TEMPERATURE 1
695	H <sup>+</sup> ATPase	HYDROGEN ATPase

696	HO	Hydroxyl radical
697	H2DCF-DA	2',7'-dichlorodihydrofluorescein diacetate
698	$H_2O_2$	Hydrogen peroxide
699	JA	jasmonic acid
700	KAT1	Inward rectifying Shaker family K <sup>+</sup> channel
701	К+	Potassium
702	LOX1	LIPOXYGENASE 1
703	MeJA	methyl jasmonate
704	NADPH	Nicotinamide adenine dinucleotide phosphate
705	МРК/МАРК	MITOGEN ACTIVATED PROTEIN KINASES
706	NO	Nitrogen oxide
707	OST1	OPEN STOMATA 1
708	<sup>1</sup> O <sub>2</sub>	Singlet oxygen
709	O <sub>2</sub> .	Superoxide anion
710	PAP	3'-phosphoadenosine-5'-phosphate
711	PAPS	3'-phosphoadenosine-5'-phosphosulfate
712	PATROL1	PROTON ATPase TRANSLOCATION CONTROL 1
713	PIP2;1	PLASMA MEMBRANE INTRINSIC PROTEIN 2;1
714	PP2C	type 2C protein phosphatases
715	PYR	PYRABACTIN
716	PYL	PYR-LIKE
717	RBOH	RESPIRATORY BURST OXIDASE HOMOLOG
718	RCAR	REGULATORY COMPONENTS OF ABA RECEPTOR
719	RHC1	RESISTANT TO HIGH CO2

- 720 ROS Reactive oxygen species
- 721 SA Salicylic acid
- 722 SAL1 ADENOSINE BISPHOSPHATE PHOSPHATASE 1
- 723 SHAM Salicylhydroxamid acid
- 724 SLAC1 SLOW ANION CHANNEL 1
- 725 SOT sulfotransferases
- 726 Trx-h THIOREDOXIN H-TYPE1
- 727 QUAC1 QUICK ANION CHANNEL 1
- 728 XRN 5'-3' exoribonuclease
- 729
- 730
- 731

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1 Figure 1. A simplified overview on how stomata control gas-exchange between leaves and the 2 surrounding atmosphere. Guard cells react to changes in the environment as well as inside the 3 plant. In response to light and decrease in CO<sub>2</sub> concentration, guard cells accumulate osmotically active potassium ions and anions (A<sup>-</sup>), leading to water (H<sub>2</sub>O) influx and an increase 4 5 of guard cell volume. Open stomata allow CO<sub>2</sub> influx into the leaf with simultaneous efflux of 6 water and release of oxygen (O<sub>2</sub>). Stomata close in response to darkness, increase in CO<sub>2</sub> concentration, and drought. A phytohormone abscisic acid (ABA) accumulates in plants during 7 drought and triggers stomatal closure. Efflux of osmotically active ions and water leads to 8 9 reduced guard cell volume and stomatal closure. This process involves burst of reactive oxygen species (ROS) and elevation of calcium ion (Ca<sup>2+</sup>) concentration. To see this illustration in color, 10 the reader is referred to the online version of this article at www.liebertpub.com/ars. 11

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Figure 2. Schematic diagram showing possible interactions between mesophyll cells (MC) and 14 15 guard cells (GC) in epidermis (Ep) of leaves with open stomata (A) and with closed stomata (B). 16  $CO_2$  enters the sub-stomatal cavity, where its concentration (C<sub>i</sub>) regulates  $CO_2$ -dependent 17 signaling in guard cells. Photosynthesis in mesophyll consumes CO<sub>2</sub> and by that reduces C<sub>i</sub> and 18 promotes stomatal opening. The flow of water from vascular bundles, formed by xylem (Xc) a phloem (Ph), transports a number of substances regulating stomatal apertures, including 19 20 phytohormones, such as abscisic acid (ABA), and mesophyll-driven signals, such as malate (Mal) 21 and sucrose (Suc). ABA can be also synthesized directly in guard cells. Stomatal closure induced 22 by drought, salt/osmotic stress or by pathogen attack decrease the flow of CO<sub>2</sub> into leaves and

leads to a significant decrease in C<sub>i</sub>. It also enhances photorespiration in mesophyll due to
Rubisco oxygenase activity. To see this illustration in color, the reader is referred to the online
version of this article at www.liebertpub.com/ars.

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28 Figure 3. Schematic representation of CO<sub>2</sub> signaling events in guard cells. Aquaporines, including PLASMA MEMBRANE INTRINSIC PROTEIN 2;1 (PIP2;1), play a role in uptake of CO<sub>2</sub> by guard cells 29 30 where it is converted into bicarbonate (HCO<sub>3</sub><sup>-</sup>) by B-CARBONIC ANHYDRASEs 1 and 4 ( $\beta$ CA1,  $\beta$ CA4). Low (A) and high (B) CO<sub>2</sub> concentrations lead to fluctuations of the cytosolic bicarbonate, 31 32 activating and inactivating downstream signaling components. A - Low CO<sub>2</sub>-induced stomatal opening is initiated by proton extrusion via H<sup>+</sup>-ATPases such as AHA1 whose translocation from 33 34 inner membranes to plasma membrane is controlled by PROTON ATPASE TRANSLOCATION 35 CONTROL 1 (PATROL1). Protein kinases HIGH LEAF TEMPERATURE 1 (HT1) and mitogenactivated protein kinases MPK4 and MPK12 are also involved in the activation of AHA1 but this 36 mechanism is not defined yet. Protein kinases GUARD CELL HYDROGEN PEROXIDE RESISTANCE 1 37 (GHR1), and OPEN STOMATA 1 (OST1) are kept inactive by protein phosphatases PP2Cs and 38 39 protein kinase HT1 during stomatal opening. B – High CO<sub>2</sub>-induced stomatal closure is triggered 40 by accumulation of cytosolic bicarbonate that leads to suppression of HT1 by MPK4, MPK12, and RESISTANT TO HIGH CO2 (RHC1) as well as inactivation of proton pumping by AHA1. 41 42 Proteins sensing changes in cytosolic concentration of bicarbonate are not known although it 43 has been suggested that SLAC1 could have a role in this. Stomatal closure in response to 44 elevated CO<sub>2</sub> concentration involves components of ABA signaling including ABA binding by

45	PYR/PYL/RCAR proteins that leads to PP2Cs inactivation and activation of OST1 which is involved
46	in the activation of anion channels SLAC1 and QUICK-ACTIVATING ANION CHANNEL 1 (QUAC1)
47	as well as superoxide anion ( $O_2^{-}$ ) production by RESPIRATORY BURST OXIDASE HOMOLOG F
48	(RBOH F). Superoxide anion is further converted into hydrogen peroxide ( $H_2O_2$ ) that can enter
49	guard cells though aquaporins (PIPs). QUAC1 is also activated by increased concentration of
50	apoplastic malate (Mal) acting as mesophyll-driven signal. Solid lines denote interactions that
51	are supported by experimental data, and dotted lines indicate signaling events that still require
52	further verification. Question marks show unknown components in signaling pathways. CBC –
53	the Calvin-Benson cycle, G3P - glyceraldehyde-3-phosphate. To see this illustration in color, the
54	reader is referred to the online version of this article at www.liebertpub.com/ars.
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57	Figure 4. Gas-exchange experiments demonstrate the central roles for HIGH LEAF
58	TEMPERATURE 1 (HT1) and OPEN STOMATA 1 (OST1) in stomatal responses to high CO $_2$ and
59	abscisic acid (ABA), respectively. The four-weeks-old Arabidopsis plants were incubated in the
60	gas-exchange cuvette until their stomatal conductance was stabilized. Subsequently plants were
61	treated either with increased concentration of $\text{CO}_2$ or sprayed with 5 $\mu\text{M}$ of ABA. Stomata of the
62	HT1 mutants were completely insensitive to changes in CO <sub>2</sub> concentration, but displayed the
63	unaffected response to ABA. The recessive <i>ht1-2</i> mutant has no HT1 protein kinase activity (45)
64	and demonstrates reduced stomatal conductance indicating constantly activated stomatal
65	closure. On the contrary, stomatal conductance is constitutively higher in plants carrying the

this protein (52) and shows that HT1 promotes stomatal opening through an unknown
mechanism. Completely impaired ABA-induced stomatal closure in the *ost1-3* mutant
demonstrates that OST1 is an important player in stomatal response to ABA. Stomata of this
mutant responded to high CO<sub>2</sub> only partially, suggesting a role of OST1 for high CO<sub>2</sub> signaling in
guard cells. Plant growth conditions and the used gas exchange system are described in (52, 62).
The time of the sprays with 5 µM ABA is shown by the arrow. The values are the averages ± SE
(n=4 for Col-0, *ht2-1*, n=3 for *ost1-3*, and HT1<sup>A109V</sup>).

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Figure 5. Schematic representation of signaling events regulating stomatal aperture in response 76 to drought. Abscisic acid (ABA) is produced in guard cells and/or transported from apoplast by 77 transporters, such as ABCG40. In the absence of ABA, TYPE 2C PROTEIN PHOSPHATASEs (PP2Cs) 78 79 are active and function as inhibitors of OPEN STOMATA 1 (OST1) and CALCIUM-DEPENDENT PROTEIN KINASES (CPKs). During stress, binding of ABA to its receptor, PYR/PYL/RCAR, 80 81 inactivates PP2Cs and OST1 is activated. OST1 is involved in the activation of SLOW ANION 82 CHANNEL 1 (SLAC1), QUICK-ACTIVATING ANION CHANNEL 1 (QUAC1) and NADPH oxidase 83 RESPIRATORY BURST OXIDASE HOMOLOG F (RBOHF) as well as inactivation of the potassium-84 inward channel KAT1. Apoplastic hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) is produced cell wall peroxidases 85 (PRX) or by the conversion of superoxide anion  $(O_2^{-})$  to hydrogen peroxide by SUPEROXIDE DISMUTASE (SOD). H<sub>2</sub>O<sub>2</sub> enters guard cells through aquaporins (PIPs). Accumulation of reactive 86 87 oxygen species (ROS) in guard cells leads to the activation of unknown inward rectifying calcium 88 channels. GROWTH CONTROLLED BY ABSCISIC ACID 2 (GCA2) is involved in the formation of

89	cytoplasmic calcium transients. ROS and Ca <sup>2+</sup> are involved in the regulation of many different
90	ABA signaling components. Degradation of 3'-phosphoadenosine 5'- phosphate (PAP) to
91	adenosine-monophosphate (AMP) and phosphate (P <sub>i</sub> ) is catalyzed by adenosine bisphosphate
92	phosphatase (SAL1) which activity is suppressed by chloroplastic redox state. CALCIUM SENSING
93	RECEPTOR (CAS) is involved in the release of Ca <sup>2+</sup> from thylakoids. Mal- malate. Solid lines
94	indicate verified interactions; dashed lines indicate hypothetical/indirect interactions. Question
95	marks denote unknown components. To see this illustration in color, the reader is referred to
96	the online version of this article at www.liebertpub.com/ars.
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99	Figure 6. Overview of MITOGEN ACTIVATED PROTEIN KINASE (MPK) pathways involved in stomatal
100	regulation. Pathogen associated molecular patterns (PAMPs), abscisic acid (ABA), and methyl jasmonate
101	(MeJA) trigger activation of MPK pathways. MPK9 and MPK12 induce stomatal closure through SLOW
102	ANION CHANNEL 1 (SLAC1) activation in response to ABA and MeJA induced production of reactive
103	oxygen species (ROS) by RESPIRATORY BURST OXIDASE HOMOLOGs (RBOHs). MPK3 and MPK6 also
104	contribute to the stomatal closure by increasing the metabolism of osmotically active organic acids, such
105	as malate (Mal). In addition, MPK3 and MPK6 may activate QUICK-ACTIVATING ANION CHANNEL 1
106	(QUAC1) and the subsequent malate efflux would contribute to the decrease in cytosolic osmolyte
107	concentration. The MPK3/6 contribute to the MPK9/12 activation through LIPOXYGENASE 1 (LOX1)-
108	dependent stomatal pathway that requires salicylic acid (SA). SA is able to activate MPK9/12 and ROS is
109	required in this process. Solid lines indicate verified interactions; dashed lines indicate
110	hypothetical/indirect interactions. Pyr <sup>-</sup> – pyruvate. To see this illustration in color, the reader is
111	referred to the online version of this article at www.liebertpub.com/ars.













